
Formatting Instructions For NeurIPS 2023

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Abstract

1 Improving classification accuracy is a key issue to advancing brain computer
2 interface (BCI) research from laboratory to real world applications. This article
3 presents a high accuracy EEG signal classification method using single trial EEG
4 signal to detect left and right finger movement. We apply an optimal temporal
5 filter to remove irrelevant signal and subsequently extract key features from spatial
6 patterns of EEG signal to perform classification. Specifically, the proposed method
7 transforms the original EEG signal into a spatial pattern and applies the RBF feature
8 selection method to generate robust feature. Classification is performed by
9 the SVM and our experimental result shows that the classification accuracy of the
10 proposed method reaches 90% as compared to the current reported best accuracy
11 of 84%.

12 1 Introduction

13 A brain-computer interface (BCI) is a communication system that does not depend on the brain's
14 normal output pathways of peripheral nerves and muscles. At present, electroencephalography (EEG)
15 is one of the most prevailing signals used in non-invasive BCI systems. There are various kinds of
16 EEG based BCIs categorized by the signals used. Typical signals include slow cortical potential,
17 rhythms, EEG (de)synchronization evoked by motor imagery, steady-state visual evoked potential,
18 P300 potential, etc. EEG signals evoked by limb movement or motor imagery are of interest to
19 this paper. The preparation, actual operation and mental imagination of limb movements activate
20 similar EEG changes at sensorimotor areas on the scalp. When such regions become activated, EEG
21 activities display an amplitude attenuation or event-related desynchronization (ERD). For instance,
22 imagination of right-hand or left-hand movement

23 results in the most prominent ERD localized over the corresponding sensorimotor cortex. However,
24 ERD is subject-related, i.e. different subjects have different spatial localizations of ERD. This
25 leads to difficulty when extracting features for classification. Pfurtscheller et al. [6] extracted
26 motor imagery signals from C3 and C4 EEG Channels to build an online BCI system. The features
27 presented to the classifier were short-term power spectra in pre-defined frequency bands. This system
28 using a LVQ algorithm achieved an accuracy of approximately 80%. Studies showed that the position of
29 ERD may vary from subject to subject, and are not necessarily located beneath electrode positions
30 C3 and C4 [5]. As such, using more channels of signals may improve performance. Müller-Gerking
31 et al. [4] proposed to use Common Spatial Patterns (CSP) for the classification of motor execution
32 or imagery signals. The CSP method resulted in significant improvement to performances as
33 compared to their previous work in [6]. In this paper, we combined CSP and Principal Component
34 Analysis (PCA) to improve the CSP feature classification. The resulted transformation is equivalent
35 to a set of spatial filters optimized to distinguish between the left and right hand movement or motor

imagery. In addition, temporal filtering was applied to reduce noise. In the past, the selection of frequency bands was limited to a few pre-defined bands [4, 5]. In this paper, we investigated the effects of temporal filtering for specific subject by an exhaustive search over all the frequency bands. We showed that classification performance could be improved significantly by applying proper band-pass filter. To further enhance recognition accuracy, a Radial Basis Function (RBF) based feature selection and generation algorithm [3] was adapted. We applied the Orthogonal Least Square (OLS) algorithm [3] to feature selection and generation. Using a Support Vector Machine (SVM) classifier on the features found, we achieved 90% accuracy on a self-paced finger-taping dataset, the current best result in the literature on this dataset. The organization of the paper is as follows. Section 2 introduces the feature extraction by the combination of CSP and PCA. Section 3 presents the feature selection and generation algorithms. Section 4 discusses the effects of different parameters on the recognition performance and present comparative experiment results. Finally, we conclude our paper and discuss some future work.

2 Dataset

In our study, we adopt the widely used Sleep-EDF database, and mainly dig into the Sleep Cassette(SC) part. It contains 153 PSG recordings belonging to 82 subjects. For 71 of them, the recording of the first night and the second night are available. As for each PSG recording, we mainly take four signals into consideration: 2 EEG(Fpz-CZ and Pz-Cz), 1 EOG (horizontal), and 1 EMG (submental chin). The EOG and EMG channels are sampled at 100Hz. Since full EMG recordings are not available, we only adopt 2 EEG and 1 EOG as input. Each 30-second epoch of the recordings was manually labelled by sleep experts according to the R&K standard [1] into one of eight categories {W, N1, N2, N3, N4, REM, MOVEMENT, UNKNOWN}. As this database has been used differently in literature, it should be stressed that only the in-bed parts (from lights off time to lights on time) of the recordings were used as recommended in [2],[3],[4].

3 Evaluation Method

In order to evaluate the models in terms of multiclass classification accuracy, there are mainly three approaches: macro F1-score(MF1), overall accuracy(ACC), Cohen's Kappa coefficient(κ). We split the PSGs into testing set, containing 25% of data, and the training set, containing the rest 75%. For all the traditional machine learning models and deep learning models, we use the training set to train the models and use the testing set to check their performance. We compare the output of the models and the ground truth using MF1, ACC and κ with the following formulas:

$$\begin{aligned}
 N &= \text{the number of testing samples} \\
 k &= \text{the number of categories} \\
 CFM_{i,j} &= \text{the number of samples whose real output is } j \text{ but prediction is } i \\
 TP_i &= CFM_{i,i} \quad FP_j = \sum_{i \neq j} CFM_{i,j} \quad FN_i = \sum_{j \neq i} CFM_{i,j} \\
 ACC &= \frac{\sum_{i=1}^k TP_i}{N} \\
 pre &= \frac{1}{k} \sum_{i=1}^k \frac{TP_i}{TP_i + FP_i} \quad rec = \frac{1}{k} \sum_{i=1}^k \frac{TP_i}{TP_i + FN_i} \\
 MF1 &= \frac{2 * pre * rec}{pre + rec} \\
 p_o &= ACC \quad p_e = \frac{\sum_{i=1}^k (\sum_{j=1}^k CFM_{i,j}) (\sum_{j=1}^k CFM_{j,i})}{N^2} \\
 \kappa &= \frac{p_o - p_e}{1 - p_e}
 \end{aligned}$$

61 **4 Results**

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