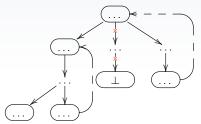
# Experiments with Supercompilation on Refal

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ru-STEP, Innopolis, July 9th

### Introduction to the Supercompilation



Considers the set of all runs of the program on a given parameterized entry point.

- ullet unfolding: general case  $\longrightarrow$  a set of specific cases;

We denote e-parameters with u, w; and s-parameters with s.

### **Introductory Example**

The parameterized entry point: <AllA <GenA w>>.

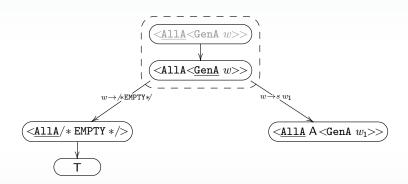


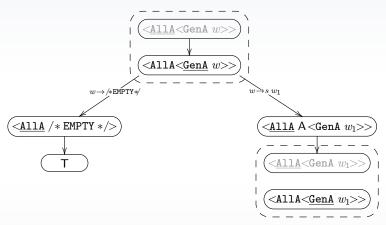
Refal uses CBV semantics; supercompilation uses also CBN.

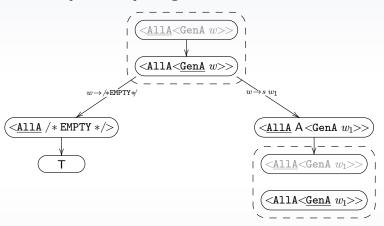
#### **Definition**

One-step unfolding of the state  $C_0$  is a transition to the states  $C_1, \ldots, C_n$  such that every computation path starting in  $C_0$  is a path starting in  $C_i$ , prefixed with the state  $C_0$ .

Given the (parameterized) call  $f(t_1,\ldots,t_k)$  and the f definition consisting of n rules  $f(P_1^i,\ldots,P_k^i)=R_i$ , driving generates substitution pairs  $\langle \sigma_j,\xi_j\rangle$  (if possible) unifying  $f(t_1,\ldots,t_k)$  with  $f(P_1^i,\ldots,P_k^i)$ , thus the general case  $f(t_1,\ldots,t_k)$  is specified to j cases  $R_i\xi_j$ , and the transitions are marked by the parameter narrowings  $\sigma_j$ .

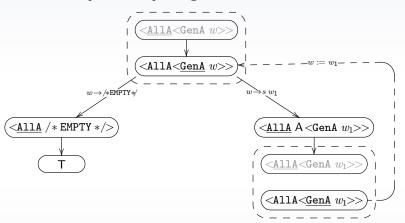






State <AllA <GenA  $w_1>>$  repeats <AllA <GenA w>> modulo par-renaming.

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All the cases considered are either object expressions or folded to the known ones.

### Another example: <AllB<GenBw>>

```
GenB {
    /* EMPTY */ = /* EMPTY */;
    s.x e.y = <GenB e.y>B;
}
AllB {
    /* EMPTY */ = T;
    B e.x = <AllB e.x>;
    s.x e.y = F;
}
```

The function GenB uses another order of the concatenation.

#### Another example: <AllB<GenBw>><A11B <GenB w>> $w \rightarrow /* EMPTY */$ <AllB <AllB \* EMPTY \*/> <GenB $w_1>$ B> $w_1 \rightarrow /* EMPTY*/$ <AllB <Allb B> <GenB $w_2>$ BB> $w_2 \rightarrow /* \text{EMPTY} */$ $w_2 \rightarrow s_3 w_3$ <A11B <A11B BB> \* EMPTY \*/>

No par-substitution can be built. The path requires generalization.

### Folding and Generalization

#### **Definition**

State  $C_1$  is embedded in state  $C_2$  of the process graph, iff all the computation paths generated by  $C_1$  are generated by  $C_2$ .

#### **Definition**

State  $C_g$  generalises states  $C_1$  and  $C_2$  of the process graph, iff all the computation paths generated by both  $C_1$  and  $C_2$  are generated also by  $C_g$ .

### Folding and Generalization

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State  $C_g$  generalises the states  $C_1$  and  $C_2$  of the process graph, iff  $\exists \sigma_1, \ \sigma_2 \ \text{s.t.} \ C_g \sigma_1 = C_1, \ C_g \sigma_2 = C_2$ .

- Syntactic
- Easy-to-check

### **Supercompiling** <AllB<GenBw>>

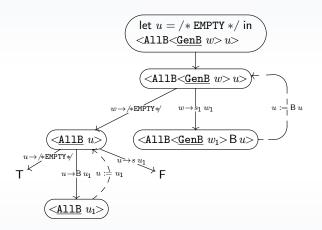
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We require  $C_1$  and  $C_2$  to belong to the same path in the partial process graph.

After the generalization, the path unfolded from  $C_1$  is deleted, and  $C_1$  is replaced by the let -node: let  $\sigma_1$  in  $C_g$ . The state  $C_g$  and the states corresponding to the rhs of  $\sigma_1$  are unfolded independently.

### **Supercompiling** <AllB<GenBw>>



Aim: solving equations  $E:P_i$ , where E is a parameterized expression;  $P_i$  — pattern.

Lisp-patterns — trees with non-repeated variables.

Lisp-data SCP

Refal SCP

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Lisp-data SCP	Refal SCP
linear unification problem	
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( contradiction	
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\ DEMAND	

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Lisp-data SCP	Refal SCP
	$\begin{array}{c} \textit{nested-word equation problem} \\ \textit{no well-known algorithms} \\ \textit{Answer} \left\{ \begin{array}{c} \textit{contradiction} \\ \textit{list} \ \langle \sigma_i \rangle??? \\ \textit{DEMAND} \end{array} \right. \end{array}$

# **Complex Driving Example**

```
Eq {
    (e.x)(e.x) = T;
    (e.x)(e.y) = F;
}
```

```
Driving \langle \text{Eq } (Aw) (wA) \rangle

\rightarrow solving "unification problem" \{\text{e.x} : Aw, \text{e.x} : wA}

\rightarrow representing solutions of Aw = wA
```

 $\rightarrow w \in A^*$ .

Problem: no finite set of substitutions can specify A\*.

### Folding: Embedding and Generalization

#### **Definition**

State  $C_1$  is embedded in state  $C_2$  of the process graph, iff  $\exists \sigma$  s.t.  $C_2 \sigma = C_1$  ( $C_2 \preceq C_1$ ).

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Fails: given  $C_1=A$ ,  $C_2=AA$ ,  $C_{g_1}=Aw$ ,  $C_{g_2}=wA$ , both  $C_{g_1}$  and  $C_{g_2}$  are generalizations, but there is no  $\sigma$  s.t.  $C_{g_2}\sigma=C_{g_1}\vee C_{g_1}\sigma=C_{g_2}$ .

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Cause:  $\leq$  is not closed *w.r.t.* the supremums on the set of the generalizations.

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 $C_g$  is a least general generalization of  $C_1$  and  $C_2$ , iff  $C_g \leq C_1 \& C_g \leq C_2$  and  $\forall C_q' (C_q' \leq C_1 \& C_q' \leq C_2 \& C_g \leq C_q' \Rightarrow C_q' \leq C_g)$ .

$$C_g \preceq C_g' \ \& \ C_g' \preceq C_g \Rightarrow C_g$$
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No! Given  $C_g=w_1w_2w_2u$  and  $C_g'=w'u'$ , both  $C_g\preceq C_g'$  and  $C_g'\preceq C_g$ .

#### **Definition**

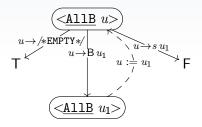
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No! Given  $C_g = w_1 w_2 w_2 u$  and  $C_g' = w' u'$ , both  $C_g \leq C_g'$  and  $C_g' \leq C_g$ .

Equivalence relation  $C_1 \approx C_2$  based on  $C_1 \preceq C_2 \& C_2 \preceq C_1$  is undefined.

#### Some more details



 $s \neq \mathsf{B} \to \mathsf{the}$  branches do not commute  $\to \mathsf{problem}$  of the negative information propagation.

Negative constraints may require non-constant-time checking: e.g.  $w \neq e.1 \, \text{Ae.2}$ .

#### **General Refal SCP Problem**

The finite-substitution language (while great for Prolog and lisp-data unification) is not appropriate to represent Refal data structures.

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The finite-substitution language (while great for Prolog and lisp-data unification) is not appropriate to represent Refal data structures.

#### Possible solution:

- word equations for representing driving results;
- pattern languages for comparing states in the process graph and representing negative constraints.

### **Word Equations**

#### **Definition**

Given a constant alphabet  $\Sigma$  and a variable set  $\mathcal{V}$ , a word equation is an equation  $\Phi = \Psi$ , where  $\Phi, \Psi \in \{\Sigma \cup \mathcal{V}\}^*$ . A solution to the word equation is a substitution  $\sigma : \mathcal{V} \to \Sigma^*$  s.t.  $\Phi \sigma$  textually coincides with  $\Psi \sigma$ .

Let E be xAB = BAx, where A,  $B \in \Sigma$ ,  $x \in \mathcal{V}$ . Consider the sequence  $\sigma_1 : x \to Bx$ ,  $\sigma_2 : x \to \epsilon$ . Then  $\sigma_2 \circ \sigma_1 : x \to B$  is a solution to E:  $(xAB)\sigma_1\sigma_2 = BAB = (BAx)\sigma_1\sigma_2$ .

### **Word Equations at Work**

(Abdulla et al, PLDI, 2017)

```
$ENTRY Go {
    (e.y) (e.x) (e.N) = \langle G0 (e.y) (e.x) (\langle Gram e.N \rangle) \rangle; 
Gram {
    'I' e.x = 'A' <Gram e.x> 'B';
    'S' e.x = \langle Gram e.x \rangle 'B';
    /* EMPTY */ = /* EMPTY */; }
G0
    (e.y) (e.x) (e.w) = \langle Eq ('A' e.w e.x) (e.w e.y) \rangle; }
Εq
    (e.X) (e.X) = 'T';
    (e.X) (e.Y) = 'F';
```

If 'T' is returned, then e.N must be /\* EMPTY \*/, otherwise Awx = wy has no solution.

#### **Word Equations at Work**

(Trinh et al, CAV, 2016)

```
$ENTRY Go {
   e.p = <G0 <GramA e.p> <GramB e.p> <GramC e.p>>; }
GramA {
   'I' e.x = 'A' <GramA e.x>;
   /* EMPTY */ = /* EMPTY */; 
GramB {
   'I' e.x = 'B' <GramB e.x>;
   /* EMPTY */ = /* EMPTY */; 
GramC {
   'I' e.x = 'C' <GramC e.x>;
   /* EMPTY */ = /* EMPTY */; 
G0 { /* EMPTY */ = 'T';
   e.x 'D' = 'F';
   e.x t.y = (G0 e.x);
```

Generalization preserves information in the form of the word equations.

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### Flat Pattern Languages

#### **Definition**

Given an alphabet  $\Sigma$  and a pattern P, the language  $\mathscr{L}(P)$  recognized by P is a set of  $\Phi \in \Sigma^*$ , s.t.  $\sigma$ :  $P\sigma = \Phi$ . The pattern  $P_1$  is embedded in  $P_2$ , if  $\mathscr{L}(P_1) \subseteq \mathscr{L}(P_2)$ .

If  $e.x\sigma = /* EMPTY*/$  is allowed — erasing PL (EPL). Otherwise — non-erasing PL (NePL).

- EPL recognized by the constant  $P \in \Sigma^*$  is  $\{P\}$ .
- EPL recognized by  $P = e.x_1 e.x_2 ... e.x_n$  is  $\Sigma^*$ .

# Pattern Languages at Work

replace\_all-problem:

```
$ENTRY Go {
   e.q = <Check <ReplaceAB (/*EMPTY*/)e.q>>;}
ReplaceAB {
   (/*EMPTY*/)e.x 'AAB' e.y
              = <ReplaceAB (/*EMPTY*/)e.x 'A' e.y>;
   (e.z)e.x'AB'e.y = \langle ReplaceAB(e.z e.x) e.y \rangle;
   (e.x)e.Other = e.x e.Other;
Check {
   e.x1 'AB' e.x2 = 'F';
   e.Z = 'T';
```

Solved using folding and checking negative constraints in terms of the pattern languages.

## Pattern Languages at Work

One more replace\_all-problem:

```
$ENTRY Go {
   e.p = <Check <DelAB (/*EMPTY*/) e.p>>; }
DelAB {
   (/*EMPTY*/) 'AB' e.x2 = <DelAB (/*EMPTY*/) e.x2>;
   (e.x1 t.y1) 'AB' e.x2 = \langle DelAB (e.x1) t.y1 e.x2 \rangle;
   (e.x1) e.z t.y1 'AB' e.x2
              = <DelAB (e.x1 e.z) t.y1 e.x2>;
   (e.x1) e.x2 = e.x1 e.x2;
Check {
   e.x1'AB'e.x2 = 'F';
   e.Z = 'T';
```

Solved using folding and checking negative constraints in terms of the pattern languages.

## Solving Word Equations via Supercompilation

(based on the VPT-2021 talk)

# A satisfiability problem:

Given a word equation system  $\mathcal{E}qs$ , is there a sequence  $\sigma$  of variable narrowings leading to a solution of  $\mathcal{E}qs$ ?

## The history of the word equations

#### In theory:

- Algorithms for solving the quadratic (e.g. xAy = yAx) and one-variable word equations (Matiyasevich, 1965)
- An algorithm for solving the three-variable word equations (Hmelevskij, 1971)
- An algorithm for solving the word equations in the general case (Makanin, 1977)
- More efficient (but still worst-case doubly-exponential) algorithms (Plandowski, 2006, Jez, 2016)

## The history of the word equations

#### In practice:

- efficient algorithms for solving the straight-line (e.g. xxx = yAz) word equations (Rümmer et al., 2014-...)
- algorithms for solving the quadratic word equations (Le et al., Lin et al., 2018)
- algorithms for solving the word equations in the case when the solution lengths are bounded (Bjørner, 2009-..., Day, 2019)

#### Our contribution

Our method can solve equations in some classes, in which variables may occur on the both sides and more than twice.

- One-variable word equations
- Regular-ordered word equations with repetitions:

The solvers CVC4 and Z3Str3 do not terminate on the equation ABxxyy = xxyyBA which belongs to the second class and is solvable by our method.

## **Encoded word equations**

#### **Definition**

The set of encoded word equations Eqs is as follows.

Eqs ::= Eq Eqs |  $\varepsilon$ 

Eq ::= ((Side) (Side))

Side ::= Char Side | Var Side |  $\varepsilon$ 

There  $Var \in \mathcal{V}$ ,  $Char \in \Sigma$ ,  $\varepsilon$  is the empty word.

As a sugar, we write the encoded equation ((LHS) (RHS)) as

$$LHS = RHS;$$

and the sequence ((LHS $_1$ ) (RHS $_1$ )). . . ((LHS $_n$ ) (RHS $_n$ )) as

$$\langle LHS_i = RHS_i \rangle_{i=1}^n$$
.

## A simple logic programming language $\mathscr{L}$

#### **Definition**

A (finite) narrowings sequence Narrs is defined as follows.

Narrs ::= (Narr) Narrs |  $\varepsilon$ Narr ::= 'Var  $\rightarrow$  Char Var'|'Var  $\rightarrow$  Var<sub>1</sub> Var'|'Var  $\rightarrow \varepsilon$ '

There Var,  $Var_1 \in \mathcal{V}$ ,  $Char \in \Sigma$ ,  $Var \neq Var_1$ .

Every narrowings sequence belonging to Narrs defines a substitution  $\sigma: \mathcal{V} \to (\mathcal{V} \cup \Sigma)^*$ . Given  $x \in \mathcal{V}$ ,  $\sigma$  is either  $x \to \Phi$  or  $x \to \Phi x$  where  $\Phi$  does not contain x.

We consider a set of Narrs sequences as a simple acyclic logic programming language  $\mathscr L$  over the data Eqs.

## A simple logic programming language $\mathscr{L}$

#### **Definition**

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Narrs ::= (Narr) Narrs | 
$$\varepsilon$$

 $\mathsf{Narr} ::= \mathsf{Var} \to \mathsf{Char} \; \mathsf{Var} \mathsf{Var} \to \mathsf{Var}_1 \mathsf{Var} \to \epsilon \mathsf{Var}_1 \mathsf{Var} \to$ 

Compatibility of the narrowings with  $\langle \Phi_1 = \Psi_1, \dots, \Phi_n = \Psi_n \rangle$ :

We consider a set of Narrs sequences as a simple acyclic logic programming language  $\mathscr L$  over the data Eqs.

## Operational semantics of $\mathscr L$

An  $\mathscr L$  interpreter  $\mathsf{WI}_\mathscr L$  takes a finite sequence  $(\sigma_1)(\sigma_2)...(\sigma_n)$  and a datum  $\langle \Phi_i = \Psi_i \rangle_{i=1}^m$ .

The call  $Wl_{\mathscr{L}}((\sigma_1)(\sigma_2)...(\sigma_n)$ ,  $\langle \Phi_i = \Psi_i \rangle_{i=1}^m$ ) returns T iff  $\forall i, 1 \leqslant i \leqslant m (\Phi_i \sigma_1...\sigma_n = \Psi_i \sigma_1...\sigma_n)$ , and F otherwise.

Given a sequence of n narrowings, the interpreter  $Wl_{\mathscr{L}}$ :

- does at most n steps (i.e. always terminates);
- for all equation lists  $\langle \Phi_i = \Psi_i \rangle_{i=1}^m$  returns either T or F, hence  $Wl_{\mathscr L}$  never falls in deadlock.

## Specialization of $\mathscr{L}$ -interpreters

Given the call Wl $_{\mathscr{L}}(P,\ \langle \Phi_{\mathfrak{i}}=\Psi_{\mathfrak{i}}\rangle_{\mathfrak{i}=1}^{n})$ , we replace the  $\mathscr{L}$ -program P with a parameter  $\mathcal P$  ranging over  $\mathscr{L}$ -programs. Thus, the specialization task is as follows.

$$\mathsf{WI}_{\mathscr{L}}(\mathfrak{P}, \langle \Phi_{\mathfrak{i}} = \Psi_{\mathfrak{i}} \rangle_{\mathfrak{i}=1}^{\mathfrak{n}})$$

The unfolding of this initial configuration results in a possibly infinite tree: a description of the runs of all possible  $\mathscr{L}$ -programs on  $\langle \Phi_i = \Psi_i \rangle_{i=1}^n$ .

- $\bullet$  The program lengths are unknown  $\Rightarrow$  runs are described by means of graphs, which may contain loops.
- Most of the programs return F.

#### The verification task

Consider the following verification task over the  $\ensuremath{\mathscr{L}}$  programs.

Given a word equation system  $\mathcal{E}qs$ , we say that the verification task succeeds iff  $\mathcal{E}qs$  has solutions if and only if the residual program generated by specialization of  $\mathrm{WI}_{\mathscr{L}}(\mathcal{P},\mathcal{E}qs)$  contains a function returning T.

We do not require the specialization to terminate for every system  $\mathcal{E}qs$ .

function name.

## Residual programs: restricted Refal

```
Function definition

Definition ::= Name { Rule<sup>+</sup> }

Rule ::= Pattern = Expression;

Pattern ::= (Narr) | (Narr) ++ Pattern | e.P | ε

Expression ::= T | F | <Name e.P>

There e.P is a variable ranging over Narrs, Name is a
```

Every function definition contains a single argument, and the only variable occurring at most once in its left- and right-hand sides is e.P.

## **Examples**

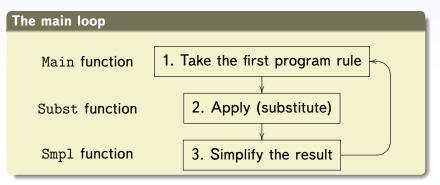
Given the equation Ax = xA, the supercompiler produces the following residual program, where the entry point is <F e.P>, and e.P is a variable ranging over Narrs.

```
F {
    ('x \rightarrow \epsilon') = T;
    ('x \rightarrow Ax') ++ e.P = <F e.P>;
    e.P = F; }
```

Given the equation Ax = xB, the residual program is as follows, where the entry point is <G e.P>.

```
G {
('x \rightarrow \epsilon') = F;
('x \rightarrow Ax') + e.P = \langle G e.P \rangle;
e.P = F; }
```

## The general interpreters' structure



The function Smpl varies in the different interpreters.

- Smpl takes a constant equation list and returns a constant equation list with the same set of solutions.
- Smpl terminates on every constant equation list.

## Basic interpreter $WIBase_{\mathscr{L}}$

#### Structure of Smpl function

Further we refer to this simplification operation as Reduce.

#### Input format

e.P — ranges over sequences of the rules;

 $\mathcal{E}qs$  — ranges over equations.

Go {e.P = 
$$<$$
Main (e.P) ++  $<$ Smpl () ++  $&$ eqs>>; }

• Specialization of the scheme WIBase $_{\mathscr{L}}(\mathcal{P}, \Phi = \Psi)$  successfully solves all the quadratic equations  $\Phi = \Psi$  (e.g. xABy = yBAx).

## Splitting interpreter $WISplit_{\mathscr{L}}$

#### Structure of Smpl function

#### Input format

- The first symbol of Smpl arg (initially valued 0) is added to prevent an unwanted folding.
- Specialization of the scheme WISplit $_{\mathscr{L}}(\mathfrak{P},\langle\Phi=\Psi\rangle)$  successfully solves every regular-ordered equation with var-repetitions  $\Phi=\Psi$  (e.g. xxAB=BAxx).

## Counting interpreter $WICount_{\mathscr{L}}$

Finds contradictions, comparing variables and constants multisets in the left- and right-hand equation sides.

# Structure of Smpl function Reduce | Left-split | Right-split | Reduce | Count

#### Input format

• Specialization of WICount $_{\mathscr{L}}(\mathfrak{P},\langle\Phi=\Psi\rangle)$  successfully solves every one-variable word equation  $\Phi=\Psi$ .

## **Optimality lemma**

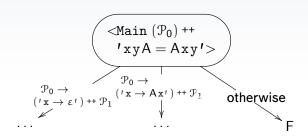
#### Lemma

All the folding operations in the process graph of  $\text{WI}_{\mathscr{L}}(\mathcal{P}, \langle \Phi_i = \Psi_i \rangle_{i=1}^n)$  occur only on the pairs of the configurations:

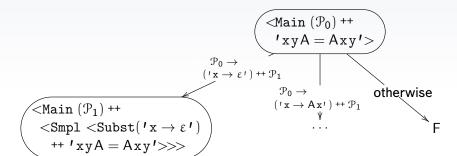
where  $\mathcal{P}_{\rm j}$  is a parameter, and the equation system does not contain parameters.

The lemma implies a mapping between the process graph of  $WI_{\mathscr{L}}(\mathcal{P}, \langle \Phi_i = \Psi_i \rangle_{i=1}^n)$  and the solution graph of the equation list  $\langle \Phi_i = \Psi_i \rangle_{i=1}^n$ .

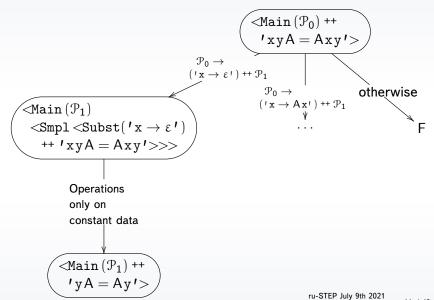
#### Generating the narrowings



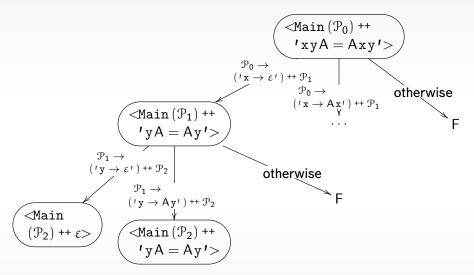
#### Generating the new configuration



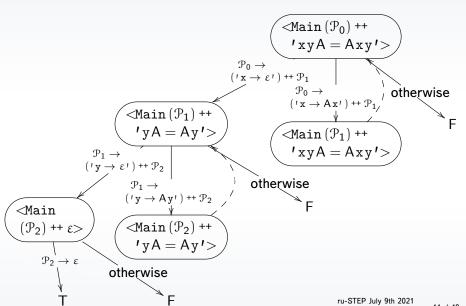
#### **Transient operations**



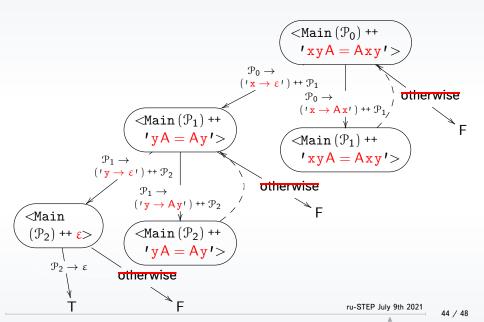
#### The next unfolding step



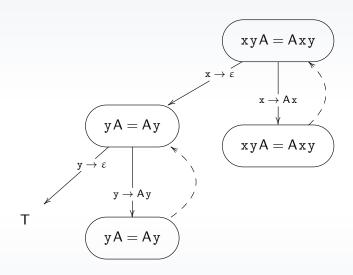
#### The folding



#### **Deleting interpreter data**



#### The solution graph



## Summary of the verification results

The classes of equations not solvable by CVC4 and Z3Str3 in general but solvable by our verification scheme:

- the quadratic equations with no solution (e.g.  $x_1x_2x_3ABABAB = AAABBBx_2x_3x_1$ );
- the regular-ordered equations with var-repetitions and no solution (e.g. ABxxyy = xxyyBA).

The one-variable word equations not solvable by CVC4 and Z3Str3 also belong to the regular-ordered with repetitions and no solution.

#### Benchmark results

Benchmark	Tests	Not terminating		
		CVC4	Z3str3	$WICount_\mathscr{L}$
Track 1 (Woorpje)	200	8	13	21
Track 5 (Woorpje)	200	4	14	19
Our benchmark	50	21	28	10

Average time for WICountg: 3,5 min for one equation.

Time for CVC4 and Z3str3 is less than 2 min for all the solved equations.

## Why do we lose in time?

#### We solve the two different tasks:

- the solvers are finding at least one solution;
- we are finding the description of all solutions.

#### **Implications**

- coefficient-free equations are always solved by the solvers;
- our method is very slow on the straight-line equations.

#### **Conclusions**

- Refal syntax is convenient for modelling string manipulating systems.
- Using the Refal-friendly structures in a supercompiler allows it to manage many string-verifying tasks.
- The two main problems:
  - complexity of the algorithms over the Refal-friendly data structures;
  - the exotic syntax is scaring for most people who does not know Refal.

## Thank you for your attention!