

#### ORIGINAL PAPER



# On some modifications of *n*-th von Neumann–Jordan constant for Banach spaces

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#### Abstract

We study, among others, upper, lower, upper modified and lower modified n-th von Neumann–Jordan constant and relationships between them. There are characterized uniformly non- $l_n^1$  Banach spaces in terms of the upper modified n-th von Neumann–Jordan constant. Moreover, this constant is calculated explicitly for Lebesgue spaces  $L^p$  and  $l^p$  ( $1 \le p \le \infty$ ). Finally, it is shown that the sequence of n-th upper and modified upper von Neumann–Jordan constants for the space  $L^p$  as well as  $l^p$  ( $2 ) converges to <math>B_p^2$ , where  $B_p$  is the best type (2, p) constant in the Khinthine inequality for the case  $2 \le p < \infty$ .

**Keywords** von Neumann–Jordan constant · Modified n-th von Neumann–Jordan constant · Uniformly non- $l_n^{(1)}$ -Banach space · B-convexity

Mathematics Subject Classification 46E30 · 46E40 · 46B20

### 1 Introduction

Let S(X) (resp. B(X)) be the unit sphere (resp. the unit closed ball) of a real Banach space  $(X, \|\cdot\|_X)$ . The letters  $\mathbb{Z}$ ,  $\mathbb{N}$  and  $\mathbb{R}$  stand for the sets of integers, positive integers and real numbers, respectively. For any subset  $A \subset X$ , denote  $A^n = \underbrace{A \times \cdots \times A}$ . Let

 $(\Omega, \Sigma, \mu)$  be a measure space with a  $\sigma$ -finite, non-atomic and complete measure  $\mu$ . Denote by  $L^p(\mu)$   $(1 \le p \le \infty)$  the Lebesgue space of real  $\Sigma$ -measurable functions

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defined on  $\Omega$ . The symbol  $l_m^p$   $(1 \le p \le \infty, m \in \mathbb{N} \cup \{\infty\})$  stands for m-dimensional Lebesgue sequence space. Clearly,  $l_\infty^p = l^p$ .

In 1937 Clarkson [5], on the basis of the famous paper [13] by Jordan and von Neumann, introduced the constant  $C_{NJ}(X)$  (called the *von Neumann–Jordan constant* or *NJ-constant for short*) as the smallest constant  $C \ge 1$  such that

$$\frac{1}{C} \le \frac{\|x + y\|_X^2 + \|x - y\|_X^2}{2\left(\|x\|_X^2 + \|y\|_X^2\right)} \le C$$

holds for any  $x, y \in X$  with  $||x||_X^2 + ||y||_X^2 > 0$ . An equivalent and more convenient definition of NJ-constant is given in [15] by the formula

$$C_{NJ}(X) = \sup \left\{ \frac{\|x + y\|_X^2 + \|x - y\|_X^2}{2\left(\|x\|_X^2 + \|y\|_X^2\right)} : x \in S(X), \ y \in B(X) \right\}.$$

The classical Jordan and von Neumann results [13] state that  $1 \le C_{NJ}(X) \le 2$  for any Banach space X and  $C_{NJ}(X) = 1$  if and only if X is a Hilbert space. Clarkson [5] showed that if  $1 \le p \le \infty$  and dim  $L^p(\mu) \ge 2$ , then  $C_{NJ}(L^p(\mu)) = 2^{2/\min\{p,q\}-1}$ , where 1/p + 1/q = 1. Kato and Takahashi [16], observed that  $C_{NJ}(X) = C_{NJ}(X^*)$ . Moreover, they proved that if the Banach space X is uniformly convex, then  $C_{NJ}(X) < 2$  and if  $C_{NJ}(X) < 2$ , then X admits an equivalent uniformly convex norm. The same authors [16] state that the Banach space X is uniformly non-square if and only if  $C_{NJ}(X) < 2$ . Some results concerning relationships between von Neumann–Jordan and so called James constant have been obtained among others in [2,15,19,21,23,25].

A similar constant

$$C_{NJ}^{'}(X) = \sup \left\{ \frac{\|x + y\|_X^2 + \|x - y\|_X^2}{4} : x, y \in S(X) \right\}$$

was introduced in 2006 by Gao [7] and called the modified von Neumann–Jordan constant. It is clear that  $C'_{NJ}(X) \leq C_{NJ}(X)$ . It has been shown that  $C'_{NJ}(X)$  does not necessarily coincide with  $C_{NJ}(X)$  (see [2,8]). These constants have been considered recently also in [22].

The von Neumann Jordan constant has been generalized in many directions (see e.g. [17,24,26]).

To generalize the von Neumann-Jordan constant, denote

$$C^{(n)}(x_1, x_2, \dots, x_n) = \frac{\sum_{\theta_j = \pm 1} \left\| x_1 + \sum_{j=2}^n \theta_j x_j \right\|_X^2}{2^{n-1} \sum_{j=1}^n \left\| x_j \right\|_Y^2}$$

for any  $x_1, x_2, ..., x_n \in X$  such that  $\sum_{i=1}^n ||x_i||_X^2 > 0$ .



**Definition 1** The smallest, resp., the largest constant C > 0 such that

$$C^{(n)}(x_1, x_2, \dots, x_n) \le C$$
, resp.,  $C \le C^{(n)}(x_1, x_2, \dots, x_n)$  (1)

for all  $x_j \in X$ ,  $(j = 1, 2, ..., n \text{ and } n \ge 2)$  with  $\sum_{j=1}^n \|x_j\|_X^2 > 0$  is called an *upper*, resp., *lower n-th von Neumann–Jordan constant* and denoted by  $\overline{C}_{NJ}^{(n)}(X)$ , resp.,  $\underline{C}_{NJ}^{(n)}(X)$ . If the infimum, resp., supremum of C satisfying (1) is taken over all  $x_j \in S(X)$ ,  $(j = 1, 2, ..., n \text{ and } n \ge 2)$ , then it is called *upper, resp., lower modified n-th von Neumann–Jordan constant* and denoted by  $\overline{C}_{mNJ}^{(n)}(X)$ , resp.,  $\underline{C}_{mNJ}^{(n)}(X)$ .

It is well known that  $\overline{C}_{NJ}^{(2)}(X) = \left[\underline{C}_{NJ}^{(2)}(X)\right]^{-1} = C_{NJ}(X)$  (see [20]). As it is proved below, the equality  $\overline{C}_{NJ}^{(n)}(X) = \left[\underline{C}_{NJ}^{(n)}(X)\right]^{-1}$  is not true in general for n > 2. Moreover,  $\overline{C}_{mNJ}^{(2)}(X) = C_{NJ}'(X)$  and  $\overline{C}_{mNJ}^{(n)}(X)$  need not be equal to  $\left[\underline{C}_{mNJ}^{(n)}(X)\right]^{-1}$  even for n = 2 (see [7]). The n-th von Neumann–Jordan constant introduced and investigated by Kato, Takahashi and Hashimoto in [17] is exactly the upper n-th von Neumann–Jordan constant.

In 1964 James [12] introduced the notion of uniformly non- $l_n^1$  Banach space. Namely, a Banach space X is called *uniformly non-* $l_n^1$  if there exists  $\delta > 0$  such that for each n elements of the unit ball B(X)

$$\min_{\theta_i = \pm 1} \left\| x_1 + \sum_{j=2}^n \theta_j x_j \right\|_{Y} \le n \left( 1 - \delta \right)$$

(see [10]). The definition remains the same if we replace the unit ball B(X) by the unit sphere S(X). If X is uniformly non- $l_n^1$  for n=2, then it is called *uniformly non-square*. It is worth mentioning that uniform non-squareness plays a crucial role in fixed point theory, since any uniformly non-square Banach space has the fixed point property (for more details see [9]). In 1987 Kamińska and Turett [14] proved that the uniform non- $l_n^1$  for Banach spaces is equivalent to the fact that there exists  $\delta > 0$  such that for all  $x_1, x_2, \ldots, x_n$  in X

$$\min_{\theta_j = \pm 1} \left\| x_1 + \sum_{j=2}^n \theta_j x_j \right\|_X \le \left( 1 - \frac{\delta n \min_{1 \le i \le n} \|x_i\|_X}{\sum_{j=1}^n \|x_j\|_X} \right) \sum_{j=1}^n \left\| x_j \right\|_X.$$

Banach spaces that are uniformly non- $l_n^1$  for a certain  $n \in \mathbb{N}$  have been studied by A. Beck [3]. Such spaces are said to be B-convex. Beck [3] proved that a Banach space X is B-convex if and only if a certain strong law of large numbers is valid for random variables with ranges in X. Moreover, B-convexity is a very important property in fixed point theory because every B-convex uniformly monotone Köthe space has the fixed point property (see [1]).



## 2 Basic Properties

**Proposition 1** Let  $n \geq 2$  and X be a Banach space. The lower, upper, modified lower and modified upper n-th von Neumann-Jordan constants have the following properties:

$$\begin{array}{l} \text{(a)} \ \ 1 \leq \overline{C}_{mNJ}^{(n)}(X) \leq \overline{C}_{NJ}^{(n)}(X) \leq n \ and \ 1/n \leq \underline{C}_{NJ}^{(n)}(X) \leq \underline{C}_{mNJ}^{(n)}(X) \leq 1; \\ \text{(b)} \ \ \overline{C}_{NJ}^{(n)}(X) \leq \overline{C}_{NJ}^{(n+1)}(X) \ and \ \underline{C}_{NJ}^{(n+1)}(X) \leq \underline{C}_{NJ}^{(n)}(X); \\ \text{(c)} \ \ \overline{C}_{mNJ}^{(n)}(X) \leq \frac{n+1}{n} \overline{C}_{mNJ}^{(n+1)}(X). \end{array}$$

(b) 
$$\overline{C}_{N,I}^{(n)}(X) \leq \overline{C}_{N,I}^{(n+1)}(X)$$
 and  $\underline{C}_{N,I}^{(n+1)}(X) \leq \underline{C}_{N,I}^{(n)}(X)$ 

(c) 
$$\overline{C}_{mNJ}^{(n)}(X) \leq \frac{n+1}{n} \overline{C}_{mNJ}^{(n+1)}(X)$$

**Proof** Let  $(X, \|\cdot\|_X)$  be a Banach space and  $n \ge 2$ .

(a) The estimation  $\overline{C}_{NJ}^{(n)}(X) \leq n$  is proved in [17].  $\overline{C}_{mNJ}^{(n)}(X) \leq \overline{C}_{NJ}^{(n)}(X)$  by the definition. Putting  $x_1 \in S(X)$  and  $x_i = x_1$  for i = 2, 3, ..., n, we have

$$C^{(n)}(x_1, x_1, \dots, x_1) = \frac{1}{n2^{n-1}} \sum_{\theta_j = \pm 1} \left\| x_1 + \sum_{j=2}^n \theta_j x_1 \right\|_X^2$$
$$= \frac{1}{n2^{n-1}} \sum_{j=0}^{n-1} \binom{n-1}{j} \left\| (n-2j)x_1 \right\|_X^2$$
$$= \frac{1}{n2^{n-1}} \sum_{j=0}^{n-1} \binom{n-1}{j} (n-2j)^2 = 1.$$

Hence

$$\overline{C}_{mNJ}^{(n)}(X) = \sup \left\{ C^{(n)}(x_1, x_2, \dots, x_n) : x_i \in S(X), \ i = 1, 2, \dots, n \right\} \ge 1$$

and

$$\underline{C}_{mNJ}^{(n)}(X) = \inf \left\{ C^{(n)}(x_1, x_2, \dots, x_n) : x_i \in S(X), \ i = 1, 2, \dots, n \right\} \le 1.$$

Obviously,  $\underline{C}_{NJ}^{(n)}(X) \leq \underline{C}_{mNJ}^{(n)}(X)$  by the definition. To prove that  $\frac{1}{n} \leq \underline{C}_{NJ}^{(n)}(X)$ , we use the mathematical induction principle. For n = 2 we have

$$\underline{C}_{NJ}^{(2)}(X) = \frac{1}{\overline{C}_{NJ}^{(2)}(X)} \ge \frac{1}{2}.$$

Suppose that  $\underline{C}_{N,I}^{(n-1)}(X) \geq \frac{1}{n-1}$ . Notice that

$$||x + y||_X^2 + ||x - y||_X^2 \ge 2 \left( \max \left\{ ||x||_X, ||y||_X \right\} \right)^2 \ge ||x||_X^2 + ||y||_X^2$$
 (2)

for any  $x, y \in X$ . Really, since

$$||x + y||_X + ||x - y||_X \ge 2 \max \{||x||_X, ||y||_X\} = 2m_{x,y} \ge ||x + y||_X - ||x - y||_X$$

we have

$$||x + y||_X^2 + ||x - y||_X^2 \ge ||x + y||_X^2 + (2m_{x,y} - ||x + y||_X)^2$$

$$= 2 ||x + y||_X^2 - 4m_{x,y} ||x + y||_X + 4 (m_{x,y})^2$$

$$= 2 \left[ (||x + y||_X - m_{x,y})^2 + (m_{x,y})^2 \right]$$

$$\ge 2 \left( \max \left\{ ||x||_X, ||y||_X \right\} \right)^2 \ge ||x||_X^2 + ||y||_X^2.$$

Hence

$$C^{(n)}(x_{1}, x_{2}, ..., x_{n}) = \frac{\sum_{\theta_{j}=\pm 1} \left\| x_{1} + \sum_{j=2}^{n} \theta_{j} x_{j} \right\|_{X}^{2}}{2^{n-1} \sum_{j=1}^{n} \left\| x_{j} \right\|_{X}^{2}}$$

$$= \frac{\sum_{\theta_{j}=\pm 1} \left\| \sum_{j=1}^{n} \theta_{j} x_{j} \right\|_{X}^{2}}{2^{n} \sum_{j=1}^{n} \left\| x_{j} \right\|_{X}^{2}}$$

$$= \frac{\sum_{\theta_{j}=\pm 1} \left( \left\| \left( \sum_{j \neq i} \theta_{j} x_{j} \right) + x_{i} \right\|_{X}^{2} + \left\| \left( \sum_{j \neq i} \theta_{j} x_{j} \right) - x_{i} \right\|_{X}^{2} \right)}{2^{n} \sum_{j=1}^{n} \left\| x_{j} \right\|_{X}^{2}}$$

$$\geq \frac{\sum_{\theta_{j}=\pm 1} \left\| \sum_{j \neq i} \theta_{j} x_{j} \right\|_{X}^{2} + 2^{n-1} \left\| x_{i} \right\|_{X}^{2}}{2^{n} \sum_{j=1}^{n} \left\| x_{j} \right\|_{X}^{2}}$$

$$\geq \frac{2^{n-1}}{n-1} \sum_{j \neq i} \left\| x_{j} \right\|_{X}^{2} + 2^{n-1} \left\| x_{i} \right\|_{X}^{2}}{2^{n} \sum_{j=1}^{n} \left\| x_{j} \right\|_{X}^{2}}$$

$$= \frac{1}{n-1} \sum_{j \neq i} \left\| x_{j} \right\|_{X}^{2} + \left\| x_{i} \right\|_{X}^{2}}{2 \sum_{j=1}^{n} \left\| x_{j} \right\|_{X}^{2}}$$

$$= \frac{1}{n-1} \sum_{j=1}^{n} \left\| x_{j} \right\|_{X}^{2} + \frac{n-2}{n-1} \left\| x_{i} \right\|_{X}^{2}}{2 \sum_{j=1}^{n} \left\| x_{j} \right\|_{X}^{2}}$$

$$= \frac{1}{2(n-1)} + \frac{(n-2) \left\| x_{i} \right\|_{X}^{2}}{2(n-1) \sum_{j=1}^{n} \left\| x_{j} \right\|_{X}^{2}}$$

for any i = 1, 2, ..., n. It follows that

$$C^{(n)}(x_1, x_2, ..., x_n) \ge \frac{1}{n} \sum_{i=1}^{n} \left( \frac{1}{2(n-1)} + \frac{(n-2) \|x_i\|_X^2}{2(n-1) \sum_{j=1}^{n} \|x_j\|_X^2} \right)$$
$$= \frac{1}{2(n-1)} + \frac{n-2}{2n(n-1)} = \frac{1}{n}$$

and consequently  $\underline{C}_{NJ}^{(n)}(X) \geq \frac{1}{n}$ , which finishes the proof of (a).

(b) The inequality  $\overline{C}_{NJ}^{(n)}(X) \leq \overline{C}_{NJ}^{(n+1)}(X)$  is proved in [17]. To prove the second inequality it is enough to notice that

$$C^{(n)}(x_1, x_2, \dots, x_n) = C^{(n+1)}(x_1, x_2, \dots, x_n, 0)$$

for any elements  $x_1, x_2, \ldots, x_n \in X$ . Hence

$$\underline{C}_{NJ}^{(n+1)}(X) = \inf \left\{ C^{(n+1)}(x_1, x_2, \dots, x_n, x_{n+1}) : x_1, x_2, \dots, x_{n+1} \in X \right\}$$

$$\leq \inf \left\{ C^{(n)}(x_1, x_2, \dots, x_n) : x_1, x_2, \dots, x_n \in X \right\} = \underline{C}_{NJ}^{(n)}(X).$$

(c) For any  $x_1, x_2, \ldots, x_{n+1} \in S(X)$ , by the inequality (2), we have

$$C^{(n+1)}(x_1, x_2, \dots, x_{n+1}) = \frac{\sum\limits_{\theta_j = \pm 1} \left( \left\| \left( \sum\limits_{j=1}^n \theta_j x_j \right) + x_{n+1} \right\|_X^2 + \left\| \left( \sum\limits_{j=1}^n \theta_j x_j \right) - x_{n+1} \right\|_X^2 \right)}{(n+1)2^{n+1}}$$

$$\geq \frac{1}{(n+1)2^n} \sum\limits_{\theta_j = \pm 1} \max \left\{ \left\| \sum_{j=1}^n \theta_j x_j \right\|_X^2, \left\| x_{n+1} \right\|_X^2 \right\}$$

$$\geq \frac{1}{(n+1)2^n} \sum\limits_{\theta_j = \pm 1} \left\| \sum_{j=1}^n \theta_j x_j \right\|_X^2 = \frac{n}{n+1} C^{(n)}(x_1, x_2, \dots, x_n),$$

whence  $\overline{C}_{mNJ}^{(n)}(X) \leq \frac{n+1}{n} \overline{C}_{mNJ}^{(n+1)}(X)$ .

**Proposition 2** Let  $n \ge 2$  and X be a Banach space.

- (a) The following conditions are equivalent:
  - (i) X is a Hilbert space;

  - (ii)  $\overline{C}_{NJ}^{(n)}(X) = 1;$ (iii)  $\underline{C}_{NJ}^{(n)}(X) = 1.$
- (b) If X is a Hilbert space, then  $\overline{C}_{mNJ}^{(n)}(X) = \underline{C}_{mNJ}^{(n)}(X) = 1$ .

**Proof** (a) By Theorem 5 (iii) in [17], conditions (i) and (ii) are equivalent. To prove the implication (i)  $\Rightarrow$  (iii) suppose that X is a Hilbert space. By elementary calculations, we get that

$$C^{(n)}(x_1, x_2, \dots, x_n) = 1$$
 (3)

for any elements  $x_1, x_2, \dots, x_n \in X$ , whence  $\underline{C}_{NJ}^{(n)}(X) = 1$ . Conversely, if  $\underline{C}_{NJ}^{(n)}(X) = 1$ 1, then, by Proposition 1 (a) and (b), we have

$$1 \ge \frac{1}{C_{NJ}(X)} = \underline{C}_{NJ}^{(2)}(X) \ge \underline{C}_{NJ}^{(n)}(X) = 1.$$

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Hence  $C_{NJ}(X) = 1$ . Consequently, X is a Hilbert space (see [13]).

In general, the explicit calculation of various types of n-th von Neumann–Jordan constant is rather a hard problem. Anyway, the next proposition can be helpful to do this.

**Proposition 3** Let  $(X, \|\cdot\|_X)$  be a Banach space and  $n \ge 2$ . Denote  $D_1 = [B(X)]^n \setminus \{0\}$ ,  $D_2 = B(l_n^2(X)) \setminus \{0\}$ ,  $D_3 = S(l_n^2(X))$ , where  $\mathbf{0} = (0, 0, ..., 0)$ . Then

$$\overline{C}_{NJ}^{(n)}(X) = \sup \left\{ C^{(n)}(x_1, x_2, \dots, x_n) : (x_1, x_2, \dots, x_n) \in D_j \right\}$$
 (4)

and

$$\underline{C}_{NJ}^{(n)}(X) = \inf \left\{ C^{(n)}(x_1, x_2, \dots, x_n) : (x_1, x_2, \dots, x_n) \in D_j \right\}$$
 (5)

for any j = 1, 2, 3.

**Proof** Since

$$S(l_n^2(X)) \subset B(l_n^2(X)) \setminus \{\mathbf{0}\} \subset [B(X)]^n \setminus \{\mathbf{0}\} \subset X^n \setminus \{\mathbf{0}\},$$

it follows that

$$\sup_{\mathbf{x}\in D_3} C^{(n)}(\mathbf{x}) \leq \sup_{\mathbf{x}\in D_2} C^{(n)}(\mathbf{x}) \leq \sup_{\mathbf{x}\in D_1} C^{(n)}(\mathbf{x}) \leq \overline{C}_{NJ}^{(n)}(X),$$

where  $\mathbf{x} = (x_1, x_2, \dots, x_n)$ . To show (4), it remains to prove that  $\sup_{\mathbf{x} \in D_3} C^{(n)}(\mathbf{x}) \ge \overline{C}_{NJ}^{(n)}(X)$ . Let  $\mathbf{x} = (x_1, x_2, \dots, x_n) \in X^n \setminus \{\mathbf{0}\}$ . Define the sequence  $\mathbf{y} = (y_k)_{k=1}^n$  by

$$y_k = \frac{x_k}{\left(\sum_{j=1}^n \|x_j\|_X^2\right)^{\frac{1}{2}}}$$

for k = 1, 2, ..., n and  $n \ge 2$ . Obviously,  $\mathbf{y} \in S(l_n^2(X))$ . Hence

$$\sup_{\mathbf{x} \in D_3} C^{(n)}(\mathbf{x}) \ge C^{(n)}(\mathbf{y}) = \frac{\sum_{\theta_j = \pm 1} \left\| y_1 + \sum_{j=2}^n \theta_j y_j \right\|_X^2}{2^{n-1}}$$

$$= \frac{\sum_{\theta_j = \pm 1} \left\| x_1 + \sum_{j=2}^n \theta_j x_j \right\|_X^2}{2^{n-1} \sum_{j=1}^n \left\| x_j \right\|_X^2} = C^{(n)}(\mathbf{x})$$

for any elements  $\mathbf{x} \in X^n \setminus \{\mathbf{0}\}$ . Therefore

$$\sup_{\mathbf{x}\in D_3} C^{(n)}(\mathbf{x}) \ge \sup \left\{ C^{(n)}(\mathbf{x}) : \mathbf{x}\in X^n\setminus \{\mathbf{0}\} \right\} = \overline{C}_{NJ}^{(n)}(X)$$

which finishes the proof of (4). The equality (5) can be proved similarly.

Let  $n \geq 2$ . Define

$$A_2 = \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}_{2 \times 2}$$

and for each integers n > 2

$$A_n = \begin{bmatrix} A_{n-1} & \mathbf{1} \\ A_{n-1} & -\mathbf{1} \end{bmatrix}_{2^{n-1} \times n},$$

where 1 denotes the  $2^{n-2}$ -by-1 column vector in which all the elements are equal to 1. The matrix  $A_n$  generates a linear operator

$$T_n: l_n^2(X) \to l_{2^{n-1}}^2(X)$$

defined for any  $x \in l_n^2(X)$  by the formula

$$T_n(x) = A_n x$$
.

A one-to-one correspondence between n-th von Neumann–Jordan constant  $\overline{C}_{NJ}^{(n)}(X)$  and the norm of the operator  $T_n$  is given by the following result.

**Corollary 1** Let  $(X, \|\cdot\|_X)$  be a Banach space and  $T_n : l_n^2(X) \to l_{2^{n-1}}^2(X)$  be the linear operator generated by the matrix  $A_n$ . Then

$$\overline{C}_{NJ}^{(n)}(X) = \frac{||T_n||^2}{2^{n-1}}$$

for any integer  $n \geq 2$ .

**Proof** Fix  $n \ge 2$ . Let  $x_1, x_2, \ldots, x_n \in X$  and  $\sum_{j=1}^n ||x_j||_X^2 > 0$ . Denote  $\mathbf{x} = (x_1, x_2, \ldots, x_n)$ . By Proposition 3, we have

$$\overline{C}_{NJ}^{(n)}(X) = \sup \left\{ \frac{\sum_{\theta_j = \pm 1} \left\| x_1 + \sum_{j=2}^n \theta_j x_j \right\|_X^2}{2^{n-1} \sum_{j=1}^n \left\| x_j \right\|_X^2} : \mathbf{x} \in S(l_n^2(X)) \right\}$$
$$= \frac{1}{2^{n-1}} \sup \left\{ ||T_n x||_{l_{2^{n-1}}^2(X)}^2 : \mathbf{x} \in S(l_n^2(X)) \right\} = \frac{||T_n||^2}{2^{n-1}}.$$

**Corollary 2** Let  $(X^*, \|\cdot\|_{X^*})$  be the dual space of the Banach space  $(X, \|\cdot\|_X)$ . Then

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(a) 
$$\underline{C}_{NJ}^{(n)}(X^*) \ge \frac{1}{\overline{C}_{NJ}^{(n)}(X)}$$
.

(b) 
$$\underline{C}_{NJ}^{(n)}(X) \ge \frac{1}{\overline{C}_{NJ}^{(n)}(X^*)}$$
.

**Proof** (a) Define an operator  $T_n: l_n^2(X) \to l_{2^{n-1}}^2(X)$  as above. Let  $T_n^*$  be the adjoint of operator  $T_n$ . Obviously,  $T_n^*: l_{2^{n-1}}^2(X^*) \to l_n^2(X^*)$  is generated by the matrix  $A_n^* = A_n^T$ . Then, by Corollary 1, we get

$$\frac{1}{\overline{C}_{NJ}^{(n)}(X)} = \frac{2^{n-1}}{||T_n||^2} = \frac{2^{n-1}}{||T_n^*||^2} \le \frac{2^{n-1} \|y^*\|_{l^2_{2^{n-1}}(X^*)}^2}{\|T_n^*y^*\|_{l^2_{2}(X^*)}^2} \tag{6}$$

for any  $y^*=(y_1^*,y_2^*,\ldots,y_{2^{n-1}}^*)\in l_{2^{n-1}}^2(X^*)\setminus\{\mathbf{0}\}$ . Let  $S_n^*=\frac{1}{2^{n-1}}A_n$ . Then,  $S_n:l_n^2(X^*)\to l_{2^{n-1}}^2(X^*)$ . Since

$$A_n^* \cdot \frac{1}{2^{n-1}} A_n = I_n,$$

where  $I_n$  is the identity matrix of size  $n \times n$ , it follows that

$$T_n^* \left( S_n^* x^* \right) = x^*$$

for any  $x^* = (x_1^*, x_2^*, \dots, x_n^*) \in l_n^2(X^*)$ . Hence, by (6), we have

$$\begin{split} \frac{1}{\overline{C}_{NJ}^{(n)}(X)} &\leq \frac{2^{n-1} \left\| S_n^* x^* \right\|_{l_{2^{n-1}}^2(X^*)}^2}{\left\| T_n^* \left( S_n^* x^* \right) \right\|_{l_n^2(X^*)}^2} = \frac{\left\| A_n x^* \right\|_{l_{2^{n-1}}^2(X^*)}^2}{2^{n-1} \left\| x^* \right\|_{l_n^2(X^*)}^2} \\ &= \frac{\sum_{\theta_j = \pm 1} \left\| x_1^* + \sum_{j=2}^n \theta_j x_j^* \right\|_{X^*}^2}{2^{n-1} \sum_{j=1}^n \left\| x_j^* \right\|_{Y^*}^2} = C^{(n)} \left( x_1^*, x_2^*, \dots, x_n^* \right) \end{split}$$

for any  $(x_1^*, x_2^*, \dots, x_n^*) \in (X^*)^n \setminus \{0\}$ . By the definition of the lower *n*-th von Neumann–Jordan constant, we get the thesis of (a).

(b) Since X can be isometrically embedded into  $X^{**}$ , it follows that  $\underline{C}_{NJ}^{(n)}(X) \ge \underline{C}_{NJ}^{(n)}(X^{**})$ . Hence, by (a), we have

$$\underline{C}_{NJ}^{(n)}(X) \ge \underline{C}_{NJ}^{(n)}(X^{**}) \ge \frac{1}{\overline{C}_{NJ}^{(n)}(X^{*})}.$$

The *n*-th von Neumann–Jordan constant for some classical Banach spaces can be calculated effectively.

Birkhäuser

## Proposition 4 Let n > 2.

- (a) If  $1 \le p \le 2$  and  $n \le m \le \infty$ , then  $\overline{C}_{NJ}^{(n)}(l_m^p) = \overline{C}_{mNJ}^{(n)}(l_m^p) = n^{\frac{2}{p}-1}$ .

- (b) If  $2^{n-1} \le m \le \infty$ , then  $\overline{C}_{NJ}^{(n)}(l_m^{\infty}) = \overline{C}_{mNJ}^{(n)}(l_m^{\infty}) = n$ . (c) If  $n \le m \le \infty$ , then  $\underline{C}_{NJ}^{(n)}(l_m^{\infty}) = \underline{C}_{mNJ}^{(n)}(l_m^{\infty}) = \frac{1}{n}$ . (d) Let  $(\Omega, \Sigma, \mu)$  be a measure space with non-atomic  $\sigma$ -finite and complete measure  $\mu$ . Then

$$\overline{C}_{mNJ}^{(n)}(L^{1}(\mu)) = \overline{C}_{NJ}^{(n)}(L^{1}(\mu)) = \overline{C}_{NJ}^{(n)}(L^{\infty}(\mu)) = \overline{C}_{NJ}^{(n)}(L^{\infty}(\mu)) = n$$

and

$$\underline{C}_{mNJ}^{(n)}(L^{\infty}(\mu)) = \underline{C}_{NJ}^{(n)}(L^{\infty}(\mu)) = \frac{1}{n}.$$

**Proof** (a) Let  $n \le m \le \infty$ . By Theorem 3 (ii) in [17],  $\overline{C}_{NJ}^{(n)}(l_m^p) = n^{\frac{2}{p}-1}$ . By Proposition 1 (b),  $\overline{C}_{mNJ}^{(n)}(l_m^p) \le n^{\frac{2}{p}-1}$ . Taking the canonical basis  $(e_i)_{i=1}^m$   $(n \le m \le \infty)$  in  $l_m^p$ , we get

$$C^{(n)}(e_1, e_2, \dots, e_n) = \frac{\sum_{\theta_j = \pm 1} \left\| e_1 + \sum_{j=2}^n \theta_j e_j \right\|_{l^p}^2}{2^{n-1} \sum_{j=1}^n \left\| e_j \right\|_{l^p}^2}$$
$$= \frac{n^{\frac{2}{p}} 2^{n-1}}{n 2^{n-1}} = n^{\frac{2}{p} - 1}.$$

Since  $e_i \in S(l_m^p)$  for  $i \in \mathbb{N} \cap [1, m]$ , it follows that

$$\overline{C}_{mNI}^{(n)}(l_m^p) \ge C^{(n)}(e_1, e_2, \dots, e_n) = n^{\frac{2}{p}-1}.$$

Hence  $\overline{C}_{mNJ}^{(n)}(l_m^p)=n^{\frac{2}{p}-1}$ . (b) Let  $2^{n-1}\leq m\leq \infty$ . Then  $l_m^\infty$  is not uniformly non- $l_n^1$ . By Theorem 5 (iv) in [17] and Proposition 1 (a), we conclude that  $\overline{C}_{NJ}^{(n)}(l_m^\infty)=n$ . To prove that  $\overline{C}_{mNJ}^{(n)}(l_m^\infty)=n$ take the matrix  $A_n$  defined as above. The column j of  $A_n$  denote by  $\mathbf{a}_j^{(n)}$ . Then  $\mathbf{a}_{i}^{(n)} = [1, a_{2i}^{(n)}, \dots, a_{2n-1i}^{(n)}], \text{ where } a_{ij}^{(n)} = \pm 1 \text{ for any } 1 \le j \le n \text{ and } 2 \le i \le 2^{n-1}.$ Define for  $j = 1, 2, \ldots, n$ ,

$$z_j = \sum_{i=1}^{2^{n-1}} a_{ij}^{(n)} e_i,$$

where  $(e_i)_{i=1}^m$   $(n \le m \le \infty)$  is the canonical basis in  $l_m^{\infty}$ . Obviously,  $||z_j||_{l^{\infty}} = 1$ . Let  $(1, \theta_2, \dots, \theta_n)$  be an arbitrary sequence such that  $\theta_j = \pm 1$  for any  $2 \leq \tilde{j} \leq n$ .

Then there is exactly one row  $i_0$  of  $A_n$  such that

$$[1, \theta_2, \dots, \theta_n] = \left[ a_{i_0 1}^{(n)}, a_{i_0 2}^{(n)}, \dots, a_{i_0 n}^{(n)} \right].$$

Hence

$$1 + \sum_{i=2}^{n} \theta_{i} a_{i_{0} j}^{(n)} = n.$$

Moreover

$$\left| 1 + \sum_{j=2}^{n} \theta_j a_{ij}^{(n)} \right| < n$$

for any  $i \neq i_0$ . Consequently,

$$\left\| z_1 + \sum_{j=2}^n \theta_j z_j \right\|_{l^{\infty}} = \max_{1 \le i \le 2^{n-1}} \left| 1 + \sum_{j=2}^n \theta_j a_{ij}^{(n)} \right| = n,$$

whence

$$C^{(n)}(z_1, z_2, \dots, z_n) = \frac{\sum_{\theta_j = \pm 1} \left\| z_1 + \sum_{j=2}^n \theta_j z_j \right\|_{l^{\infty}}^2}{2^{n-1} \sum_{j=1}^n \left\| z_j \right\|_{l^{\infty}}^2} = \frac{n^2 2^{n-1}}{n 2^{n-1}} = n.$$

Therefore

$$n \leq \overline{C}_{mNJ}^{(n)}(l_m^{\infty}) \leq \overline{C}_{NJ}^{(n)}(l_m^{\infty}) = n$$

which completes the proof of (b).

(c) Let  $n \le m \le \infty$  and  $(e_i)$  be the canonical basis in  $l_m^{\infty}$ . By Proposition 1 (a), we have

$$\frac{1}{n} \leq \underline{C}_{NJ}^{(n)}(l_m^{\infty}) \leq \underline{C}_{mNJ}^{(n)}(l_m^{\infty}) \leq C^{(n)}(e_1, e_2, \dots, e_n) 
= \frac{\sum_{\theta_j = \pm 1} \left\| e_1 + \sum_{j=2}^n \theta_j e_j \right\|_{l_m^{\infty}}^2}{2^{n-1} \sum_{j=1}^n \left\| e_j \right\|_{l^{\infty}}^2} = \frac{1}{n}.$$

(d) Since  $L^1(\mu)$  contains an isometric copy of  $l^1$ , applying Proposition 4 (a) for p=1, we get

$$n = \overline{C}_{mNJ}^{(n)}(l^1) \le \overline{C}_{mNJ}^{(n)}(L^1(\mu)) \le \overline{C}_{NJ}^{(n)}(L^1(\mu)) \le n.$$

Hence  $\overline{C}_{mNJ}^{(n)}(L^1(\mu)) = \overline{C}_{NJ}^{(n)}(L^1(\mu)) = n$ . Using the same arguments, by Proposition 4 (b) we conclude that  $\overline{C}_{NJ}^{(n)}(L^{\infty}(\mu)) = \overline{C}_{mNJ}^{(n)}(L^{\infty}(\mu)) = n$ . Similarly, by Proposition 4 (c), we obtain

$$\frac{1}{n} \leq \underline{C}_{NJ}^{(n)}(L^{\infty}(\mu)) \leq \underline{C}_{mNJ}^{(n)}(L^{\infty}(\mu)) \leq \underline{C}_{mNJ}^{(n)}(l^{\infty}) = \frac{1}{n},$$

which completes the proof.

## 3 Uniformly non- $I_n^1$ spaces

The next theorem gives some characterizations of the uniform non- $l_n^1$  property for Banach spaces. Kato, Takahashi and Hashimito proved in [17] that  $(X, \|\cdot\|_X)$  is uniformly non- $l_n^1$  iff  $\overline{C}_{NI}^{(n)}(X) < n$ . We will extend their results.

**Theorem 1** Let  $(X, \|\cdot\|_X)$  be a Banach space. Then the following conditions are equivalent:

- (a)  $\overline{C}_{mNJ}^{(n)}(X) < n;$
- (b)  $(X, \|\cdot\|_X)$  is uniformly non- $l_n^1$ ;
- (c) There exists  $\delta \in (0, 1)$  such that for any element  $(x_1, x_2, \dots, x_n) \in B(l_n^2(X))$ , we have

$$\min_{\theta_j = \pm 1} \left\| x_1 + \sum_{j=2}^n \theta_j x_j \right\|_X \le \sqrt{n} (1 - \delta); \tag{7}$$

(d) There exists  $\delta \in (0, 1)$  such that for any element  $(x_1, x_2, \dots, x_n) \in S(l_n^2(X))$ , the inequality (7) is satisfied.

**Proof** (a)  $\Rightarrow$  (b). Suppose that  $\overline{C}_{mNI}^{(n)}(X) < n$ . Then

$$\frac{1}{2^{n-1}} \sum_{\theta_j = \pm 1} \left\| x_1 + \sum_{j=2}^n \theta_j x_j \right\|_X^2 \le n \overline{C}_{mNJ}^{(n)}(X)$$

for any  $x_1, x_2, \ldots, x_n \in S(X)$ . Since on the left hand side we have an arithmetic mean, there is at least one sequence  $(1, \overline{\theta}_2, \ldots, \overline{\theta}_n)$  such that

$$\left\|x_1 + \sum\nolimits_{j=2}^n \overline{\theta}_j x_j \right\|_X^2 \le n \overline{C}_{mNJ}^{(n)}(X).$$



Hence

$$\min_{\theta_j = \pm 1} \left\| x_1 + \sum_{j=2}^n \theta_j x_j \right\|_X \le n \sqrt{\frac{\overline{C}_{mNJ}^{(n)}(X)}{n}} = n (1 - \delta),$$

where  $\delta = \frac{\sqrt{n} - \sqrt{\overline{C}_{mNJ}^{(n)}(X)}}{\sqrt{n}}$ . Consequently,  $(X, \|\cdot\|_X)$  is uniformly non- $l_n^1$ .

(b)  $\Rightarrow$  (c). Assume that  $(X, \|\cdot\|_X)$  is uniformly non- $l_n^1$ . Let  $(x_1, x_2, \dots, x_n) \in B(l_n^2(X))$ . Since  $\sum_{j=1}^n \|x_j\|_X^2 \le 1$ , it follows that  $\min_{1 \le j \le n} \|x_j\|_X \le \frac{1}{\sqrt{n}}$ . Moreover, by the Hölder inequality, we have

$$\sum_{j=1}^{n} \|x_j\|_X \le \sqrt{n} \left( \sum_{j=1}^{n} \|x_j\|_X^2 \right)^{1/2} \le \sqrt{n}.$$
 (8)

Case 1. Suppose that  $\frac{1}{2\sqrt{n}} < \min_{1 \le j \le n} \|x_j\|_X \le \frac{1}{\sqrt{n}}$ . By the characterization of uniform non- $l_n^1$  given in [14] and by the inequality (8), there is  $\delta_1 > 0$  such that

$$\min_{\theta_{j}=\pm 1} \left\| x_{1} + \sum_{j=2}^{n} \theta_{j} x_{j} \right\|_{X} \leq \left( 1 - \frac{\delta_{1} n \min_{1 \leq i \leq n} \|x_{i}\|_{X}}{\sum_{j=1}^{n} \|x_{j}\|_{X}} \right) \sum_{j=1}^{n} \|x_{j}\|_{X} 
\leq \left( 1 - \frac{\delta_{1} \sqrt{n}}{2 \sum_{j=1}^{n} \|x_{j}\|_{X}} \right) \sum_{j=1}^{n} \|x_{j}\|_{X} 
\leq \sqrt{n} \left( 1 - \frac{\delta_{1}}{2} \right).$$

Case 2. Suppose that  $0 \le \min_{1 \le j \le n} \|x_j\|_X \le \frac{1}{2\sqrt{n}}$ . Let  $x_k$  be the element on which the minimum is taken. Then, by the Hölder inequality, we have

$$||x_1 \pm x_2 \pm \dots \pm x_n||_X \le \sum_{j=1, j \neq k}^n ||x_j||_X + ||x_k||_X$$

$$\le \sqrt{n-1} \sqrt{\sum_{j=1, j \neq k}^n ||x_j||_X^2} + ||x_k||_X$$

$$\le \sqrt{n-1} \sqrt{1 - ||x_k||_X^2} + ||x_k||_X$$

for any choice of signs. Define

$$f(t) = \sqrt{n-1}\sqrt{1-t^2} + t$$

for any  $t \in \left[0, \frac{1}{2\sqrt{n}}\right]$ . By elementary calculus, we conclude that f is an increasing function on the interval  $\left[0, \frac{1}{2\sqrt{n}}\right]$ . Hence, the function f(t) takes its highest value on  $\left[0, \frac{1}{2\sqrt{n}}\right]$  at the point  $t = \frac{1}{2\sqrt{n}}$ . Thus,

$$||x_1 \pm x_2 \pm \dots \pm x_n||_X \le \sqrt{n-1} \sqrt{1 - \left(\frac{1}{2\sqrt{n}}\right)^2} + \frac{1}{2\sqrt{n}}$$

$$= \frac{1}{2\sqrt{n}} \left(\sqrt{(4n-1)(n-1)} + 1\right)$$

$$= \sqrt{n} \left(1 - \frac{(2n-1) - \sqrt{(4n-1)(n-1)}}{2n}\right)$$

for any choice of signs. Taking

$$\delta = \min \left\{ \frac{\delta_1}{2}, \frac{(2n-1) - \sqrt{(4n-1)(n-1)}}{2n} \right\},\,$$

we get (c).

 $(c) \Rightarrow (d)$ . It is obvious.

(d)  $\Rightarrow$  (a). Let  $(x_1, x_2, \dots, x_n) \in S(l_n^2(X))$ . By the assumption (d) there exists  $\delta \in (0, 1)$  such that

$$||x_1 \pm x_2 \pm \cdots \pm x_n||_X \le \sqrt{n} (1 - \delta)$$

for some choice of signs. Moreover, by (8),  $||x_1 \pm x_2 \pm \cdots \pm x_n||_X \leq \sqrt{n}$  for any choice of signs. Hence, we have

$$\frac{\sum_{\theta_{j}=\pm 1} \left\| x_{1} + \sum_{j=2}^{n} \theta_{j} x_{j} \right\|_{X}^{2}}{2^{n-1} \sum_{j=1}^{n} \left\| x_{j} \right\|_{X}^{2}} \leq \frac{n (1-\delta)^{2} + n (2^{n-1}-1)}{2^{n-1}}$$
$$= n - \frac{\delta n (2-\delta)}{2^{n-1}}.$$

By the definition of the upper n-th von Neumann–Jordan constant  $\overline{C}_{NJ}^{(n)}(X)$  and Proposition 3, we conclude

$$\overline{C}_{mNJ}^{(n)}(X) \leq \overline{C}_{NJ}^{(n)}(X) \leq n - \frac{\delta n \left(2 - \delta\right)}{2^{n-1}} < n,$$

which finishes the proof.

By Theorem 1 and the definition of B-convexity, we get immediately

**Corollary 3** A Banach space  $(X, \|\cdot\|_X)$  is B-convex if and only if there is  $n \ge 2$   $(n \in N)$  such that  $\overline{C}_{mN,I}^{(n)}(X) < n$ .



Notice that  $\overline{C}_{mNJ}^{(n)}(X)$  is not equal to  $\overline{C}_{NJ}^{(n)}(X)$  in general (for n=2 see [18]).

**Corollary 4**  $\overline{C}_{mNJ}^{(n)}(X) = n$  if and only if  $\overline{C}_{NJ}^{(n)}(X) = n$ .

**Proof** Since  $(X, \|\cdot\|_X)$  is uniformly non- $l_n^1$  iff  $\overline{C}_{NJ}^{(n)}(X) < n$ , it follows, by Theorem 1, that  $\overline{C}_{NJ}^{(n)}(X) < n$  iff  $\overline{C}_{mNJ}^{(n)}(X) < n$ . Hence, by Proposition 1 (a), we get the thesis.

**Remark 1** Let us notice that the above corollary can be reformulated equivalently as follows

$$\overline{C}_{mNJ}^{(n)}(X) < n$$
 if and only if  $\overline{C}_{NJ}^{(n)}(X) < n$ .

## 4 Upper and lower n-th von Neumann–Jordan constant for $L^p$ -spaces

Now we will calculate the upper n-th von Neumann–Jordan constant for Lebesgue spaces  $L^p(\mu)$  and  $l_m^p$  ( $1 ). To prove the next lemma, we will apply the following results given by Figiel, Iwaniec and Pełczyński in [6]. Namely, for arbitrary scalars <math>c_1, c_2, \ldots, c_n$  and 2 we have

$$\int_{0}^{1} \left| \sum_{j=1}^{n} c_{j} r_{j}(t) \right|^{p} dt \le n^{-1} \int_{0}^{1} \left| \sum_{j=1}^{n} r_{j}(t) \right|^{p} dt \sum_{j=1}^{n} \left| c_{j} \right|^{p}, \tag{9}$$

where  $r_1, r_2, ..., r_n$  (n = 1, 2, ...) are Rademacher functions, that is  $r_n(t) = \operatorname{sign}(\sin 2^n \pi t)$ .

Let  $\lfloor \cdot \rfloor : \mathbb{R} \to \mathbb{Z}$  be the floor function, i.e.  $\lfloor x \rfloor = \max \{ k \in \mathbb{Z} : k \le x \}$  for any  $x \in \mathbb{R}$ .

**Lemma 1** Let  $2 and <math>X = L^p(\mu)$  or  $X = l^p$ . Then

$$\sum_{\theta_j = \pm 1} \left\| x_1 + \sum_{j=2}^n \theta_j x_j \right\|_X^p \le n^{-1} \sum_{k=0}^{\lfloor n/2 \rfloor} \binom{n}{k} (n - 2k)^p \sum_{j=1}^n \left\| x_j \right\|_X^p$$

for any  $x_1, x_2, ..., x_n \in X$  and any integer  $n \ge 1$ .

**Proof** Fix an integer  $n \ge 1$ . Notice that

$$\int_{0}^{1} \left| \sum_{j=1}^{n} c_{j} r_{j}(t) \right|^{p} dt = 2^{1-n} \sum_{\theta_{j} = \pm 1} \left| c_{1} + \sum_{j=2}^{n} \theta_{j} c_{j} \right|^{p}$$

for any scalars  $c_1, c_2, \ldots, c_n$ . On the other hand, it can be proved elementarily that

$$\int_0^1 \left| \sum_{j=1}^n r_j(t) \right|^p dt = 2^{1-n} \sum_{k=0}^{\lfloor n/2 \rfloor} \binom{n}{k} (n-2k)^p.$$

Suppose that  $x_k = \left(t_i^{(k)}\right)_{i=1}^{\infty} \in l^p \text{ for } k = 1, 2, \dots, n.$  By the inequality (9), we get

$$\sum_{\theta_j = \pm 1} \left| t_i^{(1)} + \sum_{j=2}^n \theta_j t_i^{(j)} \right|^p \le n^{-1} \sum_{k=0}^{\lfloor n/2 \rfloor} \binom{n}{k} (n-2k)^p \sum_{j=1}^n \left| t_i^{(j)} \right|^p$$

for any  $i \in \mathbb{N}$ . Summing by sides from i = 1 to  $\infty$  and reversing the order of summation, we obtain the thesis. Similarly, for  $X = L^p(\mu)$  take  $x_1, x_2, \ldots, x_n \in L^p(\mu)$ . Then, by the inequality (9), we get

$$\sum_{\theta_j = \pm 1} \left| x_1(t) + \sum_{j=2}^n \theta_j x_j(t) \right|^p \le n^{-1} \sum_{k=0}^{\lfloor n/2 \rfloor} \binom{n}{k} (n - 2k)^p \sum_{j=1}^n \left| x_j(t) \right|^p$$

for almost every  $t \in \Omega$ . Integrating by sides this inequality and reversing the order of summation and integration, we obtain the desired inequality.

**Theorem 2** Let  $1 \le p < \infty$  and  $X = L^p(\mu)$  or  $X = l_m^p$   $(1 \le m \le \infty)$ . Then

$$\overline{C}_{mNJ}^{(n)}(X) = \begin{cases} n^{\frac{2}{p}-1} & \text{if } 1 \leq p \leq 2 \text{ and } m \geq n, \\ n^{-1} \left( 2^{1-n} \sum_{k=0}^{\lfloor n/2 \rfloor} \binom{n}{k} (n-2k)^p \right)^{\frac{2}{p}} & \text{if } 2$$

**Proof** Case 1. Let  $1 \le p \le 2$ . By Theorem 3 from [17] and Proposition 1 (a), for all  $n \ge 2$ , we have

$$\overline{C}_{mNJ}^{(n)}(X) \le \overline{C}_{NJ}^{(n)}(X) = n^{\frac{2}{p}-1}.$$

whenever  $X = L^p(\mu)$  or  $X = l_m^p$ ,  $m \in \mathbb{N} \cup \{\infty\}$ . The opposite inequality follows immediately from Proposition 4 (a) whenever  $X = l_m^p$  with  $m \ge n$ .

Now consider  $X = L^p(\mu)$ . Let  $A \subset \Omega$  be a set of positive finite measure. Divide the set A into n pairwise disjoint subsets  $A_1, A_2, \ldots, A_n$  such that  $\bigcup_{i=1}^n A_i = A$  and  $\mu(A_i) = \frac{1}{n}\mu(A)$ . Define  $z_i = \mu(A_i)^{-1/p}\chi_{A_i}$  for  $i = 1, 2, \ldots, n$ . Then

$$\|z_i\|_{L^p} = \left(\int_{A_i} \left(\mu(A_i)^{-1/p}\right)^p d\mu\right)^{\frac{1}{p}} = 1$$

for any  $i \in \{1, 2, \dots, n\}$  and

$$\overline{C}_{mNJ}^{(n)}(L^{p}(\mu)) \geq C^{(n)}(z_{1}, z_{2}, \dots, z_{n}) 
= \frac{1}{n2^{n-1}} \sum_{\theta_{j} = \pm 1} \left\| z_{1} + \sum_{j=2}^{n} \theta_{j} z_{j} \right\|_{L^{p}}^{2} 
= \frac{1}{n2^{n-1}} \sum_{\theta_{j} = \pm 1} \left\| \mu(A_{1})^{-1/p} \chi_{A_{1}} + \sum_{j=2}^{n} \theta_{j} \mu(A_{j})^{-1/p} \chi_{A_{j}} \right\|_{L^{p}}^{2} 
= \frac{1}{n2^{n-1}} 2^{n-1} \left\| \left( \frac{1}{n} \mu(A) \right)^{-1/p} \chi_{A} \right\|_{L^{p}}^{2} 
= \frac{1}{n} \left[ \mu(A) \left( \frac{1}{n} \mu(A) \right)^{-1} \right]^{\frac{2}{p}} = n^{\frac{2}{p} - 1}.$$

Hence  $\overline{C}_{mNJ}^{(n)}(L^p(\mu)) = \overline{C}_{NJ}^{(n)}(L^p(\mu)) = n^{\frac{2}{p}-1}$ , whenever  $1 \le p \le 2$ . Case 2. Let  $2 and <math>X = L^p(\mu)$  or  $X = l_m^p \ (2^{n-1} \le m \le \infty)$ . By the Hölder-Rogers inequality for p > 2 and by Lemma 1, we have

$$\sum_{\theta_{j}=\pm 1} \left\| x_{1} + \sum_{j=2}^{n} \theta_{j} x_{j} \right\|_{X}^{2} \leq 2^{2(n-1)\left(\frac{1}{2} - \frac{1}{p}\right)} \left( \sum_{\theta_{j}=\pm 1} \left\| x_{1} + \sum_{j=2}^{n} \theta_{j} x_{j} \right\|_{X}^{p} \right)^{\frac{2}{p}} \\
\leq 2^{(n-1)\left(\frac{p-2}{p}\right)} \left( n^{-1} \sum_{k=0}^{\lfloor n/2 \rfloor} \binom{n}{k} (n-2k)^{p} \sum_{j=1}^{n} \left\| x_{j} \right\|_{X}^{p} \right)^{\frac{2}{p}} \tag{10}$$

for any  $x_1, x_2, ..., x_n \in X$ . Assume that  $x_1, x_2, ..., x_n \in S(X)$ . Then, by inequality (10), we have

$$C^{(n)}(x_1, x_2, \dots, x_n) = \frac{\sum_{\theta_j = \pm 1} \left\| x_1 + \sum_{j=2}^n \theta_j x_j \right\|_X^2}{n2^{n-1}}$$

$$\leq \frac{1}{n2^{n-1}} 2^{(n-1)\left(\frac{p-2}{p}\right)} \left( n^{-1} \sum_{k=0}^{\lfloor n/2 \rfloor} \binom{n}{k} (n-2k)^p \sum_{j=1}^n \left\| x_j \right\|_X^p \right)^{\frac{2}{p}}$$

$$= \frac{1}{n} 2^{(n-1)\left(\frac{p-2}{p}-1\right)} \left( \sum_{k=0}^{\lfloor n/2 \rfloor} \binom{n}{k} (n-2k)^p \right)^{\frac{2}{p}}$$

$$= n^{-1} \left( 2^{1-n} \sum_{k=0}^{\lfloor n/2 \rfloor} \binom{n}{k} (n-2k)^p \right)^{\frac{2}{p}},$$

whence

$$\overline{C}_{mNJ}^{(n)}(X) \le n^{-1} \left( 2^{1-n} \sum_{k=0}^{\lfloor n/2 \rfloor} \binom{n}{k} (n-2k)^p \right)^{\frac{2}{p}}. \tag{11}$$

Let the matrix  $A_n$  be defined as in the proof of Proposition 3 (b). Denote by  $y_i$  column i of the matrix  $A_n$  (i = 1, 2, ..., n). For any  $i \in \{1, 2, ..., n\}$  define

$$z_i = \frac{1}{(2^{n-1})^{1/p}} y_i^T,$$

where  $y_i^T$  denotes the transpose of the column  $y_i$ . Then

$$\|z_i\|_{l_{2^{n-1}}^p} = \left(\sum_{m=1}^{2^{n-1}} \left(\frac{1}{(2^{n-1})^{1/p}}\right)^p\right)^{1/p} = 1$$

for any  $i \in \{1,2,\ldots,n\}$ . Hence  $z_1,z_2,\ldots,z_n \in S\left(l_{2^{n-1}}^p\right)$ . For any element  $x=(t_1,t_2,\ldots,t_{2^{n-1}})\in l_{2^{n-1}}^p$  denote by  $x^*$  its non-increasing rearrangement, i.e. a non-increasing sequence obtained from  $\{|t_i|\}_{i=1}^{2^{n-1}}$  by a suitable permutation of the integers. Notice that for all sequences  $(1,\theta_2,\ldots,\theta_n)$  such that  $\theta_j=\pm 1$ ,  $(j=2,3,\ldots,n)$  the non-increasing rearrangements  $\left(z_1+\sum_{j=2}^n\theta_jz_j\right)^*$  coincide. Denoting by  $(v_1,v_2,\ldots,v_{2^{n-1}})$  the non-increasing sequence such that

$$\left(z_1 + \sum_{j=2}^n \theta_j z_j\right)^* = \left(v_1, v_2, \dots, v_{2^{n-1}}\right)$$

for any sequences  $(1, \theta_2, \dots, \theta_n)$ . Hence

$$C^{(n)}(x_1, x_2, \dots, x_n) = \frac{\sum_{\theta_j = \pm 1} \left\| z_1 + \sum_{j=2}^n \theta_j z_j \right\|_{l_{2^{n-1}}}^2}{n2^{n-1}}$$
$$= \frac{1}{n} \left\| \left( v_1, v_2, \dots, v_{2^{n-1}} \right) \right\|_{l_{2^{n-1}}}^2.$$

Notice that  $v_1 = \frac{n}{(2^{n-1})^{1/p}}$ ,  $v_l = \frac{n-2k}{(2^{n-1})^{1/p}}$  for  $\binom{n}{k}$  subsequent integers l,  $(k=1,2,\ldots,\lfloor n/2\rfloor)$ . Consequently,

$$\overline{C}_{mNJ}^{(n)} \left( l_{2^{n-1}}^p \right) \ge C^{(n)}(x_1, x_2, \dots, x_n) 
= \frac{1}{n} \left( \sum_{k=0}^{\lfloor n/2 \rfloor} \binom{n}{k} \left( \frac{n-2k}{(2^{n-1})^{1/p}} \right)^p \right)^{2/p} 
= n^{-1} \left( 2^{1-n} \sum_{k=0}^{\lfloor n/2 \rfloor} \binom{n}{k} (n-2k)^p \right)^{\frac{2}{p}}.$$

Since  $l_{2^{n-1}}^p$  can be embedded isometrically in any  $l_m^p$  with  $m \ge 2^{n-1}$ , by inequality (11) applied for  $X = l_m^p$ , it follows that

$$\overline{C}_{mNJ}^{(n)}\left(l_{2^{n-1}}^{p}\right) = \overline{C}_{mNJ}^{(n)}\left(l_{m}^{p}\right) = n^{-1}\left(2^{1-n}\sum_{k=0}^{\lfloor n/2\rfloor} \binom{n}{k}(n-2k)^{p}\right)^{\frac{2}{p}}$$

whenever  $2 and <math>m \ge 2^{n-1}$ .

Since  $L^p(\mu)$  contains an isometric copy of  $l^p_{2^{n-1}}$ , by inequality (11), we obtain the thesis for  $X = L^p(\mu)$ , which completes the proof.

Haagerup [11] proved that the best type (2, p) constant in the Khinthine inequality for  $2 \le p < \infty$  is  $B_p = \sqrt{2} \left( \frac{1}{\sqrt{\pi}} \Gamma\left(\frac{p+1}{2}\right) \right)^{\frac{1}{p}}$ . Kato, Takahashi and Hashimoto proved in [17] that  $\overline{C}_{NJ}^{(n)}(X) \le \min\left\{n^{\frac{2}{q}-1}, B_p^2\right\}$ . Combining this result with Theorem 2, we get two hand side estimation of upper von Neumann–Jordan constant for Lebesgue spaces with  $p \in (2, \infty)$ .

**Corollary 5** Let 2 , <math>q be conjugate to p and  $X = L^p(\mu)$  or  $X = l_m^p$ . If  $m \ge 2^{n-1}$ , then

$$n^{-1} \left( 2^{1-n} \sum_{k=0}^{\lfloor n/2 \rfloor} \binom{n}{k} (n-2k)^p \right)^{\frac{2}{p}} \le \overline{C}_{NJ}^{(n)}(X) \le \min \left\{ n^{\frac{2}{q}-1}, B_p^2 \right\}.$$

**Proof** The left hand side inequality follows immediately from Theorem 2. Namely,

$$n^{-1} \left( 2^{1-n} \sum_{k=0}^{\lfloor n/2 \rfloor} \binom{n}{k} (n-2k)^p \right)^{\frac{2}{p}} = \overline{C}_{mNJ}^{(n)} \left( X \right) \le \overline{C}_{NJ}^{(n)} \left( X \right),$$

whenever  $2 , <math>X = L^p(\mu)$  or  $X = l_m^p$  and  $m \ge 2^{n-1}$ . The right hand side inequality was proved in [17].



**Theorem 3** Let  $2 . If <math>X = L^p(\mu)$  or  $X = l^p$ , then

$$\lim_{n\to\infty} \overline{C}_{mNJ}^{(n)}\left(X\right) = \lim_{n\to\infty} \overline{C}_{NJ}^{(n)}\left(X\right) = B_p^2.$$

**Proof** Assume that  $2 . By Theorem 2, for every <math>n \in \mathbb{N}$ , we get

$$\overline{C}_{mNJ}^{(2n)}(X) = (2n)^{-1} \left( 2^{1-2n} \sum_{k=0}^{n} {2n \choose k} (2n - 2k)^{p} \right)^{2/p} 
= \frac{1}{2n} \left( \frac{2^{p+1}}{2^{2n}} \sum_{k=0}^{n} {2n \choose k} (n - k)^{p} \right)^{2/p} 
= \frac{2^{1+2/p}}{n} \left( \frac{1}{2^{2n}} \sum_{j=0}^{n} {2n \choose n-j} j^{p} \right)^{2/p},$$

where j = n - k. On the other hand, by elementary asymptotic method (see [4]), we have

$$\sum_{|j| < x\sqrt{n/2}} \frac{1}{2^{2n}} \binom{2n}{n-j} = (1 + o(1)) \sum_{|j| < x\sqrt{n/2}} \frac{1}{\sqrt{\pi n}} e^{-j^2/n}$$

for any x > 0. Hence, letting  $t_j = j\sqrt{2/n}$ , we obtain

$$\sum_{|j| < x\sqrt{n/2}} \frac{1}{2^{2n}} \binom{2n}{n-j} = (1 + o(1)) \sum_{|t_j| < x} \frac{1}{\sqrt{2\pi}} e^{-t_j^2/2} \Delta t_j$$

for any x > 0. Passing to the limit gives the classic de Moivre–Laplace theorem (see [4]). In our case, we get

$$\sum_{j=0}^{n} \frac{1}{2^{2n}} \binom{2n}{n-j} j^p = (1+o(1)) \left(\frac{n}{2}\right)^{p/2} \frac{1}{\sqrt{2\pi}} \int_0^\infty e^{-t^2/2} t^p dt. \tag{12}$$

Moreover, by the definition of the gamma function, it follows that

$$\begin{split} \frac{1}{\sqrt{2\pi}} \int_0^\infty e^{-t^2/2} t^p dt &= \frac{1}{\sqrt{2\pi}} \int_0^\infty e^{-u} (2u)^{(p-1)/2} du \\ &= \frac{2^{p/2}}{2\sqrt{\pi}} \int_0^\infty e^{-u} u^{(p-1)/2} du \\ &= \frac{2^{p/2}}{2\sqrt{\pi}} \int_0^\infty e^{-u} u^{\left(\frac{p+1}{2}\right)-1} du \\ &= \frac{2^{p/2}}{2\sqrt{\pi}} \Gamma\left(\frac{p+1}{2}\right). \end{split}$$

Thus, by the equality (12), we obtain

$$\begin{split} \overline{C}_{mNJ}^{(2n)}\left(X\right) &= \frac{2^{1+2/p}}{n} \left(\frac{1}{2^{2n}} \sum_{j=0}^{n} \binom{2n}{n-j} j^p\right)^{2/p} \\ &= \frac{2^{1+2/p}}{n} \left((1+o(1)) \left(\frac{n}{2}\right)^{p/2} \frac{1}{\sqrt{2\pi}} \int_{0}^{\infty} e^{-t^2/2} t^p dt\right)^{2/p} \\ &= \frac{n2^{2/p}}{n} (1+o(1))^{2/p} \left(\frac{1}{\sqrt{2\pi}} \int_{0}^{\infty} e^{-t^2/2} t^p dt\right)^{2/p} \\ &= 2^{2/p} (1+o(1))^{2/p} \left(\frac{2^{p/2}}{2\sqrt{\pi}} \Gamma\left(\frac{p+1}{2}\right)\right)^{2/p} \\ &= 2(1+o(1))^{2/p} \left(\frac{1}{\sqrt{\pi}} \Gamma\left(\frac{p+1}{2}\right)\right)^{2/p} \\ &= (1+o(1))^{2/p} \left[\sqrt{2} \left(\frac{1}{\sqrt{\pi}} \Gamma\left(\frac{p+1}{2}\right)\right)^{1/p}\right]^2 \\ &= (1+o(1))^{2/p} B_p^2 \end{split}$$

for any  $n \in \mathbb{N}$ . Hence

$$\lim_{n \to \infty} \overline{C}_{mNJ}^{(2n)}(X) = B_p^2.$$

By Proposition 1 (a), (c) and Corollary 5, we have

$$\overline{C}_{mNJ}^{(2n)}(X) \le \frac{2n+1}{2n} \overline{C}_{mNJ}^{(2n+1)}(X) \le \overline{C}_{NJ}^{(2n+1)}(X) \le B_p^2.$$

By the squeeze theorem, it follows that

$$\lim_{n\to\infty}\overline{C}_{mNJ}^{(2n)}\left(X\right)=\lim_{n\to\infty}\overline{C}_{mNJ}^{(2n+1)}\left(X\right)=\lim_{n\to\infty}\overline{C}_{NJ}^{(2n+1)}\left(X\right)=B_{p}^{2},$$

whence  $\lim_{n\to\infty} \overline{C}_{mNJ}^{(n)}(X) = B_p^2$ . Furthermore,  $\lim_{n\to\infty} \overline{C}_{NJ}^{(n)}(X) = B_p^2$  because the sequence  $\left(\overline{C}_{mNJ}^{(n)}(X)\right)$  is increasing.

The proof of Theorem 3 presented here is a modification of idea given by Cecil Rousseau from The University of Memphis in private communication.

**Corollary 6** Let 
$$2 \le p \le \infty$$
 and  $X = L^p(\mu)$  or  $X = l_m^p$ . If  $m \ge n$ , then  $\underline{C}_{NJ}^{(n)}(X) = n^{\frac{2}{p}-1}$ .

**Proof** Let  $2 \le p \le \infty$  and q denote the conjugate number of p. By Corollary 2 and Theorem 2, we have

$$\underline{C}_{NJ}^{(n)}(L^p(\mu)) \ge \frac{1}{\overline{C}_{NJ}^{(n)}((L^p(\mu))^*)} = \frac{1}{\overline{C}_{NJ}^{(n)}(L^q(\mu))} = n^{1-\frac{2}{q}} = n^{\frac{2}{p}-1}.$$

On the other hand, taking the canonical basis  $\{e_i\}_{i=1}^n$  in  $l_n^p$  we have

$$C^{(n)}(e_1, e_2, \dots, e_n) = \frac{\sum_{\theta_j = \pm 1} \left\| e_1 + \sum_{j=2}^n \theta_j e_j \right\|_{l_n^p}^2}{2^{n-1} \sum_{j=1}^n \left\| e_j \right\|_{l_n^p}^2}$$
$$= \frac{2^{n-1} n^{\frac{2}{p}}}{2^{n-1} n} = n^{\frac{2}{p} - 1}.$$

Hence, by the definition of lower *n*-th von Neumann–Jordan constant, we get

$$\underline{C}_{NJ}^{(n)}(L^p(\mu)) \le \underline{C}_{NJ}^{(n)}(l_m^p) \le \underline{C}_{NJ}^{(n)}(l_n^p) \le n^{\frac{2}{p}-1}$$

whenever  $m \geq n$ . Combining the both inequalities, we get the thesis.

**Remark 2** It is known that  $C_{NJ}(X) = C_{NJ}(X^*)$  (see [16]) and in general  $\overline{C}_{NJ}^{(n)}(X) \neq \overline{C}_{NJ}^{(n)}(X^*)$  for  $n \geq 3$  (see [17]). Theorem 2 shows that  $\overline{C}_{mNJ}^{(2)}(X) = \overline{C}_{mNJ}^{(2)}(X^*)$  whenever  $X = L^p(\mu)$  or  $X = l_m^p$  with  $m \geq 2^{n-1}$ . Really, fix  $1 and consider <math>X = L^p(\mu)$  or  $X = l_m^p$  with  $m \geq 2^{n-1}$ . Let q be conjugate to p. Then q > 2 and  $X^* = L^q(\mu)$  or  $X^* = l_m^q$  with  $m \geq 2^{n-1}$ , respectively. Applying Theorem 2 for n = 2, we have

$$\overline{C}_{mNJ}^{(2)}(X) = 2^{\frac{2}{p}-1}.$$

Since  $q = \frac{p}{p-1} > 2$ , it follows from Theorem 2 that

$$\overline{C}_{mNJ}^{(2)}(X^*) = \frac{1}{2} \left( \frac{1}{2} \sum_{k=0}^{1} {2 \choose k} (2 - 2k)^{\frac{p}{p-1}} \right)^{\frac{2(p-1)}{p}}$$
$$= \frac{1}{2} \left( 2^{\frac{p}{p-1} - 1} \right)^{\frac{2(p-1)}{p}} = 2^{\frac{2}{p} - 1},$$

whence  $\overline{C}_{mNJ}^{(2)}(X) = \overline{C}_{mNJ}^{(2)}(X^*)$ . The equality  $\overline{C}_{mNJ}^{(n)}(X) = \overline{C}_{mNJ}^{(n)}(X^*)$  does not hold in general for  $n \geq 3$ . By Remark 9(ii) in [17] and Theorem 2, we have



$$\overline{C}_{mNJ}^{(n)}(X^*) \leq \overline{C}_{NJ}^{(n)}(X^*) < \overline{C}_{NJ}^{(n)}(X) = n^{\frac{2}{p}-1} = \overline{C}_{mNJ}^{(n)}(X),$$

whence 
$$\overline{C}_{mNJ}^{(n)}(X) \neq \overline{C}_{mNJ}^{(n)}(X^*)$$
 for  $n \geq 3$ .

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