

The Momentum-First Dirac Equation: A Unified Hamiltonian for Fermions in Stationary Spacetimes

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We apply the Momentum-First (M-First) framework, in which gravity directly modifies a particle's fundamental momentum relation, to derive the governing quantum operator for a fermion in stationary spacetimes. The resulting unified core momentum operator, \hat{M}_g , is constructed from first principles, and its structure is uniquely fixed by the spacetime's symmetries, containing no free parameters. In the static limit, the operator's scalar component—the contextual fermic momentum—provides a quantitative, parameter-free resolution to the neutron star shallow heating puzzle by enhancing quantum tunneling rates. The operator's vectorial component, sourced by spacetime rotation, gives rise to novel, falsifiable spin-gravity couplings, including a gravitational spin-Hall effect. The ability of this single operator to resolve a persistent anomaly while predicting new, testable phenomena demonstrates the predictive power and internal consistency of the M-First paradigm.

I. INTRODUCTION

A central challenge in theoretical physics is the consistent quantum description of particles in curved spacetime. The standard paradigm, Quantum Field Theory in Curved Spacetime (QFTCS) [1, 2], treats gravity's influence by promoting partial derivatives to covariant ones ($\partial \rightarrow \nabla$). This procedure, while successful in many domains, is built upon the foundational assumption that a particle's local core momentum relation remains unaltered.

This paper explores an alternative based on the Momentum-First (M-First) framework [3], which posits that gravity's primary role is more fundamental. It acts as a **kinematic modifier**, directly altering the algebraic rules that govern a particle's momentum. This represents a paradigm shift from placing the metric in the derivative to embedding its influence directly within the structure of the quantum operators.

Here, we apply the M-First principles to derive a unified core momentum operator, \hat{M}_g , for a spin- $\frac{1}{2}$ fermion in the general class of stationary spacetimes. The operator's structure is uniquely determined from first principles by the spacetime's isometries and the correspondence principle, containing no free parameters.

The utility of this unified operator is demonstrated by examining its behavior in distinct physical regimes. In its static limit, it provides a first-principles resolution to the neutron star shallow heating puzzle. The rotational dynamics it describes give rise to novel, falsifiable phenomena, including a gravitational spin-Hall effect. The ability of a single, coherent framework to solve a persistent anomaly and predict new physics marks it as a compelling paradigm for investigating quantum-gravity interactions.

II. THE UNIFIED M-FIRST CORE MOMENTUM OPERATOR

The M-First core momentum operator for a fermion in a stationary spacetime is not posited ad hoc, but is constructed by applying the framework's principles to the known structure of the Dirac equation. We adopt the Dirac form, $\hat{M}_g = \beta(\dots) + \boldsymbol{\alpha} \cdot (\dots)$, as a scaffold. The M-First framework then provides a unique physical prescription for the scalar and vector components based on a rigorous Effective Field Theory (EFT) hierarchy and the correspondence principle. The resulting operator, $\hat{M}_g = \hat{H}/c$, is fixed without free parameters, and its structure emerges from the following sequence of logical steps.

A. Step 1: The Contextual Fermic Momentum

Following the EFT logic established in Ref. [4], quantum loops generated by the static gravitational potential (g_{00}) renormalize the particle's intrinsic momentum scale. The bare fermic momentum, $p_f = m_0 c$, is dressed to become

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the position-dependent **contextual fermic momentum**:

$$p_{f,c}(\mathbf{r}) = p_f \sqrt{-g_{00}(\mathbf{r})}. \quad (1)$$

This dressed quantity, $p_{f,c}$, supplies the fundamental momentum scale for the low-energy effective theory.

B. Step 2: The Universal Gravito-Magnetic Potential

As derived from the M-First inertial current in Appendix B, the rotation of a source generates a universal **gravito-magnetic potential**. Its source is the contextual mass density, $\rho_c(\mathbf{r}') = \rho_m(\mathbf{r}')\sqrt{-g_{00}(\mathbf{r}')}$. The potential is given by:

$$\mathbf{A}_g(\mathbf{r}) = \frac{2G}{c^2} \int_V \frac{\rho_c(\mathbf{r}') (\boldsymbol{\Omega} \times \mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} d^3r', \quad (2)$$

which in the far-field ($r \gg R_{\text{source}}$) reduces to the dipole form proportional to the source's contextual angular momentum \mathbf{L}_c :

$$\mathbf{A}_g(\mathbf{r}) \simeq \frac{2G}{c^2} \frac{\mathbf{L}_c \times \mathbf{r}}{r^3}, \quad \mathbf{L}_c = \int_V \rho_c \mathbf{r}' \times (\boldsymbol{\Omega} \times \mathbf{r}') d^3r'. \quad (3)$$

The coupling to \mathbf{A}_g is universal, as it depends only on the source properties and fundamental constants, not on the test particle's parameters.

C. Step 3: The Mechanical and Canonical Momentum Operators

Before constructing the full operator, we must establish the precise relationship between the canonical momentum operator, $\hat{\mathbf{p}} = -i\hbar\nabla$, and the physical momentum measured by a local observer. As rigorously demonstrated in Appendix A, for a static spacetime described in isotropic coordinates, the eigenvalue of the canonical momentum operator is identical to the physical momentum eigenvalue measured by a local observer: $P_k = p_k$. This critical identity provides the justification for using $\hat{\mathbf{p}}$ to represent the particle's external (basic) momentum.

The interaction with the gravito-magnetic potential is then introduced via minimal coupling, promoting the canonical momentum operator $\hat{\mathbf{p}}$ to the **mechanical momentum operator** $\hat{\Pi}$:

$$\hat{\Pi} = \hat{\mathbf{p}} - \mathbf{A}_g(\hat{\mathbf{x}}). \quad (4)$$

This operator properly represents the particle's momentum in the presence of the rotational potential, with both $\hat{\mathbf{p}}$ and \mathbf{A}_g carrying units of momentum.

D. Step 4: The Unified Core Momentum Operator

The M-First principle dictates that the contextual fermic momentum and the mechanical momentum enter the Dirac equation on an equal footing. The resulting operator for the total gravitationally-influenced core momentum is:

$$\boxed{\hat{M}_g = \beta p_{f,c}(\hat{\mathbf{x}}) + \boldsymbol{\alpha} \cdot \hat{\Pi}}. \quad (5)$$

This equation defines the exact relativistic kinematics for a fermion in any stationary spacetime within the M-First framework.

E. Step 5: The Low- β Core-Momentum Expansion

To reveal the interplay between the static and rotational effects, we derive the low- β expansion of the core-momentum operator, which corresponds to the effective non-relativistic Hamiltonian, $\hat{H}_{\text{FW}} = c\hat{M}_{\text{FW}}$. Performing

a Foldy-Wouthuysen (FW) transformation on $\hat{H} = c\hat{M}_g$ [5] yields, to leading orders:

$$\begin{aligned} \hat{M}_{\text{FW}} = & p_{f,c} + \frac{\hat{\Pi}^2}{2p_{f,c}} - \frac{\hat{\Pi}^4}{8p_{f,c}^3} - \frac{\hbar^2}{8p_{f,c}^2} \nabla^2 p_{f,c} \\ & - \frac{\hbar}{4p_{f,c}^2} \boldsymbol{\sigma} \cdot (\nabla p_{f,c} \times \hat{\Pi}) + \mathcal{O}(p_{f,c}^{-5}). \end{aligned} \quad (6)$$

The expansion of the primary kinetic term is $\hat{\Pi}^2 = \hat{\mathbf{p}}^2 - (\hat{\mathbf{p}} \cdot \mathbf{A}_g + \mathbf{A}_g \cdot \hat{\mathbf{p}}) + \mathbf{A}_g^2$. This expansion makes the EFT hierarchy manifest: every term involving the rotational potential \mathbf{A}_g (via $\hat{\Pi}$) is explicitly modulated by an inverse power of $p_{f,c}$, demonstrating that the static potential directly governs the strength of the rotational interactions.

F. Step 6: Non-Relativistic Correspondence

The low- β expansion must recover the correct classical limit. The leading-order interaction Hamiltonian is derived from the kinetic term, $H_{\text{int}} = -c(\hat{\mathbf{p}} \cdot \mathbf{A}_g + \mathbf{A}_g \cdot \hat{\mathbf{p}})/(2p_{f,c})$. In the classical limit, this is identified as $H_{\text{int}} \approx -c(\mathbf{p} \cdot \mathbf{A}_g)/p_{f,c}$. Using the far-field potential from Eq. (3) and approximating $\mathbf{L}_c \approx \mathbf{L}$ and $p_{f,c} \approx p_f$, this interaction must match the known Lense-Thirring potential energy, which is $U_{LT} = -(2G/c^2 r^3)(\mathbf{L} \cdot (\mathbf{r} \times \mathbf{v}))$. Our interaction term correctly reproduces this form and magnitude, as $-c(\mathbf{p} \cdot \mathbf{A}_g)/p_f \approx -\mathbf{v} \cdot \mathbf{A}_g$, confirming the consistency of the framework.

III. APPLICATIONS IN STATIC AND ROTATIONAL LIMITS

The unified core momentum operator, \hat{M}_g , derived in Section II, provides a comprehensive description of fermion kinematics in stationary spacetimes. By examining its behavior in the static ($\mathbf{A}_g = 0$) and rotational ($\mathbf{A}_g \neq 0$) limits, we demonstrate how a common theoretical origin can resolve one persistent physical puzzle and provide rigorous constraints on another.

A. The Static Limit: Neutron Star Shallow Heating

In a static, non-rotating spacetime ($\boldsymbol{\Omega} = 0$), the gravito-magnetic potential vanishes, $\mathbf{A}_g = 0$. The mechanical momentum operator reduces to the canonical momentum, $\hat{\Pi} \rightarrow \hat{\mathbf{p}}$, and the core momentum operator from Eq. (5) simplifies to $\hat{M}_g = \beta p_{f,c}(\hat{\mathbf{x}}) + \boldsymbol{\alpha} \cdot \hat{\mathbf{p}}$. In this limit, the entire gravitational modification to the kinematics is carried by the contextual fermic momentum, $p_{f,c}(\vec{r}) = p_f \sqrt{-g_{00}(\vec{r})}$. This has a profound effect on quantum tunneling rates.

The Gamow exponent, G , which suppresses tunneling, is proportional to the square root of the intrinsic momentum scale that governs the process. For pycnonuclear fusion in the standard calculation, this scale is the nucleon's bare fermic momentum, p_f . The M-First framework asserts that in a gravitational field, the operative scale is the local contextual fermic momentum, $p_{f,c}$. The Gamow exponent therefore scales as $G \propto \sqrt{p_{f,c}}$. This leads to a direct scaling relation with the standard (non-gravitationally modified) exponent, G_{Std} :

$$\frac{G_{\text{M1}}}{G_{\text{Std}}} = \sqrt{\frac{p_{f,c}(\vec{r})}{p_f}} = (-g_{00}(\vec{r}))^{1/4}. \quad (7)$$

This parameter-free scaling provides a physical mechanism that significantly enhances tunneling probabilities in the dense, gravitationally intense environment of a neutron star crust, offering a first-principles resolution to the "shallow heating" puzzle [3, 6].

B. The Rotational Limit: Conservative Dynamics and Kinematic Constraints

In a rotating spacetime ($\boldsymbol{\Omega} \neq 0$), the full core momentum operator, \hat{M}_g from Eq. (5), governs the particle's dynamics. The most crucial consequence of the spacetime's stationarity is that the eigenvalue of this operator—the particle's total core momentum—is a conserved quantity throughout the interaction. This foundational conservation law imposes a powerful constraint on the outcome of any gravitational scattering event.

For a particle on an unbound trajectory, its state in the asymptotic regions (far from the gravitational source) is described by the flat-spacetime core momentum relation, $M_{\text{flat}} = \sqrt{p_f^2 + p_\infty^2}$, where p_∞ is the magnitude of the asymptotic external momentum. Since the core momentum eigenvalue is conserved along the entire trajectory, we must have $M_g(\text{in}) = M_g(\text{out})$. This directly implies that the asymptotic external momentum magnitude must also be conserved: $p_{\infty,\text{in}} = p_{\infty,\text{out}}$. The M-First framework therefore makes a sharp prediction: purely gravitational interactions, as described by this Hamiltonian, cannot produce a net change in a particle's asymptotic speed. This rules out a gravitational origin for the fly-by anomaly and clarifies that its source must lie in non-gravitational physics.

While the rotational terms do not alter the asymptotic speed, they are physically significant. The interaction with the gravito-magnetic potential \mathbf{A}_g is responsible for the well-established Lense-Thirring precession of orbits, and as shown in the following section, it also gives rise to novel spin-gravity couplings.

IV. A NOVEL PHYSICAL PREDICTION: GRAVITATIONAL SPIN DYNAMICS

Beyond resolving known puzzles, the M-First framework predicts novel, testable phenomena. The spin-orbit interaction term derived in the low- β expansion of the core momentum operator (Eq. (6)),

$$\hat{H}_{\text{SO}} = -\frac{\hbar c}{4p_{f,c}^2} \mathbf{S} \cdot (\nabla p_{f,c} \times \hat{\Pi}), \quad (8)$$

is the source of new spin-gravity couplings. As detailed in Appendix D, this single term predicts that a fermion's spin is not a conserved quantity in a gravitational field, but precesses in a manner dependent on both the static potential gradient and the particle's trajectory.

Expanding the mechanical momentum operator, $\hat{\Pi} = \hat{\mathbf{p}} - \mathbf{A}_g$, reveals that this interaction unifies two distinct physical effects. The first part, proportional to $\mathbf{S} \cdot (\nabla p_{f,c} \times \hat{\mathbf{p}})$, constitutes a **gravitational spin-Hall effect**, coupling the spin to the gravitational gradient and its own momentum. The second part, proportional to $\mathbf{S} \cdot (\nabla p_{f,c} \times (-\mathbf{A}_g))$, describes a novel form of **frame-dragging precession**, where the spin's evolution is tied to the interplay between the static and rotational potentials.

An order-of-magnitude estimate for the precession rate of an electron in a low-Earth orbit, derived in Appendix D, yields a frequency of $\sim 10^{-22}$ rad/s. While this effect is extremely small and well beyond current experimental sensitivity, it stands as a firm, falsifiable prediction of the theory's underlying quantum kinematic structure. Its detection would provide direct evidence of the coupling between spin and the geometry of momentum space proposed by the M-First framework.

V. CONCLUSION

In this paper, we have constructed a unified quantum Hamiltonian for Dirac fermions in stationary spacetimes, derived directly from the principles of the Momentum-First framework. By requiring consistency with the spacetime's symmetries and the correspondence principle, the structure of the core momentum operator \hat{M}_g is uniquely determined, containing no free parameters. This work demonstrates that gravity's influence may be more fundamental than a mere modification of derivatives; it can alter the algebraic rules of quantum kinematics, a paradigm shift from a "metric in the derivative" (QFTCS) to a "metric in the operators."

The power of this unified approach is revealed in its distinct consequences in different physical regimes. In the static limit, the framework provides a parameter-free resolution to the neutron star shallow heating puzzle, attributing it to enhanced quantum tunneling via a gravitationally modified kinematic scale ($p_{f,c}$). In the rotational limit, the framework's foundational conservation laws rigorously constrain dynamic interactions, demonstrating that purely gravitational effects must conserve a particle's asymptotic speed and thus cannot be the origin of the fly-by anomaly.

Furthermore, the same rotational dynamics that are constrained by conservation laws also give rise to new, falsifiable predictions, most notably a subtle spin-orbit coupling that leads to novel forms of spin precession in a gravitational field. The ability of a single, coherent theoretical structure to solve a persistent anomaly, provide clear constraints on others, and predict new phenomena marks the Momentum-First framework as a robust and compelling paradigm for exploring quantum-gravity interactions.

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DATA AVAILABILITY

No new datasets were generated or analyzed in this theoretical study.

Appendix A: The General Relativistic Core Momentum and the Static-Field Kinematic Identity

This appendix provides the formal general relativistic underpinning for the M-First core momentum operator used in the main text. We first derive the general expression for the gravitationally influenced core momentum, M_g , in an arbitrary spacetime. We then specialize to the case of a static field to establish a crucial identity between a particle's canonical momentum and its locally measured physical momentum.

1. The General Core Momentum Operator from the Mass-Shell Condition

The M-First framework identifies the general relativistic Hamiltonian divided by c with the gravitationally influenced core momentum, M_g . Here, we derive this quantity from first principles. We adopt a metric signature of $(-, +, +, +)$ and keep all constants explicit. The particle's covariant four-momentum is denoted P_α , where $P_0 = -M_g$ is the component conjugate to the time coordinate $x^0 = ct$, and P_k (for $k = 1, 2, 3$) are the canonical spatial momenta conjugate to the spatial coordinates x^k . The particle possesses an intrinsic fermic momentum $p_f = m_0 c$.

The dynamics are governed by the fundamental mass-shell condition in General Relativity:

$$g^{\alpha\beta} P_\alpha P_\beta = -p_f^2. \quad (\text{A1})$$

Expanding this relation and substituting $P_0 = -M_g$, we obtain a quadratic equation for the core momentum M_g :

$$(g^{00})M_g^2 - (2g^{0i}P_i)M_g + (g^{ij}P_iP_j + p_f^2) = 0. \quad (\text{A2})$$

Solving for M_g using the quadratic formula requires a careful choice of sign. To ensure a positive solution ($M_g > 0$) for a particle propagating forward in time in a physical spacetime (where $g^{00} < 0$), we select the positive root for the discriminant and divide by the negative denominator $-g^{00}$, yielding the standard positive-energy solution:

$$M_g = \frac{-g^{0i}P_i + \sqrt{(g^{0i}P_i)^2 - g^{00}(g^{ij}P_iP_j + p_f^2)}}{-g^{00}}. \quad (\text{A3})$$

This general expression for M_g is valid provided the discriminant is non-negative, which corresponds to the particle being on a timelike or null world line.

2. The Static Limit and the Physical Momentum Identity

To build the quantum operator in the main text, we must understand the relationship between the canonical momentum and the locally measured physical momentum. This is most clearly established in the static limit. The proof relies on a standard coordinate system for static spacetimes where spatial slices are flat, described by the metric:

$$ds^2 = g_{00}(\mathbf{x})c^2dt^2 + \delta_{ij}dx^i dx^j, \quad (\text{A4})$$

where $g_{00}(\mathbf{x}) < 0$. In this coordinate system, the general expression for the core momentum (Eq. (A3)) simplifies significantly. With $g^{0i} = 0$ and $g^{ij} = \delta^{ij}$, we find:

$$M_g = \frac{\sqrt{-g^{00}(\delta^{ij}P_iP_j + p_f^2)}}{-g^{00}} = \sqrt{-g_{00}} \sqrt{p_f^2 + \delta^{ij}P_iP_j} = \sqrt{-g_{00}} M_{\text{flat}}. \quad (\text{A5})$$

From Hamilton's equations, the coordinate velocity $v^k = dx^k/dt$ is given by:

$$\frac{v^k}{c} = \frac{\partial M_g}{\partial P_k} = \sqrt{-g_{00}(\mathbf{x})} \frac{\delta^{kl} P_l}{M_{\text{flat}}} = \sqrt{-g_{00}(\mathbf{x})} \frac{P^k}{M_{\text{flat}}}. \quad (\text{A6})$$

A local static observer measures time with their proper clock, $d\tau = \sqrt{-g_{00}(\mathbf{x})}dt$. The physical velocity they measure is therefore:

$$v_{\text{phys}}^k = \frac{dx^k}{d\tau} = \frac{dx^k}{\sqrt{-g_{00}(\mathbf{x})}dt} = \frac{v^k}{\sqrt{-g_{00}(\mathbf{x})}} = c \frac{P^k}{M_{\text{flat}}}. \quad (\text{A7})$$

The local Lorentz factor measured by this observer is $\gamma_{\text{loc}} = 1/\sqrt{1 - (v_{\text{phys}}/c)^2}$. Substituting from Eq. (A7):

$$\gamma_{\text{loc}} = \frac{1}{\sqrt{1 - (\delta_{kl} P^k P^l)/M_{\text{flat}}^2}} = \frac{M_{\text{flat}}}{\sqrt{M_{\text{flat}}^2 - \delta_{kl} P^k P^l}} = \frac{M_{\text{flat}}}{p_f}. \quad (\text{A8})$$

The locally measured physical momentum eigenvalue, p_k , is defined using the particle's invariant fermic momentum scale p_f : $p_k = (p_f/c)\gamma_{\text{loc}}v_{\text{phys},k}$. Substituting our expressions for γ_{loc} and $v_{\text{phys},k}$, we find:

$$p_k = p_f \left(\frac{M_{\text{flat}}}{p_f} \right) \left(\frac{c P_k / M_{\text{flat}}}{c} \right) = P_k. \quad (\text{A9})$$

This proves the remarkable identity that, for this choice of coordinates, the eigenvalue of the locally measured physical momentum is identical to the canonical momentum eigenvalue. This identity is fundamental, as it provides the rigorous justification for using the canonical momentum operator $\hat{P}_k = -i\hbar\partial_k$ to represent the external momentum in the main body of this work.

Appendix B: The Gravito-Magnetic Vector Potential from a Momentum Current

This appendix derives the gravito-magnetic potential \mathbf{A}_g from first principles within the M-First framework. While the mathematical procedure parallels the standard derivation in linearized General Relativity, the conceptual foundation is distinct. We demonstrate that \mathbf{A}_g arises from a conserved momentum current whose definition is dictated by the framework's own internal logic. This approach not only reproduces established classical results in the appropriate limit but also reveals a subtle, novel correction.

1. The Source: The Contextual Momentum Current

In the M-First paradigm, the source of the rotational potential is the current of total inertia. This **inertial momentum current**, \mathbf{J}_I , arises from the flow of the Core Momentum of all source constituents. For a cold, rigid body, where random thermal motions (boscic momenta) are negligible, this current is well-approximated by the flow of the contextual fermic momentum. The effective density sourcing the interaction is therefore the **contextual fermic momentum density**, $\rho_{p,c}(\mathbf{r}')$:

$$\rho_{p,c}(\mathbf{r}') = n(\mathbf{r}')p_{f,c}(\mathbf{r}') = n(\mathbf{r}')p_f\sqrt{-g_{00}(\mathbf{r}')}. \quad (\text{B1})$$

For a source body rotating with angular velocity $\boldsymbol{\Omega}$, the resulting **contextual momentum current**, \mathbf{J}_p , is:

$$\mathbf{J}_p(\mathbf{r}') = \rho_{p,c}(\mathbf{r}')(\boldsymbol{\Omega} \times \mathbf{r}'). \quad (\text{B2})$$

2. The Field Equation and Coupling Constant

The gravito-magnetic vector potential \mathbf{A}_g is sourced by this momentum current via a Poisson-type equation. To fix the coupling constant, we enforce correspondence with linearized gravity. The standard gravito-electromagnetic field equation for the momentum potential (a potential with units of momentum) sourced by the momentum current density ($\mathbf{J}_p \approx c\mathbf{J}_m$) must be:

$$\nabla^2 \mathbf{A}_g = -\frac{8\pi G}{c^3} \mathbf{J}_p. \quad (\text{B3})$$

This ensures that the classical interaction energy is reproduced correctly at leading order, while rooting the source term in M-First principles.

3. Integral Solution and The M-First Correction

The solution to Eq. (B3) using the Green's function for the Laplacian is:

$$\mathbf{A}_g(\mathbf{r}) = \frac{2G}{c^3} \int_V \frac{\mathbf{J}_p(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} d^3r'. \quad (\text{B4})$$

Substituting the definition of the contextual momentum current from Eq. (B2) yields the full M-First potential:

$$\mathbf{A}_g(\mathbf{r}) = \frac{2G}{c^2} \int_V \frac{\rho_m(\mathbf{r}') \sqrt{-g_{00}(\mathbf{r}') (\boldsymbol{\Omega} \times \mathbf{r}')}}{|\mathbf{r} - \mathbf{r}'|} d^3r', \quad (\text{B5})$$

where we have used $p_{f,c}/c \approx \rho_m c \sqrt{-g_{00}}$ in the non-relativistic limit. This result contains the standard Lense-Thirring potential but corrected by the local $\sqrt{-g_{00}(\mathbf{r})}$ factor inside the integral. In the weak-field limit, where $-g_{00} \approx 1 - 2GM/rc^2$, this predicts a correction to the frame-dragging effect of order $(v/c)^2$, providing a novel, falsifiable prediction. In the far-field ($r \gg R_{\text{source}}$), this approximates to:

$$\mathbf{A}_g(\mathbf{r}) \simeq \frac{2G}{c^2} \frac{\mathbf{L}_c \times \mathbf{r}}{r^3}, \quad (\text{B6})$$

where \mathbf{L}_c is the *contextual angular momentum*, corrected by the internal metric dependence. At leading order, this reproduces the standard result.

Appendix C: Gravitational Enhancement of Quantum Tunneling

This appendix provides a rigorous derivation for the scaling of the Gamow exponent under gravity within the M-First framework. The enhancement arises not from a change in a particle's mass, but from a gravitationally induced modification of the fundamental momentum scale that governs the tunneling process itself.

1. The Gamow Exponent as an Integral of Imaginary Momentum

The probability of quantum tunneling through a potential barrier $V(x)$ is, to leading order in the WKB approximation, given by $T \approx e^{-G}$, where G is the Gamow exponent. Fundamentally, the exponent is the integral of the magnitude of the particle's imaginary momentum across the classically forbidden region (x_1 to x_2):

$$G = \frac{2}{\hbar} \int_{x_1}^{x_2} |p_{\text{imag}}(x)| dx. \quad (\text{C1})$$

In the standard non-relativistic formulation, the imaginary momentum is $|p_{\text{imag}}| = \sqrt{2m(V(x) - E)}$. The M-First framework asserts that the inertial scale 'm' in this expression is a stand-in for the particle's intrinsic momentum scale, the fermic momentum p_f (where $m = p_f/c$ in this limit). Thus, the standard imaginary momentum is determined by p_f .

2. The M-First Operative Tunneling Scale

The core tenet of M-First gravity is that the local gravitational potential modifies a particle's fundamental kinematic scale. The bare fermic momentum, p_f , is renormalized to become the position-dependent **contextual fermic momentum**:

$$p_{f,c}(\vec{r}) = p_f \sqrt{-g_{00}(\vec{r})}. \quad (\text{C2})$$

This contextual fermic momentum, $p_{f,c}$, is the **operative tunneling scale** that dictates the magnitude of the imaginary momentum within the barrier in the presence of gravity. The M-First imaginary momentum is therefore:

$$|p_{\text{imag, M1}}| = \sqrt{2(p_{f,c}/c)(V(x) - E)}. \quad (\text{C3})$$

This defines the integrand for the M-First Gamow exponent, G_{M1} .

3. Deriving the Scaling Relation

To find the modified Gamow exponent G_{M1} , we compare it to the standard exponent G_{Std} , which is governed by the bare fermic momentum p_f . The ratio of the two exponents is:

$$\frac{G_{\text{M1}}}{G_{\text{Std}}} = \frac{\frac{2}{\hbar} \int \sqrt{2(p_{f,c}/c)(V-E)} dx}{\frac{2}{\hbar} \int \sqrt{2(p_f/c)(V-E)} dx}. \quad (\text{C4})$$

The gravitational potential, characterized by $\sqrt{-g_{00}}$, varies on a scale much larger than the nuclear tunneling distance. We can therefore treat $p_{f,c}$ as constant over the integral and factor it out:

$$\frac{G_{\text{M1}}}{G_{\text{Std}}} = \frac{\sqrt{p_{f,c}} \int \sqrt{2(V-E)/c} dx}{\sqrt{p_f} \int \sqrt{2(V-E)/c} dx} = \sqrt{\frac{p_{f,c}}{p_f}}. \quad (\text{C5})$$

Substituting the definition of $p_{f,c}$ from Eq. (C2):

$$\frac{G_{\text{M1}}}{G_{\text{Std}}} = \sqrt{\frac{p_f \sqrt{-g_{00}}}{p_f}} = (\sqrt{-g_{00}})^{1/2} = (-g_{00}(\vec{r}))^{1/4}. \quad (\text{C6})$$

Since $-g_{00} < 1$ for an attractive source, the exponent is reduced ($G_{\text{M1}} < G_{\text{Std}}$), leading to a potentially dramatic enhancement of the tunneling probability $T \propto \exp(-G)$. This result is derived directly from the gravitationally modified momentum scale, providing a more fundamental explanation within the M-First paradigm.

Appendix D: Spin Dynamics in the Foldy–Wouthuysen Representation

This appendix derives the equation of motion for a fermion's spin from the M-First framework. The dynamics are governed by the effective non-relativistic Hamiltonian, \hat{H}_{FW} , from Eq. (6). The dominant spin-dependent term is a spin-orbit interaction arising from the particle's motion through the gradient of the static potential.

1. The Spin-Orbit Interaction

The leading-order term in \hat{H}_{FW} that couples to the spin operator $\mathbf{S} = (\hbar/2)\boldsymbol{\sigma}$ is:

$$\hat{H}_{\text{SO}} = -\frac{\hbar c}{4p_{f,c}^2} \boldsymbol{\sigma} \cdot (\nabla p_{f,c} \times \hat{\boldsymbol{\Pi}}). \quad (\text{D1})$$

Using $\mathbf{S} = (\hbar/2)\boldsymbol{\sigma}$, this becomes:

$$\hat{H}_{\text{SO}} = -\frac{c}{2p_{f,c}^2} \mathbf{S} \cdot (\nabla p_{f,c} \times \hat{\boldsymbol{\Pi}}). \quad (\text{D2})$$

This term couples the spin \mathbf{S} to the mechanical momentum $\hat{\boldsymbol{\Pi}}$ and the spatial gradient of the contextual fermic momentum. This gradient has a direct physical interpretation in the M-First framework as the **Inertial Gradient**, $\vec{G}_I \equiv -c \nabla p_{f,c}$, which is the origin of the gravitational force in a static field. The interaction can thus be written compactly as:

$$\hat{H}_{\text{SO}} = \frac{1}{2p_{f,c}^2} \mathbf{S} \cdot (\vec{G}_I \times \hat{\boldsymbol{\Pi}}). \quad (\text{D3})$$

2. Spin Precession Law

The time evolution of the spin operator in the Heisenberg picture, $d\mathbf{S}/dt = (i/\hbar)[\hat{H}_{\text{FW}}, \mathbf{S}]$, is driven entirely by \hat{H}_{SO} . Using the identity $[\mathbf{S} \cdot \mathbf{A}, \mathbf{S}] = i\hbar(\mathbf{A} \times \mathbf{S})$, the equation of motion becomes a precession equation:

$$\frac{d\mathbf{S}}{dt} = \mathbf{S} \times \boldsymbol{\Omega}_S, \quad (\text{D4})$$

where the precession vector $\boldsymbol{\Omega}_S$ is identified as:

$$\boldsymbol{\Omega}_S = -\frac{c}{2p_{f,c}^2} \left(\nabla p_{f,c} \times \hat{\boldsymbol{\Pi}} \right) = \frac{1}{2p_{f,c}^2} \left(\vec{G}_I \times \hat{\boldsymbol{\Pi}} \right). \quad (\text{D5})$$

This predicts that the spin precesses around an axis orthogonal to the plane defined by the local Inertial Gradient and the particle's mechanical momentum.

3. Numerical Illustration

We estimate the magnitude of this effect for an electron in a low-Earth orbit. We use weak-field ($GM/rc^2 \ll 1$) and non-relativistic ($v \ll c$) approximations:

- Contextual fermic momentum: $p_{f,c} \approx p_f = m_0 c$.
- Gradient: $|\nabla p_{f,c}| \approx |\nabla(p_f(1 - GM/rc^2))| = p_f GM/(r^2 c^2)$.
- Mechanical momentum: $|\hat{\boldsymbol{\Pi}}| \approx |\mathbf{p}| \approx m_0 v = (p_f/c)v$.

Substituting these into the expression for the precession frequency magnitude:

$$\begin{aligned} |\boldsymbol{\Omega}_S| &\approx \frac{c}{2p_f^2} |\nabla p_{f,c}| |\hat{\boldsymbol{\Pi}}| \\ &\approx \frac{c}{2(m_0 c)^2} \left(\frac{m_0 c GM}{r^2 c^2} \right) (m_0 v) \\ &= \frac{GMv}{2r^2 c^3}. \end{aligned} \quad (\text{D6})$$

For an orbit at an altitude of 400 km ($r \approx 6.78 \times 10^6$ m) with speed $v \approx 7.7 \times 10^3$ m/s, the precession rate is:

$$|\boldsymbol{\Omega}_S| \approx \frac{(6.67 \times 10^{-11})(5.97 \times 10^{24})(7.7 \times 10^3)}{2(6.78 \times 10^6)^2(3 \times 10^8)^3} \approx 1.2 \times 10^{-22} \text{ rad/s}. \quad (\text{D7})$$

This extremely small value, while beyond current sensitivity, represents a firm, falsifiable prediction of the M-First quantum kinematic structure.

Appendix E: Relativistic symmetries and the localised Casimir invariant

1. Local Lorentz generators

Define the total angular-momentum tensor $J^{\mu\nu} = x^\mu \hat{p}^\nu - x^\nu \hat{p}^\mu + \Sigma^{\mu\nu}$, where $\Sigma^{\mu\nu} = \frac{i}{4}[\gamma^\mu, \gamma^\nu]$ acts in spinor space. The modified momentum four-vector is $\hat{p}^\mu = (\hat{H}/c, \hat{\boldsymbol{\Pi}})$ with $\hat{\boldsymbol{\Pi}} = \hat{\mathbf{p}} - \mathbf{A}_g(\hat{\mathbf{x}})$ as in Eq. (4). A direct computation shows

$$[J^{\mu\nu}, \hat{H}] = 0, \quad [J^{\mu\nu}, \hat{p}^\lambda] = i\hbar(\eta^{\nu\lambda} \hat{p}^\mu - \eta^{\mu\lambda} \hat{p}^\nu), \quad (\text{E1})$$

confirming that the local Lorentz algebra is preserved.

2. Pauli–Lubanski vector and Casimir

The Pauli–Lubanski vector is introduced in the usual manner, $W^\mu = \frac{1}{2} \varepsilon^{\mu\nu\rho\sigma} J_{\nu\rho} \hat{p}_\sigma$. Its square $C_2 = W_\mu W^\mu$ commutes with every generator in Eq. (E1) and thus serves as the second Casimir invariant of the localised Poincaré group.¹ Evaluation in the particle's rest frame yields

$$C_2 = -p_{f,c}^2 \mathbf{S}^2, \quad (\text{E2})$$

where $\mathbf{S} = \frac{\hbar}{2} \boldsymbol{\sigma}$. Equation (E2) confirms that the intrinsic spin magnitude is preserved even in the presence of the gravito-magnetic interaction.

¹ The first Casimir is $C_1 = \hat{p}_\mu \hat{p}^\mu$.

3. Implications for localised states

Localised wave-packets constructed from positive-energy solutions inherit the invariance of C_2 . Consequently the covariant spin-sum rules used in scattering amplitudes remain valid, and no species-dependent correction appears in the helicity structure of tree-level processes. The universal nature of the coupling in $\hat{\mathbf{\Pi}}$ therefore preserves both algebraic consistency and phenomenological predictability.

Appendix F: Orbital Dynamics and Observational Consistency

A robust framework must remain consistent with high-precision experimental results. This appendix demonstrates that the full M-First Hamiltonian for stationary spacetimes is consistent with stringent constraints from satellite geodesy, such as the LAGEOS and Gravity Probe B experiments [7, 8].

1. The M-First Classical Force Law

The classical force on a particle is derived from the effective non-relativistic Hamiltonian (Eq. (6)) by taking the negative gradient of its expectation value, $\mathbf{F} = -\nabla \langle \hat{H}_{\text{FW}} \rangle$. Ignoring spin terms, which are negligible for macroscopic trajectories, the force is:

$$\mathbf{F} = -\nabla(c p_{f,c}) - \nabla \left(\frac{\langle \hat{\mathbf{\Pi}}^2 \rangle}{2 p_{f,c}} \right). \quad (\text{F1})$$

The first term, $-\nabla(c p_{f,c})$, correctly reproduces the Newtonian gravitational force in the weak-field limit, as $c \nabla p_{f,c} = c \nabla(m_0 c \sqrt{-g_{00}}) \approx (GM m_0 / r^2) \hat{\mathbf{r}}$. The novel dynamics arise from the gradient of the kinetic term. Applying the product rule, this term splits into two distinct physical effects:

$$\mathbf{F}_{\text{non-N}} = -\frac{1}{2 p_{f,c}} \nabla \langle \hat{\mathbf{\Pi}}^2 \rangle + \frac{\langle \hat{\mathbf{\Pi}}^2 \rangle}{2 p_{f,c}^2} \nabla p_{f,c}. \quad (\text{F2})$$

2. Competing Forces and Kinematic Suppression

The two terms in Eq. (F2) represent competing forces that govern precession:

1. **The Gravito-magnetic Force:** The first term, upon expansion of $\hat{\mathbf{\Pi}} = \hat{\mathbf{p}} - \mathbf{A}_g$, contains the standard Lorentz-like force $\mathbf{F}_{gm} \approx (c/p_{f,c})(\mathbf{p} \times (\nabla \times \mathbf{A}_g))$. This term, which drives Lense-Thirring precession, is modulated by the factor $1/p_{f,c}$. In the weak-field limit, this enhances the standard GR effect by a factor of $(1 + GM/rc^2)$.
2. **The Kinematic Suppression Force:** The second term is a direct consequence of the M-First framework, where the particle's contextual inertia is a local field. This force is proportional to the particle's kinetic energy and the gradient of the static potential ($\nabla p_{f,c} \propto \mathbf{g}$). It acts as a restoring force that opposes the precession.

For a particle in a quasi-stable, nearly circular orbit, the virial theorem relates its kinetic energy to the potential energy. In this regime, the enhancement of the gravito-magnetic force is almost perfectly cancelled by the kinematic suppression force. The leading-order M-First prediction for Lense-Thirring precession is therefore identical to that of standard General Relativity, as the competing effects neutralize each other. Any deviation arises only at the second order, i.e., $(GM/rc^2)^2 \approx (v/c)^4$, which for a LEO satellite is of order 10^{-19} and thus far beyond measurement.

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