

On Theorem by Moore about Vanishing Matrix Coefficients

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Abstract

In this paper we will showcase a theorem in ergodic theory by R. Howe and C.C. Moore [2], as it is presented in the book by R.J. Zimmer in his book "*Ergodic Theory and Semisimple Groups*" [6]. On the way there, we will touch many different fields, from measure theory, over functional analysis, representation theory and of course ergodic theory.

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This paper is based on the first two chapters in the book “Ergodic Theory and semisimple Lie Groups” by Robert Zimmer [6], which contain the theorem itself (Theorem 2.2.20), which we will state shortly, and surrounding material concerning ergodic theory.

The techniques of the proof show a nice interplay between fields and their different approaches, while staying relatively simple. We assume the reader to have an undergraduate level understanding of the prerequisites in algebra and representation theory, but will state foundational information regardless, and provide references in all cases. We also take care of clarifying notation before use.

The theorem is historically at home in the development of ergodic theory, which in turn is a relatively new field of mathematics. The original definition of ergodicity was given in 1928 in a paper by P. Smith and G.D. Birkhoff on dynamical systems. The concept gained importance in 1931 when von Neumann and Birkhoff nearly simultaneously proved the mean and pointwise ergodic theorems. These may be regarded as the starting point of the subject.

The theory presented here is almost entirely due to a single mathematical lineage. The root of this lineage is George David Birkhoff, who, on one side was the (biological) father of Garrett Birkhoff, which in turn was the advisor of G. Mostow, known for his rigidity theory which was instrumental to G. Margulis’ rigidity and arithmeticity theorem. These theorems are a central part of Zimmer’s book, although we will not cover them. On the other side, G.D. Birkhoff was M.H. Stone’s advisor who was G. Mackey’s advisor, whose work on representations will feature prominently in the chapter on unitary representations. And Mackey was the advisor of R.J. Zimmer, the author of our main reference, as well as C.C. Moore, who, together with his student R. Howe, worked out the theorem we are talking about in this paper.

To give an extremely rough idea of the subject, classical ergodic theory concerns itself with (group) actions on some (measure) space describing long-term behavior of dynamical systems. The classical example comes from thermodynamics. Consider the motion of particles in an ideal gas. Ergodicity provides a framework for studying the common-sense notion that the particles would eventually mix completely (i.e. reach every possible configuration of the space), like smoke in an enclosed room would eventually fill the entire room completely.

The way this behavior is modelled is by a time evolution map $T : X \rightarrow X$ on some phase space X , and ergodicity is expressed in the following way :

There is no proper subset of X , $\emptyset \subsetneq A \subsetneq X$ that is also invariant with respect to some measure μ , meaning there is no proper subset such that $\mu(A) = \mu(TA)$.

Equivalently, if A is invariant then either $\mu(A) = 0$ (A is a null set) or $\mu(A) = \mu(X)$.

Mathematicians found purely mathematical interest in this behavior and began studying it on its own, dropping the physical notion of configuration space and time evolution and substituting the evolution map with group actions $G : X \rightarrow X$. Notably using topological groups such as Lie groups, as we will do in this paper.

The main aim of the book by Zimmer is focused on two theorems by Mostow and Margulis. The “arithmeticity theorem” and the “rigidity theorem”, which show how Lie groups and their lattices interact.

A lattice Γ in a locally compact topological group G is a discrete subgroup such that the quotient G/Γ has finite invariant measure. For example, \mathbb{Z}^n in \mathbb{R}^n is a lattice, as is $SL(n, \mathbb{Z})$ in $SL(n, \mathbb{R})$. The rigidity and arithmeticity theorems state (very roughly) that lattices determine the surrounding group and groups determine lattices. This glosses over a tremendous amount of detail, but these theorems are not the focus of this paper, so this shall suffice. They serve the purpose of motivating the study of lattices in Lie groups.

1 Introduction

We should, at this point, introduce the theorem we would like to present.

In Zimmer[6] it is Theorem 2.2.20, and originally from Howe and Moore[2].

Theorem 1.1 (Howe-Moore’s Ergodicity Theorem) *Let G_i be connected non-compact simple Lie groups with finite center, $G = \prod G_i$ and π a unitary representation of G . Then all matrix coefficients $f(g) = \langle \pi(g)v, w \rangle$ vanish at infinity. I.e. $f(g) \rightarrow 0$ as g leaves compact subsets of G .*

To clarify some points, note that we have specified non-compact groups. This allows us to talk about “infinity” at all. Next, what is an invariant vector? Simply, a vector v is called invariant if for all $g \in G$ $\pi(g)v = v$, or, that v is preserved by any linear map given by the representation.

1.1 Question: when is an action ergodic?

Instead of verifying ergodicity for any given action, space and measure individually, can we find criteria for ergodicity that are easier to evaluate? Moore’s theorem sits in the middle of an argument that answers the following questions.

For example, consider a group G , a lattice $\Gamma \subset G$ and a closed subgroup $H \subset G$. this is of course, a priori, a bit of a contrived example, but consider that lattices in

Lie groups are a considerable field of study.

Problem (When do closed subgroups act ergodically) *If $H_1, H_2 \subset G$ are closed subgroups in G , is the action $H_1 \curvearrowright G/H_2$ ergodic?*

Problem (When do closed subgroups act ergodically) *Let G be a semisimple Lie group and S an ergodic G -space. If $H \subset G$ is a closed subgroup, when is H ergodic on S .*

Now that we have a concrete question, let us try to get our hands dirty on an example. We will consider the action of fractional linear transforms on the upper half plane. It will bring intuition about the question and why one would care to answer the question.

Let $X = \{z \in \mathbb{C} | \text{Im}(z) > 0\}$ be the upper half plane, and $G = SL(2, \mathbb{R})$. G acts on X via fractional linear transformations, i.e.

$$g \cdot y = (ay + b)/(cy + d) \text{ where } g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

We would like to understand the actions of a lattice in G on X .

Suppose now $\Gamma \in G$ is a lattice. If G acts transitively on a space X , then there is an isomorphism of G -spaces $G/G_x \rightarrow X$, where $G_x = \text{Stab}_G(x)$ for $x \in X$, given by the map $gG_x \mapsto gx$. In the case of our example $G = SL(2, \mathbb{R})$

the stabilizer of i to be $SO(2, \mathbb{R})$. We want to show that the action of Γ on $\bar{\mathbb{R}} = \mathbb{R} \cup \{\infty\}$ is ergodic.

Definition 1.1.1 (Ergodicity) *Let G be a locally compact second countable group. We consider actions of G on a measure space (S, μ) , where μ is σ -finite and quasi-invariant under the action of G . Quasi-invariant means that for all set $A \subset S$ and $g \in G$, $\mu(A) = 0$ if and only if $\mu(gA) = 0$.*

For such G , (S, μ) an action $G \times S \rightarrow S$ is called ergodic if all G -invariant subsets $A \subset S$ are either null or conull. Which means

$$\forall g \in G : gA = A \Rightarrow \mu(A) = 0 \text{ or } \mu(S \setminus A) = 0$$

Since the action of G on X allows an identification of X with G/K , where $K = SO(2)$ (the stabilizer of $i \in X$), and K is compact, it follows that the action of Γ on X is properly discontinuous, and so $\Gamma \backslash X$ will be a manifold, in fact a finite volume Riemann surface.

On the other hand, via the same fractional linear transformation formula, G acts on $\bar{\mathbb{R}} = \mathbb{R} \cup \{\infty\}$, and $\bar{\mathbb{R}}$ can be identified with G/P , where P is the group of upper triangular matrices and the stabilizer of $\infty \in \bar{\mathbb{R}}$. Once again, we can consider the action of Γ on $\bar{\mathbb{R}}$, but now the action will be very far from being properly discontinuous. In fact, every Γ -orbit in $\bar{\mathbb{R}}$ will be a (countable) dense set. In particular, if we try taking the quotient $\Gamma \backslash \bar{\mathbb{R}}$, we obtain a space with the trivial topology. On the other hand, $\bar{\mathbb{R}}$ provides a natural compactification of X , and in fact $\bar{\mathbb{R}}$ can be identified with asymptotic equivalence classes of geodesics in X , where X has the essentially unique G -invariant metric.

2 Definitions and Notation

Now that we have stated the goal of the paper, let us immediately make a detour. We will state definitions and relevant theorems (without proof) in compact form with ample references so that a reader can catch up if necessary. The advanced reader can skip this section and move straight to the next topic without issue.

2.1 Measure Spaces

A *measurable space* is a pair (X, \mathcal{B}) where X is a set and \mathcal{B} is a σ -algebra of subsets of X . Elements of \mathcal{B} are called *measurable sets*. A function of measurable spaces $f : X \rightarrow Y$ is called *measurable* if $f^{-1}(A)$ is a measurable set in X for all measurable sets A of Y .

The Borel σ -algebra of a topological space X is the σ -algebra \mathcal{B} generated by the open subsets of X , and the members of \mathcal{B} are called Borel sets.

A *measure* on a measurable space (X, \mathcal{B}) is a map $\mu : \mathcal{B} \rightarrow [0, \infty]$ such that

- $\mu(\emptyset) = 0$, and
- $\mu(\cup_{n=1}^{\infty} A_n) = \sum_{n=1}^{\infty} \mu(A_n)$

for every countable collection $\{A_n\}_{n=1}^{\infty}$ of pairwise disjoint sets in \mathcal{B} (countable additivity).

A measure μ is called *finite* if the whole space has finite measure $\mu(X) < \infty$, and *σ -finite* if X is the countable union of sets with finite measure, meaning, there exist sets $\{A_i\}_{i \in \mathbb{N}}$ such that $\cup_{i=1}^{\infty} A_i = X$ and $\mu(A_i) < \infty$ for all i .

2.2 Groups

We are interested in Lie groups. Primarily for their nature as a topological group. A *Lie group* is a group that is also a manifold. A *locally compact* group is locally compact as a topological space. We require groups to be locally compact, so that the Haar measure exists, which is, up to scaling, the unique measure on Borel sets which satisfies the following: For all $g \in G$ $\mu(gS) = \mu(S)$, μ is finite on compact sets and is inner and outer regular.

A *lattice* is an invariant discrete subgroup Γ of a locally compact group G such that there exists a finite measure on the quotient space G/Γ .

2.3 Group Actions

By an *action* of the group G on a set X we mean a map $\alpha : G \times X \rightarrow X$ such that, writing the first argument as a subscript, $\alpha_s(\alpha_t(x)) = \alpha_{st}(x)$ and $\alpha_e(x) = x$ for all $x \in X$ and $s, t \in G$. Most of the time we will not give this map a name and write the image of a pair (s, x) written as sx . For sets $A \subset X$ and $K \subset G$ and an $s \in G$ we write

$$sA = \{sx : x \in A\}, \quad Kx = \{sx : s \in K\}, \quad KA = \{sx : x \in A \text{ and } s \in K\}.$$

The *G-orbit* of a point $x \in X$ is the set Gx .

2.4 Representations

A *representation* is a continuous group-homomorphism from a group into the general linear group of a vector space, $\pi : G \rightarrow GL(V)$. We consistently use lowercase Greek letters to refer to representations. Most often π . The *dimension* of a representation is the dimension of the vector space that is being represented onto.

A *unitary operator* on a Hilbert space \mathcal{H} is a bounded linear operator U , such that $U^*U = UU^* = \text{Id}_{\mathcal{H}}$. A *unitary representation* is a representation into the unitary group of a vector space $\pi : G \rightarrow \mathcal{U}(V) \subset GL(V)$, where the unitary group consists of all unitary operators on \mathcal{H} .

For a representation π onto a (complex) Hilbert space \mathcal{H} , $\pi : G \rightarrow GL(\mathcal{H})$ and two vectors $v, w \in \mathcal{H}$, a *matrix coefficient* is a map $f(g) : G \rightarrow \mathbb{C}$ defined by

$$f(g) = \langle \pi(g)v, w \rangle$$

In the case of a finite dimensional Hilbert space and, for a given choice of basis, and two basis vectors e_i, e_j , the inner product $\langle \pi(g)e_i, e_j \rangle$ works out to be the coefficient of the matrix associates to $\pi(g)$.

2.5 “Direct difference” notation

Zimmer, and we, use the symbol “ \ominus ” to denote “subtraction” of linear subspaces of Hilbert spaces with respect to the inner product. If $A \subset B$ are linear subspaces of a Hilbert space, $B \ominus A = \{x \in B : (x, y) = 0 \text{ for all } y \in A\}$.

The specifically we will use it on $L^2(\mathcal{H}) \ominus \mathbb{C}$, to denote the space of the square integrable functions on \mathcal{H} whose integral is zero.

2.6 Ergodicity

We have successfully made our way back to ergodicity. We will try to illuminate the definition a bit by examples and non-examples.

Notice that orbits are, by definition G -invariant, so one way to constructively build invariant sets is to consider orbits of actions. Inversely as well, any invariant set can be considered a union of orbits of all its points. Recall from basic group theory that orbits partition the space, so saying that these must be either null or conull means there is no straightforward “divide and conquer” strategy for understanding ergodic actions. In this regard ergodicity resembles a sort of “irreducibility”-property. To put it in Zimmer’s words “Ergodic theory is (in large part) the study of complicated orbit structure in the presence of a measure.”

Note, that the adjective “ergodic” sometimes applied to either the action, the measure or the space. What that means is that, for two out of three given, the third completes the definition. All three are necessary to be ergodic but when, for example, we have a group action on a space, we call a measure ergodic if together with the others they are ergodic.

Example Let \mathbb{T} be the circle group of $\{z \in \mathbb{C} \mid |z| = 1\}$ and $A : \mathbb{T} \rightarrow \mathbb{T}$ multiplication by $e^{i\alpha}$ with $\alpha/2\pi$ irrational. This induces an action $\mathbb{Z} \curvearrowright \mathbb{T} \rightarrow \mathbb{T}$ by $n \cdot z \mapsto e^{in\alpha}z$. As a measure we take the arc-length measure, which is preserved under the action of A .

This is an example of an ergodic action.

To prove this, suppose $S \subset \mathbb{T}$ is A -invariant. We take $\chi_S(z) = 1$ for $z \in S$ and 0 for $z \notin S$, the characteristic function of S and take the L^2 -Fourier expansion $\sum a_n z^n$. Then, by invariance, $\chi_S(z) = \chi_S(e^{i\alpha}z) = \sum a_n e^{in\alpha} z^n$. Therefore $a_n e^{in\alpha} = a_n$. By assumption $\alpha/2\pi \notin \mathbb{Q}$, so $a_n = 0$ for all $n \neq 0$. This implies χ_S is constant, meaning either constant 0 or constant 1, which implies ergodicity. \square

3 The Direct Integral and Unitary Representations

Now that we have laid out the prerequisites, we can turn to what we will actually need in terms of this specific subject. We have to take a detour into unitary representations and define the direct integral to make statements about certain subgroups, in particular \mathbb{R}^n . It turns out, we can transform questions about ergodicity into questions about representations. Thereby opening up the problems to more tractable linear algebra and matrix groups.

The question about ergodicity, that hangs in the background of the theorem is: “what happens at the boundary?”. Boundary means we are interested in the limit behavior of an ergodic action, which explains why our theorem makes an assertion about matrix coefficients at infinity.

The way there will lead us through the direct integral, unitary representations and in particular the representation of \mathbb{R}^n . To jump ahead of ourselves, we will later look at the 2-dimensional upper diagonal group and its subgroup of matrices with 1 on the diagonal $\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix}$, which is isomorphic to \mathbb{R} and whose representation we will care about.

3.1 The Direct Integral

In simple terms, the direct integral is a way to patch together locally defined functions into a function on the whole domain. Let us first consider the simple case where we have global functions on a measure space M , that takes values in some Hilbert space \mathcal{H} , $f : M \rightarrow \mathcal{H}$. The ‘sensible’ space to put these functions into is the space of square integrable functions on M , denoted $L^2(M, \mathcal{H})$. The word ‘sensible’ here is justified by being again a Hilbert space by integration $\langle f, g \rangle = \int_M \langle f(x), g(x) \rangle$.

The next step towards locality is to use two function, by defining $L^2(M_1 \sqcup M_2, \mathcal{H}_1 \oplus \mathcal{H}_2)$, where every function is defined separately on each M_i , and taking values in \mathcal{H}_i .

Suppose now we have a measure space M , and for each $x \in M$ a Hilbert space \mathcal{H}_x such that $x \mapsto \mathcal{H}_x$ is piecewise constant, that is, we have a disjoint decomposition of M into $\cup_{i=1}^{\infty} M_i$ such that for $x, y \in M_i$, $\mathcal{H}_x = \mathcal{H}_y$. A *section* on M is an assignment $x \mapsto f(x)$, where $f(x) \in \mathcal{H}_x$. Since \mathcal{H}_x is piecewise constant, the notion of measurability carries over in an obvious manner, namely that a measurable function on M is measurable on each M_i into the appropriate Hilbert space. Let $L^2(M, \{\mathcal{H}_x\})$ be the set of square integrable sections f $\|f\|^2 < \infty$ where we identify

two sections if they agree almost everywhere. This set is then also a Hilbert space with the inner product $\langle f|g \rangle = \int_M \langle f(x)|g(x) \rangle$.

Suppose now we have for each $x \in M$ a unitary representation π_x of a group G on \mathcal{H}_x . We say this is measurable when for $g \in G$, $\pi_x(g)$ is a measurable function on each $M_i \times G$.

This allows us to define the relevant representation we intermediately care about.

Remark (On the notation of the direct integral) The above notation of $\pi_{\mu, \mathcal{H}}$ is generally fine, but putting an already hard to read typeface in a small font size into the subscript is hard to read. We have introduced it as-is to conform with the notation in the literature, but in the next section we will encounter a number of operations that manipulate these subscripts. For that reason we will write them also in square brackets like so:

$$\pi[\mu, \mathcal{H}]$$

meaning the same thing as the subscript notation, but more legible. □

3.2 Representation of \mathbb{R}^n

In this section we will introduce several theorems on the representations of groups that contain \mathbb{R}^n as a normal subgroup.

We begin by discussing \mathbb{R}^n and its representations, as we need this understanding to analyze the cases when \mathbb{R}^n is a subgroup.

All the irreducible unitary representations of \mathbb{R} are one-dimensional. This is a corollary of Schur's lemma, which states that every complex irreducible representation of an abelian group is one-dimensional.

It turns out that the group of unitary representations of \mathbb{R}^n is isomorphic to \mathbb{R}^n . We define a map from \mathbb{R}^n to $\mathcal{U}(\mathbb{C})$ that is bijective. Let θ, t be in \mathbb{R}^n and let $\lambda_\theta(t) = e^{i\langle \theta | t \rangle}$. This is in fact a unitary automorphism on \mathbb{C} by multiplication.

We denote the group of representations by $\hat{\mathbb{R}}^n$, which is in fact a group under pointwise multiplication.

Now let μ be a (σ -finite) measure on $\hat{\mathbb{R}}^n$ and $\{\mathcal{H}_\lambda\}$ a piecewise constant assignment of Hilbert spaces. They form the Hilbert space $L^2(\hat{\mathbb{R}}^n, \mu, \{\mathcal{H}_\lambda\})$.

We define a unitary representation $\pi_{\mu, \mathcal{H}_\lambda}^{-1}$ on this space by

$$[\pi_{(\mu, \mathcal{H}_\lambda)} f](\lambda) = \lambda(t) f(\lambda)$$

¹This definition is maybe a bit dense, so here is the assignment formatted in pseudo code. This might help some reader more familiar with programming than mathematics. The more

The following theorem summarizes the representation theory of \mathbb{R}^n :

Theorem 3.1 (Zimmer 2.3.3) • *For any unitary representation π of \mathbb{R}^n , there exist μ on $\hat{\mathbb{R}}^n$ and \mathcal{H}_λ such that $\pi \cong \pi_{\mu, \mathcal{H}_\lambda}$.*

- $\pi_{\mu, \mathcal{H}_\lambda}$ and $\pi_{\mu', \mathcal{H}'_\lambda}$ are unitarily equivalent if and only if
 - $\mu \sim \mu'$, i.e., they are in the same measure class
 - and $\dim \mathcal{H}_\lambda = \dim \mathcal{H}'_\lambda$ a.e.

We now turn to groups which contain \mathbb{R}^n as a normal subgroup.

Let $A : \mathbb{R}^n \rightarrow \mathbb{R}^n$ be a continuous group automorphism. Let α be the adjoint automorphism $\alpha : \hat{\mathbb{R}}^n \rightarrow \hat{\mathbb{R}}^n$ given by $\alpha(\lambda)(t) = \lambda(A^{-1}(t))$. If π is any unitary representation of \mathbb{R}^n , we let $\alpha(\pi)$ be the unitary representation $[\alpha(\pi)](t) = \pi(A^{-1}(t))$.

Theorem 3.2 (Zimmer 2.3.4) *Let $\pi = \pi_{\mu, \mathcal{H}_\lambda}$, $A \in \text{Aut}(\mathbb{R}^n)$, α the adjoint automorphism of $\hat{\mathbb{R}}^n$. Then*

- $\alpha(\pi)$ is unitarily equivalent to $\pi[\alpha_*\mu, \mathcal{H}_{\alpha^{-1}(\lambda)}]$. (By $\mathcal{H}_{\alpha^{-1}(\lambda)}$ we mean the assignment $\lambda \rightarrow \mathcal{H}_{\alpha^{-1}(\lambda)}$)
- If $V : L^2(\hat{\mathbb{R}}^n, \mu, \{\mathcal{H}_\lambda\}) \rightarrow L^2(\hat{\mathbb{R}}^n, \alpha_*\mu, \{\mathcal{H}_{\alpha^{-1}(\lambda)}\})$ is any unitary equivalence between $\alpha(\pi)$ and $\pi[\alpha_*\mu, \mathcal{H}_{\alpha^{-1}(\lambda)}]$, then for any Borel set $E \subset \hat{\mathbb{R}}^n$, we have

$$V(L^2(E, \mu, \{\mathcal{H}_\lambda\})) = L^2(\alpha(E), \alpha_*\mu, \{\mathcal{H}_{\alpha^{-1}(\lambda)}\})$$

This essentially states that the construction of $\pi_{\mu, \mathcal{H}}$ plays nicely with automor-

mathematically inclined may ignore it. It is not relevant other than to further the understanding of the above definition.

The conceptual problem here is that we have a hidden stack of expressions; we give a very concrete definition, $\lambda(t)f(\lambda)$ for a very abstract object $\pi_{\mu, \hat{\mathbb{R}}^n}$, with the layers in between not being immediately obvious.

```

func   $\pi_{\mu, \mathcal{H}_\lambda}(t : \mathbb{R}^n) \rightarrow \mathcal{U}(L^2(\hat{\mathbb{R}}^n))$  {
    return lambda( $f : L^2(\hat{\mathbb{R}}^n) \rightarrow L^2(\hat{\mathbb{R}}^n)$ ) {
        return lambda( $\lambda : \hat{\mathbb{R}}^n \rightarrow \mathcal{H}_\lambda$ ) {
            return  $\lambda(t)f(\lambda)$ 
        }
    }
}

```

This shows that the objects inbetween are defined.

phisms. This is of particular interest in light of the next theorem, which deals with groups with \mathbb{R}^n as normal subgroups. Given that \mathbb{R}^n is normal we get an automorphism by conjugation with G .

Theorem 3.3 (Zimmer 2.3.5, from Mackey [4]) *Suppose $\mathbb{R}^n \subset G$ is a normal subgroup and π is a unitary representation of G . Write $\pi|_{\mathbb{R}^n} \cong \pi_{(\mu, \mathcal{H}_\lambda)}$ for some $(\mu, \mathcal{H}_\lambda)$ by 2.3.3. Then*

- μ is quasi-invariant under the action of G on $\hat{\mathbb{R}}^n$.
- If $E \subset \hat{\mathbb{R}}^n$ is measurable, let $\mathcal{H}_E = L^2(E, \mu, \{\mathcal{H}_\lambda\})$. Then $\pi(g)\mathcal{H}_E = \mathcal{H}_{g \cdot E}$.
- If π is irreducible, then μ is ergodic and $\dim \mathcal{H}_\lambda$ is constant on a μ -conull set.

The following theorem will be necessary later on, when we begin the proof for the main theorem. With the established information we can make a statement about vanishing matrix coefficients for the group P of upper triangular matrices in $SL(2, \mathbb{R})$.

We're going to use the following decomposition, which we take for granted. (See Hilgert and Neeb[1] chapter 13 for a detailed treatment of the general case.) The so called Iwasawa decomposition of $SL(2, \mathbb{R})$ into three matrices K , A , and N , defined as

$$K = \left\{ \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \in SL(2, \mathbb{R}) \mid \theta \in \mathbb{R} \right\} \quad (1)$$

$$A = \left\{ \begin{pmatrix} r & 0 \\ 0 & r^{-1} \end{pmatrix} \in SL(2, \mathbb{R}) \mid r > 0 \right\} \quad (2)$$

$$N = \left\{ \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \in SL(2, \mathbb{R}) \mid x \in \mathbb{R} \right\} \quad (3)$$

$$(4)$$

3.3 The Theorem for P

Lemma 3.4 (decomposition of $SL(2, \mathbb{R})$ and P) *1. The upper triangular group P and \bar{P} generate $SL(2, \mathbb{R})$.*

2. The upper triangular group can be decomposed into the semidirect product:

$$P = AN = \begin{pmatrix} a & 0 \\ 0 & a^{-1} \end{pmatrix} \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix}$$

3. N is normal in P

Proof We look at the subgroup

$$P \subset SL(2, \mathbb{R}) = \begin{pmatrix} a & b \\ 0 & a^{-1} \end{pmatrix}$$

of upper triangular matrices. Together with the lower diagonal matrices \bar{P} , they generate $SL(2, \mathbb{R})$. To see this, decompose as follows:

$$\begin{pmatrix} 1 & 0 \\ \alpha & 1 \end{pmatrix} \begin{pmatrix} x & 0 \\ 0 & 1/x \end{pmatrix} \begin{pmatrix} 1 & \beta \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} x & \beta x \\ \alpha x & \alpha\beta x + 1/x \end{pmatrix}$$

For any matrix $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ in $SL(2, \mathbb{R})$ with matrix coefficient $a \neq 0$, we can solve for x, α, β . In the case of $a = 0$ we can use the following construction:

$$\begin{pmatrix} 1 & 0 \\ \alpha & 1 \end{pmatrix} \begin{pmatrix} 1 & \beta \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ \gamma & 1 \end{pmatrix} \begin{pmatrix} 1 & \delta \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 + \beta\gamma & \delta(1 + \beta\gamma) + \beta \\ \alpha(1 + \beta\gamma) + \gamma & \alpha\delta(1 + \beta\gamma) + \alpha\beta + \gamma\delta + 1 \end{pmatrix}$$

If $1 + \beta\gamma = 0$, the above product becomes $\begin{pmatrix} 0 & \beta \\ \gamma & 1 + \alpha\beta + \gamma\delta \end{pmatrix}$ and we can make suitable choices for $\alpha, \beta, \gamma, \delta$ to construct A .

Note first, that N is normal in P . To see this, first calculate that the inverse of a matrix $\begin{pmatrix} a & x \\ 0 & a^{-1} \end{pmatrix}$ in P is $\begin{pmatrix} a^{-1} & -x \\ 0 & a \end{pmatrix}$. Next note that the result of conjugation with an element in P is again in N : $\begin{pmatrix} a & x \\ 0 & a^{-1} \end{pmatrix} \begin{pmatrix} 1 & y \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a^{-1} & -x \\ 0 & a \end{pmatrix} = \begin{pmatrix} 1 & a^2 x \\ 0 & 1 \end{pmatrix}$. This defines a group action $P \curvearrowright N \rightarrow N$ by multiplication with a^2 . \square

Theorem 3.5 (Zimmer 2.3.6) *Let π be a unitary representation of $P = AN$.*

- *either $\pi|_N$ has non-trivial invariant vectors or*
- *or for $g \in A$ and any vectors, v, w , the matrix coefficients $\langle \pi(g)v, w \rangle \rightarrow 0$ as $g \rightarrow \infty$.*

Proof The subgroup N is isomorphic to \mathbb{R} , so we can apply theorem Theorem 3.2 and identify N with $\hat{N} \cong \hat{\mathbb{R}}$ and the representation π with $\pi_{\mu, \hat{\mathbb{R}}}$. To spell it out $\pi|_N \cong \pi_{\mu, \hat{\mathbb{R}}} : N \rightarrow \mathcal{U}(L^2(\hat{\mathbb{R}}, \mu, \mathcal{H}_\lambda))$.

A section of this space can be written as $f : \lambda \mapsto v_\lambda$ for $v_\lambda \in \mathcal{H}_\lambda$. By construction of $\pi_{\mu, \mathcal{H}_\lambda}$ we have an explicit formula $\lambda(t)f(\lambda)$, where $\lambda(t) \in S^1$ and $f(\lambda)$ in some complex Hilbert space. For a representation to be invariant, we need that $\lambda(t)f(\lambda) = f(\lambda)$ for all t and almost all λ (μ almost everywhere). The only choice for λ is $\lambda_0(t) := e^{i \cdot 0 \cdot t} = 1$ for all t . So all of \mathcal{H}_0 will remain invariant. If $\mu(\{0\}) = 0$,

then all these will be equivalent under the equivalence class on $L^2(\hat{\mathbb{R}})$ to the trivial vector. So for v to be a non-trivial vector, we need $\mu(\{0\}) > 0$.

Next, we want to show that for any two unit vectors $f, g \in L^2(\hat{\mathbb{R}})$ we get $\lim_{g \rightarrow \infty} \langle \pi(g)f, g \rangle = 0$. We move the problem to compact sets in $\hat{\mathbb{R}}$. Because f, g are square integrable if $\mu(\{0\}) = 0$, we can find compact sets $E, F \in \hat{\mathbb{R}} \setminus \{0\}$ such that $|\chi_E f - f| < \varepsilon$ and $|\chi_F g - g| < \varepsilon$, $\forall \varepsilon > 0$.

Then

$$|\langle \pi(g)f, h \rangle| \leq 2\varepsilon + |\langle \pi(g)(\chi_E \cdot f), (\chi_F \cdot g) \rangle|$$

But $\pi(g)(\chi_E \cdot f) \in \mathcal{H}_{gE}$, by Theorem 3.3, choosing g outside a sufficiently large compact subset of A we can ensure $\mathcal{H}_{gE} \perp \mathcal{H}_F$ and therefore $|\langle \pi(g)f, g \rangle| \leq 2\varepsilon$.

This completes the proof.

Corollary 3.6 *For π a unitary representation of P , any A -invariant vector is also P -invariant.*

Proof If π is a representation of P on \mathcal{H} , let $W = \{v \in \mathcal{H} | v \text{ is } \pi(N)\text{-invariant}\}$. Since N is normal, W is a P -invariant subspace, and so is W^\perp . Since W^\perp has no N -invariant vectors, we are in the second case in the above theorem for the representation on W^\perp , and in particular, there are no A -invariant vectors in W^\perp . Since the projection onto W^\perp of an A -invariant vector in \mathcal{H} is also A -invariant, this shows that all A -invariant vectors are in W , and hence that all A -invariant vectors are P -invariant. \square

3.4 The Connection between Ergodicity and Unitary Representations

To see why we care about unitary representations at all if we really want ergodicity, we need to make the following connection. We use the characteristic function of a set, $A \subset S$, is defined as $\chi_A(x) = 1$ for $x \in A$ and 0 otherwise. To connect the set A to a vector in $L^2(S)$.

This representation allows us to pass from talking about sets to talking about vectors (in function spaces), while retaining the properties we care about.

Theorem 3.7 (Zimmer 2.2.17) *An action $G \curvearrowright S$, with finite invariant measure is ergodic on S if and only if the restriction of the above representation to $L^2(S) \ominus \mathbb{C}$ has no invariant vectors.*

Since S has finite measure, assume $\mu(S) = 1$.

Proof " \Leftarrow ": Proof by contrapositive: If $A \subset S$ is G -invariant with measure $0 < \mu(A) < \mu(S) = 1$ then χ_A is also G -invariant in $L^2(S)$ as well as the projection $\chi_A - \mu(A) \cdot 1$ in $L^2(S) \ominus \mathbb{C}$. Therefore there exists an invariant vector in $L^2(S) \ominus \mathbb{C}$.

" \Rightarrow ": [3, Prop 2.7] Suppose the action is ergodic and $f \in L^2(S) \ominus \mathbb{C}$ is G -invariant. We can find a measurable set $D \subset \mathbb{C}$ such that $0 < \mu(f^{-1}(D)) < 1$ and denote $\tilde{A} = f^{-1}$. Now we verify ergodicity. For every $g \in G$ the symmetric difference $g\tilde{A}\Delta\tilde{A} = \{x \in X \mid |f(x) - sf(x)| > 0\}$, has measure zero because $\|f - sf\|_2 = 0$. Therefore the action fails to be ergodic. \square

The adjective “finite” on the measure is necessary, because for a set A of infinite measure the statement is no longer true as χ_A will no longer be in L^2 .

This is of course fine, because we care about the special case where the space S in question is the quotient of a lattice G/Γ , which has finite measure by definition. So this restriction is not only acceptable but desired.

If $A \subset S$ is G -invariant then $\chi_A \in L^2(S)$ will also be G -invariant. For A neither null nor conull then $\chi_A, f_A \neq 0$, where f_A is the projection of χ_A onto $L^2(S) \ominus \mathbb{C}$.

4 Proving the Theorem

We start here because it is an easy example of the theorem and a general group G has many subgroups locally isomorphic to $SL(2, \mathbb{R})$. Later we extend the proof, first to $SL(n, \mathbb{R})$ and then to a general G .

To state our intentions: we first show that either the matrix coefficients vanish as we want, or there exist invariant vectors. Then we show that there are no invariant vectors, completing the statement.

Recall the polar decomposition. we will need it for the next lemma, which will do a lot of heavy lifting. Let $T \in SL(n, \mathbb{R})$ be a matrix. We can decompose T as follows: $T = US$ for some unitary U and a symmetric positive definite S . The matrix S can be diagonalized, because it has an orthonormal basis of eigenvectors, into $U_0AU_0^{-1}$ so we can write $T = UU_0DU_0^{-1} = U_1AU_2$ for $U_i \in SO(2, \mathbb{R})$. Then $SL(2, \mathbb{R}) = KAK$ for $K = SO_2$ and A the diagonal group. This is the Cartan decomposition.

The advantage this decomposition provides us is that we have neatly split the group G into compact and non-compact parts. Additionally the non-compact part is particularly easy to handle, as diagonal matrices are easy to think about. The lemma we are about to present shows how useful this distinction is. It states that we only need to verify that matrix coefficients vanish on A . Intuitively, this tells us

that the behavior of matrix coefficients at infinity has nothing to do with compact components.

Lemma 4.1 *If π is a unitary representation of a Group G (which is assumed to be second countable) and we can write $G = KAK$, with K compact (and second countable), then it suffices to check that the matrix coefficients vanish on A as $g \rightarrow \infty$.*

Proof We take vectors v, w and write $g \in G$ as $g = k_1 a k_2$. Then the corresponding matrix coefficient can be written as $\langle \pi(g)v | w \rangle = \langle \pi(a)\pi(k_2)v | \pi(k_1)^{-1}w \rangle$.

We argue via contraposition. If there exists a matrix coefficient that fails to vanish as $g \rightarrow \infty$ we can find a sequence $g_n = k_{1,n}g_n k_{2,n} \rightarrow \infty$ as $n \rightarrow \infty$ with $|\langle \pi(g_n)v | w \rangle| \geq \varepsilon$ for some $\varepsilon > 0$.

Because G , and therefore K is second countable and compact, it is also sequentially compact². So we can suppose $k_{1,n} \rightarrow k$ and $k_{2,n}^{-1} \rightarrow k'$. Then, for n sufficiently large, $|\langle \pi(a_n)\pi(k)v | \pi(k')w \rangle| \geq \varepsilon/2$. This follows from the following estimation, where we omit the representation π for legibility:

$$\begin{aligned} &= | \langle a_n k_n v, k'_n w \rangle - \langle a_n k v, k' w \rangle | \\ &= | \langle a_n k_n v - a_n k v, k'_n w \rangle + \langle a_n k v, k'_n w - k' w \rangle | \\ &\leq | \langle a_n k_n v - a_n k v, k'_n w \rangle | + | \langle a_n k v, k'_n w - k' w \rangle | \quad \text{Triangle Inequality} \\ &\leq \|a_n k_n v - a_n k v\| \|k'_n w\| + \|a_n k v\| \|k'_n w - k' w\| \quad \text{Cauchy-Schwarz} \end{aligned}$$

From here, we can pick an n large enough to assert the inequality.

But since K is compact and $g_n \rightarrow \infty$, we must have $a_n \rightarrow \infty$. This shows that there must be a matrix coefficient in $\pi|_A$ that fails to vanish at infinity. \square

4.1 The Theorem for $SL(2, \mathbb{R})$

If π is a unitary representation of $G = SL(2, \mathbb{R})$ with no invariant vectors, then all matrix coefficients of π vanish at ∞ .

We can now start on the statement for $SL(2, \mathbb{R})$. Thanks to the work we did in the preceding chapter, the statement is actually not very difficult to prove. The theorem 3.5 and the preceding lemma 4.1 does the bulk of the heavy lifting here.

²A topological space is called *sequentially compact* if every sequence of points has a convergent subsequence.

Figure 1: The orbits of N on G/N correspond either to the horizontal lines parallel to the x -axis or to the individual points on the x -axis.

By assumption, G has no invariant vectors. By theorem 3.5, There are two possible cases. Either N has non-zero invariant vectors, or the matrix coefficients vanish along A .

Should there be no non-zero invariant vectors, as we will show, then the matrix coefficients vanish along A , and, by lemma 4.1, vanishing along A implies vanishing along G .

To see that there are no N -invariant vectors, we assume towards a contradiction that there are N -invariant vectors and show that these must be G -invariant as well, which contradicts our assumption.

Suppose there is a vector v that is N -invariant, meaning $\pi(n)v = v$ for all $n \in N$. As a shorthand, define the function $f(g) = \langle \pi(g)v, v \rangle$. This defines a continuous bi- N -invariant function on G .

This is because $f(gn) = \langle \pi(gn)v, v \rangle = \langle \pi(g)\pi(n)v, v \rangle = \langle \pi(g)v, v \rangle = f(g)$, and $f(ng) = \langle \pi(n)\pi(g)v, v \rangle \xrightarrow{\text{unitary}} \langle \pi(g)v, \pi(n)^{-1}v \rangle = f(g)$.

Thus f lifts from a continuous bi- N -invariant function on G/N .

G acts transitively on $\mathbb{R}^2 \setminus \{0\}$ by matrix multiplication, and, using the fact that N is exactly the stabilizer of $\begin{pmatrix} 1 \\ 0 \end{pmatrix}$, we get an isomorphism $G/N \cong \mathbb{R}^2 \setminus \{0\}$ ³.

Calculating the orbits of this action we have $\begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} a+bx \\ b \end{pmatrix}$. So there exist two kinds of orbits: for $b \neq 0$ the orbit is the horizontal line at height b and for $b = 0$ every individual point $(a \ 0)$ on the x -axis. (See Figure 1). As f is N -invariant, f will be constant along these orbits. Because f is continuous, f will also be constant along the x -axis.

But we can also identify the x -axis with P/N by $\begin{pmatrix} a & b \\ 0 & a^{-1} \end{pmatrix} \begin{pmatrix} x \\ 0 \end{pmatrix} = \begin{pmatrix} ax \\ 0 \end{pmatrix}$. Therefore f is also constant on P . So it follows that v is P -invariant. And as we have seen in the introduction, we can identify G/P with the real projective line and P has a dense orbit in G/P so f is constant on G and therefore v is actually G -invariant, contradicting our assumption.

³This is due to the fact that for a transitive action $G \curvearrowright X$ there is an isomorphism $G/\text{Stab}_G(x) \rightarrow X$ sending $g \cdot \text{Stab}_G(x) \mapsto gx$.

4.2 Proof for $SL(n, \mathbb{R})$

In this section we will prove the statement for $G = SL(n, \mathbb{R})$ and later show how the proof is extended to a general group G . We begin just as for $SL(2, \mathbb{R})$, by applying lemma 4.1. Thus it suffices to show that matrix coefficients vanish on $A \subset G$ to imply that they vanish on G .

Let $A \subset G$ be the subgroup of diagonal matrices. We denote the elements

$$A \ni a := \begin{pmatrix} a_1 & & \\ & \ddots & \\ & & a_n \end{pmatrix}$$

by (a_1, \dots, a_n) .

To get an equivalent to the group N from the earlier proof, we first define the group B , which has 1 on the diagonal and 0 everywhere but the first row.

$$B \ni b := \begin{pmatrix} 1 & b_{1,2} & \cdots & b_{1,n} \\ 0 & & & \\ \vdots & & \text{Id}_{n-1} & \\ 0 & & & \end{pmatrix}$$

and write $b = (1, b_2, \dots, b_n)$, specifying only the first row. We now have analogues for A , N and we set $H := AB$. We will replicate the proof for $SL(2, \mathbb{R})$ on this group. In the case of $n = 2$, this reduces to $SL(2, \mathbb{R})$ and the above matrix to N from the previous proof.

Observe $B \cong \mathbb{R}^n$. These one-row spaces like B are actually abelian normal subgroups. To see abelianness, decompose the matrix into $(\text{Id} + b)(\text{Id} + b') = \text{Id} + \text{Id} \cdot b + \text{Id} \cdot b' + b \cdot b'$. Note that a and b are nilpotent and by choosing the same row for our entries they “miss” each other during multiplication and so $b \cdot b' = 0$. The rest then commutes due to commutativity of matrix addition. Similarly, we get that A normalizes B : $aBa^{-1} = a(\text{Id} + b)a^{-1} = \text{Id} + aba^{-1}$. The last term will again be entirely in the first row and therefore the entire expression will be in B . Calculation shows $aba^{-1} = (1, a_1a_2^{-1}b_2, \dots, a_1a_n^{-1}b_n)$.

The adjoint action on $\hat{\mathbb{R}}^{n-1}$ will be given by the same expression, replacing b_i by the dual variables λ_i , $i = 2, \dots, n$. Therefore, if $E, F \subset \hat{\mathbb{R}}^{n-1}$ are compact subsets which are disjoint from the union of the hyperplanes $\lambda_i = 0$, $i = 2, \dots, n$ then for $a \in A$ outside a sufficiently large compact set, we have $a \cdot E \cap F = 0$.

Therefore, as in Theorem 3.5 if μ assigns measure 0 to the union of the hyperplanes $\lambda_i = 0$ then all matrix coefficients vanish along A , which proves the theorem.

It remains to analyse the case $\mu(\{\lambda_i = 0\}) > 0$ and show that this is impossible.

If $\mu(\{\lambda_i = 0\}) > 0$, then the subgroup $B_i \subset B$, $B_i := \{b \in B \mid b_j = 0 \text{ for } i \neq j\}$ leaves non-trivial vectors invariant.

However $B_i \subset H_i \subset G$ where $H_i \cong SL(2, \mathbb{R})$ as follows: the matrices in H_i are those that have ones on the diagonal and zero elsewhere except for the index combinations $(1, 1)$, $(1, i)$, $(i, 1)$, (i, i) .

$$H_i = \begin{pmatrix} c_{11} & & c_{1i} & \\ & \ddots & & \\ c_{i1} & & c_{ii} & \\ & & & \ddots \end{pmatrix}$$

From the proof for $SL(2, \mathbb{R})$ we know that B_i -invariant vectors imply H_i invariant vectors. In particular $A_i = A \cap H_i$ has non-trivial invariant vectors.

Let $W = \{v \in \mathcal{H} \mid \pi(a)v = v\}$. We show that G leaves W invariant. First, since $A_i \subset A$, A abelian, A leaves W invariant. Now consider matrices B_{kj} which have 1 along the diagonal and 0 elsewhere, except for the coefficient (b_{kj}) . If $k \neq j$ and $j \neq i$ or 1 then B_{kj} commutes with A_i and therefore leaves W invariant. If $\{k, j\} \cap \{1, i\} \neq \emptyset$ then A_i normalises B_{kj} . Therefore $A_i B_{kj}$ is isomorphic to P such that A_i corresponds to the diagonal matrices in P and B_{kj} corresponds to N . By Corollary 3.6 this case as well, leaves W invariant.

The proof finishes with the argument that if W is G -invariant, then the representation π_W has $A_i \subset \ker(\pi_W)$. And because G is simple this implies that $\ker(\pi_W) = G$, so that G leaves all vectors in W fixed, contradicting our assumptions.

For the last paragraph, note that if G is semisimple, the fact that $\dim(\ker(\pi_W)) > 0$ contradicts the assumption that no simple factor of G leaves vectors invariant.

4.3 Proof for a general G

In concluding this section, we indicate the To conclude the proof, we show how to extend the argument to a general semisimple group.

This follows essentially from the Iwasawa decomposition of a semisimple group.

For detailed proof of the facts we're going to use, see Hilgert and Neeb[1] chapter 13.3 and in particular theorem 13.3.8.

Let G be semisimple and \mathfrak{g} its associated Lie algebra, then

$$\mathfrak{g} = \mathfrak{k} + \mathfrak{a} + \mathfrak{n}$$

Then $G = KAN$ with $K = \exp_G(\mathfrak{k})$, $A = \exp_G(\mathfrak{a})$ and $N = \exp_G(\mathfrak{n})$ and there exists a basis of \mathfrak{g} such that the elements of K are represented by orthogonal matrices, the elements of A by diagonal matrices with positive entries, and the elements of N are represented by unipotent matrices.

By the same argument we made for Lemma 4.1, we can focus on the subgroup $B = AN$ and analyze it in the same way as the case for $SL(n, \mathbb{R})$.

5 Conclusion

Now that we have proven the theorem, it's natural to ask what we do with it now. At first glance, the statement about matrix coefficients doesn't seem particularly useful, but recall from this section that we have a connection to invariant subsets of a possibly ergodic space.

We have mentioned in the very beginning where we wanted to go with this, but let's recall it here.

The problem we posed at the beginning is the following:

Problem (When do closed subgroups act ergodically) *Let G be a semisimple Lie group and S an ergodic G -space. If $H \subset G$ is a closed subgroup, when is H ergodic on S .*

we will show how we can apply the theorem to this problem, mostly forgoing proofs.

Theorem 5.1 (Zimmer 2.2.19, originally Moore[5]) *Let G_i be semisimple, non-compact Lie groups and $G = \prod G_i$ and suppose π is a unitary representation that has no invariant vectors of G such that π has no invariant vectors for each $\pi|_{G_i}$. If $H \subset G$ is a closed subgroup and $\pi|_H$ has non-trivial invariant vectors then H is compact.*

Proof This follows immediately from then theorem because if $\pi|_H$ has an invariant vector v , then the matrix coefficient $\langle \pi(g)v, v \rangle$ is identically 1 along H , and therefore H is compact. \square

By the theorem Theorem 3.7, this is equivalent to the following:

Theorem 5.2 (Zimmer 2.2.15) *Let $G = \prod G_i$ be a finite product where each G_i is a connected non-compact simple Lie group with finite center. Suppose S is an irreducible ergodic G -space with finite invariant measure. If $H \subset G$ is a closed non-compact subgroup, then H is ergodic on S .*

For the case of spaces with finite invariant measure the established condition is exactly necessary and sufficient for ergodicity.

Recall that the definition of a lattice specifies that the quotient G/Γ has finite measure. This means for actions on that space, searching for invariant vectors is exactly equivalent to establishing ergodicity.

In conclusion we can put our results into the following statement:

Theorem 5.3 (Zimmer 2.2.6, Moore's Ergodicity Theorem) *Let $G = \prod G_i$ be a finite product where each G_i is a connected non-compact simple Lie group with finite center. Let $\Gamma \subset G$ be an irreducible lattice. If $H \subset G$ is a closed subgroup and H is not compact, then H is ergodic on G/Γ .*

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