

000 001 002 003 004 FM4NPP: A SCALING FOUNDATION MODEL FOR NU- 005 006 007 008 009 010 CLEAR AND PARTICLE PHYSICS

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ABSTRACT

Large language models have revolutionized artificial intelligence by enabling large, generalizable models trained through self-supervision. This paradigm has inspired the development of scientific foundation models (FMs). However, applying this capability to experimental particle physics is challenging due to the sparse, spatially distributed nature of detector data, which differs dramatically from natural language. This work addresses if an FM for particle physics can scale and generalize across diverse tasks. We introduce a new dataset with more than 10 million particle collision events and a suite of downstream tasks and labeled data for evaluation. We propose a novel self-supervised training method for detector data and demonstrate its neural scalability with models that feature up to 188 million parameters. With frozen weights and task-specific adapters, this FM consistently outperforms baseline models across all downstream tasks. The performance also exhibits robust data-efficient adaptation. Further analysis reveals that the representations extracted by the FM are task-agnostic but can be specialized via a single linear mapping for different downstream tasks.

1 INTRODUCTION

The emergence of large-scale language and vision models Wang et al. (2023) has marked a paradigm shift from specialized neural architectures, tailored to individual tasks, toward universal, scalable, and multitasking models. These large models, containing billions of parameters and trained through self-supervised learning on massive unlabeled datasets, can be efficiently adapted to diverse downstream tasks, ranging from language translation and code generation to general reasoning. Recognizing their transformative potential, the scientific community has termed these scalable, general-purpose models as *foundation models* (FMs) Bommasani et al. (2021). Among their underpinning features, FMs can leverage self-supervised learning on extensive unlabeled datasets, allowing them to develop generalized representations adaptable to various downstream tasks with minimal additional labeled training. However, scientific data often fundamentally differ from natural language or visual data. Hence, the design and implementation of FMs for scientific fields still faces challenges Li et al. (2024); Pyzer-Knapp et al. (2025a).

This work investigates developing FMs tailored for experimental nuclear and particle physics (NPP), utilizing data from the Relativistic Heavy Ion Collider (RHIC) and the sPHENIX detector Brookhaven National Laboratory (2025). NPP research uses particle colliders, such as RHIC or the Large Hadron Collider (LHC), to explore subatomic phenomena. Discovery of the Higgs boson exemplified the transformative significance of collider-based NPP Collaboration et al. (2012). In particular, RHIC collides heavy ions and polarized protons, enabling essential studies of quark-gluon plasma and the structure of protons and nuclei Belmont et al. (2024). Commissioned in 2023 Moskowitz (2023), the sPHENIX detector features advanced tracking and calorimetry and generates extensive and complex data. The complexity of collider data and the breakthrough science it enables have motivated exploration of new data processing tools like FMs that employ self-supervised learning. In particular, the high occupancy of hadronic collisions at RHIC or the LHC is particularly challenging for traditional reconstruction algorithms, motivating exploration into new methods.

However, developing an FM for NPP poses several challenges. The sparse, three-dimensional (3D)-spacepoint nature of collider data lacks an established framework for formulating self-supervised tasks. Additionally, optimal neural architectures and the scaling behavior of pre-training losses with

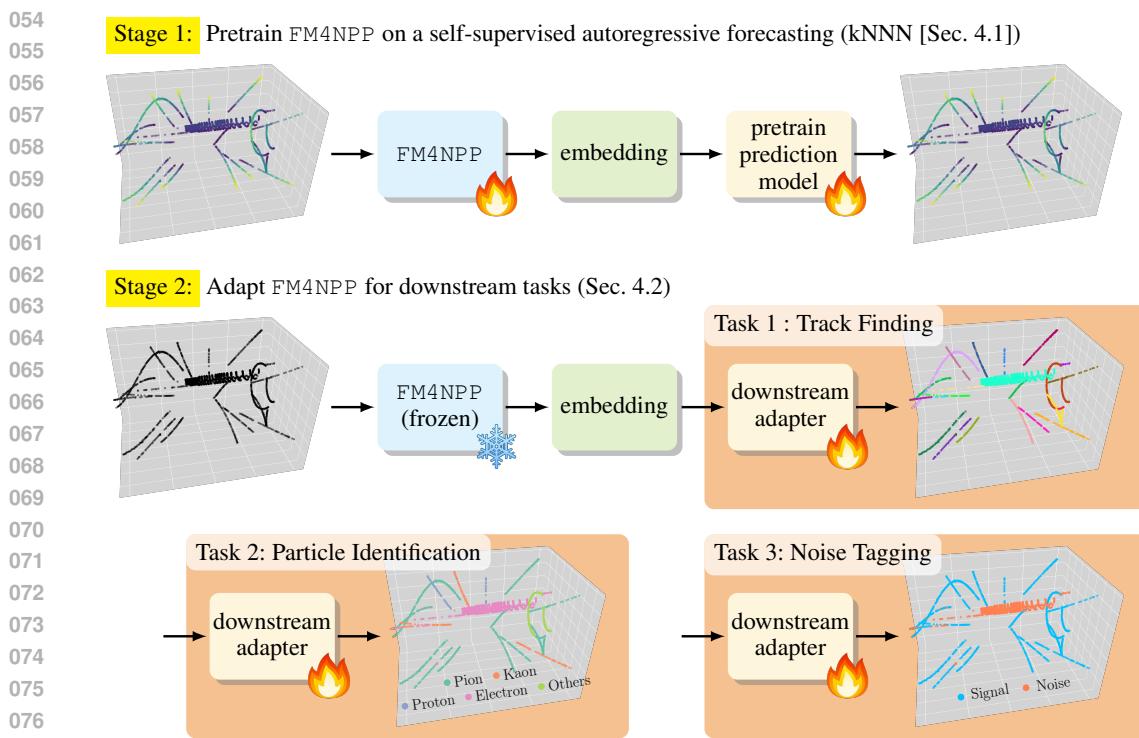


Figure 1: Overview of a pretrained foundation model that can be adapted to various downstream tasks. We answer two questions in this work: a) Whether the foundation model is *scalable*, i.e., can larger model and dataset sizes improve performance; b) Whether the foundation model is *adaptable* to solve multiple downstream tasks.

respect to model and data size remain unknown. Crucially, it is uncertain if neural representations from a frozen, pre-trained FM can generalize effectively to various downstream tasks, thereby outperforming existing traditional solutions and specialized AI models.

Here, we take a first step toward enabling the use of FMs for NPP by adopting a cost-effective two-stage paradigm: (1) pretrain a large FM using a self-supervised objective and (2) pair the frozen FM with lightweight, task-specific adapters (Figure 1). The core hypothesis is that a sufficiently trained FM encodes rich, task-agnostic representations that can be efficiently adapted to diverse downstream tasks with minimal additional training.

To this end, we construct a large-scale dataset, exceeding 10 million simulated collision events and characterized by sparse, high-dimensional detector data. We also define three downstream tasks with corresponding labeled datasets to evaluate FM adaptability. We introduce a self-supervised pre-training strategy tailored to the sparsity and structure of detector data and demonstrate strong neural scaling behavior with models up to 188 million parameters. With frozen FMs and simple adapters, we achieve state-of-the-art performance across all downstream tasks. This analysis further reveals that FM representations are broadly task-agnostic and can be specialized using a single linear transformation. In summary, our contributions are:

- A large-scale, open benchmark dataset for FM training and evaluation in particle physics.
- A self-supervised pre-training method designed for sparse detector data.
- Empirical evidence of scaling behavior and data-efficient adaptation with frozen FMs.
- Insight into the structure and adaptability of FM representations across diverse tasks.

2 RELATED WORK

Scientific Foundation Models. Developing FMs for scientific domains is a promising yet formidable endeavor. Progress has been most evident in domains where data exhibit modality structures similar to language or vision. For instance, Aurora Bodnar et al. (2025) is an atmospheric FM

108 trained on continuous spatiotemporal climate data, and recent work has demonstrated FM-based dis-
 109 ease detection from retinal images Zhou et al. (2023). In high-energy physics, recently developed
 110 foundation models such as OmniJet- α Birk et al. (2024) and OmniLearned Bhimji et al. (2025)
 111 focus on high-level physics objects known as jets — collimated sprays of particles that can be re-
 112 presented as dense matrices. However, many scientific disciplines, including low-level detector data
 113 (e.g. raw hits or clusters) in particle physics, materials science, and single-cell omics, present unique
 114 challenges like irregularly structured and sparse data. Traditional approaches, such as graph neural
 115 networks (GNNs), are well-suited for sparse data, but they face scalability issues due to phenomena,
 116 e.g., oversmoothing Rusch et al. (2023). Surveys in materials science Pyzer-Knapp et al. (2025b)
 117 and single-cell omics Ma et al. (2024) emphasize additional bottlenecks, including limited data
 118 availability and high computational costs. These challenges also apply to NPP, where it remains un-
 119 clear how best to model extremely sparse data, how much data are needed, and whether pretraining
 120 benefits can effectively transfer to downstream tasks. This work takes a first step toward addressing
 121 these questions by developing a scalable FM for sparse lower level detector data in NPP, focused on
 122 efficient pretraining, architectural scalability, and downstream generalization.

123 **Scalable Neural Architectures.** Three neural network architectures are prominent for their scal-
 124 ability: Transformers, Mixture-of-Experts (MoE), and State Space Models (SSMs). The Transformer
 125 architecture Vaswani et al. (2017) has revolutionized deep learning via self-attention, enabling ef-
 126 fective modeling of long-range dependencies. This has led to widespread adoption in both natural
 127 language processing (NLP) and computer vision Dosovitskiy et al. (2021). However, the quadratic
 128 time and space complexity of self-attention limits scalability on long sequences — a critical bot-
 129 tleneck for scientific data. MoE architectures Fedus et al. (2022) improve inference efficiency by
 130 activating only a subset of the model per input, although they face challenges, such as training insta-
 131 bility and expert imbalance. The Mamba architecture Gu & Dao (2024), an SSM variant, achieves
 132 linear time complexity and shows competitive or superior performance to Transformers. Given the
 133 relatively large number of spacepoints per collision event, which can result in especially long se-
 134 quences, we explore SSMs as a backbone due to their favorable training efficiency and memory
 135 usage.

136 **AI Model Tasks in NPP.** In collider physics, high-energy particles collide to produce new par-
 137 ticles, whose trajectories—called tracks—are reconstructed from discrete spacepoints recorded by
 138 layered detector components. Track finding, or assigning spacepoints to different tracks, is one of
 139 the most important tasks. Traditional algorithms rely on combinatorial seeding followed by Kalman-
 140 filter-based refinement Kalman (1960). These classical methods are computationally expensive
 141 and difficult to parallelize on modern accelerators. GNN-based approaches have become popular
 142 in track finding. Exa.TrkX Ju et al. (2021) formulates the task as edge classification, whereas
 143 EggNet Calafuria et al. (2024) employs contrastive learning followed by clustering. Each predicted
 144 track then corresponds to a connected subgraph of spacepoints. Other recent work has introduced
 145 Transformer-based models such as HEPT Miao et al. (2024) and SSM-based Jiang & Qian (2025)
 146 tracking models at $\mathcal{O}(1M)$ parameter scale. Beyond track finding, another common task is parti-
 147 cle identification (PID). Previous ML-based PID approaches, such as MLPF Mokhtar et al. (2025)
 148 and HGPF Kakati et al. (2025), rely on reconstructed tracks as key inputs and typically incorporate
 149 calorimeter topoclusters. In contrast, we employ a lightweight adapter model—designed as a probe
 150 of FM generalizability—that performs PID directly at the low level using only TPC spacepoint data,
 151 without requiring high-level track information or calorimeter inputs.

152 While these models are promising — with some achieving strong results using fewer than one million
 153 parameters — there is no systematic study of scaling behavior. Moreover, open datasets designed
 154 for scaling and evaluating FMs are limited. In this work, more than 10 million simulated collision
 155 events are generated, affording comprehensive scaling studies. We also develop an FM with 188
 156 million parameters, two orders of magnitude larger than prior models, and evaluate its performance
 157 in tracking and broader NPP tasks.

158 3 PARTICLE DETECTOR DATASET

159 **Dataset.** As part of the sPHENIX detector’s central tracking system, the high-granularity Time
 160 Projection Chamber (TPC) Klest (2020) records more than 85% of the total data volume. The TPC
 161 consists of 48 concentric cylindrical readout layers, encompassing approximately 160,000 channels
 162 that each record 260 time samples, totaling 41.6 million voxels. Functioning as a three-dimensional

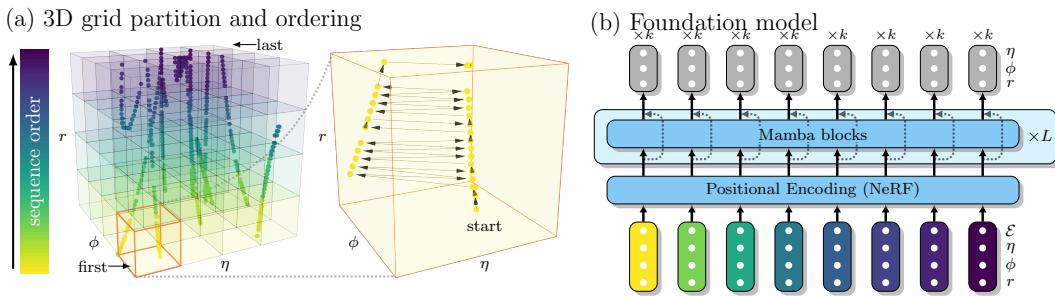


Figure 2: (a) Hierarchical Raster Scan strategy to serialize the unordered spacepoints into a 1D sequence. (b) A Mamba FM backbone for k-Next-Nearest-Neighbor prediction.

camera, the TPC records the paths of particles emerging from collision events, delivering continuous 3D spacepoint information.

We use a publicly available dataset with over 10 million simulated p+p collision events at $\sqrt{s} = 200 \text{ GeV}$ Li et al. (2025). The natural sparsity of events in p+p collisions makes them an ideal testing ground for developing an FM for NPP applications. The simulation pipeline includes real detector geometry, electromagnetic fields, hadronic interactions, continuous energy loss, multiple scattering, decay processes, secondary particle production, and precise energy deposition. The raw detector hits subsequently are reconstructed to spacepoints which serve as inputs in this work. More concretely, a collision **event** E is represented as a set of **spacepoints** $\{s_i\}$, where each spacepoint is expressed by its deposit energy and location (\mathcal{E}, x, y, z) . The number of spacepoints per event can vary from hundreds to thousands.

Downstream Tasks. We select three complementary downstream tasks to evaluate the generalizability of an FM: **Track Finding**, **Particle Identification** (PID), and **Noise Tagging**. **Track Finding** assigns each spacepoint to its corresponding predicted track as shown in Figure 1 (Task 1, 2, and 3). Assume there are m **tracks** $\{T_j\}_{j=1}^m$, where each track T_j consists of its associated spacepoints $\{s_i \in T_j\}$. The goal of track finding is to predict a partition P over the set of spacepoints, where $P_i^j = 1$ if spacepoint s_i is assigned to track T_j . The number of tracks can vary from event to event. This task is analogous to instance segmentation in computer vision.

To evaluate performance, we employ both conventional physics-motivated metrics, **tracking efficiency and purity** Calafuria et al. (2018), as well as the statistical metric Adjusted Rand Index (ARI) Hubert & Arabie (1985). As the exact definition of whether or not a predicted track matching a true track can differ among physics experiments, we adopt the “double-majority rule” from the TrackML challenge Amrouche et al. (2020). The rule enforces that a predicted track is successfully matched to a true track only when greater than 50% of the predicted track’s spacepoints belong to that track and more than 50% of the true track’s spacepoints are present in the predicted track. This stringent rule guarantees neither predicted tracks nor true tracks are matched more than once. Then, tracking efficiency (recall) is defined as the ratio between the true positive and total number of truth tracks, while tracking purity (precision) is the ratio between the true positive and total number of predicted tracks.

PID aims to label each spacepoint to the particle species that produced it, i.e., pion, kaon, proton, electron, and others. This is comparable to a segmentation task in computer vision. **Noise Tagging**, the third downstream task, seeks to identify spacepoints associated to low-momentum secondary particles, primarily delta electrons as they typically are not associated with physics observables of interest. This also can be considered a segmentation task. For these two downstream tasks, we report overall accuracy, macro-averaged precision and recall. Additional information about the TPC detector, data generation pipeline, and statistical analysis are included in Appendix A.

4 METHODOLOGY

This section introduces the scalable FM for NPP, including a novel serialization method for sparse spacepoints, adaptation to the Mamba architecture, and a self-supervised pretraining objective, and lightweight adapter models for downstream tasks. Additional information is included in Appendix B.

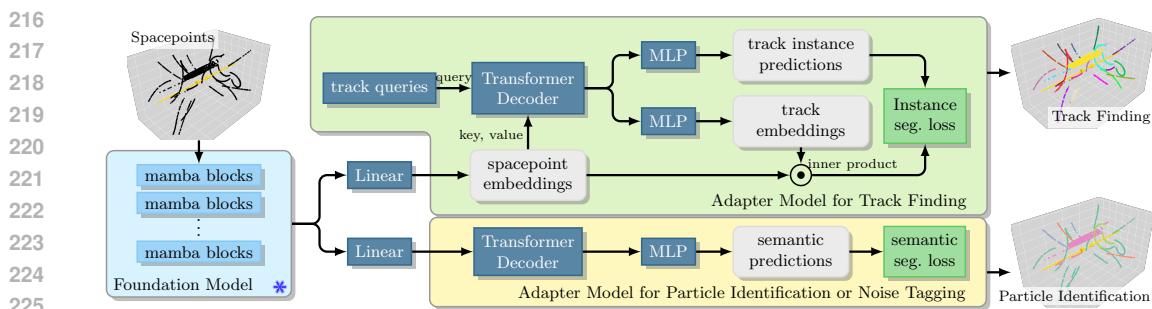


Figure 3: The pretrained FM is kept frozen during training of the adapter models for downstream tasks. The adapter models for particle identification and noise tagging share the same architecture but are trained independently.

4.1 SELF-SUPERVISED SCALING FOUNDATION MODEL

Serialization of Spacepoints. A key challenge in applying sequence-based models like Mamba to particle detector data is in serializing the unordered set of 3D spacepoints s_i from an event E into a meaningful one-dimensional (1D) sequence. The serialization strategy must balance two competing objectives: preserving the *global* structure of particle trajectories, which typically propagate outward from the collision point, and maintaining *local* continuity along individual tracks T_j to retain fine-grained geometric information. Naive serialization schemes struggle to achieve this balance. For example, space-filling curves (e.g., Hilbert or Z-order) prioritize spatial locality but often interleave points from different tracks, disrupting trajectory coherence. Conversely, sorting points by their radial distance preserves the outward particle flow but scatters spacepoints from the same track across distant positions in the sequence, breaking local continuity. An effective serialization must navigate this trade-off, allowing the model to learn both *global* and *local* physics from a sequential input.

We propose a *Hierarchical Raster Scan* strategy to serialize the unordered spacepoints s_i into a 1D sequence suitable for sequence models as shown in Figure 2(a). First, all spacepoints are transformed from Cartesian (x, y, z) to a cylindrical-polar system (r, ϕ, η) that better reflects the geometry and symmetries of collider experiments, where r is radial distance, ϕ depicts the azimuthal angle, and η represents the pseudorapidity (angle to the beam axis). The raster scan method operates on two levels. The first is *inter-box ordering*, where spacepoints are initially partitioned into non-overlapping 3D spatial boxes. Then, these boxes are ordered based on the (r, ϕ, η) coordinates of their geometric centers, starting from the innermost region and progressing outward. This produces a global ordering over the spatial domain. The second is *intra-box ordering*, where, within each box, spacepoints are sorted by their radial coordinate r , which generally aligns with the direction of particle propagation. By concatenating the intra-box sequences according to the inter-box order, we obtain a globally serialized sequence that preserves both *local* spatial continuity and *global* physical progression. This hierarchical structure captures important geometric and physical priors while producing a format compatible with sequence models. Specifically, we partition the spatial domain into a $6 \times 8 \times 8$ grid along the (r, η, ϕ) axes, respectively. The r bins are aligned with the physical boundaries of the TPC detector layers, while the η and ϕ bins are determined using frequency-based binning to ensure balanced point distributions across the grid.

Mamba as a FM Model Backbone. Mamba is a selective SSM that efficiently processes long sequences, achieving linear time complexity Gu & Dao (2023). It features a selection mechanism that makes its internal state matrices input-dependent, allowing the model to dynamically focus on relevant information and filter out noise – all while using a hardware-aware algorithm for fast computation. In this work, we employ Mamba2 Dao & Gu (2024), which further improves upon this foundation. Mamba2 introduces structured State Space Duality (SSD), a new theoretical framework that simplifies the architecture and enhances hardware utilization, leading to significant speedups in both training and inference.

We treat every spacepoint as an input “token” in a sequence. To map an input tuple $(\mathcal{E}, r, \phi, \eta)$ to the model width d_{model} , we employ a two-pathway process inspired by Neural Radiance Fields Mildenhall et al. (2021). First, the feature component \mathcal{E} is projected into a feature embedding of dimension d_{model} . Concurrently, the spatial coordinates (r, ϕ, η) are transformed with a high-frequency po-

sitional encoding function, $\gamma(\cdot)$, which uses sine and cosine transformations. Then, this encoded position is also projected into a positional embedding of dimension d_{model} . The final representation is the element-wise sum of the feature and positional embeddings, yielding a single vector of size d_{model} that holistically captures the event’s properties and location.

Self-supervised Pretraining Objectives. To create a self-supervised pretraining task, the prediction objective must be decoupled from the sequence order as a naive “next-spacepoint prediction” would learn artifacts of the serialization itself. The target for any given spacepoint s_i , must be defined by its geometric relationship to other spacepoints in 3D, not its 1D sequence position. While predicting nearest neighbors is a natural geometric objective, a standard k-Nearest Neighbor task is unsuitable in an autoregressive framework due to information leakage from previously seen spacepoints. We partially address this by introducing *k-Next-Nearest-Neighbor prediction* (k-NNN) (Figure 2(b)), which aligns the objective with particle propagation. For any query spacepoint s_i , the model’s task is to predict its k nearest spacepoints within its next neighborhood $\mathcal{N}_c(s_i) = \{s_j \in E \mid r_j > r_i\}$, i.e. those with larger radius. Let $\widehat{\mathbf{Y}}_i = \{\widehat{\mathbf{y}}_{i,1}, \dots, \widehat{\mathbf{y}}_{i,k}\}$ denote the predictions and $\mathbf{Y}_i = \{\mathbf{y}_{i,1}, \dots, \mathbf{y}_{i,k}\}$ the ground truth neighbors, both ordered by increasing distance. The loss is then $\mathcal{L}_i = \frac{1}{k} \sum_{m=1}^k \|\widehat{\mathbf{y}}_{i,m} - \mathbf{y}_{i,m}\|_2^2$. Larger k expands the geometric horizon and makes the task more difficult.

4.2 ADAPTIVE MODELS FOR DOWNSTREAM TASKS

Track Finding. Figure 3 depicts how our downstream adapter model for track finding, formulated as an instance segmentation task, is inspired by image panoptic segmentation models Cheng et al. (2021; 2022) and adapted to sparse spacepoints data.

Point-level features from the FM are first projected to spacepoint embeddings via a single linear layer. This projection serves as a task-alignment filter, compressing and reorienting the pretrained representation into a lower-dimensional space, while also providing a probing point to assess the task relevance of the FM features. We initialize N learnable queries (track queries) $\mathbf{Q} = \{\mathbf{q}_k\}_{n=1}^N$ and refine them over L transformer decoder layers. In each layer, cross-attention aggregates information from spacepoint embeddings, modulated by an additive attention mask computed from intermediate assignment logits, followed by self-attention among the queries. The resulting refined track queries are passed through two separate multilayer perceptron (MLP) heads to produce a track embedding and a classification score \hat{y}_n . Point-to-query assignment probability \hat{p}_{in} is computed as the sigmoid of the dot product between spacepoint embeddings and each track embedding.

Let $E = \{T_j\}_{j=1}^M$ be the set of true tracks of an event E . We match the refined track queries to E via the Hungarian algorithm, minimizing the combined cost of Dice loss $\mathcal{L}_{\text{dice}}$, Focal loss $\mathcal{L}_{\text{focal}}$ on the per-point assignments, and classification loss \mathcal{L}_{cls} for track versus no-object. For each matched pair (T_j, \mathbf{q}_n) , the loss is $\mathcal{L}_{\text{match}}^{(j,n)} = \lambda_{\text{dice}} \mathcal{L}_{\text{dice}}^{(j,n)} + \lambda_{\text{focal}} \mathcal{L}_{\text{focal}}^{(j,n)} + \lambda_{\text{cls}} \mathcal{L}_{\text{cls}}^{(n)}$. Unmatched track queries incur only $\mathcal{L}_{\text{cls}}^{(n)}$. We also apply auxiliary losses at each decoder layer. At inference time, each spacepoint i is assigned to the track $n_i^* = \arg \max_n (\hat{p}_{in} \cdot \hat{y}_n)$ and labeled accordingly.

Particle Identification and Noise Tagging. For both PID and noise tagging tasks, illustrated in Figure 3, our lightweight adapter first projects each d -dimensional point feature into a d_p -dimensional embedding via a linear layer then aggregates global context with a single self-attention layer. Finally, it feeds the result through an MLP classifier.

5 EXPERIMENTS AND RESULTS

Here, we begin by examining the scaling behavior of our FM with respect to model size, dataset size, and computational cost. We then benchmark the FM paired with lightweight adapters against strong baselines across three downstream tasks. Finally, we present additional analyses to better understand the adaptation behavior of the FM.

5.1 NEURAL SCALING BEHAVIORS OF FM4NPP

We evaluate our FM’s scaling behavior across three axes: model size, dataset size, and compute budget. Results are summarized in Figures 4(a–c).

Table 1: Model Sizes and Compute Resources

	Model Sizes						Compute Resources					
	m1	m2	m3	m4	m5	m6	NVIDIA GPU		H100 80GB		A100 80GB	
Model Width	64	128	256	512	1024	1536	Num GPUs	1	1	4	8	24
Model Params	0.34M	1.3M	5.3M	21M	84M	188M	Train Hrs	10	12	20	32	50

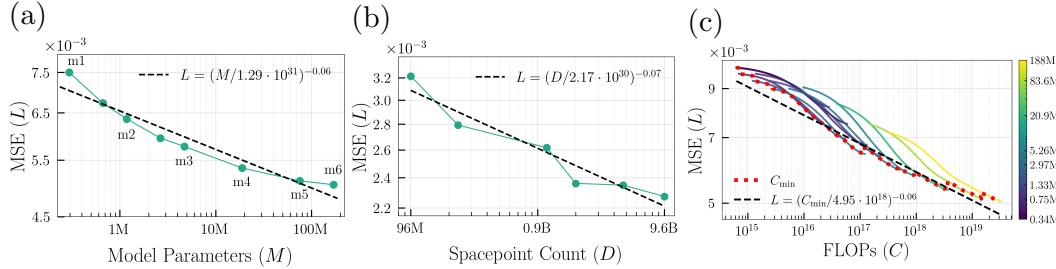


Figure 4: Neural Scaling Behaviors of FM4NPP. We evaluate neural scaling trends on increasing (a) model parameter size M , (b) training spacepoint count D , and (c) compute in FLOPs. C_{\min} denotes the minimum L for each compute.

Model Scaling. We construct a series of FMs with varying capacities, denoted m_1 through m_6 in Table 1. Figure 4(a) shows the validation mean squared error (MSE) plotted against model size on a log-log scale, revealing a clear power-law relationship. As the number of parameters increases, validation loss consistently decreases, which aligns with neural scaling laws observed in language and other scientific domains Kaplan et al. (2020); Hoffmann et al. (2022); Nguyen et al. (2023); Bodnar et al. (2025). Notably, performance plateaus at m_6 , suggesting a possible saturation point, which we leave for future investigation.

Data Scaling. To isolate the effect of training dataset size, we train the m_3 model on varying subsets (1%, 2.4%, 11.6%, 20%, 47.6%, 100%) of the full dataset. Figure 4(b) shows how performance improves steadily with more data, again following a power-law trend. This suggests the FM can continue to benefit from the large-scale data routinely produced in collider experiments.

Compute Scaling. Finally, we study the relationship between compute and model performance. Figure 4(c) shows validation MSE against the total number of floating-point operations (FLOPs) used during training. Models up to m_3 are trained at 25%, 50%, and 100% of their total iteration budget, while larger models (m_4 - m_6) are trained to full completion only. The results show that smaller models are initially more compute-efficient, but larger models outperform them when more resources are allocated. This highlights the importance of compute-optimal model scaling for deployment in high-throughput environments. All experiments have been conducted using A100 and H100 GPUs with corresponding hardware costs summarized in Table 1.

All models are trained with a batch size of 256. An optimal learning rate of 2×10^{-4} is selected through hyperparameter tuning on the m_3 model and reused across all variants using the μ -parameterization principle Vankadara et al. (2024). This approach ensures consistent gradient flow across model sizes and enables zero-shot hyperparameter transfer Yang et al. (2022). Smaller models (m_1, m_2) are trained for 50,000 iterations, while larger models (m_3 - m_6) are trained for 100,000 iterations. We apply cosine learning rate decay with 10,000-step linear warmup and use gradient clipping at a threshold of 0.1. All experiments employ the AdamW optimizer Loshchilov & Hutter (2017) with a weight decay of 0.01. Additional training details are provided in Appendix B.

5.2 PERFORMANCE ON DOWNSTREAM TASKS

Track Finding. Our baseline coverage prioritizes reproducible, end-to-end pipelines. Some recent SSM- and Transformer-based trackers cited in Related Work are therefore omitted, as they either do not report full end-to-end results or lack public, runnable releases. We thus focus on Exa.TrkX Ju et al. (2021) and EggNet Calafiura et al. (2024), both GNN-based methods designed specifically for tracking, as well as HEPT Miao et al. (2024), a Transformer-based method that uses locality-sensitive hashing for efficient attention. Because their original implementations target different detector ge-

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Table 2: Performance on Track Finding, Particle Identification, and Noise Tagging. Results for the
 383 FM4NPP (m6) model are averaged over 10 random seeds; uncertainties shown in the parentheses
 384 indicate standard deviation of the mean in the last digit. The best-performing results for each metric
 385 are highlighted in bold, while the second-best results are underlined.
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383 384 model	#trnbl para.	385 Track Finding			386 model	#trnbl para.	387 Particle Identification			388 Noise Tagging		
		ARI↑	efficiency↑	purity↑			acc.↑	recall↑	pre.↑	acc.↑	recall↑	pre.↑
EggNet	0.16M	0.726	74.2%	75.1%	SAGEConv	0.91M	0.726	0.456	<u>0.650</u>	0.917	0.723	0.817
Exa.TrkX	3.86M	<u>0.877</u>	<u>91.8%</u>	66.4%	GraphConv	0.91M	0.7079	0.4176	0.6425	0.9190	0.7213	0.8252
HEPT	0.31M	<u>0.831</u>	<u>81.2%</u>	<u>78.0%</u>	OneFormer3D	44.95M	0.770	<u>0.490</u>	0.577	<u>0.965</u>	0.940	<u>0.895</u>
AdapterOnly	2.39M	0.724	78.0%	64.5%	AdapterOnly	0.74M	0.663	0.339	0.611	0.911	0.622	0.836
FM4NPP (m6)	2.39M	0.945(3)	<u>96.1(2)%</u>	<u>93.1(1)%</u>	FM4NPP (m6)	0.74M	0.904(1)	<u>0.765(3)</u>	<u>0.878(3)</u>	<u>0.971(1)</u>	<u>0.937(1)</u>	<u>0.919(1)</u>

389
 390 ometries, we adapt them to this dataset (see Appendix C). To verify embeddings extracted using the
 391 pretrained FM provide richer information, we also train the lightweight adapter model alone.

392 Table 2 reports the track finding results of our FM with several baselines. All metrics are computed
 393 over the entire test set rather than averaged per event. For example, tracking efficiency is defined as
 394 the fraction of all true tracks in the dataset that are successfully matched. Our model achieves higher
 395 performance on conventional clustering metrics such as ARI, and also outperforms other approaches
 396 in tracking efficiency (recall) and purity (precision).

397 We also compare this work against the official sPHENIX reconstruction pipeline, which employs a
 398 Cellular Automaton seeding followed by a Kalman filter Osborn et al. (2021). As that algorithm is
 399 optimized for high transverse momentum (p_T), long tracks within the TPC acceptance, we restrict
 400 this comparison to tracks that leave at least 20 spacepoints in the TPC and satisfy $p_T > 1$ GeV and
 401 $|\eta| < 1.1$. Under these criteria, our model reaches a tracking efficiency of 99.6%, exceeding the
 402 sPHENIX pipeline’s 94.6%.

403 **Particle Identification and Noise Tagging.** For the PID and noise tagging tasks, we experiment
 404 with four conventional GNN models and report on the best performing one, SAGEConv. The graph
 405 edge set is constructed by k -nearest neighbors with a distance cap. We also adapt and train a state-
 406 of-the-art segmentation model for 3D point cloud data named OneFormer3D Kolodiaznyi et al.
 407 (2024).

408 Table 2 reports the segmentation accuracy, as well as macro-averaged recall and precision. For the
 409 PID task, our FM consistently outperforms all baselines, achieving the highest accuracy, recall,
 410 and precision. Meanwhile, for the noise tagging, the FM outperforms all GNN-based baselines with
 411 similar performance compared to OneFormer3D. It is worth noting that OneFormer3D has about
 412 45 million trainable parameters, whereas our adapter head has 0.74 million. More details about
 413 comparative model implementations and sample outputs are provided in Appendix C.

414 5.3 INSIGHTS ABOUT FM ADAPTATION

415 We aim to further understand FM adaptation behaviors by answering the following questions:

- 416 • **Q1:** Does increasing the size of the FM lead to improved performance on downstream tasks?
- 417 • **Q2:** Do larger FMs require fewer labeled examples to achieve comparable performance (i.e.,
 418 better data efficiency)?
- 419 • **Q3:** Are the learned FM embeddings task-agnostic, and, if so, how much adaptation is needed to
 420 specialize them for specific tasks?

423 Figure 5(a) shows the downstream performance of all three tasks plotted against a pretrained FM
 424 size. All tasks use the same frozen pretrained representation. Larger pretrained models consistently
 425 yield higher performance across every task, confirming that scaling up the pretrained model size im-
 426 proves on various downstream performance even when only the lightweight decoder head is trained.

427 Figure 5(b) depicts the ARI for the track finding task training on different numbers of labeled data,
 428 from 100 to 70,000. Larger FMs consistently outperform smaller ones across different levels of
 429 labeled data, indicating neural embeddings extracted from larger FMs contain richer information
 430 and can be generalized easier. This confirms common empirical observations that larger models can
 431 generalize better Novak et al. (2018). In addition, compared to a baseline adapter-only model trained
 432 solely on labeled data (dashed line in Figure 5(b)), pretraining a self-supervised FM model on a large

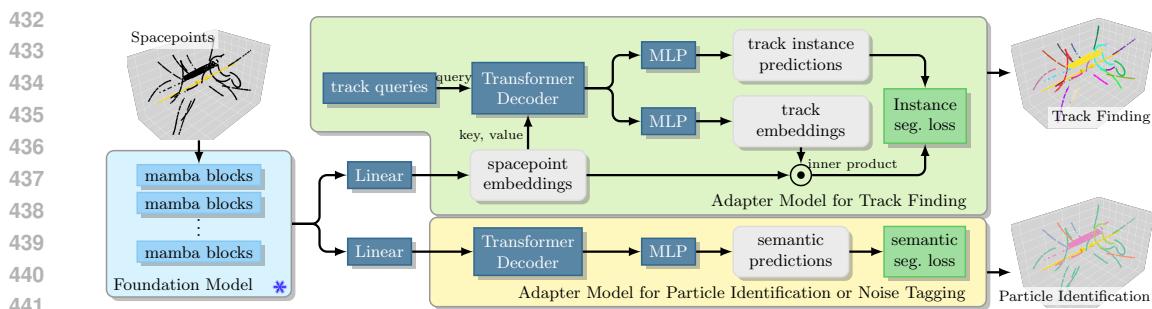


Figure 5: The effect of FM model size and dataset size on downstream task performance.

Table 3: Ablation study results on downstream tasks performances. Numbers show absolute drops in metric, with relative increases in the remaining gap to perfect performance (in parentheses). “Hilbert” represents Hilbert space-filling curve.

Ablation	Noise Tagging (Acc.)	PID (Acc.)	Track Finding (ARI)
Next-token prediction (vs. k-NNN)	-0.0010 (4.6%)	-0.0023 (2.5%)	-0.0009 (1.6%)
k = 1 (vs. k = 30)	-0.0012 (5.7%)	-0.0049 (5.3%)	-0.0019 (3.3%)
k = 5 (vs. k = 30)	-0.0007 (3.6%)	-0.0016 (1.7%)	-0.0003 (0.5%)
Hilbert (vs. Hierarchical Raster Scan)	-0.0014 (7.0%)	-0.0075 (8.0%)	-0.0051 (9.1%)

amount of unlabeled data – easy to come by in NPP – proves effective. The relative gain in ARI is greater in the fewer labeled data regions compared to those with abundant labeled data: $2.9\times$ versus $1.3\times$.

5.4 ABLATION STUDIES

All ablations use our second-largest model m5 and identical training budgets. We report absolute changes in task metrics and the relative increase in the remaining gap to perfect performance in Table 3. For bounded metrics such as accuracy and ARI, this normalizes small absolute changes near saturation.

Neighborhood Size k . Our baseline uses $k=30$ during pretraining for the k-NNN objective. Smaller neighborhoods ($k=1$ or $k=5$) reduce downstream performance, as the model is conditioned only on very local geometry and misses longer-range structure. In contrast, a moderately larger k allows the FM to capture richer global context while still preserving locality. *Takeaway:* incorporating broader geometric neighborhoods during pretraining produces more transferable representations.

k-NNN vs. Next-token Prediction. Autoregressive next-token prediction is a widely used strategy in vision and language van den Oord et al. (2016); Radford et al. (2018). Thus, we compare against it to isolate the value of geometry-aware neighborhoods. *Takeaway:* conditioning on local geometric neighborhoods (k-NNN) yields more transferable representations than generic next-token training.

Serialization Strategy. Space-filling curves are common for locality-preserving serialization in imaging/point-cloud pipelines Chen et al. (2022). We test the popular Hilbert ordering against Hierarchical Raster Scan (Sec. 4.1) serialization. *Takeaway:* trajectory-consistent serialization beats purely spatial locality—interleaving tracks harms downstream coherence.

6 CONCLUSION AND FUTURE WORK

With this work, we demonstrate that FMs can be effectively extended to experimental particle physics by introducing a scalable self-supervised training strategy tailored to sparse detector data. Our model, trained on more than 10 million events, generalizes across diverse downstream tasks with frozen weights and lightweight adapters, consistently outperforming task-specific baselines. Its effective performance and data efficiency suggest the model learns rich, task-agnostic repre-

486 sentations that are easily adapted using simple mappings. These findings reveal the potential for
 487 general-purpose, scalable models in NPP.
 488

489
490 Limitations and Future Work. While our work establishes a proof-of-principle for scaling foun-
 491 dation models on sparse detector data, we acknowledge limitations regarding the scope of our current
 492 evaluation. We demonstrated this approach using a single collider experiment (sPHENIX); trans-
 493 forming this into a universal foundation model spanning diverse detector systems, multiple facil-
 494 ities (e.g. LHC) as well as various collision systems will require significant future research and
 495 community-wide data curation. Additionally, with TPC-only inputs, the number of well defined
 496 downstream tasks is naturally limited. Although we tested generalizability on three downstream
 497 tasks, we recognize that a broader scope of multi-level and multi-modal tasks with multiple detector
 498 system is necessary to fully stress-test the model’s understanding. Most importantly, validating this
 499 approach on real experimental data remains essential to realizing the full potential of this technology
 for the NPP community.

500
501 Reproducibility Statement. We provide anonymized source code and run scripts in the supple-
 502 mentary material to reproduce pretraining and all downstream experiments. Hyperparameters, train-
 503 ing schedules, and model sizes (m1–m6) are specified in Sec. 5.1 and Table 1; dataset provenance,
 504 preprocessing, and labeling rules are in Appx. A; architectural/serialization details and optimization
 505 settings (AdamW, batch size 256, learning rate 2×10^{-4} with cosine decay and 10k warmup, gra-
 506 dient clipping 0.1) are in Sec. 4 and Appx. B; evaluation metrics and the TrackML double-majority
 507 matching protocol are described in Sec. 3; and baseline adaptations plus additional results are in
 508 Appx. C and Tables 2–3. We fix random seeds for all reported runs and include configuration files to
 509 replicate numbers. Due to storage limits we do not include pretrained checkpoints, but the provided
 510 scripts reproduce them. Hardware used (A100/H100) is reported in Table 1, and exact environment
 specs and seeds are listed in the supplementary run scripts.

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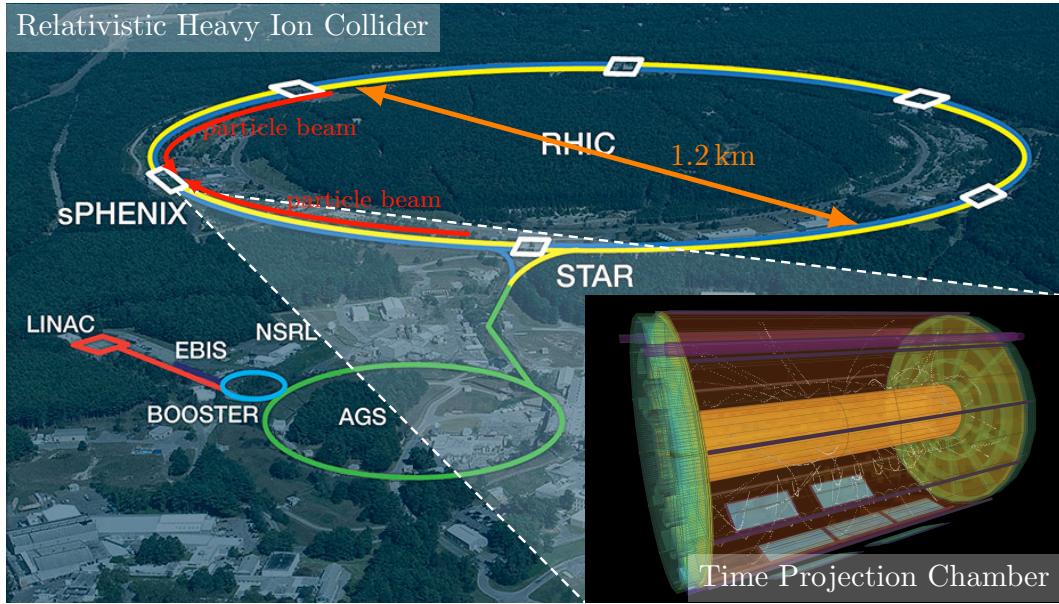
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702 **A DATASET**
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704 The dataset used in this work is based on simulated proton–proton ($p+p$) collisions at a center-of-
 705 mass energy of $\sqrt{s} = 200\text{ GeV}$, corresponding to conditions of the sPHENIX experiment at the
 706 Relativistic Heavy Ion Collider (RHIC). Charged-particle trajectories are recorded with the Time
 707 Projection Chamber (TPC). $p+p$ collisions serve as a precision workhorse for testing QCD and
 708 nucleon structure and provide the baseline for quantifying how particle production in heavy-ion
 709 collisions, viewed as a superposition of $p+p$ interactions, is modified by the QGP Busza et al. (2018).
 710



730 Figure 6: Relativistic Heavy Ion Collider at Brookhaven National Lab and sPHENIX Experiment.
 731

732 A.1 SIMULATION AND PROVENANCE
 733

734 Minimum-bias $p+p$ collisions are generated with PYTHIA-8.307 Sjöstrand et al. (2015) ‘Detroit’
 735 tune Aguilar et al. (2022), and then propagated through a full GEANT4 Agostinelli et al. (2003) sim-
 736 ulation of the as-built sPHENIX detector, including its detailed CAD geometry and measured 1.4T
 737 field map. The ‘FTFP_BERT_HP’ physics list is used for high-precision treatment of neutron and
 738 hadron interactions. The simulation chain models continuous energy loss, multiple scattering, sec-
 739 ondary particle production, and decay processes with the true material budget, supports space-charge
 740 distortion and its data-driven correction, and carries signals through the full front-end electronics
 741 (shaping, digitization, zero suppression, and channel-by-channel gain/noise).
 742

743 The simulated TPC response, so-called G4HITS, emulates raw ionization signals from charged par-
 744 ticles traversing the TPC volume, which are reconstructed into spacepoints reflecting the true spatial
 745 resolution and distortions. Each spacepoint is then matched to the Monte Carlo truth particle that
 746 produced it, and the particle’s properties—identity, momentum, and track association—are recorded
 747 as ground-truth labels for our downstream tasks (track finding, PID, and noise identification).
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749 A.2 CONTENTS AND STRUCTURE
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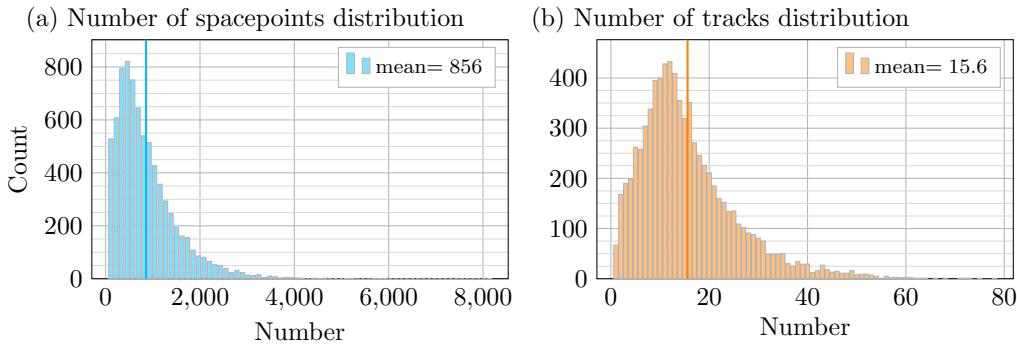
751 Each event contains:

- 752 • Reconstructed spacepoints from the TPC, including position and ionization energy.
 753 • Monte Carlo truth particles with their PDG identity, momentum at production, and vertex
 754 location at production.
 755 • Associations between spacepoints and truth particles.

756 A.3 DATASET STATISTICS
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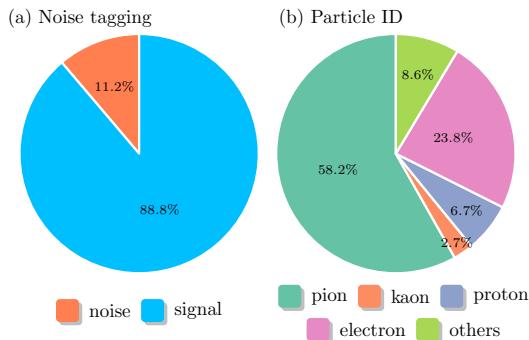
758 The event-level complexity in the dataset varies widely. As shown in Fig 7, the number of recon-
 759 structed TPC spacepoints per event ranges from a few hundred to tens of thousands, reflecting low-
 760 multiplicity to relatively busy collision topologies. Correspondingly, the number of truth tracks per
 761 event spans from under ten up to nearly one hundred.

762 Figure 8 summarizes the class composition for the noise-tagging and particle identification (PID)
 763 downstream tasks.



777 Figure 7: Distributions of number of spacepoints and tracks per event.
 778

779 **Noise-tagging.** Noise spacepoints are defined operationally based on the truth-level kinematics
 780 of their progenitor particles. Specifically, any spacepoint associated with a Monte Carlo truth track
 781 whose momentum is below $60 \text{ MeV}/c$ is labeled as noise. Particles produced in the primary p+p
 782 collision with such low momentum are kinematically unable to reach the active TPC volume due
 783 to the magnetic field; therefore, spacepoints matched to these low-momentum tracks arise predomi-
 784 nantly from secondary interactions with detector material (e.g., delta electrons, conversion products,
 785 or other material-induced processes). These secondary-origin spacepoints are not part of the pri-
 786 mary signal topology of interest and are treated as “noise” for the purposes of the corresponding
 787 downstream classification task.



800 Figure 8: Class ratio of noise tagging and particle identification.
 801

802 **PID.** The PID task uses five coarse-grained target classes, grouping charge-conjugate species to-
 803 gether to reduce sparsity while preserving physics relevance:

- 804 • **Pion:** π^+, π^-
- 805 • **Kaon:** K^+, K^-
- 806 • **Proton:** proton and anti-proton
- 807 • **Electron:** electron and positron
- 808 • **Other:** all remaining particle species

810 The class ratios shown in Fig. 8 reflect the inherent imbalance in these labels, driven by the under-
811 lying particle production spectra and the noise definition.
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864 **B METHODOLOGY**

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866 **B.1 PRELIMINARIES**

867

868 This section outlines a compact mathematical way to express the hierarchical relationship between
 869 events, tracks, and spacepoints in a particle detector like a Time Projection Chamber (TPC). A
 870 collision **event** E is represented as a set of **tracks** $\{T_j\}$, where each track T_j is an ordered sequence
 871 of **spacepoints** (s_k) , and each spacepoint s is a vector $(E_{\text{dep}}, x, y, z, \dots)$ containing its physical
 872 properties. Concretely, we express a single event, E , as follows:

873
$$E = \{T_j\}_{j=1}^m$$

874 This states that an event (E) is a set containing m individual tracks (T_j). The number of tracks, m ,
 875 is variable for each event. Each track, in turn, is defined by its constituent spacepoints:

876
$$T_j = (s_{j,k})_{k=1}^{n_j}$$

877 This expresses that a single **track** (T_j) is an ordered sequence of n_j spacepoints ($s_{j,k}$). The sequence
 878 is ordered because particles follow a specific path through the detector, and the number of space-
 879 points per track, n_j , is also variable. Finally, each individual spacepoint is a vector of its properties,
 880 which can be represented abstractly as:

881
$$s_{j,k} \in \mathbb{R}^D$$

882 A **spacepoint** (s) is a vector in a D-dimensional feature space. A **Spacepoint** ($s_{j,k}$) is now explicitly
 883 defined as a vector containing its primary physical properties:

884
$$s_{j,k} = (\mathcal{E}, x, y, z)_{j,k}$$

885 where \mathcal{E} is the energy deposited by the particle at that point in the detector, and (x, y, z) is the spatial
 886 coordinates of the spacepoint.

887 **B.2 COORDINATE TRANSFORMATION**

888

889 We transform spacepoint coordinates from Cartesian (x, y, z) to a cylindrical-polar system (r, ϕ, η)
 890 that better reflects the geometry and symmetries of collider experiments. The radial distance r is
 891 defined as $r = \sqrt{x^2 + y^2}$, measuring how far a point lies from the beamline in the transverse
 892 plane, and is essential for evaluating transverse momentum and energy. The azimuthal angle ϕ is
 893 given by $\phi = \text{atan2}(y, x)$, describing the orientation of the spacepoint in the x - y plane and ex-
 894 ploiting the detector’s cylindrical symmetry around the beam axis. The pseudorapidity η is defined
 895 as $\eta = -\ln[\tan(\theta/2)]$, where $\theta = \text{atan2}(r, z)$ is the polar angle; this coordinate is used instead
 896 of θ because particle production tends to be uniform in η , and for highly relativistic particles, η
 897 approximates the Lorentz-invariant rapidity. Finally, to ensure consistent feature scaling, we apply
 898 a min-max normalization to the spatial coordinates, transforming the pseudorapidity ($\eta \in [-2, 2]$),
 899 azimuthal angle ($\phi \in [-\pi, \pi]$), and radial distance ($r \in [30, 78]$, centimeters) into the interval $[0, 1]$.
 900 The transformed $s_i = (\mathcal{E}, r, \phi, \eta)_i$ are used for all analyses described in this paper.

901 **B.3 SERIALIZATION**

902

903 Our objective is to perform self-supervised pretraining on the raw 3D point cloud of particle space-
 904 points from a collision event, $S = \{s_1, \dots, s_N\}$. To leverage the power of sequential models like
 905 MAMBA, which have excelled in learning rich representations, we must first solve the fundamen-
 906 tal problem of transforming the unordered 3D set into an ordered 1D sequence. This **serialization**
 907 process is not merely a technical step; the choice of ordering scheme is critical to preserving the
 908 underlying physical structure of the data.

909 An ideal serialization must satisfy two competing demands: it must respect the *global* physics of
 910 the event (i.e., particles flying outwards) while simultaneously preserving the *local* continuity of
 911 individual particle tracks.

912 We first analyze and dismiss naive approaches. A space-filling curve, for example, excels at preserv-
 913 ing 3D locality but completely disregards the concept of a track; its path erratically jumps between
 914 physically distinct trajectories, creating a chaotic signal. Conversely, a simple global raster scan on
 915 the spacepoints’ cylindrical coordinates, $s'_i = (r_i, \phi_i, \eta_i)$, respects the outward propagation along
 916 the radius but fails on local continuity. The initial hits of a track (at low r) become “context-starved,”
 917 as their preceding elements in the sequence belong to entirely different tracks.

918
Proposed Solution: Hierarchical Raster Scan To resolve this dichotomy, we introduce a **Hier-
919 hierarchical Raster Scan**. This method balances global structure with local context by operating on two
920 levels:
921

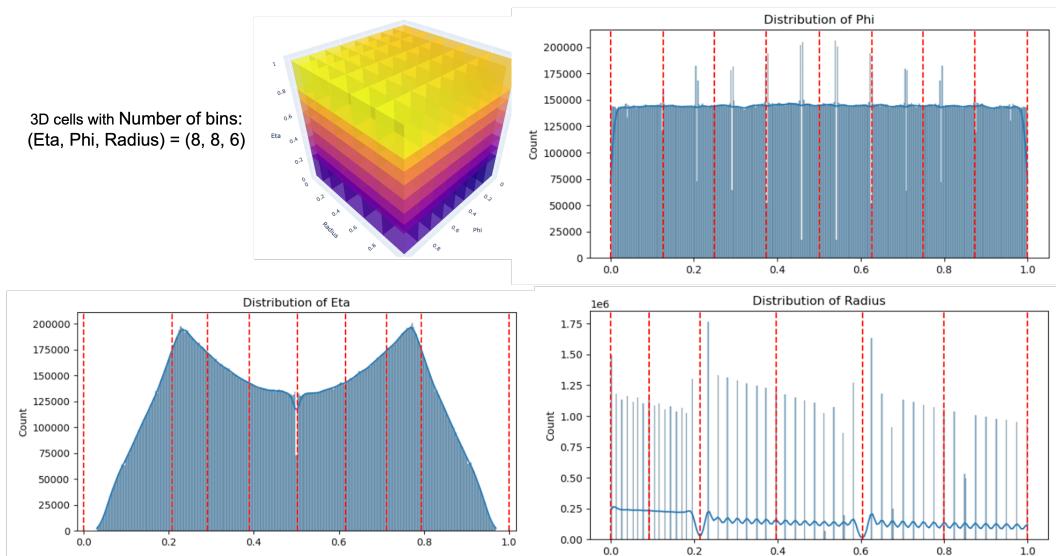
- 922 1. **Partitioning:** The entire detector volume is partitioned into a grid of smaller 3D “boxes.”
- 923 2. **Ordering:** A raster scan using the physically-motivated order (r, ϕ, η) is applied twice.
924 First, it orders the spacepoints *within* each box (intra-box ordering). Second, it orders the
925 boxes themselves based on their geometric centers (inter-box ordering).
926

927 This strategy ensures that the sequence progresses globally outwards but maintains local contiguity
928 within each partitioned region. However, even with this optimal serialization, a profound challenge
929 remains. If the learning objective were to simply predict the next hit in this sequence, the model
930 would be forced to learn the arbitrary artifacts of the serialization itself, particularly the artificial
931 jumps at box boundaries.

932 Therefore, designing a robust serialization scheme is a necessary but insufficient step. The learning
933 objective must be intelligently designed to be independent of these serialization artifacts, a challenge
934 we address in the subsequent section.

935 **Physics Informed Partitioning** The division of the detector volume into a grid is not uniform; it
936 is a physics-informed partitioning designed to align with both the detector’s physical geometry and
937 the observed distribution of particle hits. This ensures the partitioning itself provides a meaningful
938 structural prior for the learning task.

939 For the azimuthal angle (ϕ) and pseudorapidity (η) dimensions, the binning is data-driven. The
940 boundaries are specifically chosen to create bins with a roughly uniform density of hits. This strat-
941 egy balances the information content across partitions, preventing high-occupancy regions from
942 disproportionately influencing the model. A detailed number of bins and illustration of this binning
943 strategy is provided in Figure 9.



944 Figure 9: Physics Informed Partitioning. Top-left graph shows the binning of the data space into 384
945 bins $(8 \times 8 \times 6)$. The other plots show the distribution of spacepoint values in normalized Phi, Eta,
946 and Radius dimensions, respectively, computed using 50,000 events.

947
948 For the radial dimension (r), the partitioning mirrors the physical construction of the sPHENIX
949 detector. The detector’s 48 layers are arranged in three major groups; therefore, we create six radial
950 bins, allocating two bins to each major detector group. By embedding the detector’s known layered
951 structure into the partitioning scheme, we further ground the serialization process in the experiment’s
952 physical reality.

972 **B.4 MAMBA: SELECTIVE STATE SPACE MODELS**
 973

974 Mamba represents a significant advancement in sequence modeling, challenging the dominance of
 975 the Transformer architecture, particularly for long sequences. It is a selective state space model
 976 (SSM) that combines the strengths of recurrent neural networks (RNNs) and convolutional neural
 977 networks (CNNs) to offer linear-time complexity and constant-time inference.

978 Mamba’s foundation is the State Space Model, a continuous-time system described by the following
 979 linear ordinary differential equation:

$$\begin{aligned} \frac{dh(t)}{dt} &= Ah(t) + Bx(t), \\ y(t) &= Ch(t) + Dx(t) \end{aligned}$$

983 Here, $h(t)$ is the latent state, $x(t)$ is the input, and $y(t)$ is the output. A , B , C , and D are matrices
 984 that are typically learned from data.

985 For use in deep learning, this continuous system is discretized. A crucial step in Mamba is making
 986 the key matrices, particularly the transition matrix A and the input projection matrix B , selective and
 987 input-dependent. This is achieved by having dedicated neural networks that predict these matrices
 988 based on the current input token.

989 The discretized formulation of the state transition is:

$$h_t = \bar{A}h_{t-1} + \bar{B}x_t \quad (1)$$

992 Where \bar{A} and \bar{B} are the discretized, input-dependent matrices. This selectivity allows Mamba to
 993 modulate its recurrent state, effectively controlling how much of the past to retain and how to incorporate
 994 the current input. The model can be unrolled for efficient parallel training, similar to a CNN,
 995 or used in a recurrent manner for constant-time inference.

996 **Architectural Principles** The core innovation of Mamba lies in its selective mechanism, which
 997 allows the model to dynamically adapt its parameters based on the input. This enables it to focus
 998 on relevant information and filter out noise, a crucial capability for processing long and complex
 999 sequences. Unlike traditional SSMs, which are time-invariant, Mamba’s parameters are functions of
 1000 the input, making it a time-varying system. Key components of the Mamba architecture include:

- 1001 • Selective State Space Layer: This is the fundamental building block of Mamba. It replaces
 1002 the attention mechanism and feed-forward network of a Transformer block.
- 1003 • Hardware-Aware Algorithm: Mamba employs a parallel scan algorithm that is optimized
 1004 for modern hardware (GPUs), enabling efficient training and inference. This algorithm
 1005 avoids the materialization of the full state sequence, a significant memory bottleneck in
 1006 traditional SSMs.

1008 **Mamba2** Mamba2 is a direct successor to Mamba, designed to further improve upon its efficiency
 1009 and performance. It introduces a new theoretical framework called Structured State Space Duality
 1010 (SSD), which provides a deeper understanding of the relationship between SSMs and other archi-
 1011 tectures like Transformers. The primary motivation behind Mamba-2 was to address some of the
 1012 hardware utilization inefficiencies of the original Mamba. While Mamba offered linear-time com-
 1013 plexity, its performance on modern GPUs could still be optimized. Key improvements in Mamba-2
 1014 include:

- 1015 • State Space Duality (SSD): This framework establishes a formal equivalence between a
 1016 class of structured SSMs and a form of global convolution. This duality allows for the
 1017 design of more efficient algorithms by leveraging insights from both perspectives.
- 1018 • Architectural Simplifications: Mamba-2 simplifies the Mamba block by replacing the
 1019 complex selective scan with a more structured and hardware-friendly formulation derived
 1020 from the SSD framework. This often involves a multi-headed Mamba block, analogous to
 1021 the multi-head attention in Transformers.
- 1022 • Improved Hardware Utilization: The redesigned architecture of Mamba-2 is more amenable
 1023 to parallelization on modern hardware, leading to significant speedups in both training and
 1024 inference compared to the original Mamba.

1026 B.5 FM4NPP: ARCHITECTURE
1027

1028 **Positional Embedding** The model first transforms the raw input data into a high-dimensional
 1029 space suitable for sequence processing. An input batch of serialized collision events is represented as
 1030 a tensor of shape $(B, S, 4)$, where B is the batch size, S is the sequence length, and each spacepoint
 1031 is a 4-dimensional vector comprising its deposited energy and 3D spatial coordinates $(E_{\text{dep}}, \eta, \phi, r)$.
 1032 This tensor is processed by an embedding module that projects the 4D spacepoint features into the
 1033 model’s latent space, D_{model} . It also computes a positional encoding from the 3D spatial coordinates
 1034 using a function $\gamma(\cdot)$ inspired by Neural Radiance Fields (NeRF), defined as:

$$1035 \gamma(\mathbf{p}) = (\mathbf{p}, \sin(2\mathbf{p}), \cos(2\mathbf{p}), \dots, \sin(2^l \mathbf{p}), \cos(2^l \mathbf{p}))$$

1036 where \mathbf{p} is the coordinate vector and the frequencies 2^l are sampled from a geometric progression.
 1037 This encoding, also mapped to D_{model} , is combined with the feature representation via element-wise
 1038 addition. The output of this stage is a single tensor of shape (B, S, D_{model}) , where D_{model} is the
 1039 model width.

1040 **Network Architecture and k-Next Nearest Neighbor Prediction Head** The core architecture
 1041 consists of a stack of Mamba blocks that sequentially process the embedded hits. The input to the
 1042 first block is the (B, S, D_{model}) tensor from the embedding stage. Each block operates as follows:
 1043

- 1044 • Pre-Normalization: The input tensor is first passed through a Root Mean Square Normal-
 1045 ization (RMSNorm) layer. This layer normalizes the feature vector of each spacepoint
 1046 independently.
- 1047 • Sequence Modeling: The normalized (B, S, D_{model}) tensor is then processed by the
 1048 Mamba2 layer.
- 1049 • Residual Connection: A residual or “skip” connection is applied around the normalization
 1050 and Mamba2 layers. The original input to the block is added element-wise to the output of
 1051 the Mamba2 layer.
- 1052
- 1053

1054 After passing through the final Mamba block, the sequence is processed by one last RMSNorm layer.
 1055 The resulting (B, S, D_{model}) tensor is then fed into the prediction head. This head is a single linear
 1056 layer that projects the D_{model} -dimensional representation of each hit to a $3k$ -dimensional vector,
 1057 yielding a final output tensor of shape $(B, S, 3k)$. Here, $k = 30$ is the number of neighbors to
 1058 be predicted. This output format is designed specifically for the Causal k-Nearest Neighbor (kNN)
 1059 objective.

1060 B.6 MAXIMAL UPDATE PARAMETERIZATION
1061

1062 **Challenge in Scaling Models** Imagine building with LEGOs. If you build a small car, it’s stable.
 1063 But if you try to build a life-sized car using the exact same small-brick techniques, it will be flimsy
 1064 and fall apart. Modern AI models face a similar problem. When we try to make them bigger and more
 1065 powerful by adding more “width” or digital neurons, their internal mathematics can become unstable
 1066 during training. The signals inside can either “explode” into uselessly large numbers or “vanish”
 1067 to zero, making it impossible for the model to learn. μ -Parameterization (μ P) is a groundbreaking
 1068 set of rules that solves this problem. It’s like a master blueprint for building AI models, telling
 1069 us exactly how to adjust the initial settings and the learning rate based on the model’s size. This
 1070 ensures that as the model scales up, its internal signals stay perfectly balanced, allowing it to train
 1071 stably and effectively. A major benefit is that the best training settings found on a small, cheap
 1072 model can be directly transferred to a massive, expensive one, saving enormous amounts of time
 1073 and computational cost.

1074 Concretely, standard infinite-width network analyses, such as those based on the Neural Tangent
 1075 Kernel (NTK), predict that wide networks operate in a “lazy regime” where they fail to learn mean-
 1076 ingful features from data. μ -Parameterization (μ P) was introduced to overcome this limitation by
 1077 defining a specific scaling of model initializations and learning rates that guarantees non-trivial fea-
 1078 ture evolution in the infinite-width limit. A significant practical advantage of μ P is that it enables
 1079 zero-shot hyperparameter transfer, allowing optimal settings found on small-scale models to be di-
 rectly applied to their large-scale counterparts. This mitigates the often prohibitive computational
 costs associated with tuning large models.

1080 **Applications in Modern Architectures** The principles of μ P have been successfully extended beyond simple multi-layer perceptrons (MLPs) to a range of complex architectures. In Transformers, 1081 μ P facilitates hyperparameter transfer, although achieving a stable feature-learning limit requires 1082 careful scaling with respect to both model width and depth. The framework has also been adapted 1083 for scientific machine learning models like Fourier Neural Operators (FNOs), where a specific 1084 μ -FNO parameterization ensures stable training as the model size and number of Fourier modes 1085 are scaled. More recently, μ P has been applied to stabilize the training of large Diffusion Models, 1086 again enabling hyperparameter transfer for these computationally intensive generative systems. This 1087 body of research highlights both the generality of the μ P framework and the necessity of deriving 1088 architecture-specific scaling laws.

1090 **μ P for MAMBA** To address this, a corrected scaling for State Space Models (SSMs), termed μ P- 1091 SSM (Maximal Update Parameterization for SSMs), was derived by analyzing signal propagation 1092 directly within the Mamba architecture. This analysis yielded specific scaling rules for initialization 1093 variances (σ), which control the scale of the model’s initial random weights, and learning rates (η), 1094 which determine the step size during training. The key formulas dictate how these parameters for 1095 Mamba’s weight matrices (W_B, W_C) should be scaled relative to the model’s latent state dimension 1096 (N_x) and input dimension (N_u). Using asymptotic Big-Theta (Θ) notation, the rules are:

- 1097 • **Initialization Variances:** $\sigma_B \in \Theta(\sqrt{\frac{N_x}{N_u}})$ and $\sigma_C \in \Theta(\frac{1}{\sqrt{N_x N_u}})$
- 1098 • **Learning Rates:** $\eta_B \in \Theta(\frac{N_x}{\sqrt{N_u}})$ and $\eta_C \in \Theta(\frac{1}{N_x \sqrt{N_u}})$

1101 We have integrated this μ P-SSM methodology into our own Mamba-based model. The effectiveness 1102 of this approach is evidenced by the stable scaling of layer-wise activation norms across different 1103 model sizes, as empirically verified in our experiments. Unlike standard parameterizations which 1104 lead to exploding signals or heuristic μ P which leads to vanishing signals, our model’s activations 1105 and their updates remain correctly scaled, confirming that the model is operating in a stable feature- 1106 learning regime.

1107 B.7 ADDITIONAL DETAILS FOR PRETRAINING

1109 The model is trained using the AdamW optimizer, which incorporates weight decay for regularization 1110 against overfitting. To manage the learning rate dynamics, we employ a cosine decay schedule, 1111 which is preceded by a brief linear warmup period at the beginning of training to ensure initial stability. 1112 To further prevent training instabilities arising from large gradients, we apply gradient clipping. 1113 The learning objective is to minimize a Mean Squared Error (MSE) loss function. This loss quantifies 1114 the Euclidean distance between the model’s predicted coordinates for the k-Nearest Neighbors 1115 (kNN) and the truth coordinates. These truth neighbors are pre-computed for each particle space- 1116 point during the data loading phase to ensure efficient throughput during training.

1117 **Loss Re-scaling by Event Difficulty** We identified a nuisance structure in the training data re- 1118 lated to event spacepoint density; events with a larger number of spacepoints are inherently easier to 1119 predict, as the average distance between neighboring spacepoints is smaller. This variance in diffi- 1120 culty can lead to training instability, manifesting as loss spikes. To mitigate this, we introduce a loss 1121 re-scaling strategy based on event binning. Events are first grouped into discrete bins based on their 1122 average k-Nearest Neighbor (kNN) distance, which serves as a proxy for prediction difficulty. Let 1123 $g(i)$ be the function that maps event i to its corresponding difficulty bin. The loss objective is then 1124 modified as follows: (1) the Mean Squared Error (MSE) for each event is re-weighted by a factor 1125 $w_{g(i)}$ corresponding to the average difficulty of its bin, and (2) the total batch loss is calculated by 1126 averaging these re-weighted individual losses. This is formulated as:

$$1127 \quad \mathcal{L} = \frac{1}{B} \sum_{i=1}^B w_{g(i)} \mathcal{L}_i = \frac{1}{B} \sum_{i=1}^B w_{g(i)} \left(\frac{1}{S_n} \sum_{j=1}^{S_n} \|\mathbf{s}_{ij} - \mathbf{y}_{ij}\|_2^2 \right)$$

1130 Here, B is the number of events in the batch, \mathcal{L}_i is the standard MSE for event i with S_n spacepoints, 1131 \mathbf{s}_{ij} and \mathbf{y}_{ij} are the predicted and truth coordinates respectively, and $w_{g(i)}$ is the pre-computed weight 1132 for the difficulty bin to which the event belongs. This ensures that a single batch-averaged loss is 1133 computed only after accounting for the inherent difficulty of each event in the batch.

1134 **C ADDITIONAL RESULTS**

1135 **C.1 DOWNSTREAM MODEL**

1136 **C.1.1 TRACKING (INSTANCE SEGMENTATION)**

1139 Our lightweight downstream model for track finding—formulated as a per-point instance seg-
 1140 mentation task—is inspired by image panoptic segmentation models such as MASKFORMER and
 1141 MASK2FORMER, adapted to point cloud data.

1142 Let $\mathbf{X} = \{\mathbf{x}_i\}_{i=1}^N$ denote the input set of N points, where each $\mathbf{x}_i \in \mathbb{R}^d$ is a d -dimensional point-
 1143 level feature (either raw input, pretrained representation, or from a randomly initialized encoder).
 1144 These are first projected into a latent embedding space via a linear layer:

1145
$$\mathbf{e}_i = \mathbf{W}_{\text{proj}} \mathbf{x}_i, \quad \mathbf{e}_i \in \mathbb{R}^{d_e}.$$

1146 We denote the set of projected spacepoint embeddings as $\mathbf{E} = \{\mathbf{e}_i\}_{i=1}^N$.

1147 To represent candidate tracks, we use K learnable queries (track queries) $\mathbf{Q}^{(0)} = \{\mathbf{q}_k^{(0)}\}_{k=1}^K$, where
 1148 each $\mathbf{q}_k^{(0)} \in \mathbb{R}^{d_e}$. These prototypes are refined over L transformer decoder layers. Each decoder
 1149 layer consists of:

- 1150 • **Cross-attention:** updates \mathbf{q}_k by attending to point embeddings \mathbf{E} .
- 1151 • **Self-attention:** refines interaction among the K prototypes.
- 1152 • **Feed-forward network (FFN):** standard transformer update.

1153 After L decoder layers, we obtain the refined track queries $\mathbf{Q}^{(L)} = \{\mathbf{q}_k^{(L)}\}_{k=1}^K$. Each refined query
 1154 vector is then processed by two MLPs:

1155
$$\mathbf{m}_k = \text{MLP}_{\text{mask}}(\mathbf{q}_k^{(L)}), \quad \hat{y}_k = \text{MLP}_{\text{cls}}(\mathbf{q}_k^{(L)}),$$

1156 where $\mathbf{m}_k \in \mathbb{R}^{d_e}$ is the track embedding for the k -th prototype, and track instance prediction $\hat{y}_k \in$
 1157 $[0, 1]$ is the probability of corresponding to a real track (vs. a “no-object” class).

1158 Each track embedding \mathbf{m}_k is used to compute point-to-prototype assignment logits:

1159
$$z_{ik} = \mathbf{e}_i^\top \mathbf{m}_k, \quad \hat{p}_{ik} = \sigma(z_{ik}),$$

1160 where $\sigma(\cdot)$ denotes the sigmoid function. The predicted assignment probability \hat{p}_{ik} represents the
 1161 likelihood that point i belongs to prototype k .

1162 To encourage each track query to focus on the subset of points it is likely responsible for, we apply
 1163 an *additive attention mask* during cross-attention. The attention mask is defined as:

1164
$$A_{ik} = -\log(\hat{p}_{ik} + \epsilon),$$

1165 with a small constant ϵ added for numerical stability. This mask is added to the attention logits before
 1166 the softmax operation in the cross-attention layer. This dynamic masking suppresses contributions
 1167 from low-probability points and improves localization by making each prototype attend selectively
 1168 to its likely constituent points.

1169 **Training Loss.** Let $\mathcal{T} = \{T_j\}_{j=1}^M$ be the set of M ground-truth tracks (instance labels). We com-
 1170 pute a bipartite matching between the M ground-truth tracks and the K refined track queries using
 1171 the Hungarian algorithm. The matching minimizes a cost function combining:

- 1172 • Dice loss $\mathcal{L}_{\text{dice}}$ on the per-point predicted vs. ground-truth track,
- 1173 • Focal loss $\mathcal{L}_{\text{focal}}$ on point-wise assignment probabilities,
- 1174 • Classification loss \mathcal{L}_{cls} on the track/no-object prediction.

1175 For each matched pair (T_j, \mathbf{q}_k) , the total loss is:

1176
$$\mathcal{L}_{\text{match}}^{(j,k)} = \lambda_{\text{dice}} \cdot \mathcal{L}_{\text{dice}}^{(j,k)} + \lambda_{\text{focal}} \cdot \mathcal{L}_{\text{focal}}^{(j,k)} + \lambda_{\text{cls}} \cdot \mathcal{L}_{\text{cls}}^{(k)}.$$

1177 For unmatched prototypes, we only compute $\mathcal{L}_{\text{cls}}^{(k)}$ with the ground truth label being “no-object”.

The final training loss includes auxiliary losses from each decoder layer $\ell = 1, \dots, L$, as well as from the initial prototype vectors:

$$\mathcal{L}_{\text{total}} = \sum_{\ell=0}^L \mathcal{L}^{(\ell)}.$$

During inference, we assign each spacepoint i to the track whose combined mask and classification score is maximal. Concretely, we compute $k_i^* = \arg \max_k (\hat{p}_{ik} \hat{y}_k)$, and label point i as belonging to track k_i^* .

This formulation enables end-to-end training of the instance segmentation model, while allowing the pretrained or learned point embeddings to guide track-level grouping.

C.1.2 PARTICLE IDENTIFICATION AND NOISE IDENTIFICATION

For both PID and noise classification, we use a simple lightweight adapter:

- **Embedding:** A linear layer projects each point feature $\mathbf{x}_i \in \mathbb{R}^d$ to a d_p -dimensional embedding.
- **Context:** A single Self-attention layer aggregates global information across all point embeddings.
- **Prediction:** An MLP with softmax over C output classes.

C.2 COMPARATIVE METHODS FOR DOWNSTREAM TASKS

C.2.1 ADAPT EXA.TRKX PIPELINE FOR SPHENIX TRACKING-FINDING

In this section, we discuss the several adaptions to the Exa.TrkX pipeline for it to work well on the sPHENIX data. We need to apply adaptions to the first four stages – data pre-processing, hit embedding, edge filtering, and GNN edge classification – out of six stages of the Exa.TrkX pipeline.

Pre-processing. The Exa.TrkX’s study was based on the TrackML dataset Amrouche et al. (2020). The dataset provides two sources for the construction of the neural network input – the 3 dimensional location of the spacepoints and the directional information and summary statistics from the charge deposited in each spacepoint (8-dimensional). The second source of information is called cell features by the paper. The hit feature is the concatenation of the location and cell features. Since sPHENIX data does not provide cell features, we only used the location of hits in the HEP-coordinate to construct the input. More precisely, let $(\hat{\eta}, \phi, \hat{r})$ be the location of a hit (normalized pseudorapidity, angle, and normalized radius), the features of this hit is a 5-dimensional vector

$$(\mathcal{E}, \hat{\eta}, \cos(\phi), \sin(\phi), \hat{r}),$$

where \mathcal{E} is the energy. We used $(\cos(\phi), \sin \phi)$ instead of ϕ to overcome the discontinuity of ϕ at 2π . We normalized the pseudorapidity η by 1.96 to get the normalized pseudorapidity $\hat{\eta} \in (-1, 1)$. To normalize a radius, we first match it to the closest one of the 48 radius bins and use the bin index to replace the radius. And then, we divided the index by 48 to normalized radius to a number between $[0, 1]$. We do this because the distance between the sPHENIX TPC layers are not uniform with outer layers spacing farther apart than the inner ones. This may be a problem for distance-based edge set construction for a GNN model since same-track hits toward the end of the track may be less likely to be connected by the model.

Embedding and filtering. The Exa.TrkX pipeline embeds the spacepoints and filters the edges as two separate steps. To adapt them for sPHENIX, we modified the procedure in the following aspects: 1) how to determine whether a pair of hits is connected; 2) how candidate hit pairs are generated; 3) how to trained the models; and 4) how to construct the models.

In the embedding stage, Exa.TrkX trained a multi-layer perceptron (MLP) network to embed each hit into a latent representation so that pairs of neighboring hits from the same track are closer in the latent space than pairs that are not (e.g. from different tracks or not neighbors on the same track). The embedding network is trained by first passing the two hits through the same embedding network and then minimizing the hinge loss of the distance between the two embeddings.

Since sPHENIX data does not provide information to determine whether two same-track hits are direct neighbors (although this information could be inferred for high-energy tracks), we decided

not to distinguish whether two same-track hits are neighboring or not. This approach was also recommended by the Exa.TrkX research as a valid alternative.

In the filtering stage, Exa.TrkX takes a pair of hits, passes them through the embedding network, concatenates the two embeddings, and pass the concatenation through a MLP filtering network to predict whether the two hits are connected. The prediction is optimized by a binary cross entropy loss.

For both the embedding and filtering models, we need to provide candidate hit pairs. For the embedding stage, Exa.TrkX uses two types of pairs: random pairs and k-nearest neighbor (KNN) pairs as a form of hard negative mining. As a random pair has an extremely low chance to be connected, Exa.TrkX also trains on pairs formed by a hit and its closest neighbors in the latent representation space.

We follow the pipeline as closely as possible. However, because of the different between sPHENIX and TrackML input features and the fact we treating all pairs from the same track as being connected (in contrast to Exa.TrkX’s approach where only immediate neighbors are connected), we had to choose different cutoffs in both embedding and filtering. More specifically, we set a threshold of 2. for distance in the embedding space with pairs less than the threshold apart classified as having an edge between them. The threshold was so chosen as it ensures that we have an over .8 recall (efficiency in the Exa.TrkX terminology) in identifying pairs from the same track. Note here we didn’t selected a threshold that will ensure close to a 100% recall. This is because we can afford the model to fail to recognize faraway points from the same track as being connected.

For the filtering step, we chose a threshold of .675 for probability of a true edge with pairs over the threshold considered as being connected. The threshold was selected because it ensures the false positive rate in edge identification to go below 1%.

GNN edge classification. For the final GNN step, we also used the Interaction Network Battaglia et al. (2016) architecture with the same hyperparameters used by the Exa.TrkX pipeline. For edge classification, we chose a threshold of .9 as probability of a true edge. With this choice, we achieved a 91.79% tracking efficiency (recall) (and 94.74% for tracks with $p_T > 1 \text{ GeV}$), and a track purity (precision) of 66.42%. With a threshold of .8, the tracking efficiency drops slightly to 90.01% (and 92.60% for $p_T > 1 \text{ GeV}$) with a large improvement in purity to 76.72%.

C.2.2 ADAPT EGGNET FOR SPHENIX TRACK-FINDING

The EggNet study was also based on the TrackML dataset Amrouche et al. (2020) and share the same data pre-processing approach with Exa.TrkX. To partially compensate the lack cell features from sPHENIX data, we tried the following approach to augmented the input. Let $(\hat{\eta}_0, \phi_0, \hat{r}_0)$ be the location of a hit (normalized pseudorapidity, angle, and normalized radius), the features of this hit is a 12-dimensional vector

$$(\hat{\eta}_0, \cos(\phi_0), \sin(\phi_0), \hat{r}_0; \hat{\eta}_1, \cos(\phi_1), \sin(\phi_1), \hat{r}_1; \hat{\eta}_2, \cos(\phi_2), \sin(\phi_2), \hat{r}_2),$$

where $(\hat{\eta}_1, \phi_1, \hat{r}_1)$ and $(\hat{\eta}_2, \phi_2, \hat{r}_2)$ are the locations of the two closest neighbors of the hit in the $(\hat{\eta}, \cos(\phi), \sin(\phi), \hat{r})$ space. The motivation for augmenting the hit with two closest neighbors is that for the majority of the hits in a high energy track, the two closest neighbors are most likely from the same track in which case the augmented hit features can provide information on the direction of track.

For the GNN model, EggNet adopted a similar approach to GravNet Qasim et al. (2019). The outstanding feature of a GravNet-type model is that the edge set is not predetermined but constructed dynamically. More precisely, EggNet will run N normal GNN iterations, but before each GNN iteration, the edge set will be constructed via KNN based on the current node embeddings. To adapt EggNet to sPHENIX data, we set GNN iterations to be 4 and used 4 message-passing rounds for each GNN iteration. The nearest 10 hits in the embedding space are used to form the neighborhood of a hit. Different from the original GravNet (but similar to the interaction GNN used by Exa.TrkX), EggNet also has an edge network for calculating edge messages. Moreover, EggNet also used a dedicated node decoding network to produce the node embeddings for the KNN. All sub-networks of EggNet (node encoding/decoding networks and edge network) are MLPs with 2 hidden layers and 64 hidden feature each. The embedding dimension of the node (i.e. the number output features node decoding network) is 24.

1296 The network is trained with a hinge loss of margin 1, aiming at reducing the Euclidean distance in
 1297 the embedding space between a pair of hits from the same track and enlarge the distance between a
 1298 pair from different tracks. The model was trained for 300 epochs and the final clustering was done
 1299 using DBSCAN with $\epsilon = 1$ and minimum number samples = 2.
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1301 C.2.3 ADAPT HEPT FOR SPHENIX TRACK-FINDING

1302 HEPT Miao et al. (2024) is a locality-sensitive hashing-based efficient point transformer designed
 1303 for large-scale point cloud processing in high-energy physics. Unlike Exa.TrkX and EggNet
 1304 which rely on graph neural networks, HEPT leverages self-attention mechanisms with LSH-based
 1305 approximation to achieve near-linear computational complexity.

1306 To adapt HEPT for sPHENIX TPC tracking, we made the following modifications to the model and
 1307 training procedure:

1308 **Pre-processing.** We used the same normalized HEP coordinates as discussed in C.2.1:
 1309 $(\hat{\eta}, \cos(\phi), \sin(\phi), \hat{r})$, where $\hat{\eta}$ is the normalized pseudorapidity and \hat{r} is the normalized and binned
 1310 radius. For the input features, we concatenated the energy \mathcal{E} of each hit with its Cartesian coordi-
 1311 nates (x, y, z) and the normalized HEP coordinates, resulting in an 8-dimensional feature vector per
 1312 spacepoint. Unlike some baseline methods, we did not filter tracks by transverse momentum p_T and
 1313 considered particles across all momentum ranges.

1314 **Contrastive Learning.** HEPT is trained using a contrastive learning objective that brings embed-
 1315 dings of same-track hits closer together while pushing embeddings from different tracks apart. For
 1316 negative sampling, we formed negative examples from at most 64 neighboring hits from different
 1317 tracks (reduced from the original 256 to accommodate the lower spacepoint density in sPHENIX
 1318 TPC data).

1319 **Training Configuration.** We used the most recent model architecture from the HEPT example
 1320 folder. For optimization, we set the initial learning rate to 0.0001 (instead of the original 0.01)
 1321 and switched to the AdamW optimizer (from Adam) for better regularization. These adjustments
 1322 were necessary to achieve stable training convergence on the sPHENIX dataset.

1323 **Track Formation.** Since HEPT produces per-point embeddings rather than end-to-end tracking pre-
 1324 dictions, we applied HDBSCAN McInnes et al. (2017) clustering on the learned embeddings to form
 1325 track candidates. We used the following HDBSCAN hyperparameters: `metric="euclidean"`,
 1326 `min_cluster_size=12`, `min_samples=15`, and `cluster_selection_method="eom"`.
 1327 These parameters were tuned to maximize the average per-event Adjusted Rand Index (ARI) on the
 1328 test set, balancing cluster granularity and noise robustness.

1330 C.2.4 ADAPT GNNs FOR SPHENIX PARTICLE IDENTIFICATION AND NOISE TAGGING

1331 We selected four GNN models: GATConv, GCNConv, GraphConv, and SAGEConv as
 1332 benchmarking algorithms for the PID and noise-tagging downstream tasks. We used the
 1333 `torch_geometric` implementations for the models. We used the same data pre-processing pro-
 1334 tocol as discussed in C.2.1. To generate the edge set, for a hit at location $(\eta, \cos(\phi), \sin(\phi), \hat{r})$, we
 1335 connect to it 50 nearest neighbor hits with distance < 1 . We allowed the edges to be directed. The
 1336 node features to the GNNs are the energy \mathcal{E} of the hit together with its 4D location. For the node
 1337 encoding network, we use a MLP with 2 hidden layers and 256 hidden features each. We use uni-
 1338 formly 6 GNN layers for each GNN model. For the hit classification network, we use a MLP of 2
 1339 hidden layers with 128 and 64 hidden features. The GNNs are trained with cross entropy loss. Each
 1340 GNN is trained for 200 epochs.

1341 In general, GNNs' performance on the two downstream tasks are suboptimal. We hypothesis that the
 1342 failure of GNNs is a result of their difficulty in capturing and communicating more global patterns
 1343 of the tracks as solving both particle identification and noise-tagging require a model to understand
 1344 the general shape of tracks that span a significant space in TPC.

1345 C.2.5 ADAPT ONEFORMER3D FOR SPHENIX PARTICLE IDENTIFICATION AND NOISE 1346 TAGGING

1347 OneFormer3D is a state-of-the-art object detection algorithm for 3D point cloud data that can solve
 1348 semantic and instance segmentation task in one run. The model architecture of OneFormer3D is
 1349 U-Net backbone followed by a Transformer decoder.

To run OneFormer3D on a point cloud data, we first need to get the so-called super points (a grouping of raw points) either by a clustering algorithm or voxelization. To adapt OneFormer3D to sPHENIX data, we used the same pre-processing approach as discussed in C.2.1 and voxelized the resulting point cloud to a grid of shape (64, 64, 48) in $\hat{\eta}, \phi, \hat{r}$, respectively.

The super points first pass through the sparse convolution-powered U-Net backbone to be featurized. Then the super point features serve as the keys and values in the Transformer encoder. The learnable queries output from the Transformer decoder are then used to produce instance/semantic segmentation predictions on the super points. In the final step, the prediction on the super points will be broadcast to their constituent raw points. Since both particle identification and noise-tagging can be considered as semantic segmentation tasks, we separated the part of the code (primarily in prediction and loss function) for semantic segmentation from OneFormer3D, while kept the neural architecture identical. We used the same network parameters as the example of OneFormer3D on the S3DIS dataset.

	Accuracy	Macro		Non-noise		Noise	
		Recall	Precision	Recall	Precision	Recall	Precision
GATConv	0.9099	0.6730	0.8060	0.9788	0.9242	0.3672	0.6878
GCNConv	0.9095	0.6728	0.8037	0.9784	0.9241	0.3672	0.6832
GraphConv	0.9190	0.7213	0.8252	0.9764	0.9351	0.4661	0.7152
SAGEConv	0.9174	0.7227	0.8165	0.9740	0.9355	0.4714	0.6975
OneFormer3D	0.9646	0.9404	0.8948	0.9716	0.9884	0.9092	0.8012
AdapterOnly	0.9111	0.6215	0.8359	0.9901	0.9169	0.2528	0.7548
FM4NPP (m6)	0.9708	0.9122	0.9114	0.9809	0.9812	0.8435	0.8416

Table 4: Noise tagging per-class recall and precision.

Table 5: Particle Identification per-class recall and precision.

	Accuracy	Macro		Others		Pion		Kaon		Proton		Electron	
		Rec.	Pre.	Rec.	Pre.								
GATConv	0.6922	0.3973	0.6368	0.0947	0.5709	0.9106	0.7014	0.0057	0.6146	0.4567	0.6117	0.5190	0.6854
GCNConv	0.6892	0.3911	0.6319	0.0782	0.5762	0.9140	0.6966	0.0073	0.5871	0.4501	0.6140	0.5058	0.6858
GraphConv	0.7079	0.4176	0.6425	0.1304	0.5739	0.9133	0.7146	0.0080	0.5791	0.4766	0.6272	0.5597	0.7178
SAGEConv	0.7262	0.4563	0.6502	0.1085	0.5790	0.9126	0.7382	0.0338	0.5239	0.6242	0.7071	0.6024	0.7028
OneFormer3D	0.7701	0.4897	0.5767	0.3029	0.5758	0.9207	0.7658	0.0000	0.0000	0.4859	0.6991	0.7389	0.8427
AdapterOnly	0.6631	0.3387	0.6111	0.0095	0.7714	0.9511	0.6596	0.0002	0.2872	0.4120	0.6366	0.3209	0.7008
FM4NPP (m6)	0.904	0.6623	0.8328	0.4449	0.7647	0.9551	0.8484	0.2712	0.7829	0.8068	0.8763	0.8336	0.8919

Table 6: Diagnostic metrics for tracking performance.

model	ARI	overall spacepoint efficiency	overall spacepoint purity	no. parameters
EggNet	0.7256	93.01%	92.34%	0.16M
Exa.TrkX	0.8765	94.47%	98.83%	3.86M
AdapterOnly	0.7243	89.34%	92.09%	2.39M
FM4NPP (m6)	0.9448	97.56%	98.34%	188M + 2.39M

C.3 ADDITIONAL RESULT ON SHARED VS INDEPENDENT ADAPTER ARCHITECTURES FOR DOWNSTREAM TASKS

To address whether the downstream tasks can benefit from end-to-end joint training with shared adapter layers, we conducted an ablation study comparing multi-task learning against isolated task-specific adapters. We focus on the two most architecturally similar tasks: particle identification and noise tagging, both of which operate on point-level representations.

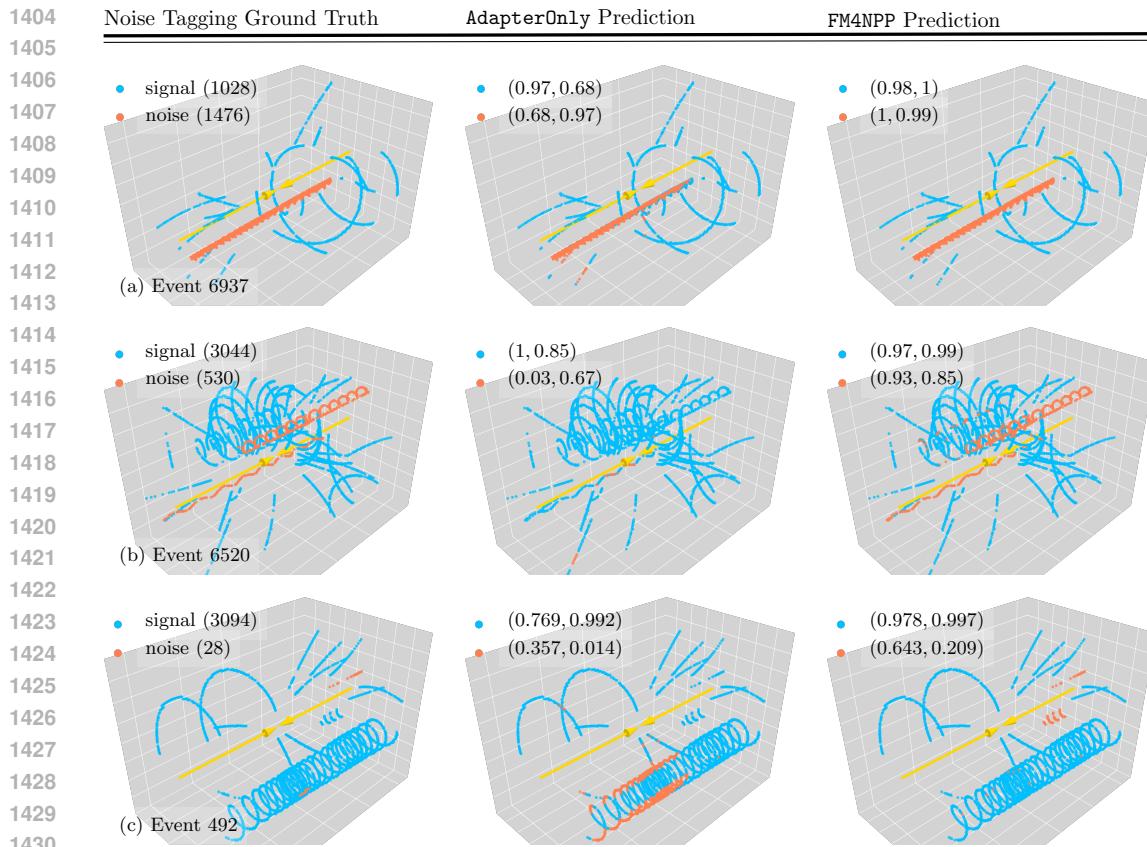


Figure 10: **Performance of AdapterOnly and FM4NPP on Noise Tagging.** The numbers in the parentheses in the target sub-figures are the number of signal and noise spacepoints. The numbers in the parentheses in the prediction sub-figures are the recall and precision of the class.

Multi-Task Architecture. The multi-task model shares a common input projection layer followed by two self-attention (SA) and feed-forward network (FFN) layers across both tasks, with separate task-specific classification heads for PID and NID. The training objective combines both task losses with manual weighting: $\mathcal{L}_{\text{total}} = w_{\text{PID}} \mathcal{L}_{\text{PID}} + w_{\text{NID}} \mathcal{L}_{\text{NID}}$. We use the pretrained m5 backbone (1536-dim, frozen) with weights $w_{\text{NID}} = 2.5$ and $w_{\text{PID}} = 0.5$ to prioritize the simpler binary noise tagging task.

Results. Table 7 compares the best validation losses achieved by multi-task learning against isolated training, where each task uses its own dedicated adapter layers. Despite sharing representations through common SA+FFN layers, the multi-task model exhibits *negative transfer*: both tasks perform worse than when trained independently. The NID task degrades by 7.61%, while the PID task suffers a more severe 30.69% increase in validation loss.

Table 7: Comparison of multi-task vs isolated adapter training for PID and noise tagging tasks. Both configurations use the frozen m5 backbone with 2 shared SA+FFN layers. Lower validation loss is better.

Task	Isolated Training	Multi-Task (Shared)	Δ (%)
Noise Tagging (NID)	0.0513	0.0552	+7.61%
Particle ID (PID)	0.2377	0.3107	+30.69%

Interpretation. These results suggest that despite conceptual overlap between PID and noise tagging—both classify individual spacepoints—the learned representations required for optimal performance differ substantially between tasks. The negative transfer likely arises from conflicting gradient

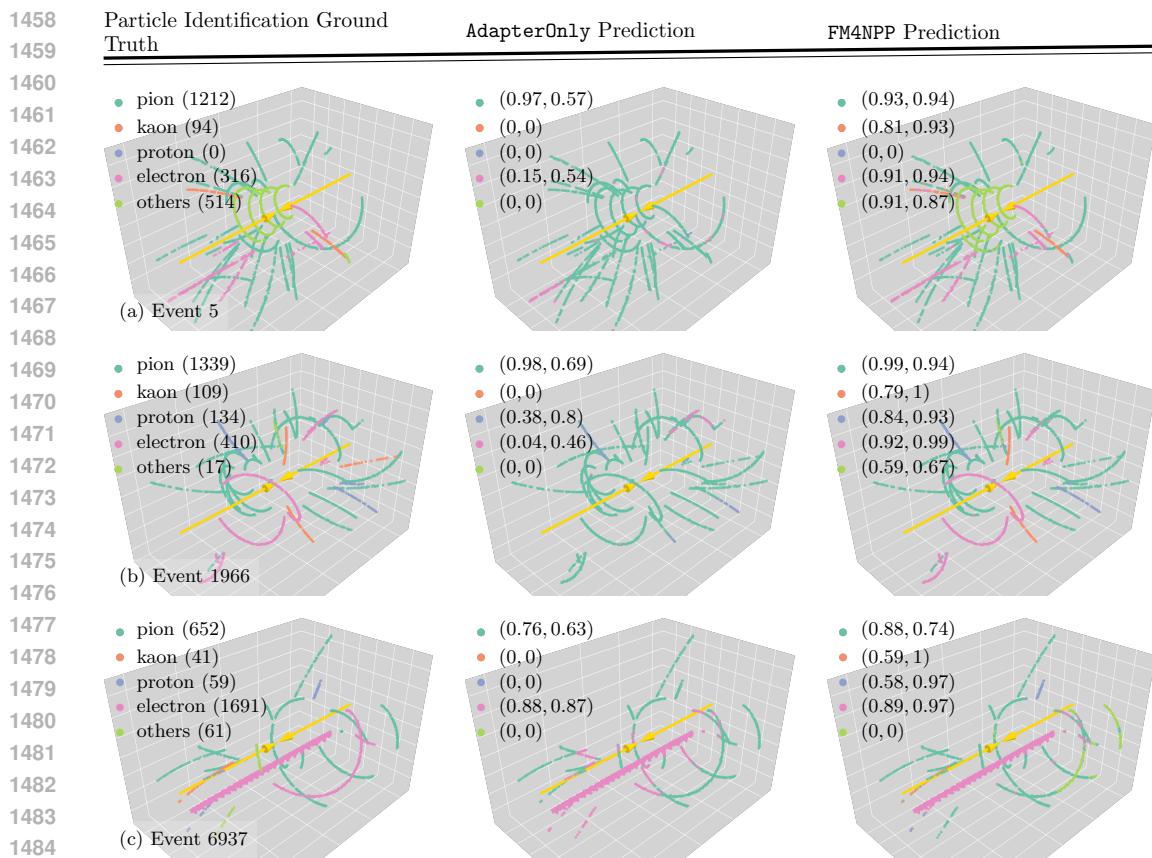


Figure 11: **Performance of AdapterOnly and FM4NPP on particle identification.** The numbers in the parentheses in the target sub-figures are the number of spacepoints in each particle ID class. The numbers in the parentheses in the prediction sub-figures are the recall and precision of the class.

signals: noise tagging requires distinguishing signal from detector noise based on energy deposition patterns, while PID must differentiate between particle species using ionization profiles. The more severe degradation in PID performance indicates that the shared adapter prioritizes the simpler, more heavily weighted NID task at the expense of the more complex PID classification.

For the tracking task, which employs a fundamentally different DETR-style set prediction architecture rather than point classification, joint training with PID/NID is even less suitable. Consequently, we retain independent task-specific adapters for each downstream task, allowing each to specialize its learned representations without interference while still leveraging the shared pretrained backbone.

C.4 ADDITIONAL RESULT ON ADAPTER HEAD CAPACITY VS FOUNDATION MODEL QUALITY TRADE-OFF

To understand whether adapter capacity or foundation model quality is the limiting factor for downstream performance, we conducted an ablation study on the particle identification task by sweeping adapter depth while keeping the pretrained m6 backbone frozen.

Experimental Setup. We varied the number of self-attention (SA) layers in the adapter head from 0 to 4, while maintaining the frozen m6 backbone. The configurations tested were:

- **0L** (0.56M params): No SA layers—only linear projection + MLP
- **1L** (1.09M params): 1 SA layer
- **2L** (1.62M params): 2 SA layers
- **4L** (2.67M params): 4 SA layers

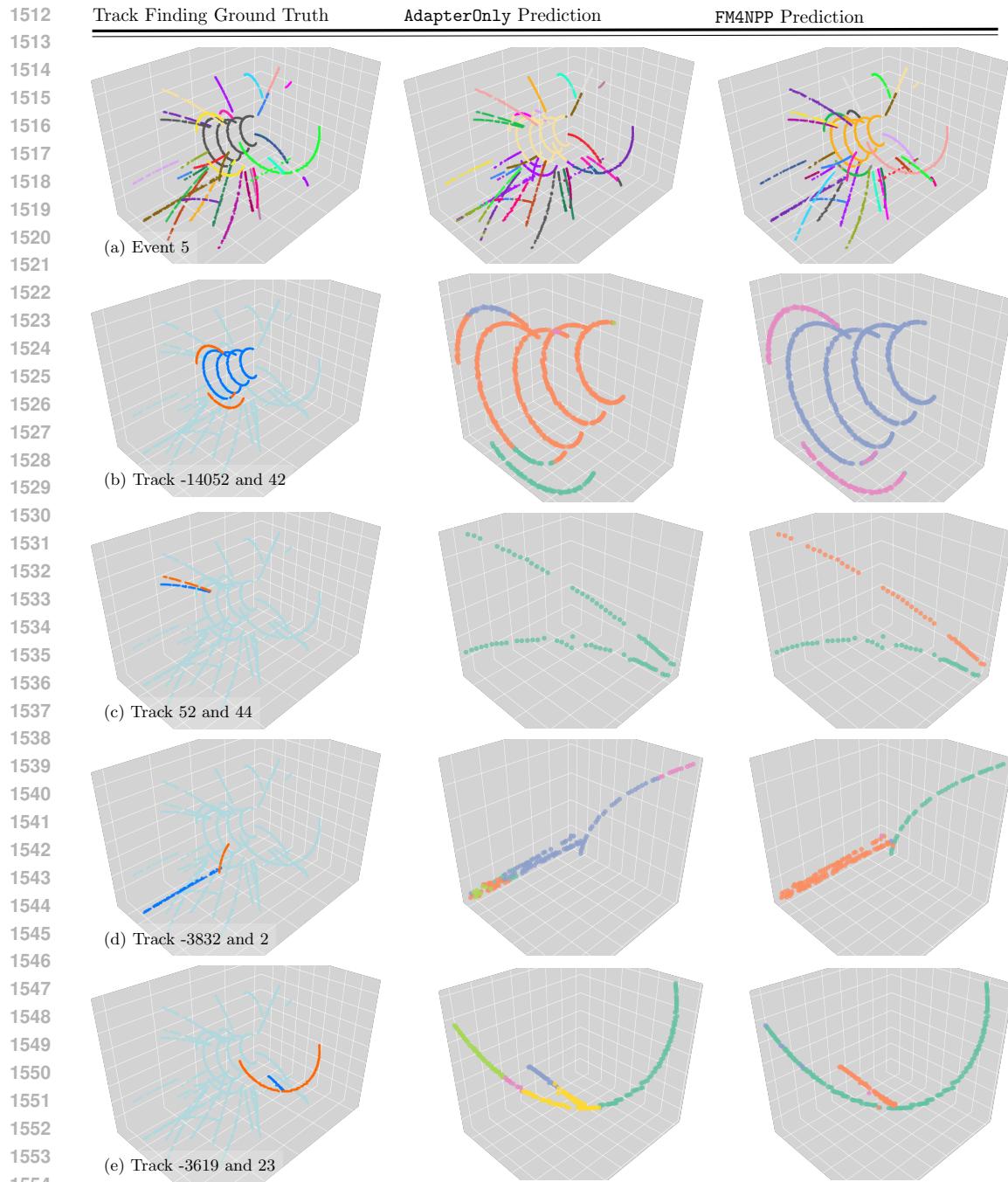
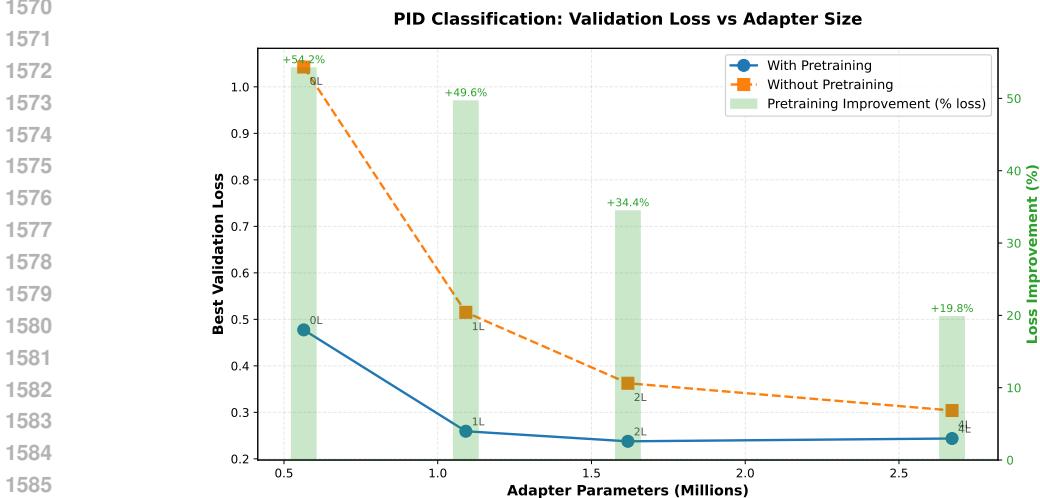


Figure 12: **Performance of AdapterOnly and FM4NPP on track finding.** In panel (a), we show the ground-truth tracks, the AdapterOnly track candidates, and the FM4NPP track candidates (note that two different tracks might have the same color since the length of the color cycle we used may be smaller than the number of tracks). In panel (b)-(e), we show four pairs of close-by ground-truth tracks that the AdapterOnly model fails to separate while the FM4NPP model does.

For each configuration, we trained two variants: one with the pretrained backbone (frozen) and one without pretraining (replacing the backbone with a learnable linear layer) to isolate the effect of pretraining quality.

Results. Figure 13 shows validation loss as a function of adapter capacity. Performance improves substantially when adding the first SA layer (0L → 1L: 45.7% loss reduction), with diminishing

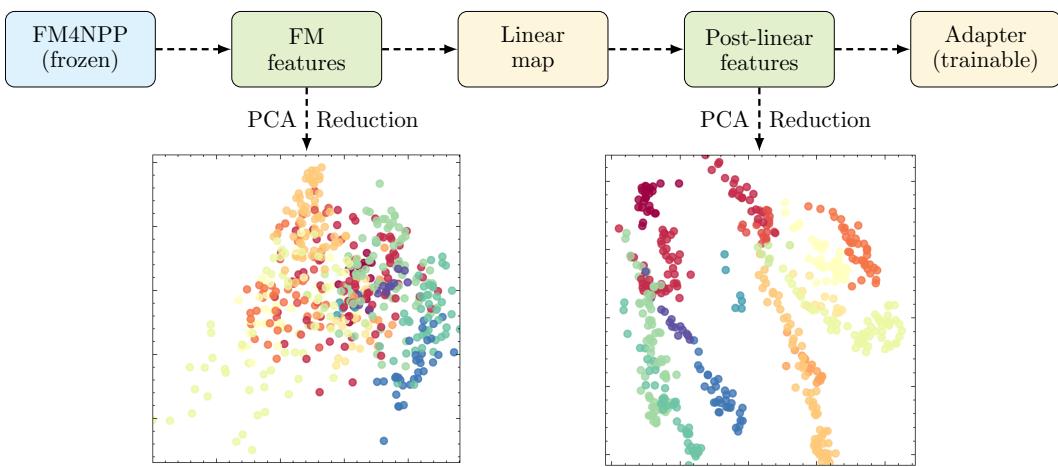
1566 returns thereafter. The optimal configuration uses 2 SA layers, achieving validation loss of 0.238.
 1567 Adding more layers (4L) slightly degrades performance to 0.244, suggesting overfitting or that the
 1568 adapter capacity exceeds what the frozen backbone can effectively support.
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Figure 13: Adapter capacity vs performance on PID task. Validation loss decreases as adapter depth increases from 0 to 2 self-attention layers, then plateaus or slightly degrades at 4 layers. The gap between pretrained and non-pretrained models shrinks with larger adapters, indicating that small adapter heads were the performance bottleneck rather than FM quality.

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Interpretation. The results reveal two key insights: (1) Performance plateaus after 2 SA layers, indicating that the frozen FM representation is already sufficiently rich—small adapter heads were the bottleneck rather than backbone quality. (2) While the relative pretraining benefit diminishes with larger adapters (54.2% for 0L decreasing to 19.8% for 4L), the pretrained backbone still provides substantial absolute improvements across all configurations. Even with the largest 4L adapter, the model with pretrained features achieves 0.244 validation loss compared to 0.304 without pre-training—a significant gap that underscores the effectiveness of the FM representation.

C.5 ADDITIONAL LEARNED EMBEDDINGS RESULTS



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Figure 14: Visualization of learned embeddings from the FM (left) and the post-linear map features (right), projected via PCA reduction. Each marker corresponds to a spacepoint, colored by its associated track identity.

We analyze the neural embeddings from the frozen FM and their transformation after a simple linear projection, which precedes the lightweight adapter used for downstream tasks. To probe task specificity, we apply dimensionality reduction techniques (e.g., PCA) to both the raw FM embeddings and linearly projected features, focusing on a representative downstream task: track finding. As shown in Figure 14, the raw FM embeddings exhibit no clear separation among particle tracks, indicating the representations are task-agnostic. However, after applying a single linear projection, distinct and well-separated clusters emerge, corresponding to different particle tracks.

In Figure 15, we present results obtained by applying various dimensionality reduction techniques (including PCA, t-SNE and UMAP) to both FM features and downstream adapter features. For illustrative clarity, we randomly selected two test data samples. The results demonstrate consistent improvement, clearly showcasing the FM features’ adaptability: even after a single linear projection, the FM embeddings exhibit substantial clustering and separability, indicating rapid adaptation to the downstream track-finding task. Adapter features consistently provided superior discrimination, yielding distinctly well-separated clusters corresponding to different track categories. Because a linear transformation alone cannot create separability where none exists, this demonstrates that the FM encodes rich, general-purpose information that only requires minimal alignment to become task-specific. It also explains why lightweight adapters, when built atop FM embeddings, outperform non-FM baselines by leveraging semantically meaningful input features.

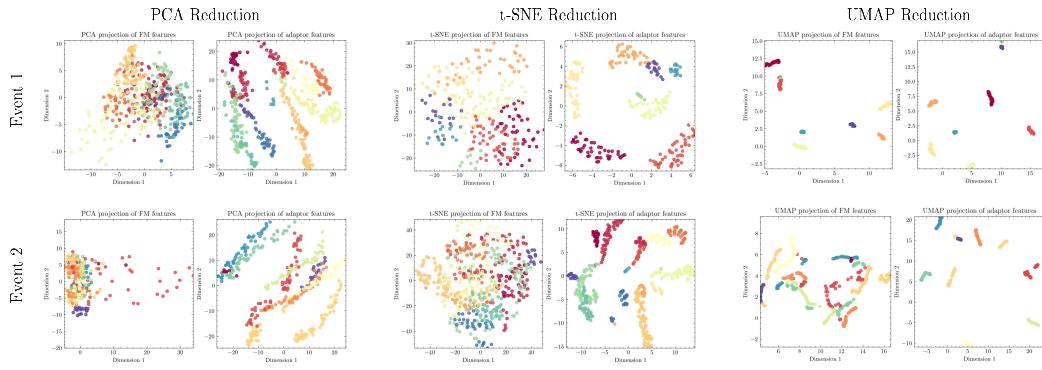


Figure 15: Dimensionality reduction results using PCA, t-SNE, and UMAP on randomly selected test data samples.

To further validate the robustness and generalizability of the FM features, we systematically investigated the impact of varying dimensionality reduction parameters using t-SNE. Specifically, we conducted experiments by setting the reduced dimensionality to 3, 4, and 5 and visualized the results by plotting the first two t-SNE components (See Figure 16). Across all tested dimensional configurations, the FM features consistently demonstrated pronounced clustering patterns and clear separability, highlighting their intrinsic adaptability and effectiveness in supporting diverse downstream classification tasks.

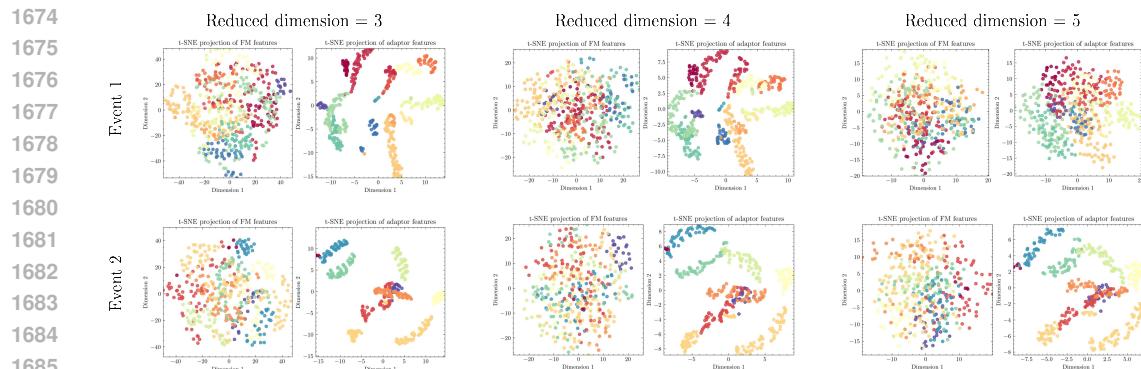


Figure 16: T-SNE visualizations for randomly selected test instances across various reduced dimensions.

In Figure 17, we extended our analysis to multiple downstream tasks, again using randomly selected test data instances and employing t-SNE for visualization. The FM features’ separability was notably effective for the track-finding task, slightly diminished for particle identification, and considerably reduced for noise tagging. The limited performance observed in noise tagging is attributed to the inherent imbalance of the binary classification data, making separability challenging due to the dominant prevalence of a single label. Overall, our analyses confirm a hierarchy of effectiveness in FM embeddings across downstream tasks: track-finding demonstrates the strongest separability, followed by particle identification, and lastly noise tagging. These findings align well with the FM’s pretraining objective, neighbor identification, and are consistent with task relevance from a physics perspective.

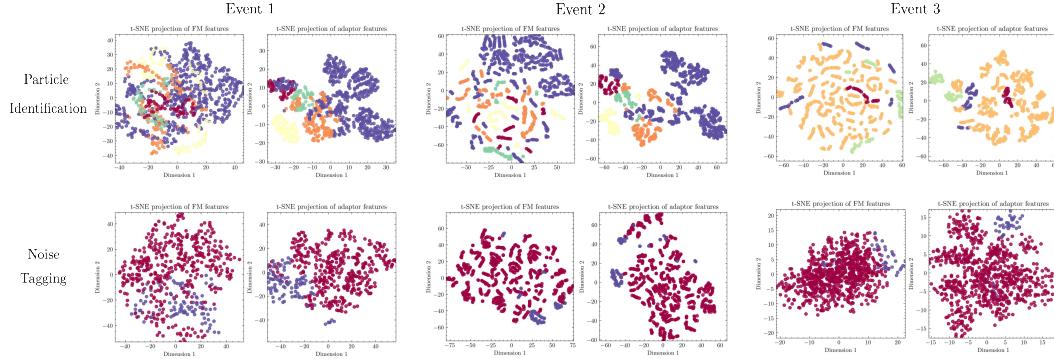


Figure 17: T-SNE visualizations for randomly selected test instances across various downstream tasks.

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