## **SET THEORY HW 4**

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## **Exercise 1.** M[G] satisfies:

- (1) Pairing.
- (2) Extensionality.
- (3) Union of A and B.

Proof.

(1): Pairing.

We know 1, the largest element in  $\mathbb{P}$  is always in G, any ultrafilter, so given a, b the name

$$\tau := \{\langle a, 1 \rangle, \langle b, 1 \rangle\}$$

evaluates to  $\{a, b\}$ , hence  $\{a, b\} \in M[G]$ .

(2): Extensionality.

What we can use is that M is a ZF system. Note that M is transitive, so for X, Y distinct evaluation of names, which are themselves names by definition of names, since  $\mathbb{P}$  names in  $M^{\mathbb{P}}$  are elements of M, we get that  $X \cap M \neq Y \cap M$  by extentionality of M. Hence, M[G] is extensional.

(3): Union of two elements.

Say 
$$A = \tau_G^A$$
 and  $B = \tau_G^B$  for names  $\tau^A$  and  $\tau^B$ . Then just take

$$\tau := \tau^A \cup \tau^B$$

we get  $\tau_G = A \cup B$  since for each element x in either A or B it is a evaluation from some names somewhere down the "tower" of names (or at some step of the recursive process it is evaluated out), so we have  $x \in \tau_G$ . The other direction is just because  $A \cup B$  exhausts all possible x that can be evaluated.

**Exercise 2.** In the example of partial functions,  $\forall p \in \mathbb{P}$ , p forces that  $f_G$  has infinite domain. In other words

$$p \Vdash \forall_{\alpha} x \exists_{\alpha} y (y > x \land y \in \text{dom } f_G)$$

where we adopted Cohen's notation of  $\alpha$ -labelling.

*Proof.* By definition, for  $p \in \mathbb{P}$ ,  $p \Vdash \forall_{\alpha} x \theta(x)$  if for all  $q \leq p$  and for all  $c \in S_{\beta}$ ,  $\beta \leq \alpha$ , q does not force  $\sim \theta(c)$ . In the above and the following, we use

$$\theta(c) := \exists_{\alpha} y(y > c \land y \in \text{dom } f_G)$$

as an abbreviation.

Now we ask a few yes-no questions, and answer them from the last to the first, which will then get us to our results:

- (1) Does *p* force  $\forall x \theta(c)$ ?
- (2) For q defined as above, does q force  $\sim \theta(c)$ ?
- (3) For all  $r \le q$ , does r not force  $\theta(c)$ ?

Note that  $r \le q$  really is saying that  $\operatorname{dom}(r) \supset \operatorname{dom}(q)$  and since c fixed, there will eventually be some n > c for some r' with  $n \in \operatorname{dom}(r')$  since otherwise  $\operatorname{dom}(r) \subset \{0, 1, 2, \dots, c\}$  for all  $r \le q$ . Thus,  $r' \Vdash \theta(c)$ .

So the answer to (3) is No; Answer to (2) is No; Answer to (1) is Yes by argument in the beginning. Note we are done by this since c is arbitrary.

**Exercise 3.** Let G be an upward closed subset of  $\mathbb{P}$ , then the following are equivalent:

- G is generic for  $\mathbb{P}$  over M;
- For  $\forall$  maximal antichain  $I \in M$  of  $\mathbb{P}$  we have  $|G \cap I| = 1$ .

*Proof.*  $(\Rightarrow:)$ 

Let I be a maximal antichain, G generic, and let

$$J=\{p\in\mathbb{P}\,:\,\exists q\in I\,:\,q\leq p\}$$

which is the downward closure of elements of I. Now we need to show J is dense:

Consider  $r \in \mathbb{P}$ , then it must be compatible with some  $i \in I$  by maximality of antichain, so we let s be such that  $s \leq i$  and  $s \leq r$  since they are compatible, and by definition of J we know  $s \in J$ .

But J dense means G meets J and by upward closure we know G meets I. But G cannot meet two elements of I since that would contradict consistency, so  $|G \cap I| = 1$ .

 $(\Leftarrow:)$ 

Suppose G is upward closed and  $|G \cap I| = 1$ , There are 3 things to show in order to show G generic:

- (a) upward closed.
- (b) Directed  $(\forall p, q \in G, \exists r \in G \text{ such that } r \leq p \text{ and } r \leq q)$ .
- (c) Meet all dense sets.
- (a) is given, now we show (b) and with (b) we show (c).

Let J be a dense set and let's prove G meets it. Consider the antichain of elements of J and by Zorn's lemma there is a maximal one  $I^*$ , we claim that it is really a maximal antichain of  $\mathbb{P}$ , but this by assumption means G meets it.

To see this, suppose  $r \in \mathbb{P}$ , since J dense  $\exists q \in J$  with  $q \leq r$  and necessarily q is compatible with some elements of  $I^*$ , so r must also be compatible with that element, this means we cannot add r to the antichain, hence maximality.

So we have (c). Now to show (b), given  $p, q \in G$ , then define

$$X := \{r : (r \leq q, r \leq p) \lor (r \perp q) \lor (r \perp p)\}$$

and X is dense because for any  $p' \in G$  either p' is consistent with p and q, in which case they have a common lower bound (i.e.  $r \le q \lor p \lor p'$ ) that is in X, or it is not consistent with p or q, which is captured by  $(r \perp q) \lor (r \perp p)$  for  $r \le p'$  (or r stronger than p', hence implies p').

Since X is dense we know G meets X and so if we pick  $t \in G \cap X$  then either  $t \leq p \vee q$ , in which case we are done, or  $t \perp q$ , but then we can extend  $\{t, q\}$  to a maximal chain in I that G meets twice, contradiction, so this case cannot happen. So G is indeed directed.

So we conclude that G is generic.