

Text embeddings

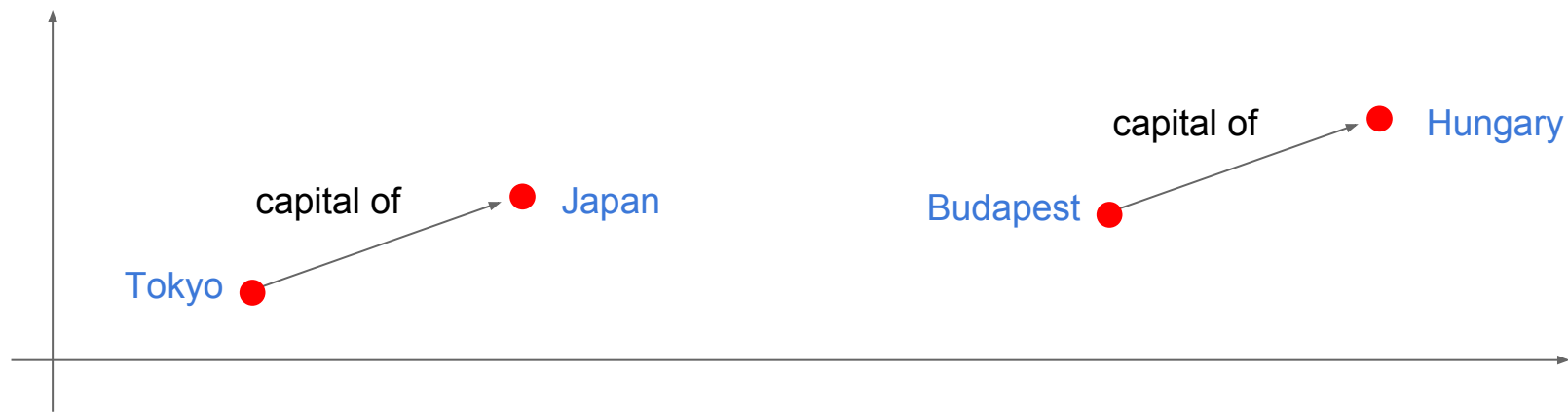


Sep 26, 2017

Fujitsu's demonstration

- On Furukawa AI conference in Yokohama on July 6, 2017 Fujitsu engineers demonstrated a poster about a search and topic modelling engine
- Features
 - Takes all company documents (internal reports, marketing materials, emails, patents, ...)
 - Measures similarity of documents
 - Creates a map of documents with groups and distances between the docs and their groups
- Use cases
 - Knowledge management: Identify and connect groups in the company who might have relevant knowledge for each other
 - Search engine: documents become searchable based on similarity to given query
- Drawbacks
 - Pre-trained - not adapted to the specifics of the company
 - Does not support English

Remember the key idea: Vector representation of text



- Words can be mapped to vectors, so math works on text:

Father - Man + Woman = Mother

Trump - USA + Japan = Abe

Cathode - Negative + Positive = Anode

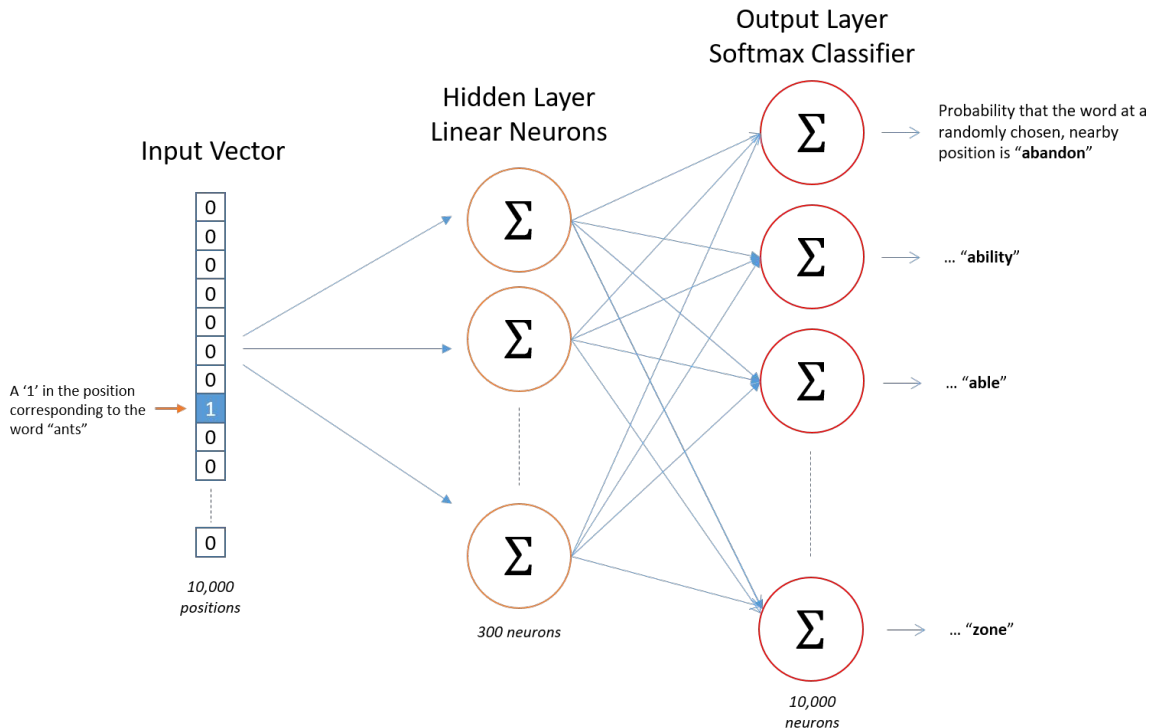
Skip-gram model

- Given a word in the middle of a sentence, look at the words nearby and pick one at random
- Train a network to tell us the probability for every word in the vocabulary of being “nearby”
 - Nearby == Window size
 - Window size = 10 means 5 ahead + 5 behind
- Train the neural network by feeding it word pairs found in training documents

Source Text	Training Samples						
<table><tr><td>The</td><td>quick</td><td>brown</td></tr></table> fox jumps over the lazy dog. ➡	The	quick	brown	(the, quick) (the, brown)			
The	quick	brown					
<table><tr><td>The</td><td>quick</td><td>brown</td><td>fox</td></tr></table> jumps over the lazy dog. ➡	The	quick	brown	fox	(quick, the) (quick, brown) (quick, fox)		
The	quick	brown	fox				
<table><tr><td>The</td><td>quick</td><td>brown</td><td>fox</td><td>jumps</td></tr></table> over the lazy dog. ➡	The	quick	brown	fox	jumps	(brown, the) (brown, quick) (brown, fox) (brown, jumps)	
The	quick	brown	fox	jumps			
<table><tr><td>The</td><td>quick</td><td>brown</td><td>fox</td><td>jumps</td><td>over</td></tr></table> the lazy dog. ➡	The	quick	brown	fox	jumps	over	(fox, quick) (fox, brown) (fox, jumps) (fox, over)
The	quick	brown	fox	jumps	over		

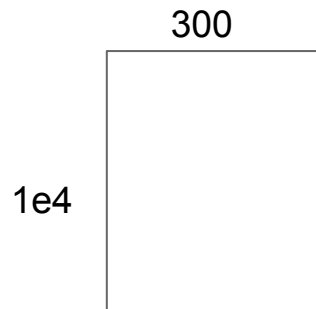
Architecture

- Assume vocabulary of 10000 words
- One-hot encode the input
- Hidden layer has 300 neurons
- Softmax output with 10000 neurons
- Reminds of an autoencoder



Word vectors

- == hidden layer state for every one-hot vector
 - == hidden layer input weights
 - embedding space
- The output of the network is unimportant after the training is done. We needed only the hidden layer weights
- If two different words typically have similar “contexts”
 - then the output of the softmax is similar
 - so they must have similar word vectors
 - they will be close in the embedding space



FETI-model

With implementation details

Why do we want a different model?

- We want to be specific to the Li-ion battery domain
 - == we want to be good there and only there
 - no need to know about philanthropy, guerilla warfare or gardening
- We have very limited training samples
 - only a few thousand abstracts
- We want to know
 - what the paper is about
 - What papers are similar
- So we decided to create different embedding space

Steps

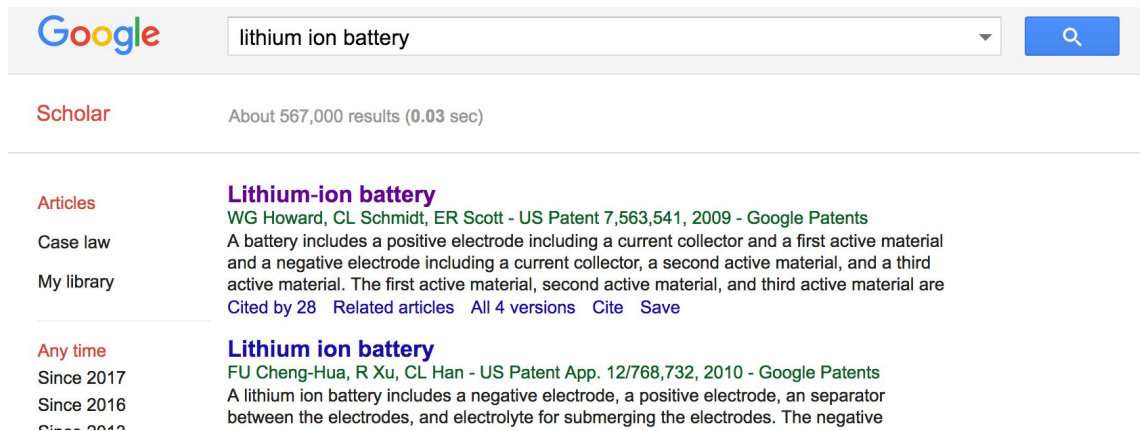
1. Collect papers by web crawler
 - Google Scholar gives only title, authors, year + 3 line abstract
2. Collect full abstracts
 - Script that downloads full abstracts from 20 different websites
3. Create and clean dictionary
 - Identify and count important words for LIBs
4. Train neural network
 - Learn relationships between words
5. Evaluate documents
 - Identify relationships between documents

Step 1: Collect papers by web-crawler

- Developed a script that Searches Google Scholar for LIBs

- Downloads metadata + 3 lines from the abstract

- Collected 5838 papers from 2009 to 2017



The screenshot shows a Google Scholar search interface. At the top, the Google logo is on the left, and a search bar contains the text "lithium ion battery" with a search button on the right. Below the search bar, the word "Scholar" is displayed in red, followed by the text "About 567,000 results (0.03 sec)". On the left side, there is a sidebar with links: "Articles", "Case law", "My library", "Any time", "Since 2017", "Since 2016", and "Since 2010". The main content area displays two search results. The first result is titled "Lithium-ion battery" in purple, followed by the authors "WG Howard, CL Schmidt, ER Scott" and the patent information "US Patent 7,563,541, 2009 - Google Patents". The abstract text reads: "A battery includes a positive electrode including a current collector and a first active material and a negative electrode including a current collector, a second active material, and a third active material. The first active material, second active material, and third active material are". Below the abstract are links: "Cited by 28", "Related articles", "All 4 versions", "Cite", and "Save". The second result is also titled "Lithium ion battery" in purple, followed by the authors "FU Cheng-Hua, R Xu, CL Han" and the patent information "US Patent App. 12/768,732, 2010 - Google Patents". The abstract text reads: "A lithium ion battery includes a negative electrode, a positive electrode, an separator between the electrodes, and electrolyte for submerging the electrodes. The negative".

Step 2: Collect full abstracts

- The full abstracts must be downloaded one-by-one with the following algorithm:
 - go to website of paper
 - find 3 lines given by Scholar
 - download all other lines

ScienceDirect

Journals Books



Purchase PDF Export

Outline

Abstract

Keywords

1. Introduction
 2. Experimental procedures
 3. Results and discussion
 4. Conclusions
- References

Show full outline



Hydrometallurgy

Volume 68, Issues 1–3, February 2003, Pages 5–10



Reductive leaching of cathodic active materials from lithium ion battery wastes

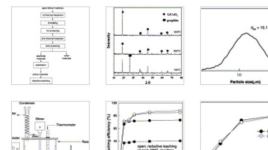
Churl Kyoung Lee^a, Kang-In Rhee^b

Show more

[https://doi.org/10.1016/S0304-386X\(02\)00167-6](https://doi.org/10.1016/S0304-386X(02)00167-6)

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Figures (11)



Abstract

Reductive leaching of LiCoO_2 from spent lithium ion battery was investigated in terms of reaction variables. The leaching efficiency of LiCoO_2 increased with increasing temperature, and concentration of HNO_3 , but with decreasing solid/liquid (S/L) ratio. Li and Co from LiCoO_2 were leached over 95% with the addition of 1.7 vol.% H_2O_2 as a reducing agent. This is due to the reduction of

- Full abstract contains the most important information

Step 3: Create and clean dictionary

- The whole corpus contains 815 394 words (32 064 different words)
- Many of these elements are not important:

LiFePO₄/C of **high purity grade** was successfully **synthesized** by **microwave accelerated sol-gel synthesis** and showed **excellent electrochemical performance** in terms of specific **capacity** and **stability**. This **cathode material** was characterized in **battery configuration** with a **graphite counter electrode** by **USABC-DOE tests** for power-assist **hybrid electric vehicle**. It yielded a non-conventional Ragone plot that represents **complexity of battery functioning** in **power-assist HEV** and shows that the **pulse power capability** and available **energy** of such a **battery** surpasses the **DOE** goal for such an application.

- The system should not learn the grammar and general expressions, because we do not need a full language model (here!)

Step 3: Create and clean dictionary

- Most frequent words are grammatically important, but contain no information regarding the specific field
- We have to identify those words which are significant in our domain

Top of the original dictionary
with the number of occurrences

('the', 53971)

('of', 33299)

('and', 27803)

('a', 26294)

('to', 13502)

('in', 13142)

('battery', 9868)

('for', 9397)

('is', 9049)

('with', 8341)

('capacity', 6908)

('by', 6335)

('at', 6327)

('that', 5661)

('material', 5593)

('are', 4923)

('high', 4544)

('performance', 4459)

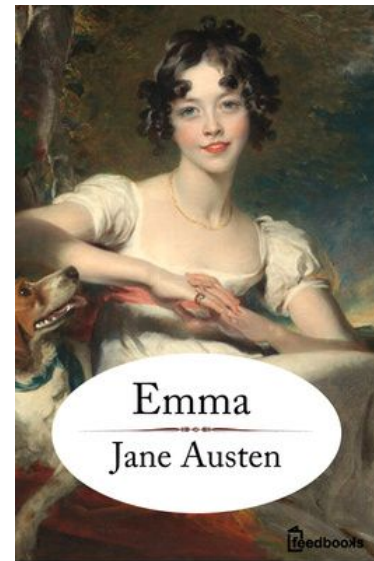
('lithium', 4386)

Step 3: Create and clean dictionary

- Find a corpus which contains common words and the same grammar, but contains no information about our domain:

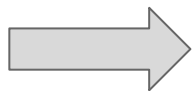
Jane Austen: Emma (written in 1815)

- The first practical battery (Daniell cell was invented in 1836)
- By subtracting this corpus we can remove the non-relevant information
 - words were added back manually to the dictionary: *positive, negative, material, stability, property, performance*
- The cleaned dictionary with contains 27506 different words (only 4558 words were removed)



Step 3: Create and clean dictionary

- The filtered dictionary contains the most relevant words of the domain
- The words/expressions are identified but no context meaning was associated with these words



Find meaningful expressions

Top of the filtered dictionary
with the number of occurrences

('battery', 9868)
('capacity', 6908)
('material', 5593)
('performance', 4459)
('lithium', 4386)
('electrode', 4219)
('electrochemical', 3991)
('anode', 3670)
('cycle', 3548)
('ion', 3025)
('cell', 2925)
('electrolyte', 2782)
('structure', 2474)
('composite', 2360)
('energy', 2248)
('cycling', 2118)
('cathode', 2100)
('current', 2081)
('discharge', 2012)
('temperature', 1942)

Step 3: Create and clean dictionary

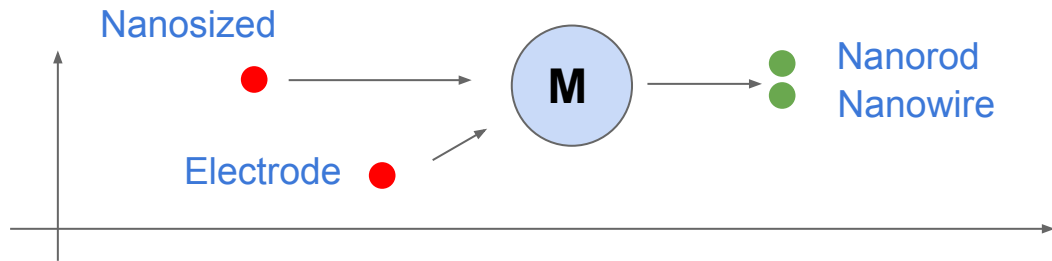
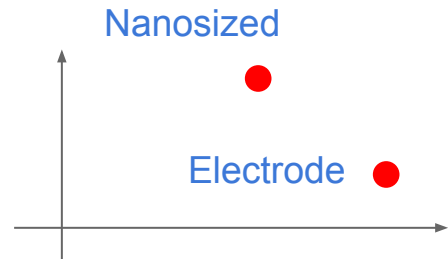
- We do not want to distinguish *battery* from *batteries*
 - stemming the words (finding the roots) is important
- Certain words can always appear together in a phrase
 - Word combination can have a different meaning as the separated words. Chunks/expressions were identified to be handled jointly
- E.g.: “*Electric vehicle*” and “*electric conductivity*” has different meanings and vehicle and conductivity are not necessarily related
- 75618 different chunks were identified

Most frequently appearing chunks

('lithium-ion battery', 1318)
('this paper', 654)
('current density', 597)
('anode material', 564)
('electrochemical performance', 554)
('this work', 426)
('reversible capacity', 391)
('discharge capacity', 374)
('capacity retention', 350)
('cathode material', 292)
('electric vehicle', 249)
('this study', 249)
('high capacity', 246)
('rate capability', 244)
('electrochemical property', 228)
('specific capacity', 224)
('active material', 221)

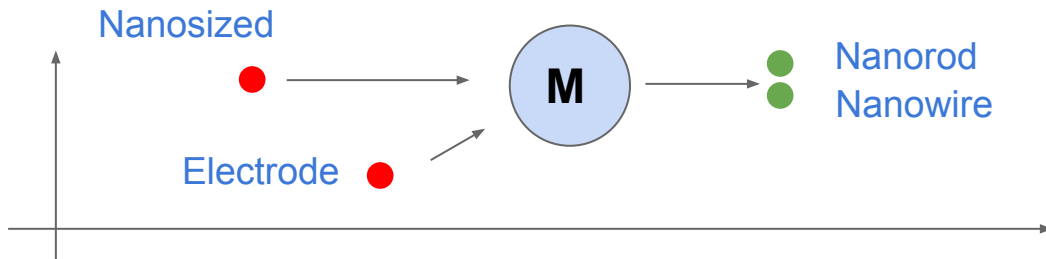
Step 4: Train neural network

- We want to create the vector space of the chunks (words)
- Key idea: “Two words should be **close** if they show up in **similar context**”
- Context = 2 words from the abstract
 - E.g.: Nanosized ● and Electrode ●
- Our Model **M** tries to predict a 3rd word ● from the same abstract
 - E.g.: Nanorod or Nanowire

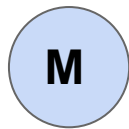


Step 4: Train neural network

- “Nanorod” and “Nanowire” are similar, because they show up in similar contexts



- “Nanorod” and “Liquid” will be far, because they show up in different contexts
- We have to solve 2 tasks together:
 - Find optimal position ● ● for every word in the space (=EMBEDDING)
 - Find the weights of the neural network ● that correctly predicts words ● from context ● ●



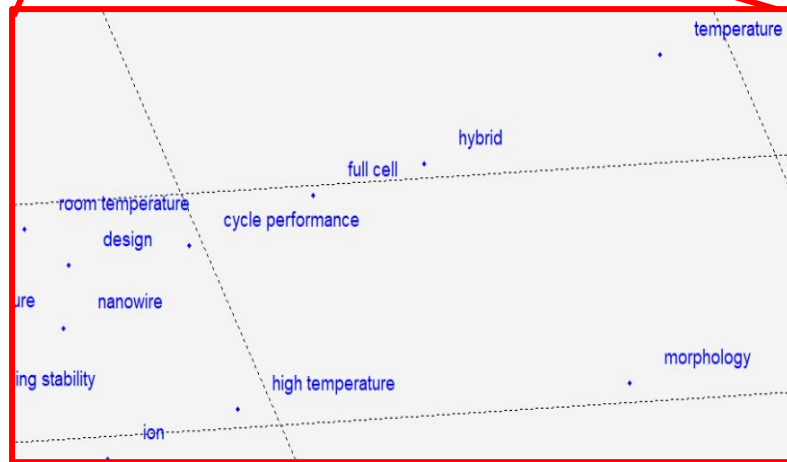
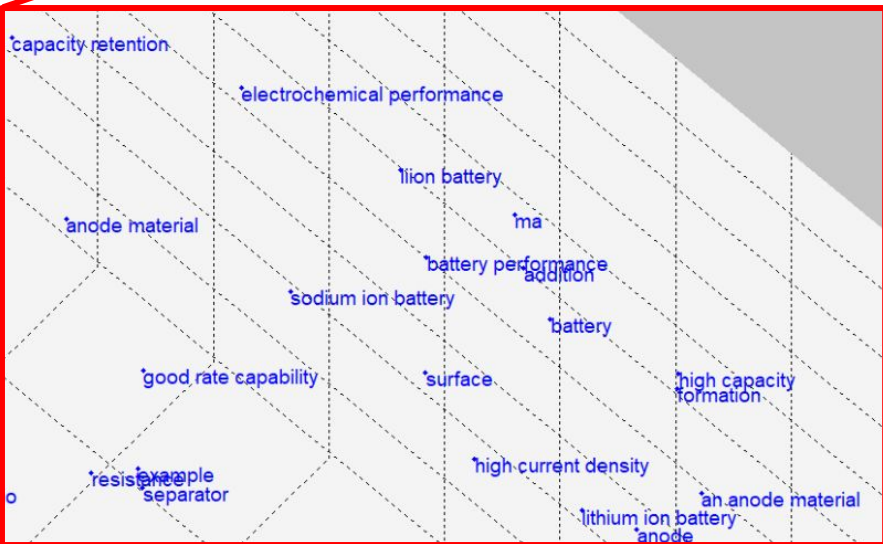
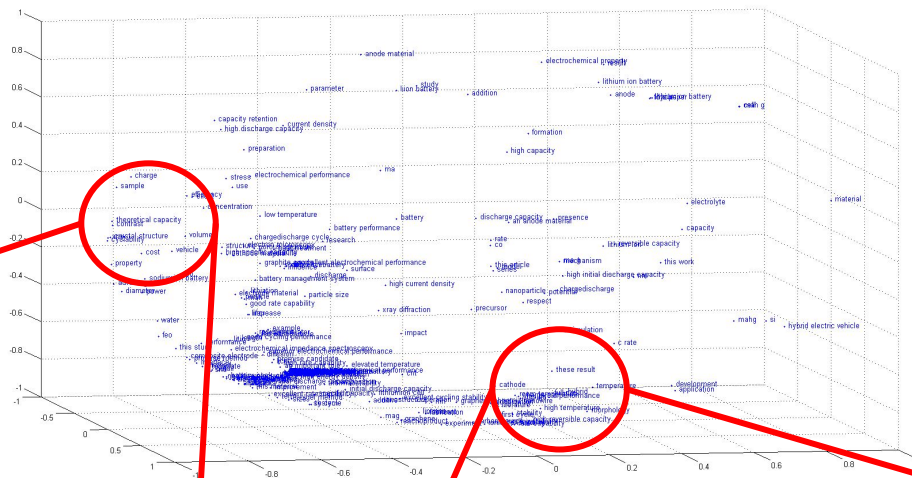
Architecture

- Task 1: chunk -> embedding coordinates (== lookup table)
- Task 2: Approximate the function
 - (chunk 1 embedding, chunk 2 embedding) -> (chunk 3 embedding)
- Input layer is a product of context size and embedding dimension
 - Context size: 2, 3 words
 - Embedding dimension: 3-8
- Hidden layers: 1 or 2
- Output layer: embedding dimension

Training method

- Used both positive and negative samples
 - The ratio of their contribution to total loss is a hyperparameter
- Used TF-IDF weighting
 - More weight to a sample (only) if it shows up more frequently than its average frequency
- Restricted the embedding space to the unit sphere
 - Words can not get too far from each other

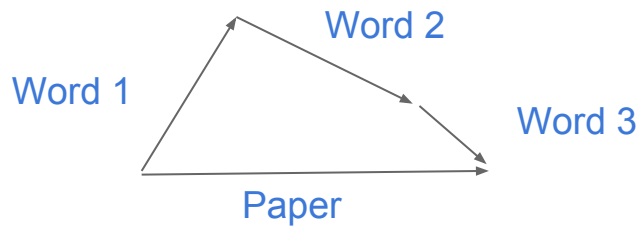
3D representation of the embedded expressions



Step 5: Evaluate documents

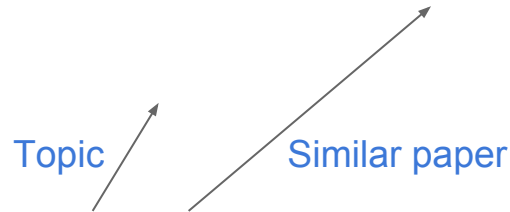
- Most simple model of an academic paper:

$$\text{Paper vector} = \sum \text{Word vectors}$$



- Text parts are similar if their vectors point in similar directions:

Give me the latest paper that talks about this topic:



Created a web-site



Lithium-ion battery with electrolyte additive

Show 5 most similar.

☒ Show 5 most different.

Show Similar Papers

The selected paper was: [Lithium-ion battery with electrolyte additive](#)

The most similar papers were:

[Lithium-ion battery with electrolyte additive](#) Similarity: 0.999999999999999933387

[Lithium ion secondary battery](#) Similarity: 0.93236420941793840544

[Lithium/air battery with variable volume insertion material](#) Similarity: 0.8822923290115893824

[Electrochemical instability of LiV₃O₈ as an electrode material for aqueous rechargeable lithium batteries](#) Similarity: 0.87508524535878073891

[Electrochemical performance of high specific capacity of lithium-ion cell LiV₃O₈/LiMn₂O₄ with LiNO₃ aqueous solution electrolyte](#) Similarity: 0.86019098656391679292

The least similar papers were:

[Nanostructured anode materials for lithium ion batteries](#) Similarity: -0.79009100344205551725

[Engineering nanostructured electrodes away from equilibrium for lithium-ion batteries](#) Similarity: -0.78682487841874115819

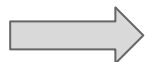
[Fe₃O₄@porous carbon hybrid as the anode material for a lithium-ion battery: performance optimization by composition and microstructure tailoring](#) Similarity: -0.78661168245106771746

[Growth of MoS₂@C nanobowls as a lithium-ion battery anode material](#) Similarity: -0.78655207790604753093

[MoO₂@carbon hollow microspheres with tunable interiors and improved lithium-ion battery anode properties](#) Similarity: -0.78526978336055108798

Step 5: Evaluate documents

- Similarity metric between papers based on the document vector was identified



Similar abstracts, papers can be queried from the system

- Example use case: “Find similar papers to my favourite paper”
 - Input: Fast sol–gel synthesis of LiFePO_4/C for high power lithium-ion batteries for hybrid electric vehicle application (<http://www.sciencedirect.com/science/article/pii/S0378775309010520>)
 - Result 1: Synthesis of lithium-ion battery anode material $\text{Li}_4\text{Ti}_5\text{O}_{12}$ by the microwave assisted sol-gel method (https://www.researchgate.net/publication/290300872_Synthesis_of_lithium-ion_battery_anode_material_Li4Ti5O12_by_the_microwave_assisted_sol-gel_method)
 - Result 2: Efficient microwave hydrothermal synthesis of nanocrystalline orthorhombic LiMnO_2 cathodes for lithium batteries (www.sciencedirect.com/science/article/pii/S0013468610000587)

Finding relevant papers based on a patent

- The search space is limited to papers and the input is a patent.
- E.g: query patent:
 - Dynamically adaptive method for determining the state of charge of a battery
<https://www.google.com/patents/US7768233>
“The subject matter described herein generally relates to batteries used for electric or hybrid electric drivetrains, and more particularly relates to a method for recursively determining a state of charge in a battery system...”
- Most similar papers:
 - Development of toyota plug-in hybrid vehicle
https://www.jstage.jst.go.jp/article/jaev/8/2/8_2_1399/_article
 - Online estimation of lithium-ion battery remaining discharge capacity through differential voltage analysis
<http://www.sciencedirect.com/science/article/pii/S0378775314017510>
 - An adaptive sliding mode observer for lithium-ion battery state of charge and state of health estimation in electric vehicles
<http://www.sciencedirect.com/science/article/pii/S09670666116301149>

Conclusions

- Created a Proof-of-Concept for a tool that can be used for exploring the Lithium-ion battery patents and academic papers
- The tool can be trivially extended to other domains
- Codes are available upon request

