

Implement your own Type System, today!

The Checker Framework



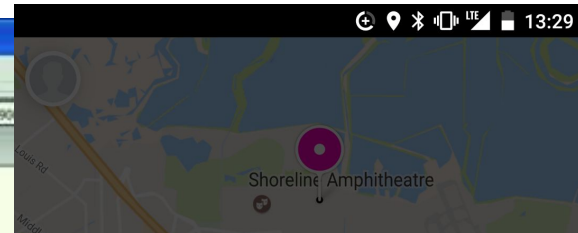
<http://CheckerFramework.org/>

Twitter: @CheckerFrmwrk

Live demo: <http://CheckerFramework.org/live/>

Werner Dietl, University of Waterloo

Motivation



TREND MICRO InterScan™ Web Security Virtual Appliance

Search

- System Status
- Dashboard
- Application Control
- HTTP**
 - HTTPS Decryption
 - Advanced Threat Protection
 - HTTP Inspection
 - Data Loss Prevention
 - Applets and ActiveX
 - URL Filtering
- Policies
- Settings

HTTP Status 500 - java.lang.NullPointerException

type Exception report

message `java.lang.NullPointerException`

description The server encountered an internal error that prevented it from fulfilling this request.

exception

```
org.apache.jasper.JasperException: java.lang.NullPointerException
    org.apache.jasper.servlet.JspServletWrapper.service(JspServletWrapper.java:432)
    org.apache.jasper.servlet.JspServlet.serviceJspFile(JspServlet.java:313)
    org.apache.jasper.servlet.JspServlet.service(JspServlet.java:260)
    javax.servlet.http.HttpServlet.service(HttpServlet.java:717)
    ter.java:73)
    77)
    java.lang.NullPointerException
    org.apache.jsp.urlf_005fsection_005fpolicy_005frule_jsp._jspService(urlf_005fsection_005fpolicy_005frule_jsp.java:742)
    org.apache.jasper.runtime.HttpJspBase.service(HttpJspBase.java:70)
    javax.servlet.http.HttpServlet.service(HttpServlet.java:717)
    org.apache.jasper.servlet.JspServletWrapper.service(JspServletWrapper.java:388)
    org.apache.jasper.servlet.JspServlet.serviceJspFile(JspServlet.java:313)
    org.apache.jasper.servlet.JspServlet.service(JspServlet.java:260)
    javax.servlet.http.HttpServlet.service(HttpServlet.java:717)
    com.trend.iwss.servlets.filters.CSRFGuardFilter.doFilter(CSRFGuardFilter.java:73)
    com.trend.iwss.servlets.filters.AuthFilter.doFilter(AuthFilter.java:377)
```

java.lang.NullPointerException

Java's type system is too weak

Type checking prevents many errors

```
int i = "hello";
```

Type checking doesn't prevent **enough** errors

```
System.console().readLine();
```



Java's type system is too weak

Type checking prevents many errors

```
int i = "hello";
```

Type checking doesn't prevent enough errors

NullPointerException

```
System.console().readLine();
```



Prevent null pointer exceptions

Java 8 introduces the `Optional<T>` type

- Wrapper; content may be *present* or *absent*
- Constructor: `of(T value)`
- Methods: `boolean isPresent()`, `T get()`

```
Optional<String> maidenName;
```



Optional reminds you to check

Without Optional:

possible
NullPointerException

```
String mName;  
mName.equals(...);  
  
if (mName != null) {  
    mName.equals(...);  
}
```

Complex rules for using Optional correctly!

With Optional:

possible
NoSuchElementException

```
Optional<String> omName;  
omName.get().equals(...);  
  
if (omName.isPresent()) {  
    omName.get().equals(...);  
}
```

possible
NullPointerException



How not to use Optional

Other gu
Stephen
Dalorzo,
Brian Go
Olszewsl
Oleg She



Stuart Marks's rules:

1. Never, ever, use null for an Optional variable or return value.
2. Never use Optional.get() unless you can prove that the Optional is present.
3. Prefer alternative APIs over Optional.isPresent() and Optional.get().
4. It's generally **Let's enforce the rules with a tool.** Optional for the specific purpose of chaining methods.
5. If an Optional is part of a chain, or has an intermediate result of Optional, use Optional.get() or Optional.orElse() instead of Optional.get().
6. Avoid using Optional in fields, method parameters, and collections.
7. Don't use an Optional to wrap any collection type (List, Set, Map). Instead, use an empty collection to represent the absence of values.



Which rules to enforce with a tool

Stuart Marks's rules:

1. **Never**, ever, use null for an Optional variable or return value.
2. **Never** use Optional.get() unless you can prove that the Optional is present.
3. *Prefer* alternative APIs over Optional.isPresent() and Optional.get().
4. It's *generally a bad idea* to create an Optional for the specific purpose of chaining methods from it to get a value.
5. If an Optional chain has a nested Optional chain, or has an intermediate result of Optional, it's *probably too complex*.
6. *Avoid* using Optional in fields, method parameters, and collections.
7. **Don't** use an Optional to wrap any collection type (List, Set, Map). Instead, use an empty collection to represent the absence of values.



Which rules to enforce with a tool

Stuart Marks's rules:

1. **Never**, ever, use null for an Optional variable or return value.
2. **Never** use Optional.get() unless you can prove that the Optional is present.
3. *Prefer alternative APIs over Optional.isPresent() and Optional.get().*
4. *It's generally better to use Optional.orElse() for the specific purpose of chaining methods.*
5. *If an Optional is used as an intermediate result of Optional chaining, it's better to use Optional.orElseGet().*
6. *Avoid using Optional in fields, method parameters, and collections.*
7. **Don't** use an Optional to wrap any collection type (List, Set, Map). Instead, use an empty collection to represent the absence of values.

These are
type system properties.



Define a type system

$P \in \text{Program} ::= \text{Class, ClassId, Expr}$	$h \in \text{Heap} = \text{Addr} \rightarrow \text{Obj}$	
$\text{Cls} \in \text{Class} ::= \text{class ClassId} \langle \text{TVarId} \rangle$	$\iota \in \text{Addr} = \text{Set of Addresses} \cup \{\text{null}_a\}$	
$\text{extends ClassId} \langle \text{sType} \rangle$	$o \in \text{Obj} = \text{rType, Fields}$	
$\{ \text{FieldId} \text{ sType; Met} \}$	$\text{rT} \in \text{rType} = \text{OwnerAddr ClassId} \langle \text{rType} \rangle$	
$\text{sT} \in \text{sType} ::= \text{sNType} \mid \text{TVarId}$	$\text{Fs} \in \text{Fields} = \text{FieldId} \rightarrow \text{Addr}$	
$\text{sN} \in \text{sNType} ::= \text{OM ClassId} \langle \text{sType} \rangle$	$\iota \in \text{OwnerAddr} = \text{Addr} \cup \{\text{any}_a\}$	
$u \in \text{OM} ::=$	$\text{r}\Gamma \in \text{rEnv} = \text{TVarId} \text{ rType; ParId Addr}$	
$\text{mt} \in \text{Meth} ::=$		
$\text{MethSig} ::=$		
$w \in \text{Purity} ::=$		
$e \in \text{Expr} ::=$		
	$h, \text{r}\Gamma, e_0 \rightsquigarrow h', \iota_0$	
	$\iota_0 \neq \text{null}_a$	
	$\iota = h'(\iota_0) \downarrow_2 (f)$	
	$h, \text{r}\Gamma, e_0.f \rightsquigarrow h', \iota$	OS-Read
	$h_0, \text{r}\Gamma, e_2 \rightsquigarrow h_2, \iota$	
	$h' = h_2[\iota_0.f := \iota]$	
	$h, \text{r}\Gamma, e_0.f = e_2 \rightsquigarrow h',$	
	$\text{Expr.MethId} \langle \text{sType} \rangle (\text{Expr}) \mid$	
	$\text{new sType} \mid (\text{sType}) \text{Expr}$	
$\text{s}\Gamma \in \text{sEnv} ::=$	$\text{TVarId sNType; ParId sType}$	
	$\Gamma \vdash e_0 : N_0 \quad N_0 = u_0 C_0 \langle _ \rangle$	
	$T_1 = fType(C_0, f)$	
	$\Gamma \vdash e_2 : N_0 \triangleright T_1$	
	$u_0 \neq \text{any} \quad rp(u_0, T_1)$	
	$\Gamma \vdash e_0.f = e_2 : N_0 \triangleright T_1$	
	$\Gamma \vdash e_0 : N_0 \quad N_0 = _$	GT-Read
	$\Gamma \vdash e_0.f : N_0 \triangleright fType(C_0, f)$	
$h \vdash \text{r}\Gamma : \text{s}\Gamma$		
$h \vdash \iota_1 : \text{dyn}(\text{sN}, h, \iota_1)$		
$h \vdash \iota_2 : \text{dyn}(\text{sT}, \iota_1, h(\iota_1) \downarrow_1)$		
$\text{sN} = u_N C_N \langle _ \rangle$		
$u_N = \text{this}_u \Rightarrow \text{r}\Gamma(\text{this})$		
$\text{free}(\text{sT}) \subseteq \text{dom}(C_N)$		
	$\text{DYN} \frac{\text{dom}(C) = \bar{X} \quad \text{free}(\text{sT}) \subseteq \bar{X} \circ \bar{X}'}{\text{dyn}(\text{sT}, \iota, \text{rT}, (\bar{X}' \text{rT}'; -)) = \text{sT}[\iota'/\text{this}, \iota'/\text{peer}, \iota'/\text{rep}, \text{any}_a/\text{any}_u, \text{rT}/\bar{X}, \text{rT}'/\bar{X}']}$	



Define a type system

1. **Type hierarchy** (subtyping)
2. **Type rules** (what operations are illegal)
3. **Type introduction** (what types for literals, ...)
4. **Dataflow** (run-time tests)

We will define two type systems:

Nullness and **Optional**

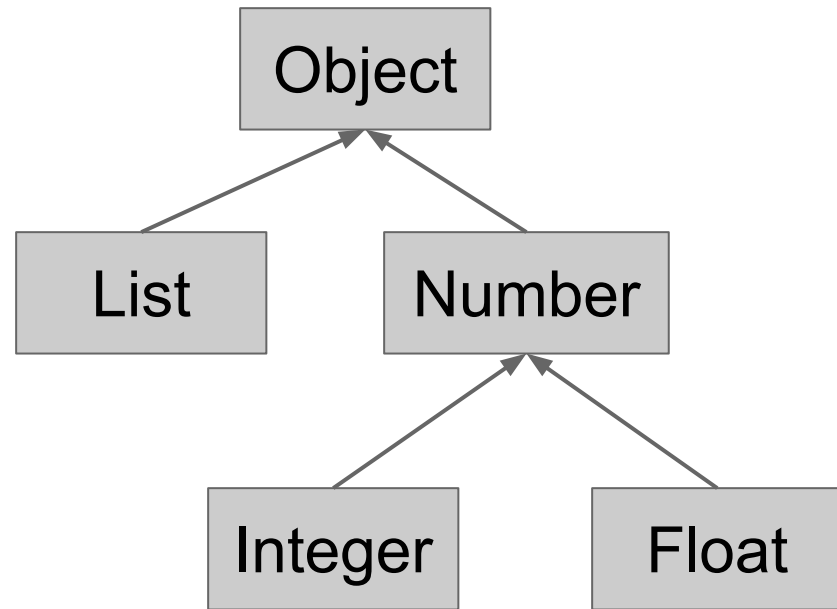
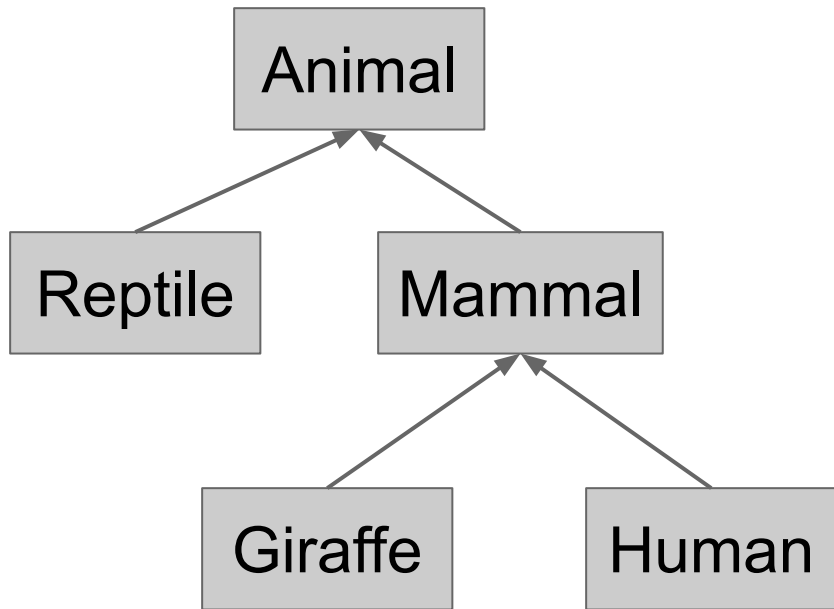


Define a type system

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2. Type rules (what operations are illegal)
3. Type introduction (what types for literals, ...)
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1. Type hierarchy

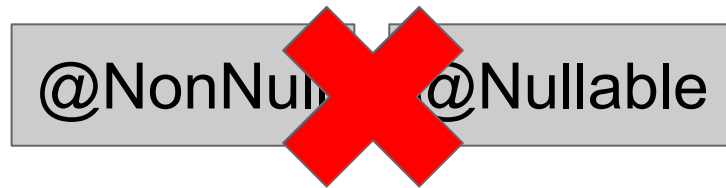
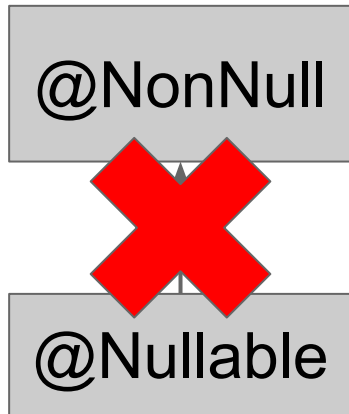
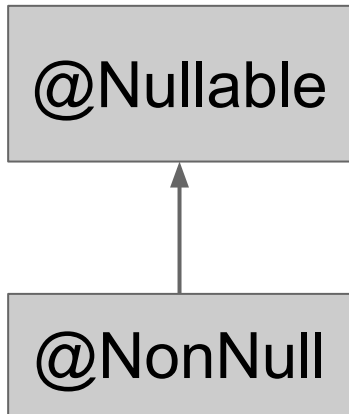


2 pieces of information:

- the types
- their relationships (lower = fewer values, more properties)



Type hierarchy for nullness

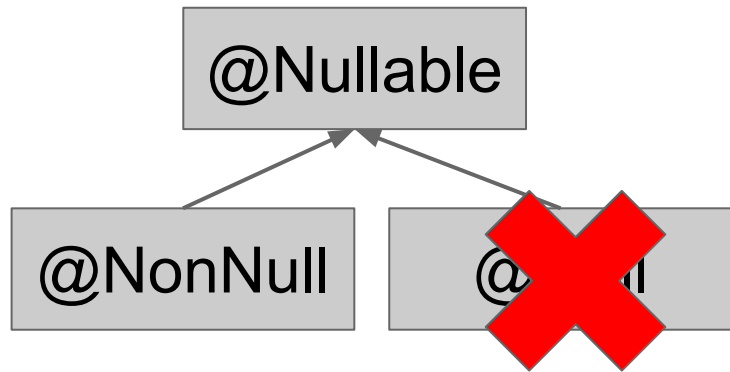
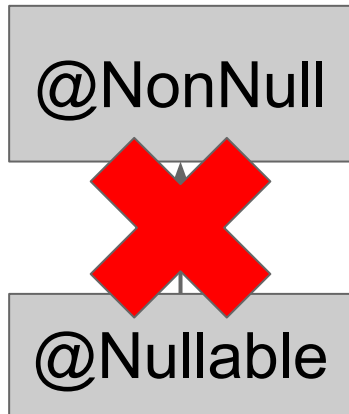
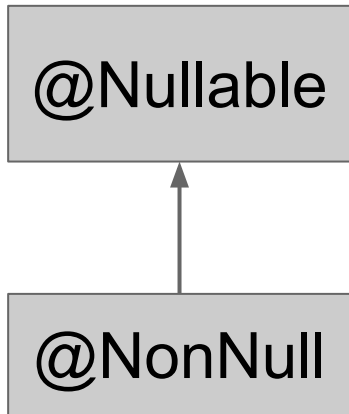


2 pieces of information:

- the types
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Type hierarchy for nullness



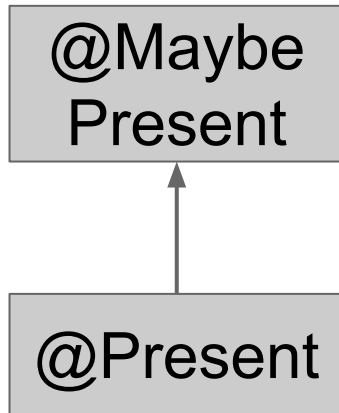
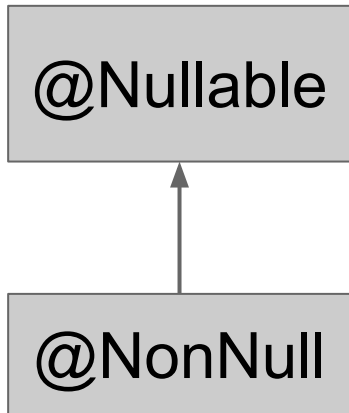
2 pieces of information:

- the types
- their relationships



Type hierarchy for Optional

“Never use `Optional.get()` unless you can prove that the `Optional` is present.”



2 pieces of information:

- the types
- their relationships



Type = type qualifier + Java basetype

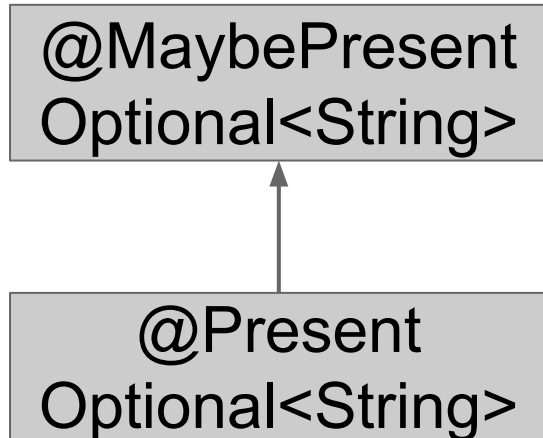
`@Present Optional<String> maidenName;`

Diagram illustrating the components of the type `@Present Optional<String>`:

- `@Present` is the **Type qualifier**.
- `Optional<String>` is the **Java basetype**.
- The entire expression `@Present Optional<String>` is the **Type**.

Default qualifier = `@MaybePresent`

- `@MaybePresent Optional<String>`
 - `Optional<String>`
- } equivalent



Type = type qualifier + Java basetype

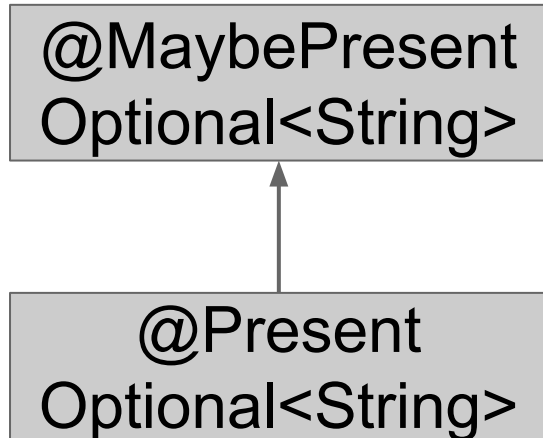
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Default qualifier = `@MaybePresent`

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 - `Optional<String>`
- } equivalent



Define a type system

1. Type hierarchy (subtyping)
- 2. Type rules (what operations are illegal)**
3. Type introduction (what types for literals, ...)
4. Dataflow (run-time tests)



2. Type rules

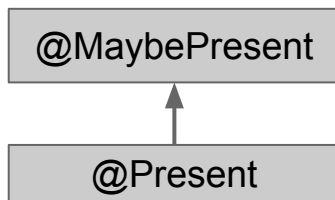
To prevent null pointer exceptions:

- `expr.field`
`expr.getValue()`
receiver must be non-null
- `synchronized (expr) { ... }`
monitor must be non-null
- ...



Type rules for Optional

“Never use `Optional.get()` unless you can prove that the `Optional` is present.”



Only call `Optional.get()` on a receiver of type `@Present Optional`.

```
class Optional<T> {  
    T get() { ... }  
}
```

example call:

```
myOptional.get()
```

example call:

```
a.equals(b)
```



Type rules for Optional

@MaybePresent

@Present



“Never use `Optional.get()` unless you can prove that the `Optional` is present.”

Only call `Optional.get()` on a receiver of type `@Present Optional`.

example call:

```
myOptional.get()
```

```
class Optional<T> {  
    T get(Optional<T> this) { ... }  
}
```



Type rules for Optional

@MaybePresent

@Present



“Never use `Optional.get()` unless you can prove that the `Optional` is present.”

Only call `Optional.get()` on a receiver of type `@Present Optional`.

example call:

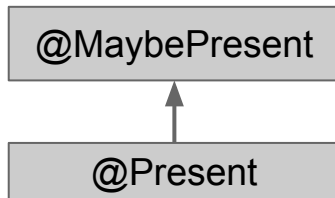
```
myOptional.get()
```

```
class Optional<T> {  
    T get(@Present Optional<T> this) {...}  
}
```



Type rules for Optional

"Never use `Optional.get()` unless you can prove that the `Optional` is present."



Only call `Optional.get()` on a receiver of type `@Present Optional`.

example call:

```
myOptional.get()
```

```
class Optional<T> {  
    T get(@Present Optional<T> this) {...}  
    T orElseThrow(@Present O... this, ...) {...}  
}
```



Define a type system

1. Type hierarchy (subtyping)
2. Type rules (what operations are illegal)
- 3. Type introduction (what types for literals...)**
4. Dataflow (run-time tests)



Type introduction rules

For Nullness type system:

- `null` : `@Nullable`
- `"Hello World"` : `@NonNull`



Type introduction for Optional

@MaybePresent

@Present



“Never use Optional.get() unless you can prove that the Optional is present.”

```
Optional<T> of(T value) {...}
```

```
Optional<T> ofNullable(T value){...}
```



Type introduction for Optional

@MaybePresent

@Present



"Never use Optional.get() unless you can prove that the Optional is present."

```
@Present Optional<T> of(T value) {...}
```

```
Optional<T> ofNullable(@Nullable T value){...}
```



Define a type system

1. Type hierarchy (subtyping)
2. Type rules (what operations are illegal)
3. Type introduction (what types for literals, ...)
- 4. Dataflow (run-time tests)**



Flow-sensitive type refinement

After an operation, give an expression a more specific type

```
@Nullable Object x;
```

```
if (x != null) {
```

```
    ... x is @NonNull here
```

```
}
```

```
... x is @Nullable again
```

```
@Nullable Object y;
```

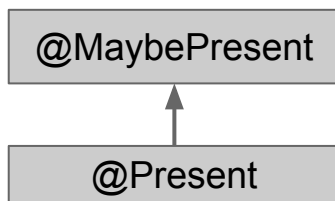
```
y = new SomeType();
```

```
... y is @NonNull here
```

```
y = unknownValue;
```

```
... y is @Nullable again
```

Type refinement for Optional



"Never use `Optional.get()` unless you can prove that the `Optional` is present."

After `receiver.isPresent()` returns true,
the receiver's type is `@Present`

```
@MaybePresent Optional<String> x;
```

```
if (x.isPresent()) {
```

```
...
```

x is @Present here

```
}
```

```
...
```

x is @MaybePresent again



Now, let's implement it

Follow the instructions in the
Checker Framework Manual

<https://checkerframework.org/manual/#creating-a-checker>



Design the type system first

Before you start coding, first write the user manual.

What problem are you solving?

What qualifiers will you need?

What rules do you need to enforce?



Implement type qualifiers and hierarchy

```
@Documented
```

```
@Retention(RetentionPolicy.RUNTIME)
```

```
@Target({ElementType.TYPE_USE,  
         ElementType.TYPE_PARAMETER})
```

```
@SubtypeOf({MaybePresent.class})
```

```
public @interface Present {}
```

<https://github.com/typetools/checker-framework/tree/master/checker/src/main/java/org/checkerframework/checker/optional/qual>



Use the Subtyping Checker

Experiment with the type qualifiers using the [Subtyping Checker](#):

```
javac -processor ...SubtypingChecker \  
      -Aquals=...Present,...MaybePresent \  
      SubtypeCheck.java
```



Name your checker

Simplify usage by adding a main class:

```
public class OptionalChecker  
    extends BaseTypeChecker {}
```



Annotate parts of the JDK

Add `jdk.astub` JDK specifications

```
class Optional<T extends Object> {  
    static <T extends Object> @Present Optional<T> of(T value) ...  
    T get(@Present Optional<T> this) ...  
    ...  
}
```

<https://github.com/typetools/checker-framework/blob/master/checker/jdk/optional/src/java/util/Optional.java>



Type rules and type introductions

Not needed for the Optional Checker



Implement dataflow refinement

Declarative specification possible:

```
@EnsuresQualifierIf(result = true,  
    expression = "this",  
    qualifier = Present.class)  
public boolean isPresent() {  
    return value != null;  
}
```



You can use the Optional Checker

Distributed with the Checker Framework
Checks 6 of the 7 rules for using Optional

<https://checkerframework.org/manual/#optional-checker>



Solution: Pluggable Type Checking

1. Design a type system to solve a specific problem
2. Write type qualifiers in code (or, use type inference)

```
void foo (@Nullable Date date) {  
    date.setSeconds(0); // compile-time error
```

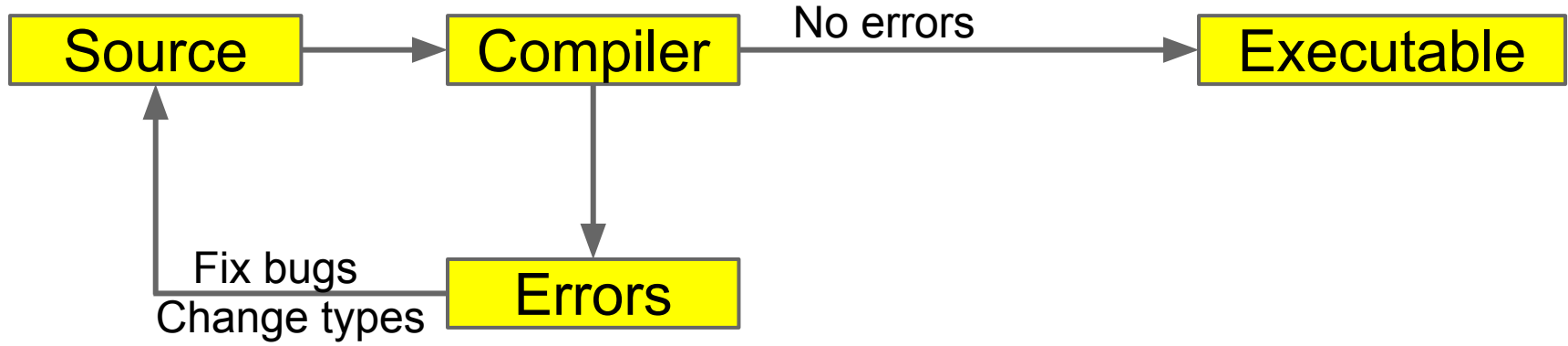
3. Type checker warns about violations (bugs)

```
% javac -processor NullnessChecker MyFile.java
```

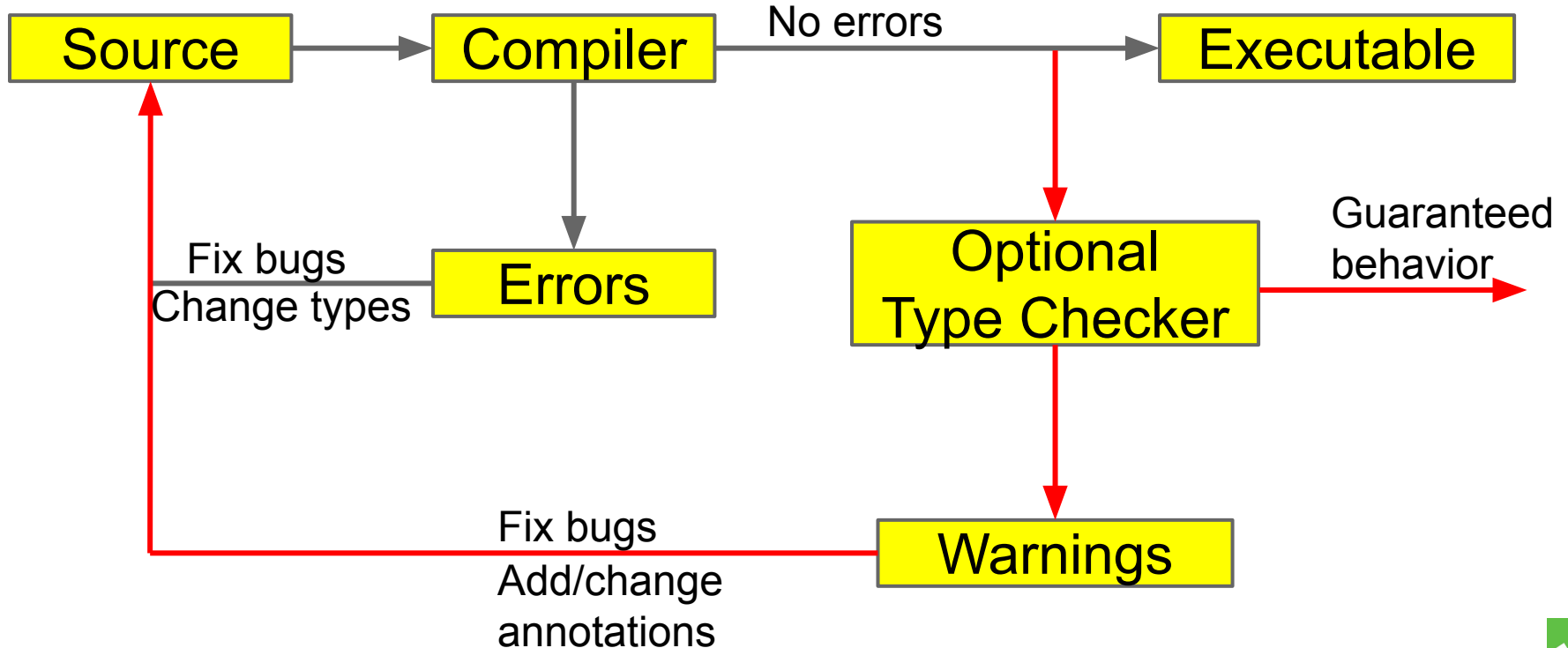
```
MyFile.java:149: dereference of possibly-null reference bb2  
    allVars = bb2.vars;  
                ^
```



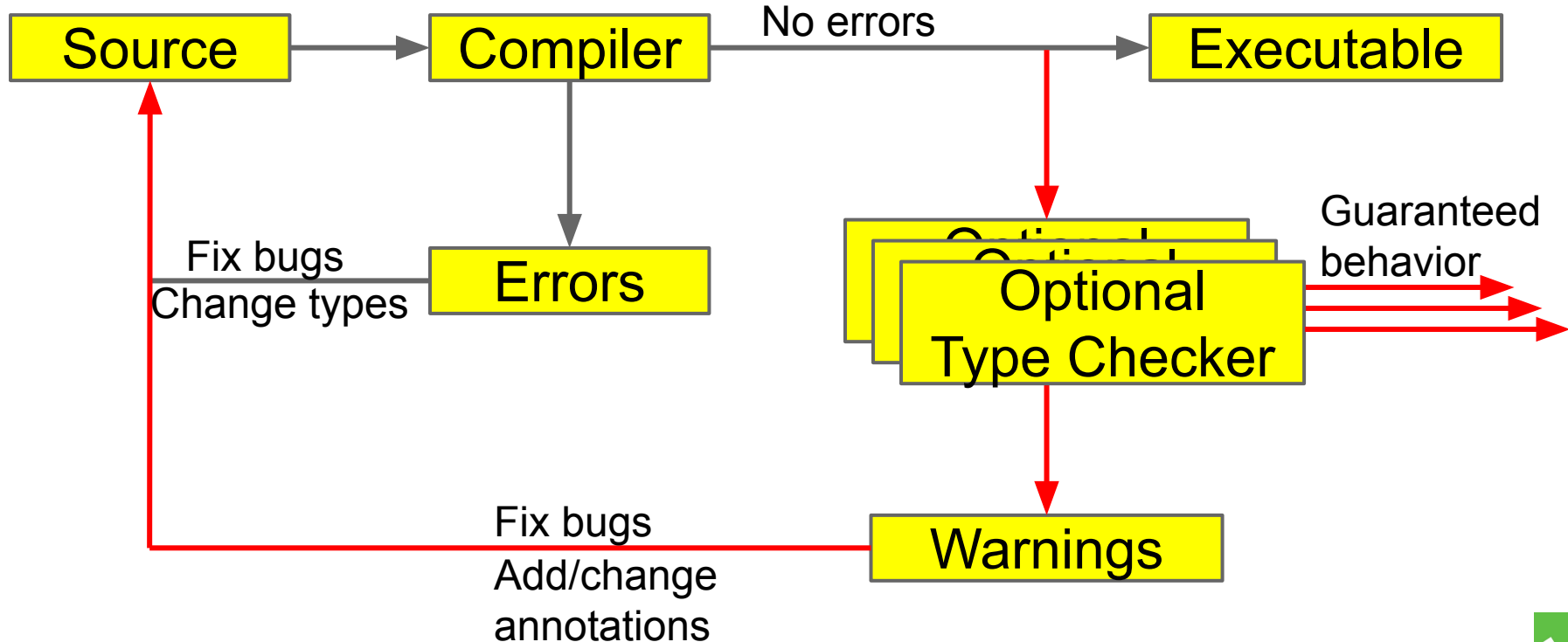
Type Checking



Optional Type Checking



Optional Type Checking



Benefits of type systems

- **Find bugs** in programs
 - Guarantee the **absence of errors**
- **Improve documentation**
 - Improve code structure & maintainability
- Aid compilers, optimizers, and analysis tools
 - E.g., could reduce number of run-time checks
- Possible negatives:
 - Must write the types (or use type inference)
 - False positives are possible (can be suppressed)



The Checker Framework

A framework for pluggable type checkers

“Plugs” into the OpenJDK or OracleJDK compiler

```
javac -processor MyChecker ...
```

Standard error format allows tool integration



Ant, Maven, Gradle integration

```
<presetdef name="jsr308.javac">
  <javac fork="yes"
    executable="${checkerframework}/checker/bin/${cfJavac}" >
    <!-- JSR-308-related compiler arguments -->
    <compilerarg value="-version"/>
    <compilerarg value="-implicit:class"/>
  </javac>
</presetdef>
```

```
<dependencies>
  ... existing <dependency> items ...
  <!-- annotations from the Checker Framework:
    nullness, interning, locking, ... -->
  <dependency>
    <groupId>org.checkerframework</groupId>
    <artifactId>checker-qual</artifactId>
    <version>1.9.7</version>
  </dependency>
</dependencies>
```

Live demo: <http://CheckerFramework.org/live/>

Checker Framework Live Demo

Write Java code here:

```
1 import org.checkerframework.checker.nullness.qual.Nullable;
2 class YourClassNameHere {
3     void foo(Object nn, @Nullable Object nbl) {
4         nn.toString(); // OK
5         nbl.toString(); // Error
6     }
7 }
```

Choose a type system:

Check

Examples:

Nullness: [NullnessExample](#) | [NullnessExampleWithWarnings](#)

MapKey: [MapKeyExampleWithWarnings](#)

Interning: [InterningExample](#) | [InterningExampleWithWarnings](#)

Lock: [GuardedByExampleWithWarnings](#) | [HoldingExampleWithWarnings](#) | [EnsuresLockHeldExample](#) | [Loc](#)



More at JAX 2019

Preventing Null Pointer Exceptions at Compile Time:

Donnerstag, 9. Mai 2019, 15:30 - 16:30

Rheingoldhalle, Raum: Forum Nord



Pluggable type-checking improves code

Checker Framework for creating type checkers

- Featureful, effective, easy to use, scalable

Prevent bugs at compile time

Create custom type-checkers

Improve your code!

<http://CheckerFramework.org/>



Comparison: other nullness tools

	Null pointer errors		False warnings	Annotations written
	Found	Missed		
Checker Framework	9	0	4	35
FindBugs	0	9	1	0
Jlint	0	9	8	0
PMD	0	9	0	0
Eclipse, in 2017	0	9	8	0
IntelliJ (@NotNull default), in 2017	0	9	1	0
	3	6	1	925 + 8



Example type systems

Null dereferences (@NonNull)

>200 errors in Google Collections, javac, ...

Equality tests (@Interned)

>200 problems in Xerces, Lucene, ...

Concurrency / locking (@GuardedBy)

>500 errors in BitcoinJ, Derby, Guava, Tomcat, ...

Fake enumerations / typedefs (@Fenum)

problems in Swing, JabRef



String type systems

Regular expression syntax (`@Regex`)

56 errors in Apache, etc.; 200 annos required

printf format strings (`@Format`)

104 errors, only 107 annotations required

Method signature format (`@FullyQualified`)

28 errors in OpenJDK, ASM, AFU

Compiler messages (`@CompilerMessageKey`)

8 wrong keys in Checker Framework



Security type systems

Command injection vulnerabilities (@OsTrusted)

5 missing validations in Hadoop

Information flow privacy (@Source)

SPARTA detected malware in Android apps



It's easy to write your own type system!



Checkers are usable

- Type-checking is **familiar** to programmers
- Modular: fast, incremental, partial programs
- Annotations are **not too verbose**
 - **@NonNull**: 1 per 75 lines
 - **@Interned**: 124 annotations in 220 KLOC revealed 11 bugs
 - **@Format**: 107 annotations in 2.8 MLOC revealed 104 bugs
 - Possible to annotate part of program
 - Fewer annotations in new code
- Few false positives
- First-year CS majors preferred using checkers to not
- **Practical**: in use in Silicon Valley, on Wall Street, etc.



What a checker guarantees

The program satisfies the type property. There are:

- **no bugs** (of particular varieties)
- **no wrong annotations**
- Caveat 1: only for code that is checked
 - Native methods (handles reflection!)
 - Code compiled without the pluggable type checker
 - Suppressed warnings
 - Indicates what code a human should analyze

Checking part of a program is still useful

- Caveat 2: The checker itself might contain an error



Since Java 5: declaration annotations

Only for declaration locations:

@Deprecated

```
class Foo {
```

```
    @Getter @Setter private String query;
```

```
    @SuppressWarnings("unchecked")
```

```
    void foo() { ... }
```

```
}
```



But we couldn't express

A non-null reference to my data

An interned string

A non-null List of English strings

A non-empty array of English strings



With Java 8 Type Annotations we can!

A non-null reference to my data

```
@NonNull Data mydata;
```

An interned String

```
@Interned String query;
```

A non-null List of English Strings

```
@NonNull List<@English String> msgs;
```

A non-empty array of English strings

```
@English String @NotEmpty [] a;
```



Java 8 extends annotation syntax

Annotations on all occurrences of types:

```
@Untainted String query;  
List<@NonNull String> strings;  
myGraph = (@Immutable Graph) tmp;  
class UnmodifiableList<T>  
    implements @ReadOnly List<T> {}
```

Stored in classfile

Handled by javac, javap, javadoc, ...



Annotating external libraries

When type-checking clients, need library spec.

Can write manually or automatically infer

Two syntaxes:

- As separate text file (stub file)
- Within its .jar file (from annotated partial source code)



Checker Framework facilities

- Full type systems: inheritance, overriding, ...
- Generics (type polymorphism)
 - Also qualifier polymorphism
- Qualifier defaults
- Pre-/post-conditions
- Warning suppression



Building a checker is easy

Example: Ensure encrypted communication

```
void send(@Encrypted String msg) {...}  
@Encrypted String msg1 = ...;  
send(msg1);    // OK  
String msg2 = .....;  
send(msg2);    // Warning!
```



Verification

- **Goal:**
prove that no bug exists
- **Specifications:**
user provides
- **False negatives:**
none
- **False positives:**
user suppresses warnings
- **Downside:** user burden

Bug-finding

- **Goal:**
find some bugs at low cost
- **Specifications:**
infer likely specs
- **False negatives:**
acceptable
- **False positives:**
heuristics focus on most important bugs
- **Downside:** missed bugs



Checker Framework Community

Open source project:

<https://github.com/type-tools/checker-framework>

- Monthly release cycle
- >13,000 commits, 75 authors
- Welcoming & responsive community
- Google Summer-of-Code participant

