

PERIODIC ORBITS OF $SL(d, \mathbb{R})$

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ABSTRACT. In week 8, we learn about classification results of periodic orbits in $SL(d, \mathbb{R})$, which is closely related to totally real fields.

In this week (week 9), we finish the classification of periodic orbits in $SL(d, \mathbb{R})$, proving that all periodic orbits come from totally real fields. This note contains the contents of these two weeks.

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1. INTRODUCTION

In this note, we fix $G = SL(d, \mathbb{R})$, a standard Iwasawa decomposition $G = KAN$, and $\Gamma = SL(d, \mathbb{Z})$. It is natural to ask the following question

Question 1.1. For which point $x \in G/\Gamma$, the orbit $Ax\Gamma$ is periodic, *i.e.* the stabilizer of $x\Gamma$ forms a lattice in A ?

However more basic question is, does there exist any period A-orbit? The answer is closely related with totally real field. For every totally real field of degree d , we can actually associate a lattice in \mathbb{R}^d , which naturally corresponds to a point in G/Γ , which is the space of all lattices in \mathbb{R}^d modulo homothety.

The first result is

Theorem 1.1. *For each K over \mathbb{Q} a totally real field of degree d , under a Minkowski embedding $K \rightarrow \mathbb{R}^d$, the image of ring of integers \mathcal{O}_K is a lattice in \mathbb{R}^d . Moreover, denote this lattice as $\Lambda(\mathcal{O}_K)$, the corresponding point $x \in G/\Gamma$ gives a periodic orbit $Ax\Gamma$.*

Combining this theorem with the following fact, we know that there are a lot of periodic A-orbits.

Theorem 1.2. *There are infinitely many totally real fields of degree d over \mathbb{Q} .*

Finally, almost every periodic A-orbits appear in this form, with a slight modification.

Theorem 1.3. *Fix a \mathbb{Q} -basis, $\{\alpha_1, \dots, \alpha_d\}$, $\Gamma(\text{span}_{\mathbb{Z}}\{\alpha_1, \dots, \alpha_d\})$ is a lattice in \mathbb{R}^d and corresponds to a periodic orbit. Furthermore, all periodic orbits is of this form.*

This gives the classification of periodic A-orbits in $SL(d, \mathbb{R})$.

2. LATTICES FROM RING OF INTEGERS OF TOTALLY REAL FIELDS

In this section, we explain how to get lattices whose orbit is periodic from totally real fields.

For a field extension K over \mathbb{Q} of degree d . It has exactly d different embedding into the algebraic closed field \mathbb{C} , mapping the primitive element to other roots of its minimal polynomial. Denote these embedding as $\{\sigma_1, \dots, \sigma_d\}$. K is said to be totally real if all these embedding maps K into \mathbb{R} . For totally real field, we have a natural embedding

$$(2.1) \quad \sigma : x \mapsto (\sigma_1(x), \dots, \sigma_d(x))^t,$$

such an embedding is called a Minkowski embedding.

Choose a \mathbb{Q} basis $\alpha_1, \dots, \alpha_d$, then the determinant of matrix $\{\sigma_i(\alpha_j)\}_{1 \leq i, j \leq d}$ is nonzero and equal to $\pm d_K(\alpha_1, \dots, \alpha_d)^{\frac{1}{2}}$ by definition. Here $d_K(\alpha_1, \dots, \alpha_d)$ is the discriminant of field extension with respect to the $K|\mathbb{Q}$ basis $\alpha_1, \dots, \alpha_d$. Recall that the discriminant is also the determinant of the matrix $\{Tr_{K|\mathbb{Q}}(\alpha_i \alpha_j)\}_{1 \leq i, j \leq d}$, implying $Tr_{K|\mathbb{Q}}$ is a non-degenerated bilinear form.

This tells us $\sigma(\alpha_i) = (\sigma_1(\alpha_i), \dots, \sigma_d(\alpha_i))^t, 1 \leq i \leq d$ forms a basis of \mathbb{R}^d , thus its \mathbb{Z} span gives a lattice in \mathbb{R}^d . If $\alpha_1, \dots, \alpha_d$ is chosen to be a \mathbb{Z} -basis of \mathcal{O}_K , which always exists, see for example [1] P12, then the \mathbb{Z} -span of $\sigma(\alpha_i)$ is exactly $\sigma(\mathcal{O}_K)$, so \mathbb{Z} -span of $\sigma(\alpha_i)$ is independent of the choice of \mathbb{Z} -basis of \mathcal{O}_K , they all give the same lattice, in latter context, we denote this lattice as $\Lambda(\mathcal{O}_K)$.

Now we consider the stabilizer of A-orbit $A\Lambda(\mathcal{O}_K)$. We identify $[I_d]$ with the lattice \mathbb{Z}^d modulo homothety, and the $SL(d, \mathbb{R})$ action on lattices is induced by its canonical action on \mathbb{R}^d . More precisely, the action of $T = \text{diag}\{t_1, \dots, t_d\} \in A$ is described as

$$T(\sigma(\alpha_1), \dots, \sigma(\alpha_d)).$$

The condition T lies in the stabilizer of $\Lambda(\mathcal{O}_K)$ implies that

$$(2.2) \quad T(\sigma(\alpha_1), \dots, \sigma(\alpha_d)) = (\sigma(\alpha_1), \dots, \sigma(\alpha_d))\gamma,$$

where $\gamma \in \Gamma$, the left hand side describes how T acts on this lattice, while the right hand side shows after this action, it is still a \mathbb{Z} -basis of $\Lambda(\mathcal{O}_K)$.

In particular, by checking entries in the first column, (2.2) implies

$$t_i \sigma_i(\alpha_1) = \sigma_i(\beta_1)$$

where

$$(\beta_1, \dots, \beta_d) = (\alpha_1, \dots, \alpha_d)\gamma.$$

Now it is natural to define $a = \frac{\beta_1}{\alpha_1}$, then we have $t_i = \sigma_i(a)$.

Combine this with (2.2), we have the following equation holding in K

$$(2.3) \quad a(\alpha_1, \dots, \alpha_d) = (\alpha_1, \dots, \alpha_d)\gamma$$

since all the maps σ_i are field embedding. But (2.3) implies the equation $aI_d - \gamma$ has a left solution, so

$$(2.4) \quad \det(aI_d - \gamma) = 0,$$

which gives a monic polynomial with \mathbb{Z} coefficient that annihilate a . This implies $a \in \mathcal{O}_K$. Thus every element in $Stab_A(\Lambda(\mathcal{O}_K))$ takes the form $diag\{\sigma_1(a), \dots, \sigma_d(a)\}$ for some $a \in \mathcal{O}_K$. Also since T^{-1} also lies in the stabilizer, $T^{-1} = diag\{\sigma_1(b), \dots, \sigma_d(b)\}$ for some $b \in \mathcal{O}_K$. This gives the inverse of a , so $a \in \mathcal{O}_K^\times$.

Moreover, it is clear that if $T \in A$ takes the form $diag\{\sigma_1(a), \dots, \sigma_d(a)\}$ for some $a \in \mathcal{O}_K^\times$, it lies in the stabilizer, since $\{a\alpha_1, \dots, a\alpha_d\}$ is still a \mathbb{Z} -basis of \mathcal{O}_K and norm of elements in \mathcal{O}_K^\times are ± 1 . So up to now, we have proven the following result:

Lemma 2.5. *Consider the map*

$$f : \mathcal{O}_K^\times \rightarrow A$$

$$x \mapsto diag\{\sigma_1(x), \dots, \sigma_d(x)\}$$

Then the stabilizer $Stab_A(\Lambda(\mathcal{O}))$ can be identified with the image of

$$\{x \in \mathcal{O}_K^\times \mid \sigma_i(x) > 0, \forall i\}$$

under f .

According to the following Dirichlet unit theorem, we know the stabilizer is actually a lattice in A .

Theorem 2.6. *(Dirichlet) Suppose K is a number field with degree $d = r + 2s$, which means it has r real embedding $\{\rho_1, \dots, \rho_r\}$, and $2s$ complex embedding that forms s pairs $\{\sigma_1, \bar{\sigma}_1, \dots, \sigma_s, \bar{\sigma}_s\}$. Then the unit of ring of integers \mathcal{O}_K^\times is isomorphic to direct sum of cyclic group μ_K and \mathbb{Z}^{r+s-1} . Moreover, under the map $l : \mathcal{O}_K^\times \rightarrow \mathbb{R}^{r+s}$*

$$(2.7) \quad l(x) = (\log |\rho_1(x)|, \dots, \log |\rho_r(x)|, \log |\sigma_1(x)|^2, \dots, \log |\sigma_s(x)|^2)^t,$$

the image of the \mathbb{Z}^{r+s-1} part is mapped to a lattice in the hyperplane $\sum_{i=1}^{r+s} x_i = 0$.

For a proof, see for example [2] P87.

Thus we know all $A\Lambda(\mathcal{O}_K)$ are periodic orbits. Because the index of $\{x \in \mathcal{O}_K^\times \mid \sigma_i(x) > 0, \forall i\}$ in \mathcal{O}_K^\times is finite, since $(\mathcal{O}_K^\times)^2 \subset \{x \in \mathcal{O}_K^\times \mid \sigma_i(x) > 0, \forall i\}$.

3. CLASSIFICATION OF ALL PERIODIC ORBITS

The main reference of this section is [3] Sec24.

For a general \mathbb{Q} -basis $\{\alpha_1, \dots, \alpha_d\}$ of totally real field K , we can also assign a lattice in \mathbb{R}^d , under Minkowski embedding. Since all elements in K take the form \mathcal{O}_K/\mathbb{Z} , upon multiplying a large integer, we may assume this basis lies in \mathcal{O}_K .

Denote this lattice as Λ and the \mathbb{Z} -span of $\{\alpha_1, \dots, \alpha_d\}$ as $\alpha \in \mathcal{O}_K$. We first consider the \mathbb{Z} -algebra $End_A(\Lambda) := \{T \text{ is a diagonal matrix} \mid T\Lambda \subset \Lambda\}$, then argue just as (2.2) by replacing $\gamma \in \Gamma$ with an $\gamma \in M_d(\mathbb{Z})$, we find out that $T \in End_A(\Lambda)$ takes the form $f(a)$, where f and a are the same as in Sec 2, but this time it is only mapped to a diagonal matrix, not necessarily have determinant 1. Now a still satisfies equation (2.4), this implies $a \in \mathcal{O}_K$.

Define

$$(3.1) \quad \mathcal{O} = \{x \in K \mid x\alpha_i \in \mathbb{Z} - span\{\alpha_1, \dots, \alpha_d\}, 1 \leq i \leq d\},$$

it is clear that $f(\mathcal{O}) \subset End_A(\Lambda)$. From argument above, we see $f(\mathcal{O}) = End_A(\Lambda)$ and $\mathcal{O} \subset \mathcal{O}_K$.

Now we want to show that it is an order, *i.e.* a subring of \mathcal{O} isomorphic to \mathbb{Z}^d . First, since $\Lambda \subset \Lambda(\mathcal{O}_K)$ is also a lattice, it is of finite index in $\Lambda(\mathcal{O}_K)$, so there exist m such that $m\Lambda(\mathcal{O}_K) \subset \Lambda$. We claim that $m\mathcal{O}_K \subset \mathcal{O}$. This is because for $x \in \mathcal{O}_K$

$$(3.2) \quad mf(x)\Lambda \subset m\Lambda(\mathcal{O}_K) \subset \Lambda,$$

thus $f(x) \in \text{End}_A(\Lambda)$, which implies $x \in \mathcal{O}$. Now we have proved that

$$(3.3) \quad m\mathcal{O}_K \subset \mathcal{O} \subset \mathcal{O}_K$$

and by definition \mathcal{O} is a subring, so \mathcal{O} is an order.

Similarly, we can show

$$(3.4) \quad f(\mathcal{O}^\times) = \text{Aut}_A(\Lambda),$$

where $\text{Aut}_A(\Lambda) := \{T \text{ diagonal}, \det(T) = \pm 1 | T\Lambda = \Lambda\}$, $\text{Stab}_A(\Lambda)$ is a subgroup of $\text{Aut}_A(\Lambda)$ of finite index.

Notice that if we can show \mathcal{O}^\times has finite index in \mathcal{O}_K^\times , then due to the fact that $\text{Stab}_A(\Lambda)$ is a lattice in A , $\text{Stab}_A(\Lambda)$ is also a lattice in A , *i.e.* $A\Lambda$ is a periodic orbit. Now we prove this claim.

Denote $n = \#\text{Aut}(\Lambda/m\Lambda(\mathcal{O}_K))$, n is finite since automorphism group of a finite group is finite. For every $x \in \mathcal{O}_K^\times$, $f(x^n) = \text{id}_{\Lambda/m\Lambda(\mathcal{O}_K)}$. So

$$(3.5) \quad x^n(\alpha_1, \dots, \alpha_d) = (\alpha_1, \dots, \alpha_d) + (\alpha_1, \dots, \alpha_d)\gamma.$$

Here γ is just a d -by- d matrix of integer entries, and $(\alpha_1, \dots, \alpha_d)\gamma$ lies in $m\mathcal{O}_K$. Mapped into \mathbb{R}^d , this gives

$$(3.6) \quad f(x^n)(\sigma(\alpha_1), \dots, \sigma(\alpha_d)) = (\sigma(\alpha_1), \dots, \sigma(\alpha_d)) + (\sigma(\alpha_1), \dots, \sigma(\alpha_d))\gamma.$$

Since $f(x)$ has determinant ± 1 , taking determinant on both side of (3.6) tells us that $\det(I_d + \gamma) = \pm 1$. This is equivalent to $f(x) \in \text{Aut}_A(\Lambda)$, and equivalent to $x \in \mathcal{O}^\times$. Now we have proved $(\mathcal{O}_K^\times)^n \subset \mathcal{O}^\times$, implying \mathcal{O}^\times has finite index in \mathcal{O}_K^\times (because the \mathbb{Z} -rank is finite). Now we have proved

Proposition 3.7. *Lattices Λ taking the above form give periodic A -orbits $A\Lambda$.*

Actually, all periodic orbits are of this form.

Theorem 3.8. *All periodic A -orbits are of the form $AD\Lambda$, where Λ is defined as above, and D is an invertible diagonal matrix.*

Remark 3.9. Adding the action of an invertible diagonal matrix does not affect the result much, since it commutes with A . Since we only consider lattices modulo homothety, the determinant of D also does not matter.

Proof. Fix a periodic orbit $A\Lambda$ in G/Γ , then $\text{Stab}_A(\Lambda) \cong \mathbb{Z}^{d-1}$ is a lattice in A . Take T_1, \dots, T_{d-1} to be a \mathbb{Z} -basis of $\text{Stab}_A(\Lambda)$, and $\{v_1, \dots, v_d\}$ a \mathbb{Z} -basis of Λ . Due to definition of stabilizer, there exists $\gamma_i \in SL(d, \mathbb{Z})$ such that

$$(3.10) \quad T_i(v_1, \dots, v_d) = (v_1, \dots, v_d)\gamma_i.$$

Denote $g \in SL(d, \mathbb{R})$, such that $ge_i = v_i$. Then $T_i = g^{-1}\gamma_i g$, so T_i and γ_i have the same characteristic polynomial. According to the Cayley-Hamilton theorem, the characteristic polynomial annihilate the matrix, and the characteristic polynomial of γ_i is a monic polynomial with integer coefficient, thus T_i is also a 'root' of some monic integer coefficient polynomial. Also, due to that all eigenvalues of T_i are real, such monic integer coefficient polynomials all only have real roots.

Now we naturally have a commutative algebra $K = \mathbb{Q}[T_1, \dots, T_{d-1}]$, and a lattice $T_1^{\mathbb{Z}} \cdots T_{d-1}^{\mathbb{Z}} \subset \text{Stab}_A(\Lambda)$. In previous case, $\text{Stab}_A(\Lambda)$ is a subring of \mathcal{O}_K^\times . In order to recover the field from it, we need to find a primitive element in $\text{Stab}_A(\Lambda)$.

First we find an element in stabilizer with distinct eigenvalues. This is possible since after taking logarithm,

$$(3.11) \quad T_1^{\mathbb{Z}} \cdots T_{d-1}^{\mathbb{Z}} \rightarrow \text{a lattice in } H$$

Here H is the hyperplane in \mathbb{R}^d with $\sum_i x_i = 0$. Define the proper subspace $H_{i,j} := \{(x_k) \in H \mid x_i = x_j\}$, $i \neq j$ of H . We can not choose a matrix in $\text{Stab}_A(\Lambda)$ with distinct eigenvalues, then its image in H lies in the union of finitely many proper subspace $H_{i,j}$. So does the \mathbb{Q} -span of this lattice in H . Because there are only finitely many $H_{i,j}$, and every vector in \mathbb{R} -span of the lattice is the limit of some sequence in \mathbb{Q} -span, we see that the \mathbb{R} -span of lattice, which is H , lies in the finite union of $H_{i,j}$ (This follows from each $H_{i,j}$ is closed). But it is a standard fact that a vector space can not be written as a finite union of proper subspace, we derive a contradiction. Thus we can choose a $T \in \text{Stab}_A(\Lambda)$ with distinct eigenvalues.

Then we want to show its characteristic polynomial is irreducible. Denote f_T as its characteristic polynomial. From discussion above, we know it is a monic polynomial with integer coefficients and of degree d . We argue by contradiction. By Gauss lemma, it suffices to show f_T is irreducible over \mathbb{Z} .

Assume f_T is reducible in $\mathbb{Z}[x]$, with $f_T = gh$ such that g is a monic irreducible integer polynomial of degree $m < d$. Denote l the splitting field of f_T , it is clearly a Galois extension over \mathbb{Q} . Denote its Galois group as $\text{Gal}(l/\mathbb{Q})$. The polynomial g has roots $\alpha_1, \dots, \alpha_m$ in l . They are eigenvalues of T and $g^{-1}Tg$. Recall that $g^{-1}Tg \in SL(d, \mathbb{Z})$.

Choose v_1 an row eigenvector of α_1 of $g^{-1}Tg$ in l^d , satisfying

$$(3.12) \quad v_1 g^{-1}Tg = \alpha_1 v_1.$$

Choose elements σ_i in $\text{Gal}(l/\mathbb{Q})$ such that $\alpha_i = \sigma_i(\alpha_1)$ ($\sigma_1 = id$). Then $\sigma_i(v_1)$ gives the eigenvector of $\sigma_i(\alpha_1)$. Here the action is just given by acting on each entry, and note that the matrix is unchanged under Galois group action because it has integer entries. Also $\{\sigma_i(\alpha_1)\} = \{\tau(\alpha_1) \mid \tau \in \text{Gal}(l/\mathbb{Q})\}$ because $\tau(\alpha_1)$ must have the same minimal polynomial as α_1 .

We have obtained a subspace $W = \text{span}_{\mathbb{R}}\{\tau(v_1) \mid \tau \in \text{Gal}(l/\mathbb{Q})\}$. It is clearly stable under $\text{Gal}(l/\mathbb{Q})$ and $g^{-1}Tg$, and it has dimension m because every generator $\tau(v_1)$ is a eigenvector of some α_i , each eigenvalue has multiplicity 1.

According to a standard fact of Galois action on vector spaces, the fact $W \subset l^d$ is stable under $\text{Gal}(l/\mathbb{Q})$ implies that it has a basis consisting of vectors in \mathbb{Q}^d , thus also a basis consisting of vectors in \mathbb{Z}^d . Denote this basis as w_1, \dots, w_m . According to structure theorem of finitely generated Abelian group, it is possible to extend it to a \mathbb{Z} -basis $\{w_1, \dots, w_d\}$ of \mathbb{Z}^d (By considering W as a finitely generated free \mathbb{Z} -module of \mathbb{Z}^d , it suffices to show for a basis look like $m_1 e_1, \dots, m_{d-1} e_{d-1}$, it can be extended to a \mathbb{Z}^d basis. But this case is easy).

Under $\{w_1, \dots, w_d\}$, $g^{-1}Tg$ is still a matrix of integer entries, and it is now block upper triangular because W is an invariant subspace. Write

$$(3.13) \quad g^{-1}Tg = \begin{pmatrix} A_m & * \\ 0 & C_{d-n} \end{pmatrix}$$

in the block uppertriangular form. We notice that since $g^{-1}T^{-1}g$ commutes with T , it also takes this form, and it also has integer entries. This shows that A_m has its inverse with also integer entries, *i.e.* $A_m \in SL(m, \mathbb{Z}^d)$. Because all eigenvalues of T are positive by definition of A_m , we have $\alpha_i > 0, 1 \leq i \leq m$, thus $\det A_m = 1$.

Since $g^{-1}Hg$ has integer entries for every $H \in \text{Stab}_A(\Lambda)$, and they all commutes with T , the same argument shows they all take the form like (3.12) and with $\det A_m = 1$. But this adds an additional constrain that the product of first m eigenvalues of matrices in $\text{Stab}_A(\Lambda)$ equal to 1, contradicting the fact that it is a lattice in A isomorphic to \mathbb{Z}^{d-1} . Thus we have shown that f_T is irreducible.

Denote a root of f_T as α_1 , and $T = \text{diag}\{\alpha_1, \dots, \alpha_d\}$. Then $K = \mathbb{Q}[\alpha_1]$ is a totally real field of degree d because f_T only has real roots (T only have real eigenvalues). Denote $g^{-1}Tg = \gamma \in SL(d, \mathbb{Z})$. Write g in the form

$$(3.14) \quad g = \begin{pmatrix} u_1 \\ u_2 \\ \dots \\ u_d \end{pmatrix}$$

Now $g^{-1}Tg = \gamma$ implies $\alpha_i u_i = u_i \gamma$. Choose $v_1 \in K^d$ be a row eigenvector of α_1 (it exists since $\alpha_1 \in K$), then from arguments before, we know there exists d different embedding of K , $\sigma_i, 1 \leq i \leq d, \sigma_1 = id$, such that $\alpha_i = \sigma_i(\alpha_1)$, and $\sigma_i(v_1)$ is a eigenvector of α_i . Since u_i is also an eigenvector of α_i , we have $u_i = h_i \sigma_i(v_1)$ for some nonzero $h_i \in \mathbb{R}$. Denote $v_1 = \{\beta_1, \dots, \beta_d\} \in K^d$.

Notice the matrix $V = \begin{pmatrix} v_1 \\ \sigma_2(v_1) \\ \dots \\ \sigma_d(v_1) \end{pmatrix}$ gives exactly the lattice

$$V\mathbb{Z}^d = \text{span}_{\mathbb{Z}}\{\sigma(\beta_1), \dots, \sigma(\beta_d)\},$$

which is the form we need (Here β_i is automatically a basis of K because V is invertible due to the fact that eigenvectors of T are linearly independent). Thus the lattice $\Lambda = g\mathbb{Z}^n = HV\mathbb{Z}^d$, where H is the diagonal matrix $H = \text{diag}\{h_1, \dots, h_d\}$, which takes the form we need in the statement of theorem. \square

It remains to show when two lattices are the same. For $\mathfrak{a}_1, \mathfrak{a}_2$ two full module in K_1 and K_2 . They determine two lattices $\Lambda(\mathfrak{a}_1), \Lambda(\mathfrak{a}_2)$. If they give the same periodic orbit, then they have the same stabilizer. Since the stabilizer correspond to an order, and from the argument above, we see it contains a primitive element, this implies that K_1 and K_2 have primitive element with same minimal polynomial (both correspond to the characteristic polynomial of correspondent matrix in stabilizer). So with out loss of generality, we may assume $K_1 = K_2$.

Now it suffices to consider when two full modules give the same periodic orbit. A straight forward argument shows $A\mathfrak{a}_1 = A\mathfrak{a}_2$ if and only if there exists a β , with $\sigma_i(\beta) > 0, \forall i$, such that $\mathfrak{a}_2 = \beta\mathfrak{a}_1$.

4. DISCRIMINANT AND REGULATOR

For a periodic orbit $A\Lambda$, we can assign several invariant to it. For example the order

$$\text{End}_A(L) = \text{End}(L) \cap \mathbb{R}[A],$$

the regulator

$$R = \text{vol}(A\Lambda) = \text{vol}(A/\text{Stab}_A(\Lambda)),$$

and the discriminant

$$D = \text{vol}(A\Lambda/\text{End}_A(L)).$$

When Λ comes from a full module of totally real field K , with order \mathcal{O} . Then

$$(4.1) \quad R = 2^m \text{Reg}(\mathcal{O}),$$

where $2^m = |\mathcal{O}^\times / (\pm \mathcal{O}_+^\times)|$ and $\text{Reg}(\mathcal{O})$ is just the usual regulator. Here \mathcal{O}_+^\times are elements in \mathcal{O}^\times with positive images under all σ_i . Since we are considering $\text{Stab}_A(\Lambda)$, we need to replace some elements in the \mathbb{Z} -basis $\{u_1, \dots, u_{d-1}\}$ of \mathcal{O}^\times with u_i^2 , producing the coefficient 2^m .

5. NORM OF PERIODIC A-ORBIT

We define the norm $N : \mathbb{R}^d \rightarrow \mathbb{R}$, by

$$N(x) = |x_1 \cdots x_d|,$$

where x_i are the d components of vector x . For a given Minkowski embedding $\sigma : K \rightarrow \mathbb{R}^d$, $N \circ \sigma$ is just the absolute value of norm.

It behaves well under A -action, since A has positive entries and determinant 1. We have

$$N(Ax) = N(x).$$

From the AM-GM Inequality, it is clear that

$$(5.1) \quad \sqrt{d}N(x)^{1/d} \leq \|x\|,$$

where the right hand side is the usual Euclidean norm. Using Mahler's principle, we have the following theorem:

Theorem 5.2. *The orbit $A\Lambda$ has compact closure if and only if $N(\Lambda) > 0$. Here $N(\Lambda) = \inf\{N(x) : x \in \Lambda, x \neq 0\}$.*

Proof. If $N(\Lambda) > 0$, for all $a \in A$, $N(a\Lambda) = N(\Lambda) > 0$ uniformly. Thus from (5.1) and Mahler's principle, we deduce that it has compact closure.

If it has compact closure, then from Mahler's principle, $L(A\Lambda) > 0$, where $L(A\Lambda) = \inf\{\|x\| : x \in a\Lambda, a \in A, x \neq 0\}$. So there exists a positive real number r , such that $\|ax\| > r$ for all $a \in A$ and nonzero $x \in \Lambda$.

For each nonzero $x \in \Lambda$, we can find a suitable $a \in A$, such that ax is of the form $(x_1, x_2, \dots, x_d)^t$ with all $|x_i|$ equal. Thus

$$(5.3) \quad N(x) = N(ax) = \left(\frac{\|ax\|}{\sqrt{d}}\right)^d \geq \left(\frac{r}{\sqrt{d}}\right)^d > 0$$

holds, which implies $N(\Lambda) > 0$. □

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