# COMP3173 Compiler Construction Introduction

Dr. Zhiyuan Li

### Outline

- Course Information
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  - Outline
  - Assessment
  - Textbook

# Contact

Section	Instructor	TA		Timetable
1001	Dr. Zhiyuan Li	Ms. Cici Chong Chen	Lecture	Wed. 10:00-11:50 T5-701
	goliathli@uic.edu.cn	chongchen@uic.edu.cn	Lecture	Fri. 9:00-9:50 T4-401
	T3-502-R6	T3-602-R25-H8	Tutorial	Tue. 17:00-17:50 TBD
1002	Dr. Wentao Cheng	Ms. Ruby Zhou	Lecture	Tue. 11:00-11:50 T5-505
	wentaocheng@uic.edu.cn	rubinzhou@uic.edu.cn	Lecture	Wed. 10:00-11:50 T29-405
	T7-102-R13	T3-502-R26-H5	Tutorial	TBD
1003	Dr. Monica Wen Chen	Ms. Lily Chengyan Lin	Lecture	Mon. 10:00-11:50 T29-303
	wenchen@uic.edu.cn	chengyanlin@uic.edu.cn	Lecture	Tue. 10:00-10:50 T5-705
	T6-302-R5	T3-602-R25	Tutorial	Wed. 12:00-12:50 TBD

### Assessment

- Assignment 20%
- Project 40%
- Final exam 40%
- MR sections and FE sections will be graded separately.
- Low final exam score will downgrade the letter grade.

Final Exam Score	Highest Letter Grade
0 - 19	F
20 - 24	D
25 - 30	C-

# Regulations

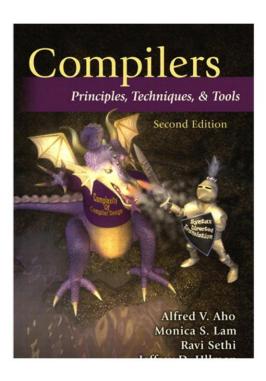
- Plagiarism is not allowed.
- "But why do I have to state this to Y3 students?" Goliath
- If you have difficulties in the project, you can do some parts and get the most of the marks.
- Relax!

#### LLM

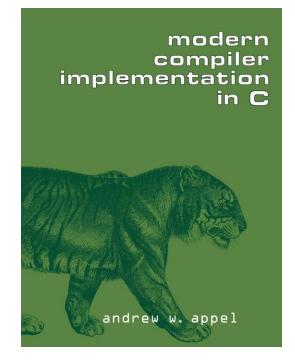
- For LLMs, students should follow these.
  - Consider LLM as "a 24-hour teacher".
  - LLMs / Teachers can answer you questions.
  - LLMs / Teachers cannot do assignments for you.
  - Students cannot use LLMs / teachers in exams.
- For example, if you want to know how to do  $17 \times 23$ 
  - you can ask LLM / teacher "how to calculate multiplication?"
  - If you ask "what is  $17 \times 23$ ", your teacher will not answer.

### **Textbook**

Dragon book



Tiger book



#### Some Reasons

There are some good reasons why you need to study this subject.

- To understand developing tools.
- The contents are related to some other subjects in CS.
- Useful in data language specifications.
- Create your own programming language.

### Introduction to Compiler construction

#### **Outline**

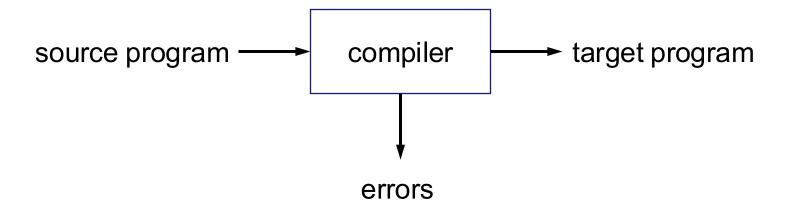
- Definition
- Main phases
- Analysis
- Intermediate code generation
- Synthesis
- Some other components

### Definition

- A compiler is a program that reads a program written in one programming language (the source language) and translates it into an equivalent program in another programming language (the target language).
- "Equivalent" means the target program does exactly the same thing as the source program.
- Target language can be
  - some other general purpose programming languages, like C, C++, Java;
  - machine languages understood by microprocessors; or
  - other domain-specific languages, like PostScript used by printers.

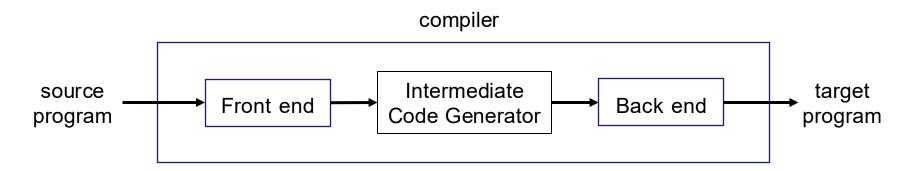
### **Error Handling**

- The source program may contain some errors.
- If errors occur the compiler must detect all errors.



#### Main Phases

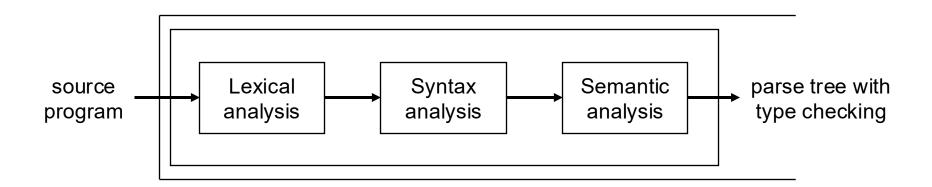
- A compiler is not one big piece of software. It has a sequence of phases.
- On the high level:
  - Input: source program
  - Source Code Analysis (front end)
  - Intermediate Code Generator
  - Synthesis (back end) Output: target program



# Analysis (Front End)

#### Analysis comes in three phases:

- Lexical analysis
- Syntax analysis
- Semantic analysis



### Lexical Analysis

- The program does lexical analysis is called lexer or scanner.
- Reads the input program character by character as a stream.
- Splits the stream into lexemes, means "lexical elements".
- Classifies each lexeme into a category of lexemes, called token.
- Same as tokenizer in natural language processing. It splits the text into words.
- In most of the programming languages, a lexer skips spaces and comments.
- We use regular expressions to do lexical analysis.

# Lexical Analysis

• For example, the following piece of code in C language

$$num=1+23;$$

has 9 characters, 6 lexemes, and 5 different tokens.

No.	Lexeme	Token
1	num	identifier
2	=	ass_opt
3	1	int_literal
4	+	plus_opt
5	23	int_literal
6	j	semicolon

#### Tokens

- Here is a list of common tokens:
  - identifier: names the programmer chooses, like variable names;
  - keywords: names already in the programming language, like if;
  - separator: punctuation characters and paired-delimiters, like {};
  - operator: symbols operate on arguments and produce results, like +;
  - literal: numeric, logical, textual, reference literals, like true, 123;
  - comment

### Syntax Analysis

- The program which does syntax analysis is called a parser.
- Uses a grammar to analyze the form of tokens.
- And groups the tokens into a nested hierarchical structure.
- The structure is called a parse tree.
- The parse tree shows the structure of the program.
- Internal vertices are called non-terminals. Leaves are called terminals.
- Same as using English grammar to check if the nouns, verbs, adjectives, etc., in a sentence are in the correct order.

### Syntax Analysis

 For example, the following piece of code in C language num=1+23;

we can use the following grammar to generate the parse tree.

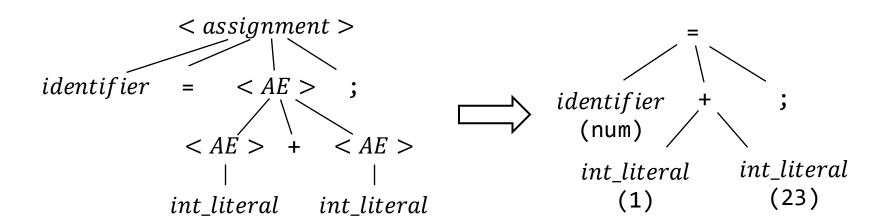
```
< assign > \rightarrow identifier = < AE >;

< AE > \rightarrow < AE > + < AE > \rightarrow int_literal > identifier = < AE > ;

< AE > + < AE > + < AE > + int_literal > int_literal
```

### Syntax Analysis

- Sometimes the parse tree can be extremely large.
- To simplify the structure, we can remove the internal vertices and let the operators be the parents.
- This is called syntax tree.
- Similar to expression trees (in Discrete Math).



# Semantic Analysis

- It takes a parse tree as input.
- Checks the "meaning" of the program.
- Searching errors in the program. For example,
  - undefined variables,
  - uninitialized variables,
  - multiple declaration,
  - types of variables for operations and functions, etc.
- Semantic Analysis also does type conversions for many programming languages. For example in C,

```
float x=3.5;
int y=x;
```

the compiler adds a type conversion on x before signing the value to y.

Compiler Construction

#### **Errors**

- Lexical error: some characters do not form any lexeme.
- Syntax error: the code does not follow the grammar.
  - In other words, tokens are not in the correct order.
- Semantic error: the code is meaningless.

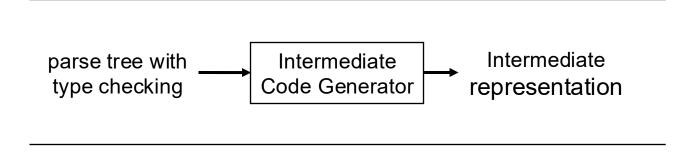
Sample Code	Error type	Reason
int 2esd=5;	Lexical error	2esd cannot be a variable name.
int x 5;	Syntax error	A variable cannot be followed by a number directly.
<pre>int x="Hello";</pre>	Semantic error	x is an integer.
int x 5=;	Syntax error	Wrong order
<pre>int x=5; int x=3;</pre>	Semantic error	Multiple declaration

### Other Applications for Source Code Analysis

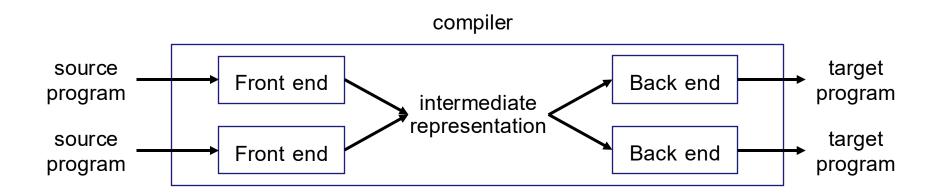
Code analysis is also useful in other applications. For example,

- Structure editors, like Eclipse analyzes the code and highlight in different colors, detect some errors, allow users jump between matching parenthesis, etc.
- Pretty printers can nicely format your code with correct indentation, break lines, and different fonts for different parts of the program.
- Static checkers can check your program for bugs without running it.
- Interpreters directly perform the operations in the source program instead of producing a target program, like Python, web browsers.

- The parse tree with type checking after the semantic analysis is very high-level. It's not easy to convert a parse tree to target language directly.
- The generator takes a parse tree with type checking as input and generates an intermediate code.



- More importantly, the intermediate code can act as an interface in between front end and back end. The compiler can be expanded to support more source languages or target languages by only implementing the front end or the back end.
- For example, GCC can compile C, C++, Java, Object-C, Fortran, etc. on Windows, Linux, Android, iOS, etc.



- The intermediate code only exists in the compiler.
- The representation of intermediate code is totally up to designer of the compiler.
- A good intermediate code needs to be
  - convenient to be produced from the parse tree with type checking;
  - convenient to be translated into the target language;
  - simple and have clear meaning, so that optimization can be done easily.
- The most commonly used intermediate language is call single static assignment (SSA).
- In this course, we will use three-address code, which is easier than SSA.

#### Three-address Code

- In three-address code, each line contains at most 3 different variables and does at most 1 operation.
- For example,

where the variables are floating points. The three-address code will be like

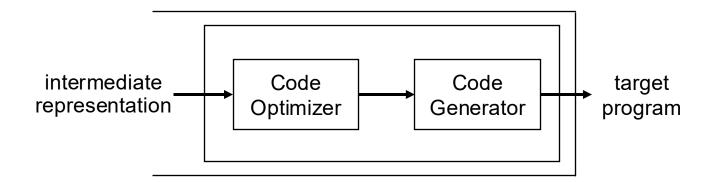
- To generate correct intermediate code, the generator does two things.
  - Decide the order in which operations have to occur (multiplication before addition in the above example).
  - Generate (variable) names for intermediate results (all the variables tempX in the above example).

#### Note:

- The intermediate code generator (also back end) is only used when the target language is a low-level language, machine code or assembly language for example.
- If the target language is another high-level language, the parse tree will be directly translated into the target language.

# Synthesis (Back End)

- The back end of a compiler takes the intermediate code as input and generates a program in the target language.
  - Code Optimizer
  - Code Generator
  - Machine-dependent code optimization (optional)



### **Code Optimization**

- The code optimization phase tries to rewrite the intermediate code with a "better" performance.
- Usually "better" means "faster". But sometimes it means "smaller" for embedded systems.
- For example, the three-address code we have above can be rewritten as

- Code optimization is a very complex topic.
- Some compilers take hours or even days to optimize the code.
- In this course, we only introduce some simple optimizations that usually improve code a lot without consuming too many resources.

#### **Code Generation**

- The code generator takes the optimized intermediate code from the optimizer and generates the target code.
- It does three thing.
  - Instruction selection
  - · Instruction scheduling
  - Register allocation
- These are all optimization problems and NP-hard, meaning that there is no existing algorithm which finds the optimal solution in polynomial time.
- In real applications, we use approximations to find some good enough solutions instead of the optimal ones.

### Instruction Selection

- Select the instructions from the target language.
- Usually, there are multiple ways to implement one instructions.
- Consider a sequence of instructions, the possibility becomes exponential.
- For example in C, these three operations do the same thing. But the last one is much faster.
- Things will be complicated when multiple operations are nested with each other.
- It's hard to say which one is the fastest on the right.

x\*2

X+X

x≪1

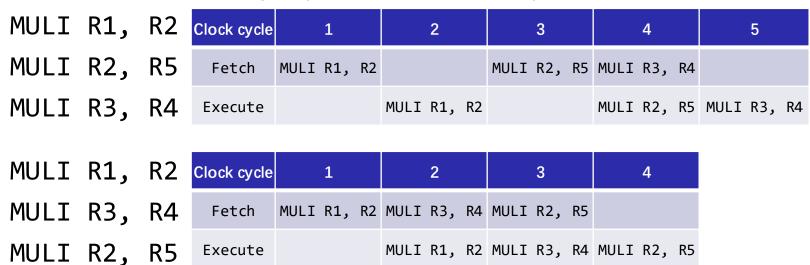
x\*3

X+X+X

 $(x\ll 1)+x$ 

### Instruction Scheduling

- Arrange the instruction in the optimal order.
- The importance is caused by instruction pipelining within a microprocessor.
- The following two pieces of code are pipelined differently if the pipeline has two stages(fetch and execute).



The number of possible arrangement can go up to factorial!

### Register Allocation

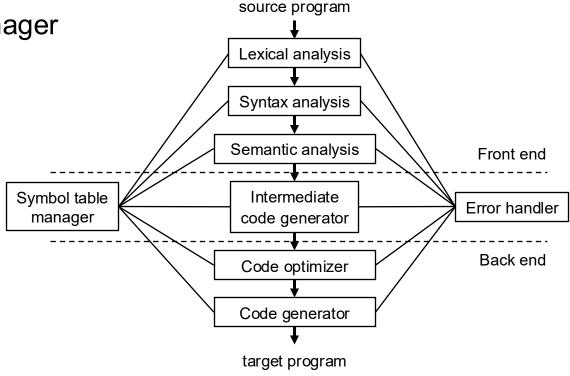
- Register allocator decides where and for how long each variable (from the intermediate code) is saved in the register of a microprocessor. Registers are very small.
- There is a tradeoff between re-using the variables in the future, versus save register space for other computations. Is it more efficient to
  - keep the value in the register;
  - move the value to memory, save register space, but needs additional computation;
  - simply remove the value and reload it when it is needed again?
- For example

### Other Components

Usually, a compiler also has some other components which work in both front end and back end.

Symbol table manager

Error handler



### Symbol Table Manager

- The symbol table is a data structure in the compiler.
- It records the attributes of all identifiers in the source program.
- Recall that, identifiers are user defined names in the program.
- When the lexer recognizes an identifier, it inserts the identifier into the symbol table.
- The attribute of an identifier consists of its type, its scope, and the its line number.
- These information is added by different phases.
- The front end can use the symbol table to check errors.
- The back end can use the symbol table to decide memory space for each variable on the stack.

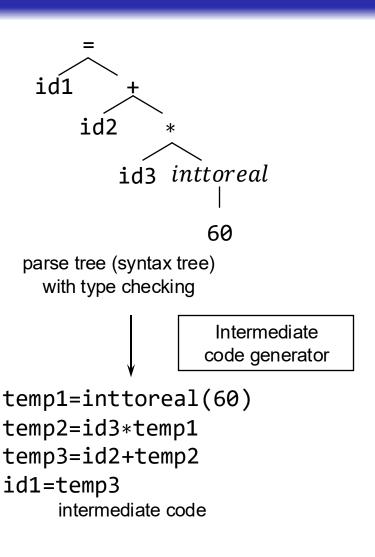
**COMP3173** 

#### **Error Handler**

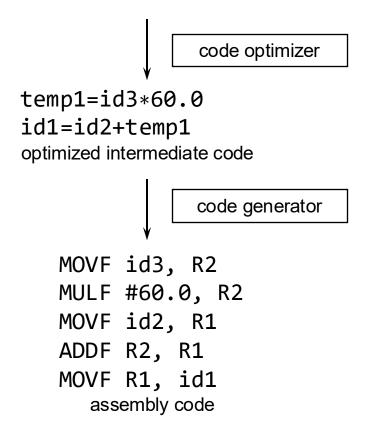
- A simple compiler can stop at the first error encountered.
- But this not efficient because errors can be multiple.
- In general, a compiler wants to detect as many errors as possible.
- An error handler tries to recover the errors so that the compiler can proceed on the rest of the program.
- The error handler is mostly used in the front end for analysis purposes.

### Overall flow

```
position=initial+rate*60;
        stream of characters
                      Lexical analysis
       id1=id2+id3*60;
          stream of tokens
                      Syntax analysis
        id1
             id2
                         60
                 id3
       parse tree (syntax tree)
                     Semantic analysis
```



### Overall flow



#### Note:

- The symbol table also keep tracking the attributes for each identifier.
- MOVF id3, R2 means moving a floating point from id3 to register 2.
- Similar for MULF and ADDF.

#### Other Phases

For some programming languages, like C, a compiler must work with some other phases outside the compiler.

#### Preprocessor

- Works before the compiler.
- Handles the macros and file inclusion by simply copy and paste.

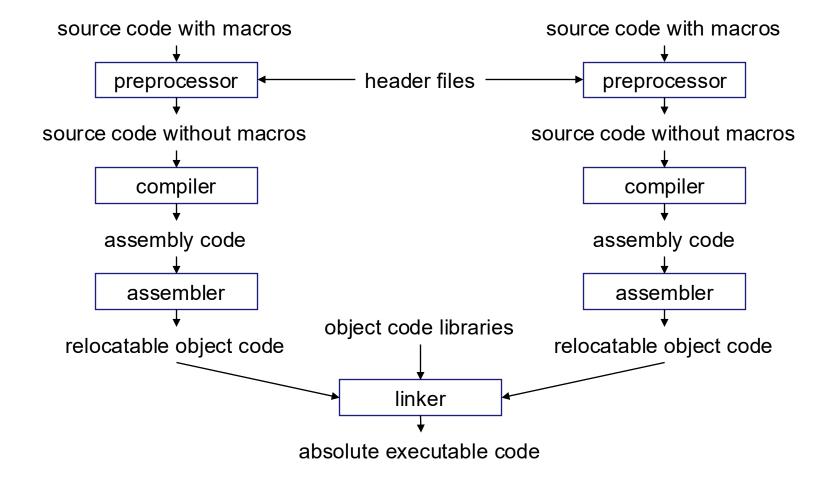
#### Assembler

- Works after the compiler.
- Takes assembly codes as input and generates binary instruction that can be executed by the microprocessor.
- The instruction are object code and stored in object files.
- Object files are *relocatable*.

#### Linker

- Modifies the memory addresses in the object files.
- Generates absolute executable code.

#### The Whole Picture



### The End of Lecture 1