

Word Equations as Abstract Domain for String Manipulating Programs

Antonina Nepeivoda
Program Systems Institute of RAS
`a_nevod@mail.ru`

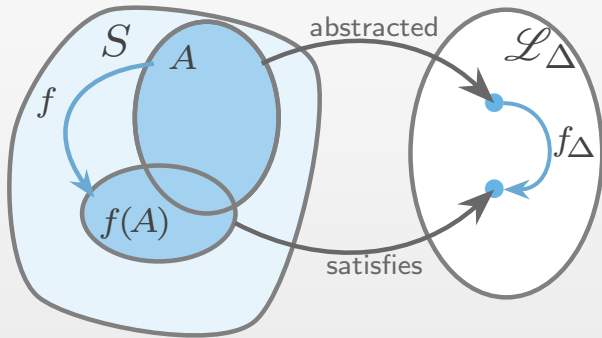
Abstract Program Properties

- We are interested in satisfiability of program properties wrt some predicate set Δ over program data. The set Δ is to be expressed in a chosen language \mathcal{L}_Δ .
 - Then any program trace can be considered in terms of abstracted structures wrt preserving properties in Δ , i.e. abstracted to \mathcal{L}_Δ .
- Checking division by 0 \Rightarrow
 $\mathcal{L}_\Delta = \{\text{IsZero}, \text{IsNonZero}, \text{Unknown}, \text{Error}\}.$
 - Checking overflows in arithmetics $\Rightarrow \mathcal{L}_\Delta$ can be an interval predicate set
 $\{(x \in (a, b)) \mid a, b \in \mathbb{R} \cup \{-\infty, \infty\}\} \cup \{\text{Error}\}.$



Function Lifting

- Every function $f : S \rightarrow S$ in the program data domain is to be lifted to the abstract values domain.
- The lifted image of f (denoted f_Δ) must respect the original function f properties.

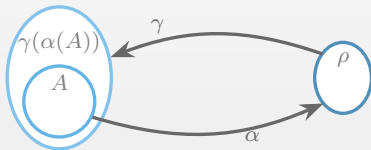


Galois Connection in Abstract Interpretation

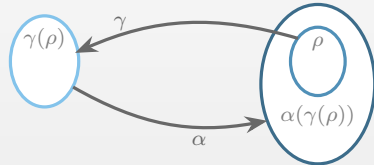
Let S and Δ be sets of concrete and abstract data values. We say that $a \propto \rho$ iff $a \in S$ satisfies the predicate $\rho \in \Delta$.

The two functions are crucial to analyse the abstract properties:

- Abstraction $\alpha : 2^S \rightarrow \Delta$;
 $\alpha(A) = \text{most specific predicate } \rho \text{ such that } \forall a (a \in A \Rightarrow a \propto \rho)$;
- Concretisation: $\gamma : \Delta \rightarrow 2^S$;
 $\gamma(\rho) = \{a \in S \mid a \propto \rho\}$.



$$A \subseteq \gamma(\alpha(A))$$



$$\rho \preceq \alpha(\gamma(\rho))$$

Such a relation between any two sets is called a Galois connection.



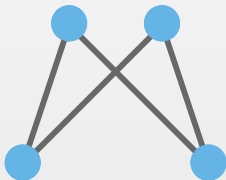
Partial Order on Δ and Lattice Axioms

Δ is equipped by partial order \preceq , and a pair of functions $\alpha : 2^S \rightarrow \Delta$, $\gamma : \Delta \rightarrow 2^S$ over-approximate elements of 2^S w.r.t. \preceq and \subseteq .

- $A \subseteq \gamma(\alpha(A))$;
- $\rho \preceq \alpha(\gamma(\rho))$.

Collecting semantics

Given $\rho_1, \rho_2 \in \mathcal{L}_\Delta$, a joined value ρ' s.t. $\rho_1 \preceq \rho'$ and $\rho_2 \preceq \rho'$ is to be unique $\Rightarrow \rho'$ is a unique least upper bound of ρ_1, ρ_2 .



An ordering with multiple upper bounds cannot produce an unambiguous semantics of the joined values.



Partial Order on Δ and Lattice Axioms

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- $A \subseteq \gamma(\alpha(A))$;
- $\rho \preceq \alpha(\gamma(\rho))$.

In a lattice, every two elements of Δ have supremums (\vee) and infimums (\wedge) w.r.t. the partial order \preceq .

Lattice Axioms

- $(x \vee (x \wedge y) = x) \ \& \ (x \wedge (x \vee y) = x)$;
 - $(x \vee y = y \vee x) \ \& \ (x \wedge y = y \wedge x)$;
 - $(x \vee (y \vee z) = (x \vee y) \vee z) \ \& \ (x \wedge (y \wedge z) = (x \wedge y) \wedge z)$.
-



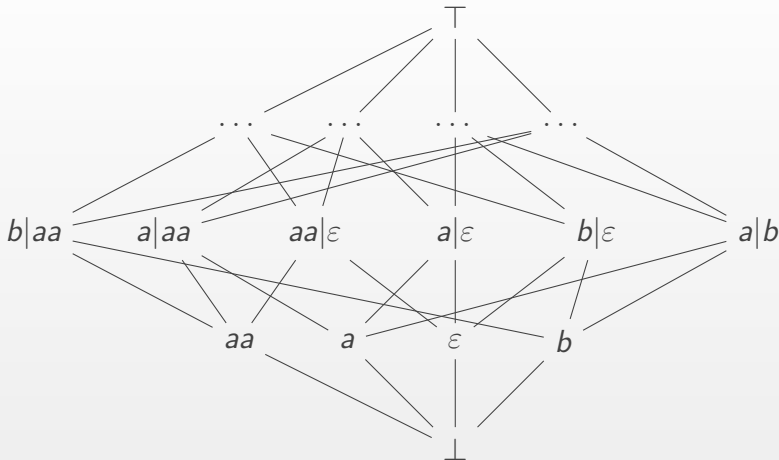
Existing Lattices for Strings

Let Σ be a letter alphabet, $S \subseteq \Sigma^*$.

- The simplest lattice over predicates $\{X = \xi \mid \xi \in \Sigma^*\}$ (denoted \mathcal{Latt}_{Eq}). Finite height, imprecise.
- mapping to commutative algebra {
 - Lattice tracking string lengths: $\{|X| = n \mid n \in \mathbb{N}\}$.
 - Lattice tracking letter occurrences in strings: $\{(|X|_q > 0) \mid q \in \Sigma\}$.
- incomplete {
 - Lattice tracking prefixes and suffixes of strings: $\{\exists \tau_1, \tau_2 (X = \xi_1 \tau_1) \ \& \ (X = \tau_2 \xi_2) \mid \xi_i \in \Sigma^*\}$.
 - Lattice checking membership in regular languages: $\{X \in \tau \mid \tau \text{ is a regular expression}\}$.
 - Lattice tracking program-specific predicates (JSAI, Kashyap et al, 2014).
Desirable to be parameterizable with predicates.



Regular Expressions Lattice



requires widening { Both infinite ascending chains (e.g. $a, a|a^2, \dots$)
and infinite descending chains (e.g. $a^*, (a^2)^*, \dots$).



The Research Questions Arise

- Find an expressible string predicates set, forming a lattice of string abstract values:
 - being complete (and, moreover, finite-height);
 - extending the trivial lattice \mathcal{Latt}_{Eq} ;
 - capturing non-commutative string properties.
- Improve flexibility of the given predicate set using lattice operations.

We suggest a word equation language as a candidate for such a set of abstract values.



Word Equations by Example

Given two alphabets:

- Σ is a set of constant (e.g. lowercase latin) characters;
- \mathcal{V} is a set of variables (e.g. capitalized latin characters).

Consider two **constant words**:

aababaa

aababaa



Word Equations by Example

Given two alphabets:

- Σ is a set of constant (e.g. lowercase latin) characters;
- \mathcal{V} is a set of variables (e.g. capitalized latin characters).

Replace some of occurrences of their subwords with variables:

$$\begin{array}{ccc} aababababa & & aabababaa \\ a^2 \mapsto X, & a^2ba \mapsto Y, & b \mapsto Z \\ XZabY & & YbaZX \end{array}$$



Word Equations by Example

Given two alphabets:

- Σ is a set of constant (e.g. lowercase latin) characters;
- \mathcal{V} is a set of variables (e.g. capitalized latin characters).

Insert the equality sign between the resulted words:

$$\begin{array}{ccc} aababaaba & & aabababaa \\ a^2 \mapsto X, & a^2ba \mapsto Y, & b \mapsto Z \\ XZabY & & YbaZX \\ XZabY & = & YbaZX \end{array}$$



Word Equations by Example

Given two alphabets:

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$$\begin{array}{ccc} aababaaba & & aabababaa \\ a^2 \mapsto X, & a^2ba \mapsto Y, & b \mapsto Z \\ XZabY & = & YbaZX \end{array}$$

We have obtained a **word equation**.

A **solution** of the equation is the following **substitution**:

$$X := a^2, \quad Y := a^2ba, \quad Z := b$$



Word Equations by Example

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A **solution** of the equation is the following **substitution**:

$$X := a^2, \quad Y := a^2ba, \quad Z := b$$

Another **solution** of the equation :

$$X := \varepsilon, Y := a, Z := \varepsilon, \text{ where } \varepsilon \text{ is the empty word.}$$



Word Equations

Given a finite alphabet Σ of constant characters and an alphabet \mathcal{V} of variables. Let ε stand for the empty word.

Definition

A **word equation** is an equation of the form $\Psi = \Phi$, where $\Psi, \Phi \in \{\Sigma \cup \mathcal{V}\}^*$. One may see the equation as a pair $\langle \Phi, \Psi \rangle$.

Given a word equation $\Psi = \Phi$, where $\Psi, \Phi \in \{\Sigma \cup \mathcal{V}\}^*$. A **solution** of the equation **is a morphism** $\sigma : \{\Sigma \cup \mathcal{V}\}^* \rightarrow \Sigma^*$ s.t. $\sigma(\Psi) = \sigma(\Phi)$, where the morphism σ respects the concatenation operation, and $\forall \xi \in \Sigma. \sigma(\xi) = \xi$.



Word Equations in Program Analysis (Simplest Examples)

How do word equations naturally arise in the context of program analysis?

The variables X, X_1, Y, U, Z range over strings. Their values may be completely unknown or partially known in compile (analyse) time.

$$X = UbaYabZ$$

```
if ( includes(X, 'ba' + Y + 'ab' ) )  
  then { ..... }  
  else { ..... }
```

$$X = aX_1 = X_1a$$

```
while ( startsWith(X,'a') && endsWith(X,'a') ) {  
  X := substring(X, 1, length(X))  
  if is_empty(X) return true;  
}
```



Word Equations in Program Analysis

Example source: P.A. Abdulla, M.F. Atig, Y. Chen, B.P. Diep, L. Holik, A. Rezine, Ph. Rummer: Flatten and conquer: a framework for efficient analysis of string constraints, PLDI, 2017, ACM, pp. 602–617.

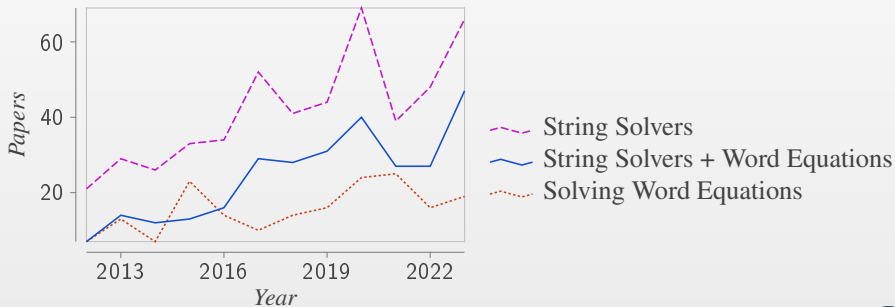
Initial program	Simplified program
<pre>main(X,Y,Z) = eq(g(Z)++X, 'a'++g(Z)++Y); g('i'++X) = 'a'++g(X)++'b'; g('s'++X) = g(X)++'b'; g(ε) = ε; eq(X, X) = true; eq(X, Y) = false;</pre>	<pre>main'(X,Y,'i'++Z) = false; main'(X,Y,'s'++Z) = false; main>('a'++Y,Y,ε) = true; main'(X,Y,ε) = false;</pre>

- Quadratic equation $WX = aWY$ ($W := g(Z)$) appears as a constraint generated by rule `eq(X, X) = true`, and its solution set includes $W \in a^*$, which is incompatible with any trace of g -computation except the trivial one.



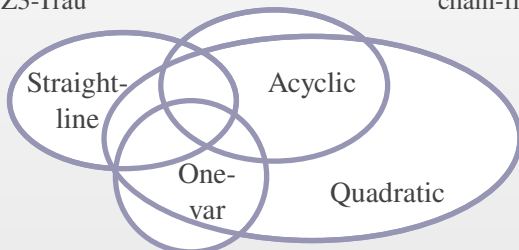
In recent years:

- Many studies are conducted on developing automated reasoning tools capable of **proving or disproving statements involving words**.
 - Their task is to automatically determine the satisfiability of that formula.

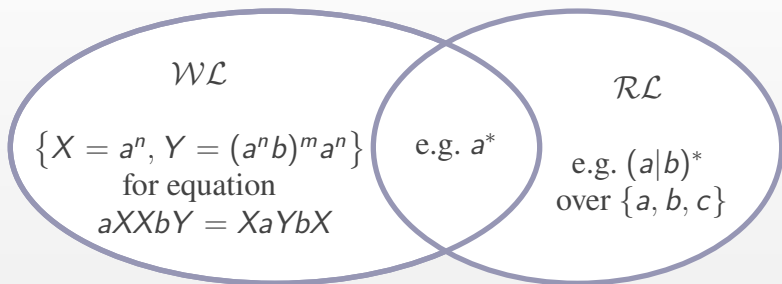


- Some string solving tools using word equations as constraint sets:

— HAMPI	bounded
— Norn	acyclic
— OSTRICH	straight-line
— Sloth	straight-line & acyclic
— Woorpje	bounded
— CertiStr	acyclic
— MSCP-A	regularly-cyclic
— CVC5, Z3Str3	acyclic & bounded
— Z3-Trau	chain-free



The word equation language \mathcal{WL} vs. the regular expression language \mathcal{RL} : intersect but are not subsets each of other:



- There is no word equation, whose solution-set is represented by $(a|b)^*$ over the alphabet $\{a, b, c\}$.
 - J. Karhumäki, F. Mignosi, W. Plandowski. The expressibility of languages and relations by word equations. *Journal of the ACM (JACM)*, 47(3), pp: 483-505, (2000).



Unary Quantifier-Free Predicates

Given a predicate P expressed by a word equation E , let us assume that E depends on a single variable X .

- What string properties can be expressed by one-variable word equations?
- How the equations can be normalized, in order to represent language properties consistently?

Non-trivial question: *Can the solution-set be constructively described simpler as compared to the description provided by the original equation itself?*

Equations $aX = Xa$, $aaX = Xaa$, and $aXX = XXa$ all have the same solution set a^* .



Theorem (Nowotka-Saarela, 2018).

Every one-variable word equation has either infinitely many or at most three solutions.

Definition

A word $\eta \in \Sigma^+$ is said to be **primitive**, if for any $\xi \in \Sigma^*$, $n \in \mathbb{N}$ s.t. $\eta = \xi^n$ the equality $n = 1$ holds.

If an X -variable word equation has infinitely many solutions, then its whole solution-set is described with the fractional powers

$$\left\{ \underbrace{(\xi\zeta)}_{\text{primitive word}}^n \xi \mid n \in \mathbb{N} \right\}$$



We introduce a set of word-equation lattices over strings.

Lemma

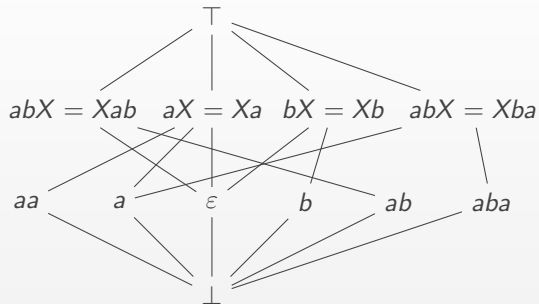
One-variable equations with infinite solution sets and trivial equations of the form $X = \eta$, together with \top , \perp form a complete lattice, that is:

- Given any constant words ξ_1, ξ_2 , at most one infinite-solution one-variable word equation is satisfied by both.
- Given any two infinite-solution word equations $\xi_1\xi_2X = X\xi_2\xi_1$, $\xi_3\xi_4X = X\xi_4\xi_3$, at most one word in Σ^* satisfies both.

Base lattice WL_0 includes all elements $\{X = \xi\}$, $\{\xi_1\xi_2X = X\xi_2\xi_1\}$; its finite sublattices $WL_0(\mathcal{P})$ capture properties of program \mathcal{P} .



A Lattice For $\{\varepsilon, a, a^2, b, ab, aba\}$ (Program Analysis*)



- A non-trivial one-variable word equation with an infinite solution set can be reduced to an equivalent one (where η_i stand for constant words)

$$\underbrace{\eta_1 \eta_2 X = X \eta_2 \eta_1}_{\text{normal-form condition}} \text{ } (\eta_1 \eta_2 \text{ is primitive}).$$
- $((\eta_1 \eta_2)^n X = X (\eta_2 \eta_1)^n) \Leftrightarrow (\eta_1 \eta_2 X = X \eta_2 \eta_1) \text{ } (n \geq 1)$, hence the widening problem is resolved.



Lifting JS String Operations to Abstract Domain

Simple example — built-in string concatenation in JavaScript.

We assume that x, y are non-bottom when they are concatenated, otherwise the concatenation results in an error.

$x + y =$

$$\left\{ \begin{array}{l} \{X = \xi_1\xi_2\}, \text{ if } x = \{X = \xi_1\}, y = \{X = \xi_2\}; \\ \{ \xi_4\xi_5X = X\xi_5\xi_4 \}, \text{ if } x = \{X = \xi_1\} \ \& \ y = \{ \xi_2\xi_3X = X\xi_3\xi_2 \} \\ \quad \text{and } \xi_4, \xi_5 \text{ are s.t. } \xi_5\xi_4 = \xi_3\xi_2 \text{ and } \exists n(\xi_1\xi_2 = (\xi_4\xi_5)^n\xi_4); \\ \{ \xi_4\xi_5X = X\xi_5\xi_4 \}, \text{ if } x = \{X = \xi_1\xi_2X = X\xi_2\xi_1\} \ \& \ y = \{X = \xi_3\} \\ \quad \text{and } \xi_4, \xi_5 \text{ are s.t. } \xi_4\xi_5 = \xi_1\xi_2 \text{ and } \exists n(\xi_1\xi_3 = (\xi_4\xi_5)^n\xi_4); \\ \{ \xi_5\xi_6X = X\xi_6\xi_5 \}, \text{ if } x = \{ \xi_1\xi_2X = X\xi_2\xi_1 \} \ \& \ y = \{ \xi_3\xi_4X = X\xi_4\xi_3 \} \\ \quad \text{and } \xi_2\xi_1 = \xi_3\xi_4 \\ \quad \text{and } \xi_5, \xi_6 \text{ are s.t. } \xi_5\xi_6 = \xi_1\xi_2 \text{ and } \exists n(\xi_1\xi_3 = (\xi_1\xi_2)^n\xi_5); \\ \top, \text{ otherwise.} \end{array} \right.$$

Below we consider the only non-trivial case, when x and y are both infinite-solution equations.



Lifting JS String Operations to Abstract Domain

$x + y =$

$$\left\{ \begin{array}{l} \dots \\ \{ \xi_5 \xi_6 X = X \xi_6 \xi_5 \}, \text{ if } x = \{ \xi_1 \xi_2 X = X \xi_2 \xi_1 \} \ \& \ y = \{ \xi_3 \xi_4 X = X \xi_4 \xi_3 \} \\ \hspace{15em} \text{and } \xi_2 \xi_1 = \xi_3 \xi_4 \\ \text{and } \xi_5, \xi_6 \text{ are s.t. } \xi_5 \xi_6 = \xi_1 \xi_2 \text{ and } \exists n (\xi_1 \xi_3 = (\xi_1 \xi_2)^n \xi_5); \\ \top, \text{ otherwise.} \end{array} \right.$$

Where do ξ_5, ξ_6 come from?

$$\begin{array}{c} \exists \xi_5, \xi_6 \forall m, n \exists k \underbrace{\left((\xi_1 \xi_2)^m \xi_1 \right)}_{x \text{ values}} \underbrace{\left((\xi_3 \xi_4)^n \xi_3 \right)}_{y \text{ values}} = \underbrace{\left((\xi_5 \xi_6)^k \xi_5 \right)}_{x+y \text{ values}} \\ \Downarrow \\ (\xi_1 \xi_2 = \xi_5 \xi_6) \ \& \ \forall n \exists k \left(\underbrace{\xi_1}_{\text{y values}} \underbrace{\left((\xi_3 \xi_4)^n \xi_3 \right)}_{\text{y values}} = \underbrace{\xi_1 (\xi_2 \xi_1)^k \xi_2 \xi_5}_{(\xi_5 \xi_6)^{k+1}} \right) \end{array}$$



String Morphisms and Fixpoints

Classical Lemma

If σ is a solution to equation $\mathcal{U} = \mathcal{V}$, and h is a morphism, then $h \circ \sigma$ is a solution to $h(\mathcal{U}) = h(\mathcal{V})$.

Corollary: string morphisms $h : \Sigma \rightarrow \Sigma^*$, extended to WL_0 elements by normalising the morphic images of the equation sides, are monotone lattice mappings. Namely,

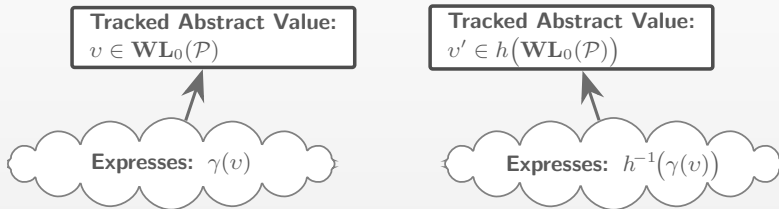
$$(E_1 \preceq E_2) \Rightarrow (h(E_1) \preceq h(E_2)).$$

By Knaster–Tarski theorem, morphisms fixpoints form a complete sublattice of WL_0 .



Expressibility via Transformations

Given a monotone mapping h , we can track properties expressed by inverse morphisms of concretisations of the abstract values.

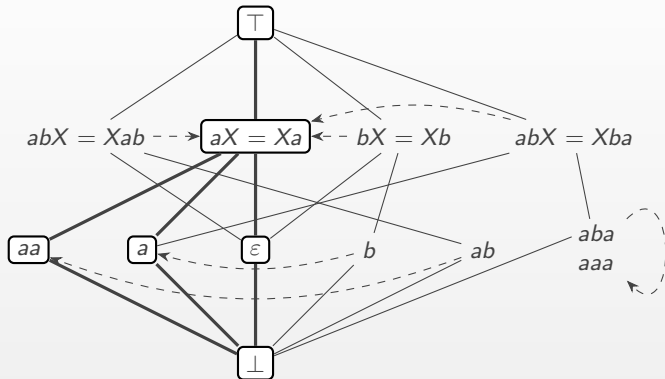


There $h^{-1} : 2^S \rightarrow 2^S$ is defined as usual:

- $\forall s \in S \left(h^{-1}(\{s\}) = \{s' \mid h(s') = s\} \right);$
- $h^{-1}(\mathcal{M}_1 \cup \mathcal{M}_2) = h^{-1}(\mathcal{M}_1) \cup h^{-1}(\mathcal{M}_2).$



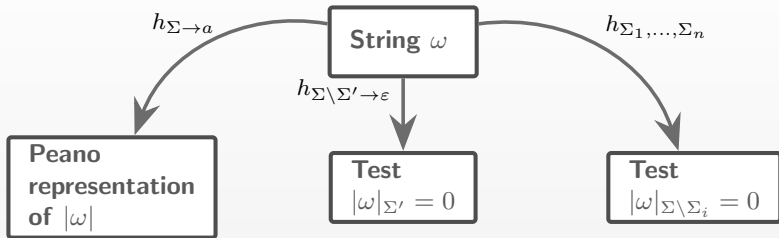
Fixpoint Example



The sublattice generated by the morphism $h(x) = a$ tracks string lengths in a given program.



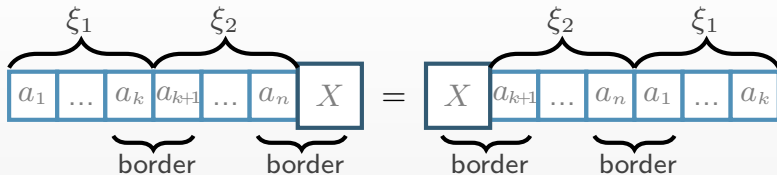
Expressibility of Fixpoint Lattices



- $h_{\Sigma \rightarrow a} \stackrel{\Delta}{=} a$ a morphism that maps a to itself and other letters to ε ;
- $h_{\Sigma \setminus \Sigma' \rightarrow \varepsilon} \stackrel{\Delta}{=} \varepsilon$ a morphism that maps all the letters from Σ' to a and all the other letters to ε .
- Let $\Sigma = \Sigma_1 \cup \Sigma_2 \cup \dots \cup \Sigma_n$, where $\forall i, j (i \neq j \Rightarrow \Sigma_i \cap \Sigma_j = \emptyset)$.
 $h_{\Sigma_1, \dots, \Sigma_k} \stackrel{\Delta}{=} a$ a morphism that maps all the elements of the disjoint sets to a single letter.



Inverse Images of String Morphisms



An inverse mapping of a morphism h is **border-preserving** wrt \mathcal{L} , if for any element $\{\xi_1\xi_2X = X\xi_2\xi_1\}$ of \mathcal{L} and for any $a \in \Sigma$, $h(a)$ either contains no border of $\xi_1\xi_2X = X\xi_2\xi_1$, or is equal to ξ_2 , and $\xi_1 = \varepsilon$.

Lemma

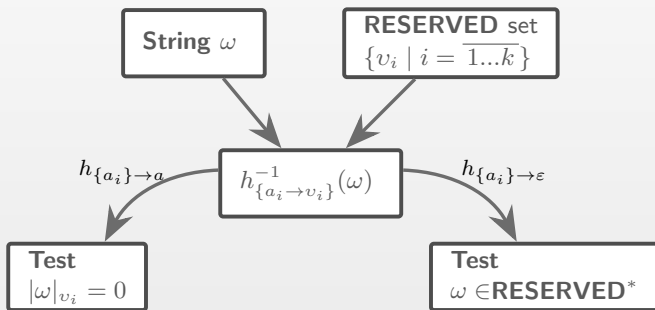
Given lattice $WL_0(\mathcal{P})$ and a string morphism h satisfying the conditions above, for any order induced on Σ , h_{\min}^{-1} is a lattice morphism.



Expressibility of Inverse Mappings

Let RESERVED be a set of reserved words v_i (keywords, constants, etc), s.t. morphism $h_{\{a_i \rightarrow v_i\}}$ mapping fresh letters from Σ' to v_i is border-preserving.

Then an inverse image lattice $h_{\{a_i \rightarrow v_i\}}^{-1}$ can be used to track occurrences of the keywords inside strings.



Word Equations in Abstract Interpretation (Program Analysis)

Simple Example: abstract interpretation over $WL_0(\mathcal{P})$ -lattice shows the sanitization given in line 5 is sound (line 7 is unreachable).

```
1  z = ξ;  
2  x = '<script' + z;  
3  if (x != z + z) {  
4      x = x + x };  
5  replaceAll(x, 'ip', 'ipv4');  
6  if (contains(x, 'script')) {  
7      return 'Possible code injection'; }
```



Word Equations in Abstract Interpretation (Program Analysis)

The sanitization given in line 5 is sound.

1	$z = \xi;$	$z \mapsto \{X = \xi\}$
2	$x = \text{'<script' + z};$	$x \mapsto \{X = \eta\}$
3	if ($x \neq z + z$) {	(does not change)
4	$x = x + x};$	$\{X = \eta\} \vee \{X = \eta + \eta\}$
	$= \{prm(\eta)X = X prm(\eta)\};$	$x \mapsto \{prm(\eta)X = X prm(\eta)\}$
5	$replaceAll(x, \text{'ip'}, \text{'ipv4'});$	$x \mapsto^* \{\eta' X = X \eta'\}$
6	if ($contains(x, \text{'script'})$) {	(never holds for the abstract value of x)
7	return 'Possible code injection'; }	(is pruned)

- $prm(\eta)$ is a primitive* root of η ;
- $\eta = \text{'<script' + } \xi$;
 $\eta' = replaceAll(prm(\eta), \text{'ip'}, \text{'ipv4'})$.
- predicate $contains(x, \text{'script'})$ fails for any value of X satisfying $\eta' X = X \eta'$.



Conclusion

- An infinite-solution subset of one-variable word equations together with predicates $\mathcal{Latt}_{Eq} = \{X = \xi\}$ form a finite-height lattice WL_0 . sublattices of the lattice WL_0 can be used for abstract interpretation of string-manipulating programs.
- Monotone lattice mappings by means of string morphisms and special cases of inverses of string morphisms generate fixpoint lattices upon WL_0 , which can track additional program properties, non-expressible in the word equations language directly.



- A word-equation-lattice-based framework for analysing real-world JavaScript string-manipulating programs.
- Lattice modifications capturing relations between values.

A simple instance:

$$\{\text{WL}_0(\mathcal{P})[X] \times \text{WL}_0(\mathcal{P})[Y] \times \langle \top, \perp, \{XY = YX\} \rangle\}.$$



Thanks for Attention

- Any questions?



\mathcal{WL} — existential string theory

(concatenation + equality = word equations).

Theory	Replace All (Const Args)	letter count	length count	\mathcal{RL}	Complexity
$\mathcal{WL} + \mathcal{RL}$	✗	✗	✗	✓	PSPACE
$\mathcal{WL} + \text{len}$	✗	✗	✓	✗	???
$\mathcal{WL} + \text{count}$	✗	✓	✗	✗	Undec.
$\mathcal{WL} + \text{repl}$	✓	✗	✗	✗	Undec.



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