

# EX 1 – DL basics

Please read the submission guidelines before you start.

## Theory

1. Suppose you have an MLP composed of an input of size 10, followed by one hidden layer with output of size 50, and finally one output layer with 3 output neurons. All artificial neurons use the ReLU activation function. The batch size used is  $m$ .
  - a. What is the shape of the input  $\mathbf{X}$ ?
  - b. What about the shape of the hidden layer's weight vector  $\mathbf{W}_h$ , and the shape of its bias vector  $\mathbf{b}_h$ ?
  - c. What is the shape of the output layer's weight vector  $\mathbf{W}_o$ , and its bias vector  $\mathbf{b}_o$ ?
  - d. What is the shape of the network's output matrix  $\mathbf{Y}$ ?
  - e. Write the equation that computes the network's output matrix  $\mathbf{Y}$  as a function of  $\mathbf{X}$ ,  $\mathbf{W}_h$ ,  $\mathbf{b}_h$ ,  $\mathbf{W}_o$ , and  $\mathbf{b}_o$ ?
2. Consider a CNN composed of three convolutional layers, each with  $3 \times 3$  kernels, a stride of 2, and SAME padding. The lowest layer outputs 100 feature maps, the middle one outputs 200, and the top one outputs 400. The input images are RGB images of  $200 \times 300$  pixels. What is the total number of parameters in the CNN? Explain your answer.
3. In this question, we shall derive the gradient for a batch normalization layer.

The algorithm of Batch Normalization, as taken directly from the original paper by Sergey Ioffe and Christian Szegedy:

**Input:** Values of  $x$  over a mini-batch:  $\mathcal{B} = \{x_{1..m}\}$ ;

Parameters to be learned:  $\gamma, \beta$

**Output:**  $\{y_i = \text{BN}_{\gamma, \beta}(x_i)\}$

$$\mu_{\mathcal{B}} \leftarrow \frac{1}{m} \sum_{i=1}^m x_i \quad // \text{ mini-batch mean}$$

$$\sigma_{\mathcal{B}}^2 \leftarrow \frac{1}{m} \sum_{i=1}^m (x_i - \mu_{\mathcal{B}})^2 \quad // \text{ mini-batch variance}$$

$$\hat{x}_i \leftarrow \frac{x_i - \mu_{\mathcal{B}}}{\sqrt{\sigma_{\mathcal{B}}^2 + \epsilon}} \quad // \text{ normalize}$$

$$y_i \leftarrow \gamma \hat{x}_i + \beta \equiv \text{BN}_{\gamma, \beta}(x_i) \quad // \text{ scale and shift}$$

Notation:

- **BN** will stand for Batch Norm.
- $f$  - represents a layer upwards of the BN one.
- $\gamma$  - is the linear transformation which scales  $x$  by  $\gamma$  and adds  $\beta$ .
- $\hat{x}$  is the normalized inputs.
- $\mu$  is the batch mean.
- $\sigma^2$  is the batch variance

The operation steps are given by:

- $f(y)$
- $\gamma(\hat{x}, \gamma, \beta)$
- $\hat{x}(x, \mu, \sigma^2)$

Consider a 1 dimensional BN layer, with a mini batch of size  $m$ .

For every  $1 \leq i \leq m$ , The gradient of  $\frac{\partial f}{\partial y_i}$  is given.

Using all notations given (and the chain rule), calculate:

a.  $\frac{\partial f}{\partial \gamma}$

b.  $\frac{\partial f}{\partial \beta}$

c.  $\frac{\partial f}{\partial \hat{x}_i}$

d.  $\frac{\partial f}{\partial \sigma^2}$

e.  $\frac{\partial f}{\partial \mu}$

f.  $\frac{\partial f}{\partial x_i}$

In final your answers, you may use  $\frac{\partial f}{\partial \hat{x}_i}$  (and of course  $\frac{\partial f}{\partial y_i}$ ), but please notice not to leave any other gradients in them, and that you end up with the clearest answer you can.

## Practical

4. Implement the Lenet5 network over the FashionMNIST data set.

For the Lenet5 there are a few variations of it. Make sure you use the one which is fitted for MNIST.

The data set is available in the course moodle and can also be downloaded at:

<https://github.com/zalandoresearch/fashion-mnist>

Compare the usage of the following techniques with Lenet5:

- Dropout (at the hidden layer)
  - Weight Decay (also known as  $l_2$  loss)
  - Batch Normalization
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- a. A convergence graph is a graph with epochs as x-axis, and accuracy as y-axis. Provide a convergence graph for each of the three techniques – and for each of them plot one graph for the accuracy on the train data and one for the test. In addition, plot one graph without regularization (8 graphs in total).
  - b. Note: For dropout, the train accuracy must be measured without dropout.
  - c. Provide a table, which summarizes all 8 final accuracies.
  - d. Make Conclusions regarding the results.

Comments:

- Describe in the readme file how to train each setting, and how to test it with the saved weights.
- All graphs should be clear with a proper heading. It is highly recommended (but not mandatory) to plot the train and test graphs for each technique together in the same plot (only 4 plots in total).
- For dropout, the train accuracy must be measured without dropout.
- The learning rate, and optimizer are up to your choice. Despite that, if you do not achieve at least 88% test accuracy – you're doing something wrong! (much more can be achieved).

# Good Luck!

