

## Pigeonhole Principle (PP) [Rosen]

Ex Among a group of 367 people, there must be at least two with the same birthday, because there are only 366 possible birthdays.  
↓  
(considering a leap year)

Ex For every integer  $n$ , there is a multiple of  $n$  that has only 0's & 1's in its decimal expansion.

Sol. Let  $n$  be a +ve integer.

Let us consider  $(n+1)$  integers

1, 11, 111, 1111, ..., 1 (where the last integer in the list is the integer with  $(n+1)$  in its decimal expansion)

∴ There can be  $n$  possible remainders when an integer is divided by  $n$ .

Because, there are  $(n+1)$  integers in the list, by pigeonhole principle, there must be two with the same remainder when divided by  $n$ .

The larger of these integers less than the smaller one is a multiple of  $n$ , which has a decimal expansion consisting of entirely 0's & 1's

Corollary of the Pigeonhole principle :-

A function  $f$  from a set with  $(k+1)$  or more elements to a set with  $k$  elements is not one-to-one

Proof: Suppose that for each element  $y$  in the codomain of  $f$  we have a box that contains all elements  $x$  of the domain of  $f$  such that  $y = f(x)$ .

Because the domain contains  $(k+1)$  or more elements,

2 the codomain contains only  $k$ -elements, the pigeonhole principle tells us that one of these boxes contains two or more elements  $x$  of the domain.

$\therefore f$  cannot be one-to-one

## Generalized Pigeonhole Principle [Rosen]

If  $N$  objects are placed into  $k$  boxes, then there must be at least one box containing at least  $\lceil N/k \rceil$  objects.

Proof:  
by contradiction

Suppose that none of the boxes contains more than  $(\lceil N/k \rceil - 1)$  objects.

$\therefore$  the total no. of objects is at most —

$$\lceil N/k \rceil < (N/k + 1)$$

$$k(\lceil N/k \rceil - 1) < k\left(\left(\frac{N}{k} + 1\right) - 1\right) = N.$$

$\Rightarrow k(\lceil N/k \rceil + 1) < N.$

This above is a contradiction because there are  $\leftarrow$  a total no. of  $N$  objects.

Problem (\*) What is the minimum number of objects such that at least  $r$  of these objects must be in one of  $k$ -boxes when these objects are distributed among the boxes

$\rightarrow$  When there are  $N$  objects, the generalized PP. tells us that there must be at least  $r$ -objects in one of the boxes as long as

$$\lceil N/k \rceil \geq r$$

eg Among 100 people, there are at least  $\lceil 100/12 \rceil = 9$  who were born in the same month.

eg What is the minimum number of students required in a class to be sure that at least 6 will receive the same grade, if there are 5 possible grades A, B, C, D, F..

Ans The minimum no. of students needed to ensure that at least 6 persons receive the same grade is the smallest inter  $\lceil N/5 \rceil = 6$  / 8

$\therefore$  If there are 5 grades, then there can be a minimum of  $5 \cdot 5 = 25$  students, where each grade is distributed among 5 people.

If a grade has to be given to at least 6 persons, then the total no. of students

should be  $25 + 1 = \underline{26}$

$$\textcircled{RD} \lceil 26/5 \rceil = 6$$

PP (strong & averaging principle) [Richard A. Brualdi]

Eg Show that every sequence  $a_1, a_2, \dots, a_{n+1}$  of  $(n+1)$  real numbers contains either an increasing subsequence of length  $(n+1)$  or a decreasing subsequence of length  $(n+1)$ .

Ans Subsequence:- If  $b_1, b_2, \dots, b_m$  is a sequence, then  $b_{i_1}, b_{i_2}, \dots, b_{i_n}$  is a subsequence, provided that  $1 \leq i_1 < i_2 < \dots < i_n \leq m$ .  
 $\therefore$  If sequence is  $b_1, b_2, b_3, \dots, b_8$   
then  $b_2, b_4, b_5, b_8$  is a subsequence  
but  $b_2, b_6, b_5$  is not X.

prove by  
assertion

for each  $k=1, 2, \dots, n+1$ , let  $m_k$  be the length of the longest increasing subsequence that begins with  $a_k$ .  
ie for  $k=1$ , subsequence starting for  $a_1$  of length 1.  
 $k=2$ ,  $\dots$   $a_2$  of length  $2^{n+1}=5$   
 $\vdots$

Suppose  $m_k \leq n$  for each  $k=1, 2, \dots, n+1$ , so that there is no increasing subsequence of length  $(n+1)$ .

$\therefore m_k \geq 1$  for each  $k=1, 2, \dots, n+1$ , the numbers  $m_1, m_2, \dots, m_{n+1}$  are  $(n+1)$  integers between 1 to  $n$ .

By strong form of PP,  $(n+1)$  integers of the numbers  
 $m_1, m_2, \dots, m_{n+1}$  are equal.

Let  $m_{k_1} = m_{k_2} = \dots = m_{k_{n+1}}$

where  $1 \leq k_1 < k_2 < \dots < k_{n+1} \leq n+1$ .

Suppose, for some  $i=1, 2, \dots, n$ ,  $a_{k_i} < a_{k_{i+1}}$

Then since  $k_i < k_{i+1}$ , one could take

a longest subsequence beginning with  $a_{k_{i+1}}$  & put  $a_{k_i}$  in front to obtain an increasing subsequence  $\rightarrow$  (longest subsequence, begin with  $a_{k_{i+1}}$ ) beginning with  $a_{k_i}$ .

$\therefore$  the length of the new subsequence starting with  $a_{k_i}$  will be  $(m_{k_{i+1}} + 1)$

$$\Rightarrow m_{k_i} > m_{k_{i+1}} \quad \text{i.e.}$$

$$a_{k_i} \geq a_{k_{i+1}}$$

$\therefore$  This is true for each  $i=1, 2, \dots, n$ , we have

$$a_{k_1} \geq a_{k_2} \geq \dots \geq a_{k_{n+1}}$$

Thus,  $a_{k_1}, a_{k_2}, \dots, a_{k_{n+1}}$  is a decreasing subsequence of length  $(n+1)$