# New Lower Bounds for Constant Dimension Codes

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Abstract—This paper provides new constructive lower bounds for constant dimension codes, using Ferrers diagram rank metric codes and pending blocks. Constructions for two families of parameters of constant dimension codes are presented. The examples of codes obtained by these constructions are the largest known constant dimension codes for the given parameters.

## I. INTRODUCTION

Let  $\mathbb{F}_q$  be the finite field of size q. Given two integers k, n, such that  $0 \le k \le n$ , the set of all k-dimensional subspaces of  $\mathbb{F}_q^n$  forms the Grassmannian over  $\mathbb{F}_q$ , denoted by  $\mathcal{G}_q(k,n)$ . It is well known that the cardinality of the Grassmannian is given by the q-ary Gaussian coefficient

$$\begin{bmatrix} n \\ k \end{bmatrix}_q \stackrel{\text{def}}{=} |\mathcal{G}_q(k,n)| = \prod_{i=0}^{k-1} \frac{q^{n-i} - 1}{q^{k-i} - 1}.$$

The Grassmannian space is a metric space, where the *subspace* distance between any two subspaces X and Y in  $\mathcal{G}_q(k, n)$ , is given by

$$d_S(X,Y) \stackrel{\text{def}}{=} \dim X + \dim Y - 2\dim(X \cap Y).$$
 (1)

We say that  $\mathbb{C} \subseteq \mathcal{G}_q(k,n)$  is an  $(n,M,d,k)_q$  code in the Grassmannian, or constant-dimension code, if  $M=|\mathbb{C}|$  and  $d_S(X,Y) \geq d$  for all distinct elements  $X,Y \in \mathbb{C}$ . Note, that the minimum distance d of  $\mathbb{C}$  is always even.  $A_q(n,d,k)$  will denote the maximum size of an  $(n,M,d,k)_q$  code.

Constant dimension codes have drawn a significant attention in the last five years due to the work by Koetter and Kschischang [8], where they presented an application of such codes for error-correction in random network coding. Constructions and bounds for constant dimension codes were given in [1], [2], [3], [4], [6], [7], [9], [11], [13], [17].

In this paper we focus on constructions of large constant dimension codes. In particular, we generalize the ideas used for constructing codes in the Grassmannian from [2], [3], [17] and obtain new lower bounds on  $A_q(n,d,k)$ . In Section II we introduce the necessary definitions and present two known constructions which will be the starting point to our new constructions. In Section III we introduce the notation of pending blocks. In Sections IV and V we present our new constructions. It appears that the codes obtained by these constructions are the largest known constant dimension codes for the given parameters.

The second author was partially supported by SNF grant no. 138080 and Forschungskredit of the University of Zurich, grant no. 57104103.

#### II. PRELIMINARIES

In this section we briefly provide the definitions and previous results used in our constructions. More details can be found in [2], [3], [17].

Let X be a k-dimensional subspace of  $\mathbb{F}_q^n$ . We represent X by the matrix  $\operatorname{RE}(X)$  in reduced row echelon form, such that the rows of  $\operatorname{RE}(X)$  form the basis of X. The *identifying vector* of X, denoted by v(X), is the binary vector of length n and weight k, where the k ones of v(X) are exactly in the positions where  $\operatorname{RE}(X)$  has the leading coefficients (the pivots).

The Ferrers tableaux form of a subspace X, denoted by  $\mathcal{F}(X)$ , is obtained from  $\mathrm{RE}(X)$  first by removing from each row of  $\mathrm{RE}(X)$  the zeroes to the left of the leading coefficient; and after that removing the columns which contain the leading coefficients. All the remaining entries are shifted to the right. The Ferrers diagram of X, denoted by  $\mathcal{F}_X$ , is obtained from  $\mathcal{F}(X)$  by replacing the entries of  $\mathcal{F}(X)$  with dots.

Given  $\mathcal{F}(X)$ , the unique corresponding subspace  $X \in \mathcal{G}_q(k,n)$  can easily be found. Also given v(X), the unique corresponding  $\mathcal{F}_X$  can be found. When we fill the dots of a Ferrers diagram by elements of  $\mathbb{F}_q$ , we obtain a  $\mathcal{F}(X)$  for some  $X \in \mathcal{G}_q(k,n)$ .

In the following we will consider Ferrers diagrams rank-metric codes which are closely related to constant dimension codes. For two  $m \times \ell$  matrices A and B over  $\mathbb{F}_q$  the rank distance,  $d_R(A,B)$ , is defined by  $d_R(A,B) \stackrel{\mathrm{def}}{=} \mathrm{rank}(A-B)$ .

Let  $\mathcal F$  be a Ferrers diagram with m dots in the rightmost column and  $\ell$  dots in the top row. A code  $\mathcal C_{\mathcal F}$  is an  $[\mathcal F, \rho, \delta]$  Ferrers diagram rank-metric (FDRM) code if all codewords of  $\mathcal C_{\mathcal F}$  are  $m \times \ell$  matrices in which all entries not in  $\mathcal F$  are zeroes, they form a linear subspace of dimension  $\rho$  of  $\mathbb F_q^{m \times \ell}$ , and for any two distinct codewords A and B,  $d_R(A,B) \geq \delta$ . If  $\mathcal F$  is a rectangular  $m \times \ell$  diagram with  $m \cdot \ell$  dots then the FDRM code is a classical rank-metric code [5], [12]. The following theorem provides an upper bound on the cardinality of  $\mathcal C_{\mathcal F}$ .

**Theorem 1.** [2] Let  $\mathcal{F}$  be a Ferrers diagram and  $\mathcal{C}_{\mathcal{F}}$  the corresponding FDRM code. Then  $|\mathcal{C}_{\mathcal{F}}| \leq q^{\min_i\{w_i\}}$ , where  $w_i$  is the number of dots in  $\mathcal{F}$  which are not contained in the first i rows and the rightmost  $\delta - 1 - i$  columns  $(0 \leq i \leq \delta - 1)$ .

A code which attains the bound of Theorem 1 is called a Ferrers diagram maximum rank distance (FDMRD) code.

**Remark 2.** *Maximum rank distance (MRD) codes are a class of*  $[\mathcal{F}, \ell(m-\delta+1), \delta]$  *FDRM codes,*  $\ell \geq m$ , *with a full*  $m \times \ell$ 

diagram  $\mathcal{F}$ , which attain the bound of Theorem 1 [5], [12].

It was proved in [2] that for general diagrams the bound of Theorem 1 is attained for  $\delta = 1, 2$ . Some special cases, when this bound is attained for  $\delta > 2$ , can also be found in [2].

this bound is attained for  $\delta > 2$ , can also be found in [2]. For a codeword  $A \in \mathcal{C}_{\mathcal{F}} \subseteq \mathbb{F}_q^{k \times (n-k)}$  let  $A_{\mathcal{F}}$  denote the part of A related to the entries of  $\mathcal{F}$  in A. Given an FDMRD code  $\mathcal{C}_{\mathcal{F}}$ , a lifted FDMRD code  $\mathbb{C}_{\mathcal{F}}$  is defined as follows:

$$\mathbb{C}_{\mathcal{F}} = \{ X \in \mathcal{G}_q(k, n) : \mathcal{F}(X) = A_{\mathcal{F}}, \ A \in \mathcal{C}_{\mathcal{F}} \}.$$

This definition is the generalization of the definition of a lifted MRD code [15]. Note, that all the codewords of a lifted MRD code have the same identifying vector of the type  $\underbrace{(11...1000...00)}_{k}$ . The following lemma [2] is the generalization

of the result given in [15].

**Lemma 3.** If  $C_{\mathcal{F}} \subset \mathbb{F}_q^{k \times (n-k)}$  is an  $[\mathcal{F}, \rho, \delta]$  Ferrers diagram rank-metric code, then its lifted code  $\mathbb{C}_{\mathcal{F}}$  is an  $(n, q^{\rho}, 2\delta, k)_q$  constant dimension code.

A. The multilevel construction and pending dots construction

It was proved in [2] that for  $X,Y\in\mathcal{G}_q(k,n)$  we have  $d_S(X,Y)\geq d_H(v(X),v(Y))$ , where  $d_H$  denotes the Hamming distance; and if v(X)=v(Y) then  $d_S(X,Y)=2d_R(\operatorname{RE}(X),\operatorname{RE}(Y))$ . The multilevel construction [2] of constant dimension code is based on these properties of  $d_S$ .

**Multilevel construction.** First, a binary constant weight code of length n, weight k, and Hamming distance  $2\delta$  is chosen to be the set of the identifying vectors for  $\mathbb{C}$ . Then, for each identifying vector a corresponding lifted FDRM code with minimum rank distance  $\delta$  is constructed. The union of these lifted FDRM codes is an  $(n, M, 2\delta, k)_q$  code.

In the construction provided in [3], for k=3 and  $\delta=2$ , in the stage of choosing the identifying vectors for a code  $\mathbb{C}$ , a set of vectors with minimum (Hamming) distance  $2\delta-2=2$  is allowed, by using a method based on pending dots in a Ferrers diagram [17].

The *pending dots* of a Ferrers diagram  $\mathcal{F}$  are the leftmost dots in the first row of  $\mathcal{F}$  whose removal has no impact on the size of the corresponding Ferrers diagram rank-metric code. The following lemma follows from [17].

**Lemma 4.** Let X and Y be two subspaces in  $\mathcal{G}_q(k,n)$  with  $d_H(v(X),v(Y))=2\delta-2$ , such that the leftmost one of v(X) is in the same position as the leftmost one of v(Y). Let  $P_X$  and  $P_Y$  be the sets of pending dots of X and Y, respectively. If  $P_X\cap P_Y\neq \emptyset$  and the entries in  $P_X\cap P_Y$  (of their Ferrers tableaux forms) are assigned with different values in at least one position, then  $d_S(X,Y)\geq 2\delta$ .

**Example 5.** Let X and Y be subspaces in  $\mathcal{G}_q(3,6)$  which are given by the following generator matrices:

$$\left(\begin{array}{ccccc} 1 & \textcircled{\scriptsize \textcircled{0}} & 0 & v_1 & v_2 & 0 \\ 0 & 0 & 1 & v_3 & v_4 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{array}\right), \left(\begin{array}{ccccc} 1 & \textcircled{\scriptsize \textcircled{\scriptsize \textcircled{1}}} & u_1 & 0 & u_2 & 0 \\ 0 & 0 & 0 & 1 & u_3 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{array}\right)$$

where  $v_i, u_i \in \mathbb{F}_q$ , and the pending dots are emphasized by circles. Their identifying vectors are v(X) = 101001 and v(Y) = 100101. Clearly,  $d_H(v(X), v(Y)) = 2$ , while  $d_S(X,Y) \geq 4$ .

The following lemma which follows from a one-factorization and near-one-factorization of a complete graph [10] will be used in our constructions.

**Lemma 6.** Let D be the set of all binary vectors of length m and weight 2.

- If m is even, D can be partitioned into m-1 classes, each of  $\frac{m}{2}$  vectors with pairwise disjoint positions of ones;
- If m is odd, D can be partitioned into m classes, each of  $\frac{m-1}{2}$  vectors with pairwise disjoint positions of ones.

The following construction for k=3 and  $d=2\delta=4$  based on pending dots from [3] will be used as a base step of our recursive construction proposed in the sequel.

**Construction 0.** Let  $n \geq 8$  and  $q^2 + q + 1 \geq \ell$ , where  $\ell = n - 4$  for odd n and  $\ell = n - 3$  for even n. In addition to the lifted MRD code (which has the identifying vector  $v_0 = (11100\dots 0)$ ), the final code  $\mathbb C$  will contain the codewords with identifying vectors of the form (x||y), where the prefix  $x \in \mathbb F_2^3$  is of weight 1 and the suffix  $y \in \mathbb F_2^{n-3}$  is of weight 2. By Lemma 6, we partition the set of suffixes into  $\ell$  classes  $P_1, P_2, \dots, P_\ell$  and define the following three sets:

$$\mathcal{A}_{1} = \{(001||y) : y \in P_{1}\},$$

$$\mathcal{A}_{2} = \{(010||y) : y \in P_{i}, 2 \le i \le \min\{q+1,\ell\}\},$$

$$\mathcal{A}_{3} = \begin{cases} \{(100||y) : y \in P_{i}, q+2 \le i \le \ell\} & \text{if } \ell > q+1\\ \varnothing & \text{if } \ell \le q+1 \end{cases}$$

Elements with the same prefix and distinct suffices from the same class  $P_i$  have Hamming distance 4. When we use the same prefix for two different classes  $P_i, P_j$ , we assign different values in the pending dots of the Ferrers tableaux forms. Then the corresponding lifted FDMRD codes of subspace distance 4 are constructed, and their union with the lifted MRD code forms the final code  $\mathbb C$  of size  $q^{2(n-3)}+\left[\begin{array}{c} n-3\\2\end{array}\right]_{\mathcal C}$ .

In the following sections we will generalize this construction and obtain codes for any  $k \ge 4$  with minimum subspace distance d = 4 and with d = 2(k - 1).

#### III. PENDING BLOCKS

To present the new constructions for constant dimension codes, we first need to extend the definition of pending dots of [17] to a two-dimensional setting.

**Definition 7.** Let  $\mathcal{F}$  be a Ferrers diagram with m dots in the rightmost column and  $\ell$  dots in the top row. We say that the  $\ell_1 < \ell$  leftmost columns of  $\mathcal{F}$  form a pending block (of size  $\ell_1$ ) if the upper bound on the size of FDMRD code  $\mathcal{C}_{\mathcal{F}}$  from Theorem 1 is equal to the upper bound on the size of  $\mathcal{C}_{\mathcal{F}}$  without the  $\ell_1$  leftmost columns.

**Example 8.** Consider the following Ferrers diagrams:

For  $\delta=3$  by Theorem 1 both codes  $\mathcal{C}_{\mathcal{F}_1}$  and  $\mathcal{C}_{\mathcal{F}_2}$  have  $|\mathcal{C}_{\mathcal{F}_i}|\leq q^3$ , i=1,2. The diagram  $\mathcal{F}_1$  has the pending block

• and the diagram  $\mathcal{F}_2$  has no pending block.

**Definition 9.** Let  $\mathcal{F}$  be a Ferrers diagram with m dots in the rightmost column and  $\ell$  dots in the top row, and let  $\ell_1 < \ell$ , and  $m_1 < m$ . If the  $(m_1 + 1)$ st row of  $\mathcal{F}$  has less dots than the  $m_1$ th row of  $\mathcal{F}$  and at most  $m - \ell_1$  dots, then the  $\ell_1$  leftmost columns of  $\mathcal{F}$  are called a quasi-pending block (of size  $m_1 \times \ell_1$ ).

Note, that a pending block is also a quasi-pending block.

**Theorem 10.** Let  $X,Y \in \mathcal{G}_q(k,n)$ , such that RE(X) and RE(Y) have a quasi-pending block of size  $m_1 \times \ell_1$  in the same position and  $d_H(v(X),v(Y))=d$ . Denote the submatrices of  $\mathcal{F}(X)$  and  $\mathcal{F}(Y)$  corresponding to the quasi-pending blocks by  $B_X$  and  $B_Y$ , respectively. Then  $d_S(X,Y) \geq d + 2\mathrm{rank}(B_X - B_Y)$ .

*Proof:* After row reduction one can easily see that  $\operatorname{rank} \left[ \begin{array}{c} \operatorname{RE}(\mathbf{X}) \\ \operatorname{RE}(\mathbf{Y}) \end{array} \right] \geq k + \frac{d}{2} + \operatorname{rank}(B_X - B_Y)$ , which implies the statement. The detailed proof can be found in [14].

This theorem implies that for the construction of an  $(n,M,2\delta,k)$ -code, by filling the (quasi-)pending blocks with a suitable Ferrers diagram rank metric code, one can choose a set of identifying vectors with lower minimum Hamming distance than  $2\delta$ .

## IV. Constructions for $(n, M, 4, k)_q$ Codes

In this section we present a construction based on quasipending blocks for  $(n,M,4,k)_q$  codes with  $k\geq 4$  and  $n\geq 2k+2$ . This construction will then give rise to new lower bounds on the size of constant dimension codes with the minimum distance 4. First we need the following results. (The proofs can be found in [14].)

**Lemma 11.** Let  $n \ge 2k + 2$ . Let v be an identifying vector of length n and weight k, such that there are k - 2 many ones in the first k positions of v. Then the Ferrers diagram arising from v has more or equally many dots in the first row than in the last column, and the upper bound for the dimension of a Ferrers diagram code with minimum distance 2 is the number of dots that are not in the first row.

**Lemma 12.** The number of all matrices filling the Ferrers diagrams arising from all elements of  $\mathbb{F}_q^k$  of weight k-2 as identifying vectors is  $\nu := \sum_{j=0}^{k-2} \sum_{i=j}^{k-2} q^{i+j} - 1$ .

We can now describe the construction  $(k \ge 4, n \ge 2k + 2)$ :

**Construction Ia.** First, by Lemma 6, we partition the weight-2 vectors of  $\mathbb{F}_2^{n-k}$  into classes  $P_1,\ldots,P_\ell$  of size  $\frac{\bar{\ell}}{2}$  (where  $\ell=\bar{\ell}-1=n-k-1$  if n-k even and  $\ell=\bar{\ell}+1=n-k$  if n-k odd) with pairwise disjoint positions of the ones.

1) We define the following sets of identifying vectors (of weight *k*):

$$\mathcal{A}_{0} = \{(1 \dots 1||0 \dots 0)\},\$$

$$\mathcal{A}_{1} = \{(0011 \dots 1||y) : y \in P_{1}\},\$$

$$\mathcal{A}_{2} = \{(0101 \dots 1||y) : y \in P_{2}, \dots, P_{q+1}\},\$$

$$\vdots$$

$$\mathcal{A}_{\binom{k}{2}} = \{(1 \dots 1100||y) : y \in P_{\mu}, \dots, P_{\nu}\}.$$

such that the prefixes in  $\mathcal{A}_1,\ldots,\mathcal{A}_{\binom{k}{2}}$  are all vectors of  $\mathbb{F}_2^k$  of weight k-2. The number of  $P_i$ 's used in each set depends on the size of the quasi-pending block arising in the k leftmost columns of the respective matrices. Thus,  $\nu$  is the value from Lemma 12 and  $\mu:=\nu-q^{2(k-2)}$ .

- 2) For each vector  $v_j$  in a given  $A_i$  for  $i \in \{2, \dots, {k \choose 2}\}$  assign a different matrix filling for the quasi-pending block in the k leftmost columns of the respective matrices. Fill the remaining part of the Ferrers diagram with a suitable FDMRD code of minimum rank distance 2 and lift the code to obtain  $\mathbb{C}_{i,j}$ . Define  $\mathbb{C}_i = \bigcup_{j=1}^{|A_i|} \mathbb{C}_{i,j}$ .
- 3) Take the largest known code  $\mathbb{C} \subseteq \mathcal{G}_q(k, n-k)$  with minimum distance 4 and append k zero columns in front of every matrix representation of the codewords.
- 4) The following union of codes forms the final code  $\mathbb{C}$ :

$$\mathbb{C} = \bigcup_{i=0}^{\binom{k}{2}} \mathbb{C}_i \cup \bar{\mathbb{C}}$$

where  $\mathbb{C}_0$  is the lifted MRD code corresponding to  $\mathcal{A}_0$ .

**Remark 13.** If  $\ell < \nu$ , then we use only the sets  $A_0, \ldots, A_i$   $(i \leq \binom{k}{2})$  such that all of  $P_1, \ldots, P_\ell$  are used once.

**Theorem 14.** If  $\ell \leq \nu$ , a code  $\mathbb{C} \subseteq \mathcal{G}_q(k,n)$  constructed according to Construction I has minimum subspace distance 4 and cardinality

$$|\mathbb{C}| = q^{(k-1)(n-k)} + q^{(n-k-2)(k-3)} \begin{bmatrix} n-k \\ 2 \end{bmatrix}_q + A_q(n-k,4,k).$$

*Proof:* It holds that  $|\mathbb{C}_0| = q^{(k-1)(n-k)}$  and  $|\overline{\mathbb{C}}| = A_q(n-k,4,k)$ . Because of the assumption on k and q it follows from Lemma 12 that all the  $y_i \in \mathbb{F}_2^{n-k}$  are used for the identifying vectors, hence a cardinality of  $|\mathcal{G}_q(2,n-k)|$  for the lower two rows. Moreover, we can fill the second to (k-2)-nd row of the Ferrers diagrams with anything in the construction of the FDMRD code, hence at least  $q^{(n-k-2)(k-3)}$  possibilities.

The minimum distance follows from Theorem 10 and Lemma 11. See the detailed proof in [14].

**Corollary 15.** Let  $k \ge 4, n \ge 2k+2$  and  $\sum_{j=0}^{k-2} \sum_{i=j}^{k-2} q^{i+j} - 1 \ge n-k$  if n-k is odd (otherwise  $\ge n-k-1$ ). Then

$$A_q(n,4,k) \ge q^{(k-1)(n-k)} + q^{(n-k-2)(k-3)} \begin{bmatrix} n-k \\ 2 \end{bmatrix}_q + A_q(n-k,4,k).$$

This bound is always tighter than the ones given by the Reed-Solomon like construction [8] and the multicomponent extension of this [6], [16].

Note, that in Construction Ia we did not use the dots in the quasi-pending blocks for the construction of FDMRD codes. Thus, the bound of Corollary 15 is not tight. To make it tighter, one can use less pending blocks and larger FDMRD codes, as illustrated in the following construction. We denote by  $P_y$  the class of suffixes which contains the suffix vector y (in the partition of Lemma 6).

**Construction Ib.** First, in addition to  $A_0$  of Construction Ia, we define the following sets of identifying vectors:

$$\begin{split} \bar{\mathcal{A}}_1 &= \{(11...1100||y) : y \in P_{1100...00}\}, \\ \bar{\mathcal{A}}_2 &= \{(11...1010||y) : y \in P_{1010...00}\}, \\ \bar{\mathcal{A}}_3 &= \{(11...0110||y) : y \in P_{1001...00}\}, \\ \bar{\mathcal{A}}_4 &= \{(11...1001||y) : y \in P_{0110...00}\}. \end{split}$$

All the other identifying vectors are distributed as in Construction Ia. The steps 2)-4) of Construction Ia remain the same. Then the lower bound on the cardinality becomes

**Corollary 16.** If 
$$\sum_{j=0}^{k-2} \sum_{i=j}^{k-2} q^{i+j} - \sum_{i=4}^{5} q^{2k-i} - 2q^{2k-6} \ge n-k$$
, then

$$\begin{split} A_q(n,4,k) &\geq q^{(k-1)(n-k)} + q^{(n-k-2)(k-3)} \left[ \begin{array}{c} n-k \\ 2 \end{array} \right]_q \\ &+ (q^{2(k-3)}-1)q^{(k-1)(n-k-2)} + (q^{2(k-3)-1}-1)q^{(k-1)(n-k-2)-1} \\ &+ 2(q^{2(k-4)}-1)q^{(k-1)(n-k-2)-2} + A_q(n-k,4,k). \end{split}$$

Note, that one can use this idea on more  $A_i$ 's, as long as there are enough pending blocks such that all  $P_i$ 's are used.

Moreover, instead of using all the classes  $P_i$  we can use the classes which contribute more codewords more than once with the disjoint prefixes. We illustrate this idea for a code having k=4 and n=10. It appears, that the code obtained by this construction is the largest known code.

**Example 17.** Let q=2, k=4, n=10. We partition the binary vectors of length 6 and weight 2 into the following 5 classes:  $P_1=\{110000,001010,000101\}, P_2=\{101000,010001,000110\}, P_3=\{011000,100100,000011\}, P_4=\{010100,100010,001001\}, P_5=\{100001,010010,001100\}$ . We define  $\mathcal{A}_0$  as previously and

$$\mathcal{A}_1 = \{(1100||y) : y \in P_1\}, \mathcal{A}_2 = \{(0011||y) : y \in P_1\},$$

$$\mathcal{A}_3 = \{(0110||y) : y \in P_4\}, \mathcal{A}_4 = \{(1001||y) : y \in P_4\},$$

$$\mathcal{A}_5 = \{(1010||y) : y \in P_2 \cup P_3\}, \mathcal{A}_6 = \{(0101||y) : y \in P_2 \cup P_3\},$$

where we use the pending dot in  $A_5$  and  $A_6$ . Note, that we do not use  $P_5$ . Also, the FDMRD codes are now constructed for the whole Ferrers diagrams (without the pending dot), and not only for the last 6 columns. We can add  $A_2(6,4,4) = A_2(6,4,2) = (2^6-1)/(2^2-1) = 21$  codewords corresponding to step 4) in Construction Ia. The size of the final code is  $2^{18} + 37477$ . The largest previously known code was obtained by the multilevel construction and has size  $2^{18} + 34768$  [2].

In the following we discuss a construction of a new constant dimension code with minimum distance 4 from a given one.

**Theorem 18.** Let  $\mathbb{C}$  be an  $(n, M, 4, k)_q$  constant dimension code. Let  $\Delta$  be an integer such that  $\Delta \geq k$ . Then, there exists an  $(n' = n + \Delta, M', 4, k)_q$  code  $\mathbb{C}'$  with  $M' = Mq^{\Delta(k-1)}$ .

*Proof:* To the generator matrix of each codeword of  $\mathbb C$  we append a  $[k \times \Delta, \Delta(k-1), 2]$ -MRD code in the additional columns.

**Example 19.** We take the  $(8, 2^{12} + 701, 4, 4)_2$  code  $\mathbb{C}$  constructed in [3] and apply on it Theorem 18 with  $\Delta = 4$ . Then the code  $|\mathbb{C}'| = 2^{24} + 701 \cdot 2^{12} = 2^{24} + 2871296$ . The largest previously known code of size  $2^{24} + 2290845$  was obtained in [2].

#### V. Construction for $(n, M, 2(k-1), k)_q$ Codes

In this section we provide a recursive construction for  $(n,M,2(k-1),k)_q$  codes, which uses the pending dots based construction described in Section II as an initial step. Codes obtained by this construction contain the lifted MRD code. An upper bound on the cardinality of such codes is given in [3]. The codes obtained by Construction 0 attain this bound for k=3. Our recursive construction provides a new lower bound on the cardinality of such codes for general k.

First, we need the following lemma which is a simple generalization of Lemma 11.

**Lemma 20.** Let  $n-k-2 \ge n_1 \ge k-2$  and v be an identifying vector of length n and weight k, such that there are k-2 many ones in the first  $n_1$  positions of v. Then the Ferrers diagram arising from v has more or equally many dots in any of the first k-2 rows than in the last column, and the upper bound for the dimension of a Ferrers diagram code with minimum distance k-1 is the number of dots that are not in the first k-2 rows.

**Remark 21.** If an  $m \times \ell$ -Ferrers diagram has  $\delta$  rows with  $\ell$  dots each, then the construction of [2] provides respective FDMRD codes of minimum distance  $\delta+1$  attaining the bound of Theorem 1.

**Lemma 22.** For an  $m \times \ell$ -Ferrers diagram where the jth row has at least x more dots than the (j+1)th row for  $1 \le j \le m-1$  and the lowest row has x many dots, one can construct a FDMRD code with minimum rank distance m and cardinality  $q^x$ .

The proofs for Lemmas 20 and 22 can be found in [14].

**Construction II.** Let  $s=\sum_{i=3}^k i,\ n\geq s+2+k$  and  $q^2+q+1\geq \ell,$  where  $\ell=n-s$  for odd n-s (or  $\ell=n-s-1$  for even n-s).

Identifying vectors: In addition to the identifying vector  $v_{00}^k = (11\dots 1100\dots 0)$  of the lifted MRD code  $\mathbb{C}^k_*$  (of size  $q^{2(n-k)}$  and distance 2(k-1)), the other identifying vectors of the codewords are defined as follows. First, by Lemma 6, we partition the weight-2 vectors of  $\mathbb{F}_2^{n-s}$  into classes  $P_1,\dots,P_\ell$  of size  $\frac{\bar{\ell}}{2}$  (where  $\ell=\bar{\ell}-1=n-s-1$  if n-s even and  $\ell=\bar{\ell}+1=n-s$  if n-s odd) with pairwise disjoint positions of the ones. We define the sets of identifying vectors by a recursion. Let  $v_0$  and  $A_1,A_2,A_3\subseteq\mathbb{F}_q^{n-s+3}$ , as defined in Construction 0. Then  $v_{00}^3=v_0$ ,

$$\mathcal{A}_0^3 = \emptyset$$
,  $\mathcal{A}_i^3 = \mathcal{A}_i$ ,  $1 \le i \le 3$ .

For  $k \ge 4$  we define:

$$\mathcal{A}_0^k = \{v_{01}^k, \dots, v_{0k-3}^k\},\$$

where  $v_{0j}^k=(000~w_j^k~||v_{0j-1}^{k-1})~(1\leq j\leq k-3)$ , such that the  $w_j^k$  are all different weight-1 vectors of  $\mathbb{F}_2^{k-3}$ . Furthermore we

$$\begin{aligned} \mathcal{A}_1^k &= \{(0010\dots 00||z): z \in \mathcal{A}_1^{k-1}\},\\ \mathcal{A}_2^k &= \{(0100\dots 00||z): z \in \mathcal{A}_2^{k-1}\},\\ \mathcal{A}_3^k &= \{(1000\dots 00||z): z \in \mathcal{A}_3^{k-1}\}, \end{aligned}$$

such that the prefixes of the vectors in  $\bigcup_{i=0}^3 \mathcal{A}_i^k$  are vectors of  $\mathbb{F}_2^k$  of weight 1. Note, that the suffix  $y \in \mathbb{F}_q^{n-s}$  (from Construction 0) in all the vectors from  $\mathcal{A}_1^k$  belongs to  $P_1$ , the suffix y in all the vectors from  $\mathcal{A}_2^k$  belongs to  $\bigcup_{i=2}^{\min\{q+1,\ell\}} P_i$ , and the suffix y in all the vectors from  $\mathcal{A}_3^k$  belongs to  $\mathbb{F}_q^k$  (the set  $\mathbb{F}_q^k$  is ampty if  $\ell < n+1$ )  $\bigcup_{i=q+2}^{\ell} P_i$  (the set  $\mathcal{A}_3^k$  is empty if  $\ell \leq q+1$ ).

Pending blocks:

- All Ferrers diagrams that correspond to the vectors in  $\mathcal{A}_1^k$  have a common pending block with k-3 rows and  $\sum_{i=3}^{k-j}i$  dots in the jth row, for  $1\leq j\leq k-3$ . We fill each of these pending blocks with a different element of a suitable FDMRD code with minimum rank distance k-3 and size  $q^3$ , according to Lemma 22. Note, that the initial conditions always imply that  $q^3 \geq \bar{\ell}$ .
- All Ferrers diagrams that correspond to the vectors in  $\mathcal{A}_2^k$  have a common pending block with k-2 rows and  $\sum_{i=3}^{k-j} i+1$  dots in the jth row,  $1 \leq j \leq k-2$ . Every vector which has a suffix y from the same  $P_i$  will have the same value  $a_i \in \mathbb{F}_q$  in the first entry in each row of the common pending block, s.t. the vectors with suffixes from the different classes will have different values in these entries. (This corresponds to a FDMRD code of distance k-2 and size q.) Given the filling of the first entries of every row, all the other entries of the pending blocks are filled by a FDMRD code with minimum distance k-3, according to Lemma 22.
- All Ferrers diagrams that correspond to the vectors in  $\mathcal{A}^k_3$  have a common pending block with k-2 rows and  $\sum_{i=3}^{k-j}i+2$  dots in the jth row,  $1\leq j\leq k-2$ . The filling of these pending blocks is analogous to the previous case, but for the suffixes from the different  $P_i$ -classes we fix the first two entries in each row of a pending block. Hence, there are  $q^2$  different possibilities.

Ferrers tableaux forms: On the dots corresponding to the last n-s-2 columns of the Ferrers diagrams for each vector  $v_i$  in a given  $\mathcal{A}_i^k$ ,  $0 \le i \le 3$ , we construct a FDMRD code with minimum distance k-1 (according to Remark 21) and lift it to obtain  $\mathbb{C}^k_{i,j}$ . We define  $\mathbb{C}^k_i = \bigcup_{j=1}^{|\mathcal{A}^k_i|} \mathbb{C}^k_{i,j}$ . Code: The final code is defined as

$$\mathbb{C}^k = \bigcup_{i=0}^3 \mathbb{C}_i^k \cup \mathbb{C}_*^k.$$

**Theorem 23.** The code  $\mathbb{C}^k$  obtained by Construction II has minimum distance 2(k-1) and cardinality  $|\mathbb{C}^k| = q^{2(n-k)} + q^{2(n-(k+(k-1)))} + \ldots + q^{2(n-(\sum_{i=3}^k i))} + \begin{bmatrix} n-(\sum_{i=3}^k i) \\ 2 \end{bmatrix}$ .

*Proof:* The cardinality of  $\mathbb{C}^k$  follows from the following observation:  $|\mathbb{C}^k|=|\mathbb{C}^{k-1}|+q^{2(n-k)}$  for any  $k\geq 4$ . The minimum distance follows from Theorem 10, Lemma 3 and Lemma 20. (For details see [14]).

**Corollary 24.** Let  $n \ge s+2+k$  and  $q^2+q+1 \ge \ell$ , where  $s=\sum_{i=3}^k i$  and  $\ell=n-s$  for odd n-s (or  $\ell=n-s-1$  for even n-s). Then

$$A_q(n, 2(k-1), k) \ge \sum_{i=3}^k q^{2(n-\sum_{i=j}^k i)} + \left[ \begin{array}{c} n - (\sum_{i=3}^k i) \\ 2 \end{array} \right]_q.$$

**Example 25.** Let k = 4, d = 6, n = 13, and q = 2. The code  $\mathbb{C}^4$  obtained by Construction II has the cardinality  $2^{18}+2^{12}+$  $\left[\begin{array}{c} 6 \\ 2 \end{array}\right]_a = 2^{18} + 4747$  (the largest previously known code is of cardinality  $2^{18} + 4357$  [2]).

**Example 26.** Let k = 5, d = 8, n = 19, and q = 2. The code  $\mathbb{C}^5$  obtained by Construction II has the cardinality  $2^{28}+2^{20}+$  $2^{14} + \begin{bmatrix} 7 \\ 2 \end{bmatrix}_a = 2^{28} + 1067627$  (the largest previously known code is of cardinality  $2^{28} + 1052778$  [2]). (For more details see [14].)

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