# Exploring boundaries of privacy in e2e verifiable e-voting systems.

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# **Strict privacy and Privacy**

In this modelling, the election system involves five types of entities, the voters  $V_1, \ldots, V_n$ , possibly equipped with the voting supporting device (VSD) and the auditing supporting device (ASD), the election authority (EA), the vote collector (VC), the trustee (T), and the bulletin board (BB) whose only role is to provide storage for the election transcript for the purpose of verification.

- (1) BB is completely passive and is only writeable by the VC and readable by anyone.
- (2) Voters submit their votes by engaging in the ballot casting protocol to the VC and they are not allowed to interact with each other.
- (3) VC only role is to collect votes and write them to BB
- (4) T is responsible for computing the tally and announcing the election result.
- (5) EA prepares all the election setup information and distributes the voters' ballots.

Protocols An e-voting system  $\Pi$  is a quintuple of algorithms and protocols (**Setup**, **Cast**, **Tally**, **Result**, **Verify**) specified as follows:

(1) The algorithm **Setup** is executed by the EA and T separately. During the setup phase EA generates  $\Pi$ 's public parameters Pub (which include P, V, U) and the voters' secrets  $s_1, \ldots, s_n$ . The part of the algorithm during which EA distributes secrets among voters is defined as **Registration**. At the same time, T generates pre-election BB data and posts it on BB.

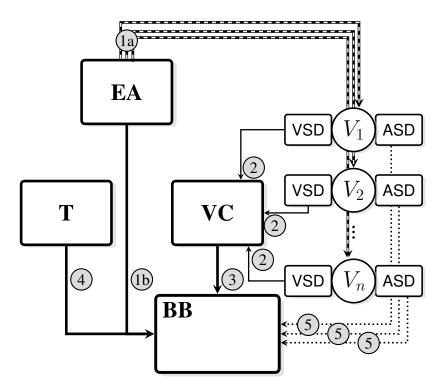


FIGURE 1: The interaction among the entities in an e-voting execution. The dotted lines denote read-only access to the BB. The dashed arrows denote channels for voters' private inputs distribution. Annotation: (1a): distribution of voter's private inputs; (1b): pre-election BB data; (2): vote casting; (3): writing votes to BB; (4) post-election BB data; (5): auditing.

- (2) The interactive protocol **Cast** is executed between three parties, the voter  $V_l$ , the BB and the VC. During this interaction, the voter uses VSD, his secret  $s_l$  and an option  $U_l$  to generate the ballot  $b_l$  and sends this ballot to VC. Upon successful termination, VC posts ballot  $b_l$  to BB and the voter  $V_l$  receives a receipt  $\alpha_l$ .
- (3) The algorithm **Result** is executed by T and outputs the result  $\tau$  for the election or returns  $\bot$  in case such result is undefined.
- (4) The algorithm **Verify** outputs a value in  $\{0, 1\}$ , where  $\alpha$  is a voter receipt (that corresponds to the voter's output from the **Cast** protocol).

In some e-voting systems **Registration** part is omitted and voters are expected to receive their credentials via secure channel such as post mail, pulling place etc.

#### **Correctness**

A system  $\Pi$  has (perfect) correctness, if for any honest execution of any subset of not abstained voters that results in a public transcript  $\tau$ , where the voters  $V_1,...,V_n$  cast votes for options  $U_1,...,U_n$ , it holds that  $Result(\tau)=f(U_1,...,U_n)$ , where  $f(U_1,...,U_n)$  is the m-vector whose i-th location is equal to the number of times a candidate  $P_i \in \{P_1,...,P_m\}$  was chosen in the candidate selections  $U_1,...,U_n$ .

# Privacy with respect to entities

#### EA only:

If EA is trusted and all other entities (T, VSD, VC) are corrupted (BB is completely passive and simply posts all information received from VC) it is impossible to preserve voter's privacy.

#### Proof:

Suppose there is some e-voting system  $\Pi$  which doesn't allow any adversary to learn anything about individual voters' intents in case when only EA is trusted.  $\mathcal{A}$  strategy is to calculate tally after every vote submission and compare it with the previous tally to learn the voter's intent. Due to a correctness,  $\Pi$  should be able to produce meaningful election tally for any turnout, including just one voter.

Since an adversary  $\mathcal{A}$  controls a trustee T, he should be able to compute results after very first cast procedure is done. This means that either  $\mathcal{A}$  may learn voters' choices one by one or  $\Pi$  fails to produce results for any subset of abstained voters and therefore is not correct.

#### EA + VC

It's not enough for privacy, an adversary can perform exactly the same attack as for 'EA only case'

#### EA + T

Private. Example: Demos

#### EA + VSD

In such case  $\Pi$  can be private, since it's enough to have a trusted VSD for preserving voters' privacy.

#### VSD only:

VSD is trusted, all other entities (T, EA, VC) are controlled by an adversary. BB is completely passive and simply posts all information received from VC.

Consider the following e-voting system  $\Pi$ :

- (1) EA generates a large random number R and commitments bases g, h. Also EA picks a random id  $x_i$  for every candidate  $cand_i$ .  $R, g, h, \{cand_i, x_i\}$   $\Pi$ 's public information.
- (2) each voter submits vis VSD a perfectly hiding commitment  $g^{x_i}h^{r_l}$ , where  $r_l$  is a random number less than R picked by VSD.
- (3) after the last voter cast his vote, VSD submits a commitment  $g^{x_{abs}}h^{r_{vsd}}$ , where  $x_{abs}$  corresponds to the abstain option and  $r_{vsd}=R-\sum_{l=0}^{l=n}r_l$ , n-number of voters.
- (4) T computes the product of all hiding commitments and opens the result.

In order to break voters' privacy, an adversary has to break perfectly hiding commitments.

#### VC only:

Impossible. An adversary can perform exactly the same attack as for 'EA only case.

#### VC + T

Due to the assumption that voters are not able to collaborate for protecting their privacy and all complex math operation performed by VSD or EA, it's impossible to have privacy in this case. A voter provides VSD with an input which is either in a plain text or calculated by EA line and therefore immediately gives away his choice.

#### VC + VSD

In such case  $\Pi$  can be private, since it's enough to have a trusted VSD for preserving voters' privacy.

#### VC + EA

Impossible. See the EA + VC case for details.

#### T only:

Impossible. Same reasoning as VC + T case.

#### T + VC

seems meaningless

#### T + VSD

In such case  $\Pi$  can be private, since it's enough to have a trusted VSD for preserving voters' privacy.

#### T + EA

Private. See the EA + T case for details.

## **Strict privacy**

EA and T are honest:  $G^{\mathcal{A},Sim}_{strict,\mathrm{EA},T}(1^{\lambda})$ 

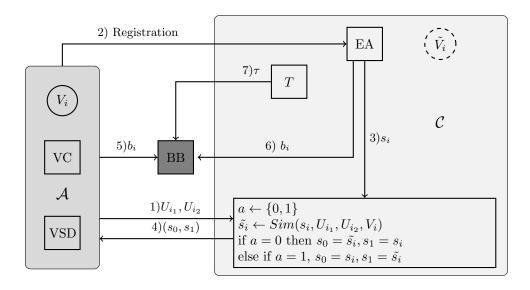


FIGURE 2:  $G_{strict, \text{EA}, T}^{\mathcal{A}, Sim}(1^{\lambda})$ 

In the game defined above, an adversary  $\mathcal{A}$  interacts with the challenger  $\mathcal{C}$  on behalf of all voters, VC and VSD.  $\mathcal{C}$  plays the role of EA and T. BB is completely passive and represents a publicly viewed database.

After an adversary  $\mathcal{A}$  defined an election parameters,  $\mathcal{C}$  doubles the voters, computes setup data and starts the election. This duplication of voters is needed because  $\mathcal{C}$  would post another ballot for every adversarial's cast ballot. If  $\mathcal{A}$  abstains,  $\mathcal{C}$  abstains as well. During the game, we will refer to duplicated voters as fake ones.

 $\mathcal{A}$  1) picks and sends two options  $U_{i_1}, U_{i_2}$  to the challenger  $\mathcal{C}$ . One of the options  $U_{i_1}, U_{i_2}$  is his intent, the other – the option that the challenger would use in order to produce an indistinguishable from the intent's ballot and receipt view\*. After sending options, 2)  $\mathcal{A}$  runs the **Registration** as if he is  $V_i$ .  $\mathcal{C}$  creates a fake credentials  $\tilde{s}_i$  and 3) generates real credentials  $s_i$  for him. 4)  $\mathcal{C}$  responds  $\mathcal{A}$  with a pair of credentials  $s_0, s_1$ , where one of the credentials are real and the other were

generated using the simulator Sim in a such way, that no matter what credential  $s_0$  or  $s_1$   $\mathcal{A}$  uses to cast a vote for  $U_{i_1}$ , the produced ballot would also correspond to the result of the **Cast** protocol for an option  $U_{i_2}$  with the other credentials and vice versa. If 5)  $\mathcal{A}$  choses to post the ballot  $b_i$  to BB, 6)  $\mathcal{C}$  posts exactly the same ballot to BB on behalf of the fake voter. When  $\mathcal{A}$  stops the election, 7)  $\mathcal{C}$  posts the tally  $\tau$  \*\*.

#### Remarks:

\*If  $\mathcal{C}$  succeeds,  $\mathcal{A}$  wouldn't be able to say whether his ballot and receipt corresponds to  $U_{i_1}$  or  $U_{i_2}$  and BB would contain both ballots (one for the option  $U_{i_1}$ , the other for the option  $U_{i_2}$ ) so the tally wouldn't reveal any information. Example: if  $\mathcal{A}$  picks real credential for the voter  $V_i$  then he casts a vote for the option  $U_{i_1}$ , at the same time exactly the same ballot posted  $\mathcal{C}$  on behalf of the fake voter  $\tilde{V}_i$  would correspond to the fake credentials and the option  $U_{i_2}$ . In this case  $\mathcal{A}$  voted for  $U_{i_1}$ . Else if  $\mathcal{A}$  picks fake credentials and tries to vote for the option  $U_{i_1}$ , his ballot corresponds to the real credentials and the option  $U_{i_1}$ . At the same time, exactly the same ballot posted  $\mathcal{C}$  on behalf of the fake voter  $\tilde{V}_i$  would correspond to the fake credentials and the option  $U_{i_1}$ . So  $\mathcal{A}$  voted for  $U_{i_1}$ . If this definition holds,  $\mathcal{A}$  has no idea whom he voted for.

\*\* The tally  $\tau$  is posted only if all ballots are correctly formed: were produced with one of the provided credentials, for the candidate  $U_{i_1}$ , for every cast ballot there is a duplicate, etc.

 $G_{strict \text{ EA } T}^{A,Sim}(1^{\lambda})$  defined as follows:

- (1) During the game C plays the role of EA and T. A operates on behalf of the all voters, VSD and VC.
- (2)  $\mathcal{A}$  defines a set of voters  $\mathcal{V} = \{V_1, ..., V_n\}$ , a list of candidates  $\mathcal{P} = \{P_1, ..., P_m\}$ , a set of allowed candidates' selections  $\mathcal{U}$ . It provides  $\mathcal{C}$  with  $\mathcal{V}, \mathcal{P}, \mathcal{U}$ .
- (3)  $\mathcal{C}$  doubles the set  $\mathcal{V}$  adding fake voters  $\{V_1',...,V_n'\}$  and starts the election on behalf of EA. Also,  $\mathcal{C}$  flips a coin  $a \leftarrow \{0,1\}$  to define an order according to which real and simulated credentials would be returned to  $\mathcal{A}$ .
- (4) The adversary  $\mathcal{A}$  picks two option  $U_{i_1}, U_{i_2} \in \mathcal{U}$ , where  $U_{i_1}$  is an option for the real credentials and  $U_{i_2}$  is an option for the fake ones. After that,  $\mathcal{A}$

and C engage in an interaction where A runs the **Registration** protocols on behalf of all voters. For each voter  $V_i \in V$ :

 $-\mathcal{C}$  picks a fake voter  $\tilde{V}_i$  and generates credentials  $\tilde{s}_i$  for him using  $Sim^*$ .  $\mathcal{C}$  responds  $\mathcal{A}$  with a pair of simulated and real credentials  $(s_0, s_1)$  in order defined by the coin a:

$$\begin{cases} \text{if } a = 0, \ (s_0, s_1) = (\tilde{s_i}, s_i) \\ \text{else } (s_0, s_1) = (s_i, \tilde{s_i}) \end{cases}$$

- Using one of the credentials  $\mathcal{A}$  schedules the **Cast** protocol executions and sends the produced ballot to  $\mathcal{C}$ .
- If  $\mathcal{A}$  posts a ballot to BB,  $\mathcal{C}$  posts exactly the same ballot in the entry that corresponds to the fake voter  $\tilde{V}_i$
- (5) C executes the Tally protocol.
- (6) Finally, A using all information collected above (including the contents of the BB) outputs a bit  $a^*$
- (7) The game returns a bit which is 1 if  $a = a^*$  and 0 otherwise.

#### \*Remark:

Sim works in a way that the fake credentials satisfy both of the following rules:

- (1) The real credentials and  $U_{i_1}$  option should give ballot and receipt, which are identical\* to ballot and receipt produced for the fake credentials and  $U_{i_2}$  option.
- (2) The fake credentials and  $U_{i_1}$  option should give ballot and receipt, which are identical to ballot and receipt produced for the real credentials and  $U_{i_2}$  option.

#### \* indistinguishable ?

#### Strict privacy: EA and T are honest:

The e-voting system  $\Pi$  achieves strict voter privacy in case of trusted EA and T, if there is a PPT simulator Sim such that for any PPT adversary A:

$$|\Pr[G_{strict, \text{EA}, T}^{A, Sim}(1^{\lambda}) = 1] - \frac{1}{2}| = negl(\lambda)$$

VSD is honest:  $G_{strict, \text{VSD}}^{\mathcal{A}, Sim}(1^{\lambda})$ 

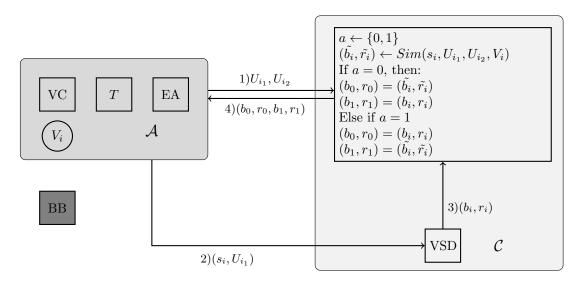


FIGURE 3:  $G_{strict, VSD}^{A,Sim}(1^{\lambda})$ 

In the game defined above, A operates on behalf of the all voters and all corrupted entities, such as: EA, VC and T. BB is completely passive and represents a publicly accessible database.

1) an adversary  $\mathcal{A}$  picks and sends options  $U_{i_1}, U_{i_2}$  to the challenger  $\mathcal{C}$ . After that 2)  $\mathcal{A}$  schedules the **Cast** protocol with  $\mathcal{C}$  as if he is a voter  $V_i$ .  $\mathcal{C}$  3) generates a real ballot and receipt and uses Sim to create a fake ones. At the end 4)  $\mathcal{C}$  responses with a pair of ballots and receipts  $b_0, r_0, b_1, r_1$ , where one ballot and receipt corresponds to an option  $U_{i_1}$ , the other was generated using the simulator Sim for an option  $U_{i_2}$ .

The game  $G_{strict, \mathrm{VSD}}^{\mathcal{A}, Sim}(1^{\lambda})$  is defined as follows:

(1) During the game C plays the role of VSD. A operates on behalf of the all voters and all corrupted entities, such as: EA, VC and T.

- (2)  $\mathcal{A}$  defines a set of voters  $\mathcal{V} = \{V_1, ..., V_n\}$ , a list of candidates  $\mathcal{P} = \{P_1, ..., P_m\}$ , a set of allowed candidates' selections  $\mathcal{U}$  and starts the election.
- (3) C flips a coin  $a \leftarrow \{0,1\}$  to define an order according to which real and simulated ballots and receipts would be returned to A.
- (4) The adversary A and the challenger C engages in an interaction where A runs the Cast protocols on behalf of all voters. For each voter  $V_i \in V$ :
  - $-\mathcal{A}$  sends to  $\mathcal{C}$  options  $U_{i_1}, U_{i_2}$  and starts with  $\mathcal{C}$  the **Cast** protocol on behalf of  $V_i$ . As a result of the protocol execution  $\mathcal{C}$  provides  $\mathcal{A}$  with a pair of simulated and real ballot and receipt  $(b_0, r_0)(b_1, r_1)$  s.t.:

$$\begin{cases} \text{if } a = 0, \ (b_0, r_0) = (\tilde{b_i}, \tilde{r_i}) \ \text{and} \ (b_1, r_1) = (b_i, r_i) \\ \text{else } (b_0, r_0) = (b_i, r_i) \ \text{and} \ (b_1, r_1) = (\tilde{b_i}, \tilde{r_i}) \end{cases}$$

where the pair  $(b_i, r_i)$  is the ballot and receipt for an adversarial option  $U_{i_1}$  and  $(\tilde{b_i}, \tilde{r_i})$  is the ballot and receipt for  $U_{i_2}$  option generated via the simulator Sim.

- (5) Finally,  $\mathcal{A}$  executes the Tally protocol and using all information collected above outputs a bit  $a^*$
- (6) The game returns a bit which is 1 if  $a = a^*$  and 0 otherwise

# Strict privacy: VSD is honest:

The e-voting system  $\Pi$  achieves strict voter privacy in case when VSD is trusted, if there is a PPT simulator Sim such that for any PPT adversary A:

$$|\Pr[G_{strict, VSD}^{\mathcal{A}, Sim}(1^{\lambda}) = 1] - \frac{1}{2}| = negl(\lambda)$$

# Privacy

 $\mathrm{EA}$  and T are honest:  $G_{t-priv,\mathrm{EA},T}^{\mathcal{A},Sim}(1^{\lambda})$ 

In the game  $G_{t-priv, \mathrm{EA}, T}^{\mathcal{A}, Sim}(1^{\lambda})$ , an adversary  $\mathcal{A}$  interacts with the challenger  $\mathcal{C}$  on behalf of all corrupted voters, VC and VSD.  $\mathcal{C}$  plays the role of honest voters, EA and T. BB is completely passive and represents a publicly viewed database.

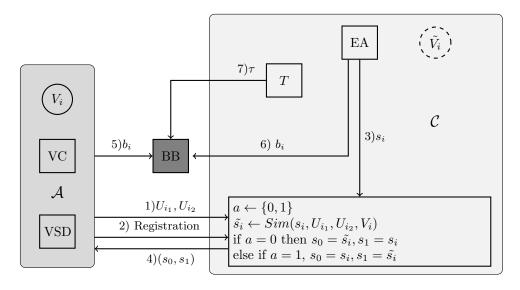


FIGURE 4:  $G_{t-priv, \text{EA}, T}^{\mathcal{A}, Sim}(1^{\lambda})$ 

After an adversary  $\mathcal{A}$  defined an election parameters,  $\mathcal{C}$  doubles the voters, computes setup data and starts the election. This duplication of voters is needed because  $\mathcal{C}$  would post another ballot for every adversarial's cast ballot. If  $\mathcal{A}$  abstains,  $\mathcal{C}$  abstains as well. During the game, we will refer to duplicated voters as fake ones.

 $\mathcal{A}$  1) picks and sends two options  $U_{i_1}, U_{i_2}$  to the challenger  $\mathcal{C}$ . One of the options  $U_{i_1}, U_{i_2}$  is his intent, the other – the option that the challenger would use in order to produce an indistinguishable from the intent's ballot and receipt view\*. After sending options, 2)  $\mathcal{A}$  schedules the **Registration** protocol with  $\mathcal{C}$  on behalf of some voter  $V_i$ .  $\mathcal{C}$  creates a fake credentials  $\tilde{s}_i$  and 3) generates real credentials  $s_i$  for him. 4)  $\mathcal{C}$  responds  $\mathcal{A}$  with a pair of credentials  $s_0, s_1$ , where one of the credentials are real and the other were generated using the simulator Sim in a such way, that no matter what credential  $s_0$  or  $s_1$   $\mathcal{A}$  uses to cast a vote for  $U_{i_1}$ , the produced ballot would also correspond to the result of the **Cast** protocol for an option  $U_{i_2}$  with the other credentials and vice versa. If 5)  $\mathcal{A}$  choses to post the ballot  $s_i$  to BB, 6)  $\mathcal{C}$  posts exactly the same ballot to BB on behalf of the fake voter. When  $\mathcal{A}$  stops the election, 7)  $\mathcal{C}$  posts the tally  $\tau$  \*\*.

#### Remarks:

\*If  $\mathcal C$  succeeds,  $\mathcal A$  wouldn't be able to say whether his ballot and receipt corresponds to  $U_{i_1}$  or  $U_{i_2}$  and BB would contain both ballots (one for the option  $U_{i_1}$ , the other for the option  $U_{i_2}$ ) so the tally wouldn't reveal any information. Example: if  $\mathcal A$  picks real credential for the voter  $V_i$  then he casts a vote for the option  $U_{i_1}$ , at the same time exactly the same ballot posted  $\mathcal C$  on behalf of the fake voter  $\tilde V_i$  would correspond to the fake credentials and the option  $U_{i_2}$ . In this case  $\mathcal A$  voted for  $U_{i_1}$ . Else if  $\mathcal A$  picks fake credentials and tries to vote for the option  $U_{i_1}$ , his ballot corresponds to the real credentials and the option  $U_{i_1}$ . At the same time, exactly the same ballot posted  $\mathcal C$  on behalf of the fake voter  $V_i$  would correspond to the fake credentials and the option  $U_{i_1}$ . So  $\mathcal A$  voted for  $U_{i_1}$ . If this definition holds,  $\mathcal A$  has no idea whom he voted for.

\*\* The tally  $\tau$  is posted only if all ballots are correctly formed: were produced with one of the provided credentials, for the candidate  $U_{i_1}$ , for every cast ballot there is a duplicate, etc.

$$G_{t-priv, \text{EA}, T}^{\mathcal{A}, Sim}(1^{\lambda})$$
 defined as follows:

- (1) During the game C plays the role of honest voters and EA. A operates on behalf of corrupted voters and VSD.
- (2)  $\mathcal{A}$  defines a set of voters  $\mathcal{V} = \{V_1, ..., V_n\}$ , a list of candidates  $\mathcal{P} = \{P_1, ..., P_m\}$ , a set of allowed candidates' selections  $\mathcal{U}$ . It provides  $\mathcal{C}$  with  $\mathcal{V}, \mathcal{P}, \mathcal{U}$ .
- (3)  $\mathcal{C}$  doubles the set  $\mathcal{V}$  adding fake voters  $\{V_1',...,V_n'\}$  and starts the election on behalf of EA. Also,  $\mathcal{C}$  flips a coin  $a \leftarrow \{0,1\}$  to define an order according to which real and simulated credentials would be returned to  $\mathcal{A}$ .
- (4) The adversary  $\mathcal{A}$  picks two option  $U_{i_1}, U_{i_2} \in \mathcal{U}$ , where  $U_{i_1}$  is an option for the real credentials and  $U_{i_2}$  is an option for the fake ones. After that,  $\mathcal{A}$  and  $\mathcal{C}$  engage in an interaction where  $\mathcal{A}$  schedules the Registration protocols, during which all voters receive their credentials and forward them to  $\mathcal{A}$ . For each voter  $V_i \in \mathcal{V}$ , the adversary chooses whether  $V_i$  is corrupted:
  - If  $V_i$  is corrupted, then  $\mathcal{C}$  provides  $\mathcal{A}$  with the real credentials  $s_i$ , and then they engage in a Cast protocol where A vote on behalf of  $V_i$  and  $\mathcal{C}$  plays the role of EA.
  - If  $V_i$  is not corrupted, then C generates real credentials  $s_i$ . Also C picks a fake voter  $\tilde{V}_i$  and generates credentials  $\tilde{s}_i$  for him using  $Sim^*$ .

 $\mathcal C$  responds  $\mathcal A$  with a pair of simulated and real credentials  $(s_0,s_1)$  in order defined by the coin a:  $\begin{cases} \text{if } a=0,\ (s_0,s_1)=(\tilde{s_i},s_i)\\ \text{else } (s_0,s_1)=(s_i,\tilde{s_i}) \end{cases}$ 

- Honest voters forward both credentials to  $\mathcal{A}$
- Using one of the credentials  $\mathcal{A}$  schedules the Cast protocol executions and sends the produced ballot to BB.
- If  $\mathcal{A}$  posts a ballot on BB,  $\mathcal{C}$  posts exactly the same ballot in the entry that corresponds to the fake voter  $\tilde{V}_i$
- (5) C executes the Tally protocol.
- (6) Finally, A using all information collected above (including the contents of the BB) outputs a bit  $a^*$
- (7) Denote the set of corrupted voters as  $\mathcal{V}_{corr}$  and the set of honest voters as  $\tilde{\mathcal{V}} = \mathcal{V} \setminus \mathcal{V}_{corr}$ . The game returns a bit which is 1 if and only if the following hold true:
  - (a)  $a = a^*$
  - (b)  $|\mathcal{V}_{corr}| \leq t$  (i.e., the number of corrupted voters is bounded by t).

#### \*Remark:

Sim works in a way that the fake credentials satisfy both of the following rules:

- (1) The real credentials and  $U_{i_1}$  option should give ballot and receipt, which are identical\* to ballot and receipt produced for the fake credentials and  $U_{i_2}$  option.
- (2) The fake credentials and  $U_{i_1}$  option should give ballot and receipt, which are identical to ballot and receipt produced for the real credentials and  $U_{i_2}$  option.

#### Privacy: EA and T are honest:

The e-voting system  $\Pi$  achieves voter privacy in case of honest T and EA for at most t corrupted voters if there is a PPT simulator Sim such that for any PPT adversary  $\mathcal{A}$ :

$$|\Pr[G_{t-priv, \text{EA}, T}^{\mathcal{A}, Sim}(1^{\lambda}) = 1] - \frac{1}{2}| = negl(\lambda)$$

VSD is honest:  $G_{t-priv, \text{VSD}}^{\mathcal{A}, Sim}(1^{\lambda})$ 

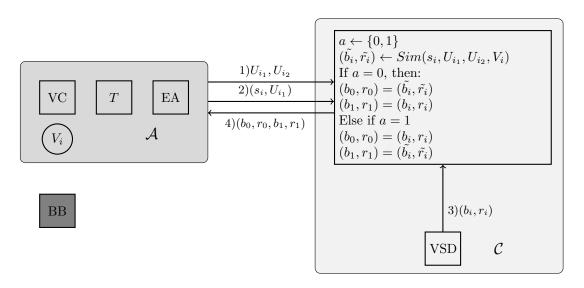


FIGURE 5:  $G_{t-priv, VSD}^{A,Sim}(1^{\lambda})$ 

In the game  $G_{t-priv, \mathrm{EA}, T}^{\mathcal{A}, Sim}(1^{\lambda})$ , an adversary  $\mathcal{A}$  operates on behalf of the all voters and all corrupted entities, such as: EA, VC and T. BB is completely passive and represents a publicly accessible database.

1) an adversary  $\mathcal{A}$  picks and sends options  $U_{i_1}, U_{i_2}$  to the challenger  $\mathcal{C}$ . After that 2)  $\mathcal{A}$  schedules the **Cast** protocol with  $\mathcal{C}$  on behalf of some voter  $V_i^*$ .  $\mathcal{C}$  3) generates a real ballot and receipt and uses Sim to create a fake ones. At the end 4)  $\mathcal{C}$  responses with a pair of ballots and receipts  $b_0, r_0, b_1, r_1$ , where one ballot and receipt corresponds to an option  $U_{i_1}$ , the other was generated using the simulator Sim for an option  $U_{i_2}$ .

#### \*Remark:

Existence of honest voters is the only difference from the Strict privacy definition. In the strict privacy game, all voters are corrupted.

The game  $G_{t-priv, \text{VSD}}^{\mathcal{A}, Sim}(1^{\lambda})$  is defined as follows:

(1) During the game C plays the role of the honest voters and VSD. A operates

on behalf of corrupted voters and may corrupt T, and EA.

- (2)  $\mathcal{A}$  defines a set of voters  $\mathcal{V} = \{V_1, ..., V_n\}$ , a list of candidates  $\mathcal{P} = \{P_1, ..., P_m\}$ , a set of allowed candidates' selections  $\mathcal{U}$  and starts the election.
- (3) C flips a coin  $a \leftarrow \{0,1\}$  to define an order according to which real and simulated ballots and receipts would be returned to A.
- (4)  $\mathcal{A}$  sends to  $\mathcal{C}$  options  $U_{i_1}, U_{i_2} \in \mathcal{U}$ , where  $U_{i_1}$  is an option for the real ballot and receipt and  $U_{i_2}$  is an option for the fake ones. After that,  $\mathcal{A}$  and  $\mathcal{C}$  engage in an interaction where  $\mathcal{A}$  schedules the **Cast** protocols of all voters which may run concurrently. For each voter  $V_i \in \mathcal{V}$ , the adversary chooses whether  $V_i \in \mathcal{V}$  is corrupted:
  - If  $V_i$  is corrupted, then C provides A with the real ballot and receipt  $(b_i, r_i)$ .
  - If  $V_i$  is not corrupted, C provides A with provides A with a pair of simulated and real ballot and receipt  $(b_0, r_0)(b_1, r_1)$  s.t.:

$$\begin{cases} \text{if } a = 0, \ (b_0, r_0) = (\tilde{b_i}, \tilde{r_i}) \ \text{and} \ (b_1, r_1) = (b_i, r_i) \\ \text{else } (b_0, r_0) = (b_i, r_i) \ \text{and} \ (b_1, r_1) = (\tilde{b_i}, \tilde{r_i}) \end{cases}$$

where the pair  $(b_i, r_i)$  is the ballot and receipt for an adversarial option  $U_{i_1}$  and  $(\tilde{b_i}, \tilde{r_i})$  is the ballot and receipt for  $U_{i_2}$  option generated via the simulator Sim.

- (5) Finally, (if T is corrupted)  $\mathcal{A}$  executes the Tally protocol and using all information collected above outputs a bit  $a^*$
- (6) Denote the set of corrupted voters as  $\mathcal{V}_{corr}$  and the set of honest voters as  $\tilde{\mathcal{V}} = \mathcal{V} \setminus \mathcal{V}_{corr}$ . The game returns a bit which is 1 if and only if the following hold true:
  - (a)  $a = a^*$
  - (b)  $|\mathcal{V}_{corr}| \leq t$  (i.e., the number of corrupted voters is bounded by t).

### **Privacy:** VSD is honest:

The e-voting system  $\Pi$  achieves voter privacy in case of honest VSD, for at most t corrupted voters, if there is a PPT simulator Sim such that for any PPT adversary  $\mathcal{A}$ :

$$|\Pr[G_{t-priv, \text{VSD}}^{\mathcal{A}, Sim}(1^{\lambda}) = 1] - \frac{1}{2}| = negl(\lambda)$$

# Strict privacy vs E2E Verifiability

Any system  $\Pi$  that satisfies the definition of strict privacy, is "receipt free" but not E2E Verifiable.

The Real and Ideal executions in this case are shown on the figure 6.

Security requires existence of some simulator program S such that the real and ideal executions are indistinguishable for and any environment Z. Unfortunately, as we are about to show, no such simulator exists.

#### **Proposition:**

For any system  $\Pi$  that satisfies the definition of strict privacy, there is an adversary  $\mathcal{A}$  such that for any simulator  $\mathcal{S}$ , there is an environment  $\mathcal{Z}$  that distinguishes ideal and real executions  $\mathrm{EXEC}_{\mathcal{Z},\mathcal{S}}^{\mathcal{F},\mathcal{G}_{BB}} \not\approx \mathrm{EXEC}_{\mathcal{Z},\mathcal{A}}^{\Pi,\mathcal{G}_{BB}}$ 

#### **Proof:**

#### PART 1.

Suppose we have a system  $\Pi$ , which is strictly private in case "EA is corrupted, but VSD is honest".

Consider the following attack against E2E Verifiability:

#### 1.

- corrupts EA and VSDs but doesn't corrupt ASDs.
- corrupts t voters  $(t \le n)$ , where n is the total number of voters.
- creates fake voters  $\{V_0', V_1', \dots, V_n'\}$  and using its power substitutes some part  $\gamma$  of honest voters with fake ones for the  $U_a$  option.
- fake voters vote for adversarial options according to a vote-casting procedure.
- substituted honest voters receive receipts generated for fake voters.

let  $\mathcal{Z}$  be the environment that works as follows:

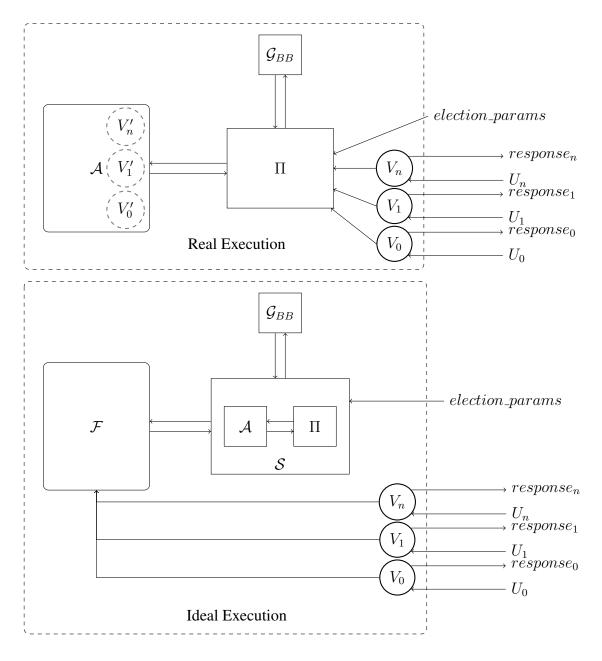


FIGURE 6: Real and Ideal Execution

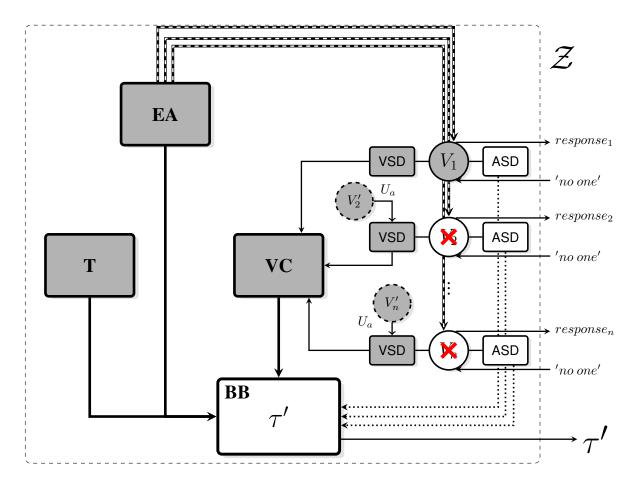


Figure 7: A

#### $\mathcal{Z}$ :

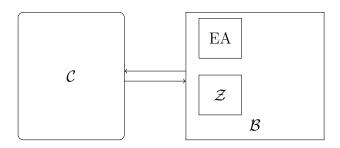
- defines an election setup information:
- $election\_params = (\mathcal{C}, \mathcal{V}, \mathcal{U}, params)$ , where  $\mathcal{C}$  list of candidates,  $\mathcal{V}$  list of voters,  $\mathcal{U}$  list of allowed candidates' selections, params other required information.
- instructs each voter  $V_i$  to vote for the blank 'no one' option.
- stops the vote-casting phase.
- asks  $\mathcal{G}_{BB}$  for the election result au
- asks every voter  $V_i$  to verify his choice and return the result of verification
- $response_i$ , where  $response_i$  equal to  $(sid, verify\_responce, \tau')$  in case of successful verification and  $\bot$  otherwise.
- If the number of successful verification responses equal to the number of voters and in all responses provided by a voter tally  $\tau'$  is equal to the  $\mathcal{G}_{BB}$ 's tally  $\tau$  return 1. Otherwise return 0. 19

Since  $\Pi$  is strictly private, probability, that an adversary  $\mathcal{D}$  engaging in the **Cast** protocol would distinguish real and simulated view and win the attack against the strict privacy  $Pr[G_{strict, VSD, T}^{\mathcal{D}, Sim}(1^{\lambda}) = 1] = \frac{1}{2} + \alpha$  where  $\alpha$  is negligible. In case of corrupted EA,  $\mathcal{C}$  returns a real ballot and receipt pair and a simulated via Sim pair. This means that in a strictly private system an adversary has a negligible chance to distinguish the ballot and receipt for his option and for some  $U_c$  option.

During the Real Execution a voter can either accept or reject the cast ballot. Rejection is possible only if he detects that the receipt and ballot are faked. The probability that a voter distinguish the receipt and ballot for his option from for the 'no-one' option and rejects is  $\Pr[V_i \ rejects] \leq \alpha$ , where  $\alpha$  is negligible.

By nature of the attack  $\mathcal{A}$ , fake receipt is the perfectly valid receipt for the  $U_a$  option and the cast ballot would always be successfully verified. Therefore in the Real Execution  $\mathcal{Z}$  would get a successful verification response from all n voters and output 1 with the probability  $\Pr[\mathrm{EXEC}_{\mathcal{Z},\mathcal{A}}^{\Pi,\mathcal{G}_{BB}}=1]=\Pr[all\ V_i\ accept]=\prod_{i=0}^n(1-\Pr[V_i\ rejects])\geq (1-\alpha)^n=1-n\alpha=1-\beta,$  where  $\beta=n\alpha$  is negligible. Thus,  $\Pr[\mathrm{EXEC}_{\mathcal{Z},\mathcal{A}}^{\Pi,\mathcal{G}_{BB}}=0]=1-\Pr[\mathrm{EXEC}_{\mathcal{Z},\mathcal{A}}^{\Pi,\mathcal{G}_{BB}}=1]\leq \beta,$  where  $\beta$  is negligible.

Suppose for the sake of contradiction that  $\Pr[\mathrm{EXEC}_{\mathcal{Z},\mathcal{A}}^{\Pi,\mathcal{G}_{BB}}=0] \geq \beta$ , where  $\beta$  is non-negligible. This means that at least one voter rejects the receipt. I will show that this contradicts the definition of strict privacy. Consider an attacker  $\mathcal{B}$  against strict privacy which exploits the environment  $\mathcal{Z}$ .



 $\mathcal{B}$  interacts with the challenger in the strict privacy attack  $\mathcal{C}$  as follows:

- $-\mathcal{Z}$  defines an election parameters  $election\_params$ , assign every voter to vote for the blank option  $\{V_i, `no\ one'\}$  and send this information to the  $\mathcal{B}$ .
- $-\mathcal{B}$  forwards received  $election\_params$  to the EA.
- $-\mathcal{B}$  corrupts the EA.
- EA starts the election.
- $-\mathcal{B}$  interacts with the challenger  $\mathcal{C}$  provides  $(V_i, `no\ one', U_{\mathcal{Z}_i})$  as the input.
- $-\mathcal{C}$  sends back to the  $\mathcal{B}$  real and simulated view  $(b_0, r_0), (b_1, r_1)$  in the order defined by the challenger's coin a.
- $-\mathcal{B}$  votes on behalf of all voters
- $-\mathcal{Z}$  stops the vote-casting phase.
- -B computes the election's tally and proof of the tally's correctness.
- $-\mathcal{Z}$  asks  $\mathcal{G}_{BB}$  for the election result  $\tau$
- $-\mathcal{Z}$  requests every voter to verify his ballot correctness.
- $-\mathcal{B}$  will run the verification on behalf of all voters using ballots and receipts from  $\{b_0, r_0\}$ .
- $-\mathcal{Z}$  outputs 1 or 0 depending on the voters' verdict.
- $-\mathcal{B}$  outputs whatever the  $\mathcal{Z}$  outputs.

The challenger C outputs simulated ballot and receipt as  $(b_0, r_0)$ , when the coin a = 0 and as  $(b_1, r_1)$  otherwise.

In case when  $a=0,\mathcal{B}$ 's behaviour is identical to the  $\mathcal{A}$ 's strategy and  $\mathcal{B}$  wins if  $\mathcal{Z}$  outputs 0, which happens if at least one voter rejects the simulated receipt. By assumption  $\Pr[\mathrm{EXEC}_{\mathcal{Z},\mathcal{A}}^{\Pi,\mathcal{G}_{BB}}=0] \geq \beta$ , where  $\beta$  is non-negligible. Therefore if  $(b_0,r_0)$  is the set of simulated ballot and receipt,  $\mathcal{B}$  wins with the probability  $\Pr[\mathcal{B} \to 0|a=0] = \Pr[\mathrm{EXEC}_{\mathcal{Z},\mathcal{A}}^{\Pi,\mathcal{G}_{BB}}=0] \geq \beta$ , where  $\beta$  is non-negligible.

On the other hand, when a=1,  $\mathcal{B}$  plays honestly and the probability of  $\mathcal{Z}$  outputting 1 is equal to probability that all voters successfully verify their votes in the honest execution, which is happens with overwhelming probability  $1-negl(\lambda)$ .

The probability of  $\mathcal B$  winning the attack against the strict privacy is  $\Pr[G_{strict}^{\mathcal B}(1^{\lambda})=1]=\Pr[\mathcal B\to 0|a=0]\Pr[a=0]+\Pr[\mathcal B\to 1|a=1]\Pr[a=1]=\Pr[\mathrm{EXEC}_{\mathcal Z,\mathcal A}^{\Pi,\mathcal G_{BB}}=0]\Pr[a=0]+\Pr[\mathrm{EXEC}_{\mathcal Z,honest}^{\Pi,\mathcal G_{BB}}=1]\Pr[a=1]\geq \frac{1}{2}\beta+\frac{1}{2}-negl(\lambda)$ , where  $\beta$  is not negligible. This implies that  $\mathcal B$  wins the attack against strict privacy with the probability more than  $\frac{1}{2}+negl(\lambda)$ , which contradicts the assumption that the  $\Pi$  is strictly private.

In the Ideal Execution any simulator S can either:

- 1) post in  $\mathcal{G}_{BB}$  the tally  $\tau'$  generated by  $\mathcal{A}$  or any other tally  $\tau' \neq \tau$  or
- 2) ignore the A's tally and post the actual tally  $\tau$ .

In the first case, the ideal functionality for E2E verifiability  $\mathcal{F}$  would always detect the tally deviation caused by  $\mathcal{A}$  if such exists. And since  $\mathcal{A}$  doesn't corrupt ASDs, for all honest voters the ideal functionality  $\mathcal{F}$  would block verification responses. This implies that in the Ideal Execution  $\mathrm{EXEC}_{\mathcal{Z},\mathcal{S}}^{\mathcal{F},\mathcal{G}_{BB}}$   $\mathcal{Z}$  would get no response from honest voters. The total number of successful verifications would be equal to the number of corrupted voters, which is less (if not voters are corrupted) than the total number of voters –  $\mathcal{Z}$  outputs 0.

In the second case, there exists a class of simulators which ignore  $\mathcal{A}'$  actions and post the actual tally. For those simulators consider an modified environment  $\tilde{\mathcal{Z}}$  that works as follows:

 $ilde{\mathcal{Z}}$ :

Outputs a bit according to the following rules:

 $\begin{cases} \text{if } \mathcal{Z} \text{ outputs 1 and the number of non-blank votes greater or equal } \gamma-\text{ output 1} \\ \text{else output 0} \end{cases}$ 

 $\tilde{\mathcal{Z}}$  would still output 1 in case of the real execution since the number of non-blank votes would be at least  $\gamma$  due to successful attack  $\mathcal{A}$ . However  $\tilde{\mathcal{Z}}$  would not find at least  $\gamma$  non-blank votes and output 0 in the ideal execution.

Thus, there is the attacker  $\mathcal{A}$  such that for any simulator  $\mathcal{S}$  there is the environment  $\tilde{\mathcal{Z}}$  or  $\mathcal{Z}$  which can always distinguish real and ideal executions.

#### PART 2

Suppose we have a system  $\Pi'$ , which is strictly private in case "EA is honest, but VSD is corrupted".

Consider the following attack against E2E Verifiability:

#### $\mathcal{A}'$ :

- corrupts EA and VSDs but doesn't corrupt ASDs.
- chooses an option  $U_a \in \mathcal{U}$
- corrupts t voters  $(t \le n)$ , where n is the total number of voters.
- provides every honest voter  $V_i$  with fake credentials  $s_i'$  s.t. the ballot and receipt produced using  $(s_i', `no\ one')$  are identical to the ballot and receipt produced using real credentials for an option  $U_a$ :  $b, r \leftarrow (s_i', `no\ one')$  AND  $b, r \leftarrow (s_i, U_a)$
- creates fake voters  $\{V_0', V_1', \dots, V_n'\}$  and provides them with real credentials  $s_i$
- using its power substitutes some part  $\gamma$  of honest voters' cast protocols with the fake voters' protocols for the  $U_a$  option.

#### let $\mathcal{Z}'$ be the environment that works as follows:

#### $\mathcal{Z}'$ :

– defines an election setup information:

election\_params = (C, V, U, params), where C - list of candidates, V - list of voters, U - list of allowed candidates' selections, params - other required information.

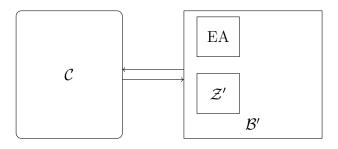
- instructs each voter  $V_i$  to vote for the blank option ('no one').
- stops the vote-casting phase.
- asks  $\mathcal{G}_{BB}$  for the election result  $\tau$
- asks every voter  $V_i$  to verify his choice and return the result of verification
- $response_i$ , where  $response_i$  equal to  $(sid, verify\_responce, \tau')$  in case of successful verification and  $\bot$  otherwise.
- If the number of successful verification responses equal to the number of voters and in all responses provided by a voter tally  $\tau'$  is equal to the  $\mathcal{G}_{BB}$ 's tally  $\tau$  return 1. Otherwise return 0.

Since  $\Pi'$  is strictly private, probability, that an adversary engaging in the **Registration** protocol would distinguish real and simulated view and win the attack against the strict privacy  $|\Pr[G_{strict, EA}^{\mathcal{A}, Sim}(1^{\lambda}) = 1] - \frac{1}{2}| = \alpha$  where  $\alpha$  is negligible. In case "EA is honest, but VSD is corrupted", simulated view is credentials  $\tilde{s}_i$  generated via the simulator Sim.

In the Real Execution a voter  $V_i$  starts the Cast protocol using fake credentials  $s'_i$  and an option 'no one'. However,  $\mathcal{A}'$  substitutes his ballot and receipt with the ballot and receipt generated for a fake voter with real credentials and  $U_a$  op-

tion. By nature of the attack, the returned receipt generated for real credentials and the  $U_a$  option is identical to the receipt produced for a fake credentials and 'no one' option. Therefore in the Real Execution  $\mathcal{Z}'$  would output 1 if non of the voters would detect that he was given a receipt for fake credentials. The probability of this event is  $\Pr[\mathrm{EXEC}_{\mathcal{Z}',\mathcal{A}'}^{\Pi',\mathcal{G}_{BB}}=1]=\Pr[all\ V_i\ accept]=\prod_{i=0}^n(1-\Pr[V_i\ rejects]).$  Suppose, the probability of rejection by  $V_i$  is  $\Pr[V_i\ rejects]=\zeta.$  Thus,  $\Pr[\mathrm{EXEC}_{\mathcal{Z}',\mathcal{A}'}^{\Pi',\mathcal{G}_{BB}}=1]=(1-\zeta)^n=1-n\zeta=1-\zeta'.$ 

Suppose that  $\zeta'$  is not negligible. This means that  $\Pr[\mathrm{EXEC}^{\Pi',\mathcal{G}_{BB}}_{\mathcal{Z}',\mathcal{A}'}=0]=1-\Pr[\mathrm{EXEC}^{\Pi',\mathcal{G}_{BB}}_{\mathcal{Z}',\mathcal{A}'}=1]=\zeta'$ , where  $\zeta'$  is not negligible. This means that at least one voter rejects the receipt with a non-negligible probability. We will show that this contradicts the definition of strict privacy. Consider an attacker  $\mathcal{B}'$  against strict privacy which exploits the environment  $\mathcal{Z}'$ .



 $\mathcal{B}'$  interacts with the challenger in the strict privacy attack  $\mathcal{C}$  as follows:

- $-\mathcal{Z}$  defines an election parameters  $election\_params$ , assign each voter the blank option  $\{V_i, `no\ one'\}$  and send this information to the  $\mathcal{B}$ .
- $-\mathcal{B}'$  forwards received *election\_params* to the  $\mathcal{C}$ .
- $-\mathcal{B}'$  corrupts the VSD.
- -C starts the election.
- $-\mathcal{B}'$  sends to  $\mathcal{C}$   $(V_i, `no\ one', U_a)$ .
- $-\mathcal{C}$  sends back  $s_0, s_1$ .
- $-\mathcal{B}'$  provides voters with credentials  $s_0$  and uses  $(s_0, `no\ one')$  to produce the ballot and receipt and posts the result on BB.
- $-\mathcal{Z}'$  stops the vote-casting phase.
- -C executes the Tally protocol.
- $-\mathcal{Z}'$  asks  $\mathcal{G}_{BB}$  for the election result  $\tau$
- $-\mathcal{Z}'$  requests every voter to verify his ballot correctness.
- $-\mathcal{B}'$  will run the verification on behalf of all voters.
- $-\mathcal{Z}'$  outputs 1 or 0 depending on the voters' verdict.
- $-\mathcal{B}'$  outputs whatever the  $\mathcal{Z}'$  outputs.

In case when the simulated credentials are chosen (a=0),  $\mathcal{B}'$  provides a voter with fake credentials and generated ballot and receipt for the blank option using the fake credentials, which means that real credentials correspond to the  $U_a$  option.  $\mathcal{B}'$ 's behaviour is identical to the  $\mathcal{A}'$ 's strategy.  $\mathcal{B}'$  wins if outputs 0, which happens if  $\mathcal{Z}'$  outputs 0.  $\Pr[\mathcal{B}' \to 0 | a=0] = \Pr[\text{EXEC}_{\mathcal{Z}'}^{\Pi'}, \mathcal{G}_{BB}^{BB} = 0] = \zeta'$ 

Else if a=1,  $\mathcal{B}'$  provides a voter with real credentials and uses the real credentials to vote for the blank option, which is honest behaviour.  $\Pr[B' \to 1 | a=1] = \Pr[\text{EXEC}_{\mathcal{Z}',honest}^{\Pi',\mathcal{G}_{BB}} = 1] = 1$ 

Thus, the probability of  $\mathcal{B}'$  winning the attack against the strict privacy is  $\Pr[G_{strict, \text{EA}}^{\mathcal{B}, Sim}(1^{\lambda}) = 1] = \frac{1}{2}(\Pr[B' \to 0|a=0] + \Pr[B' \to 1|a=1]) = \frac{1}{2}(\zeta'+1) = \frac{1}{2} + \frac{1}{2}\zeta'$ , where  $\zeta'$  is not negligible. This implies that  $\mathcal{B}'$  wins the attack against strict privacy with the probability more than  $\frac{1}{2} + negl(\lambda)$ , which contradicts the assumption that the  $\Pi$  is strictly private.

In the Ideal Execution any simulator S can either: 1) post in  $\mathcal{G}_{BB}$  the tally  $\tau'$  generated by  $\mathcal{A}'$  or any other tally  $\tau' \neq \tau$  or 2) ignore the  $\mathcal{A}'$ 's tally and post the actual tally  $\tau$ .

In the first case, the ideal functionality for E2E verifiability  $\mathcal{F}$  would always detect the tally deviation caused by  $\mathcal{A}'$  if such exists. And since  $\mathcal{A}'$  doesn't corrupt ASDs, for all honest voters the ideal functionality  $\mathcal{F}$  would block verification responses. This implies that in the Ideal Execution  $\text{EXEC}_{\mathcal{Z}',\mathcal{S}}^{\mathcal{F},\mathcal{G}_{BB}}$   $\mathcal{Z}'$  would get no response from honest voters. The total number of successful verifications would be equal to the number of corrupted voters, which is less (if not voters are corrupted) than the total number of voters –  $\mathcal{Z}'$  outputs 0.

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Outputs a bit according to the following rules:

 $\begin{cases} \text{if } \mathcal{Z} \text{ outputs 1 and the number of non-blank votes greater or equal } \gamma - \text{ output 1} \\ \text{else output 0} \end{cases}$ 

 $\tilde{\mathcal{Z}}'$  would still output 1 in case of the real execution since the number of non-blank votes would be at least  $\gamma$  due to successful attack  $\mathcal{A}$ . However  $\tilde{\mathcal{Z}}'$  would not find at least  $\gamma$  non-blank votes and output 0 in the ideal execution.

Thus, there is the attacker  $\mathcal{A}'$  such that for any simulator  $\mathcal{S}$  there is the environment  $\tilde{\mathcal{Z}}'$  or  $\mathcal{Z}'$  which can always distinguish real and ideal executions.