



## TCP Congestion Control & DNS

IPADS, Shanghai Jiao Tong University

https://www.sjtu.edu.cn

## **End-to-end Layer**

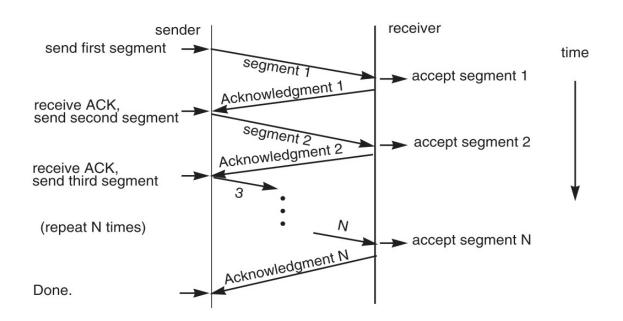
#### **Review: Assurance of End-to-end Protocol**

- 1. Assurance of at-least-once delivery
- 2. Assurance of at-most-once delivery
- 3. Assurance of data integrity
- 4. Assurance of stream order & closing of connections
- 5. Assurance of jitter control
- 6. Assurance of authenticity and privacy
- 7. Assurance of end-to-end performance

#### 7. End-to-end Performance

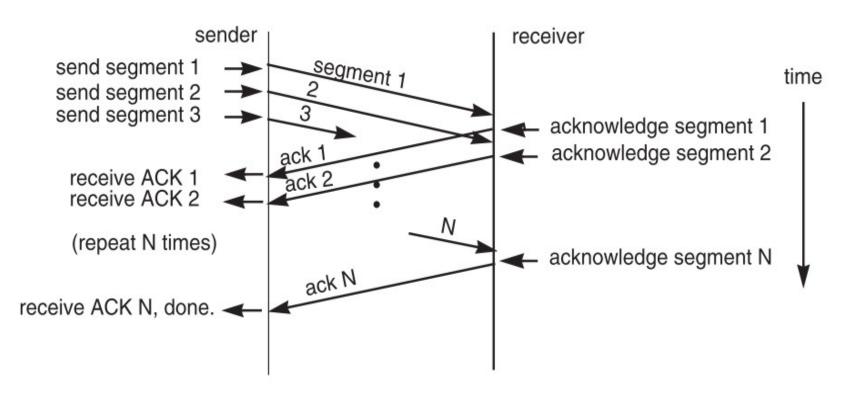
#### **Multi-segment message questions**

- Trade-off between complexity and performance
- Lock-step protocol



### **Overlapping Transmissions**

#### Pipelining technique



### **Overlapping Transmissions**

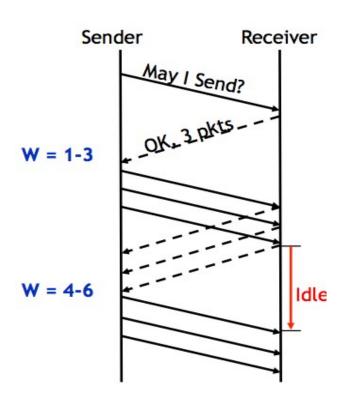
#### Packets or ACK may be lost

- Sender holds a list of segments sent, check it off when receives ACK
- Set a timer (according to RTT) for last segment

If list of missing ACK is empty, OK

If timer expires, resend packets and another timer

#### **Fixed Window**



Receiver tells the sender a window size

Sender sends window

Receiver acks each packet as before

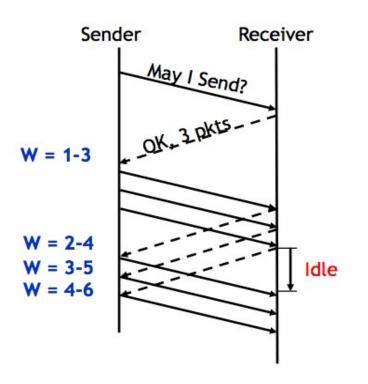
Window advances when all packets in previous window are acked

E.g., packets 4-6 sent, after 1-3 ack'd

If a packet times out -> resend packets

Still much idle time

### **Sliding Window**



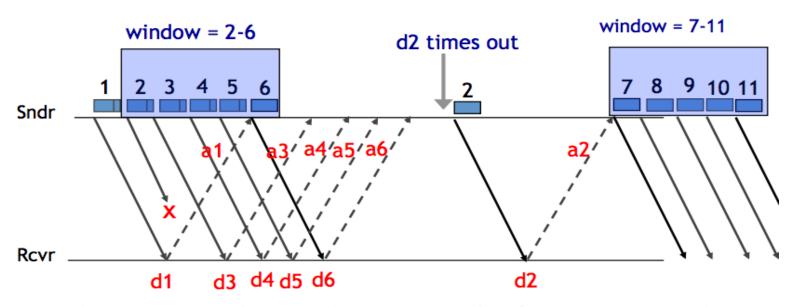
# Sender advances the window by 1 for each in-sequence ACK it receives

- Reduces idle periods
- Pipelining idea

# But what's the correct value for the window?

- We'll revisit this question
- First, we need to understand windows

### **Handling Packet Loss**



Sender advances the window on arrivals of in-sequence acks

→ Can't advance on a3's arrival

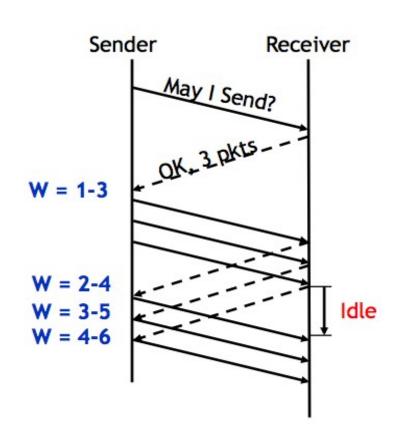
### **Chose the Right Window Size**

#### If window is too small

- Long idle time
- Underutilized network

#### If window too large

Congestion



### **Sliding Window Size**

### window size ≥ round-trip time × bottleneck data rate

#### Sliding window with one segment in size

Data rate is window size / RTT

#### Enlarge window size to bottleneck data rate

Data rate is window size / RTT

#### **Enlarge window size further**

- Data rate is still bottleneck
- Larger window makes no sense

- Receive 500 KBps
- Sender 1 MBps
- RTT 70ms
- A segment carries 0.5 KB
- Sliding window size = 35KB (70 segment)

### **Self-pacing: Sliding Window Size**

Although the sender doesn't know the bottleneck, it is sending at exactly that rate

Once sender fills a sliding window, cannot send next data until receive ACK of the oldest data in the window

The receiver cannot generate ACK faster than the network can deliver data elements

RTT estimation still needed

# **TCP Congestion Control**

### Congestion

Definition: Too many packets present in (a part of) the network causes packet delay and loss that degrades performance.

Network & End-to-end layers share the responsibility for handling congestion

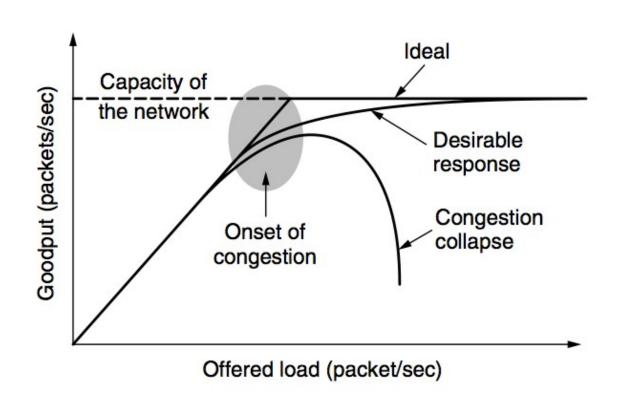
#### 1. Network layer

- Directly experiences the congestion
- Ultimately determine what to do with the excess packets

#### 2. End-to-end layer

Control to reduce the sending rate, is the most effective way

### **Network Congestion**



### Why Congest?

If all of a sudden, streams of packets begin arriving on three or four input lines and all need the same output line, a queue will build up

If there is insufficient memory to hold all of them, packets will be lost

#### Adding more memory may help up to a point, but

- Nagle (1987) realized that if routers have an infinite amount of memory,
  congestion gets worse, not better
- This is because by the time packets get to the front of the queue, they have already timed out (repeatedly) and duplicates have been sent

### **Load Shedding: Setting Window Size**

#### For performance:

— window size ≥ round-trip time × bottleneck data rate

#### For congestion control:

- window size ≤ min(RTT x bottleneck data rate, Receiver buffer)
- Congestion window

#### 2 windows become 1

to achieve best performance and avoid congestion

### **Congestion Control**

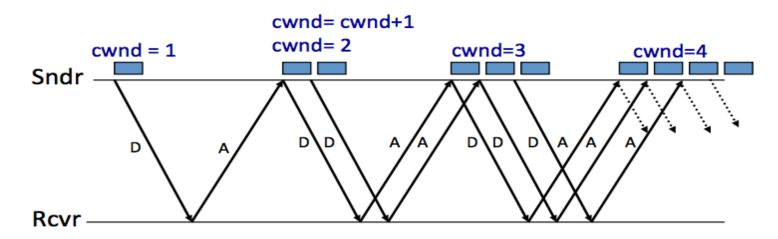
#### Basic idea:

- Increase congestion window slowly
- If no drops -> no congestion yet
- If a drop occurs -> decrease congestion window quickly

#### Use the idea in a distributed protocol that achieves:

- Efficiency: i.e., uses the bottleneck capacity efficiently
- Fairness, i.e., senders sharing a bottleneck get equal throughput (if they have demands)

### **AIMD (Additive Increase, Multiplicative Decrease)**



#### **Every RTT:**

- No drop: cwnd = cwnd + 1
- A drop: cwnd = cwnd / 2

#### **Problems with AIMD**

#### Increases very slowly at the beginning

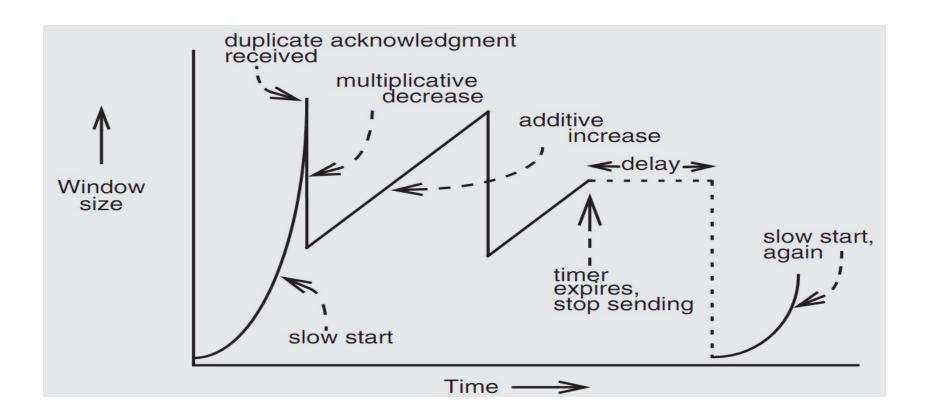
#### Initial window size is 1

Probably too small in practice

#### Solution: do multiplicative increase at the beginning

- Congestion\_window init = 1
- Initially, do Congestion\_window ← 2 \* Congestion\_window each RTT until we hit congestion
- Named "slow start" (even though it's exponentially fast!)

### **Retrofitting TCP**



### **Retrofitting TCP**

#### 1. Slow start: one packet at first, then double until

- Sender reaches the window size suggested by the receiver
- All the available data has been dispatched
- Sender detects that a packet it sent has been discarded

#### 2. Duplicate ACK

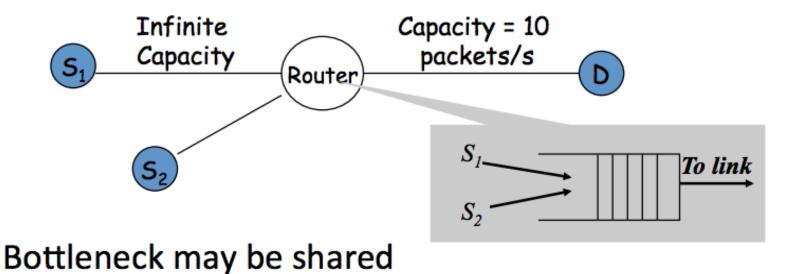
 When receiver gets an out-of-order packet, it sends back a duplicate of latest ACK

#### 3. Equilibrium

AIMD: Additive increase & multiplicative decrease

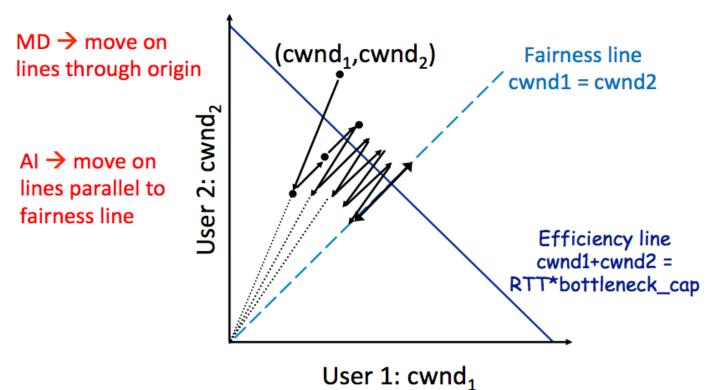
#### 4. Restart, after waiting a short time

#### **Fairness between Links**



### **AIMD Leads to Efficiency and Fairness**

#### Consider two users who have the same RTT



### Q: Why not Additive Decrease

#### It does not converge to fairness

 from a congested point, (x',y'), reducing each by 1 worsens fairness and takes us away from the "ideal" outcome

#### **Weakness of TCP**

#### If routers have too much buffering, causes long delays

#### Packet loss is not always caused by congestion

Consider wireless network: if losing packet, sender may send faster instead

#### TCP does not perform well in datacenters

High bandwidth, low delay situations

#### TCP has a bias against long RTTs

- Throughput inversely proportionally to RTT
- Consider when sending packets really far away vs really close

Assumes cooperating sources, which is not always a good assumption

### **Summary of Congestion Window**

#### **Reliability Using Sliding Window**

– Tx Rate = W / RTT

#### **Congestion Control**

- W = min(Receiver\_buffer, cwnd)
- Congestion window is adapted by the congestion control protocol to ensure efficiency and fairness
- TCP congestion control uses AIMD which provides fairness and efficiency in a distributed way

# The Design of DNS

**Domain Name Service** 

### **DNS: Binding IP and Domain Name**

#### **Names:** hostname strings

E.g., <u>www.sjtu.edu.cn</u>

#### Values: IP addresses

- E.g., 202.120.2.119

#### **Look-up algorithm**

 Resolves a hostname to an IP address so that your machine knows where to send packets

### **IP Address as a Type of Name**

#### An IP address itself is a type of name

- A structured name that is used to locate an object
- Use IP address to identify the server
  - Recall your labs in ICS on socket
- On Internet
  - The router will know where to send a packet with destination IP

#### Hostname has no such semantic

A router does not know how to send a packet to "baidu.com"

### Why Not Just Using IP Address?

#### IPs are structured in a particular way for routing

- You cannot chose your IP address as you wish
  - Note: usually an address cannot be picked
- While you can chose your host names

#### IPs are not user-friendly enough

### **Questions on DNS**

#### Q: Can a name have multiple values (IP addresses)?

- Yes. This allows a web server to balance its load over multiple machines
- Also allows a client to choice a nearest IP to access

#### Q: Can a single value have multiple names?

Yes. This allows server consolidation

#### Q: Can the value corresponding to a name change?

- Yes. This allows to change the physical machine (with different IP) that stores the data without changing the hostname
- Such changing is hidden to clients

### **Look-up Algorithm**

#### At first, each machine kept a "hosts.txt" for address binding

- E.g., "r900 202.120.224.83"
- Using table look-up to resolve the binding
- This method cannot scale in Internet

#### 1984, four Berkeley students wrote BIND

- Berkeley Internet Name Domain
- Still the dominant DNS software in use

### **Distributing Responsibility**

#### The binding

- Too large to be stored on a single machine
- Thus, the data are stored on many machines
  - As known as "name servers"

#### How to know which name server has a particular binding?

- Solution: structure the hostname
- Names have a hierarchy, e.g., com, net, gov, correspond to "zones"
- Zones are mapped to name servers

#### **Name Servers**

#### The root zone

Maintained by <u>ICANN</u>, non-profit

#### The ".com" zone

Maintained by <u>VeriSign</u>, add for money

#### The ".sjtu.edu.cn" zone

Maintained by <u>SJTU</u>



### **DNS Hierarchy (a partial view)**

### **Basic DNS Look-up Algorithm**

Example: lookup IP of "ipads.se.sjtu.edu.cn"

#### Traverse the name hierarchy from the root

- The root will tell us the "cn" name server IP,
- which will tell us the "edu.cn" name server IP,
- which will tell us the "situ.edu.cn" name server IP,
- which will tell us the "se.sjtu.edu.cn" name server IP,
- which finally tells us the "ipads.se.sjtu.edu.cn" IP

#### Such algorithm is called <u>delegation</u>

### **Context in DNS**

#### Names in DNS are global (context-free)

A hostname means the same thing everywhere in DNS

### Actually, it should be "ipads.se.sjtu.edu.cn."—

- A hostname is a list of domain names concatenated with dots
- The root domain is unnamed, i.e., "." + blank

### **Fault Tolerant**

### Each zone can have multiple name servers

- A delegation usually contains a list of name servers
- If one name server is down, others can be used

### Three Enhancements on Look-up Algorithm

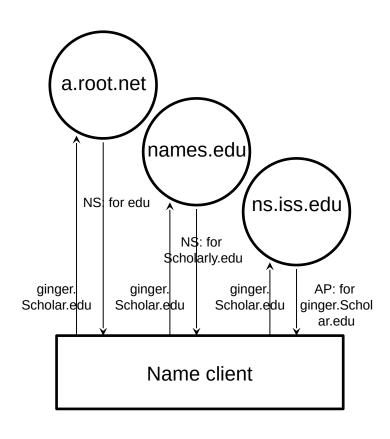
- 1. The initial DNS request can go to any name server, not just the root server
- Even on your own machine: /etc/hosts
- You can specific name servers in /etc/resolv.conf
- If no record, just return address of the root server
- Q: what are the benefits?

### Three Enhancements on Look-up Algorithm

#### 2. Recursion

- A client asks a name server "www.baidu.com"
- The name server does all the lookup through the tree and return the IP of Baidu to the client
- Usually, a name server has a better network connection

### **DNS Request Process**



a.root.net names.edu ns.iss.edu Name client

**Non-Recursion** 

Recursion

### Three Enhancements on Look-up Algorithm

### 3. Caching

- DNS clients and name servers keep a cache of names
  - Your browser will not do two look-ups for one address
- Cache has expire time limit
  - Controlled by a time-to-live parameter in the response itself
  - E.g., SJTU sets the TTL of www.sjtu.edu.cn to 24h
- TTL (Time To Live)
  - Long TTL VS. short TTL
  - Q: what are the tradeoffs?

### **Combine These Enhancements**

#### If:

- Many machines at SJTU use the SJTU name server for their initial DNS query, and
- The name server offers recursive querying and caching

#### Then:

- The name server's cache will hold many bindings, and
- Performance benefits from this large cache

### Other Features of DNS

#### At least two identical replica servers

- 80 replicas of the root name server in 2008
- Replicas are placed separated around the world



### **Other Features of DNS**

### **Organization's name server (e.g., SJTU)**

- Several replicas in campus
  - To enable communications within the organization
- At least one out of the campus
  - To validate the address for outside world

### Name Discovery in DNS (at the first place)

#### A client must discover the name of a nearby name server

- Name discovery broadcast to ISP at first time
- Ask network manager
- Ask by email, Google, etc.

### **Comparing Hostname & Filename**

### They are both for more user friendly

- File-name -> inode number
- Host-name -> IP address
- The file-name and host-name are hierarchical; inode num and IP addr. are plane

#### They are both **not** a part of the object

- File-name is not a part of a file (stored in directory)
- Host-name is not a part of a website (stored on name server)

#### Name and value binding

- File: 1-name -> N-values (no); N-name -> 1-value (yes)
- DNS: 1-name -> N-values (yes); N-name -> 1-value (yes)

# **Behind the DNS Design**

Why was DNS designed in this way?

### **Benefits of Hierarchical Design**

**Hierarchies delegate responsibility** 

Each zone is only responsible for a small portion

Hierarchies also limit interaction between modules

A type of de-centralization

### **Good Points on DNS Design**

#### **Global names (assuming same root servers)**

- No need to specific a context
- DNS has no trouble generating unique names
- The name can also be user-friendly

#### Scalable in performance

- Simplicity: look-up is simple and can be done by a PC
- Caching: reduce number of total queries
- Delegation: many name severs handle lookups

### **Good Points on DNS Design**

### Scalable in management

- Each zone makes its own policy decision on binding
- Hierarchy is great here

#### **Fault tolerant**

- If one name server breaks, other will still work
- Duplicated name server for a same zone

### **Bad Points on DNS Design**

### **Policy**

– Who should control the root zone, .com zone, etc.? Governments?

#### Significant load on root servers

- Many DNS clients starts by talking to root server
- Many queries for non-existent names, becomes a DoS attack

### Security

- How does a client know if the response is correct?
- How does VeriSign know "change Amazon.com IP" is legal?

# **Naming Scheme**

Naming: the glue of modules

### **Naming in General**

- ipads.se.sjtu.edu.cn hostname
- steven@apple.com email
- steven username
- EAX x86 processor register name
- main() function name
- WebBrowser class name
- /courses/cse/index.html path name (fully-qualified)
- index.html path name (relative)
- http://ipads.se.sjtu.edu.cn/courses/cse/index.html URL
- 13918275839- Phone number
- 202.120.40.188 IP Address

### **Naming a Disk**

#### File name: /dev/sda1

- As a special type of inode: device inode
- 8,0 as (major, minor)

#### PCI address (name): 19:00.0

 SCSI storage controller: LSI Logic / Symbios Logic SAS1068E PCI-Express Fusion-MPT SAS (rev 08)

### **Naming for Modularity**

Retrieval: e.g., using URL to get a web page

**Sharing:** e.g., passing an object reference to a function

Save space as well: only sending the name, not the object

Hiding: e.g., using a file name without knowing file system

- Can support access control: use an object only if knowing its name
- E.g., Windows has many undocumented API

**User-friendly identifiers**: e.g., "homework.txt" instead of 0x051DE540

Indirection: e.g., OS can move the location of the file data without notifying the user

– Have you ever defragmented your hard driver?

### **Addresses as Names**

### Software uses these names in an obvious way

E.g., memory addresses

#### Hardware modules connected to a bus

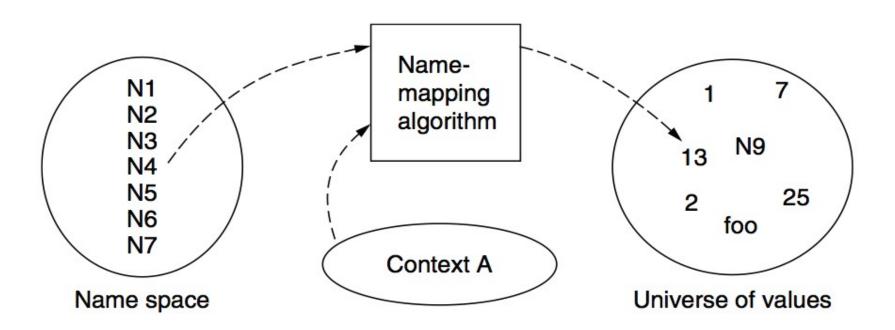
Use bus addresses (a kind of name) for interconnection

### **Naming Schemes**

#### A naming schemes contains three parts:

- 1. Set of all possible names
  - You cannot use 'for' as a variable in C
- 2. Set of all possible values
- 3. Look-up algorithm to translate a name into a value
  - or a set of values, or "none"

### **Naming Model**



## **Naming Terminology**

### **Binding** – A mapping from a name to value

- Unbind is to delete the mapping
- A name that has a mapping is bound

A name mapping algorithm resolves a name

### **Naming Context**

#### Type-1: context and name are separated

E.g., inode number's context is the file system

#### Type-2: context is part of the name

E.g., xiayubin@sjtu.edu.cn

# Name spaces with only one possible context are called universal name spaces

Example: credit card number, UUID, email address

### **Determining Context - 1**

#### Hard code it in the resolver

Examples: Many universal name spaces work this way

#### Embedded in name itself

- cse@sjtu.edu.cn:
  - Name = "cse"
  - Context = "sjtu.edu.cn"
- /ipads.se.sjtu.edu.cn/courses/cse/README :
  - Name = "README"
  - Context = "/ipads.se.sjtu.edu.cn/courses/cse"

### **Determining Context - 2**

#### **Taken from environment (Dynamic)**

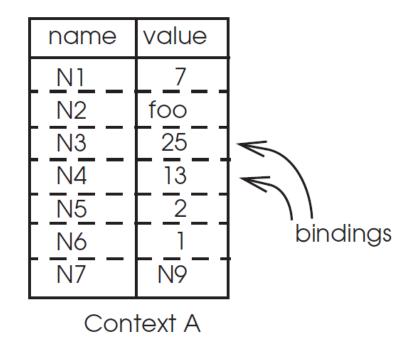
- Unix cmd: "rm foo":
  - Name = "foo", context is current dir
  - Question: how to find the binary of "rm" command?
- Read memory 0x7c911109:
  - Name = "0x7c911109",
  - Context is thread's address space

### Many errors in systems due to using wrong context

### Name Mapping Algorithms - 1

### Table lookup

- Find name in a table
  - E.g., Phone book
- Context: which table?
  - Implicit VS. explicit
  - Default context



### Name Mapping Algorithms - 2

### **Recursive lookup**

- E.g., "/usr/bin/rm"
- First find "usr" in "/", then find "bin" in "/usr", then "rm"
- Each look-up process is the same

#### Multiple lookup

- Recall: how to find "rm" without absolute name?
- \$PATH
  - E.g., "/usr/local/sbin:/usr/local/bin:/usr/sbin:/usr/bin:/sbin:/bin"
- Look-up in a predefined list of context

### **Interpreter Naming API**

#### *value* ← RESOLVE(name, context)

Return the mapping of name in the context

#### status ← BIND(name, value, context)

Establish a name to value mapping in the context

#### status ← UNBIND(name, context)

Delete name from context

### *list* ← ENUMERATE(context)

Return a list of all bindings

#### result ← COMPARE(name1, name2)

Check if name1 and name2 are equal

### **FAQ of Naming Scheme - 1**

What is the syntax of names?

What are the possible value?

What context is used to resolve names?

Who specifies the context?

Is a particular name global (context-free) or local?

### **FAQ of Naming Scheme - 2**

#### Does every name have a value?

– Or, can you have "dangling" names?

Can a single name have multiple values?

#### Does every value have a name?

– Or, can you name everything?

#### Can a single value have multiple names?

– Or, are there synonyms?

Can the value corresponding to a name change over time?