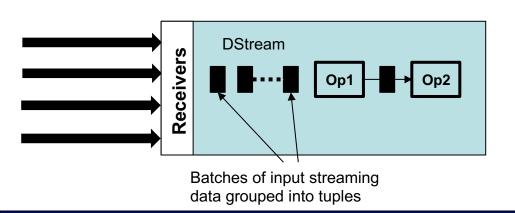
# Spark Streaming and Apache Beam

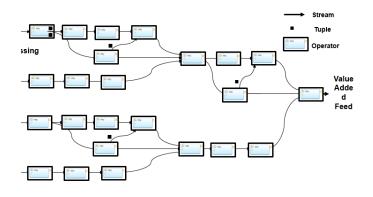
#### Objectives

- Apache Spark Streaming
  - Programming
- Apache Beam Hands On
  - Programming
- HW1

#### Spark Streaming

- Introduce concept Dstream (discretized streams)
  - Sequence of RDDs arriving over time
  - Dstreams can be created from different data sources
    - Network interfaces, Flame, Kafka, Flume, File System etc.
  - Support transformations and actions (output operations)



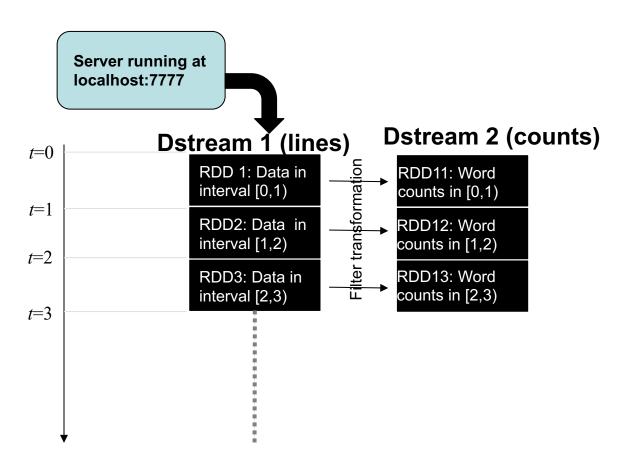


#### Streaming Example

- Example of constructing Dstream from network socket
  - Setup a Dstream

- Take all data received in one second
- Break it into words and then do a wordcount
- Print all results to screen

#### Streaming Example



The operation of this word count is "stateless" – each window handled independently

# **Stateless Streaming Transformations**

Name	Purpose	Example		
map()	Apply function defined inline to each tuple (RDD) in the stream	ds.map(lambda x: x+1)		
<pre>flatMap()</pre>	Apply inline function to each tuple in stream and create tuple with more than one element per input element	<pre>ds.flatMap(lambda x: x.split(" "))</pre>		
filter()	Filter elements in input tuple to create new tuple	<pre>ds.filter(lambda x: x.contains("error"))</pre>		
reduceByKey()	Perform reduce by key within tuple to create new tuple	<pre>ds.reduceByKey( lambda (x,y): x+y)</pre>		
groupByKey()	Group values by key within each tuple	ds.groupByKey()		
transform()	Apply any RDD to RDD function on each tuple	<pre>ds.transform(lambda rdd: myFunc(rdd))</pre>		

#### Stateless Two-Stream Transformations

- Stateless Join on two Streams
  - Perform a standard RDD join on two tuples, one from each stream
  - Fast stream needs to wait for slow stream

```
//Create a streaming context with a 1 second batch
sc = SparkContext(appName="StreamingStatelessJoin")
ssc = StreamingContext(sc, 1)
accessLogs = ssc.socketTextStream(IP, Port)
ipDstream = accessLogs.map(lambda x: (x.getIpAddress(),1))
ipCountDstream = ipDstream.reduceByKey(lambda (x,y): x+y)

ipByteDstream = accessLogs.map(lambda x: (x.getIpAddress(),x.getContentSize())
ipByteCountDstream = ipByteDstream.reduceByKey(lambda (x,y): (x+y))

ipBCDstream = ipByteCountDstream.join(ipCountDstream)
ssc.start()
ssc.awaitTermination()
```

This can cause memory issues if one stream is much slower than the other Also – limiting in terms of match conditions, given data arrival order etc,

#### Stateful Transformation on Streams

- Create and maintain state as tuples processed
- Such state, along with internal algorithm, affects the results
- e.g. DeDuplicate
  - tuple is considered a duplicate if it shares the same key with a previously seen tuple within a pre-defined period of time
- Runtime support is challenging
  - Require synchronization in a multi-threaded context
  - No trivial way to parallelize
  - Require some persistence mechanism for fault-tolerance

#### Aside: Data Time versus Wall Clock Time

- Both are representations of time
  - With different references
- Data Time
  - Event Time, data timestamp
- Wall Clock Time
  - Arrival time, Processing time

#### Windows and Stateful Processing

- Sorting, aggregating, or joining data in a relational table
  - All data in the table can be processed
- For streaming data, data flows continuously
  - No beginning, no end
  - Requires a different paradigm for sorting, aggregating and joining
  - Can only work with a subset of consecutive tuples
- This finite set of tuples is called a window
  - Note that in Spark each tuple is a RDD (collection of elements)

#### Window Properties

- Three properties that define a window
  - Eviction policy
    - Defines how large a window can get
    - Determines which older tuples are removed from the window
  - Trigger policy
    - When an operation, such as aggregation, takes place as new tuples arrive into the window
  - Partitioning
    - Maintains separate windows for each group of tuples with the same grouping key value
- Window types
  - Tumbling windows:
    - Non-overlapping sets of consecutive tuples
    - Defined only with an eviction policy
  - Sliding windows:
    - Windows formed by adding new tuples to the end of the window and evicting old tuples from the beginning of the window
    - Defined with both an eviction and a trigger policy

#### **Policy Specifications**

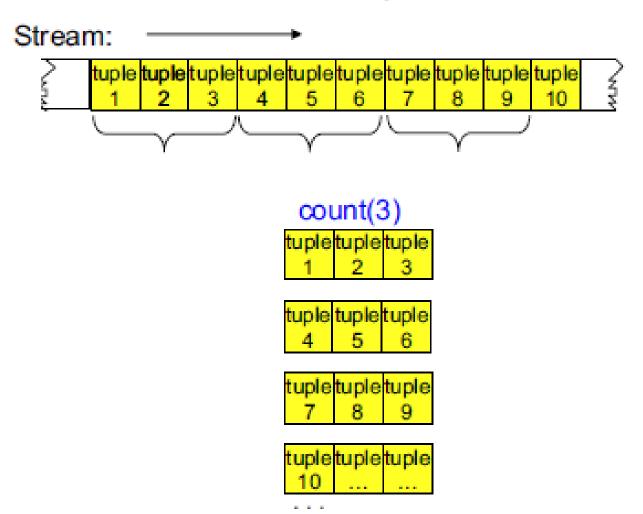
- Count (Fixed window size)
  - a fixed number set of tuples
- Time (Fixed processing time interval)
  - set of tuples that arrived in a specified period of time
- Delta (event time based on specified numeric or timestamp attribute)
  - a set of tuples where each of the tuple's specified attribute value is no more than x greater than that of the first tuple in the window
  - Often used with timestamps that are part of tuple data
  - Ideally expects monotonic increase in attribute value across tuples
- Punctuation
  - a set of tuples that are between punctuations (control tuples)

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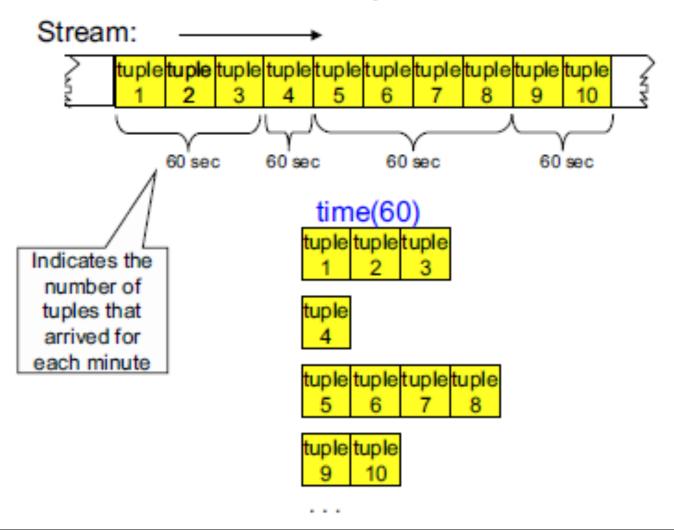
#### **Tumbling Window**

- Is specified by providing an eviction policy only
  - Punctuation
  - Count
  - Time
  - Attribute delta
- Stores tuples until the window is full
  - based upon the eviction policy
- When the window is full
  - Executes the operator behavior
- After the behavior has been executed
  - Flushes the window

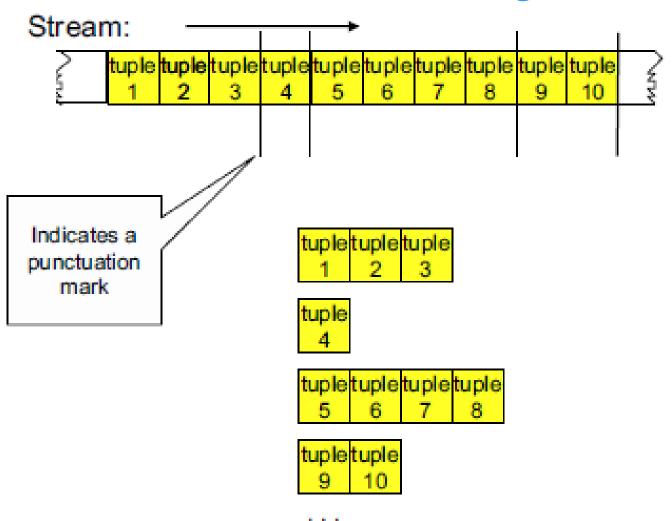
#### **Count Based Tumbling Windows**



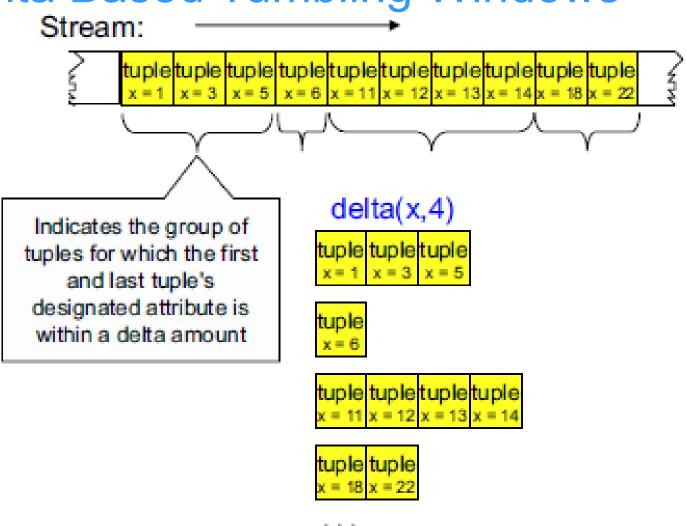
#### Time Based Tumbling Windows



#### Punctuation Based Tumbling Windows



# **Delta Based Tumbling Windows**



#### Sliding Windows – Eviction Policies

- When a new tuple arrives
  - It is added to the end of the window
  - Zero or more old tuples are evicted from the start of the window (first-in/first-out)
- Three alternatives for determining how many tuples are evicted:
  - Count
    - Once window fills up, one old tuple evicted for each arriving tuple
  - Time
    - Enough tuples evicted to maintain a maximum age
      - No remaining tuple is more than the given period older than the newly arriving tuple
    - Expired tuples are not removed when the time period is elapsed, but when a new tuple arrives
  - Attribute delta
    - Evict tuples for which a specified attribute's value is more than delta less than that attribute's value in new tuple

# Sliding Windows – Trigger Policies

- Three alternatives for determining when a sliding window is processed:
  - count(n)
    - When a specified number of tuples have arrived since the last time a window was processed
  - time(n)
    - When a specified amount of time has passed
  - delta(attrib, value)
    - When a tuple arrives whose specified non-decreasing numeric attribute value is more than a specified amount greater than that attribute's value for the tuple that triggered the last processing operation
- Eviction policy and trigger policy are independent
  - In general, all nine combinations are possible
  - Spark supports two combinations with same trigger and eviction policy
  - Beam supports multiple combinations –Java more than Python

# Sliding Window

Stream: -----

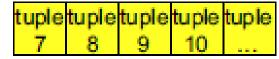
<u> </u>	tuple	3									
Ę		Z	3	4	C	Ö	- /	Ö	9	10	- 3

#### count(5), count(2)

tuple	tuple	tuple	tuple	tuple
1	2	3	4	5

tuple	tuple	tuple	tuple	tuple
3	4	5	6	7



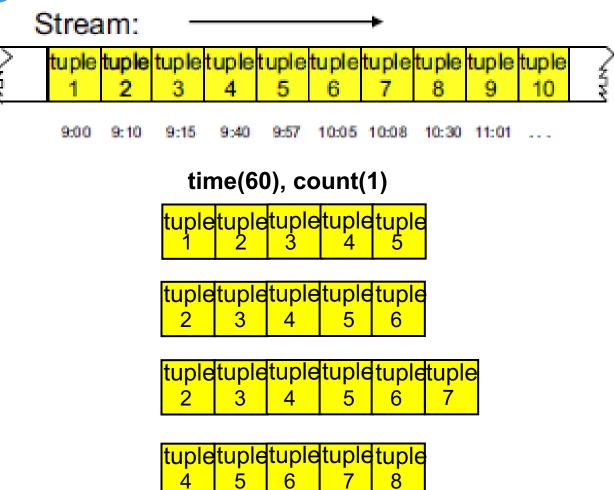


#### No trigger

tuple	tuple	tuple	tuple	tuple
2	3	4	5	6

. . .

#### Sliding Window



# Sliding Window

Stream:



delta(x,4), count(1)

tuple tuple x=1 x=3 x=5

tuple tuple tuple x=3 x=5 x=6

tuple x=11

tuple tuple x=11 x=12

tuple tuple tuple x=11 x=12 x=13

#### Windowing

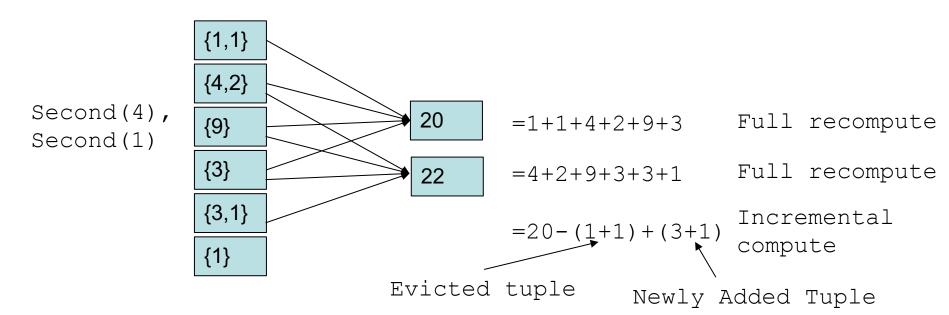
- Window creates a collection of tuples/RDDs within window
- Has size, slide corresponds to eviction, trigger policies

```
//Create a streaming window
winLogs = accessLogs.window(30, 10)
winCounts = winLogs.countByKey()
```

- Slide cannot be smaller than batch size of Dstream
- Performs a Union across RDDs in the window
- Can then apply a reduce across this to compute additional results
  - More efficient aggregations available

#### Windowed Aggregations

- Incremental operations for aggregations
  - reduceByWindow, reduceByKeyAndWindow
  - Instead of re-computing fully, remove effect of evicted tuples and add in effect of newly added tuples
  - Require easily reversible functions, e.g. sum



#### **Windowed Aggregations**

Reversible function specification followed by window specification allows incremental computation, as opposed to full recompute

Other functions include reduceByWindow(), countByWindow() and countByKeyAndWindow()

#### Spark Streaming: Inputs and Outputs

- print() grabs first 10 elements from each tuple and prints them to screen
- saveAsTextFiles("output","txt") writes
  multiple files into a directory, one per tuple in txt format

#### Stream of files

- val logData =
   ssc.textFileStream("logDirectory")
- Each file read as a tuple in the Dstream

#### Other sources

- Twitter
- Kafka, Kinesis, Flume, and others

# Limitations of Spark Streaming Model

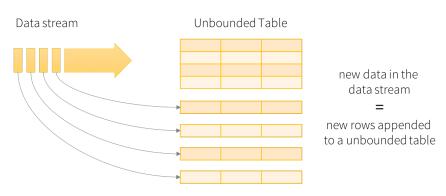
- All windows defined in wall-clock time
  - Sizes and slides limited to multiples of batch size
- No data-time windows
  - Skewed by randomness in data arrival
  - Cannot handle out of order data
- Limited join and aggregation conditions

# Spark Structured Stream Programming

- Uses SQL-like commands on Dataframes
  - Built on top of the Spark SQL engine
- Structured streaming queries processed as microbatches (like Dstreams)
- Word Count Example (from before)

#### Structured Stream Programming

- Different from the concept of "true" streaming
  - Each received line viewed as a row in continuously growing table (with column called "value")



Data stream as an unbounded table https://spark.apache.org/docs/latest/structured-streaming-programming-guide.html

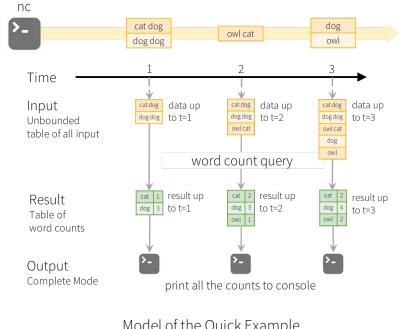
Can write results out periodically (1 sec by default)

query.awaitTermination()

Keeps query running in background

# Writing Results Periodically

- Complete Mode Entire updated Result Table written.
- Append Mode Only results of new rows written.
- Update Mode Only results for all updated rows mode



Model of the Quick Example

https://spark.apache.org/docs/latest/structured-streaming-programming-guide.html

Does not materialize entire table – instead computes results from previous results, incrementally

#### Structured Streaming Operations

- All operations are SQL queries
  - Selection, projection, joins, aggregates etc.
  - Can create streaming dataframe from multiple sources

```
userSchema = StructType().add("device", "string").add("deviceType",
    "string").add("signal", "double").add("time", "DateType")

csvDF = spark.readStream.option("sep",
    ";").schema(userSchema).csv("/path/to/directory")

#streaming DataFrame with schema { device: string, deviceType: string, signal: double, time: DateType }

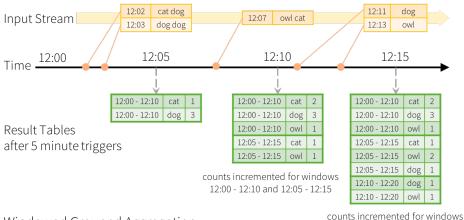
gt10df = csvDF.select("device").where("signal > 10")

counts = csvDF.groupBy("deviceType").count()
```

#### Structured Streaming: Event Windows

 Support both time based windows as well as event time (data time) based windows

```
words = ... # streaming DataFrame of
schema { timestamp: Timestamp, word:
String }
# Group the data by window and word and
compute the count of each group
windowedCounts = words.groupBy(
window(words.timestamp, "10 minutes",
"5 minutes"), words.word ).count()
```



Windowed Grouped Aggregation with 10 min windows, sliding every 5 mins

12:05 - 12:15 and 12:10 - 12:20

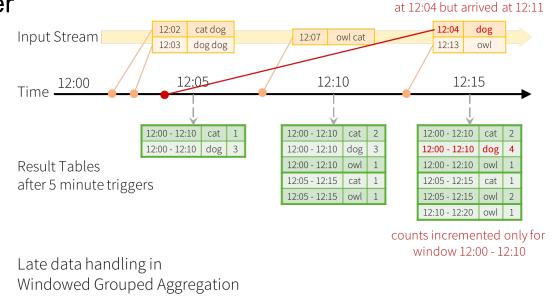
https://spark.apache.org/docs/latest/structured-streaming-programming-guide.html

- This is useful when data time and arrival time are different due to real-world issues
- Recall: Triggers are at micro-batch intervals

# Structured Streaming: Watermarking

Watermark: specifies maximum delay to wait for late arriving data

e.g. data with timestamp 12:04 can arrive at 12:11, or out of late data that was generated at 12:04 but arrived at 12:11



https://spark.apache.org/docs/latest/structured-streaming-programming-guide.html

Without watermark, need to maintain unbounded state

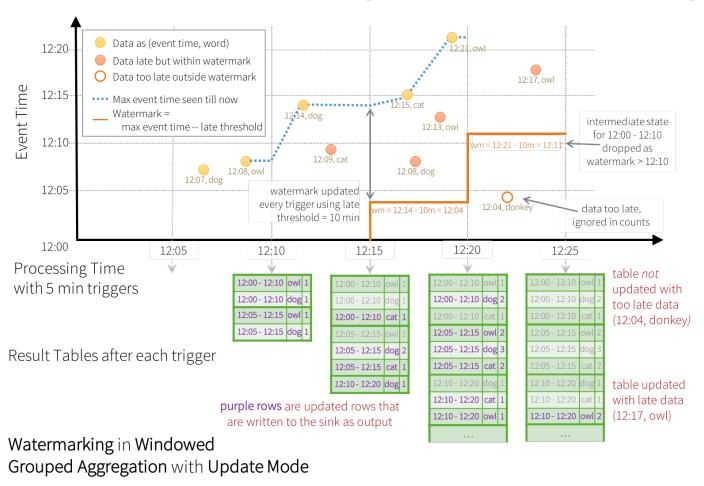
#### Structured Streaming: Watermarking

- Allows system to know when old data can be dropped
  - Do not need to update aggregates anymore
- Watermark specified in terms of event time
  - Defines maximum out of order in the data (not really lateness)

```
words = ... # streaming DataFrame schema { timestamp: Timestamp, word:
   String }
# Group the data by window and word and compute the count of each group
   windowedCounts = words.withWatermark("timestamp", "10 minutes") \
   .groupBy( window(words.timestamp, "10 minutes", "5 minutes"), words.word) \
   .count()
```

- Data that is older than the watermark (10 min) is discarded
- Output mode must be Append or Update

# Structured Streaming: Watermarking



https://spark.apache.org/docs/latest/structured-streaming-programming-guide.html

#### Watermarking and Other Processing

#### Join

```
impressions = spark.readStream....
clicks = spark.readStream....
# Apply watermarks on event-time columns impressionsWithWatermark =
impressions.withWatermark("impressionTime", "2 hours")
clicksWithWatermark = clicks.withWatermark("clickTime", "3 hours")
# Join with event-time constraints
impressionsWithWatermark.join( clicksWithWatermark, expr(""" clickAdId =
impressionAdId AND clickTime >= impressionTime AND clickTime <=
impressionTime + interval 1 hour """) )</pre>
```

#### Deduplicate

```
streamingDf = spark.readStream. ...
# With watermark using guid and eventTime columns
streamingDf.withWatermark("eventTime", "10 seconds") \
.dropDuplicates("guid", "eventTime")
```

 When joining streams with multiple watermarks, a global watermark is computed as the watermark of the slowest stream – so that no data is accidentally deleted

## Structured Streaming: Input and Output

```
# Read text from socket
socketDF = spark.readStream.format("socket") \
.option("host", "localhost").option("port", 9999).load()
# Read all the csv files written atomically in a directory
userSchema = StructType().add("name", "string").add("age", "integer")
csvDF = spark.readStream.option("sep",";") \
.schema(userSchema).csv("/path/to/directory")
# Write to file/s
writeStream.format("parquet") // can be "orc", "json", "csv", etc.
.option("path", "path/to/destination/dir") .start()
# Write to Kafka
writeStream.format("kafka").option("kafka.bootstrap.servers",
"host1:port1, host2:port2").option("topic", "updates") .start()
# Write to Console
writeStream .format("console") .start()
```

#### Other Issues

- Recommended minimum latency is 500msec
  - Receivers can have too much overhead with less than that
- Scaling
  - Multiple receivers run in parallel, and union can be used to merge streams
  - Need to be careful about ordering
  - Can repartition the data
- Fault tolerance
  - Checkpointing based fault tolerance

#### References

- https://spark.apache.org/docs/latest/streamingprogramming-guide.html
- https://spark.apache.org/docs/latest/structuredstreaming-programming-guide.html
- H. Karau, A. Konwinski, P. Wendell and M. Zaharia, "Learning Spark", O'Reilly Press
- http://spark.apache.org/
- Chapter 4 in Stream Processing Book

# **Apache Beam**

## **Apache Beam**

- Open source unified model
  - Composition language for data pipelines
  - For batch and streaming jobs
- Multiple language SDK
  - Java, Python, Go, Scala
- Multiple execution frameworks (runners)
  - Apache Apex (a)
  - Apache Flink <u>@</u>
  - Apache Gearpump
  - Apache Samza samza
  - Apache Spark spork
  - Google Dataflow
  - IBM Streams

## Apache Beam Runners Support

- Access transparency
  - Local and remote components accessible via same operations
- Location transparency
  - Components locatable via name, independent of location
- Concurrency transparency
  - Components/objects can be run in parallel
- Migration transparency
  - Allows movement of components without affecting other components
- Replication transparency
  - Allows multiple instances of objects for improved reliability (mirrored web pages)
- Failure transparency
  - Components designed while accounting for failure of other services
- Different runners implement these differently, however some common requirements

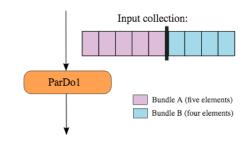
## Runners: Access, Location, Concurrency

#### Serialization and Communication

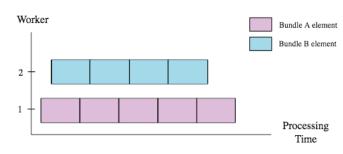
- Shipping data items from one pipeline stage to another (core function of distributed system)
- Done for grouping (as reduce), for parallelization, or for persistence
- Uses either disk, network or in-memory (for transforms on the same node)

#### Bundling and Parallelization

- Focus on data parallel tasks (see later)
- Each data stream (Pcollection) can be decomposed into *bundles* and distributed to multiple workers



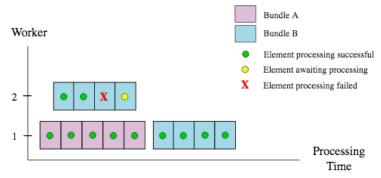
https://beam.apache.org/documentation/execution-model/



https://beam.apache.org/documentation/execution-model/

#### Runners: Failure Tolerance

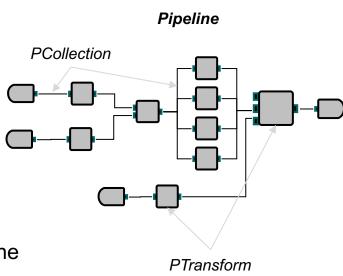
- Provided at the granularity of bundles
  - If any element in the bundle fails, processing recomputed



- Note that bundle may be processed on a different node than the original processing
- Guarantees at least once processing
- What is the difference between batch processing and stream processing?

# **Apache Beam Programming**

- Define driver program using one of Beam SDK languages
  - Java, Python, Go
- Core abstractions
  - Pipeline (application flowgraph)
    - Encapsulates entire data processing task
    - Needs to specify where and how to run
  - PCollection (Dataset or Stream)
    - Bounded datasets for batch processing
    - Unbounded for stream processing
  - PTransform (Operator)
    - Data processing operation or step in the pipeline
    - Multiple special I/O transforms for PCollections



## Apache Beam Programming: Pipeline

#### Creating a pipeline in Python

#### Creating a pipeline in Java

```
import org.apache.beam.sdk.Pipeline;
import org.apache.beam.sdk.options.PipelineOptions;
import org.apache.beam.sdk.options.PipelineOptionsFactory;

public static void main(String[] args) throws IOException {
    PipelineOptions options = PipelineOptionsFactory.create();
    // Then create the pipeline.
    Pipeline p = Pipeline.create(options);
}
```

Pipeline Options: Information about runner to use, project info (e.g. cloud account) etc. Allows reading these options from command line as --<option>=<value> at submission time

## Pipelines and Pipeline Options

Can define custom options to be passed at submission time

```
class MyOptions(PipelineOptions):
    @classmethod def _add_argparse_args(cls, parser):
    parser.add_argument('--input', help='Input for the pipeline',
        default='gs://my-bucket/input')
    parser.add_argument('--output', help='Output for the pipeline',
        default='gs://my-bucket/output')

public interface MyOptions extends PipelineOptions {
    @Description("My custom command line argument.")
    @Default.String("DEFAULT") String getMyCustomOption();
    void setMyCustomOption(String myCustomOption);
}
```

Allows reading these options from command line as --<option>=<value> at submission time

#### **PCollections**

- Potentially distributed, multi-element dataset
  - Equivalent to Stream in the streaming case
  - Consumed and produced by Transforms (operators)
  - Can be created by reading from external interfaces or in memory

```
lines = p | 'ReadMyFile' >> beam.io.ReadFromText('gs://some/inputData.txt')
lines = (p | beam.Create([ 'To be, or not to be: that is the question: ',
'Whether tis nobler in the mind to suffer']))

PCollection<String> lines = p.apply( "ReadMyFile",
TextIO.read().from("protocol://path/to/some/inputData.txt"));

static final List<String> LINES = Arrays.asList( "To be, or not to be: that is the question: ", "Whether tis nobler in the mind to suffer ");
p.apply(Create.of(LINES)).setCoder(StringUtf8Coder.of())
```

lines: PCollection whose elements are each a single string corresponding to a line of text in the file. In the batch case, this is a finite, bounded set of elements. In the streaming case, we could read from a continuously updating file (hot file) or from network

#### **PCollections**

- PCollection elements can have any type
  - All elements need to have the same type
  - Need to be encoded as byte strings for distributed processing (hence need to specify encoder)
- PCollections are Immutable
  - To transform them create new Pcollections (recall RDDs)
- Random Access
  - Elements cannot be accessed in random order collected in order of arrival (can have ordering transforms)
- Size
  - No limit on size of PCollection can fit in memory of one machine, or distributed across multiple
  - Can be bounded (batch) or unbounded (stream)

#### **PCollections**

#### Windowing

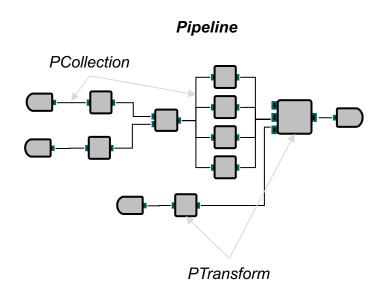
Used to partition unbounded Pcollections into finite sized logical sets

#### Element timestamps for PCollections

- Each element in unbounded PCollection is expected to have timestamp – assigned by source (creation or arrival time)
- Timestamps often used for windowing
- Batch PCollection elements are assigned the same timestamp
- Transforms can be used to assign timestamps to elements

# Transforms (Operators)

- Different operations that can be applied to 0 or more PCollections to create 0 or more PCollections
- Processing logic provided as function objects (user code)
  - Code may be run distributedly in parallel depending on the runner
- Two types of core general purpose transforms
  - ParDo and Combine that get specialized with user code
  - Other composite transforms as well



## **Applying Transforms**

- Transforms applied to PCollection to create new PCollection
- Can be chained to apply multiple transformations

```
[Final Output PCollection] = ([Initial Input PCollection] |
[First Transform] | [Second Transform] | [Third Transform])

[Final Output PCollection] = [Initial Input
PCollection].apply([First Transform]) .apply([Second Transform]) .apply([Third Transform])
```

Can build DAGs of transformations

```
[Output PCollection 1] = [Input PCollection] | [Transform 1]
[Output PCollection 2] = [Input PCollection] | [Transform 2]

[Output PCollection 1] = [Input PCollection].apply([Transform 1])
[Output PCollection 2] = [Input PCollection].apply([Transform 2])
```

#### **Core Beam Transformations**

- ParDo
- GroupByKey
- CoGroupByKey
- Combine
- Flatten
- Partition
- Each of these can be extended with user code
- Represent different ways of processing data
- Analogous to different functions in Spark/Spark
   Streaming

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#### ParDo Transform

- Analogous to the map functionality in Spark/Spark Streaming and Functor in Streams
- Takes each element in the PCollection, applies a function (user code) to it to create output elements that are assigned to new PCollection
- Typical Applications:
  - Filter data: (select) some elements based on a condition
  - Format or type converting data (e.g. parse strings)
  - Extract parts of each element (project)
  - Apply some computation to the elements
- To apply user code within ParDo, we need to define a DoFn class
  - Needs to conform with certain guidelines

#### DoFn for ParDo

Need to define process method with custom logic. This function is passed data, element by element, from the PCollection

Input PCollection has elements that are strings, output PCollection has elements that are ints

#### DoFn for ParDo

- DoFns can be invoked in parallel (by different workers)
  or multiple times based on fault tolerance requirements
  - Unsafe to make the DoFn stateful in number of invocations
- Simple DoFns can be define inline using lambda functions

```
word_lengths = words | beam.ParDo(lambda word: [len(word)])

PCollection<Integer> wordLengths = words.apply( "ComputeWordLengths",
    ParDo.of(
    new DoFn<String, Integer>(){
        @ProcessElement public void processElement(@Element String word,
OutputReceiver<Integer> out) {
        out.output(word.length()); } ));
```

One-to-One ParDos can be implemented as Map transforms (another special builtin)

```
word_lengths = words | beam.Map(len)
```

## GroupByKey

- Applied to PCollections with key/value pairs as elements
- Like a Shuffle operation in typical Map/Shuffle/Reduce workflows
- Groups all elements in the PCollection which have the same key

- Applying to unbounded PCollections (streams) requires windowing to be performed first
- Note similarity to the Spark groupByKey applied to Pair RDDs

## CoGroupByKey

- Like Join functionality with equality conditions on keys
- Applied to two or more PCollections with key/value pairs
- Groups all elements across two different PCollections that share the same key
  - Also performs a GroupByKey within each PCollection

```
emails = p | 'CreateEmails' >> beam.Create(emails_list)
phones = p | 'CreatePhones' >> beam.Create(phones_list)

results = ({'emails': emails, 'phones': phones} | beam.CoGroupByKey())

PCollection<KV<String, String>> emails = p.apply("CreateEmails",
Create.of(emailsList));

PCollection<KV<String, String>> phones = p.apply("CreatePhones",
Create.of(phonesList));

PCollection<KV<String, CoGbkResult>> results =
KeyedPCollectionTuple.of(emailsTag, emails) .and(phonesTag, phones)
.apply(CoGroupByKey.create());
```

## CoGroupByKey

```
emails_list = [
('amy', 'amy@example.com'),
('carl', 'carl@example.com'),
('julia', 'julia@example.com'),
('carl', 'carl@email.com'),
]
phones_list = [
('amy', '111-222-3333'),
('james', '222-333-4444'),
('amy', '333-444-5555'),
('carl', '444-555-6666'),
]
```

CoGroup ByKey

```
results = [
('amy', {
   'emails': ['amy@example.com'],
   'phones': ['111-222-3333', '333-444-
5555′1
}),
('carl', {
  'emails': ['carl@email.com',
     'carl@example.com'],
  'phones': ['444-555-6666']
}),
('james', {
  'emails': [],
  'phones': ['222-333-4444']
}),
('julia', {
  'emails': ['julia@example.com'],
  'phones': []
}),
```

#### Combine

- Like Aggregate functionality
- Applied to PCollection to combine elements using an appropriate aggregation function
  - Aggregation function should be commutative and associative
  - Prebuilt aggregation functions (sum, max, min) available

```
pc = [1, 10, 100, 1000]
small_sum = pc | beam.CombineGlobally(SumFn())

def bounded_sum(values, bound=500):
   return min(sum(values), bound)

small_sum = pc | beam.CombineGlobally(bounded_sum)
```

Can define both simple and complex aggregation functions

## Combine: Custom Aggregation

Example Aggregation Function

```
class AverageFn(beam.CombineFn):
    def create_accumulator(self):
        return (0.0, 0)
    def add_input(self, sum_count, input):
        (sum, count) = sum_count
        return sum + input, count + 1
    def merge_accumulators(self, accumulators):
        sums, counts = zip(*accumulators)
        return sum(sums), sum(counts)
        def extract_output(self, sum_count):
        (sum, count) = sum_count
        return sum / count if count else float('NaN')

average = pc | beam.CombineGlobally(AverageFn())
```

- Recall similarity to aggregate in Pyspark
  - In the streaming setting these are applied across a window
  - Need to specify default behavior for empty windows
- Can also similarly have CombinePerKey
  - for PCollections with key, value pairs

#### Flatten and Partition

- Flatten
  - merges PCollections of the same type into one PCollection
  - Like a stream combiner

```
merged = ( (pcoll1, pcoll2, pcoll3) | beam.Flatten())
```

#### Partition

 splits a single PCollection into multiple PCollections based on partition condition

```
#Define partitioning function that return integer
students = ...
def partition_fn(student, num_partitions):
   return int(get_percentile(student) * num_partitions / 100)
by_decile = students | beam.Partition(partition_fn, 10)
fortieth_percentile = by_decile[4]
```

Individual partitions can be accessed by index

## **Beam Writing Custom Functions**

- Recall: function code gets executed distributedly
  - Can have multiple copies running in parallel
  - Can also be retried based on failure/s
  - Code must be serializable and thread safe
- Serializable
  - Should not include large amounts of data and only include serializable classes – need to ship to workers
- Thread Safe
  - Care when creating threads
- 'Idempotent'
  - May be executed on the same data multiple times

#### Side Information for ParDo Transforms

- Useful to be able to have some side information
  - Not directly from the streaming data, but determined at runtime
  - E.g. Machine learning model, or parameters
- Passed as extra parameters to the process function of the ParDo

```
class FilterUsingLength(beam.DoFn):
    def process(word, lower_bound, upper_bound=float('inf')):
        if lower_bound <= len(word) <= upper_bound:
            yield word

small_words = words | beam.ParDo(FilterUsingLength(), 0, 3)</pre>
```

- Can similarly pass side input to other Beam transforms
  - E.g. FlatMap

### Multiple Output Streams from ParDo

Can create multiple output streams from transform

```
#Three output streams, main, above cutoff lengths, and marked strings
class GetWords (beam.DoFn):
  def process(self, element, cutoff length, marker):
    if len(element) <= cutoff length:</pre>
      yield element
    else:
      yield pvalue.TaggedOutput( 'above cutoff lengths', len(element))
    if element.startswith(marker):
      vield pvalue.TaggedOutput('marked strings', element)
results = (words | beam.ParDo(GetWords(), cutoff length=2, marker='x')
             .with outputs ('above cutoff lengths', 'marked strings',
             main='below cutoff strings'))
below = results.below cutoff strings
above = results.above cutoff lengths
marked = results['marked strings']
```

Access streams by tags or by keys

# Pipeline I/O – Reading and Writing

#### Built in connectors

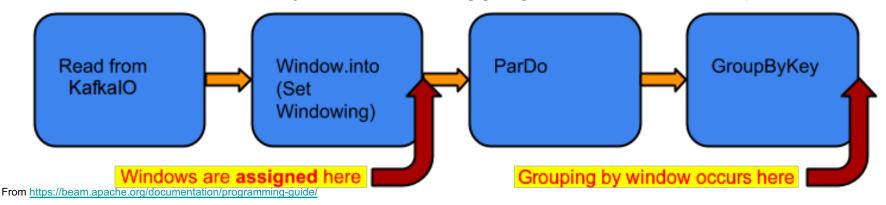
Memory, File, Cloud Storage, Databases, Pub-sub...

```
output | beam.io.WriteToText('gs://some/outputData')
lines = p | 'ReadFromText' >> beam.io.ReadFromText('input-*.csv')
output.apply(TextIO.write().to("gs://some/outputData"));
p.apply("ReadFromText",
TextIO.read().from("protocol://my bucket/path/to/input-*.csv");
```

	File	Messaging	Database
Java	FileIO, AvroIO, TextIO, TFRecordIO, XmIIO, TikaIO, ParquetIO	Kinesis, Kafka, Pub/Sub, MQTT, JMS	Cassandra, Hadoop InputFormat, Hbase, Hive, Apache Kudu, Apache Solr, Elasticsearch, Google BigQuery, Google Cloud Bigtable, Google Cloud Datastore, Google Cloud Spanner, JDBC, MongoDB, Redis
Python	avroio, textio, tfrecordio, vcfio	GC Pub/Sub	Google BigQuery, Cloud Datastore

## **Beam Windowing**

- Windowing used to partition PCollections based on individual tuple timestamps
  - Support attribute delta (specifically based on timestamp/arrival time)
- Each element in a PCollection is assigned to one or more windows
- Each individual window contains a finite number of elements
- All transforms and aggregations are applied on a window by window basis
  - E.g. GroupByKey groups elements by key and window
- Windows actually used when aggregation needs to be performed

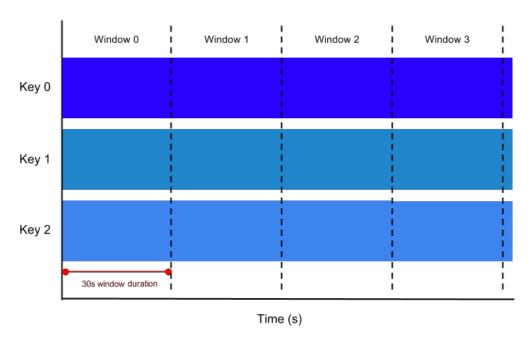


# **Built-in Windowing Support**

- Delta-based Windows
  - Fixed Time Windows (Tumbling Windows)
  - Sliding Time Windows
- Per-Session Windows
- Single Global Window
- Calendar-based Windows
- All windowing performed per Key
- Custom Windows can also be defined with a WindowFn

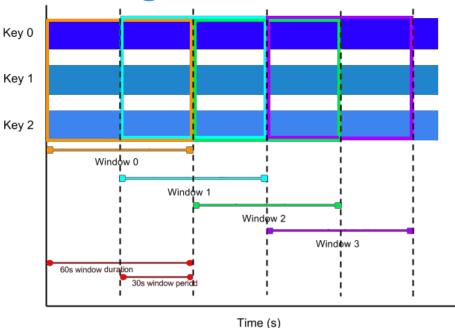
From https://beam.apache.org/documentation/programming-guide/

#### **Beam Fixed Time Windows**



```
from apache_beam import window
fixed_windowed_items=(items | 'window' >> beam.WindowInto(window.FixedWindows(30)))
```

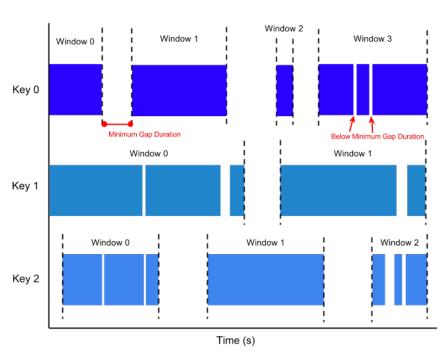
### Beam Sliding Time Windows



#### **Beam Session Windows**

- Defined for irregularly spaced data
  - where gap in data arrival specifies window boundaries

 New window started when the gap in arrival is greater than the specified minimum value



#### Watermarks and Late Data

- Used to account for difference between event time and arrival time
  - Can specify max lateness in data arrival to allow it to be added to window
    - E.g. if max lateness is 30 seconds and we have a 5:00 minute window, then Beam will
      wait 30 seconds for such data
    - If at 5:29 in real time, we have data with event time 4:45 then it will be added to window 1
    - If at 5:34 in real time we have data with event time 4:43 this data will be discarded since it arrived later than the max lateness
- Only supported in Java, not in Python
- Watermark estimated by Beam, based on data arrival patterns, and user specification

```
PCollection<String> items = ...;
PCollection<String> fixedWindowedItems = items.apply(
    Window.<String>into(FixedWindows.of(Duration.standardMinutes(1)))
    .withAllowedLateness(Duration.standardDays(2)));
```

## Timestamps and PCollections

- Streaming data comes with timestamps naturally
  - Batch data does not
- Need to tell Beam how to extract/assign timestamps from the data
- Apply ParDo transforms to do this

```
class AddTimestampDoFn(beam.DoFn):
    def process(self, element):
        unix_timestamp = extract_timestamp_from_log_entry(element)
        yield beam.window.TimestampedValue(element, unix_timestamp)

timestamped_items = items | 'timestamp' >> beam.ParDo(AddTimestampDoFn())

PCollection<LogEntry> unstampedLogs = ...;
PCollection<LogEntry> stampedLogs = unstampedLogs.apply(ParDo.of(new DoFn<LogEntry, LogEntry>() {
    public void processElement(@Element LogEntry el, OutputReceiver<LogEntry> out) {
        Instant logTimeStamp = extractTimeStampFromLogEntry(element);
        out.outputWithTimestamp(element, logTimeStamp);
    }
}));
```

## Window Triggers

- Determine when results from the window are emitted
- Event time triggers
- Processing time triggers
- Data-driven triggers
- Composite triggers

## Window Triggers and Composition

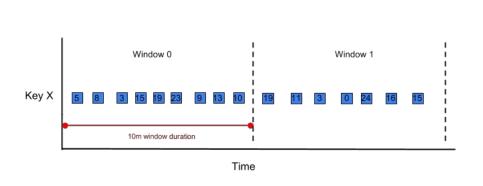
- Event Triggers: operate on the event time (data time), e.g. AfterWaterMark trigger
  - Processing performed when watermark passes end of window
  - Recall watermarks and Structured Spark Streaming
- Processing Time Triggers: operate on wall clock time, e.g. AfterProcessingTime trigger
  - Processing performed interval after the first element in window is received
  - Supports count and time based interval specification
- Data-driven Triggers: element count, e.g. AfterCount trigger
  - Processing performed after receiving a certain number of tuples
- Different types of triggers can be composed

```
AfterWatermark(early=AfterProcessingTime(delay=1*60), late=AfterCount(1))
AfterWatermark.pastEndOfWindow()
   .withEarlyFirings( AfterProcessingTime .pastFirstElementInPane()
   .plusDuration(Duration.standardMinutes(1))
   .withLateFirings(AfterPane.elementCountAtLeast(1))
```

## **Setting Window Triggers**

Associated with the WindowInto transform

 Accumulation Mode determines what happens to windows on each firing (since repeated firings possible)



```
accumulatingFiredPanes() Mode
First trigger firing: [5, 8, 3]
Second trigger firing: [5, 8, 3, 15, 19, 23]
Third trigger firing: [5, 8, 3, 15, 19, 23, 9, 13, 10]

discardingFiredPanes() Mode
First trigger firing: [5, 8, 3]
Second trigger firing: [15, 19, 23]
Third trigger firing: [9, 13, 10]
```

## Handling Late Data

In Python – use parameter allowed\_lateness

```
pc = [Initial PCollection] pc |
beam.WindowInto(FixedWindows(60),
trigger=trigger_fn,
accumulation_mode=accumulation_mode,
allowed_lateness=Duration(seconds=2*24*60*60))
# 2 days
```

In Java – use withAllowedLateness() to specify

#### References

- Apache Beam Documentation:
   <a href="https://beam.apache.org/documentation/programming-guide/">https://beam.apache.org/documentation/programming-guide/</a>
- Apache Beam Community: <a href="https://beam.apache.org/community/contact-us/">https://beam.apache.org/community/contact-us/</a>