



**NANYANG  
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# Discrete Mathematics

## MH1812

### Topics 5 and 6 Summary

# Principle of Counting: Filling $r$ Slots With $n$ Choices

There are  $n$  elements, with which to fill  $r$  slots.

## Can Be Repeated

When elements **can be** repeated using the principle of counting:  
 $n * n * \dots * n = n^r$  **choices.**

$n$   $n$   $\dots$   $n$   
— — — — —  
1 2 . . .

## Cannot Be Repeated

When elements **cannot be** repeated:

- $n$  choices for first slot
- $n - 1$  choices for second slot
- $n - (r - 1)$  choices for last slot
- In total:  $n(n - 1)(n - 2)\dots(n - r + 1)$  choices

$n$   $n-1$  . . .  
— — — — —  
1 2 . . .  $r$

# Permutations: $P(n,r)$

$$\underline{n} \quad \underline{n-1} \quad \dots \quad \underline{1}$$

Number of permutations of  $n$  objects

$$n*(n-1)*(n-2)*\dots*2*1 = n!$$

where  $n!$  is called  $n$  factorial.

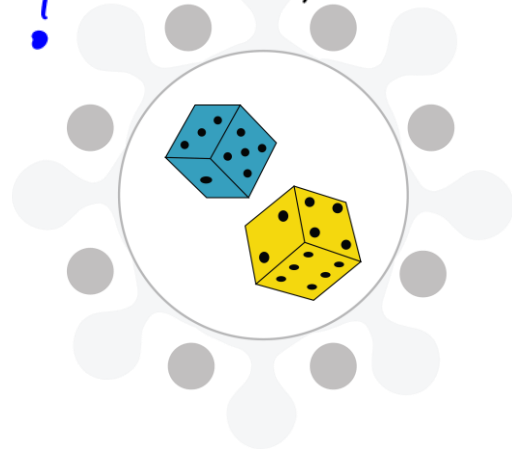
Number of permutations of  $n$  objects taken  $r$  at a time ( $n$  objects, the number of ways in which  $r$  items can be ordered):

$$P(n,r) = n(n-1)(n-2)\dots(n-r+1) = n!/(n-r)!$$

where  $n! = n*(n-1)*(n-2)*\dots*2*1$  (called  $n$  factorial).

$$1 = 0! \quad \checkmark$$

$$C(n,r) = \frac{P(n,r)}{r!} = \binom{n}{r}$$



$$3! = 3 \cdot 2 \cdot 1$$

$$2! = 2 \cdot 1$$

$$1! = 1$$

$$0! = \checkmark \text{ nothing!}$$

# Example

> 0

no repeated digits  
↓

How many positive integers less than 1000 have distinct digits?

173 ✓

252 ✗

1  
2  
3  
⋮  
9  
10  
99

1 digit

2 digits

3 digits

↑  
9

↑    ↑  
9    9

↑    ↑    ↑  
9    9    8

(cannot be same as first digit & can be 0)

~~999~~

$$\text{Total} = 9 + 9 \cdot 9 + 9 \cdot 9 \cdot 8 = 738$$

2 digits

claim: # 2 digit number w/ distinct digits = 81

10	20	30	...	90
<del>11</del>	21	:		91
:	<del>22</del>	<del>33</del>		:
:	:	:		:
19	29	39		<del>99</del>

$$\begin{aligned}\text{Total} &= 9 \cdot 10 - 9 \\ &= 81\end{aligned}$$

# Permutations: Distinguishable Permutations

In general, the number of distinguishable permutations from a collection of objects, where the first object appears (repeats)  $k_1$  times, the second object  $k_2$  times, ... for  $r$  distinct objects:

$$n! / (k_1! k_2! \dots k_r!)$$

"MISSISSIPPI"

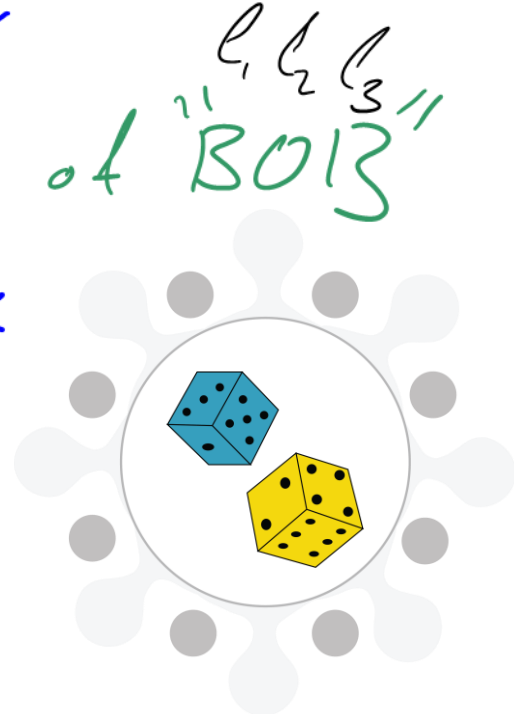
E.g., distinguishable permutations of "BOB"

$l_1 l_2 l_3$   
 $l_1 l_3 l_2$   
 $l_2 l_1 l_3$   
 $l_2 l_3 l_1$   
 $l_3 l_1 l_2$   
 $l_3 l_2 l_1$

BOB  
BBO  
OBB  
OBB  
BBB  
BOB

distinguishable

BOB  
BBO  
OBB



# Introduction to Recurrence Relation: Definition



A **recurrence relation** is an equation that **recursively defines a sequence**, i.e., each term of the sequence is defined as a function of the preceding terms.

A recursive formula must be accompanied by **initial conditions** (information about the beginning of the sequence).

$r_n$  = "expression in term of earlier elements"

want: expression  
for  $r_n$   
in terms of  
 $n$



# Backtracking: Solving Recurrence Relation



**Backtracking** is a technique for finding explicit formula for recurrence relation.

$$a_1 = 2; a_2 = 5; a_3 = 8; \dots$$

tidy up  
↓



**Example**

$$a_n = a_{n-1} + 3 \text{ and } a_1 = 2$$

↗

$$a_{n-1} = a_{n-2} + 3$$

Limitation : gets messy

$$\begin{aligned} a_n &= a_{n-1} + 3 = (a_{n-2} + 3) + 3 = a_{n-2} + 2*3 \\ &= (a_{n-3} + 3) + 2*3 = a_{n-3} + 3*3 \\ &= (a_{n-4} + 3) + 3*3 = a_{n-4} + 4*3 \\ &\dots \end{aligned}$$

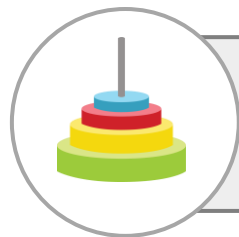
$$= a_1 + (n - 1)*3$$

$$a_n = 2 + (n - 1)*3$$

find  
Pattern

# Characteristic Equation: Homogenous Relation of Degree $d$

$a_n = a_{n-1}^2$  ~~X~~ *no constant term*



A linear homogeneous relation of degree  $d$  is of the form:

$$a_n = c_1 a_{n-1} + c_2 a_{n-2} + \dots + c_d a_{n-d}$$

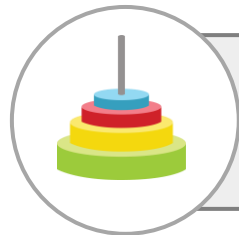
*constants* (pointing to  $c_1, c_2, \dots, c_d$ )

E.g.,:

- The Fibonacci sequence
- The relation:  $a_n = 2a_{n-1}$  (**degree 1**)
- But **not** the relation:  $a_n = 2a_{n-1} + 1$  ~~X~~

*typically  $d=1$   
 $d=2$*

*not homogeneous*



The **characteristic equation** of the above relation is:

$$x^d = c_1 x^{d-1} + c_2 x^{d-2} + \dots + c_d$$

# Characteristic Equation: Theorem ↖ $d=2$

If the **characteristic equation**  $x^2 - c_1x - c_2 = 0$  (of the recurrence relation  $a_n = c_1a_{n-1} + c_2a_{n-2}$ ) has:

← Step 1

- two distinct roots  $s_1, s_2$ , then the explicit formula for the sequence  $a_n$  is

$$a_n = us_1^n + vs_2^n$$

Step 2  
quadratic formula  
get  $s_1, s_2$

- a single root  $s$ , then the explicit formula for  $a_n$  is

$$a_n = us^n + vns^n$$

Step 3

where  $u$  and  $v$  are determined by initial conditions. Find  $u$  &  $v$

Step 3

Page 17, Topic 6

E.g.,  $\frac{u+v}{2} + \frac{u-v}{2}\sqrt{5} = 2 \quad (1)$

$$3\frac{(u+v)}{2} + \frac{u-v}{2}\sqrt{5} = 3 \quad (2)$$

$$(2) - (1): \quad u + v = 1 \quad (3)$$

$$3(1) - (2): \quad (u-v)\sqrt{5} = 3 \quad (4)$$

$$(4) + \sqrt{5}(3): \quad 2\sqrt{5}u = \sqrt{5} + 3$$
$$\Rightarrow u = \frac{3 + \sqrt{5}}{2\sqrt{5}}$$

$$\text{Plug } u \text{ into (3):} \quad v = \frac{\sqrt{5} - 3}{2\sqrt{5}}$$

Proof (Characteristic equation method works)

For sequence  $(a_0, a_1, \dots)$  with  $a_n = c_1 a_{n-1} + c_2 a_{n-2}$  and  $a_0 = \text{"given"}$ ,  $a_1 = \text{"given"}$

$$\text{WTS: } \forall n \in \mathbb{N}, a_n = u s_1^n + v s_2^n$$

(This is the case  
when  $s_1 \neq s_2$ )

where  $s_1, s_2$  are roots of  $x^2 - c_1 x - c_2 = 0$   
and  $a_0 = u + v$ ,  $a_1 = u s_1 + v s_2$

By induction: Base cases,  $n=0$  &  $n=1$ ,  $a_0 = u s_1^0 + v s_2^0$  ✓  
 $a_1 = u s_1^1 + v s_2^1$  ✓

Inductive hypothesis: assume  $a_k = u s_1^k + v s_2^k$  &  $a_{k-1} = u s_1^{k-1} + v s_2^{k-1}$   
 $\underbrace{\hspace{10em}}_{P(k)} \quad \underbrace{\hspace{10em}}_{P(k-1)}$

It remains to show that

$$P(k) \wedge P(k-1) \rightarrow P(k+1)$$

Start with LHS of  $P(k+1)$ :

$$a_{k+1} = c_1 a_k + c_2 a_{k-1}$$

now use inductive hypothesis  
on  $a_k$  &  $a_{k-1}$

$$= c_1 (u s_1^k + v s_2^k) + c_2 (u s_1^{k-1} + v s_2^{k-1})$$

$$= u (c_1 s_1^k + c_2 s_1^{k-1}) + v (c_1 s_2^k + c_2 s_2^{k-1}) \quad (\neq)$$

Now, recall that  $s_1^2 - c_1 s_1 - c_2 = 0$

$$\Rightarrow s_1^2 = c_1 s_1 + c_2$$

$$\Rightarrow s_1^{k-1} \cdot s_1^2 = c_1 s_1 \cdot s_1^{k-1} + c_2 s_1^{k-1}$$

$$s_1^{k+1} = c_1 s_1^k + c_2 s_1^{k-1}$$

← same for  $s_2$

So  $(\neq)$  becomes

$$a_{k+1} = u s_1^{k+1} + v s_2^{k+1}.$$

This completes the proof by induction.

# General sequences (Bonus material)

← not assessed!  
(even in final)



- 1
- 11
- 21
- 1211
- 111221
- 312211

What is the next term in the sequence?

"Look and Say"



# Series (Bonus material)

A **series** is the *sum* of terms in a sequence.

E.g., given the sequence  $a_0, a_1, a_2, a_3, a_4, \dots$

the corresponding series is  $S(n) = \sum_{k=0}^n a_k$



## Example

-  $0, 1, 2, 3, 4, \dots$

$$(a_k = k)$$

-  $1, -1, 1, -1, 1, -1, \dots$

$$(a_k = (-1)^k)$$

$$S(n) = \sum_{k=0}^n k$$

$$S(n) = \sum_{k=0}^n (-1)^k$$

# The Geometric Series (Bonus material)

The geometric series:  $S(n) = \sum_{k=0}^n a_k$  where  $a_k = r^k$  for some  $r$



## Example

- $1, 1, 1, 1, 1, \dots$  ( $a_k = 1$ )
- $1, -1, 1, -1, 1, -1, \dots$  ( $a_k = (-1)^k$ )
- $1, 2, 4, 8, 16, 32, \dots$  ( $a_k = 2^k$ )

$$\sum_{k=0}^n 1 = 1 + 1 + 1 \dots + 1 = n + 1$$

$$\sum_{k=0}^n (-1)^k = ?$$

$$\sum_{k=0}^n 2^k = ?$$

We can show that  $S(n) = \sum_{k=0}^n r^k = \frac{1 - r^{n+1}}{1 - r}$   
for  $r \neq 1$

$$\text{WTS: } S(n) = \sum_{k=0}^n r^k = \frac{1-r^{n+1}}{1-r}$$

Direct proof

$$(1-r)S(n) = S(n) - rS(n)$$

$$= 1 + \cancel{r} + \cancel{r^2} + \dots + \cancel{r^n} - (\cancel{r} + \cancel{r^2} + \dots + \cancel{r^n} + r^{n+1})$$

$$= 1 - r^{n+1}$$

for  $r \neq 1$

$$\Rightarrow S(n) = \frac{1-r^{n+1}}{1-r}$$

Proof (by induction)

WTS:  $S(n) = \sum_{k=0}^n r^k = \frac{1-r^{n+1}}{1-r}$

↑  
 $P(n)$

Base case  $n=0$

LHS:  $\sum_{k=0}^0 r^k = r^0 = 1$

RHS:  $\frac{1-r^{0+1}}{1-r} = \frac{1-r}{1-r} = 1$  ✓

Inductive step: Assume  $\sum_{k=0}^n r^k = \frac{1-r^{n+1}}{1-r}$

LHS for  $P(n+1)$ :  $\sum_{k=0}^{n+1} r^k = \sum_{k=0}^n r^k + r^{n+1}$

$$= \frac{1-r^{n+1}}{1-r} + r^{n+1}$$

$$= \frac{1-r^{n+1} + (1-r)r^{n+1}}{1-r}$$

$$= \frac{1-r^{n+1} + r^{n+1} - r^{n+2}}{1-r} = \frac{1-r^{n+2}}{1-r}$$