

# Preventing Null Pointer Exceptions at Compile Time

## The Checker Framework



<http://CheckerFramework.org/>

Twitter: @CheckerFrmwrk

Live demo: <http://CheckerFramework.org/live/>

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# Motivation

The screenshot shows the Trend Micro InterScan Web Security Virtual Appliance interface. The left sidebar contains a navigation menu with options like System Status, Dashboard, Application Control, HTTP, HTTPS Decryption, Advanced Threat Protection, HTTP Inspection, Data Loss Prevention, Applets and ActiveX, URL Filtering, Policies, Settings, Access Quota Policies, URL Access Control, Configuration, FTP, Logs, Reports, Updates, Notifications, and Administration. The main content area displays an "HTTP Status 500 - java.lang.NullPointerException" error report. The title "HTTP Status 500 - java.lang.NullPointerException" is circled in red. A red arrow points from the text "java.lang.NullPointerException" at the bottom of the page to the circled title. The error report includes fields for type, message, description, exception, and root cause.

**HTTP Status 500 - java.lang.NullPointerException**

**type** Exception report

**message** `java.lang.NullPointerException`

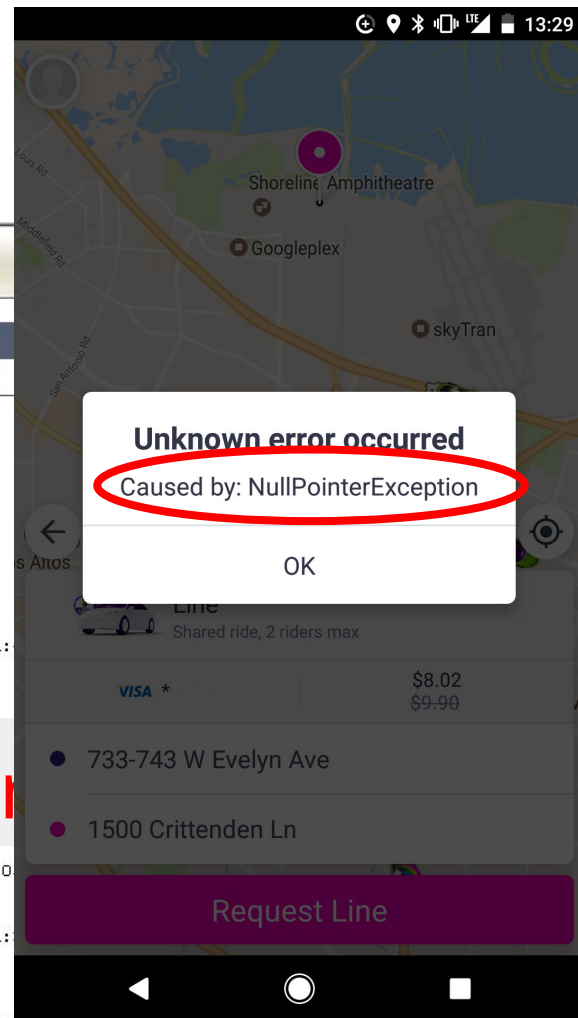
**description** The server encountered an internal error that prevented it from fulfilling this request.

**exception**

```
org.apache.jasper.JasperException: java.lang.NullPointerException
    org.apache.jasper.servlet.JspServletWrapper.service(JspServletWrapper.java:70)
    org.apache.jasper.servlet.JspServlet.service(JspServlet.java:313)
    org.apache.jasper.servlet.JspServlet.service(JspServlet.java:260)
    javax.servlet.http.HttpServlet.service(HttpServlet.java:717)
    com.trend.iwss.servlets.filters.CSRFGuardFilter.doFilter(CSRFGuardFilter.java:73)
    com.trend.iwss.servlets.filters.AuthFilter.doFilter(AuthFilter.java:377)
```

**root cause**

```
java.lang.NullPointerException
    org.apache.jsp.urlf_005fsection_005fpolicy_005frule_jsp._jspService(urlf_005fsection_005fpolicy_005frule_jsp._jspService(urlf_005fsection_005fpolicy_005frule_jsp.java:70)
    javax.servlet.http.HttpServlet.service(HttpServlet.java:717)
    org.apache.jasper.servlet.JspServletWrapper.service(JspServletWrapper.java:70)
    org.apache.jasper.servlet.JspServlet.service(JspServlet.java:313)
    org.apache.jasper.servlet.JspServlet.service(JspServlet.java:260)
    javax.servlet.http.HttpServlet.service(HttpServlet.java:717)
    com.trend.iwss.servlets.filters.CSRFGuardFilter.doFilter(CSRFGuardFilter.java:73)
    com.trend.iwss.servlets.filters.AuthFilter.doFilter(AuthFilter.java:377)
```



# Cost of software failures

**\$312 billion per year** global cost of software bugs (2013)

**\$300 billion** dealing with the Y2K problem

**\$440 million** loss by Knight Capital Group Inc. in 30 minutes in August 2012

**\$650 million** loss by NASA Mars missions in 1999; unit conversion bug

**\$500 million** Ariane 5 maiden flight in 1996; 64-bit to 16-bit conversion bug



# Software bugs can cost lives

1997: **225 deaths**: jet crash caused by radar software

1991: **28 deaths**: Patriot missile guidance system

2003: **11 deaths**: blackout

1985-2000: **>8 deaths**: Radiation therapy

2011: Software caused 25% of all medical device recalls



# Outline

- Verification approach: Pluggable type-checking
- Tool: Checker Framework
- How to use it
- Advanced features

This talk focuses on nullness.

Talk today at 15:30-16:30 expands on other properties and the Checker Framework in general.



# Java's type system is too weak

Type checking prevents many errors

```
int i = "hello";
```

Type checking doesn't prevent **enough** errors

```
System.console().readLine();
```



# Java's type system is too weak

Type checking prevents many errors

```
int i = "hello";
```

Type checking doesn't prevent enough errors

NullPointerException

```
System.console().readLine();
```



# Java's type system is too weak

Type checking prevents many errors

```
int i = "hello";
```

Type checking doesn't prevent enough errors

```
System.console().readLine();
```

[Nullness Checker demo](#) [Fixed](#)





# Prevent null pointer exceptions

Goal: the program only dereferences  
non-null references

Types of data:

**@NonNull**      reference is never null

**@Nullable**    reference may be null



# Null pointer exception

```
String op(Data in) {  
    return "transform: " + in.getF();  
}
```

...

```
String s = op(null);
```



# Null pointer exception

**Where is the defect?**

```
String op(Data in) {  
    return "transform: " + in.getF();  
}
```

...

```
String s = op(null);
```



# Null pointer exception

**Where is the defect?**

```
String op(Data in) {  
    return "transform: " + in.getF();  
}
```

...

```
String s = op(null);
```



# Null pointer exception

**Where is the defect?**

```
String op(Data in) {  
    return "transform: " + in.getF();  
}  
...
```

**Can't decide without specification!**

```
String s = op(null);
```



# Specification 1: non-null parameter

```
String op(@Nonnull Data in) {  
    return "transform: " + in.getF();  
}
```

...

```
String s = op(null);
```



# Specification 1: non-null parameter

```
String op(@Nonnull Data in) {  
    return "transform: " + in.getF();  
}
```

...

```
String s = op(null);           // error
```



## Specification 2: nullable parameter

```
String op(@Nullable Data in) {  
    return "transform: " + in.getF();  
}
```

...

```
String s = op(null);
```





## Specification 2: nullable parameter

```
String op(@Nullable Data in) {  
    return "transform: " + in.getF();  
}                                     // error
```

...

```
String s = op(null);
```



# Solution: Pluggable Type Checking

1. Design a type system to solve a specific problem
2. Write type qualifiers in code (or, use type inference)  

```
void foo (@Nullable Date date) {  
    date.setSeconds(0); // compile-time error
```
3. Type checker warns about violations (bugs)

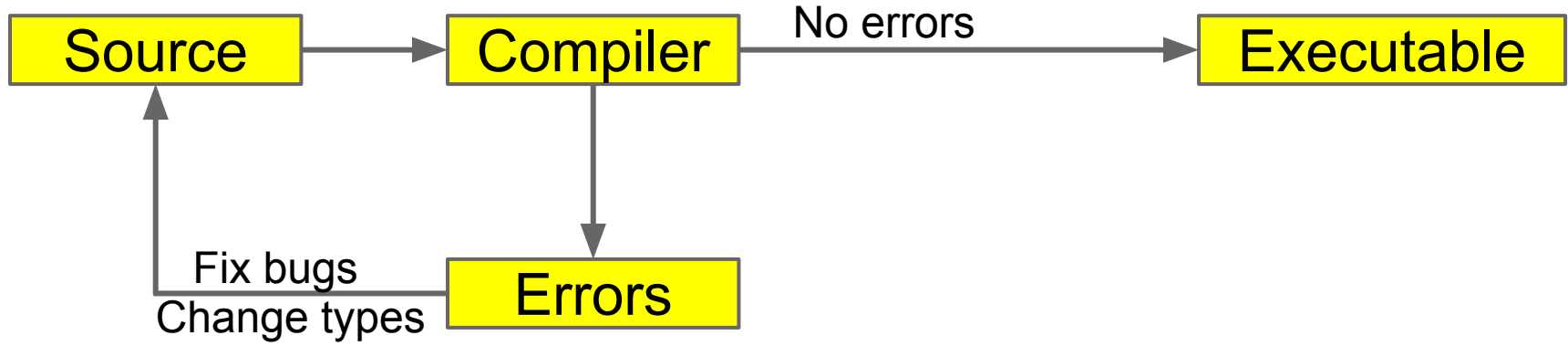
```
% javac -processor NullnessChecker MyFile.java
```

```
MyFile.java:149: dereference of possibly-null reference bb2  
    allVars = bb2.vars;
```

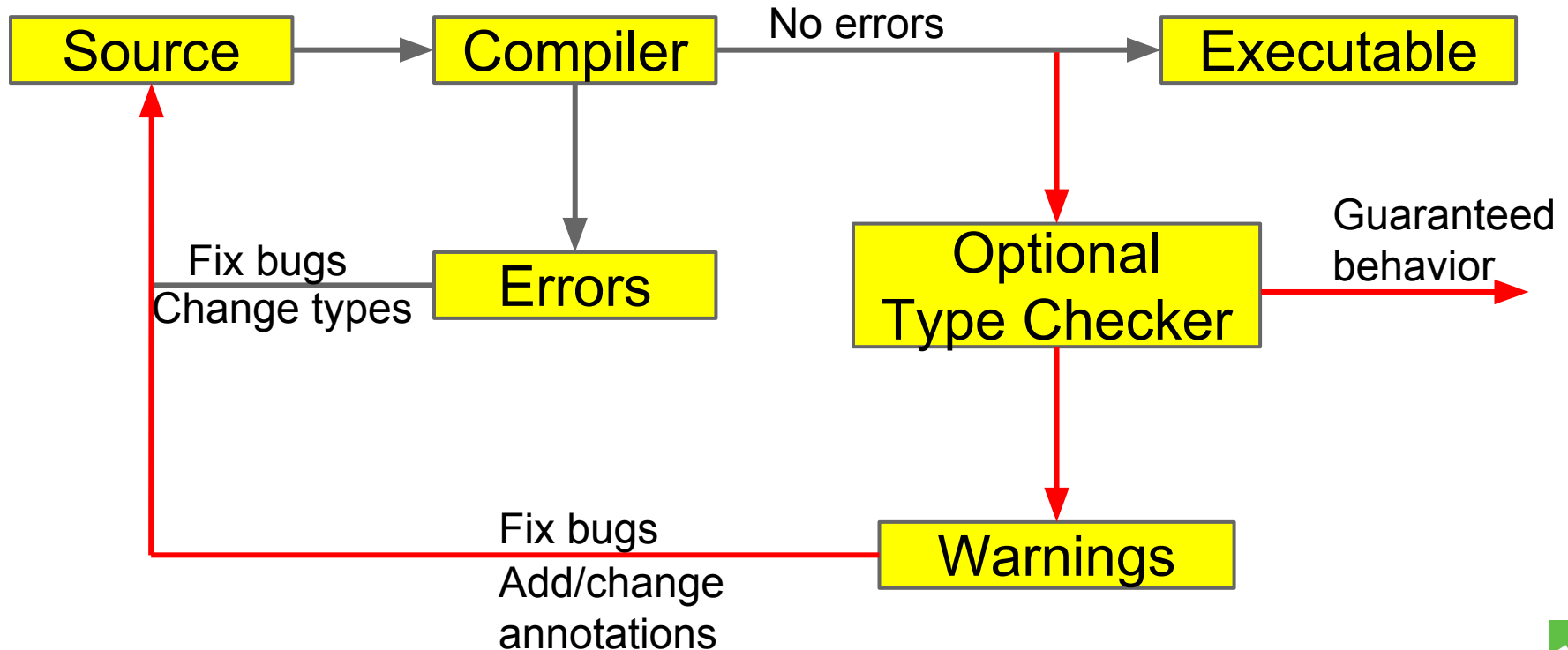
^



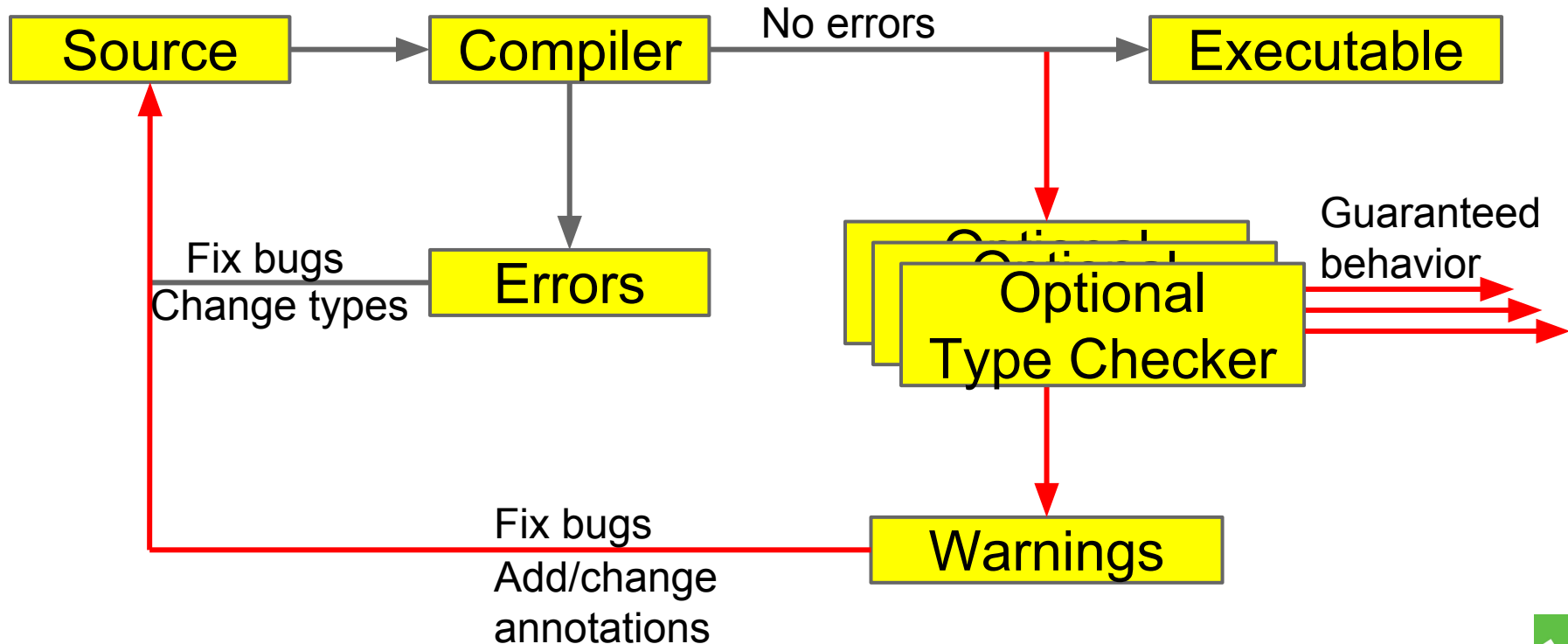
# Type Checking



# Optional Type Checking



# Optional Type Checking



# Benefits of type systems

- **Find bugs** in programs
  - Guarantee the **absence of errors**
- **Improve documentation**
  - Improve code structure & maintainability
- Aid compilers, optimizers, and analysis tools
  - E.g., could reduce number of run-time checks
- Possible negatives:
  - Must write the types (or use type inference)
  - False positives are possible (can be suppressed)



# The Checker Framework

A framework for pluggable type checkers

“Plugs” into the OpenJDK or OracleJDK compiler

```
javac -processor MyChecker ...
```

Standard error format allows tool integration



# Ant, Maven, Gradle integration

```
<presetdef name="jsr308.javac">
  <javac fork="yes"
    executable="${checkerframework}/checker/bin/${cfJavac}" >
    <!-- JSR-308-related compiler arguments -->
    <compilerarg value="-version"/>
    <compilerarg value="-implicit:class"/>
  </javac>
</presetdef>
```

```
<dependencies>
  ... existing <dependency> items ...
  <!-- annotations from the Checker Framework:
    nullness, interning, locking, ... -->
  <dependency>
    <groupId>org.checkerframework</groupId>
    <artifactId>checker-qual</artifactId>
    <version>2.5.0</version>
  </dependency>
</dependencies>
```



# Eclipse, IntelliJ, NetBeans integration

```
3 public class Test {  
4  
5     public static void main(String[] args) {  
6         Console c = System.console();  
7         c.printf("Test");  
8     }  
9 }
```

Problems @ Javadoc Declaration Search

0 errors, 1 warning, 0 others

Description

Warnings (1 item)

dereference of possibly-null reference c  
c.printf("Test");

```
3 public class Test {  
4  
5     public static void main(String[] args) {  
6         Console c = System.console();  
7         dereference of possibly-null reference c c.printf("Test");  
8     }  
9 }
```

Problems @ Javadoc Declaration Search Console Task

0 errors, 1 warning, 0 others

Description

Resource

Warnings (1 item)

dereference of possibly-null reference c  
c.printf("Test");

Test.java



# Live demo: <http://CheckerFramework.org/live/>

## Checker Framework Live Demo

Write Java code here:

```
1 import org.checkerframework.checker.nullness.qual.Nullable;
2 class YourClassNameHere {
3     void foo(Object nn, @Nullable Object nbl) {
4         nn.toString(); // OK
5         nbl.toString(); // Error
6     }
7 }
```

Choose a type system:

Check

### Examples:

Nullness: [NullnessExample](#) | [NullnessExampleWithWarnings](#)

MapKey: [MapKeyExampleWithWarnings](#)

Interning: [InterningExample](#) | [InterningExampleWithWarnings](#)

Lock: [GuardedByExampleWithWarnings](#) | [HoldingExampleWithWarnings](#) | [EnsuresLockHeldExample](#) | [Loc](#)



# Since Java 5: declaration annotations

Only for declaration locations:

**@Deprecated**

```
class Foo {
```

```
    @Getter @Setter private String query;
```

```
    @SuppressWarnings("unchecked")
```

```
    void foo() { ... }
```

```
}
```



# But we couldn't express

A non-null reference to my data

An interned string

A non-null List of English strings

A non-empty array of English strings



# With Java 8 Type Annotations we can!

A non-null reference to my data

```
@NonNull Data mydata;
```

An interned String

```
@Interned String query;
```

A non-null List of English Strings

```
@NonNull List<@English String> msgs;
```

A non-empty array of English strings

```
@English String @NotEmpty [] a;
```



# Java 8 extends annotation syntax

Annotations on all occurrences of types:

```
@Untainted String query;  
List<@NonNull String> strings;  
myGraph = (@Immutable Graph) tmp;  
class UnmodifiableList<T>  
    implements @ReadOnly List<T> {}
```

Stored in classfile

Handled by javac, javap, javadoc, ...



# Java 6 & 7 compatibility

## (or avoid dependency on Checker Framework)

Annotations in comments:

```
List</*@NonNull*/ String> strings;
```

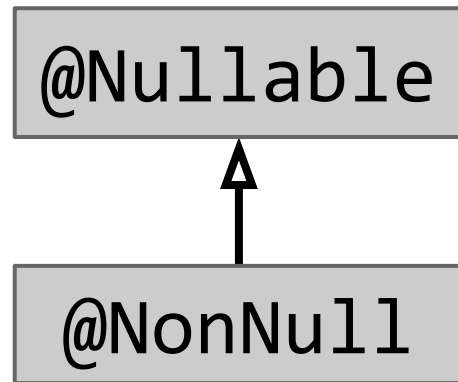
(Requires use of jsr308-langtools compiler.)



# Preventing null-pointer exceptions

Basic type system:

**@Nullable**      might be null  
**@NonNull**      definitely not null



Default is `@NonNull` (opposite of Java's default)

- Requires fewer annotations
- Makes the dangerous case explicit

(Nearly) no annotations in method bodies!





# Flow-sensitive type refinement

```
if (myField != null) {  
    myField.hashCode();  
}
```

No need to declare a new local variable



# One check for null is not enough

```
if (myField != null) {  
    method1();  
    myField.hashCode();  
}
```

3 ways to express persistence across side effects:

```
@SideEffectFree void method1() { ... }
```

```
@MonotonicNonNull myField;
```

```
@EnsuresNonNull("myField") method1() {...}
```



# Side effects

**@SideEffectFree**

Does not modify externally-visible state

**@Deterministic**

If called with == args again, gives == result

**@Pure**

Both side-effect-free and deterministic

The side-effect annotations are trusted, not checked



# Lazy initialization and persistence across side effects

`@MonotonicNonNull`

Might be null or non-null

May only be (re-)assigned a non-null value

Purpose: avoid re-checking

Once non-null, always non-null



# Method pre- and post-conditions

## Preconditions:

`@RequiresNonNull`

## Postconditions:

`@EnsuresNonNull`

`@EnsuresNonNullIf`

```
@EnsuresNonNullIf(expression="#1", result=true)  
public boolean equals(@Nullable Object obj) { ... }
```



# Polymorphism over qualifiers

```
/** Interns a String, and handles null. */  
@PolyNull String intern(@PolyNull String a) {  
    if (a == null) {  
        return null;  
    }  
    return a.intern();  
}
```

Like defining two methods:

```
@NonNull String intern(@NonNull String a) {...}  
@Nullable String intern(@Nullable String a) {...}
```



# A non-null field might contain null

```
@NonNull String name;  
MyClass() { // constructor  
    ... this.name.hashCode() ...  
}
```

## Initialization

**@Initialized** (constructor has completed)

**@UnderInitialization**(Frame.class)

Its constructor is currently executing

**@UnknownInitialization**(Frame.class)

Might be initialized or under initialization



# Map keys and Map.get

```
Map<String, @NonNull Integer> gifts;  
... gifts.get("pipers piping").intValue() ...
```

Map.get can return null! ... unless

- value type is non-null, **and**
- argument key appears in the map

**@KeyFor** [rarely written, usually inferred]





# Map key example

```
/** Computes predominators for each node in the graph. */  
<T> Map<T, List<T>>  
dominators(Map<T, List<@KeyFor("#1") T>> predecessors) {  
    ...  
    for (T node : predecessors.keySet()) {  
        for (T pred : predecessors.get(node)) {    // no NPE  
            ... predecessors.get(pred) ...        // no NPE  
        }  
    }  
}
```



# Suppressing warnings

Because of Checker Framework false positives

`@SuppressWarnings("nullness")`

Use smallest possible scope (e.g., local var)

Write the rationale as a comment

```
assert x != null : "@AssumeAssertion(nullness)";
```



# Annotating external libraries

When type-checking clients, need library spec.

Can write manually or automatically infer

Two syntaxes:

- As separate text file (stub file)
- Within its .jar file (from annotated partial source code)



# Checker Framework facilities

- Full type systems: inheritance, overriding, ...
- Generics (type polymorphism)
  - Also qualifier polymorphism
- Qualifier defaults
- Pre-/post-conditions
- Warning suppression



# Building a checker is easy

Example: Ensure encrypted communication

```
void send(@Encrypted String msg) {...}  
@Encrypted String msg1 = ...;  
send(msg1);    // OK  
String msg2 = .....;  
send(msg2);    // Warning!
```



# Building a checker is easy

Example: Ensure encrypted communication

```
void send(@Encrypted String msg) {...}  
@Encrypted String msg1 = ...;  
send(msg1);    // OK  
String msg2 = .....;  
send(msg2);    // Warning!
```

The complete checker:

```
@Target(ElementType.TYPE_USE)  
@SubtypeOf(Unqualified.class)  
public @interface Encrypted {}
```



# Defining a type system

1. Qualifier hierarchy
  - defines subtyping
2. Type introduction rules
  - types for expressions
3. Type rules
  - checker-specific errors
4. Flow-refinement
  - better types than the programmer wrote



# Dataflow Framework

Goal: Compute properties about expressions

- More accurate types than the user wrote
- Foundation for other static analyses
  - e.g. by Google error-prone and Uber NullAway

Dataflow Framework user provides

- What are we tracking?
- What do operations do?
- What are intermediate results?

Dataflow Framework does all the work!





# Example type systems

## **Null dereferences** (@NonNull)

>200 errors in Google Collections, javac, ...

## **Equality tests** (@Interned)

>200 problems in Xerces, Lucene, ...

## **Concurrency / locking** (@GuardedBy)

>500 errors in BitcoinJ, Derby, Guava, Tomcat, ...

## **Fake enumerations / typedefs** (@Fenum)

problems in Swing, JabRef



# String type systems

## **Regular expression syntax** (`@Regex`)

56 errors in Apache, etc.; 200 annos required

## **printf format strings** (`@Format`)

104 errors, only 107 annotations required

## **Method signature format** (`@FullyQualified`)

28 errors in OpenJDK, ASM, AFU

## **Compiler messages** (`@CompilerMessageKey`)

8 wrong keys in Checker Framework



# Security type systems

**Command injection vulnerabilities** (@OsTrusted)

5 missing validations in Hadoop

**Information flow privacy** (@Source)

SPARTA detected malware in Android apps



It's easy to write your own type system!



# Comparison: other nullness tools

	Null pointer errors		False warnings	Annotations written
	Found	Missed		
Checker Framework	9	0	4	35
FindBugs	0	9	1	0
Jlint	0	9	8	0
PMD	0	9	0	0
Eclipse, in 2017	0	9	8	0
IntelliJ (@NotNull default), in 2017	0	9	1	0
	3	6	1	925 + 8



# What a checker guarantees

The program satisfies the type property. There are:

- **no bugs** (of particular varieties)
- **no wrong annotations**
- Caveat 1: only for code that is checked
  - Native methods (handles reflection!)
  - Code compiled without the pluggable type checker
  - Suppressed warnings
    - Indicates what code a human should analyze

Checking part of a program is still useful

- Caveat 2: The checker itself might contain an error



# Formalizations

		$h \in \text{Heap}$	$= \text{Addr} \rightarrow \text{Obj}$
		$\iota \in \text{Addr}$	$= \text{Set of Addresses} \cup \{\text{null}_a\}$
		$o \in \text{Obj}$	$= {}^r\text{Type}, \text{Fields}$
		${}^rT \in {}^r\text{Type}$	$= \text{OwnerAddr ClassId} \langle {}^r\text{Type} \rangle$
$P \in \text{Program}$	$::=$	$\overline{\text{Class}}, \text{ClassId}, \text{Expr}$	
$\text{Cls} \in \text{Class}$	$::=$	$\text{class ClassId} \langle \text{TVarId} \rangle$ $\text{extends ClassId} \langle {}^s\text{Type} \rangle$ $\{ \text{FieldId} {}^s\text{Type}; \text{Met} \}$	
${}^sT \in {}^s\text{Type}$	$::=$	${}^s\text{NType} \mid \text{TVarId}$	
${}^sN \in {}^s\text{NType}$	$::=$	$\text{OM ClassId} \langle {}^s\text{Type} \rangle$	
$u \in \text{OM}$	$::=$	$h, {}^r\Gamma, e_0 \rightsquigarrow h_0, \iota_0$	
$\text{mt} \in \text{Meth}$	$::=$	$\iota_0 \neq \text{null}_a$	
$\text{MethSig}$	$::=$	$h_0, {}^r\Gamma, e_2 \rightsquigarrow h_2, \iota$	
$w \in \text{Purity}$	$::=$	$h' = h_2[\iota_0.f := \iota]$	
$e \in \text{Expr}$	$::=$	$\text{OS-Upd} \frac{h, {}^r\Gamma, e_0.f = e_2 \rightsquigarrow h'}{h, {}^r\Gamma, e_0.f = e_2 \rightsquigarrow h'}$	
${}^s\Gamma \in {}^s\text{Env}$	$::=$	$\text{Expr.MethId} \langle {}^s\text{Type} \rangle (\text{Expr}) \mid$ $\text{new } {}^s\text{Type} \mid ({}^s\text{Type}) \text{Expr}$ $\overline{\text{TVarId } {}^s\text{NType}; \text{ParId } {}^s\text{Type}}$	
			$h, {}^r\Gamma, e_0 \rightsquigarrow h', \iota_0$ $\iota_0 \neq \text{null}_a$
			$\text{OS-Read} \frac{\iota = h'(\iota_0) \downarrow_2 (f)}{h, {}^r\Gamma, e_0.f \rightsquigarrow h', \iota}$
			$\Gamma \vdash e_0 : N_0 \quad N_0 = u_0 C_0 \langle \_ \rangle$ $T_1 = fType(C_0, f)$ $\Gamma \vdash e_2 : N_0 \triangleright T_1$
			$\text{GT-Read} \frac{\Gamma \vdash e_0 : N_0 \quad N_0 = \_}{\Gamma \vdash e_0.f : N_0 \triangleright fType(C_0, f)}$
			$\text{GT-Upd} \frac{u_0 \neq \text{any} \quad rp(u_0, T_1)}{\Gamma \vdash e_0.f = e_2 : N_0 \triangleright T_1}$
			$\left\{ \begin{array}{l} \Gamma \vdash e_0 : N_0 \\ \Gamma \vdash \iota_1 : \text{dyn}({}^sN, h, \iota_1) \\ \Gamma \vdash \iota_2 : \text{dyn}({}^sT, \iota_1, h(\iota_1) \downarrow_1) \end{array} \right\} \Rightarrow h \vdash \iota_2 : \text{dyn}({}^sN \triangleright {}^sT, h, {}^r\Gamma)$
			${}^sN = u_N C_N \langle \_ \rangle$ $u_N = \text{this}_u \Rightarrow {}^r\Gamma(\text{this})$ $\text{free}({}^sT) \subseteq \text{dom}(C_N)$
			$\text{DYN} \frac{\text{dom}(C) = \bar{X} \quad \text{free}({}^sT) \subseteq \bar{X} \circ \bar{X}'}{\text{dyn}({}^sT, \iota, {}^rT, (\bar{X}' \text{ } {}^rT'; -)) = {}^sT[\iota'/\text{this}, \iota'/\text{peer}, \iota'/\text{rep}, \text{any}_a/\text{any}_u, {}^rT/\bar{X}, {}^rT'/\bar{X}']}$



Practicality

Testing

Built-in Type  
Systems

Pluggable  
Type Systems

Formal  
Verification

Guarantees



# Checkers are usable

- Type-checking is **familiar** to programmers
- Modular: fast, incremental, partial programs
- Annotations are **not too verbose**
  - **@NonNull**: 1 per 75 lines
  - **@Interned**: 124 annotations in 220 KLOC revealed 11 bugs
  - **@Format**: 107 annotations in 2.8 MLOC revealed 104 bugs
  - Possible to annotate part of program
  - Fewer annotations in new code
- Few false positives
- First-year CS majors preferred using checkers to not
- **Practical**: in use in Silicon Valley, on Wall Street, etc.





# Tips

- Start by type-checking part of your code
- Only type-check properties that matter to you
- Use subclasses (not type qualifiers) if possible
- Write the spec first (and think of it as a spec)
- Avoid warning suppressions when possible
- Avoid raw types such as `List`; use `List<String>`



# Verification

- **Goal:**  
prove that no bug exists
- **Specifications:**  
user provides
- **False negatives:**  
none
- **False positives:**  
user suppresses warnings
- **Downside:** user burden

# Bug-finding

- **Goal:**  
find some bugs at low cost
- **Specifications:**  
infer likely specs
- **False negatives:**  
acceptable
- **False positives:**  
heuristics focus on most important bugs
- **Downside:** missed bugs



# Checker Framework Community

Open source project:

<https://github.com/type-tools/checker-framework>

- Monthly release cycle
- >13,000 commits, 75 authors
- Welcoming & responsive community
- Google Summer-of-Code participant



# Checker Framework plans

More type systems:

- Immutability
- Index-out-of-bounds
- `Optional<T>` type
- Determinism
- Signed vs. unsigned numbers

Type inference

Combined static & dynamic enforcement



# More at JAX 2018

Preventing Errors before they happen – Using the  
Checker Framework

Today, 15:30-16:30

Zagreb B



# Pluggable type-checking improves code

Checker Framework for optional type checkers

- Featureful, effective, easy to use, scalable

Prevent null pointers at compile time

Improve your code!

<http://CheckerFramework.org/>

