



生成式AI: Variational AutoEncoder & Diffusion model

黃志勝 (Tommy Huang)

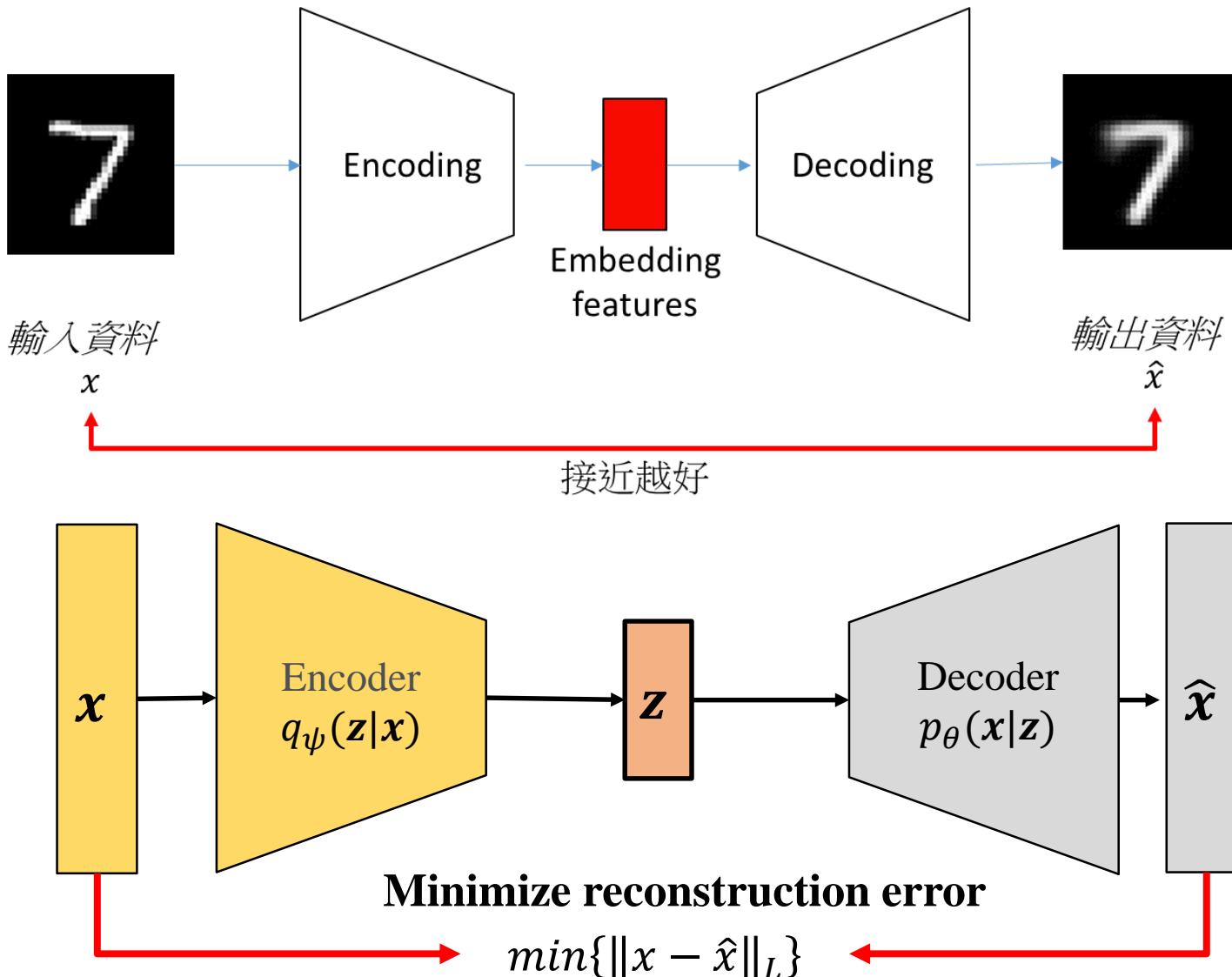
義隆電子 人工智慧研發部

國立陽明交通大學 AI學院 合聘助理教授

國立台北科技大學 電資學院合聘助理教授



Variational AutoEncoder



Reconstruction loss
叫 decoder 別亂畫圖，畫得像輸入

影像常見用
Binary Cross Entropy (BCE) 或 MSE

$$L_{reconstruction} = \mathbb{E}_{q_\psi(z|x)} [-\log p_\theta(x|z)]$$

希望在 sampling 出來的 latent vector z 下，decoder 重建出來的 x 的機率越高越好。



AutoEncoder

Autoencoder lower bound: (推導省略)

$$\log(p(x)) \geq \mathbb{E}_{q_\psi(\mathbf{z}|\mathbf{x})} [\log p_\theta(\mathbf{x}|\mathbf{z})] - D_{KL}(q_\psi(\mathbf{z}|\mathbf{x}) || p(z))$$

當 x 是二值化輸出(黑白影像)

$$p_\theta(x|z) = Bernoulli(x; \hat{x}) =$$

$\hat{x} = decoder(z)$: 輸出是每個pixel為1的機率

$$x \in \{0,1\}$$

Bernoulli 的 log-likelihood 為(概似函數要最大化)

$$\log(p_\theta(x|z)) = \sum_i x_i \log \hat{x}_i + (1 - x_i) \log(1 - \hat{x}_i)$$

BCE(目標要最小化，取負號):

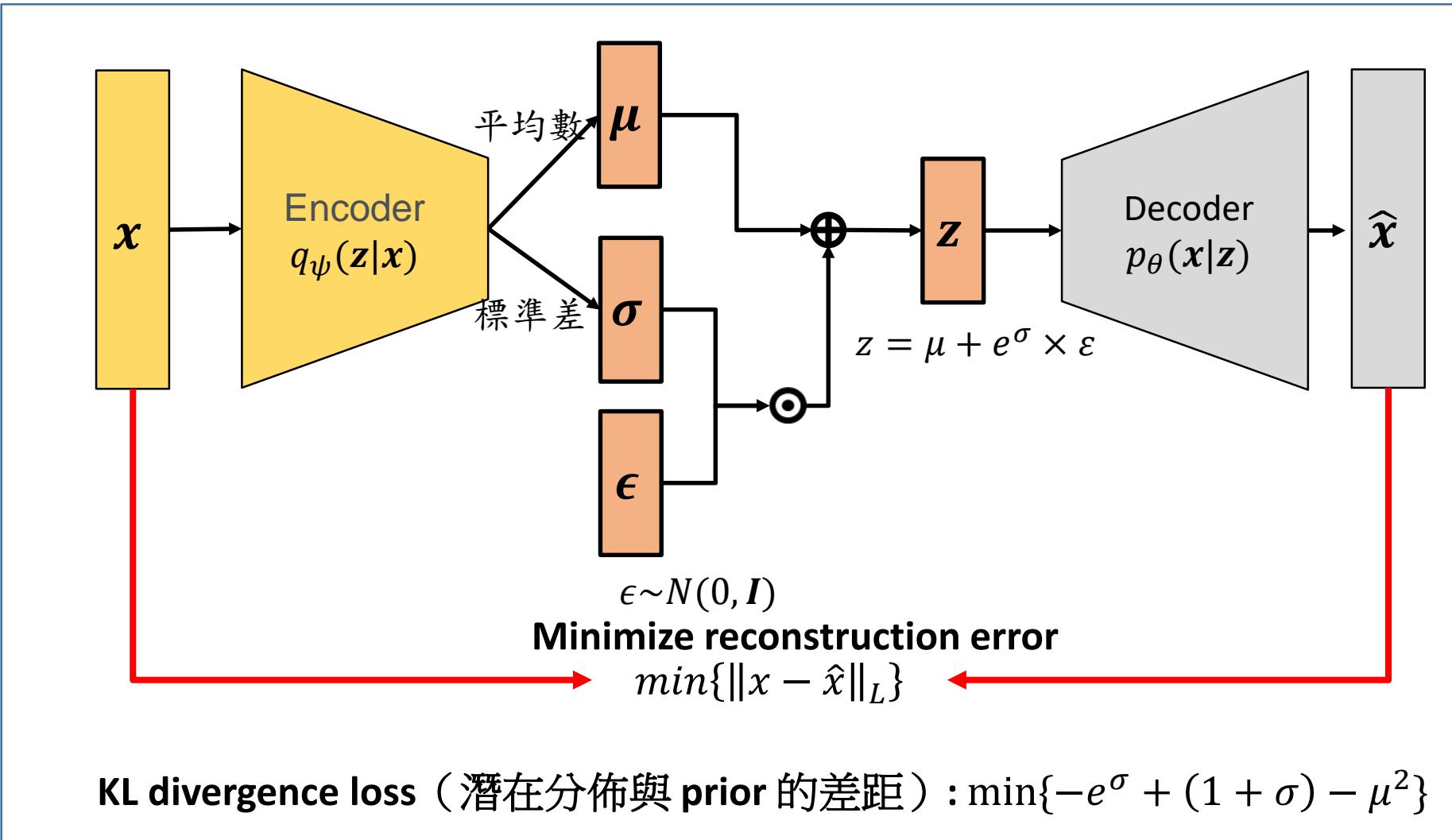
$$L_{reconstruction} = -\log p_\theta(x|z)$$

$$Bernoulli: f(x) = p^x (1-p)^{1-x} = \begin{cases} p & if x = 1 \\ 1-p & if x = 0 \end{cases}$$

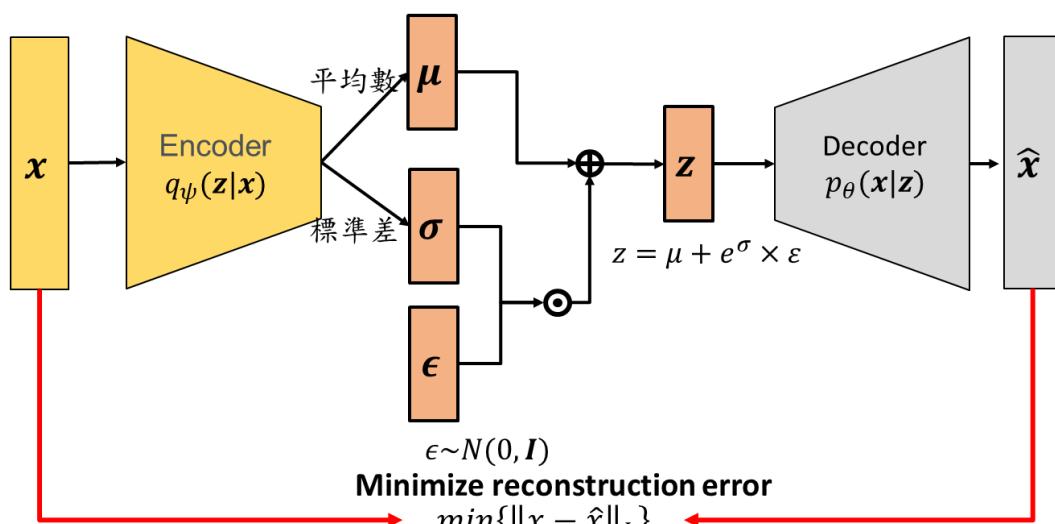
聯合機率分布函數有興趣自行推導
每個樣本機率相乘起來，取 \log 變成相加



Variational AutoEncoder



Variational AutoEncoder



KL divergence loss (潛在分佈與 prior 的差距) : $\min\{-e^\sigma + (1 + \sigma) - \mu^2\}$

- 讓 encoder 學出來的 latent distribution $q_\psi(z|x)$ 不要亂跑，而是 Z 盡量靠近一個標準常態分布 $Z \sim N(0, I)$
- 減少 overfitting
- 可以保證潛在空間是連續且有結構的
→ 可做樣本生成、插值

Autoencoder lower bound: (推導省略)

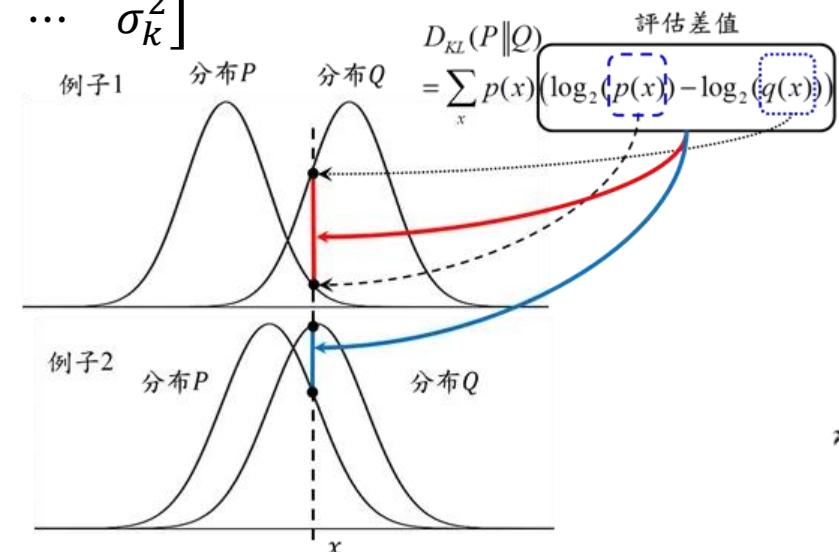
$$\log(p(x)) \geq \mathbb{E}_{q_\psi(z|x)} [\log p_\theta(x|z)] - D_{\text{KL}}(q_\psi(z|x) || p(z))$$

$$L_{\text{KL}} = D_{\text{KL}}(q_\psi(z|x) || p(z))$$

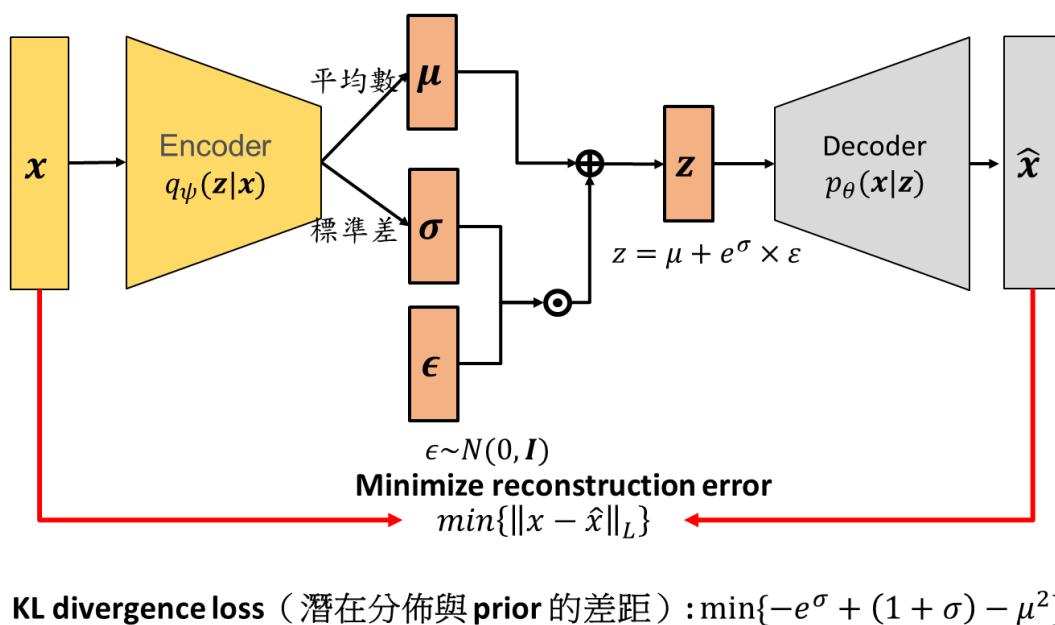
$q_\psi(z|x) = N(\mu, \text{diag}(\sigma^2))$: encoder latent distribution

$$p(z) = N(0, I)$$

$$\Sigma = \begin{bmatrix} \sigma_1^2 & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & \sigma_k^2 \end{bmatrix}$$



Variational AutoEncoder



- 讓 encoder 學出來的 latent distribution $q_\psi(z|x)$ 不要亂跑，而是 Z 盡量靠近一個標準常態分布 $Z \sim N(0, I)$
- 減少 overfitting
- 可以保證潛在空間是連續且有結構的
→ 可做樣本生成、插值

Autoencoder lower bound: (推導省略)

$$\log(p(x)) \geq \mathbb{E}_{q_\psi(z|x)} [\log p_\theta(x|z)] - D_{\text{KL}}(q_\psi(z|x) || p(z))$$

$$L_{\text{KL}} = D_{\text{KL}}(q_\psi(z|x) || p(z))$$

$q_\psi(z|x) = N(\mu, \text{diag}(\sigma^2))$: encoder latent distribution

$$p(z) = N(0, I)$$

$$\Sigma = \begin{bmatrix} \sigma_1^2 & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & \sigma_k^2 \end{bmatrix}$$

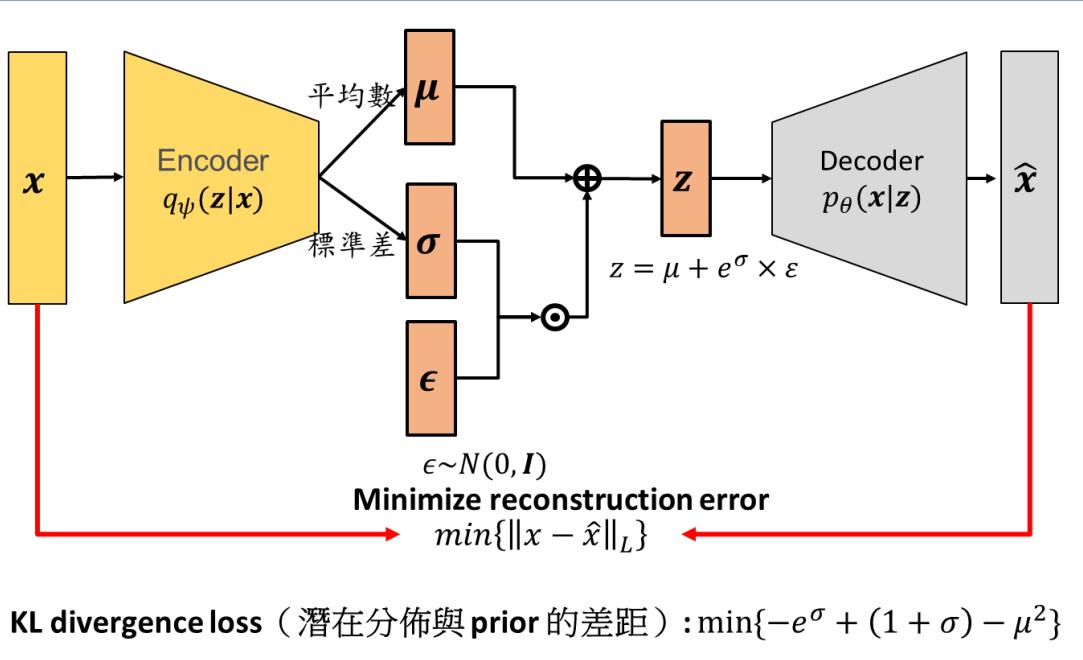
$$D_{\text{KL}}(q_\psi(z|x) || p(z)) = \frac{1}{2} (\text{trace}(\Sigma) + \mu^T \mu - k - \log(\det(\Sigma)))$$

$$= \frac{1}{2} \left(\sum_i \sigma_i^2 \right) + \mu^T \mu - k - \sum_i \log \sigma_i^2$$

$$= \frac{1}{2} \sum_i (\sigma_i^2 + \mu_i^2 - 1 - \log \sigma_i^2)$$



Variational AutoEncoder



KL divergence loss (潛在分佈與 prior 的差距) :

$$\min\{-e^\sigma + (1 + \sigma) - \mu^2\}$$

$$-\text{D}_{\text{KL}}(q_\psi(z|x)||p(z) = \frac{1}{2} \sum_i (-\sigma_i^2 - \mu_i^2 + 1 + \log \sigma_i^2)$$

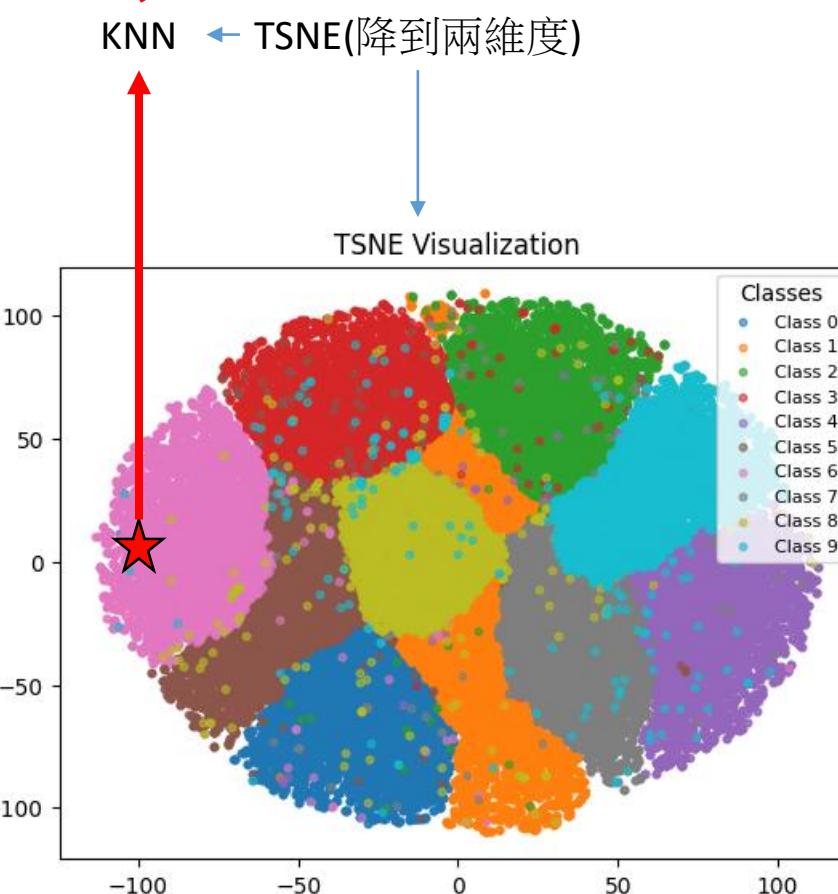
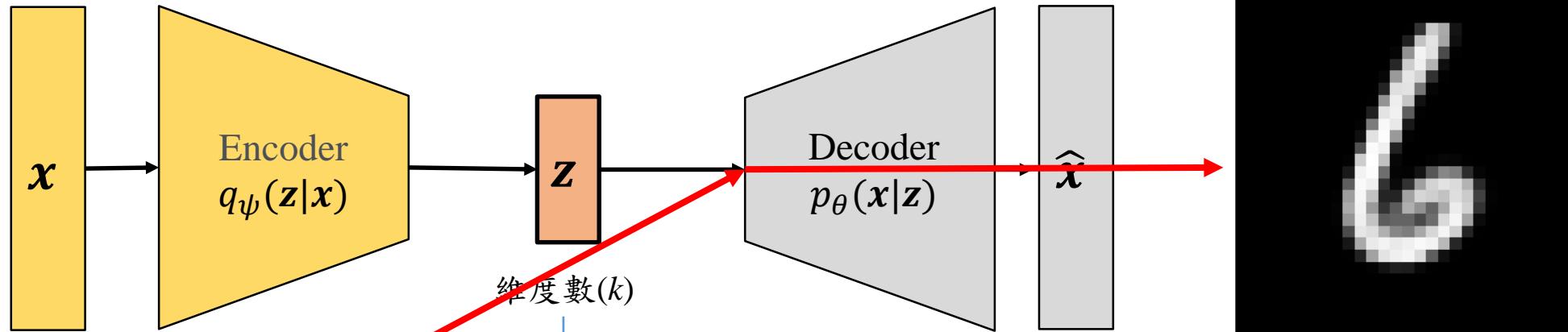
```
kl_loss = -0.5 * torch.sum(1 + log_var - mu.pow(2) - log_var.exp())
```

因為我們假設Encoder輸出是log variance ($\log \sigma_i^2$)

Autoencoder lower bound: (推導省略)

$$\log(p(x)) \geq \mathbb{E}_{q_\psi(z|x)} [\log p_\theta(x|z)] - \text{D}_{\text{KL}}(q_\psi(z|x)||p(z))$$



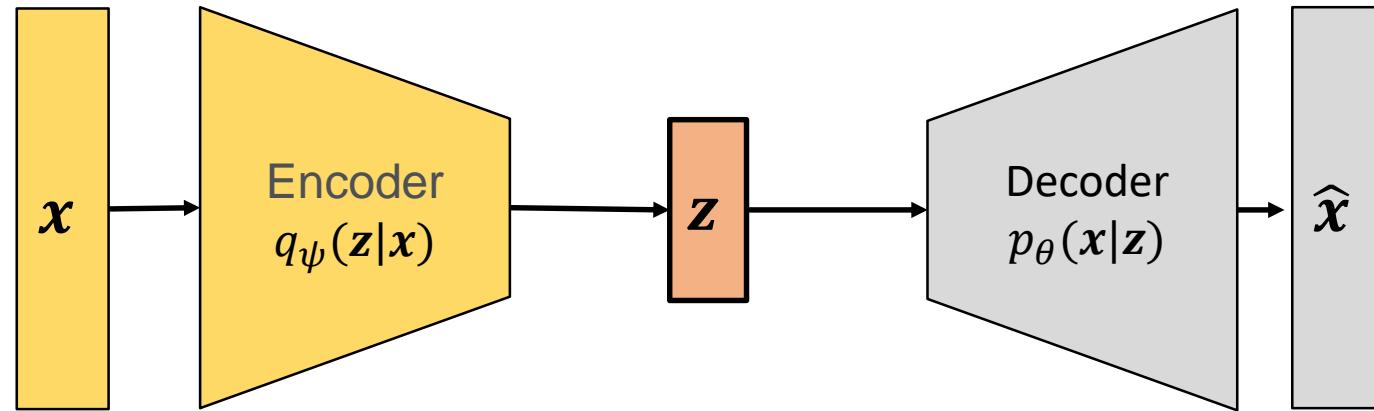


Code

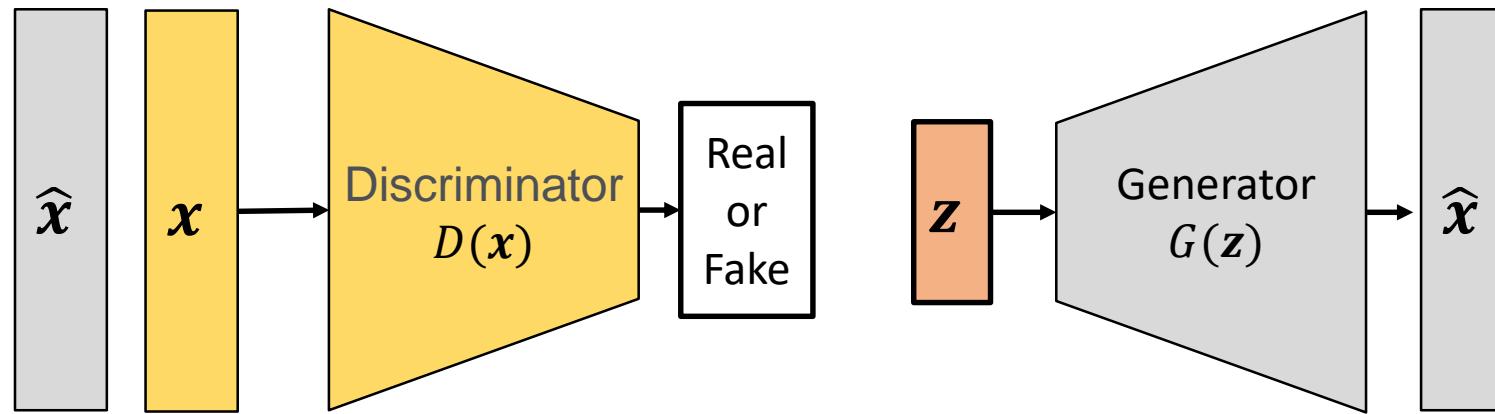




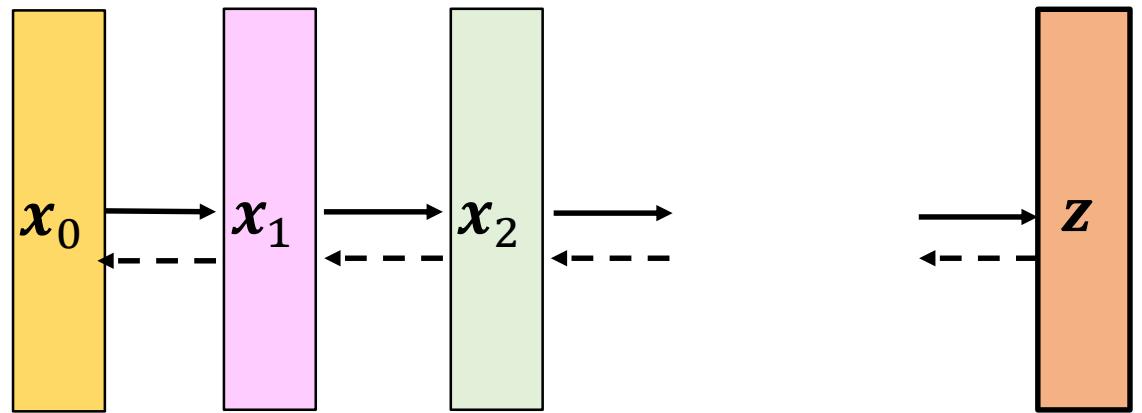
VAE



GAN

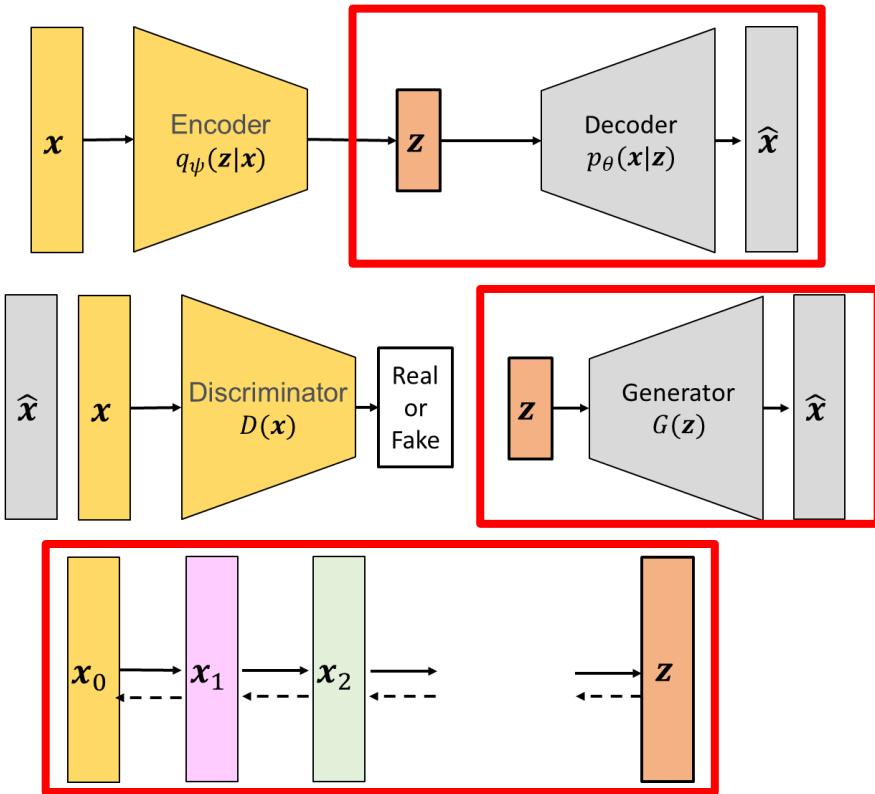


Diffusion



GAN

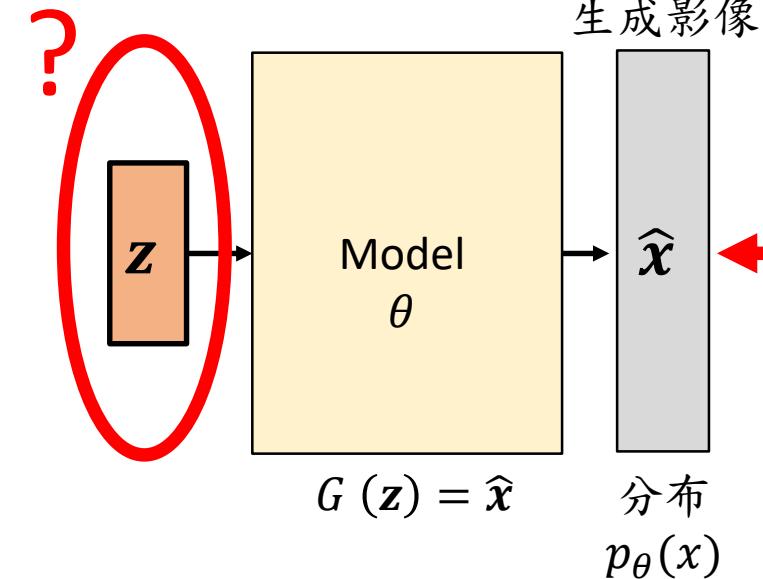
Diffusion



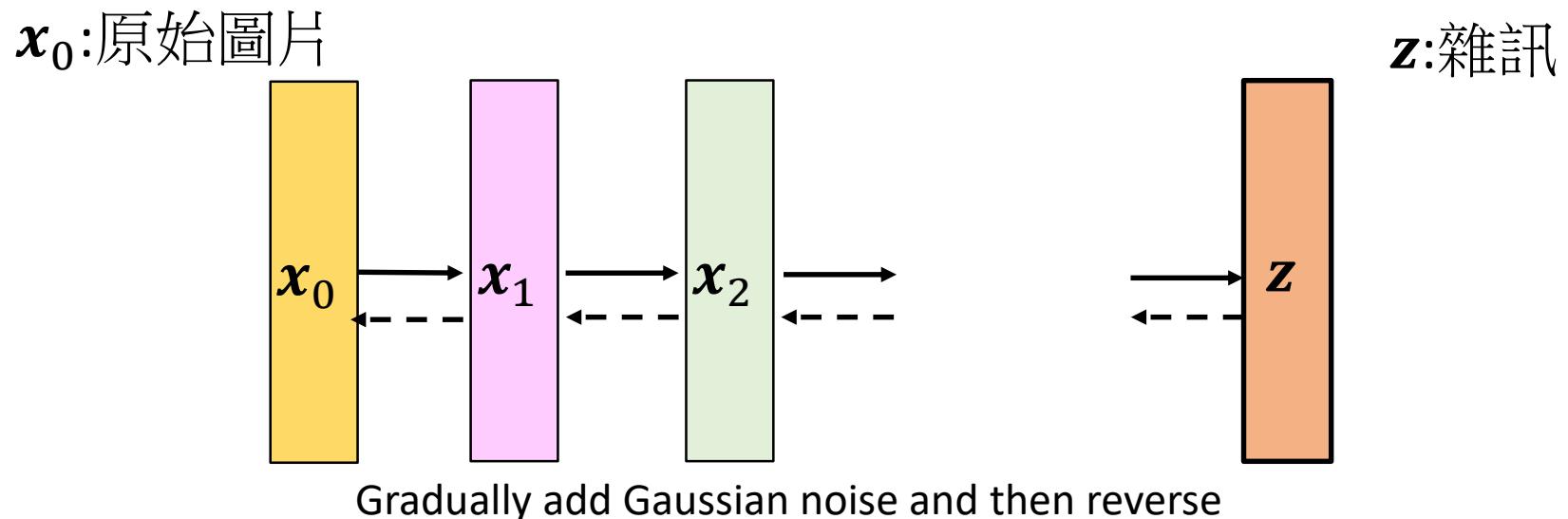
生成影像



真實影像



Diffusion Model (DDPM)



Markov chain of diffusion steps to slowly add random noise to data and then learn to reverse the diffusion process to construct desired data samples from the noise

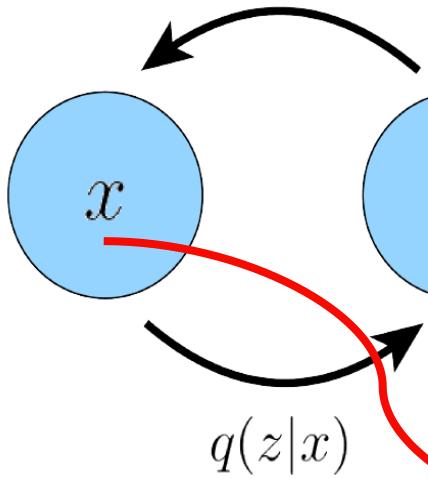
Denoising diffusion probabilistic models (DDPM; [Ho et al. 2020](#)).

$$p(x_t | x_{t-1}, x_{t-2}, \dots x_{t-p}) = p(x_t | x_{t-1}, x_{t-2}, \dots x_{t-p}, x_{t-p-1}, \dots x_0)$$

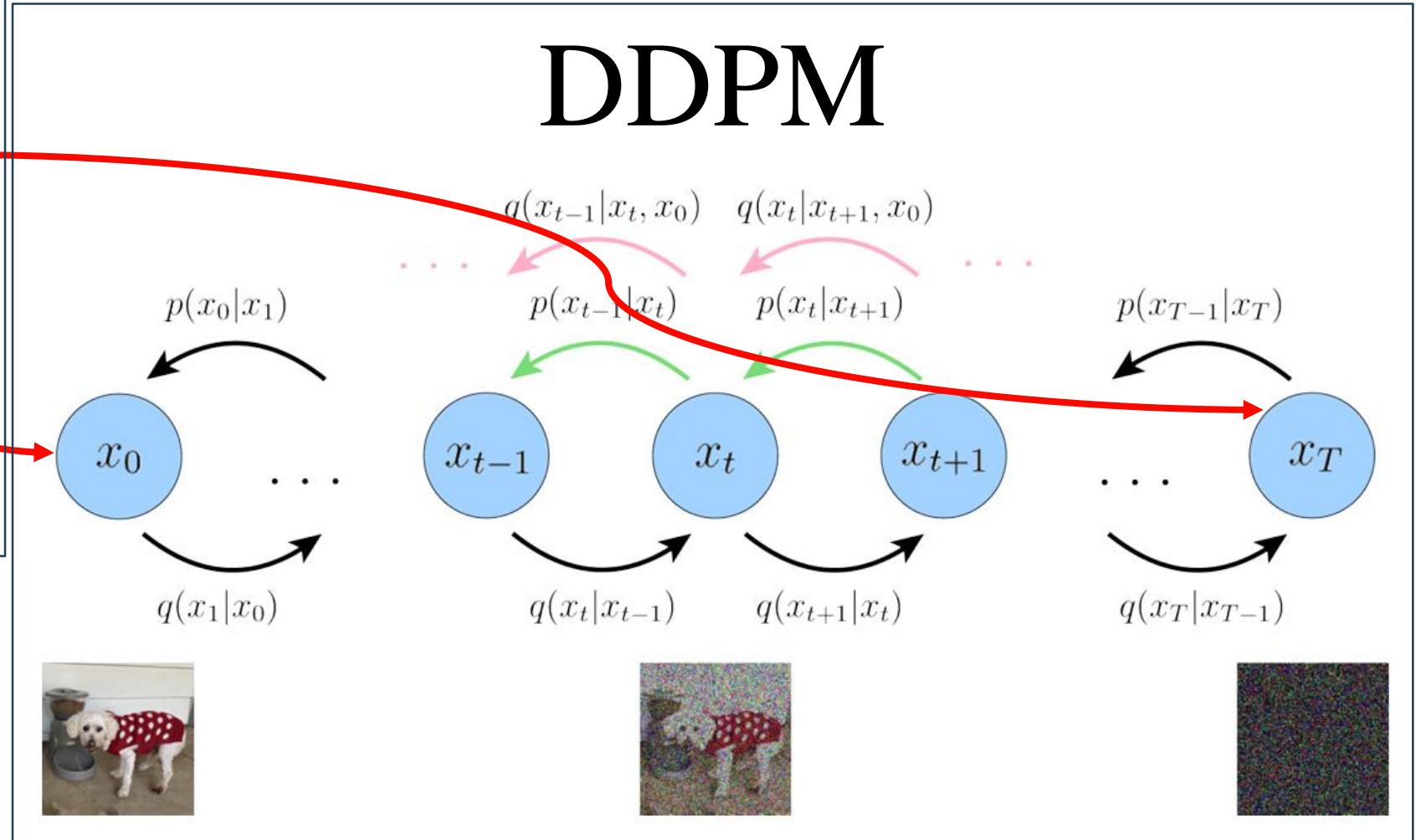


VAE

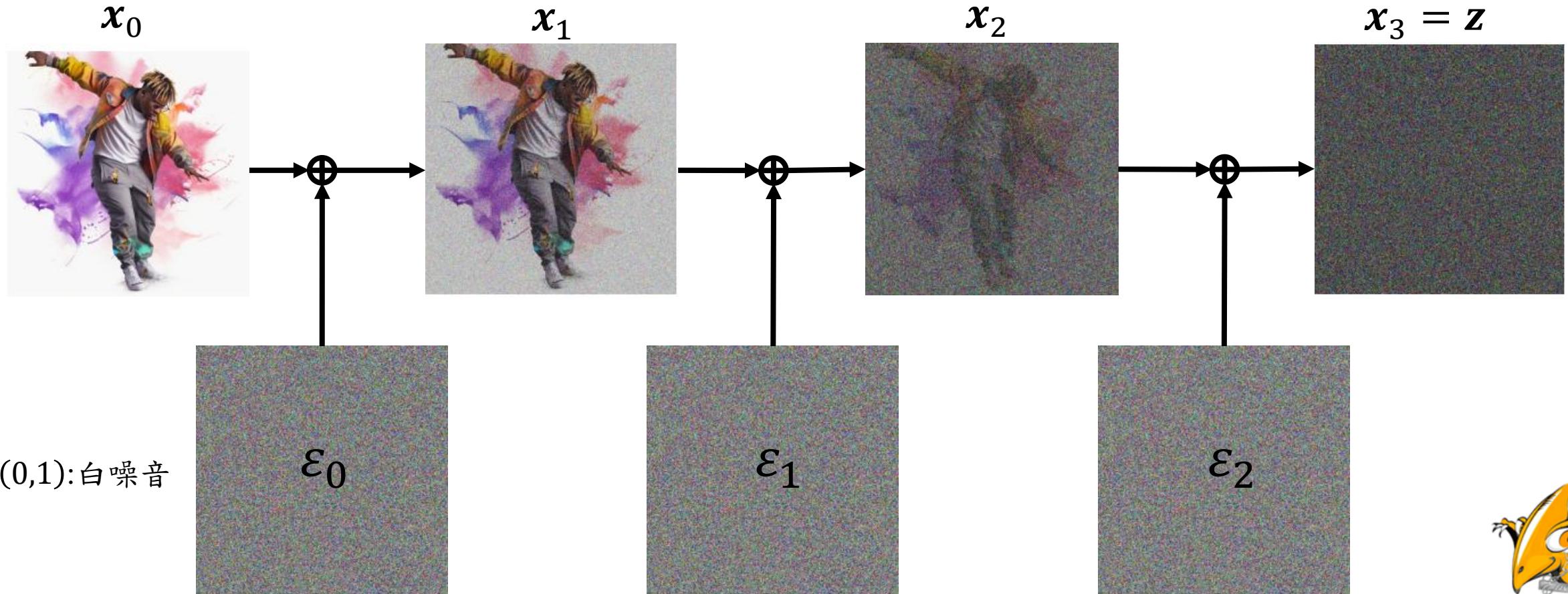
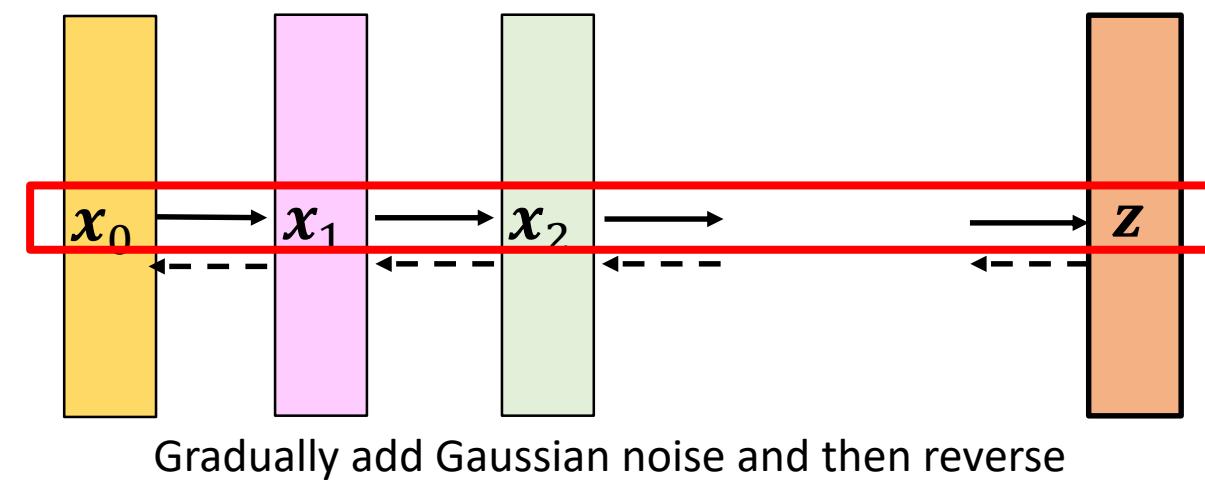
$$p(x|z)$$



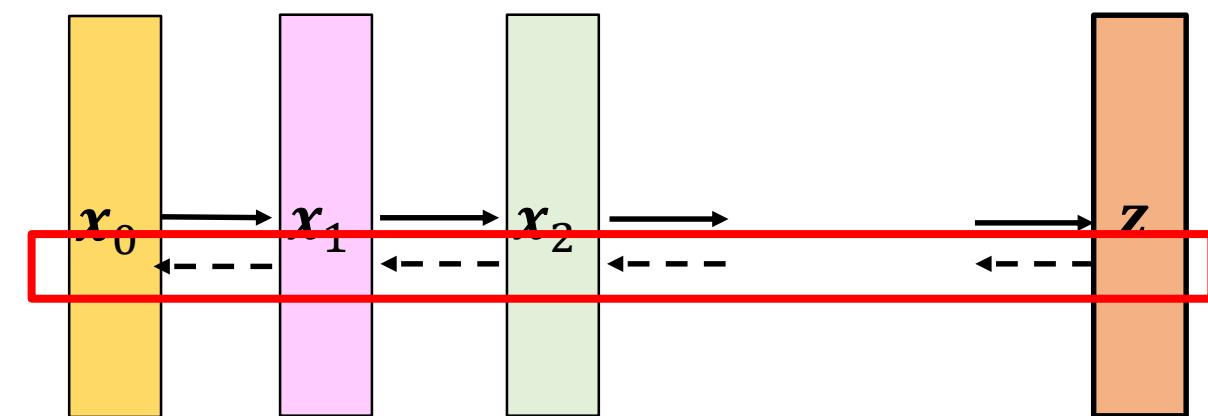
DDPM



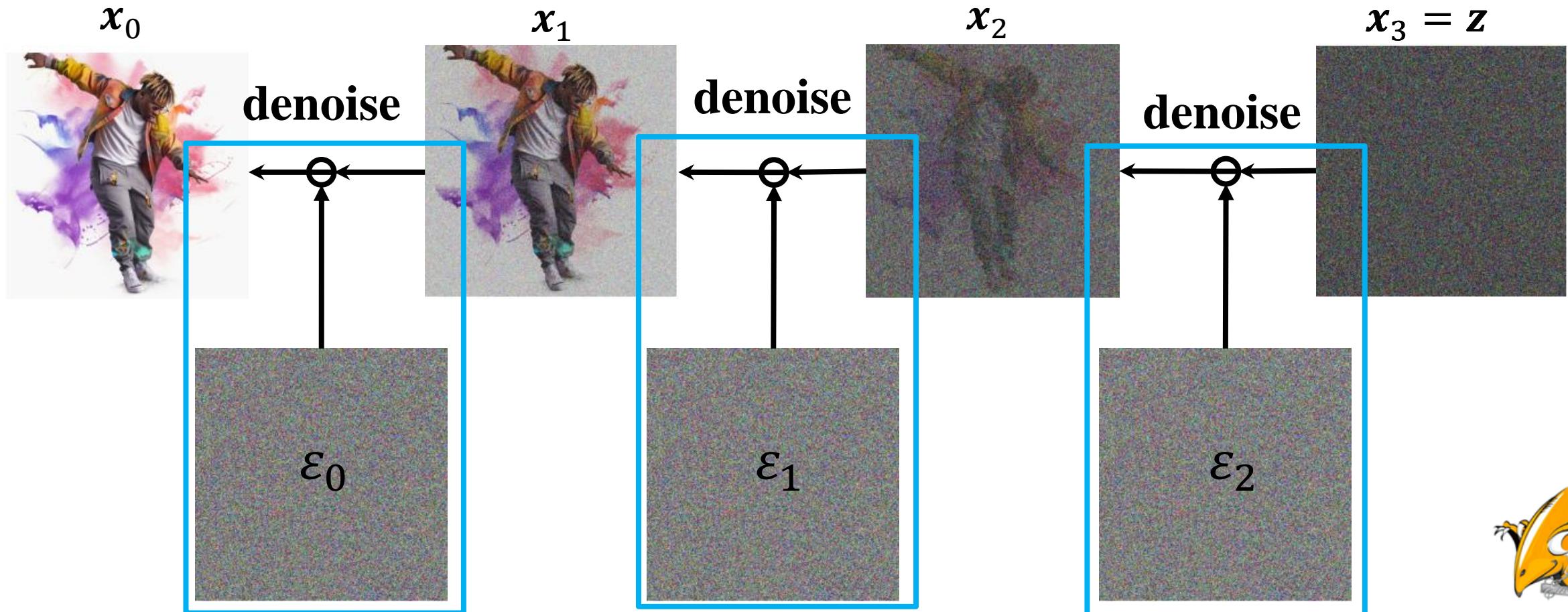
Forward diffusion process (模糊化程序)



圖片生成 Reverse diffusion process (逆模糊化程序)

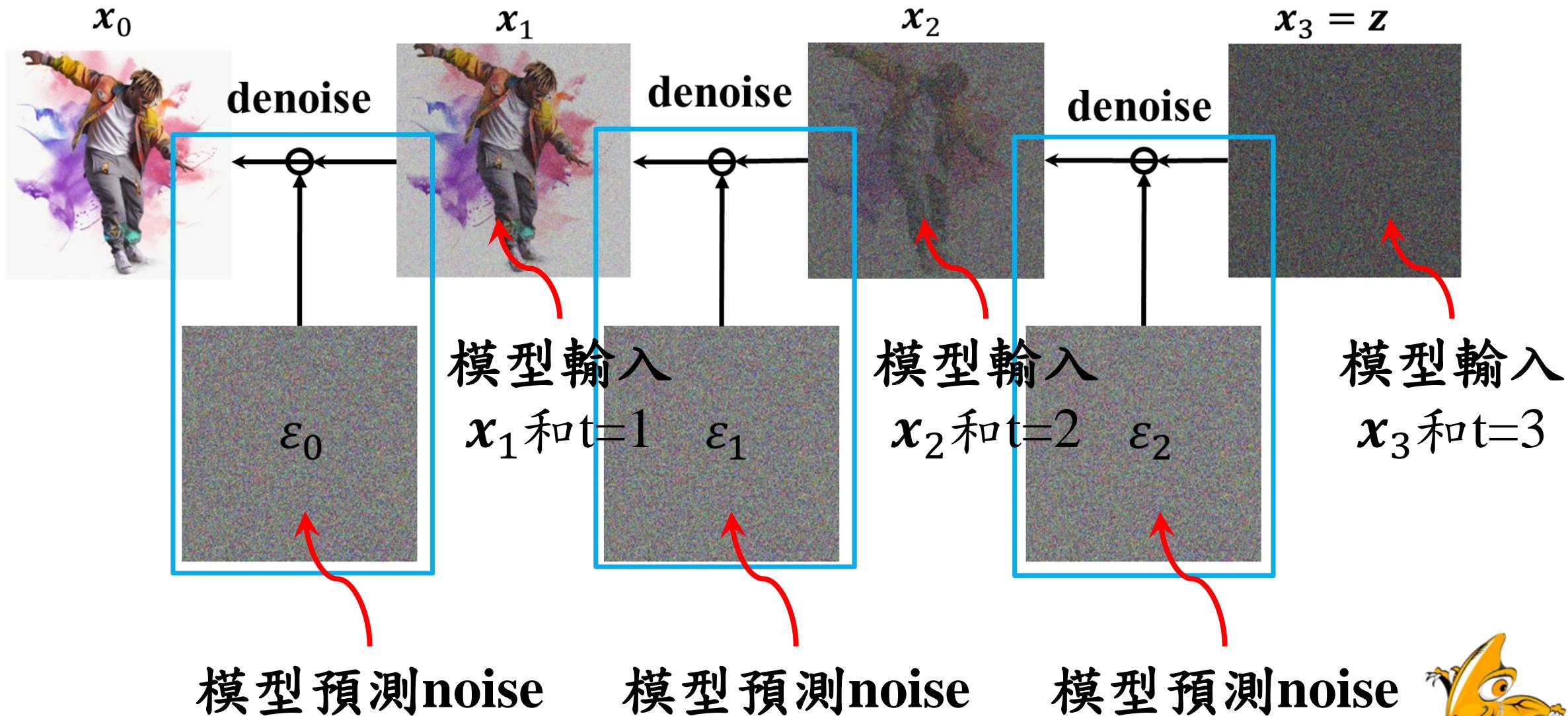


Gradually add Gaussian noise and then reverse

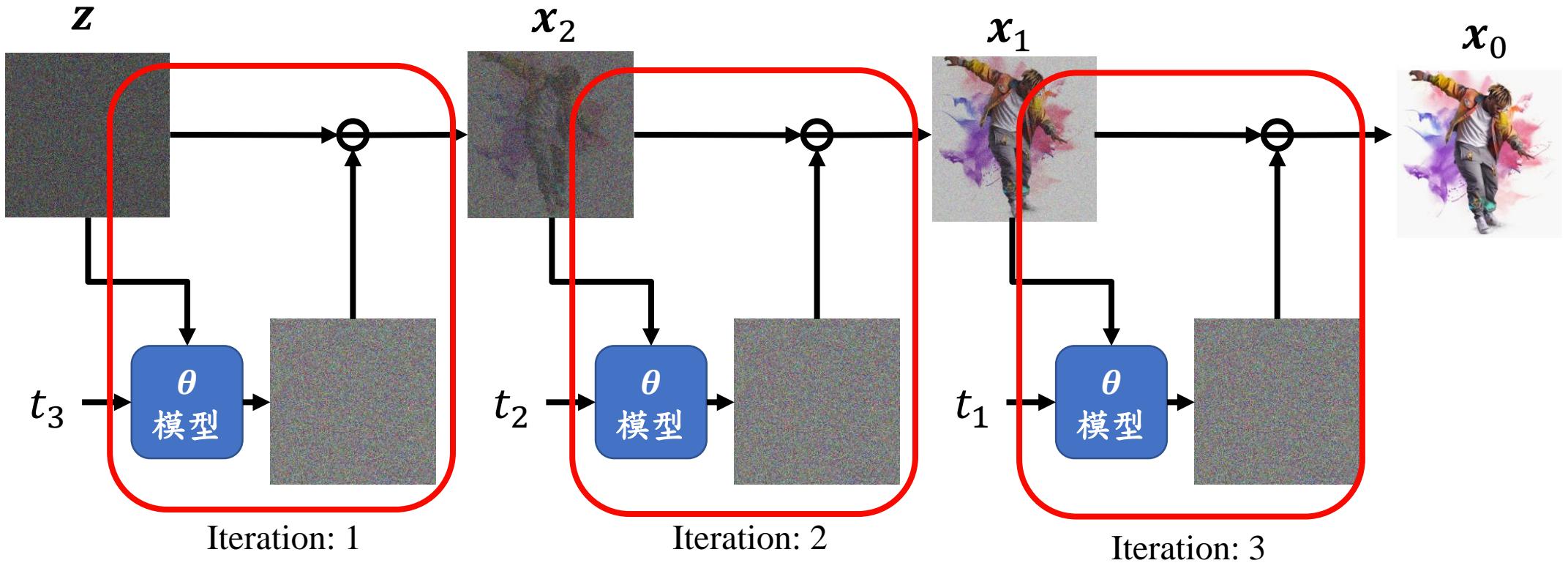


圖片生成:

Reverse diffusion process (逆模糊化程序)



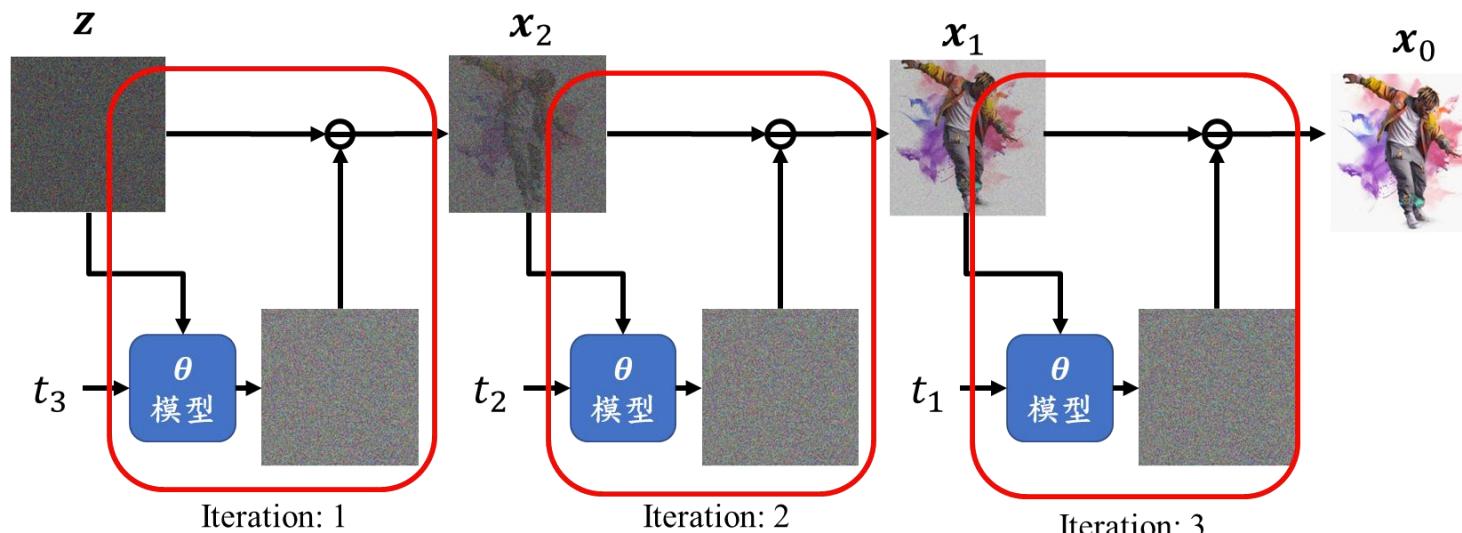
圖片生成: Reverse diffusion process (逆模糊化程序)



每一步模型(θ) → 預測noise



圖片生成: Reverse diffusion process (逆模糊化程序)



θ : 模型 \rightarrow 預測noise

Iteration: 1

$$\hat{\varepsilon}_2 = \theta(x_3 = z, t = 3)$$

$$x_2 = x_3 - \beta_2 \hat{\varepsilon}_2$$

↓

Iteration: 2

$$\hat{\varepsilon}_1 = \theta(x_2, t = 2)$$

$$x_1 = x_2 - \beta_1 \hat{\varepsilon}_1$$

↓

Iteration: 3

$$\hat{\varepsilon}_0 = \theta(x_2, t = 2)$$

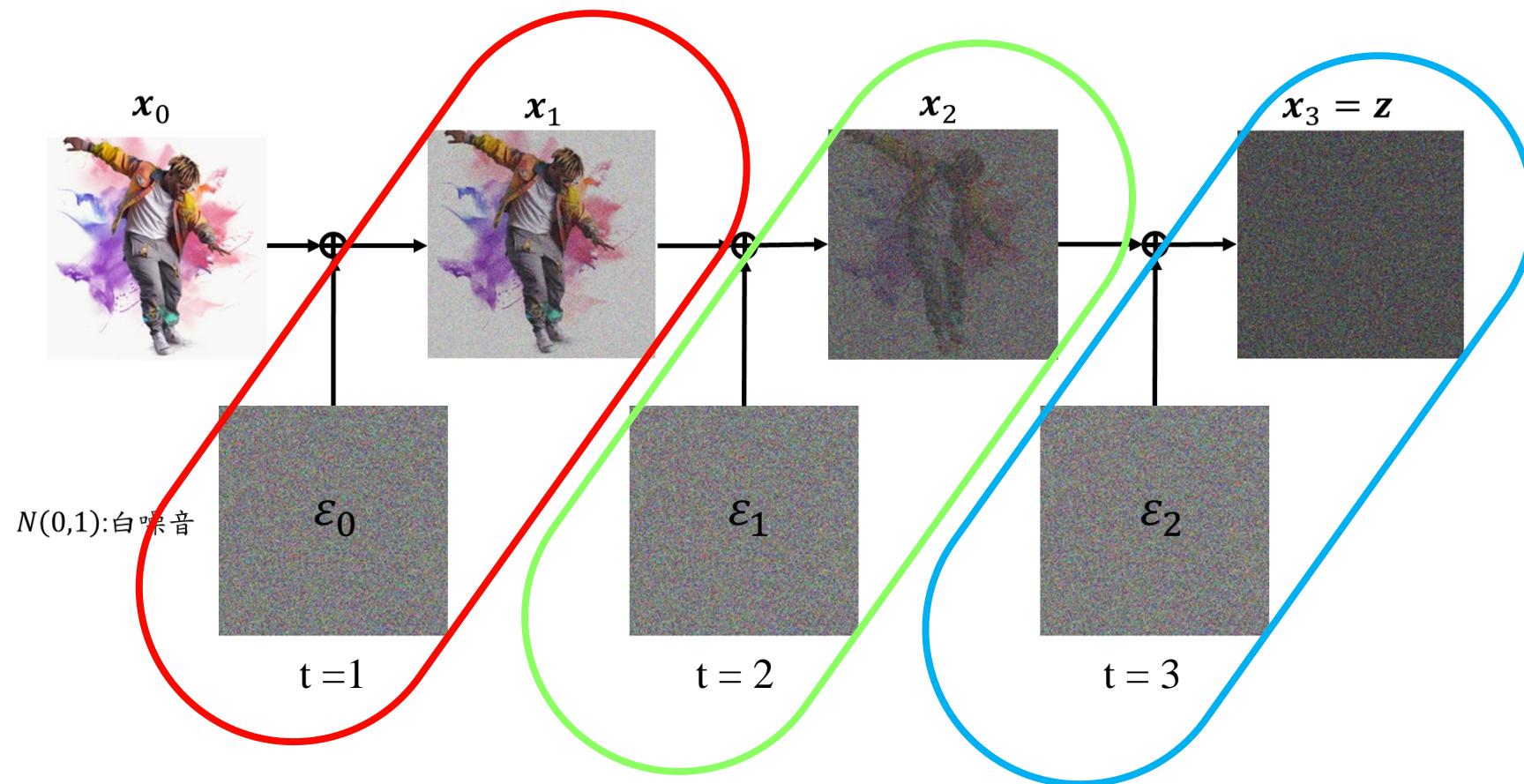
$$x_0 = x_1 - \beta_0 \hat{\varepsilon}_1$$

$\beta_0, \beta_1, \beta_2$: noise係數，訓練前就給定。



如何取得訓練資料訓練模型

如何訓練模型(θ) → 預測noise



Unsupervised learning

訓練資料產生
 $X \rightarrow Y$

$$(x_1, t = 1) \rightarrow \varepsilon_0$$

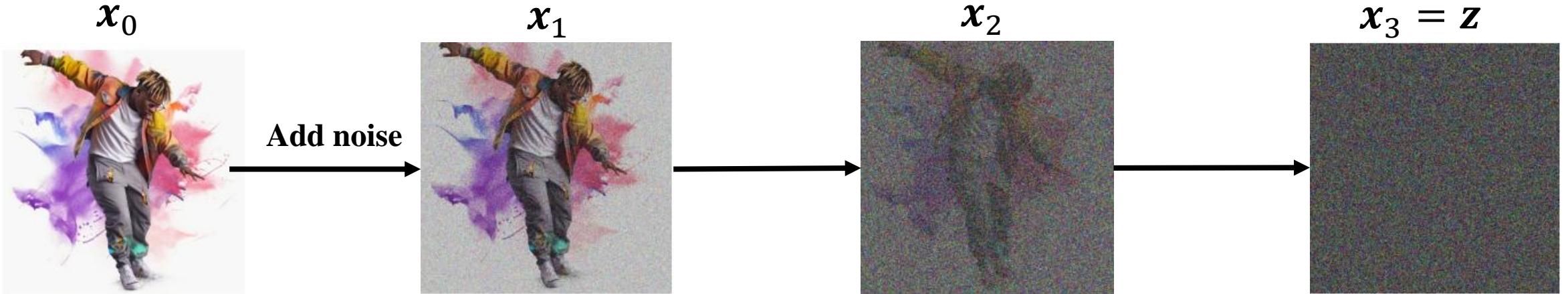
$$(x_2, t = 2) \rightarrow \varepsilon_1$$

$$(x_3, t = 3) \rightarrow \varepsilon_2$$

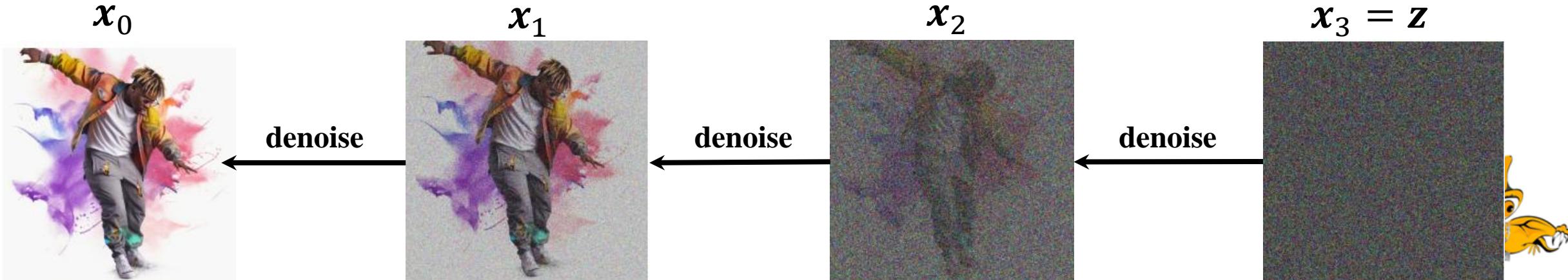


Diffusion

Forward diffusion process



Reverse diffusion process



DDPM

Denoising diffusion probabilistic models (DDPM; [Ho et al. 2020](#)).

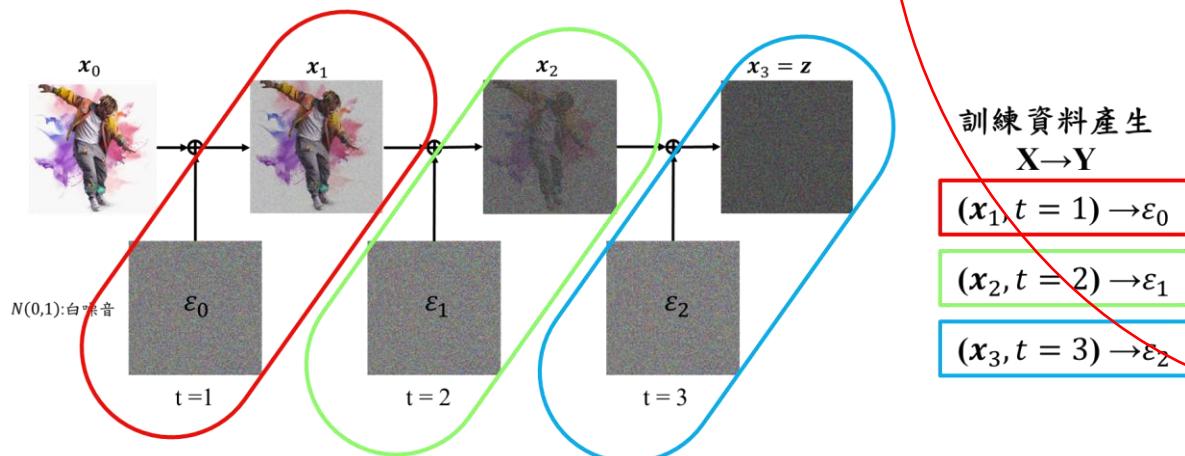
Algorithm 1 Training

```

1: repeat
2:    $\mathbf{x}_0 \sim q(\mathbf{x}_0)$ 
3:    $t \sim \text{Uniform}(\{1, \dots, T\})$ 
4:    $\epsilon \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$ 
5:   Take gradient descent step on
      
$$\nabla_{\theta} \|\epsilon - \epsilon_{\theta}(\sqrt{\bar{\alpha}_t} \mathbf{x}_0 + \sqrt{1 - \bar{\alpha}_t} \epsilon, t)\|^2$$

6: until converged

```



Algorithm 2 Sampling

```

1:  $\mathbf{x}_T \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$ 
2: for  $t = T, \dots, 1$  do
3:    $\mathbf{z} \sim \mathcal{N}(\mathbf{0}, \mathbf{I})$  if  $t > 1$ , else  $\mathbf{z} = \mathbf{0}$ 
4:    $\mathbf{x}_{t-1} = \frac{1}{\sqrt{\alpha_t}} \left( \mathbf{x}_t - \frac{1 - \alpha_t}{\sqrt{1 - \bar{\alpha}_t}} \epsilon_{\theta}(\mathbf{x}_t, t) \right) + \sigma_t \mathbf{z}$ 
5: end for
6: return  $\mathbf{x}_0$ 

```

q : 真實資料分布
 x_0 : 真實資料(乾淨的圖片)

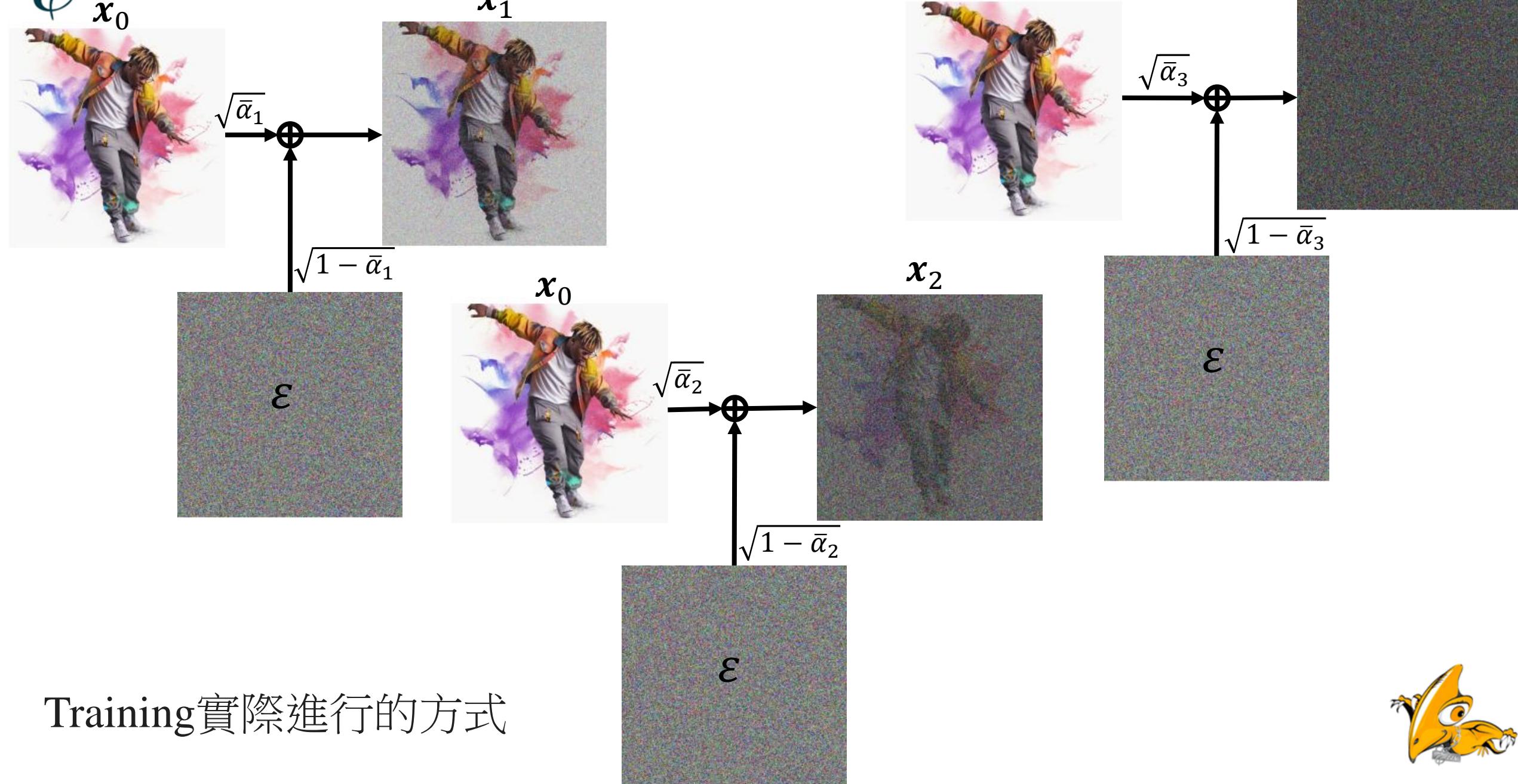
ϵ : 白噪音(Target noise)

ϵ_{θ} : 模型預測的白噪音

$\bar{\alpha}_1, \bar{\alpha}_2, \dots, \bar{\alpha}_T$: 越來越小

$x_t = \sqrt{\bar{\alpha}_t} x_0 + \sqrt{1 - \bar{\alpha}_t} \epsilon$: 加了noise後的圖片
 (後面在解釋為什麼是 x_0)



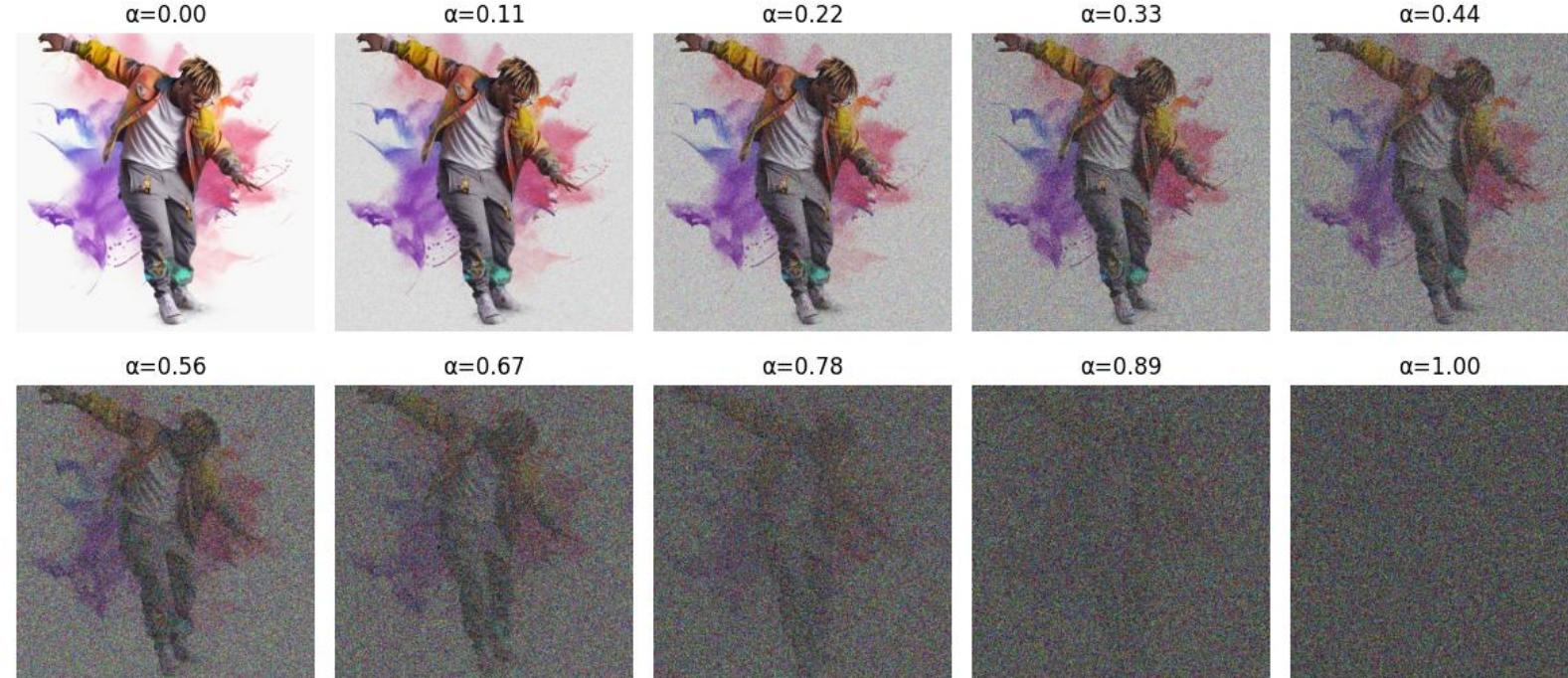


Training 實際進行的方式



Forward diffusion process (模糊化程序)

Noisy Data $((1-\alpha) * X + \alpha * \text{Noisy})$, alpha increasing ($0 \rightarrow 1$)



$$q(x_1|x_0) = N(x_1; \sqrt{1 - \beta_1}x_0, \beta_1 \mathbf{I})$$

$$q(x_2|x_1) = N(x_2; \sqrt{1 - \beta_2}x_1, \beta_2 \mathbf{I})$$

$$\cdots$$

$$q(x_T|x_{T-1}) = N(x_T; \sqrt{1 - \beta_T}x_{T-1}, \beta_T \mathbf{I})$$

$$q(x_t|x_{t-1}) = N(x_{t-1}; \sqrt{1 - \beta_t}x_{t-1}, \beta_t \mathbf{I}), t = 1, 2, \dots, T$$

$$q(x_{1:T}|x_0) = \prod_{t=1}^T q(x_t|x_{t-1})$$

β 稱為 variance schedule，介於0~1(也可以學來，
也可以是固定值(DDPM是固定值))



Reverse diffusion process (逆模糊化程序)

逆模糊化我們定義成：

$$p_{\theta}(x_{t-1}|x_t)$$

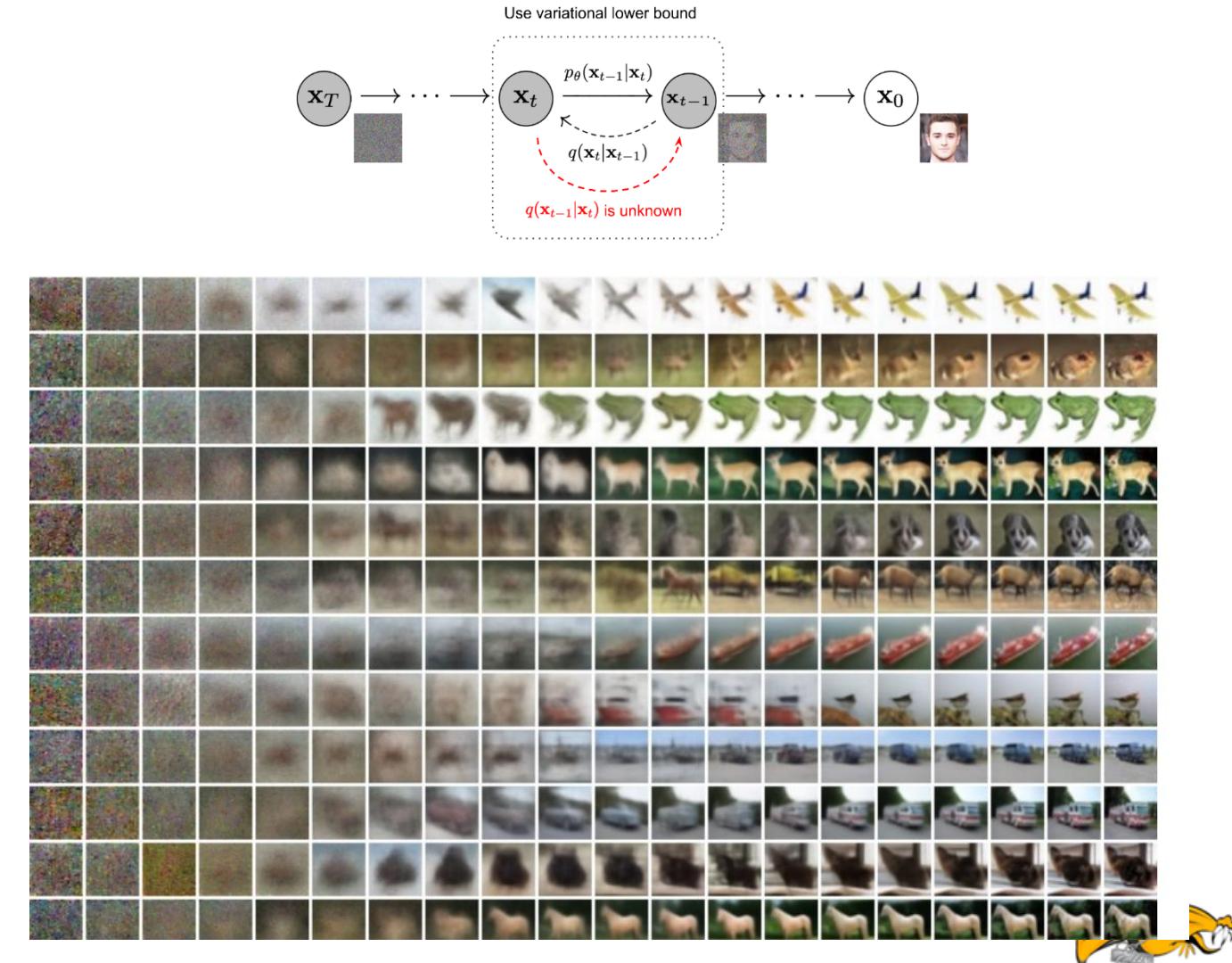
很難去估計 $q(x_t|x_{t-1})$ ，但我們知道

$$q(x_t|x_{t-1}) = N(x_{t-1}; \sqrt{1 - \beta_t}x_{t-1}, \beta_t \mathbf{I})$$

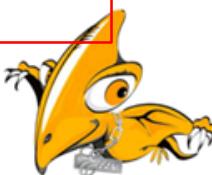
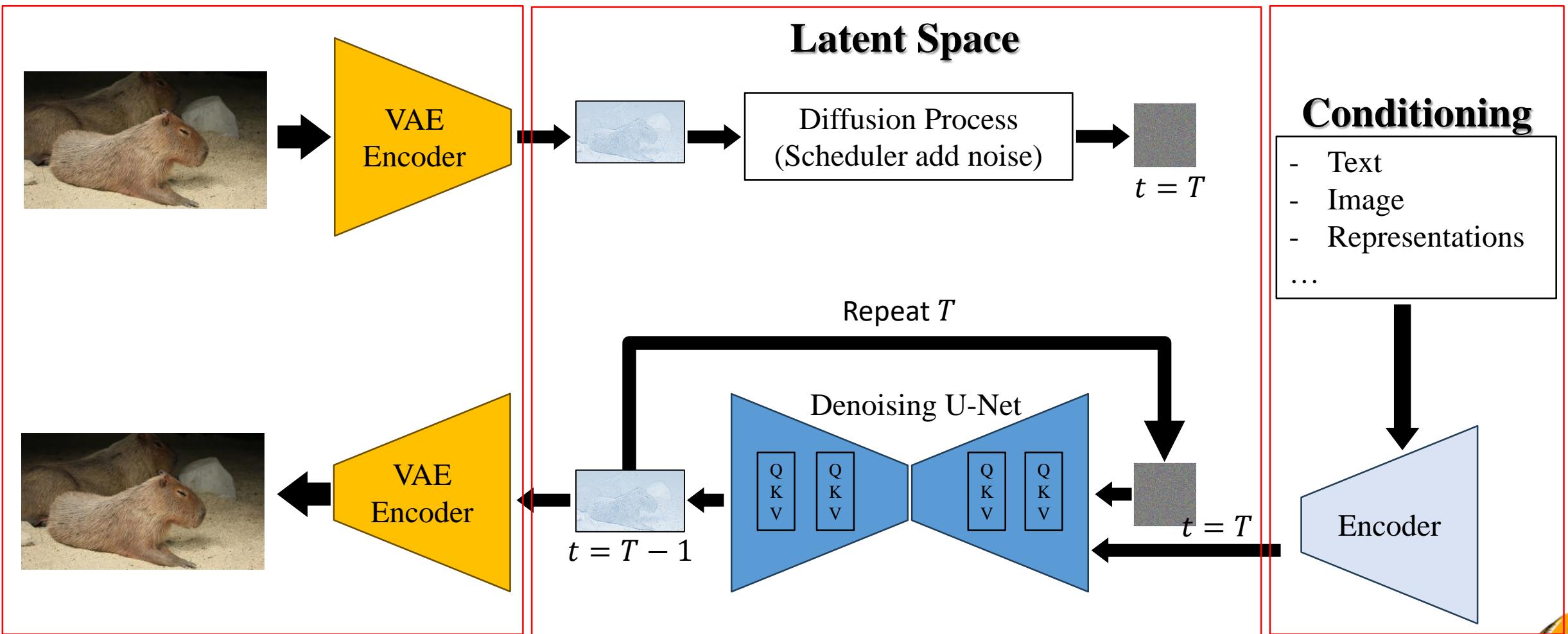
模型 p_{θ} (服從常態分佈)去估計條件機率來表示reverse diffusion process

$$p_{\theta}(x_{t-1}|x_t) \sim N(x_{t-1}; \mu_{\theta}(x_t, t); \Sigma_{\theta}(x_t, t))$$

$$p_{\theta}(x_{0:T}) = p(x_T) \prod_{t=1}^T p_{\theta}(x_{t-1}|x_t)$$

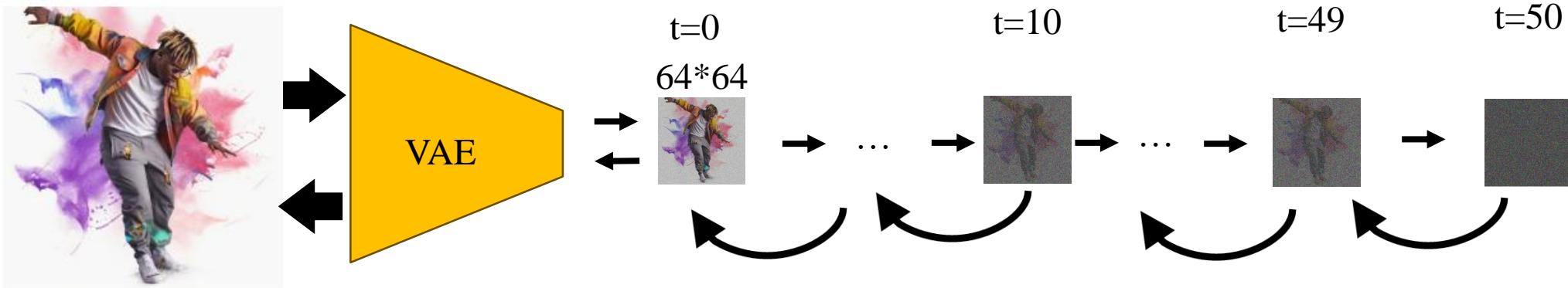


Stable Diffusion

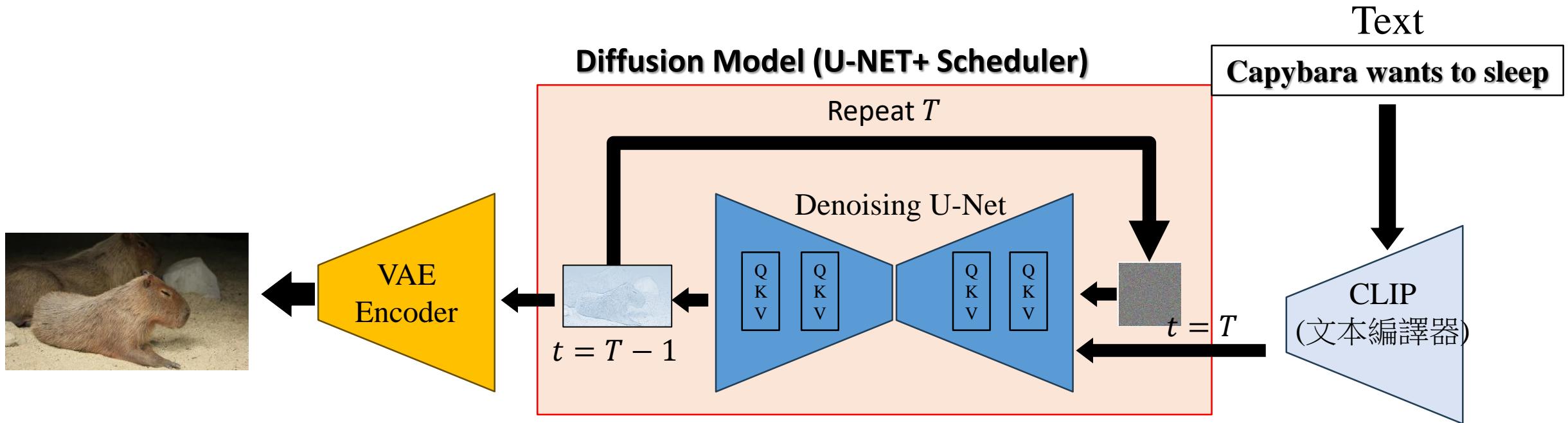


Latent Diffusion Model

512*512



文生圖(Text-to-Image, T2I)



1. Text Encoder
2. Diffusion model
3. VAE Encoder



Code example

