## Assignment 3

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## 1 Amortization

We need to calculate the amortized cost of this function.

$$c_i = \begin{cases} i+c & ; i \neq k^2, k \in \mathbb{N} \\ i^2 & ; i = k^2, k \in \mathbb{N} \end{cases}$$

I did this using the aggregate method. Between 1 and N (both inclusive) there is  $\sqrt{N}$  numbers that are perfect squares, that's what I use to sum cost when the second case is true in the function above. I also subtracted cost of the first case in the second sum as we count it  $\sqrt{N}$  too many times otherwise

$$\frac{1}{n} \sum_{i=1}^{n} c_i = \frac{1}{n} \left( \sum_{i=1}^{n} (i+c) + \sum_{i=1}^{\lfloor \sqrt{n} \rfloor} (i^4 - (i^2 + c)) \right) =$$

$$\frac{1}{n} \left( \sum_{i=1}^{n} i + \sum_{i=1}^{n} c \right) + \frac{1}{n} \left( \sum_{i=1}^{\lfloor \sqrt{n} \rfloor} i^4 - \sum_{i=1}^{\lfloor \sqrt{n} \rfloor} (i^2 + c) \right)$$

I also used the following formulas

$$\sum_{i=1}^{n} i^4 = \frac{n(n+1)(6n^3 + 9n^2 + n - 1)}{30}$$
$$\sum_{i=1}^{n} i^2 = \frac{n(n+1)(2n+1)}{6}$$

$$\frac{1}{n}(\sum_{i=1}^{n}i+\sum_{i=1}^{n}c)+\frac{1}{n}(\sum_{i=1}^{\left\lfloor\sqrt{n}\right\rfloor}i^{4}-\sum_{i=1}^{\left\lfloor\sqrt{n}\right\rfloor}(i^{2}+c))=$$

$$\frac{n(n+1)}{2n}+\frac{nc}{n}+\frac{\sqrt{n}(\sqrt{n}+1)(6\sqrt{n}^{3}+9\sqrt{n}^{2}+\sqrt{n}-1)}{30n}-\frac{1}{n}\sum_{i=1}^{\left\lfloor\sqrt{n}\right\rfloor}(i^{2}+c)=$$

$$\frac{n+1}{2}+c+\frac{(n+\sqrt{n})(6\sqrt{n}^{3}+9\sqrt{n}^{2}+\sqrt{n}-1)}{30n}-\frac{\sqrt{n}(\sqrt{n}+1)(2\sqrt{n}+1)}{6n}-\frac{\sqrt{n}c}{n}=$$

$$\frac{n}{2}+\frac{1}{2}+c+\frac{\sqrt{n}^{3}}{5}+\frac{n}{2}+\frac{\sqrt{n}}{3}-\frac{1}{30\sqrt{n}}-\frac{(n+\sqrt{n})(2\sqrt{n}+1)}{6n}-\frac{c}{\sqrt{n}}=$$

$$n+\frac{1}{2}+c+\frac{\sqrt{n}^{3}}{5}+\frac{\sqrt{n}}{3}-\frac{1}{30\sqrt{n}}-\frac{\sqrt{n}}{3}-\frac{1}{6\sqrt{n}}-\frac{1}{2}-\frac{c}{\sqrt{n}}=$$

$$n+c+\frac{\sqrt{n}^{3}}{5}-\frac{1}{30\sqrt{n}}-\frac{1}{6\sqrt{n}}-\frac{c}{\sqrt{n}}$$

Want to know the amortized cost as n approaches infinity, so we use limit to get the final result

$$\lim_{n \to \infty} (n + c + \frac{\sqrt{n^3}}{5} - \frac{1}{30\sqrt{n}} - \frac{1}{6\sqrt{n}} - \frac{c}{\sqrt{n}}) = \frac{\sqrt{n^3}}{5} = \sqrt{n^3} = c_i'$$

The amortized cost for this function is  $c'_i = \sqrt{n^3}$  where n is the number of calls of the function.

## 2 Amortization

In order to prove that even when we only expand the table by 10% amortized cost is still constant, I presumed it true, and worked my way from the end to the start while taking lectures as an example.

$$c'_{i} = c_{i} + \Phi(D_{i}) - \Phi(D_{i-1})$$
  
$$\Phi(D_{i}) = 11 \cdot num_{i} - 10 \cdot size_{i}$$

11 and 10 were selected as coefficients as they nicely resolve the equation (when I worked from my way from the end to start) and are basically just multiplied by 10 from the original equation  $\Phi(D_i)=1, 1 \cdot num_i - size_i$  from lectures. They also satisfy the condition that  $\Phi(D_i) \geq 0$ 

a) Expansion on i-th operationWhen expanding the following holds

$$size_i = 1.1 \cdot size_{i-1}$$
$$size_{i-1} = num_{i-1} = num_i - 1$$

Now we prove that amortized cost is still constant

$$c'_{i} = c_{i} + \Phi(D_{i}) - \Phi(D_{i-1}) = num_{i} + (11 \cdot num_{i} - 10 \cdot size_{i}) - (11 \cdot num_{i-1} - 10 \cdot size_{i-1}) = 12num_{i} - 10(1.1 \cdot size_{i-1}) - 11(num_{i} - 1) + 10(num_{i} - 1) = 12num_{i} - 11 \cdot size_{i-1} - 11num_{i} + 11 + 10num_{i} - 10 = 11num_{i} - 11(num_{i} - 1) + 1 = 11num_{i} - 11num_{i} + 11 + 1 = 12$$

b) NO expansion on i-th operation When expanding the following holds

$$size_i = size_{i-1}$$

$$num_{i-1} = num_i - 1$$

Now we prove that amortized cost is still constant

$$c'_{i} = c_{i} + \Phi(D_{i}) - \Phi(D_{i-1}) = 1 + (11 \cdot num_{i} - 10 \cdot size_{i}) - (11 \cdot num_{i-1} - 10 \cdot size_{i-1}) = 1 + 11 \cdot num_{i} - 10 \cdot size_{i} - 11(num_{i} - 1) + 10 \cdot size_{i} = 1 + 11 \cdot num_{i} - 11 \cdot num_{i} + 11 = 12$$

## 3 Approximation

First we need to calculate the probability of satisfying one pair of symmetric clauses

$$P(clause_1 \land clause_2 = 1) = 1 - P(clause_1 \land clause_2 = 0)$$

There are 16 possible inputs to each for each clause, but only one where the output is 0 since each clause is in conjunctive normal form

$$P(clause \ is \ not \ satisfied) = \frac{1}{2} \cdot \frac{1}{2} \cdot \frac{1}{2} \cdot \frac{1}{2} = \frac{1}{16}$$

For  $clause_1 \wedge clause_2$  to be 0, either has to be 0 and since they cannot be 0 at the same time (their terms are all negated), this means 2 of 16 possible inputs result in output being 0.

$$P(clause_1 \land clause_2 = 0) = \frac{2}{16}$$
  
 $P(clause_1 \land clause_2 = 1) = 1 - \frac{2}{16} = \frac{14}{16} = \frac{7}{8} \Rightarrow E(Y_i) = \frac{7}{8}$ 

Now we can start finding the approximation factor for this algorithm

$$Y = number of satisfied pairs of clauses = Y_1 + Y_2 + \dots + Y_n$$
 
$$E(Y) = E(Y_1 + Y_2 + \dots + Y_n) = E(\sum_{i=1}^n Y_i) = \sum_{i=1}^n E(Y_i) = \sum_{i=1}^n \frac{7}{8}$$
 
$$E(Y) = \frac{7}{8}n = C; C^* = n \Rightarrow \frac{C^*}{C} = \frac{n}{\frac{7}{8}n} = \frac{8}{7}$$