

# An Upper Bound on the Partial-Period Correlation of Zadoff-Chu Sequences

Tae-Kyo Lee\*, Jin-Ho Chung†, and Kyeongcheol Yang\*

\*Department of Electrical Engineering

Pohang University of Science and Technology (POSTECH), Pohang, Gyungbuk 790-784, Korea

Email: {jetlee, kcyang}@postech.ac.kr

†School of Electrical and Computer Engineering

Ulsan National Institute of Science and Technology (UNIST), Ulsan 689-798, Korea

Email: jinho@unist.ac.kr

**Abstract**—In this paper, we investigate the partial-period correlation of Zadoff-Chu sequences. For a pair of Zadoff-Chu sequences, we define the linear phase-shifting sequences of one of them and analyze their full-period correlation properties with the other one. By linking them to the partial-period correlation of the given pair, we derive an upper bound on the magnitude of the partial-period correlation of Zadoff-Chu sequences.

## I. INTRODUCTION

Pseudo-random sequences with good correlation properties have many applications to communication systems [1]. In order to improve their performance, it is required to employ a family of pseudo-random sequences with large size and low correlation. There are two types of correlation: full-period correlation and partial-period correlation. Full-period correlation has been widely studied so far, but partial-period correlation has not received much attention in spite of its importance in real situations [2]–[5]. In code-division multiple-access (CDMA) systems, each data symbol is divided into  $B$  chips by employing a sequence of period  $N$  as a spreading sequence. When  $N$  is much larger than  $B$ , the actual correlation computed at the receiver is not full-period correlation, but partial-period correlation [2]. In such a case, the absolute values of out-of-phase partial-period correlation are needed to be low. Furthermore, partial-period correlation plays an important role in synchronization problems [4], [6].

In [5] Paterson and Lothian linked the partial-period correlation of sequences to the discrete Fourier transform (DFT) of the so-called window sequence, and derived several bounds on partial-period correlations of well known sequences such as  $m$ -sequences, Kasami sequences, and  $d$ -form sequences, etc. Their approach is particularly useful in the sense that the partial-period correlation of sequences can be analyzed in terms of full-period correlation.

Zadoff-Chu sequences are one of the best known and most widely used families of polyphase sequences [7], [8], because they have ideal full-period autocorrelation as well as they exist for any period  $N$ . In addition, the full-period cross-correlation between two Zadoff-Chu sequences is optimal with respect to the Sarwate bound [9] under some specific

conditions. Recently, Kang *et al.* [10] presented general full-period cross-correlation properties of Zadoff-Chu sequences. However, there have been very few theoretical results on the partial-period correlation of Zadoff-Chu sequences, despite their wide usage in communication systems.

In this paper, we investigate the partial-period correlation of Zadoff-Chu sequences. For a pair of Zadoff-Chu sequences, we first define the linear phase-shifting sequences of one of them and analyze their full-period correlation properties with the other one. We then link them to the partial-period correlation of the given pair by generalizing the approach in [5]. Analyzing linear phase-shifting sequences and the DFT of the window sequence, we derive an upper-bound on the magnitude of the partial-period correlation of Zadoff-Chu sequences.

The outline of the paper is as follows. We give some preliminaries in Section II. In Section III, we review Zadoff-Chu sequences and investigate their properties related to the concepts introduced in Section II. We then present an upper bound on the magnitude of the partial-period correlation of Zadoff-Chu sequences in Section IV. Finally, we give some concluding remarks in Section V.

## II. PRELIMINARIES

Throughout the paper, we denote by  $\langle x \rangle_y$  the least nonnegative residue of  $x$  modulo  $y$  for an integer  $x$  and a positive integer  $y$ , and denote by  $\mathbb{Z}_n$  the set of nonnegative residues modulo  $n$  for a positive integer  $n$ .

Let  $\{a(n)\}$  be a complex-valued sequence of period  $N$ . The discrete Fourier transform (DFT) sequence  $\{\hat{a}(l)\}$  of  $\{a(n)\}$  is defined as

$$\hat{a}(l) = \sum_{n=0}^{N-1} a(n) W_N^{nl}, \quad 0 \leq l \leq N-1$$

where  $W_N = \exp(2\pi\sqrt{-1}/N)$ . The sequence  $\{\hat{a}(l)\}$  is simply called the spectrum of  $\{a(n)\}$ . Conversely,  $\{a(n)\}$  can be obtained from  $\{\hat{a}(l)\}$  by the inverse discrete Fourier transform (IDFT) as follows:

$$a(n) = \frac{1}{N} \sum_{l=0}^{N-1} \hat{a}(l) W_N^{-nl}, \quad 0 \leq n \leq N-1.$$

Let  $\{a(n)\}$  and  $\{b(n)\}$  be two complex-valued sequences of period  $N$  such that  $|a(n)| = |b(n)| = 1$  for all  $n \in \mathbb{Z}$ . The (full-period) cross-correlation  $\theta_{a,b}(\tau)$  between  $\{a(n)\}$  and  $\{b(n)\}$  is defined as

$$\theta_{a,b}(\tau) = \sum_{n=0}^{N-1} a(n)b^*(n+\tau)$$

for an integer  $0 \leq \tau \leq N-1$ , where  $*$  denotes the complex conjugation and all the operations among the indices are computed modulo  $N$ . In particular,  $\theta_{a,b}(\tau)$  is called the (full-period) autocorrelation of  $\{a(n)\}$  if  $a(n) = b(n)$  for all  $n \in \mathbb{Z}$ , and is denoted by  $\theta_a(\tau)$ .

On the other hand, the partial-period correlation is computed over a window with a specific size, instead of the full-period. For an integer  $0 \leq k < N$  and an integer  $0 < B \leq N$ , the partial-period cross-correlation  $R_{a,b}(\tau, k; B)$  between  $\{a(n)\}$  and  $\{b(n)\}$  is defined as

$$R_{a,b}(\tau, k; B) = \sum_{n=k}^{k+B-1} a(n)b^*(n+\tau) \quad (1)$$

where all the operations among the indices are computed modulo  $N$ . In particular,  $R_{a,b}(\tau, k; B)$  is called the partial-period autocorrelation of  $\{a(n)\}$  if  $a(n) = b(n)$  for all  $n \in \mathbb{Z}$ , and is denoted by  $R_a(\tau, k; B)$ . Note that  $k$  represents the initial position, and  $B$  denotes the size of the correlation window.

In [5], Paterson and Lothian gave another expression of the partial-period correlation by introducing the so-called window sequence. For two integers  $k$  and  $B$ , where  $0 \leq k \leq N-1$  and  $0 < B \leq N$ , define the window sequence  $\{\lambda_{k,B}(n)\}$  as

$$\lambda_{k,B}(n) = \mathbf{1}_{A(k,B)}(n)$$

where  $A(k, B)$  is the subset of  $\mathbb{Z}_N$  defined by

$$A(k, B) = \begin{cases} \{n \mid k \leq n \leq k+B-1\}, & \text{if } k+B-1 \leq N-1 \\ \{n \mid 0 \leq n \leq \langle k+B-1 \rangle_N \\ \text{or } k \leq n \leq N-1\}, & \text{if } k+B-1 > N-1 \end{cases}$$

and  $\mathbf{1}_S(\cdot)$  denotes the indicator function of  $S$  defined by

$$\mathbf{1}_S(n) = \begin{cases} 1, & \text{if } n \in S \\ 0, & \text{otherwise.} \end{cases}$$

Then,  $R_{a,b}(\tau, k; B)$  in (1) can be rewritten as

$$\begin{aligned} R_{a,b}(\tau, k; B) &= \sum_{n=0}^{N-1} \lambda_{k,B}(n) a(n) b^*(n+\tau) \\ &= \frac{1}{N} \sum_{l=0}^{N-1} \hat{\lambda}_{k,B}(l) \sum_{n=0}^{N-1} a(n) W_N^{-nl} b^*(n+\tau). \end{aligned}$$

Note that the inner sum is the full-period cross-correlation between  $\{a(n)W_N^{-nl}\}$  and  $\{b(n)\}$ .

For a clear presentation, we define the  $l$ th linear phase-shifting sequence  $\{a^l(n)\}$  of a complex-valued sequence of period  $N$ ,  $\{a(n)\}$ , as

$$a^l(n) \triangleq a(n)W_N^{-nl}, \quad 0 \leq n \leq N-1.$$

Then the partial-period cross-correlation is given by

$$R_{a,b}(\tau, k; B) = \frac{1}{N} \sum_{l=0}^{N-1} \hat{\lambda}_{k,B}(l) \theta_{a^l,b}(\tau).$$

Hence,  $R_{a,b}(\tau, k; B)$  can be computed if the spectrum of the window sequence  $\{\lambda_{k,B}(n)\}$  and the full-period correlations at displacement  $\tau$  between the  $l$ th linear phase-shift sequence  $\{a^l(n)\}$  and  $\{b(n)\}$  for  $0 \leq l \leq N-1$  are known. In other words, it is characterized only by the full-period cross-correlations  $\theta_{a^l,b}(\tau)$  for  $0 \leq l \leq N-1$  since  $\{\hat{\lambda}_{k,B}(l)\}$  has nothing to do with either  $\{a(n)\}$  or  $\{b(n)\}$ . Note that the full-period correlations  $\theta_{a^l,b}(\tau)$  for  $0 \leq l \leq N-1$  and  $0 \leq \tau \leq N-1$  can be expressed equivalently as the  $N \times N$  matrix  $\Theta_{a,b}$  defined by

$$\Theta_{a,b} = \begin{bmatrix} \theta_{a^0,b}(0) & \theta_{a^0,b}(1) & \cdots & \theta_{a^0,b}(N-1) \\ \theta_{a^1,b}(0) & \theta_{a^1,b}(1) & \cdots & \theta_{a^1,b}(N-1) \\ \vdots & \vdots & \ddots & \vdots \\ \theta_{a^{N-1},b}(0) & \theta_{a^{N-1},b}(1) & \cdots & \theta_{a^{N-1},b}(N-1) \end{bmatrix}. \quad (2)$$

Jenson's inequality [11] is one of the most widely used inequalities in mathematics. It will also be useful for deriving an upper bound on the partial-period correlation in the next section.

*Lemma 1 ([11]):* If  $f(\cdot)$  is a convex function and  $X$  is an arbitrary random variable, then

$$E[f(X)] \leq f(E[X]) \quad (3)$$

where  $E[\cdot]$  is the expectation operator. Moreover, if  $f(\cdot)$  is strictly convex, the equality in (3) implies that  $X$  is a constant.

### III. PROPERTIES OF $\Theta_{a,b}$ OF ZADOFF-CHU SEQUENCES

As shown in the previous section,  $\Theta_{a,b}$  plays a key role in analyzing the partial-period cross-correlation between  $\{a(n)\}$  and  $\{b(n)\}$ . In this section we will investigate  $\Theta_{a,b}$  when both  $\{a(n)\}$  and  $\{b(n)\}$  are Zadoff-Chu sequences of the same period.

*Definition 2 ([12], [13]):* For two positive integers  $N$  and  $r$  such that  $\gcd(N, r) = 1$  and any integer  $q$ , a Zadoff-Chu sequence  $\{c_r(n)\}$  of period  $N$  is defined as

$$c_r(n) = W_N^{\frac{rn(n+\langle N \rangle_2)}{2} + qn}. \quad (4)$$

In the definition of a Zadoff-Chu sequence in (4),  $q$  is assumed to be zero, unless otherwise specified. The properties of the full-period correlation of Zadoff-Chu sequences were widely studied in [10].

*Theorem 3 ([10]):* Let  $\{c_r(n)\}$  and  $\{c_s(n)\}$  be two Zadoff-Chu sequences of period  $N$ . Then the magnitude of the

full-period cross-correlation  $\theta_{c_r, c_s}(\tau)$  between  $\{c_r(n)\}$  and  $\{c_s(n)\}$  is given by

$$|\theta_{c_r, c_s}(\tau)| = \begin{cases} \sqrt{Ng} \delta_K(\tau_2), & \text{if } \langle N \rangle_2 = \langle uv \rangle_2 = 0 \text{ or } \langle N \rangle_2 = 1 \\ \sqrt{Ng} \delta_K(\tau_2 - \frac{g}{2}), & \text{if } \langle N \rangle_2 = 0 \text{ and } \langle uv \rangle_2 = 1, \end{cases}$$

where  $g = \gcd(N, r-s)$ ,  $u = N/g$ ,  $v = (r-s)/g$ ,  $\tau_2 = \langle \tau \rangle_g$  and  $\delta_K(\cdot)$  denotes the Kronecker delta function defined by

$$\delta_K(x-a) = \begin{cases} 1, & \text{if } x = a \\ 0, & \text{otherwise.} \end{cases}$$

It should be mentioned that the magnitude of each component of the first row of  $\Theta_{c_r, c_s}$  is given in Theorem 3. As a first step, we will extend Theorem 3 to all the other rows of  $\Theta_{c_r, c_s}$ .

**Lemma 4:** Let  $\{c_r(n)\}$  and  $\{c_s(n)\}$  be two Zadoff-Chu sequences of period  $N$ . Then the squared magnitude of the full-period cross-correlation  $\theta_{c_r^l, c_s}(\tau)$  between the  $l$ th linear phase-shifting sequence of  $\{c_r(n)\}$  and  $\{c_s(n)\}$  is given by

$$|\theta_{c_r^l, c_s}(\tau)|^2 = \begin{cases} N \sum_{m=0}^{g-1} W_g^{-m(s\tau_2+l)}, & \text{if } \langle N \rangle_2 = \langle uv \rangle_2 = 0 \text{ or } \langle N \rangle_2 = 1 \\ N \sum_{m=0}^{g-1} W_g^{-m(s(\tau_2 - \frac{g}{2})+l)}, & \text{if } \langle N \rangle_2 = 0 \text{ and } \langle uv \rangle_2 = 1, \end{cases}$$

where  $g = \gcd(N, r-s)$ ,  $u = N/g$ ,  $v = (r-s)/g$ , and  $\tau_2 = \langle \tau \rangle_g$ .

The proof of Lemma 4 is omitted here due to the limit of the space. Based on Lemma 4, we get the following theorem.

**Theorem 5:** Let  $\{c_r(n)\}$  and  $\{c_s(n)\}$  be two Zadoff-Chu sequences of period  $N$ . Let  $g = \gcd(N, r-s)$ ,  $u = N/g$  and  $v = (r-s)/g$ . When  $\langle N \rangle_2 = \langle uv \rangle_2 = 0$  or  $\langle N \rangle_2 = 1$ ,

$$|\theta_{c_r^l, c_s}(\tau)| = \begin{cases} \sqrt{Ng} \delta_K(\tau_2), & \text{if } \langle l \rangle_g = 0 \\ \sqrt{Ng} \delta_K(\langle s\tau_2 + l_2 \rangle_g), & \text{otherwise.} \end{cases}$$

When  $\langle N \rangle_2 = 0$  and  $\langle uv \rangle_2 = 1$ ,

$$|\theta_{c_r^l, c_s}(\tau)| = \begin{cases} \sqrt{Ng} \delta_K(\tau_2 - \frac{g}{2}), & \text{if } \langle l \rangle_g = 0 \\ \sqrt{Ng} \delta_K(\langle s(\tau_2 - \frac{g}{2}) + l_2 \rangle_g), & \text{otherwise.} \end{cases}$$

Let  $|\mathbf{A}|$  denote the magnitude matrix of an  $M \times N$  matrix  $\mathbf{A}$  defined by  $|A|_{ij} = |A_{ij}|$  for all  $i$  and  $j$ . It can be easily checked that  $|\Theta_{c_r, c_s}|$  has the following properties:

- Every entry of  $|\Theta_{c_r, c_s}|$  is either  $\sqrt{Ng}$  or 0;
- Each row of  $|\Theta_{c_r, c_s}|$  has exactly  $u$  entries taking on  $\sqrt{Ng}$ . Moreover, the  $u$  entries are at a distance of  $g$  from each other;
- Each column of  $|\Theta_{c_r, c_s}|$  has exactly  $u$  entries taking on  $\sqrt{Ng}$ . Moreover, the  $u$  entries are at a distance of  $g$  from each other;
- The  $i$ th row is the cyclic-shifted version (to the left) of the first row by  $(si \bmod g)$ ;
- The  $j$ th column is the cyclic-shifted version (to the top) of the first column by  $(s^{-1}j \bmod g)$ .

**Example 6:** Let  $N = 15$ ,  $r = 7$ , and  $s = 4$  such that  $g = 3$ ,  $u = 5$ , and  $v = 1$ . Then two Zadoff-Chu sequences  $\{c_7(n)\}$  and  $\{c_4(n)\}$  are given by

$$\begin{aligned} \{c_7(n)\} &= \{W_{15}^0, W_{15}^7, W_{15}^6, W_{15}^{12}, W_{15}^{10}, W_{15}^0, W_{15}^{12}, \\ &\quad W_{15}^1, W_{15}^{12}, W_{15}^0, W_{15}^{10}, W_{15}^{12}, W_{15}^6, W_{15}^7, W_{15}^0\}, \\ \{c_4(n)\} &= \{W_{15}^0, W_{15}^4, W_{15}^{12}, W_{15}^9, W_{15}^{10}, W_{15}^0, W_{15}^9, \\ &\quad W_{15}^7, W_{15}^9, W_{15}^0, W_{15}^{10}, W_{15}^9, W_{15}^{12}, W_{15}^4, W_{15}^0\}. \end{aligned}$$

The matrix  $|\Theta_{c_7, c_4}|$  can be represented as

$$|\Theta_{c_7, c_4}| = \begin{bmatrix} \mathbf{A} & \mathbf{A} & \mathbf{A} & \mathbf{A} & \mathbf{A} \\ \mathbf{A} & \mathbf{A} & \mathbf{A} & \mathbf{A} & \mathbf{A} \\ \mathbf{A} & \mathbf{A} & \mathbf{A} & \mathbf{A} & \mathbf{A} \\ \mathbf{A} & \mathbf{A} & \mathbf{A} & \mathbf{A} & \mathbf{A} \\ \mathbf{A} & \mathbf{A} & \mathbf{A} & \mathbf{A} & \mathbf{A} \end{bmatrix},$$

where  $\mathbf{A}$  is the  $3 \times 3$  matrix given by

$$\mathbf{A} = \begin{bmatrix} \sqrt{45} & 0 & 0 \\ 0 & 0 & \sqrt{45} \\ 0 & \sqrt{45} & 0 \end{bmatrix}. \quad \square$$

Note that  $|\Theta_{c_r, c_s}|$  in Example 6 is a matrix of a special form. In general,  $|\Theta_{c_r, c_s}|$  can be represented as

$$|\Theta_{c_r, c_s}| = \mathbf{1}_{u \times u} \otimes \mathbf{A}$$

where  $\otimes$  denotes the Kronecker product,  $\mathbf{1}_{u \times u}$  is  $u \times u$  all-one matrix and  $\mathbf{A}$  is the  $g \times g$  matrix determined by Theorem 5 without taking modulo  $g$  operation.

#### IV. UPPER BOUND ON THE PARTIAL-PERIOD CORRELATION OF ZADOFF-CHU SEQUENCES

Based on the results obtained in the previous section, we will give an upper bound on the partial-period correlation of Zadoff-Chu sequences in this section. Consider two Zadoff-Chu sequences  $\{c_r(n)\}$  and  $\{c_s(n)\}$  of period  $N$  and let  $\hat{\lambda}_{k,B}$  be the vector of length  $N$  given by  $\hat{\lambda}_{k,B} = (\hat{\lambda}_{k,B}(0), \hat{\lambda}_{k,B}(1), \dots, \hat{\lambda}_{k,B}(N-1))$ . Throughout the section, we assume that  $g = \gcd(N, r-s)$ ,  $u = N/g$  and  $v = (r-s)/g$ . Then the partial-period cross-correlation  $R_{c_r, c_s}(\tau, k; B)$  is equal to the inner product between  $\hat{\lambda}_{k,B}$  and the  $\tau$ th column of  $\Theta_{c_r, c_s}$  scaled by  $\frac{1}{N}$ , that is,

$$R_{c_r, c_s}(\tau, k; B) = \frac{1}{N} \sum_{l=0}^{N-1} \hat{\lambda}_{k,B}(l) \theta_{c_r^l, c_s}(\tau).$$

Hence,

$$\begin{aligned} &|R_{c_r, c_s}(\tau, k; B)| \\ &= \frac{1}{N} \left| \sum_{l=0}^{N-1} \hat{\lambda}_{k,B}(l) \theta_{c_r^l, c_s}(\tau) \right| \end{aligned}$$

$$\begin{aligned}
&\leq \frac{1}{N} \sum_{l=0}^{N-1} |\hat{\lambda}_{k,B}(l)| |\theta_{c_r^l, c_s}(\tau)| \\
&= \frac{1}{N} \sum_{l=0}^{N-1} |\hat{\lambda}_{0,B}(l)| |\theta_{c_r^l, c_s}(\tau)| \\
&= \begin{cases} \sqrt{\frac{1}{u}} \sum_{m=0}^{u-1} |\hat{\lambda}_{0,B}(\langle -s\tau_2 \rangle_g + mg)|, & \text{if } \langle N \rangle_2 = \langle uv \rangle_2 = 0 \text{ or } \langle N \rangle_2 = 1 \\ \sqrt{\frac{1}{u}} \sum_{m=0}^{u-1} |\hat{\lambda}_{0,B}(\langle -s(\tau_2 - \frac{g}{2}) \rangle_g + mg)|, & \text{if } \langle N \rangle_2 = 0 \text{ and } \langle uv \rangle_2 = 1 \end{cases} \quad (5)
\end{aligned}$$

where  $\tau_2 = \langle \tau \rangle_g$ , the second equality comes from the fact that the magnitude of the spectrum is invariant under the cyclic-shift in the time domain, and the third equality comes from the structure of  $|\Theta_{c_r, c_s}|$ . By the definition of the window sequence  $\{\lambda_{k,B}(n)\}$ , we get

$$\begin{aligned}
|\hat{\lambda}_{0,B}(l)| &= \left| \sum_{n=0}^{N-1} \lambda_{0,B}(n) W_N^{nl} \right| \\
&= \left| \sum_{n=0}^{B-1} W_N^{nl} \right| \\
&= \frac{|\sin(\frac{\pi}{N}Bl)|}{\sin(\frac{\pi}{N}l)}
\end{aligned}$$

for  $0 \leq l \leq N-1$  and  $0 < B \leq N$ .

**Lemma 7 ([14]):**  $|\hat{\lambda}_{0,B}(l)|$  has the following properties:

- If  $B = 1$ , then  $|\hat{\lambda}_{0,1}(l)| = 1$  for all  $l$ ;
- If  $B = N - 1$ , then  $|\hat{\lambda}_{0,1}(0)| = N - 1$  and  $|\hat{\lambda}_{0,1}(l)| = 1$  for  $1 \leq l \leq N - 1$ ;
- If  $B = N$ , then  $|\hat{\lambda}_{0,1}(0)| = N$  and  $|\hat{\lambda}_{0,1}(l)| = 0$  for  $1 \leq l \leq N - 1$ ;
- If  $l = 0$ , then  $|\hat{\lambda}_{0,B}(0)| = B$  for all  $B$ ;
- $|\hat{\lambda}_{0,B}(l)| = 0$  if and only if  $l \neq 0$  and  $N|B|$ ;
- $|\hat{\lambda}_{0,B}(l)| = 1$  if and only if  $N|(B+1)l$  or  $N|(B-1)l$ ;
- $|\hat{\lambda}_{0,B}(l)| = |\hat{\lambda}_{0,B}(N-l)|$  for all  $l$  and  $B$ ; and
- $|\hat{\lambda}_{0,B}(l)| = |\hat{\lambda}_{0,N-B}(l)|$  for  $1 \leq l \leq N-1$  and  $1 \leq B \leq N-1$ .

For a simple presentation, we define the function  $f_{s,g,B}(\tau_2)$  for  $0 \leq \tau_2 \leq g-1$  as

$$f_{s,g,B}(\tau_2) = \sum_{m=0}^{u-1} |\hat{\lambda}_{0,B}(\langle -s\tau_2 \rangle_g + mg)|,$$

where

$$\tilde{\tau}_2 = \begin{cases} \tau_2, & \text{if } \langle N \rangle_2 = \langle uv \rangle_2 = 0 \text{ or } \langle N \rangle_2 = 1 \\ \tau_2 - \frac{g}{2}, & \text{if } \langle N \rangle_2 = 0 \text{ and } \langle uv \rangle_2 = 1. \end{cases}$$

Then, the bound in (5) can be simply represented as

$$|R_{c_r, c_s}(\tau, k; B)| \leq \frac{1}{\sqrt{u}} f_{s,g,B}(\tau_2).$$

The properties of  $f_{s,g,B}(\tau_2)$  are given in the following lemma.

**Lemma 8:** Assuming the above notation, the function  $f_{s,g,B}(\tau_2)$  has the following properties:

- If  $B = 1$ , then  $f_{s,g,1}(\tau_2) = u$ ;

b) If  $B = N - 1$ , then

$$f_{s,g,N-1}(\tau_2) = \begin{cases} N + u - 2, & \text{if } \tilde{\tau}_2 = 0 \\ u, & \text{if } \tilde{\tau}_2 \neq 0; \end{cases}$$

c) If  $B = N$ , then

$$f_{s,g,N}(\tau_2) = \begin{cases} N, & \text{if } \tilde{\tau}_2 = 0 \\ 0, & \text{if } \tilde{\tau}_2 \neq 0; \end{cases}$$

d) If  $N$  is even and  $B = N/2$ , then

$$f_{s,g,N/2}(\tau_2) = \begin{cases} B, & \text{for } \tilde{\tau}_2 = 0 \\ 0, & \text{for } \tilde{\tau}_2 \neq 0 \text{ and } \langle \tilde{\tau}_2 \rangle_2 = 0; \end{cases}$$

e) If  $2 \leq B \leq N - 2$  with  $(N, B) = 1$ , then

$$f_{s,g,B}(\tau_2) = \begin{cases} B + 1 + 2 \sum_{m=1}^{(u-2)/2} |\hat{\lambda}_{0,B}(mg)|, & \text{for } \tilde{\tau}_2 = 0 \text{ and } \langle u \rangle_2 = 0 \\ B + 2 \sum_{m=1}^{(u-1)/2} |\hat{\lambda}_{0,B}(mg)|, & \text{for } \tilde{\tau}_2 = 0 \text{ and } \langle u \rangle_2 = 1; \end{cases}$$

f) If  $2 \leq B \leq N - 2$  with  $(N, B) \neq 1$ , then

$$f_{s,g,B}(\tau_2) = \begin{cases} B, & \text{if } \tilde{\tau}_2 = 0 \text{ and } \langle Bg \rangle_N = 0 \\ B + 1 + 2 \sum_{m=1}^{(u-2)/2} |\hat{\lambda}_{0,B}(mg)|, & \text{if } \tilde{\tau}_2 = 0, \langle Bg \rangle_N \neq 0 \text{ and } \langle u \rangle_2 = 0 \\ B + 2 \sum_{m=1}^{(u-1)/2} |\hat{\lambda}_{0,B}(mg)|, & \text{if } \tilde{\tau}_2 = 0, \langle Bg \rangle_N \neq 0 \text{ and } \langle u \rangle_2 = 1. \end{cases}$$

Sarwate [15] derived an upper bound on  $\sum_{l=0}^{N-1} |\hat{\lambda}_{0,B}(l)|$  by using Jensen's inequality. Following a similar approach to Sarwate's, it is possible to derive an upper bound on  $|R_{c_r, c_s}(\tau, k; B)|$  in the following theorem.

**Theorem 9:** Let  $\{c_r(n)\}$  and  $\{c_s(n)\}$  be two Zadoff-Chu sequences of period  $N$ . Then

$$|R_{c_r, c_s}(\tau, k; B)| \leq$$

$$\begin{cases} 1, & \text{if } B = 1 \\ \sqrt{Ng}, & \text{if } B = N \\ \csc\left(\frac{\pi}{N}\right) \sin\left(\frac{\pi}{N}B\right), & \text{if } 2 \leq B \leq N-1 \text{ and } u = 1 \\ \max\left\{\frac{1}{\sqrt{u}}\left(B + 1 + \frac{2u}{\pi} \ln \frac{4u}{\pi}\right), \frac{1}{\sqrt{u}}\left(\sec\left(\frac{\pi}{2u}\right) + 2\left(\csc\left(\frac{\pi}{N}\right) + \frac{u}{\pi} \ln\left(\frac{4u}{\pi}\right)\right)\right)\right\}, & \text{if } 2 \leq B \leq N-1 \text{ and } u \neq 1. \end{cases}$$

**Proof.** We prove only the case that  $\langle N \rangle_2 = \langle uv \rangle_2 = 0$  or  $\langle N \rangle_2 = 1$ , since the other cases can be similarly proved. Clearly,  $|R_{c_r, c_s}(\tau, k; 1)| = 1$ . Also, we get  $|R_{c_r, c_s}(\tau, k; N)| = \sqrt{Ng}$  by Theorem 3. Now, we will focus on the case that  $2 \leq B \leq N - 1$ . Our problem can be divided into four cases:

Case 1)  $\tilde{\tau}_2 \neq 0$  and  $\langle u \rangle_2 = 0$ :

$$\begin{aligned}
& \sum_{m=0}^{u-1} \left| \hat{\lambda}_{0,B}(\langle -s\tilde{\tau}_2 \rangle_g + mg) \right| \\
& \leq \sum_{m=0}^{u-1} \left| \frac{1}{\sin\left(\frac{\pi}{N}(\langle -s\tilde{\tau}_2 \rangle_g + mg)\right)} \right| \\
& = \sum_{m=0}^{u-1} \csc\left(\frac{\pi}{N}(\langle -s\tilde{\tau}_2 \rangle_g + mg)\right) \\
& < \left( \csc\left(\frac{\pi}{N}\right) + \sum_{m=1}^{u/2-1} \csc\left(\frac{\pi}{N}mg\right) \right) \\
& \quad + \left( \csc\left(\frac{\pi}{N}(N-1)\right) + \sum_{m=u/2+1}^{u-1} \csc\left(\frac{\pi}{N}mg\right) \right) \\
& = 2 \left( \csc\left(\frac{\pi}{N}\right) + \sum_{m=1}^{u/2-1} \csc\left(\frac{\pi}{N}mg\right) \right) \quad (6)
\end{aligned}$$

where the second inequality and the second equality come from the convexity and symmetry of the cosecant function, respectively. Define  $\frac{u}{2} - 1$  random variables  $X_m$ ,  $1 \leq m \leq \frac{u}{2} - 1$ , whose probability density functions are given by

$$f_{X_m}(x) = \begin{cases} 1, & m - \frac{1}{2} \leq x \leq m + \frac{1}{2} \\ 0, & \text{otherwise.} \end{cases}$$

Then  $E[\frac{\pi}{N}X_m g] = \frac{\pi}{N}mg$ , which leads to  $\csc(\frac{\pi}{N}gm) \leq E[\csc(\frac{\pi}{N}X_m g)]$  by Jensen's inequality. After some manipulation, we have

$$\sum_{m=1}^{u/2-1} \csc\left(\frac{\pi}{N}mg\right) \leq \frac{u}{\pi} \ln\left(\frac{4u}{\pi}\right). \quad (7)$$

By combining (6) and (7), we get

$$\sum_{m=0}^{u-1} \left| \hat{\lambda}_{0,B}(\langle -s\tilde{\tau}_2 \rangle_g + mg) \right| < 2 \left( \csc\left(\frac{\pi}{N}\right) + \frac{u}{\pi} \ln\left(\frac{4u}{\pi}\right) \right).$$

Case 2)  $\tilde{\tau}_2 \neq 0$  and  $\langle u \rangle_2 = 1$ : In a similar way, we have

$$\begin{aligned}
\sum_{m=0}^{u-1} \left| \hat{\lambda}_{0,B}(\langle -s\tilde{\tau}_2 \rangle_g + mg) \right| & < \sec\left(\frac{\pi}{2u}\right) \\
& + 2 \left( \csc\left(\frac{\pi}{N}\right) + \frac{u}{\pi} \ln\left(\frac{4u}{\pi}\right) \right),
\end{aligned}$$

where  $u \neq 1$ .

Case 3)  $\tilde{\tau}_2 = 0$  and  $\langle u \rangle_2 = 0$ : Similarly, we get

$$\sum_{m=1}^{(u-2)/2} \left| \hat{\lambda}_{0,B}(mg) \right| \leq \frac{u}{\pi} \ln\left(\frac{4u}{\pi}\right).$$

Case 4)  $\tilde{\tau}_2 = 0$  and  $\langle u \rangle_2 = 1$ : Similarly, we have

$$\sum_{m=1}^{(u-1)/2} \left| \hat{\lambda}_{0,B}(mg) \right| \leq \frac{u}{\pi} \ln\left(\frac{4u}{\pi}\right).$$

Combining the results of the above four cases, we get an upper bound when  $r \neq s$ , i.e.,  $u \neq 1$ . To complete the proof, we need to show that

$$|R_{c_r}(\tau, k; B)| \leq \csc\left(\frac{\pi}{N}\right) \sin\left(\frac{\pi}{N}B\right),$$

but we omit its proof due to the limit of the space.  $\square$

## V. CONCLUSION

We investigated the partial-period correlation of Zadoff-Chu sequences by introducing linear phase-shifting sequences and linking the full-period correlation with the partial-period correlation. As a generalization of the approach in [5], our method can be applied to the partial-period correlation between any pair of sequences  $\{a(n)\}$  and  $\{b(n)\}$  as long as the full-period correlation properties between the  $l$ th linear phase-shifting sequence  $\{a^l(n)\}$  and  $\{b(n)\}$ , which are represented as an  $N \times N$  matrix  $\Theta_{a,b}$ , are available. Analysis of  $\Theta_{a,b}$  for two Zadoff-Chu sequences  $\{a(n)\}$  and  $\{b(n)\}$  led us to an upper bound on their partial-period cross-correlation, which has not yet been known.

## ACKNOWLEDGMENT

This work was supported by the National Research Foundation of Korea(NRF) grant funded by the Korea government(MEST) (No. 2011-0017396).

## REFERENCES

- [1] T. Helleseth and P. V. Kumar, "Sequences with low correlation," in *Handbook of Coding Theory*, V. Pless and W. Huffman, Eds. Amsterdam, The Netherlands: North-Holland, ch. 21, pp. 1765-1853, 1998.
- [2] M. B. Pursley, "Performance analysis for phase-coded spread-spectrum multiple-access communication-Part I: System analysis," *IEEE Trans. Commun.*, vol. 25, no. 8, pp. 795-799, May 1977.
- [3] M. B. Pursley, D. V. Sarwate, and T. U. Basar, "Partial correlation effects in direct-sequence spread-spectrum multiple-access communications systems," *IEEE Trans. Commun.*, vol. 32, no. 5, pp. 567-573, May 1984.
- [4] R. C. Dixon, *Spread Spectrum Systems with Commercial Applications*, 3rd ed., New York, Wiley-Interscience, 1994.
- [5] K. G. Paterson and P. J. G. Lothian, "Bounds on partial correlations of sequences," *IEEE Trans. Inf. Theory*, vol. 44, no. 3, pp. 1164-1175, May 1998.
- [6] H. Fukumasa, R. Kohno, and H. Imai, "Pseudo-noise sequences for tracking and data relay satellite and related systems," *IEICE Trans. Commun.*, vol. E74-B, no. 5, pp. 1137-1144, May 1991.
- [7] P. Fan and M. Darnell, *Sequence Design for Communications Applications*, Research Studies Press, Taunton, UK (1996).
- [8] T. S. Rappaport, *Wireless Communications: Principles & Practice*, Prentice Hall, New Jersey, 1996.
- [9] D. V. Sarwate, "Bounds on correlation and autocorrelation of sequences," *IEEE Trans. Inf. Theory*, vol. 25, no. 6, pp. 720-724, Nov. 1979.
- [10] J. W. Kang, Y. Whang, B. H. Ko, and K. S. Kim, "Generalized cross-correlation properties of Chu sequences," *IEEE Trans. Inf. Theory*, vol. 58, no. 1, pp. 438-444, Jan. 2012.
- [11] T. M. Cover and J. A. Thomas, *Elements of Information Theory*, John Wiley & Sons, Inc., 1991.
- [12] D. C. Chu, "Polyphase codes with good periodic correlation properties," *IEEE Trans. Inf. Theory*, vol. 18, pp. 531-532, July 1972.
- [13] R. L. Frank, "Comments on polyphase codes with good correlation properties," *IEEE Trans. Inf. Theory*, vol. 19, pp. 244, Mar. 1973.
- [14] A. V. Oppenheim and R. W. Schaffer, *Discrete-Time Signal Processing*, 3rd ed., Prentice Hall, NJ, 2009.
- [15] D. V. Sarwate, "An upper bound on the aperiodic autocorrelation function for a maximal-length sequence," *IEEE Trans. Inf. Theory*, vol. 30, pp. 685-687, July 1984.