

Swinburne University Of Technology School of Science, Computing, and Engineering Technologies

ASSIGNMENT AND PROJECT COVER SHEET

UNIVERSITY OF TECHNOLOGY	Unit Code: Security	COS30015		Unit Title: <u>IT</u>	
Assignment number and title: Assignment 1			_Due date:_	24th September 2024	
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Biometric Authentication and its complications

Abstract

Biometric Authentication (BA) has been involved as a crucial solution for improving security and protecting privacy in our digital age. Using unique biological traits such as fingerprints, facial recognition, voice recognition and iris scans, BA provides a reliable method for verifying identities with high accuracy. As online threats become increasingly sophisticated, various industries including finance, healthcare, and security are adopting biometric systems to improve authentication processes.

One of the primary benefits of BA is its ability to surpass traditional security measures like passwords, which are often vulnerable to theft and forgetfulness. Biometric traits are inherently unique, making them more difficult to replicate, thus offering enhanced security and greater user convenience. The authentication process has been simplified, eliminating the need for individuals to memorize intricate passwords or possess physical ID documents. Globally, electronic passports now integrate biometric technology as a standard feature.

However, the rise of biometric authentication also brings significant concerns. Privacy issues arise from the collection and storage of biometric data, which can be misused or accessed without authorization. Additionally, data breaches pose a risk to sensitive biometric information. The accuracy of these systems can be affected by various factors, including environmental conditions and changes in a person's appearance.

This paper will explore the latest advancements in biometric authentication, its diverse applications across sectors, and the potential challenges related to privacy and security. Addressing these issues is essential for ensuring that biometric systems remain safe and effective as they continue to gain traction in our increasingly digital world.



Introduction

Identity verification, known as authentication, is the procedure used to validate an individual's or system's claimed identity before granting access to protected assets. This involves checking a user's credentials against data stored in a secure database, ensuring that only authorized individuals can gain access. Traditional methods, such as passwords and login details, can be vulnerable to attacks, making them less secure than newer approaches like biometric authentication.

Biometric authentication relies on distinct physical or behavioral characteristics to confirm a person's identity. It employs features such as facial recognition, voice recognition, fingerprints, and iris patterns. Unlike passwords that people can forget or steal, biometric data is connected to an individual and is much harder to duplicate or fake.

In a biometric system, a scanner captures biometric data like a fingerprint and converts it into a digital format for processing. This digital representation is then compared to stored data to confirm the person's identity. The complexity of biometric data and the matching process make it extremely difficult for unauthorized users to gain access.

Since biometric traits are unique to each person and difficult to copy, biometric authentication offers much better security compared to traditional methods. This increased reliability makes it a preferred choice for securing sensitive information and systems. As a result, biometric authentication is gaining popularity in various fields, including finance, healthcare, and security, offering robust protection against unauthorized access and ensuring the safety of personal data.



Important Features in Biometric Data and Their Impact

Biometric authentication systems use specific features within biometric data to effectively address key challenges. These features are crucial for enhancing system performance, accuracy, and security. Here's a look at the important features and why they matter:

1. Core Biometric Features

Biometric authentication depends on unique physical or behavioral traits, each serving an important purpose:

Fingerprint Details: A fundamental aspect of fingerprint recognition is the minutiae points, which include unique patterns like ridge endings and bifurcations. These points are different for each person, making them reliable for accurate matching. Their uniqueness and permanence are vital for developing dependable fingerprint recognition systems.

Facial Landmarks: In facial recognition, specific points on the face, such as the locations of the eyes, nose, and mouth, are essential. These landmarks create a facial map that helps identify individuals. Their common use in research underscores their significance, as they allow systems to accurately recognize faces even when lighting or angles change.

Iris Patterns: Iris recognition technology looks at the unique patterns in a person's eye. These patterns are very different for each person and stay the same over time, which makes the system more accurate and reliable. The uniqueness of iris patterns makes them a strong feature for precise biometric authentication.



Physical stabilities: Another important feature is the stability of biometric traits. Good biometric features should not change much over time. For instance, a person's fingerprints will remain the same throughout their life, making them a reliable identifier. In contrast, traits like facial features can change due to aging or injuries. Researchers often focus on stable traits to ensure that the system remains effective even as people age. Stability is a key factor when designing systems that need to recognize individuals over long periods.

Adaptabilities with machine learning: Adaptability is another important feature of biometric systems. These systems must learn from new data and adjust to changing conditions. For instance, a biometric authentication system should recognize a person even if they are smiling or wearing glasses. Machine learning algorithms play a significant role in this adaptability. By analysing how different features interact in various conditions, these systems can improve their accuracy and reliability. Researchers often focus on developing features that enhance a system's ability to adapt, making it more effective in real-world situations.

User Experiences: Users experience features are critical in biometric authentication. These features focus on how easy and convenient the system is for users. For example, if a biometric system takes too long to verify a person's identity, users may become frustrated and stop using it. Researchers are exploring ways to streamline the process, making it faster and more user-friendly. A system that balances security with ease of use is more likely to gain widespread acceptance.



Impact of Data in Biometric Authentication

Good quality data is key to making accurate biometric systems. When researchers emphasize the need for high-quality data, it shows they recognize its importance for success. If the data is poor, the system can make mistakes, leading to problems in recognizing users correctly. For instance, if fingerprint images are blurry or not clear, the system might fail to identify the person, causing frustration and loss of trust.

Sometimes, researchers only have access to old data, which can be a big problem. Using outdated data may not reflect the current challenges in biometric authentication. For example, if a system is trained on old facial recognition data, it might struggle with today's images, which can vary in lighting and angles. This shows how important it is to have new and diverse datasets that match the current situation.

Collecting new data can be difficult. Researchers may face issues like privacy concerns or trouble finding enough participants for studies. If gathering fresh data is challenging, the effectiveness of biometric systems can suffer. When researchers highlight these obstacles, it indicates that the data may not fully represent different populations or conditions where the system needs to work.

Having a variety of data helps researchers test their systems under different conditions. However, if the data changes often, using older data can give a misleading view of the present situation. For instance, if a biometric system relies too much on historical data that doesn't account for new technologies, it may not work well in real life.



Relying too much on past datasets can lead to outdated conclusions. If researchers focus on old data, they might miss new trends or challenges. For example, biometric authentication could face new threats from technologies like deepfakes or advanced spoofing techniques. If the data doesn't capture these recent threats, the systems might become vulnerable, posing significant security risks.

The data used must accurately represent the challenges faced by biometric systems. If the data isn't diverse or comprehensive, it can limit the effectiveness of the authentication methods. For example, if a dataset mainly includes images from one demographic group, the system may not work well for individuals from other groups. This can lead to unfair treatment and create security gaps.



Challenges in Biometric Authentication

Biometric authentication systems verify a person's identity using unique physical or behavioral traits. However, these systems face several important challenges that researchers are actively trying to solve to make them more effective, secure, and user-friendly. Here's a breakdown of the main challenges:

1. Improving Accuracy and Reliability

One of the biggest challenges is ensuring that biometric systems are accurate and reliable. Accuracy means correctly identifying individuals, while reliability ensures that the system performs well under various conditions and over time.

To tackle this, researchers are employing advanced techniques, such as machine learning. This technology helps reduce errors, such as mistakenly **accepting** unauthorized users or wrongly denying access to authorized users. For example, in facial recognition systems, new algorithms are being developed to recognize faces even when there are changes in expressions or lighting conditions. This is crucial for making these systems more dependable in real-world situations.

2. Enhancing Security and Preventing Spoofing

Security is another major concern, particularly the threat of spoofing. Spoofing occurs when someone tries to trick the system using fake biometric traits, such as a silicone fingerprint or a high-quality photo.

To combat this threat, researchers are creating anti-spoofing techniques. One effective method is called liveness detection, which verifies that the biometric sample is coming from a live person. For instance, some



systems analyze the texture of the skin or use infrared imaging to check the warmth of a real hand. These measures help ensure that the system is not fooled by fake representations.

3. Managing Scalability and System Performance

As biometric systems are adopted by larger populations, maintaining good performance becomes increasingly challenging. Scalability issues arise when the system needs to process vast amounts of biometric data quickly and accurately.

Researchers are focusing on ways to improve data processing capabilities. They are exploring solutions like distributed computing, where data is processed across multiple servers to handle large datasets efficiently. Additionally, they are developing faster retrieval methods to ensure quick identification, which is especially important in busy environments like airports or large organizations.

4. Addressing Privacy and Ethical Concerns

Since biometric data is highly sensitive, protecting it from unauthorized access is essential. Researchers are working on various strategies to safeguard this data. For example, encryption techniques are being used to secure biometric information, ensuring that even if it is intercepted, it remains protected.

Moreover, researchers are developing systems that can function without revealing raw biometric data. They are also creating ethical guidelines to govern how biometric information is used, ensuring that it is handled responsibly and with user consent. This focus on privacy is crucial for maintaining trust in biometric technologies.



5. Improving Usability and User Experience

For biometric systems to be effective, they must be user-friendly. If users experience problems, such as being wrongly denied access (false rejections), it can lead to frustration and reduced acceptance of the technology.

To improve usability, researchers are designing systems that can better accommodate variations in biometric traits and environmental conditions. For example, advancements are being made in fingerprint recognition technology to accurately read partial or distorted prints. Similarly, facial recognition systems are being refined to perform well under different lighting conditions and with various facial expressions. Incorporating user feedback into the design process is also essential to enhance overall satisfaction.

6. Adapting to Emerging Technologies

As technology continues to evolve, biometric systems need to adapt to new advancements. Innovations such as mobile devices and cloud computing present both opportunities and challenges for biometric authentication.

Researchers are investigating how to leverage these emerging technologies to improve biometric systems. For instance, integrating mobile devices with built-in biometric sensors, like fingerprint scanners and facial recognition cameras, allows for secure and convenient access. Additionally, using cloud computing to manage biometric data can provide more scalable and flexible solutions, enabling systems to efficiently handle larger volumes of information.



Unresolved Issues in Biometric Authentication

Despite their advancements, these systems still face several important challenges that need to be addressed. These issues affect how well the systems perform, their security, and how easy they are to use. Let's take a closer look at some of the main unresolved issues.

1. Combining Different Biometric Types

One significant challenge is how to effectively combine data from different biometric types, such as fingerprints, facial recognition, and voice recognition. Each type of biometric data has its own unique features. For instance, fingerprints and facial images are very different, which makes it difficult to match them accurately.

When researchers attempt to merge these various types of data, they often encounter problems that can lead to errors or lower accuracy. For example, comparing a fingerprint with a facial image involves looking at two completely different sets of information. To enhance accuracy and reliability, researchers need to develop improved methods for integrating these different types of biometric data in a way that they work well together.

2. Scaling Anti-Spoofing Measures

Anti-spoofing techniques are designed to prevent people from tricking biometric systems with fake samples, such as a copied fingerprint or a photograph instead of a real face. Although these techniques have made progress, they still struggle with scalability. This means they need to work effectively for many users in different environments.

For example, a method that performs well in a controlled setting, like a laboratory, may not work as well in a busy public area, where distractions are



present. Researchers are actively seeking solutions to ensure that antispoofing measures can remain effective in all situations, which is crucial for maintaining the reliability of biometric systems.

3. Protecting Privacy and Data Security

Privacy and security are major concerns in biometric systems since they handle sensitive personal information, like fingerprints and facial images. Even with security measures such as encryption, there are still concerns about data breaches or misuse of this information.

Finding ways to keep biometric data secure is essential, but it must be done without affecting system performance or user convenience. Researchers are exploring new techniques to safeguard privacy, but this is a complex challenge that needs ongoing focus and innovative solutions.

4. Ensuring User-Friendly Systems

For biometric systems to be widely accepted, they must be user-friendly and function effectively in real-world conditions. Problems can arise when systems fail to recognize a user due to factors like poor lighting or difficulty in reading partial fingerprints. These issues can lead to user frustration and reduce trust in the system.

It is essential for biometric systems to work well under various conditions and provide a smooth user experience. Researchers are concentrating on improving the adaptability of these systems so that they can reliably identify users in everyday situations, regardless of environmental changes, like bright sunlight or low light conditions.



5. Adapting to Changes in Biometric Traits

Another important issue is how biometric systems manage changes in a person's physical traits over time. As individuals age or experience injuries, their fingerprints or facial features can change. Current systems may find it hard to maintain accuracy as these changes occur.

Researchers are working to develop biometric systems that can adapt to these changes while still providing accurate identification. Finding ways to recognize individuals, even as their physical characteristics evolve, is a critical area of research. This adaptability is essential for ensuring that biometric authentication remains effective throughout a person's life.

6. Integrating with New Technologies

The integration of biometric systems with new technologies, such as smartphones and cloud computing, introduces additional challenges. For instance, processing biometric data on mobile devices or storing it in the cloud raises concerns about security and how the data is managed.

Researchers need to ensure that biometric systems can function effectively with these new technologies without compromising security or user privacy. This integration is crucial for making biometric systems more accessible and functional in our technology-driven world, enabling more people to use these systems safely and easily.

7. Balancing Security and Convenience

One of the most challenging issues in biometric authentication is finding the right balance between security and convenience. Users want systems that are secure, but they also need them to be easy to use. If a system is too



complicated or takes too long to verify a user, people may become frustrated and reluctant to use it.

Researchers are exploring ways to enhance security while also keeping the systems user-friendly. Achieving this balance is essential for encouraging the broader adoption of biometric authentication methods. Users should feel confident that their personal data is secure while also enjoying a smooth experience.

8. Addressing Ethical Concerns

As biometric systems become more common, ethical concerns about their use also arise. Questions regarding consent, data ownership, and how biometric information is used need to be carefully considered. Users may worry about who has access to their biometric data and how it is stored.

Researchers and developers must address these ethical implications when creating biometric systems. Ensuring transparency and allowing users control over their personal information can help build trust and acceptance. Creating ethical guidelines for the use of biometric data will be essential as these technologies become more prevalent.

9. Dealing with Diverse User Populations

Another challenge in biometric authentication is accommodating diverse user populations. Different individuals may have unique biometric traits that could affect system performance. For example, certain facial recognition systems may struggle with accurately identifying people from various ethnic backgrounds due to differences in facial features.

To improve inclusivity, researchers need to develop biometric systems that work effectively for all users, regardless of their background. This means



creating algorithms and technologies that account for a wide range of biometric variations, ensuring that everyone can benefit from secure authentication.

10. Continuous Improvement and Updates

As technology continues to advance, biometric systems must be regularly updated to keep up with new challenges and threats. This involves not only enhancing existing features but also integrating the latest technologies and research findings.

Researchers and developers must remain vigilant in improving these systems to ensure they are not only effective today but also prepared for the challenges of tomorrow. Continuous innovation is key to maintaining trust in biometric authentication and ensuring it meets users' evolving needs.

Privacy and Security Concerns: Ensuring the privacy and security of biometric data remains a significant challenge. Despite improvements in encryption and secure storage methods, concerns about data breaches and misuse persist. Ongoing research is focused on developing robust privacy-preserving techniques and addressing ethical issues related to biometric data usage to protect individuals' rights and ensure responsible use.

Public Acceptance and Usability: The acceptance of biometric systems by the public is influenced by their usability and overall user experience. Challenges include designing systems that are easy to use and minimizing user frustration. Research is needed to enhance user experience by creating adaptive systems that can handle variations in biometric traits and environmental conditions, thereby increasing user satisfaction and acceptance.



Human, Data and Biometric

Biometric systems manage enormous quantities of data, often involving thousands, hundreds of thousands, or even millions of samples. This vast amount of data poses significant challenges for human analysts, making it very difficult for them to analyze everything effectively. Machine learning algorithms excel at processing large datasets quickly and can identify patterns and anomalies much faster than a person could. For instance, training a machine learning model with millions of fingerprint images or facial requires powerful computers capable of handling complex scans computations much faster than any human.

The complexity of biometric data adds another layer of difficulty. Biometric information includes detailed features that require careful interpretation. For example, fingerprint minutiae points—such as ridge endings and bifurcations—and specific facial landmarks have intricate details that can be challenging for a person to track. Furthermore, factors such as lighting conditions, angles, and image quality can alter how this data appears. Machine learning algorithms can be designed to account for these variations, learning from the data to improve accuracy. This ability makes machine learning a more effective choice for handling complex tasks compared to human analysts.

Research studies consistently highlight that some tasks are simply too complicated for human analysts, especially when large datasets are involved. If the literature indicates that it's impractical for humans to process or interpret biometric data effectively, it underscores the necessity for machine learning. Studies emphasizing the limitations of human capabilities in



analyzing biometric data reinforce the importance of automated systems for achieving reliable results.

When research projects require rapid results, relying solely on human analysis is often impractical. For example, if a project needs to evaluate the performance of a biometric system across various datasets or assess the accuracy of multiple algorithms, a human would take an unreasonable amount of time to accomplish this. Machine learning models can process large amounts of data and produce results much faster than any individual could manage. This speed is crucial for meeting tight research deadlines and ensuring timely project completion.

Additionally, biometric systems need to continuously adapt to new data. They must learn from incoming information and update their algorithms to maintain accuracy. While human analysts can provide valuable insights, they cannot match the speed and efficiency of machine learning systems in responding to new information. Machine learning can swiftly adapt to changing data and implement real-time updates, making it indispensable for modern biometric applications.

The combination of massive data volumes, intricate features, and the demand for quick results means that human analysts alone are not sufficient for effective biometric data analysis. Machine learning plays a crucial role in overcoming these challenges, ensuring that biometric systems remain accurate, efficient, and reliable.

Moreover, the integration of machine learning into biometric systems also enhances their robustness against various threats. For example, antispoofing techniques, which prevent fraudulent attempts to deceive the



system with fake fingerprints or facial images, can be significantly improved through machine learning. Algorithms can learn from various attack patterns and develop defenses against them, adapting as new threats emerge.

Another advantage of employing machine learning in biometric systems is its ability to enhance user experience. By analyzing user interactions and feedback, these systems can improve their performance over time. For instance, a machine learning model can learn which conditions—such as lighting or angle—lead to successful scans and optimize the process accordingly. This leads to a smoother and more efficient user experience, which is essential for widespread adoption.

Additionally, the continuous advancement of technology means that biometric systems must keep evolving. Machine learning enables these systems to not only cope with existing challenges but also anticipate future needs. As biometric technology progresses, the systems can learn to handle new forms of data and adapt to emerging applications, making them versatile tools in various sectors, including security, healthcare, and finance.



There can be implemented some techniques to improve Biometric Authentication and to be ensure more safety for human belongings.

1. Features for Preventing Spoofing

To ensure biometric systems are not deceived by fake samples, certain features are crucial:

Skin Texture Analysis: In fingerprint recognition, examining skin texture helps determine if a fingerprint is real. Features like pores and ridges are analyzed to identify spoofing attempts. This examination is important because it differentiates genuine fingerprints from fakes, enhancing the security of the system.

3D Depth Measurements: In facial recognition, 3D depth sensors measure the distance between facial features. This data confirms that the face being scanned is real and not a photo or mask. The ability to detect depth adds an extra layer of security, helping to prevent spoofing and improve the system's reliability.

2. Adapting to Variability

Features that allow biometric systems to adjust to changes in traits or environmental conditions are essential for consistent performance:

Handling Expression and Pose Changes: In facial recognition, systems that can adapt to different facial expressions or head positions are vital. For example, a system that can recognize a person whether they are smiling or frowning maintains accuracy across various conditions. This flexibility ensures the system remains effective even if an individual's appearance changes.



Partial Fingerprint Matching: Algorithms that can accurately identify partial or distorted fingerprints are important. Features that enable systems to work with incomplete or unclear fingerprints are valuable in real-world situations, where full prints may not always be available. This capability helps maintain accuracy and reliability under diverse conditions.

3. Multimodal Fusion Techniques

Combining different biometric modalities, such as fingerprints, facial recognition, and voice, can significantly enhance system performance.

Techniques that merge data from various biometric sources, like integrating facial landmarks with fingerprint details, create a more robust identification system. This combination takes advantage of the strengths of each biometric trait, leading to a more accurate and reliable identification process. Multimodal systems reduce the chance of errors and improve overall performance.

3. Privacy and Security Measures

Protecting biometric data and ensuring user privacy are critical components of biometric systems:

Encryption is used to secure biometric data during storage and transmission. These methods ensure that even if data is intercepted, it cannot be accessed or misused. Encryption is vital for protecting sensitive information and maintaining user privacy.

Techniques like secure multi-party computation and privacy preserving biometric templates help protect biometric data while still allowing for authentication. These methods ensure that biometric systems can function effectively without revealing raw data, balancing the need for security and privacy.



By understanding these important features, we can see how they contribute to the effectiveness and reliability of biometric authentication systems. Core features like fingerprint details, facial landmarks, and iris patterns serve as unique identifiers essential for accurate recognition. Moreover, features designed to prevent spoofing, such as skin texture analysis and 3D depth measurements, enhance security.

Additionally, the ability to adapt to variability such as handling expression changes and partial matching ensures consistent performance in real world situations. Techniques for multimodal fusion significantly improve accuracy by integrating data from different sources.

Finally, strong privacy and security measures, including encryption and privacy preserving methods, are crucial for safeguarding sensitive biometric data. Together, these features make biometric systems increasingly valuable across various applications.



Conclusion

This paper provides important information about the problems that biometric authentication systems face today. As technologies like fingerprint scanning, facial recognition, and voice recognition become more common, it's essential to tackle issues related to accuracy, security, and how easy they are for users. The research points out areas that need improvement, such as using multiple types of biometric data together to make systems more reliable and reduce mistakes.

It also highlights the need for better methods to prevent fraud and strong privacy protections, which are key to keeping users' trust and protecting their personal information. Using smart machine learning techniques can help these systems learn from new information, ensuring they stay effective over time.

By addressing these challenges and applying the suggested improvements, future biometric systems can become more secure and satisfying for users. As technology continues to change, ongoing research and development are necessary to meet new threats and user needs. Overall, improving biometric authentication will not only increase security but also make user experiences smoother and more accessible in many different areas.



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