

2009 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Fortinet	11/17/2009	\$12.50	FTNT	3.4959E+113	IPO	Morgan Stanley, JP Morgan, Deutsche Bank
Dollar General	11/12/2009	\$21.00	DG	256677105	IPO	Citigroup, Goldman Sachs, KKR, BofA Merrill Lynch, JP Morgan
RehabCare Group	11/12/2009	\$24.00	RHB	759148109	ADD-ON	BofA Merrill Lynch, JP Morgan
Hyatt Hotels	11/04/2009	\$25.00	H	448579102	IPO	Goldman Sachs
Dole Food Company	10/22/2009	\$12.50	DOLE	256603101	IPO	Goldman Sachs, BofA Merrill Lynch, Deutsche Bank, Wells Fargo Securities
SuccessFactors	10/20/2009	\$15.50	SFSF	864596101	ADD-ON	Goldman Sachs, Deutsche Bank, Morgan Stanley

Feedback

2009 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
AGA Medical Holdings	10/20/2009	\$14.50	AGAM	8368102	IPO	BofA Merrill Lynch, Citigroup, Deutsche Bank, Leerink Swann, Wells Fargo Securities
Canadian Solar	10/15/2009	\$15.75	CSIQ	136635109	ADD-ON	Morgan Stanley, Deutsche Bank, Piper Jaffray
RailAmerica	10/12/2009	\$15.00	RA	750753402	IPO	JP Morgan, Citigroup, Deutsche Bank, Morgan Stanley
Omeros Corporation	10/07/2009	\$10.00	OMER	682143102	IPO	Deutsche Bank
America First Tax Exempt Investors, L.P.	10/06/2009	\$5.05	ATAX	02364V107	ADD-ON	Deutsche Bank, RBC Capital Markets
Qiagen N.V.	09/25/2009	\$20.25	QGEN	N72482107	ADD-ON	Deutsche Bank, Goldman Sachs, JPMorgan
A123 Systems	09/23/2009	\$13.50	AONE	03739T108	IPO	Morgan Stanley, Goldman Sachs

Feedback

2009 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Bruker Corporation	09/22/2009	\$9.85	BRKR	116794108	ADD-ON	Goldman Sachs, JPMorgan, Deutsche Bank
CreXus Investment Corporation	09/16/2009	\$15.00	CXS	226553105	IPO	Deutsche Bank BofA Merrill Lynch
Ramco Gershenson Properties Trust	09/10/2009	\$8.50	RPT	751452202	ADD-ON	JP Morgan, Deutsche Bank, Key Banc Capital Markets
Starwood Property Trust	08/11/2009	\$20.00	STWD	85571B105	IPO	BofA Merrill Lynch, Deutsche Bank, Citigroup
Avago Technologies Limited	08/05/2009	\$15.00	AVGO	Y0486S104	IPO	Deutsche Bank, Barclays Capital, Morgan Stanley, Citigroup
STEC	08/05/2009	\$31.00	STEC	784774101	ADD-ON	JPMorgan, Deutsche Bank
PennyMac Mortgage Investment Trust	07/29/2009	\$20.00	PMT	70931T103	IPO	Merrill Lynch, Credit Suisse, Deutsche Bank

Feedback

2009 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Western Asset Municipal Defined Opportunity Trust	03/26/2009	\$20.00	MTT	95768A109	IPO	Wachovia Securities, Citigroup

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2008 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Healthcare Realty Trust	09/23/2008	\$25.50	HR	421946104	ADD-ON	Wachovia Securities, JPMorgan, Bank of America, UBS
Tyson Foods	09/09/2008	\$12.75	TSN	902494103	ADD-ON	JPMorgan, Merrill Lynch
EnergySolutions	07/24/2008	\$19.00	ES	292756202	ADD-ON	JPMorgan, UBS
Luminex	06/24/2008	\$19.91	LMNX	5.5027E+106	ADD-ON	Credit Suisse, JPMorgan
CapitalSource	06/23/2008	\$11.00	CSE	14055X102	ADD-ON	JPMorgan, Wachovia Securities
Synthesis Energy Systems	06/19/2008	\$9.25	SYMX	871628103	ADD-ON	JPMorgan, Deutsche Bank
RHI Entertainment	06/17/2008	\$14.00	RHIE	74957T104	IPO	JPMorgan, Bank of America
Monotype Imaging Holdings	06/12/2008	\$12.00	TYPE	61022P100	ADD-ON	JPMorgan, Bank of America

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2008 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Innophos Holdings	06/04/2008	\$27.50	IPHS	45774N108	ADD-ON	JPMorgan, Oppenheimer
Nucor	05/22/2008	\$74.00	NUE	670346105	ADD-ON	Bank of America, Citigroup, JPMorgan
Polyvore International	05/22/2008	\$24.00	PPO	73179V103	ADD-ON	JP Morgan
Ascent Solar Technologies	05/15/2008	\$14.00	ASTI	43635101	ADD-ON	JP Morgan
American International Group	05/12/2008	\$38.00	AIG	26874107	ADD-ON	Citigroup, JPMorgan
Western Gas Partners LP	05/08/2008	\$16.50	WES	958254104	IPO	UBS, Citigroup, Credit Suisse, Morgan Stanley
Fannie Mae	05/08/2008	\$27.50	FNM	313586109	ADD-ON	Lehman Brothers, JPMorgan
Pioneer Southwest Energy Partners LP	04/30/2008	\$19.00	PSE	72388B106	IPO	Citigroup, Deutsche Bank, UBS
Range Resources	04/30/2008	\$66.38	RRC	75281A109	ADD-ON	JPMorgan, Credit Suisse

Feedback

2008 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Eaton	04/22/2008	\$84.00	ETN	278058102	ADD-ON	JPMorgan, Goldman Sachs, Bank of America, Citigroup, HSBC, Merrill Lynch, UBS, Wachovia Securities
VISA	03/18/2008	\$44.00	V	92826C839	IPO	Citi, JPMorgan, Morgan Stanley
Acorda Therapeutics	02/12/2008	\$21.50	ACOR	00484M106	ADD-ON	JP Morgan, Deutsche Bank
Cascal NV	01/28/2008	\$12.00	HOO	N1842P109	IPO	JP Morgan, Credit Suisse
Williams Pipeline Partners LP	01/17/2008	\$20.00	WMZ	96950K103	IPO	JP Morgan, Banc of America

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2007 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Concho Resources	12/13/2007	\$18.05	CXO	20605P101	ADD-ON	Lehman Brothers, Citigroup, Merrill Lynch
Teekay Tankers	12/12/2007	\$19.50	TNK	Y8565N102	IPO	Lehman Brothers, Citigroup, Merrill Lynch

2007 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Williams Partners LP	12/05/2007	\$37.75	WPZ	96950F104	ADD-ON	Citigroup, Morgan Stanley
PROS Holdings	12/05/2007	\$16.75	PRO	74346Y103	ADD-ON	JP Morgan, Deutsche Bank
WSP Holdings	12/05/2007	\$8.50	WH	92934F104	IPO	JP Morgan
Penn Virginia	11/29/2007	\$41.25	PVA	707882106	ADD-ON	JP Morgan, Lehman Brothers, RBC Capital Markets
Westar Energy	11/15/2007	\$25.25	WR	95709T100	ADD-ON	JP Morgan/UB
Energy Solutions	11/14/2007	\$23.00	ES	292756202	IPO	Credit Suisse, J.P. Morgan Morgan Stanley
Burger King Holdings	11/13/2007	\$25.00	BKC	121208201	ADD-ON	Goldman Sachs, JP Morgan, Morgan Stanley
Navios Maritime	11/12/2007	\$20.00	NMM	Y62267102	IPO	JP Morgan, Merrill Lynch
Approach Resources	11/07/2007	\$12.00	AREX	03834A103	IPO	JP Morgan
Insulet	11/06/2007	\$23.25	PODD	45784P101	ADD-ON	Wachovia Securities, JP Morgan

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2007 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
BioForm Medical	11/06/2007	\$8.00	BFRM	09065G107	IPO	Citigroup, J Morgan, Credit Suisse
McMoRan Exploration	11/01/2007	\$12.40	MMR	582411104	ADD-ON	Goldman Sachs, JP Morgan
Gerdau Ameristeel	11/01/2007	\$12.25	GNA	37373P105	ADD-ON	JP Morgan, Citigroup, Bear Stearn
ULTA Salon, Cosmetics and Fragrance	10/24/2007	\$18.00	ULTA	90384S303	IPO	JP Morgan, Banc of America, Piper Jaffra
Volcano	10/17/2007	\$16.25	VOLC	928645100	ADD-ON	JP Morgan, Wachovia Securities
Aircastle Limited	10/03/2007	\$31.75	AYR	G0129K104	ADD-ON	Merrill Lynch, JP Morgan
Solera Holdings	10/03/2007	\$18.75	SLH	83421A104	ADD-ON	JP Morgan, ABN AMRC, CIBC World Markets, HSBC
Qimonda AG	09/20/2007	\$10.92	QI	746904101	ADD-ON	JP Morgan, Piper Jaffra
Diana Shipping	09/20/2007	\$25.00	DSX	Y2066G104	ADD-ON	JP Morgan, Merrill Lynch
Heartland Payment Systems	09/17/2007	\$26.34	HPY	42235N108	ADD-ON	Citigroup, J Morgan, Lehman Brothers

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2007 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
VMware	08/13/2007	\$29.00	VMW	928563402	IPO	Citigroup, J Morgan
MercadoLibre	08/09/2007	\$18.00	MELI	58733R102	IPO	JP Morgan, Merrill Lync
WuXi PharmaTech	08/08/2007	\$14.00	WX	929352102	IPO	Credit Suisse, JP Morgan
Quicksilver Gas Services LP	08/06/2007	\$21.00	KGS	74839G106	IPO	UBS, Goldman Sachs
Virtusa	08/02/2007	\$14.00	VRTU	92827P102	IPO	JP Morgan
Concho Resources	08/02/2007	\$11.50	CXO	20605P101	IPO	JP Morgan, Banc of America
Genpact Limited	08/01/2007	\$14.00	G	G3922B107	IPO	UBS, Goldman Sachs, Morgan Stanley
Regency Energy Partners LP	07/26/2007	\$32.05	RGNC	75885Y107	ADD-ON	Morgan Stanley, Citigroup, J Morgan
Claymore/Guggenheim Strategic Opportunities Fund	07/26/2007	\$20.00	GOF	18385X104	IPO	Wachovia Securities, Raymond James
Voltaire Limited	07/25/2007	\$9.00	VOLT	M97613109	IPO	JP Morgan, Merrill Lync

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2007 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Orbitz Worldwide	07/19/2007	\$15.00	OWW	68557K109	IPO	Morgan Stanley, Goldman Sachs, Lehman Brothers, JF Morgan
MF Global Limited	07/18/2007	\$30.00	MF	G60642108	IPO	Citigroup, J Morgan, Lehman Brothers, Merrill Lynch, UBS
Whiting Petroleum	06/27/2007	\$40.50	WLL	966387102	ADD-ON	Merrill Lynch, JP Morgan
PROS Holdings	06/27/2007	\$11.00	PRO	74346Y103	IPO	JP Morgan, Deutsche Bank
Polypore International	06/27/2007	\$19.00	PPO	73179V103	IPO	JP Morgan
BlackRock Kelso Capital	06/26/2007	\$16.00	BKCC	92533108	IPO	JP Morgan, Wachovia Securities, Citigroup, Merrill Lynch
First Mercury Financial	06/14/2007	\$19.25	FMR	320841109	ADD-ON	JP Morgan, Keefe, Bruyette & Woods
Security Capital Assurance	06/06/2007	\$31.00	SCA	G8018D107	ADD-ON	Goldman Sachs, JP Morgan, Merrill Lynch

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2007 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
BlackRock International Growth and Income Trust	05/24/2007	\$20.00	BGY	92524107	IPO	Wachovia Securities, Citigroup, Merrill Lynch
Navios Maritime	05/23/2007	\$10.00	NM	Y62196103	ADD-ON	JP Morgan, Merrill Lynch
Dolby Laboratories	05/23/2007	\$32.00	DLB	25659T107	ADD-ON	Goldman Sachs, JP Morgan
Sirtris Pharmaceuticals	05/22/2007	\$10.00	SIRT	82968A105	IPO	JP Morgan
Genomic Health	05/21/2007	\$15.50	GHDX	37244C101	ADD-ON	JP Morgan
Taiwan Semiconductor Manufacturing	05/17/2007	\$10.68	TSM	874039100	ADD-ON	Goldman Sachs, JP Morgan
Continental Resources	05/14/2007	\$15.00	CLR	212015101	IPO	JP Morgan, Merrill L
Insulet	05/14/2007	\$15.00	PODD	45784P101	IPO	JP Morgan, Merrill Lynch
Javelin Pharmaceuticals	05/10/2007	\$6.00	JAV	471894105	ADD-ON	JP Morgan
Solera Holdings	05/10/2007	\$16.00	SLH	83421A104	IPO	Goldman Sachs, JP Morgan
Interactive Brokers Group	05/03/2007	\$30.01	IBKR	45841N107	IPO	WR Hambrecht, HSBC
Array BioPharma	05/01/2007	\$13.00	ARRY	04269X105	ADD-ON	JP Morgan, Banc of America

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2007 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Empresa Distribuidora Y Comercializadora Norte S.A	04/25/2007	\$17.00	EDN	29244A102	IPO	Citigroup, J Morgan
Superior Offshore International	04/19/2007	\$15.00	DEEP	86825Q104	IPO	Merrill Lynch, JP Morgan
EV3	04/19/2007	\$19.00	EVVV	26928A200	ADD-ON	Banc of America, Piper Jaffray, JP Morgan
Diana Shipping	03/28/2007	\$17.00	DSX	Y2066G104	ADD-ON	JP Morgan, Wachovia Securities
First Trust Strategic High Income Fund III	03/27/2007	\$20.00	FHO	33735C106	IPO	RBC Capital Markets, AG Edwards
BlackRock Global Equity Income Trust	03/27/2007	\$20.00	BFD	09255D104	IPO	Merrill Lynch, AG Edwards
Freeport-McMoRan Copper & Gold	03/22/2007	\$61.25	FCX	35671D857	ADD-ON	Merrill Lynch, JP Morgan
NYMEX Holdings	03/21/2007	\$136.50	NMX	62948N104	ADD-ON	JP Morgan, of America, Merrill Lynch
USG	03/15/2007	\$48.60	USG	903293405	ADD-ON	Goldman Sachs, JP Morgan
American Reprographics	03/08/2007	\$32.25	ARP	29263100	ADD-ON	JP Morgan, Goldman Sachs

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2007 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Xinhua Finance Media	03/08/2007	\$13.00	XFML	983982109	IPO	JP Morgan, UBS
Clearwire	03/07/2007	\$25.00	CLWR	185385309	IPO	Merrill Lynch, Morgan Stanley, JP Morgan
Mylan Laboratories	03/01/2007	\$19.50	MYL	628530107	ADD-ON	Merrill Lynch, JP Morgan
Vectren	02/22/2007	\$28.33	VVC	92240G101	ADD-ON	JP Morgan, Merrill Lync
National CineMedia	02/07/2007	\$21.00	NCMI	635309107	IPO	Credit Suisse, JP Morgan Lehman Brothers Morgan Stanley
Mellanox Technologies	02/07/2007	\$17.00	MLNX	M51363113	IPO	Credit Suisse, JP Morgan
Accuray	02/07/2007	\$18.00	ARAY	4397105	IPO	JP Morgan, UBS
Aircastle Limited	02/07/2007	\$33.00	AYR	G0129K104	ADD-ON	JP Morgan, Bear Stearns, Citigroup
Embraer-Empresa Brasileira De Aeronautica S.A.	02/06/2007	\$41.00	ERJ	29081M102	ADD-ON	JP Morgan, Merrill Lync
Duncan Energy Partners, L.P.	01/30/2007	\$21.00	DEP	265026104	IPO	Lehman Brothers, UBS

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2007 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Animal Health International	01/30/2007	\$11.00	AHII	03525N109	IPO	JP Morgan
Covanta Holding	01/25/2007	\$23.50	CVA	2.2282E+106	ADD-ON	Lehman Brothers, JF Morgan, Merrill Lync
Edge Petroleum	01/24/2007	\$13.25	EPEX	279862106	ADD-ON	Raymond James, JP Morgan
Vanda Pharmaceuticals	01/18/2007	\$27.29	VNDA	921659108	ADD-ON	JP Morgan, Morgan Stanley
InnerWorkings	01/18/2007	\$13.50	INWK	45773Y105	ADD-ON	Morgan Stanley, JP Morgan
Smart Modular Technologies	01/17/2007	\$12.50	SMOD	G82245104	ADD-ON	Citigroup, Morgan Lehman Brothers
Apollo Investment	01/11/2007	\$22.44	AINV	03761U106	ADD-ON	Citigroup, J Morgan, Bank of America, Bear Stearn
American Capital Strategies	01/08/2007	\$45.83	ACAS	24937104	ADD-ON	JP Morgan, Morgan Stanley, UB

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2006 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
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2006 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Valero GP Holdings	12/18/2006	\$21.62	VEH	91914G108	ADD-ON	Lehman Brothers, UBS, Citigroup, Morgan Stanley
Genesis Lease Limited	12/13/2006	\$23.00	GLS	37183T107	IPO	Citigroup, JP Morgan
Obagi Medical Products	12/13/2006	\$11.00	OMPI	67423R108	IPO	JP Morgan
Volcano	12/06/2006	\$16.75	VOLC	928645100	ADD-ON	JP Morgan, Piper Jaffray
MannKind	12/06/2006	\$17.42	MNKD	56400P201	ADD-ON	JP Morgan, Merrill Lynch
Penn Virginia GP Holdings	12/04/2006	\$18.50	PVG	70788P105	IPO	Lehman Brothers, UBS Investment Bank
Copano Energy	11/30/2006	\$59.11	CPNO	217202100	ADD-ON	UBS Investment Bank, Morgan Stanley
NYMEX Holdings	11/16/2006	\$59.00	NMX	62948N104	IPO	JP Morgan, Merrill Lynch
Venoco	11/16/2006	\$17.00	VQ	92275P307	IPO	Credit Suisse, Lehman Brothers, JP Morgan

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2006 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Hertz Global Holdings	11/15/2006	\$15.00	HTZ	42805T105	IPO	Goldman Sachs, Lehman Brothers, Merrill Lynch, Deutsche Bank, JP Morgan
Hansen Medical	11/15/2006	\$12.00	HNSN	411307101	IPO	Morgan Stanley, JP Morgan
Watts Water Technologies	11/15/2006	\$40.00	WTS	942749102	ADD-ON	JP Morgan
Emergent BioSolutions	11/14/2006	\$12.50	EBS	29089Q105	IPO	JP Morgan
ACA Capital Holdings	11/09/2006	\$13.00	ACA	833103	IPO	Credit Suisse, JP Morgan, Bear Stearns
Globalstar	11/01/2006	\$17.00	GSAT	378973408	IPO	Wachovia, JP Morgan
Endeavour International	10/19/2006	\$2.35	END	29259G101	ADD-ON	JP Morgan, Credit Suisse
First Mercury Financial	10/17/2006	\$17.00	FMR	320841109	IPO	JP Morgan, Keefe, Bruyette & Woods
Cbeyond	10/03/2006	\$26.75	CBEY	149847105	ADD-ON	Deutsche Bank, JP Morgan
Shutterfly	09/28/2006	\$15.00	SFLY	82568P304	IPO	JP Morgan
DivX	09/21/2006	\$16.00	DIVX	255413106	IPO	JP Morgan

2006 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Warner Chilcott	09/20/2006	\$15.00	WCRX	G9435N108	IPO	Goldman Sachs, JP Morgan, Credit Suisse
Home Diagnostics	09/20/2006	\$12.00	HDIX	436932107	IPO	JP Morgan
Time Warner Telecom	09/20/2006	\$17.50	TWTC	887319101	ADD-ON	Deutsche Bank, Lehman Brothers, JP Morgan
Horizon Lines	09/11/2006	\$14.50	HRZ	44044K101	ADD-ON	Deutsche Bank, JP Morgan
Qimonda AG	08/08/2006	\$13.00	QI	746904101	IPO	Citigroup, JP Morgan, Credit Suisse
Aircastle Limited	08/07/2006	\$23.00	AYR	G0129K104	IPO	JP Morgan, Bear Stearns, Citigroup
Security Capital Assurance	08/01/2006	\$20.50	SCA	G8018D107	IPO	Goldman Sachs, JP Morgan, Merrill Lynch
UniFirst	07/19/2006	\$29.50	UNF	904708104	ADD-ON	JP Morgan, Robert W. Baird & Co.
Valero GP Holdings	07/13/2006	\$22.00	VEH	91914G108	IPO	Lehman Brothers
MarkWest Energy Partners, L.P.	06/29/2006	\$39.75	MWE	570759100	ADD-ON	RBC Capital Markets, Wachovia

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2006 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
PGT	06/27/2006	\$14.00	PGTI	69336V101	IPO	Deutsche Bank, JP Morgan
Inergy, L.P.	06/22/2006	\$24.90	NRGY	456615103	ADD-ON	Citigroup, Lehman Brothers
Golfsmith International Holdings	06/14/2006	\$11.50	GOLF	38168Y103	IPO	Merrill Lynch, JP Morgan
Volcano	06/14/2006	\$8.00	VOLC	928645100	IPO	JP Morgan, Piper Jaffray
Horizon Lines	06/12/2006	\$14.00	HRZ	44044K101	ADD-ON	Deutsche Bank, JP Morgan
NxStage Medical	06/08/2006	\$8.75	NXTM	67072V103	ADD-ON	Merrill Lynch, JP Morgan
Superior Essex	06/06/2006	\$30.00	SPSX	86815V105	ADD-ON	UBS Investment Bank, JP Morgan
Global Cash Access Holdings	05/25/2006	\$15.75	GCA	378967103	ADD-ON	JP Morgan
MasterCard	05/24/2006	\$39.00	MA	57636Q104	IPO	Goldman Sachs, Citigroup, HSBC, JP Morgan
Burger King Holdings	05/17/2006	\$17.00	BKC	121208201	IPO	JP Morgan, Citigroup, Goldman Sachs, Morgan Stanley

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2006 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Penson Worldwide	05/16/2006	\$17.00	PNSN	709600100	IPO	JP Morgan, Credit Suisse
Dynamic Materials	05/09/2006	\$35.00	BOOM	267888105	ADD-ON	JP Morgan
NYSE Group	05/04/2006	\$61.50	NYX	62949W103	ADD-ON	JP Morgan, Lehman Brothers, Merrill Lynch, Morgan Stanley
Westlake Chemical	04/26/2006	\$31.25	WLK	960413102	ADD-ON	JP Morgan, Credit Suisse, Deutsche Bank
Omega Navigation Enterprises	04/06/2006	\$17.00	ONAV	Y6476R105	IPO	Jefferies & Co, JP Morgan
American Reprographics	04/05/2006	\$34.50	ARP	29263100	ADD-ON	JP Morgan, Goldman Sachs
Goodman Global	04/05/2006	\$18.00	GGL	38239A100	IPO	JP Morgan, Merrill Lynch
Nationwide Health Properties	03/30/2006	\$21.50	NHP	638620104	ADD-ON	JP Morgan, UBS Securities LLC
Apollo Investment	03/16/2006	\$17.85	AINV	03761U106	ADD-ON	Citigroup, JP Morgan, Bank of America, Bear Stearns
Coeur d'Alene Mining	03/16/2006	\$5.60	CDE	192108104	ADD-ON	Deutsche Bank, JP Morgan

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2006 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Ultra Clean	03/09/2006	\$7.10	UCTT	90385V107	ADD-ON	JP Morgan
NTELOS	02/18/2006	\$12.00	NTLS	67020Q107	IPO	Lehman Brothers, Bear Stearns

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2005 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Tronox	11/21/2005	\$14.00	TRX	897051108	IPO	Lehman Brothers, JP Morgan
Dover Saddlery	11/17/2005	\$10.00	DOVR	260412101	IPO	WR Hambrecht
Global Partners	09/28/2005	\$22.00	GLP	37946R109	IPO	Lehman Brothers, KeyBank
Avalon Pharmaceuticals	09/28/2005	\$10.50	AVRX	05346P106	IPO	WR Hambrecht
Hittite Microwave	07/21/2005	\$17.00	HITT	43365Y104	IPO	Lehman Brothers
CryoCor	07/13/2005	\$11.00	CRYO	229016100	IPO	WR Hambrecht
DSW	06/28/2005	\$19.00	DSW	23334L102	IPO	Lehman Brothers
Cogent Communications Group	06/07/2005	\$6.00	COI	19239V302	ADD-ON	Lehman Brothers
New Skies Satellites Holdings	05/09/2005	\$16.50	NSE	G64865101	IPO	Lehman Brothers

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2005 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Morningstar	05/03/2005	\$18.50	MORN	617700109	IPO	WR Hambrecht
Syniverse Technologies	02/09/2005	\$16.00	SVR	87163F106	IPO	Lehman Brothers
Tercica	02/07/2005	\$8.00	TRCA	88078L105	ADD-ON	Lehman Brothers

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2004 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Cascade Microtech	12/14/2004	\$14.00	CSCD	147322101	IPO	Lehman Brothers
Ormat Technology	11/10/2004	\$15.00	ORA	686688102	IPO	Lehman Brothers
U-Store-It	10/21/2004	\$16.00	YSI	91274F104	IPO	Lehman Brothers
Ness Technologies	09/29/2004	\$12.00	NSTC	64104X108	IPO	Lehman Brothers, Merrill Lynch
TNS	09/27/2004	\$20.00	TNS	872960109	ADD-ON	Lehman Brothers, JP Morgan
Google	08/18/2004	\$85.00	GOOG	03840P102	IPO	CSFB, Morgan Stanley
Archipelago Holdings	08/12/2004	\$11.50	AX	03957A104	IPO	Goldman Sachs
Kite Realty	08/10/2004	\$13.00	KRG	49803T102	IPO	Lehman Brothers, Wachovia

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2004 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Greenfield Online	07/15/2004	\$13.00	SRVY	395150105	IPO	Lehman Brothers
Domino's Pizza	07/12/2004	\$14.00	DPZ	25754A201	IPO	JP Morgan, Citigroup
Origen Financial	05/05/2004	\$8.00	ORGN	6.8619E+212	IPO	Lehman Brothers
Eaton Vance Tax advantage Global	04/27/2004	\$20.00	ETO	27828U106	IPO	UBS
Netgear	03/29/2004	\$13.00	NTGR	64111Q104	ADD-ON	Lehman Brothers
TS&W/Claymore Tax Advantaged Fund	03/27/2004	\$15.00	TYW	87280R108	IPO	Merrill Lynch
Ariad Pharmaceuticals	03/23/2004	\$8.50	ARIA	04033A100	ADD-ON	Lehman Brothers
Sunset Financial Resources	03/17/2004	\$13.00	SFO	867708109	IPO	Lehman Brothers
Tercica	03/16/2004	\$9.00	TRCA	88078L105	IPO	Lehman Brothers
Hughes Supply	01/22/2004	\$48.25	HUG	444482103	ADD-ON	Lehman Brothers
Pioneer Tax Advanced Balanced	01/27/2004	\$15.00	PBF	72388R101	IPO	Merrill Lynch
Annaly Mortgage Management	01/14/2004	\$18.50	NLY	35710409	ADD-ON	Lehman Brothers

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2003 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager

2003 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Tessera	11/12/2003	\$13.00	TSRA	88164L100	IPO	Lehman Brothers
Cancervax	10/29/2003	\$12.00	CNVX	13738Y107	IPO	Lehman Brothers
SimpleTech	10/23/2003	\$7.00	STEC	828823104	ADD-ON	Lehman Brothers
Tuesday Morning	10/20/2003	\$31.75	TUES	899035505	ADD-ON	Lehman Brothers
Advancis Pharmaceutical	10/16/2003	\$10.00	AVNC	00764L109	IPO	Lehman Brothers
Redenvelope	09/24/2003	\$14.00	REDE	75733R601	IPO	WR Hambrecht
Texas Capital Bancshares	08/12/2003	\$11.00	TCBI	88224Q107	IPO	Lehman Brothers
Netgear	07/30/2003	\$14.00	NTGR	64111Q104	IPO	Lehman Brothers
Corrections Corp of America	05/01/2003	\$19.50	CXW	22025y407	ADD-ON	Lehman Brothers

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2002 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
VistaCare	12/17/2002	\$12.00	VSTA	92839Y109	IPO	Lehman Brothers
Denbury Resources	11/21/2002	\$10.00	DNR	247916208	ADD-ON	Lehman Brothers
IStar Financial	11/14/2002	\$26.77	SFI	45031U101	ADD-ON	Lehman Brothers

2002 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
United Surgical Partners	09/30/2002	\$22.00	USPI	913016309	ADD-ON	Lehman Brothers, CSFB
CIT Group	07/01/2002	\$23.00	CIT	125581108	IPO	Goldman Sachs, Lehman Brothers
L-3 Communications	06/24/2002	\$56.60	LLL	502424104	ADD-ON	Lehman Brothers
DQE	06/20/2002	\$13.50	DQE	23329J104	ADD-ON	Lehman Brothers
Fleming Companies	06/12/2002	\$19.40	FLM	339130106	ADD-ON	Lehman Brothers
Williams Energy Partners	05/22/2002	\$37.15	WEG	969491109	ADD-ON	Lehman Brothers
The Empire District Electric Company	05/16/2002	\$20.75	EDE	291641108	ADD-ON	Lehman Brothers
Verint Systems	05/15/2002	\$16.00	VRNT	92343X100	IPO	Lehman Brothers
DOV Pharmaceutical	04/24/2002	\$13.00	DOVP	259858108	IPO	Lehman Brothers
Tesoro Petroleum	02/28/2002	\$11.25	TSO	881609101	ADD-ON	Lehman Brothers
Xcel Energy	02/25/2002	\$22.50	XEL	98389B100	ADD-ON	Lehman Brothers
Alloy	02/20/2002	\$15.21	ALOY	19855105	ADD-ON	Lehman Brothers
Array Biopharma	02/12/2002	\$10.00	ARRY	04269X105	ADD-ON	Lehman Brothers

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2002 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Thoratec	02/12/2002	\$16.00	THOR	885175307	ADD-ON	Lehman Brothers
Sunoco Logistics	02/04/2002	\$20.25	SXL	86764L108	IPO	Lehman Brothers
ZymoGenetics	01/31/2002	\$12.00	ZGEN	98985T109	IPO	Lehman Brothers

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2001 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
United Defense Industries	12/13/2001	\$19.00	UDI	91018B104	IPO	Lehman Brothers
BankAtlantic	12/13/2001	\$8.25	BBX	65908501	IPO	Lehman Brothers
Airgate PCS	12/13/2001	\$50.00	PCSA	9367103	ADD-ON	Lehman Brothers
Lawson Software	12/06/2001	\$14.00	LWSN	520780107	IPO	Lehman Brothers
Given Imaging	10/03/2001	\$12.00	GIVN	M52020100	IPO	Lehman Brothers
Lantronix	07/16/2001	\$8.00	LTRX	516548104	ADD-ON	Lehman Brothers
Intermune	06/28/2001	\$32.00	ITMN	45884X103	ADD-ON	Lehman Brothers
Pemstar	06/07/2001	\$13.50	PMTR	706552106	ADD-ON	Lehman Brothers
Integrated Circuit Systems	05/24/2001	\$15.00	ICST	45811K208	ADD-ON	Lehman Brothers
Peabody Energy	05/21/2001	\$28.00	BTU	704549104	IPO	Lehman Brothers

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2001 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Briazz	05/01/2001	\$8.00	BRZZ	10782M104	IPO	WR Hambrecht
L-3 Communications Holdings	04/25/2001	\$80.00	LLL	502424104	ADD-ON	Lehman Brothers
Aquilla	04/23/2001	\$24.00	ILA	03840J106	IPO	Lehman Brothers
Valspar	04/17/2001	\$28.00	VAL	920355104	ADD-ON	Lehman Brothers
Espeed	03/07/2001	\$20.00	ESPD	296643109	ADD-ON	Lehman Brothers
Adept Technology	02/15/2001	\$18.00	ADTK	6854103	ADD-ON	Lehman Brothers
Third Wave	02/09/2001	\$11.00	TWTI	88428W108	IPO	Lehman Brothers
InfoVista SA	02/08/2001	\$23.33	IVTA	456817105	ADD-ON	Lehman Brothers
ATP Oil & Gas	02/05/2001	\$14.00	ATPG	00208J108	IPO	Lehman Brothers
Peet's Coffee & Tea	01/24/2001	\$8.00	PEET	705560100	IPO	W.R. Hambrecht
Williams Companies	01/16/2001	\$36.13	WMB	969457100	ADD-ON	Lehman Brothers

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2000 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Oxford GlycoSciences PLC	12/07/2000	\$18.36	OGSI	691464101	IPO	Lehman Brothers

2000 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Array Biopharma	11/16/2000	\$7.50	ARRY	04269X105	IPO	Lehman Brothers
Kosan Bioscience	10/06/2000	\$14.00	KOSN	50064W107	IPO	Lehman Brothers
Simple Technology	09/28/2000	\$11.00	STEC	828820100	IPO	Lehman Brothers
Prize Energy	09/21/2000	\$21.38	PRZ	74267L106	ADD-ON	Lehman Brothers
Integrated Telecom	08/17/2000	\$18.00	ITXI	45817U101	IPO	Lehman Brothers
Telik	08/11/2000	\$7.00	TELK	87959M109	IPO	Lehman Brothers
VINA Technologies	08/09/2000	\$12.00	VINA	92719D100	IPO	Lehman Brothers
H Power	08/08/2000	\$16.00	HPOW	40427A108	IPO	Lehman Brothers
Mind C.T.I. Ltd.	08/07/2000	\$10.00	MNDO	M70240102	IPO	Lehman Brothers
Pemstar	08/07/2000	\$11.00	PMTR	706552106	IPO	Lehman Brothers
Versicor	08/02/2000	\$11.00	VERS	925314106	IPO	Lehman Brothers
Rossetta Inpharmatics	08/02/2000	\$14.00	RSTA	777777103	IPO	Lehman Brothers
Virage Logic	07/31/2000	\$12.00	VIRL	92763R104	IPO	Lehman Brothers
SBA Communications	07/28/2000	\$43.00	SBAC	78388J106	ADD-ON	Lehman Brothers
Talarian	07/20/2000	\$16.00	TALR	874090103	IPO	Lehman Brothers

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2000 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
SMTC	07/20/2000	\$16.00	SMTX	832682108	IPO	Lehman Brothers
InfoVista	07/06/2000	\$12.22	IVTA	456817105	IPO	Lehman Brothers
Louis Dreyfus Natural Gas	06/29/2000	\$31.00	LD	546011107	ADD-ON	Lehman Brothers
eFunds	06/26/2000	\$13.00	EFDS	28224R101	IPO	Lehman Brothers
Stratos Lightwave	06/26/2000	\$21.00	STLW	863100103	IPO	Lehman Brothers
Click Software	06/22/2000	\$7.00	CKSW	M25082104	IPO	Lehman Brothers
Intuitive Surgical	06/13/2000	\$9.00	ISRG	4.612E+111	IPO	Lehman Brothers
CARBO Ceramics	05/15/2000	\$27.00	CRBO	140781105	ADD-ON	Lehman Brothers
Sequoia Software	05/11/2000	\$8.00	SQSW	817439102	IPO	Lehman Brothers
Sierra Wireless	05/05/2000	\$48.63	SWIR	826516106	ADD-ON	Lehman Brothers
McMoRan Exploration	04/13/2000	\$14.00	MMR	582411104	ADD-ON	Lehman Brothers
Thoratec Laboratories	04/13/2000	\$10.00	THOR	885175307	ADD-ON	Lehman Brothers
Network Plus	04/06/2000	\$29.00	NPLS	64122D506	ADD-ON	Goldman, Sachs & Co
MeVC DFJ Fund I	03/28/2000	\$20.00	MVC	5.5271E+109	IPO	Prudential Volpe Technology

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2000 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Net Perceptions	03/23/2000	\$45.25	NETP	64107U101	ADD-ON	Robertson Stephens
Tut Systems	03/23/2000	\$60.00	TUTS	901103101	ADD-ON	Lehman Brothers
Tularik	03/22/2000	\$35.13	TLRK	899165104	ADD-ON	Lehman Brothers
TIBCO Software	03/21/2000	\$106.00	TIBX	88632Q103	ADD-ON	Goldman, Sachs & Co
Tele1 Europe Holding	03/15/2000	\$18.50	TEUR	87944T201	IPO	Lehman Brothers, Goldman Sachs
RADVision	03/13/2000	\$20.00	RVSN	750992109	IPO	Lehman Brothers
Quest Software	03/09/2000	\$140.00	QSFT	74834T103	ADD-ON	FleetBoston, Robertson Stephens
ITXC	03/09/2000	\$85.00	ITXC	45069F109	ADD-ON	Lehman Brothers
IBasis	03/09/2000	\$73.00	IBAS	450732102	ADD-ON	FleetBoston, Robertson Stephens
Optibase LTD.	03/08/2000	\$37.00	OBAS	M7524R108	ADD-ON	FleetBoston, Robertson Stephens
FirstWorld Communications	03/07/2000	\$17.00	FWIS	337625305	IPO	Lehman Brothers
IFCO Systems	03/03/2000	\$14.90	IFCO	N43961106	IPO	Lehman Brothers
Palm	03/01/2000	\$38.00	PALM	696642107	IPO	Goldman, Sachs & Co.

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2000 Offerings	Pricing Date	Price	Symbol	CUSIP	Deal Type	Lead Manager
Network Peripherals	02/29/2000	\$60.88	NPIX	64121R100	ADD-ON	Lehman Brothers
Keynote Systems	02/17/2000	\$105.00	KEYN	493308100	ADD-ON	Robertson Stephens
Abgenix	02/10/2000	\$210.00	ABGX	00339B107	ADD-ON	Robertson Stephens
Kerr-McGee	02/07/2000	\$30.06	KMG	492386107	ADD-ON	Lehman Brothers
Heidrick & Struggles International	02/03/2000	\$33.00	HSII	422819102	ADD-ON	Lehman Brothers
Therma-Wave	02/03/2000	\$20.00	TWAV	88343A108	IPO	Lehman Brothers, Banc of America
Dobson Communications	02/03/2000	\$22.00	DCEL	256069105	IPO	Lehman Brothers, Banc of America, SSB
Metricom	02/01/2000	\$87.00	MCOM	591596101	ADD-ON	Lehman Brothers, SSB
SBA Communications	01/28/2000	\$27.00	SBAC	78388J106	ADD-ON	Lehman Brothers
Alteon Websystems	01/25/2000	\$103.50	ATON	02145A109	ADD-ON	Lehman Brothers
China.com	01/19/2000	\$85.00	CHINA	G2108N109	ADD-ON	Lehman Brothers, Goldman Sachs

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