# Binomial Heaps

#### Outline for this Week

#### Binomial Heaps (Today)

 A simple, flexible, and versatile priority queue.

#### Lazy Binomial Heaps (Today)

 A powerful building block for designing advanced data structures.

#### Fibonacci Heaps (Wednesday)

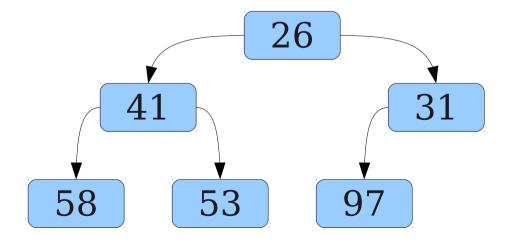
• A heavyweight and theoretically excellent priority queue.

Review: Priority Queues

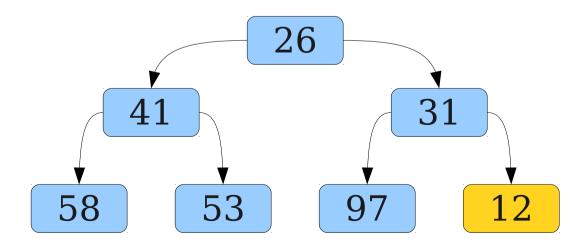
### Priority Queues

- A **priority queue** is a data structure that stores a set of elements annotated with *keys* and allows efficient extraction of the element with the least key.
- More concretely, supports these operations:
  - pq.enqueue(v, k), which enqueues element v with key k;
  - *pq.find-min*(), which returns the element with the least key; and
  - pq.extract-min(), which removes and returns the element with the least key,

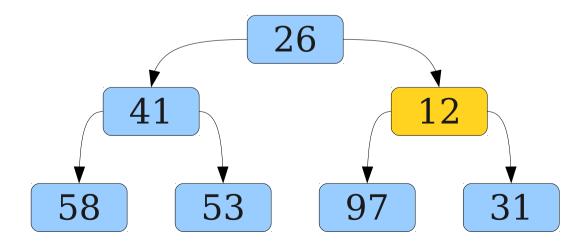
- Priority queues are frequently implemented as binary heaps.
- enqueue and extract-min run in time  $O(\log n)$ ; find-min runs in time O(1).
- We're not going to cover binary heaps this quarter;
   I assume you've seen them before.



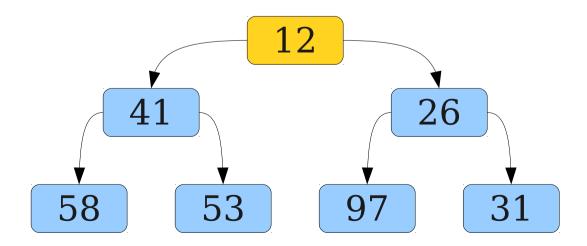
- Priority queues are frequently implemented as binary heaps.
- enqueue and extract-min run in time  $O(\log n)$ ; find-min runs in time O(1).
- We're not going to cover binary heaps this quarter;
   I assume you've seen them before.



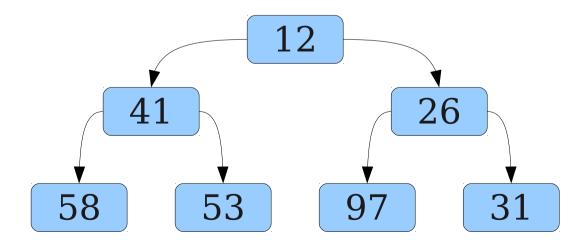
- Priority queues are frequently implemented as binary heaps.
- enqueue and extract-min run in time  $O(\log n)$ ; find-min runs in time O(1).
- We're not going to cover binary heaps this quarter;
   I assume you've seen them before.



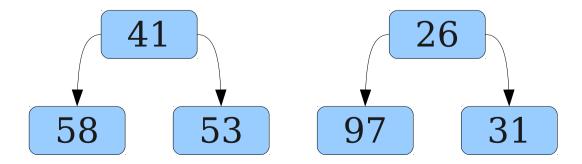
- Priority queues are frequently implemented as binary heaps.
- enqueue and extract-min run in time  $O(\log n)$ ; find-min runs in time O(1).
- We're not going to cover binary heaps this quarter;
   I assume you've seen them before.



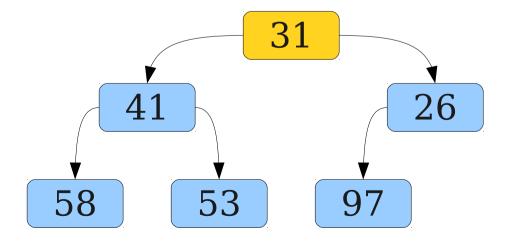
- Priority queues are frequently implemented as binary heaps.
- enqueue and extract-min run in time  $O(\log n)$ ; find-min runs in time O(1).
- We're not going to cover binary heaps this quarter;
   I assume you've seen them before.



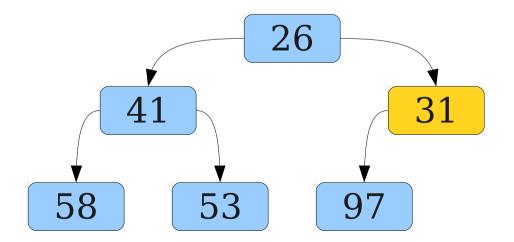
- Priority queues are frequently implemented as binary heaps.
- enqueue and extract-min run in time  $O(\log n)$ ; find-min runs in time O(1).
- We're not going to cover binary heaps this quarter;
   I assume you've seen them before.



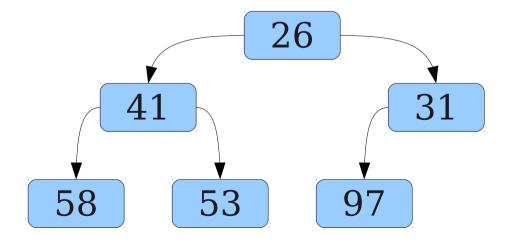
- Priority queues are frequently implemented as binary heaps.
- enqueue and extract-min run in time  $O(\log n)$ ; find-min runs in time O(1).
- We're not going to cover binary heaps this quarter;
   I assume you've seen them before.



- Priority queues are frequently implemented as binary heaps.
- enqueue and extract-min run in time  $O(\log n)$ ; find-min runs in time O(1).
- We're not going to cover binary heaps this quarter;
   I assume you've seen them before.



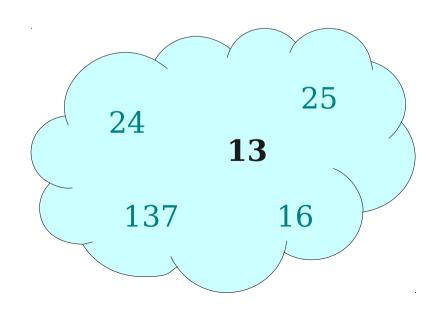
- Priority queues are frequently implemented as binary heaps.
- enqueue and extract-min run in time  $O(\log n)$ ; find-min runs in time O(1).
- We're not going to cover binary heaps this quarter;
   I assume you've seen them before.

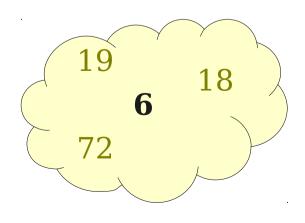


#### Priority Queues in Practice

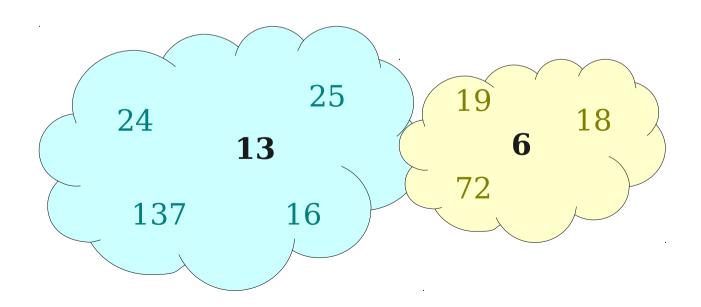
- Many graph algorithms directly rely priority queues supporting extra operations:
  - $meld(pq_1, pq_2)$ : Destroy  $pq_1$  and  $pq_2$  and combine their elements into a single priority queue.
  - pq.decrease-key(v, k'): Given a pointer to element v already in the queue, lower its key to have new value k'.
  - $pq.add-to-all(\Delta k)$ : Add  $\Delta k$  to the keys of each element in the priority queue (typically used with meld).
- In lecture, we'll cover binomial heaps to efficiently support *meld* and Fibonacci heaps to efficiently support *meld* and *decrease-key*.
- After the TAs ensure that it's not too hard to do so, you'll design a priority queue supporting efficient meld and add-to-all on the problem set.

- A priority queue supporting the *meld* operation is called a **meldable priority queue**.
- $meld(pq_1, pq_2)$  destructively modifies  $pq_1$  and  $pq_2$  and produces a new priority queue containing all elements of  $pq_1$  and  $pq_2$ .

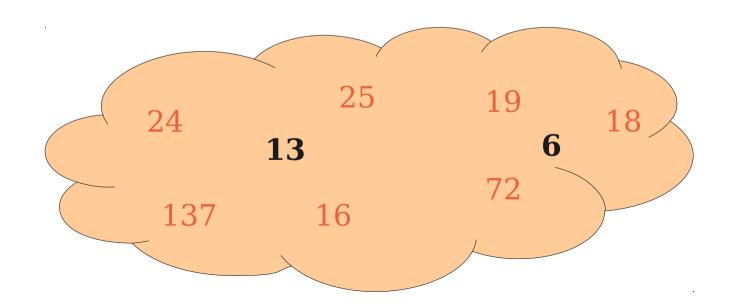




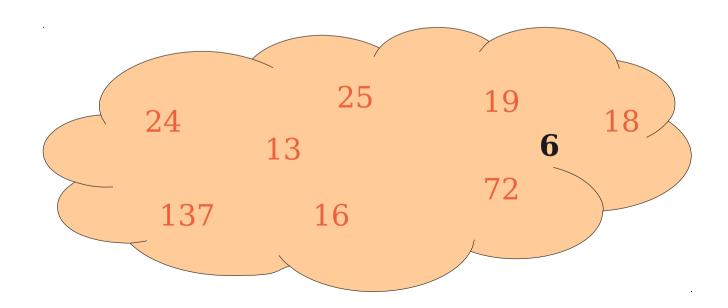
- A priority queue supporting the *meld* operation is called a **meldable priority queue**.
- $meld(pq_1, pq_2)$  destructively modifies  $pq_1$  and  $pq_2$  and produces a new priority queue containing all elements of  $pq_1$  and  $pq_2$ .



- A priority queue supporting the *meld* operation is called a **meldable priority queue**.
- $meld(pq_1, pq_2)$  destructively modifies  $pq_1$  and  $pq_2$  and produces a new priority queue containing all elements of  $pq_1$  and  $pq_2$ .

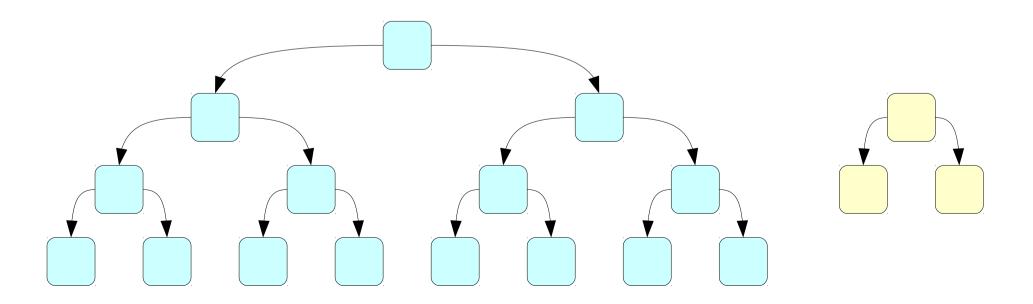


- A priority queue supporting the *meld* operation is called a **meldable priority queue**.
- $meld(pq_1, pq_2)$  destructively modifies  $pq_1$  and  $pq_2$  and produces a new priority queue containing all elements of  $pq_1$  and  $pq_2$ .



# Efficiently Meldable Queues

- Standard binary heaps do not efficiently support *meld*.
- Intuition: Binary heaps are complete binary trees, and two complete binary trees cannot easily be linked to one another.



### Binomial Heaps

- The **binomial heap** is an efficient priority queue data structure that supports efficient melding.
- We'll study binomial heaps for several reasons:
  - Implementation and intuition is totally different than binary heaps.
  - Used as a building block in other data structures (Fibonacci heaps, soft heaps, etc.)
  - Has a beautiful intuition; similar ideas can be used to produce other data structures.

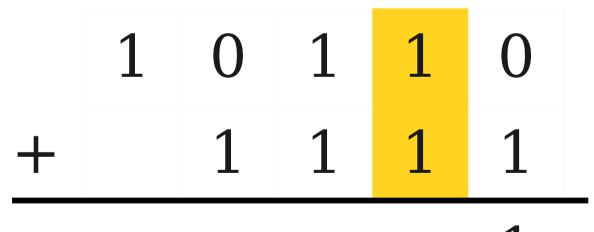
The Intuition: Binary Arithmetic

	1	0	1	1	0	
+		1	1	1	1	

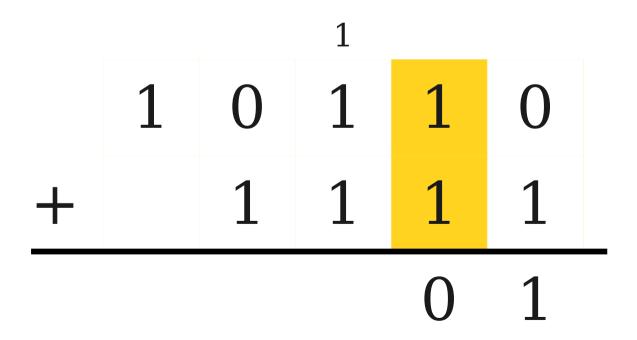
	1	0	1	1	0	
+		1	1	1	1	

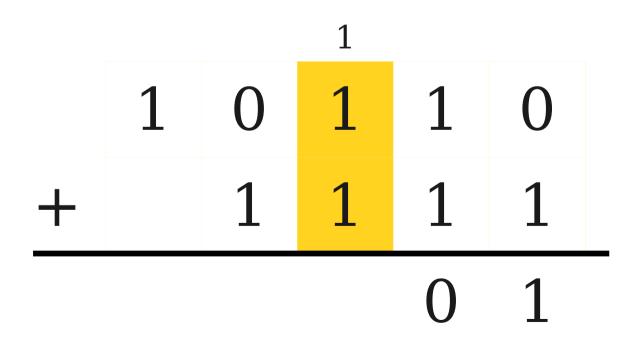
	1	0	1	1	0	
+		1	1	1	1	

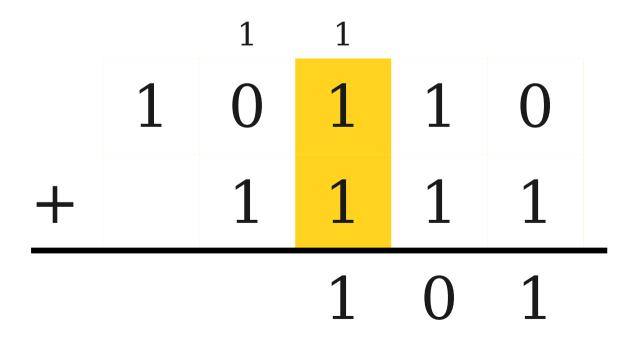
• Given the binary representations of two numbers n and m, we can add those numbers in time  $\Theta(\max\{\log m, \log n\})$ .

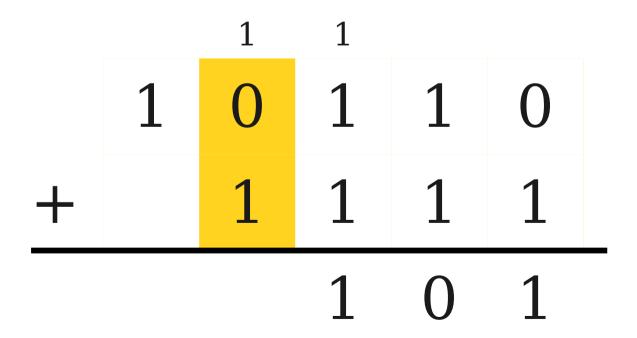


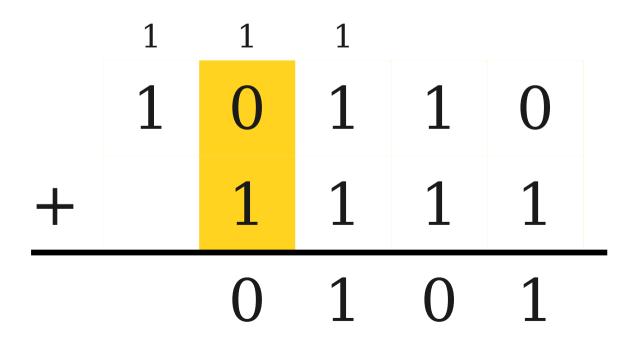
 $\mathbb{I}$ 

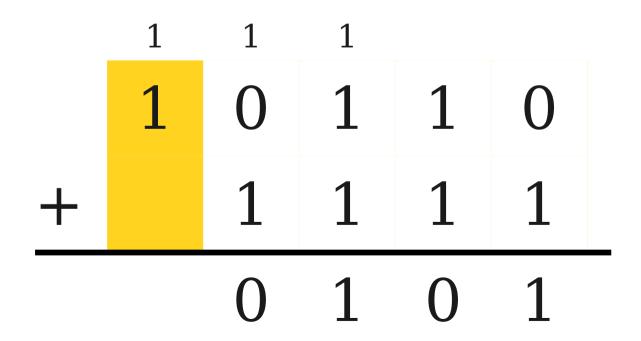


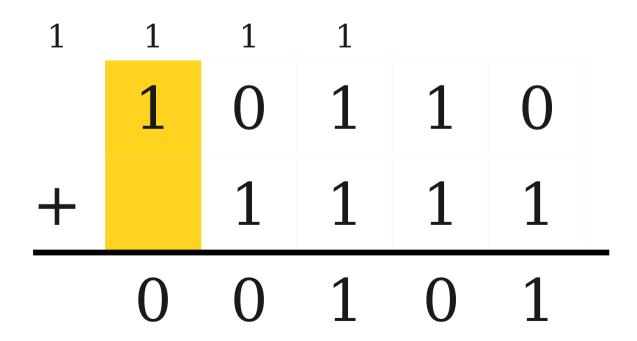












1	1	1	1			
	1	0	1	1	0	
+		1	1	1	1	
1	0	0	1	0	1	

#### A Different Intuition

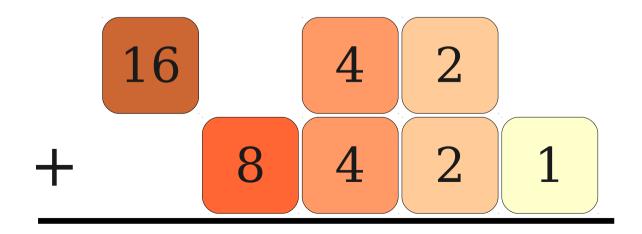
- Represent *n* and *m* as a collection of "packets" whose sizes are powers of two.
- Adding together n and m can then be thought of as combining the packets together, eliminating duplicates

#### A Different Intuition

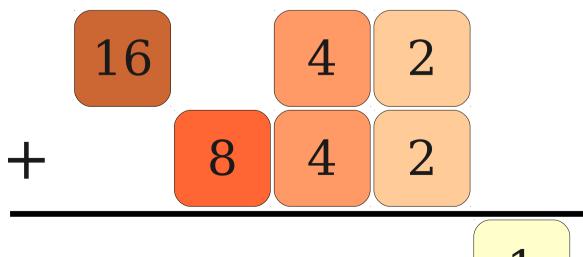
- Represent *n* and *m* as a collection of "packets" whose sizes are powers of two.
- Adding together n and m can then be thought of as combining the packets together, eliminating duplicates

#### A Different Intuition

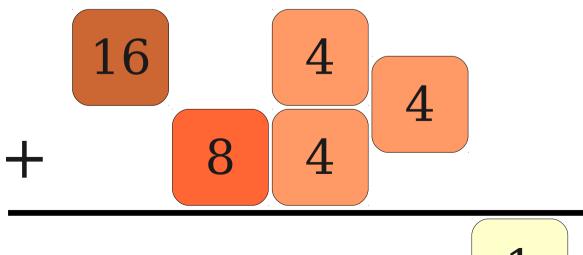
- Represent *n* and *m* as a collection of "packets" whose sizes are powers of two.
- Adding together n and m can then be thought of as combining the packets together, eliminating duplicates



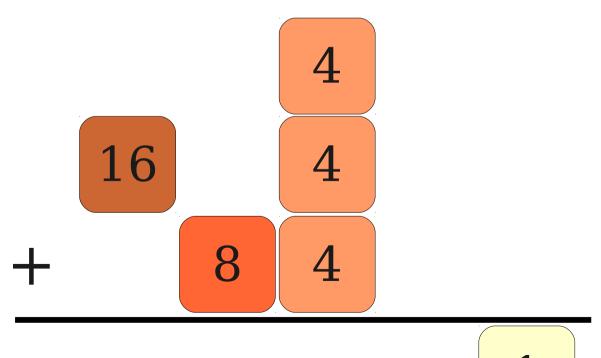
- Represent *n* and *m* as a collection of "packets" whose sizes are powers of two.
- Adding together n and m can then be thought of as combining the packets together, eliminating duplicates



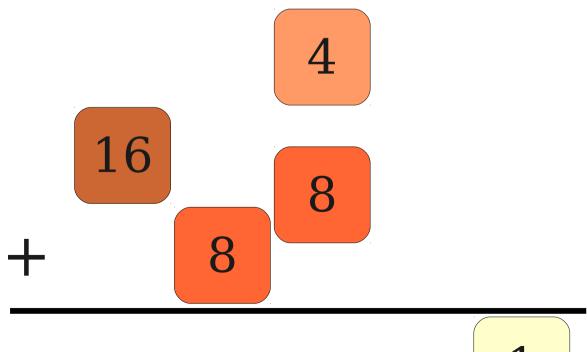
- Represent *n* and *m* as a collection of "packets" whose sizes are powers of two.
- Adding together n and m can then be thought of as combining the packets together, eliminating duplicates



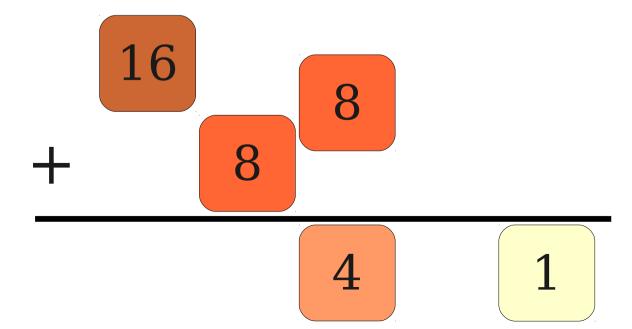
- Represent *n* and *m* as a collection of "packets" whose sizes are powers of two.
- Adding together n and m can then be thought of as combining the packets together, eliminating duplicates



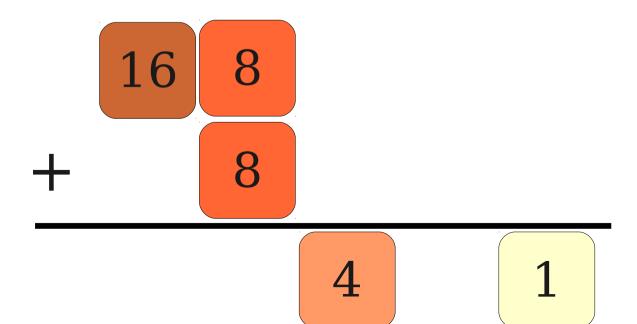
- Represent *n* and *m* as a collection of "packets" whose sizes are powers of two.
- Adding together n and m can then be thought of as combining the packets together, eliminating duplicates



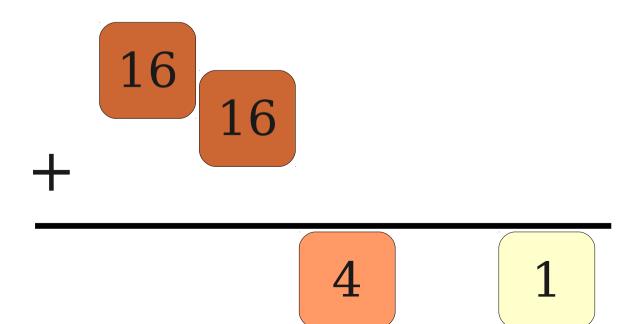
- Represent *n* and *m* as a collection of "packets" whose sizes are powers of two.
- Adding together n and m can then be thought of as combining the packets together, eliminating duplicates



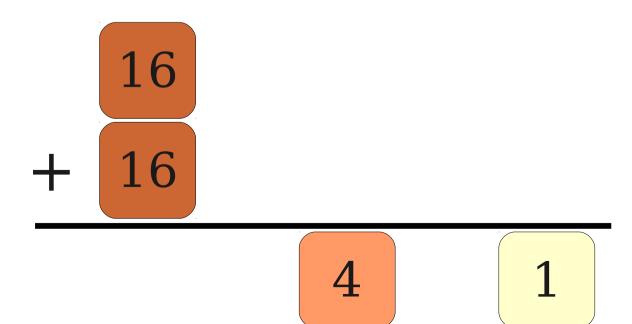
- Represent *n* and *m* as a collection of "packets" whose sizes are powers of two.
- Adding together n and m can then be thought of as combining the packets together, eliminating duplicates



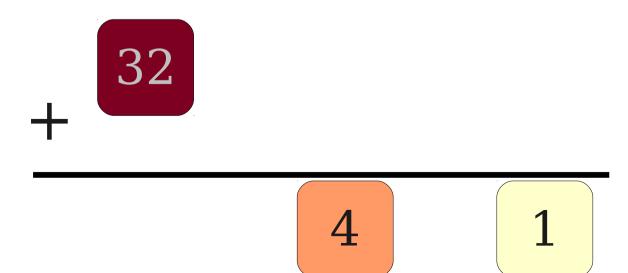
- Represent *n* and *m* as a collection of "packets" whose sizes are powers of two.
- Adding together n and m can then be thought of as combining the packets together, eliminating duplicates



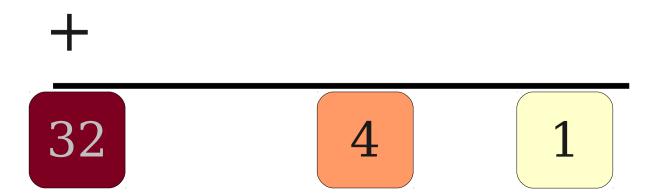
- Represent *n* and *m* as a collection of "packets" whose sizes are powers of two.
- Adding together n and m can then be thought of as combining the packets together, eliminating duplicates



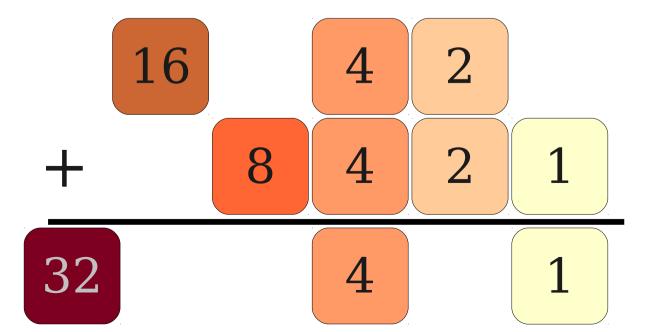
- Represent *n* and *m* as a collection of "packets" whose sizes are powers of two.
- Adding together n and m can then be thought of as combining the packets together, eliminating duplicates



- Represent *n* and *m* as a collection of "packets" whose sizes are powers of two.
- Adding together n and m can then be thought of as combining the packets together, eliminating duplicates



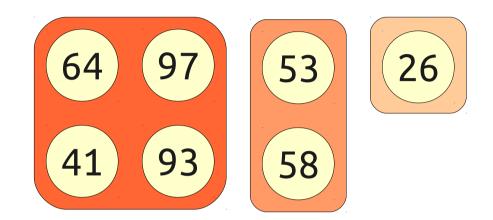
- Represent *n* and *m* as a collection of "packets" whose sizes are powers of two.
- Adding together n and m can then be thought of as combining the packets together, eliminating duplicates

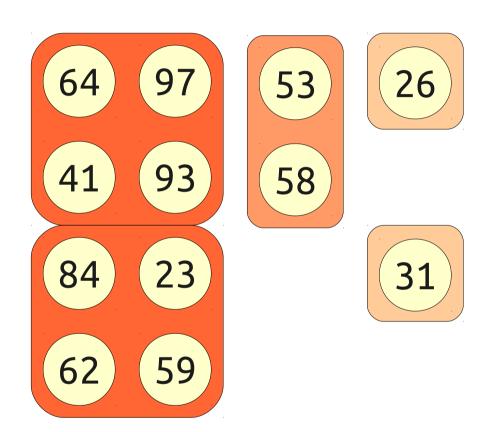


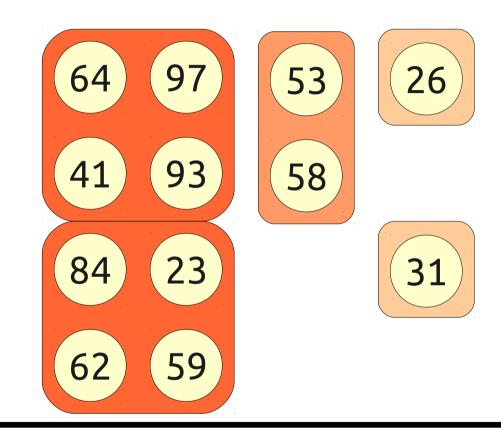
### Why This Works

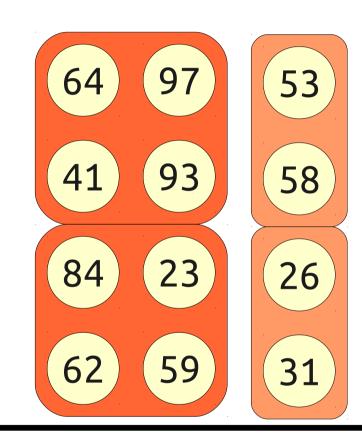
- In order for this arithmetic procedure to work efficiently, the packets must obey the following properties:
  - The packets must be stored in ascending/descending order of size.
  - The packets must be stored such that there are no two packets of the same size.
  - Two packets of the same size must be efficiently "fusable" into a single packet.

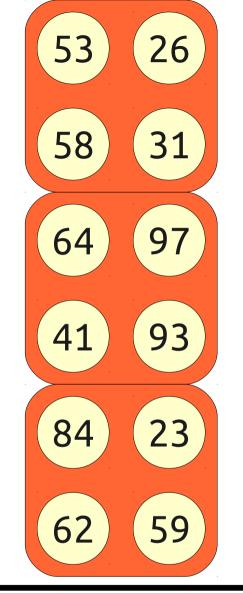
- Idea: Adapt this approach to build a priority queue.
- Store elements in the priority queue in "packets" whose sizes are powers of two.
- Store packets in ascending size order.
- We'll choose a representation of a packet so that two packets of the same size can easily be fused together.



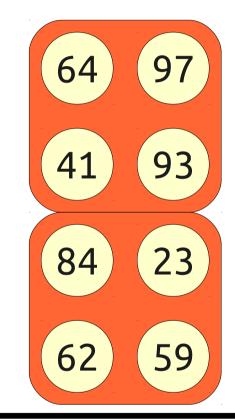






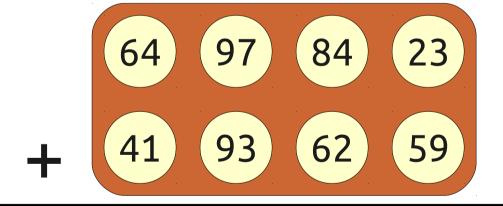


+



\_

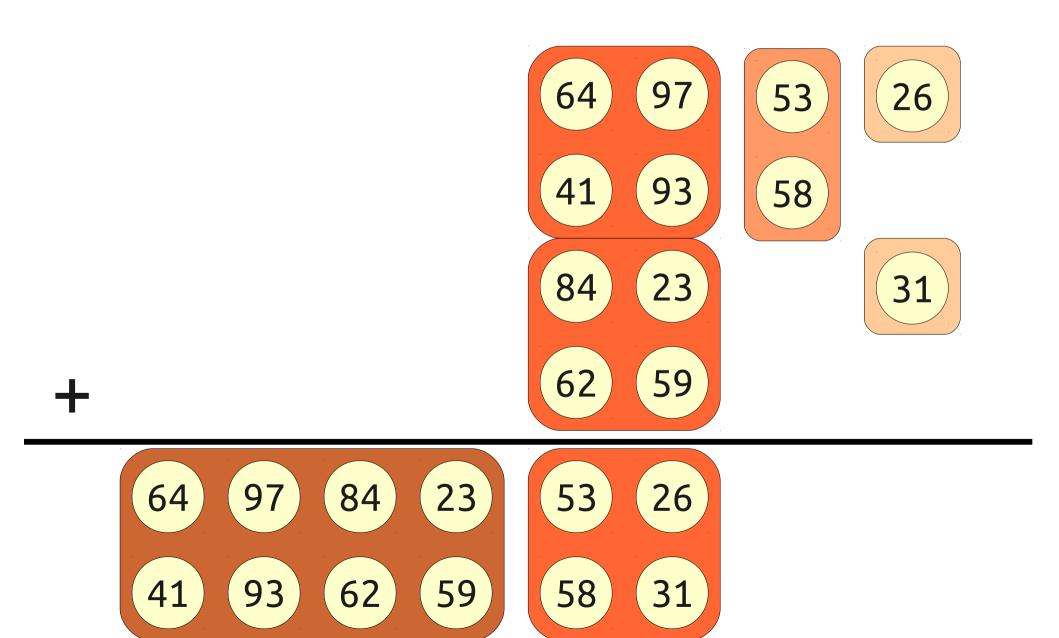
53265831



+

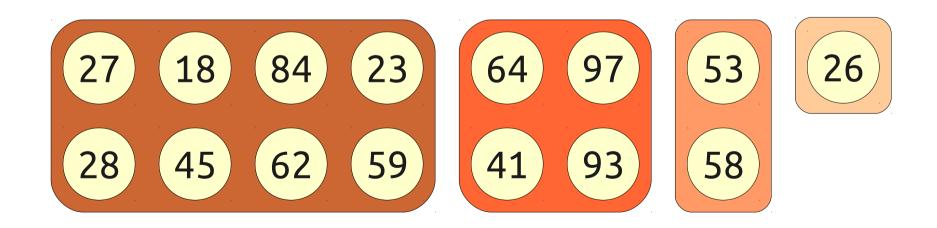
 64
 97
 84
 23
 53
 26

 41
 93
 62
 59
 58
 31

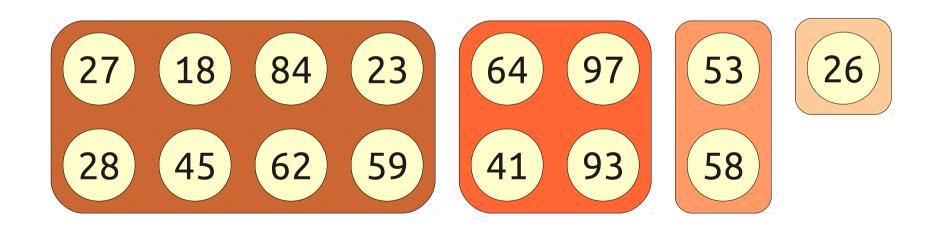


- What properties must our packets have?
  - Sizes must be powers of two.

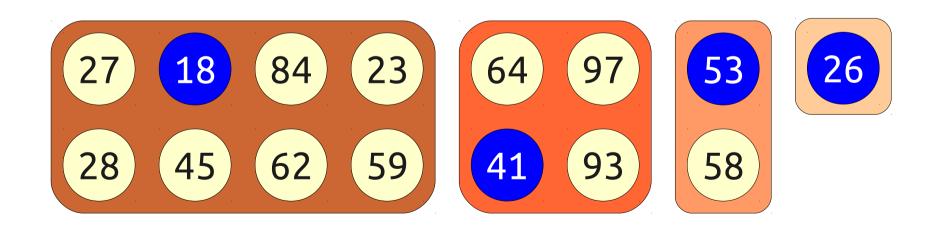
- What properties must our packets have?
  - Sizes must be powers of two.



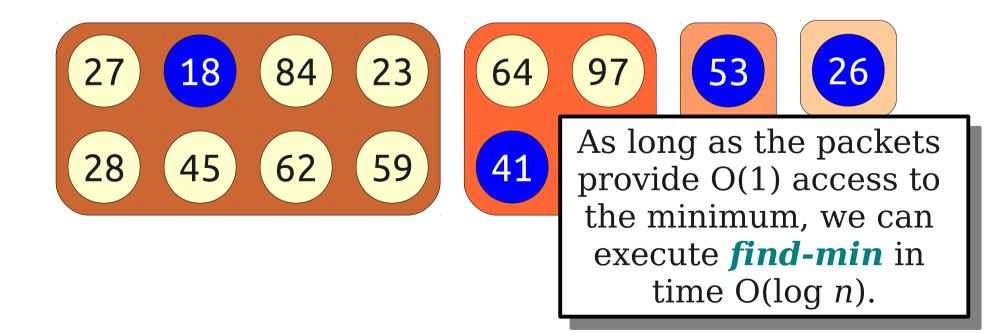
- What properties must our packets have?
  - Sizes must be powers of two.
  - Can efficiently fuse packets of the same size.



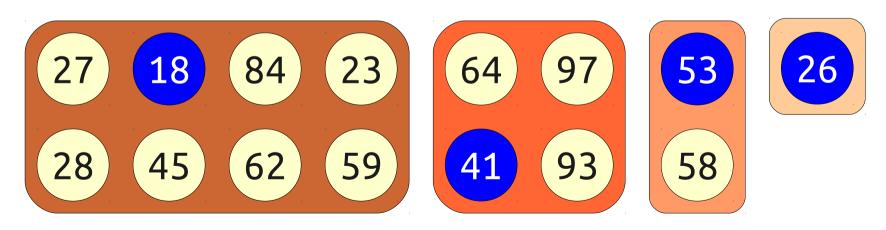
- What properties must our packets have?
  - Sizes must be powers of two.
  - Can efficiently fuse packets of the same size.



- What properties must our packets have?
  - Sizes must be powers of two.
  - Can efficiently fuse packets of the same size.



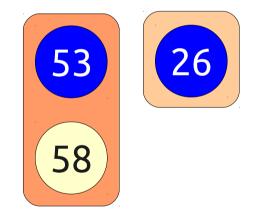
- What properties must our packets have?
  - Sizes must be powers of two.
  - Can efficiently fuse packets of the same size.
  - Can efficiently find the minimum element of each packet.



- If we can efficiently meld two priority queues, we can efficiently enqueue elements to the queue.
- Idea: Meld together the queue and a new queue with a single packet.

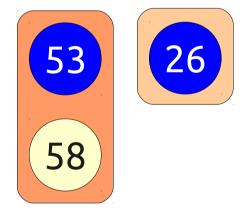
- If we can efficiently meld two priority queues, we can efficiently enqueue elements to the queue.
- Idea: Meld together the queue and a new queue with a single packet.





- If we can efficiently meld two priority queues, we can efficiently enqueue elements to the queue.
- Idea: Meld together the queue and a new queue with a single packet.



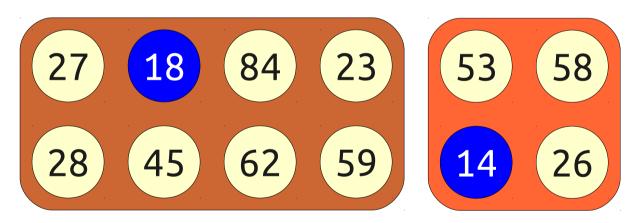


- If we can efficiently meld two priority queues, we can efficiently enqueue elements to the queue.
- Idea: Meld together the queue and a new queue with a single packet.

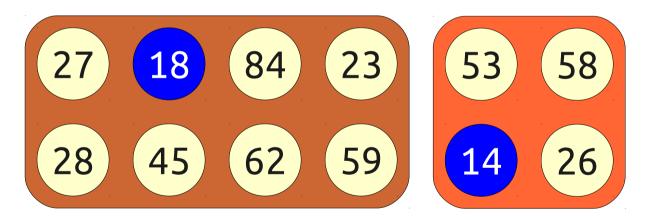




- If we can efficiently meld two priority queues, we can efficiently enqueue elements to the queue.
- Idea: Meld together the queue and a new queue with a single packet.



- If we can efficiently meld two priority queues, we can efficiently enqueue elements to the queue.
- Idea: Meld together the queue and a new queue with a single packet.



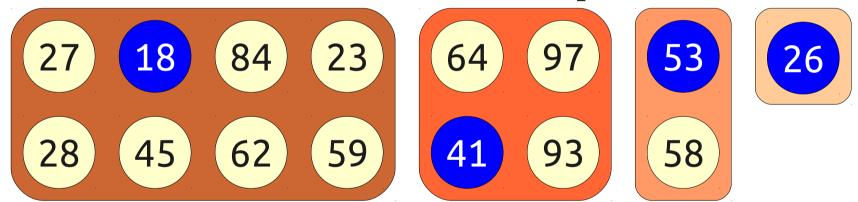
Time required:  $O(\log n)$  fuses.

## Deleting the Minimum

- Our analogy with arithmetic breaks down when we try to remove the minimum element.
- After losing an element, the packet will not necessarily hold a number of elements that is a power of two.

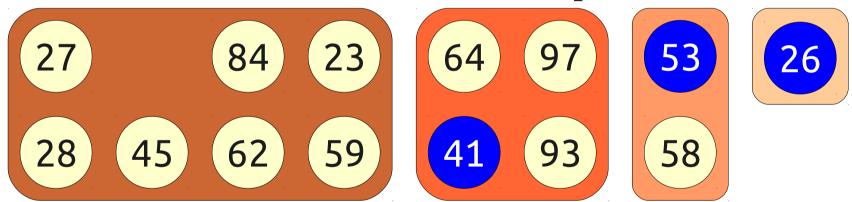
## Deleting the Minimum

- Our analogy with arithmetic breaks down when we try to remove the minimum element.
- After losing an element, the packet will not necessarily hold a number of elements that is a power of two.

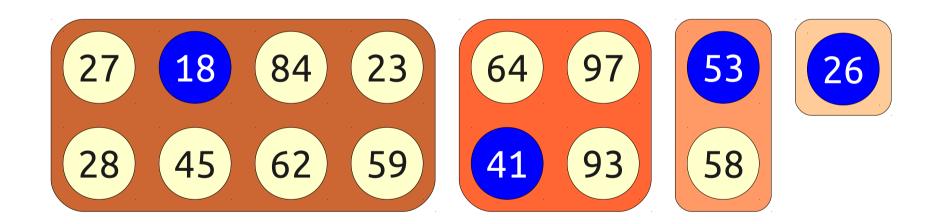


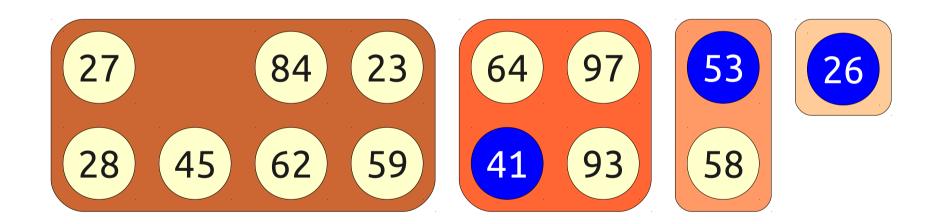
# Deleting the Minimum

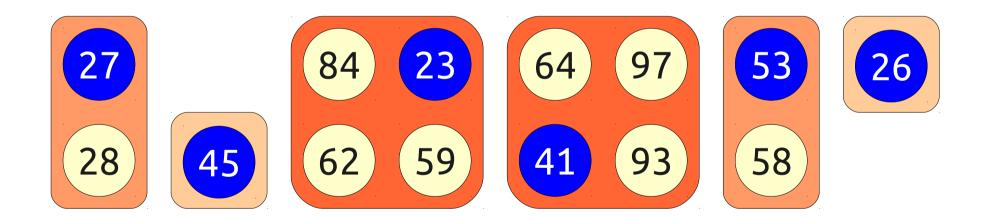
- Our analogy with arithmetic breaks down when we try to remove the minimum element.
- After losing an element, the packet will not necessarily hold a number of elements that is a power of two.

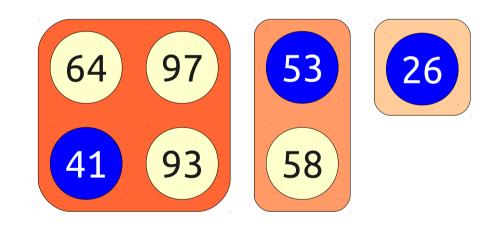


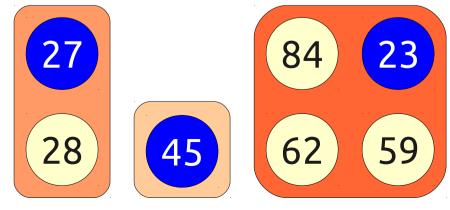
- If we have a packet with  $2^k$  elements in it and remove a single element, we are left with  $2^k 1$  remaining elements.
- Fun fact:  $2^k 1 = 1 + 2 + 4 + ... + 2^{k-1}$ .
- Idea: "Fracture" the packet into k-1 smaller packets, then add them back in.

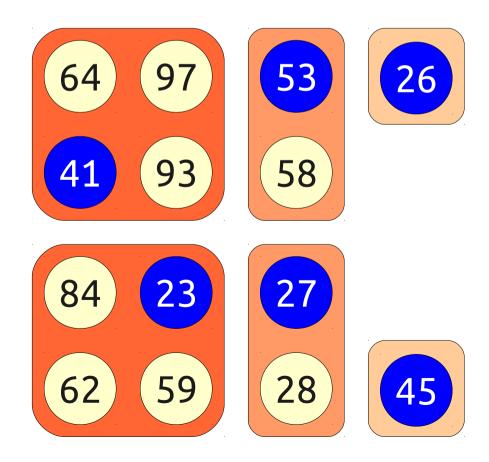




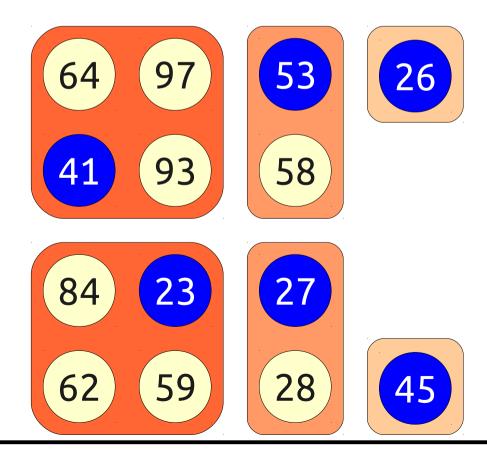






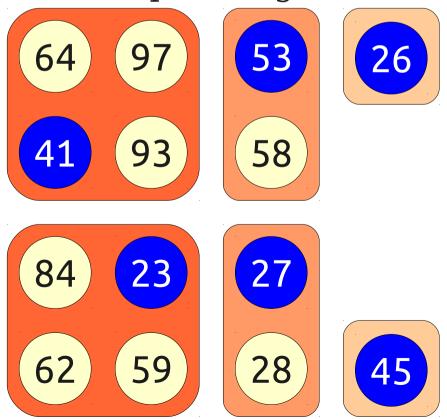


• We can *extract-min* by fracturing the packet containing the minimum and adding the fragments back in.



+

- We can *extract-min* by fracturing the packet containing the minimum and adding the fragments back in.
- Runtime is  $O(\log n)$  fuses in **meld**, plus fragment cost.



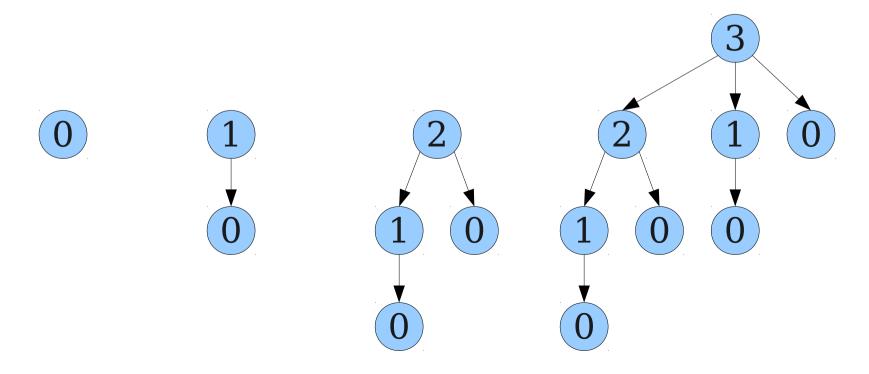
# Building a Priority Queue

- What properties must our packets have?
  - Size must be a power of two.
  - Can efficiently fuse packets of the same size.
  - Can efficiently find the minimum element of each packet.
  - Can efficiently "fracture" a packet of  $2^k$  nodes into packets of 1, 2, 4, 8, ...,  $2^{k-1}$  nodes.
- What representation of packets will give us these properties?

 A binomial tree of order k is a type of tree recursively defined as follows:

A binomial tree of order k is a single node whose children are binomial trees of order 0, 1, 2, ..., k - 1.

Here are the first few binomial trees:

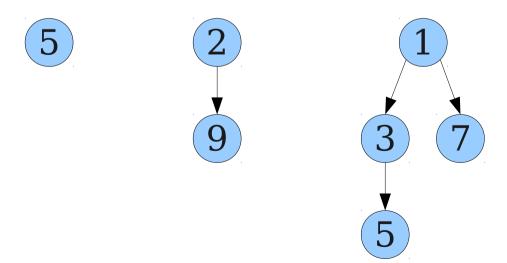


- *Theorem:* A binomial tree of order k has exactly  $2^k$  nodes.
- **Proof:** Induction on k. Assuming that binomial trees of orders 0, 1, 2, ..., k 1 have  $2^0, 2^1, 2^2, ..., 2^{k-1}$  nodes, then then number of nodes in an order-k binomial tree is

$$2^{0} + 2^{1} + \dots + 2^{k-1} + 1 = 2^{k} - 1 + 1 = 2^{k}$$

So the claim holds for k as well.

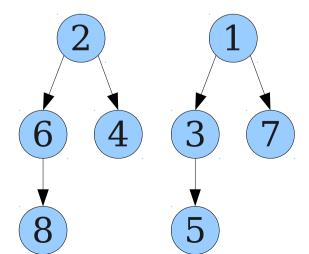
- A heap-ordered binomial tree is a binomial tree whose nodes obey the heap property: all nodes are less than or equal to their descendants.
- We will use heap-ordered binomial trees to implement our "packets."



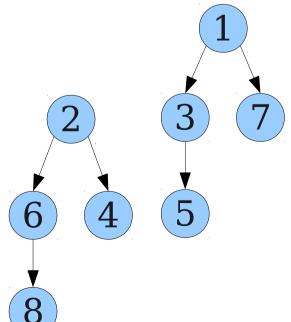
- What properties must our packets have?
  - Size must be a power of two.
  - Can efficiently fuse packets of the same size.
  - Can efficiently find the minimum element of each packet.
  - Can efficiently "fracture" a packet of  $2^k$  nodes into packets of 1, 2, 4, 8, ...,  $2^{k-1}$  nodes.

- What properties must our packets have?
  - Size must be a power of two. ✓
  - Can efficiently fuse packets of the same size.
  - · Can efficiently find the minimum element of each packet.
  - Can efficiently "fracture" a packet of  $2^k$  nodes into packets of 1, 2, 4, 8, ...,  $2^{k-1}$  nodes.

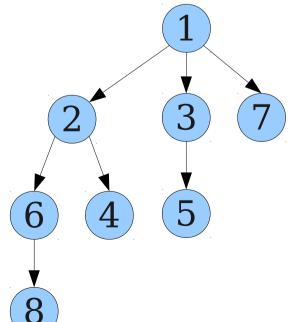
- What properties must our packets have?
  - Size must be a power of two. ✓
  - Can efficiently fuse packets of the same size.
  - Can efficiently find the minimum element of each packet.
  - Can efficiently "fracture" a packet of  $2^k$  nodes into packets of 1, 2, 4, 8, ...,  $2^{k-1}$  nodes.



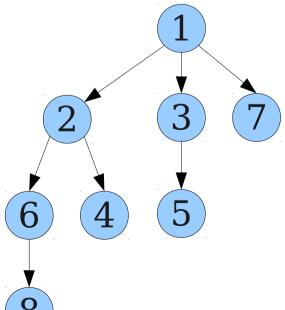
- What properties must our packets have?
  - Size must be a power of two. ✓
  - Can efficiently fuse packets of the same size.
  - Can efficiently find the minimum element of each packet.
  - Can efficiently "fracture" a packet of  $2^k$  nodes into packets of 1, 2, 4, 8, ...,  $2^{k-1}$  nodes.



- What properties must our packets have?
  - Size must be a power of two. ✓
  - Can efficiently fuse packets of the same size.
  - · Can efficiently find the minimum element of each packet.
  - Can efficiently "fracture" a packet of  $2^k$  nodes into packets of 1, 2, 4, 8, ...,  $2^{k-1}$  nodes.

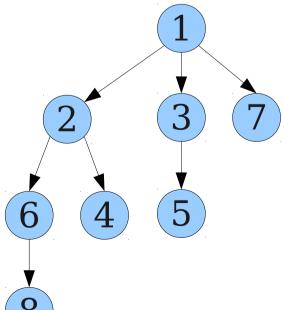


- What properties must our packets have?
  - Size must be a power of two. ✓
  - Can efficiently fuse packets of the same size.
  - · Can efficiently find the minimum element of each packet.
  - Can efficiently "fracture" a packet of  $2^k$  nodes into packets of 1, 2, 4, 8, ...,  $2^{k-1}$  nodes.



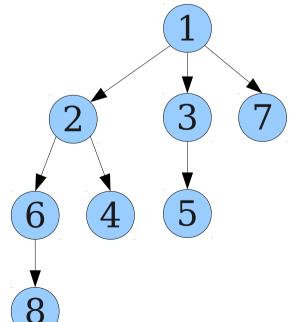
Make the binomial tree with the larger root the first child of the tree with the smaller root.

- What properties must our packets have?
  - Size must be a power of two. ✓
  - Can efficiently fuse packets of the same size. ✓
  - Can efficiently find the minimum element of each packet.
  - Can efficiently "fracture" a packet of  $2^k$  nodes into packets of 1, 2, 4, 8, ...,  $2^{k-1}$  nodes.

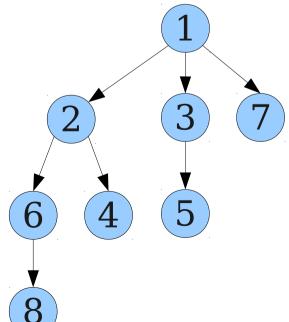


Make the binomial tree with the larger root the first child of the tree with the smaller root.

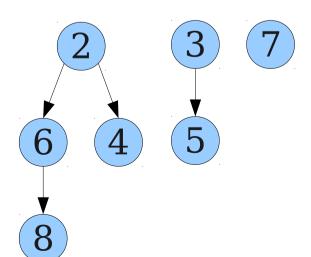
- What properties must our packets have?
  - Size must be a power of two. ✓
  - Can efficiently fuse packets of the same size. ✓
  - · Can efficiently find the minimum element of each packet.
  - Can efficiently "fracture" a packet of  $2^k$  nodes into packets of 1, 2, 4, 8, ...,  $2^{k-1}$  nodes.



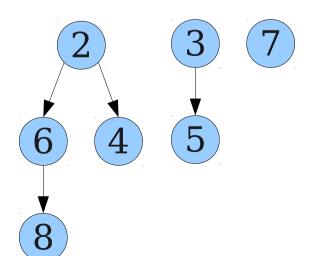
- What properties must our packets have?
  - Size must be a power of two. ✓
  - Can efficiently fuse packets of the same size.
  - Can efficiently find the minimum element of each packet. ✓
  - Can efficiently "fracture" a packet of  $2^k$  nodes into packets of 1, 2, 4, 8, ...,  $2^{k-1}$  nodes.



- What properties must our packets have?
  - Size must be a power of two. ✓
  - Can efficiently fuse packets of the same size.
  - Can efficiently find the minimum element of each packet. ✓
  - Can efficiently "fracture" a packet of  $2^k$  nodes into packets of 1, 2, 4, 8, ...,  $2^{k-1}$  nodes.

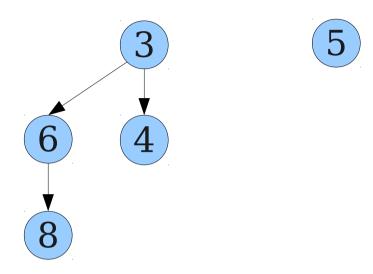


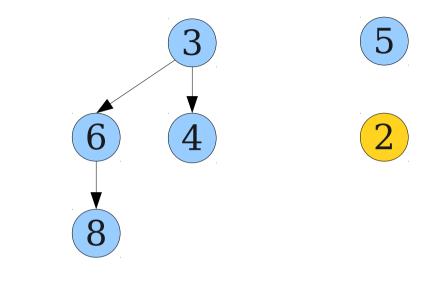
- What properties must our packets have?
  - Size must be a power of two. ✓
  - Can efficiently fuse packets of the same size. ✓
  - Can efficiently find the minimum element of each packet. ✓
  - Can efficiently "fracture" a packet of  $2^k$  nodes into packets of 1, 2, 4, 8, ...,  $2^{k-1}$  nodes.  $\checkmark$

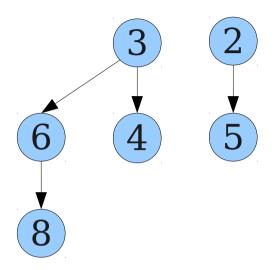


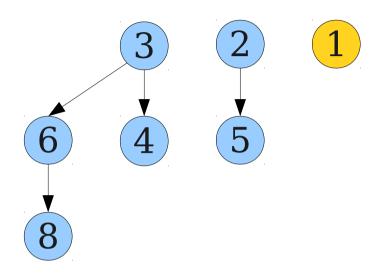
### The Binomial Heap

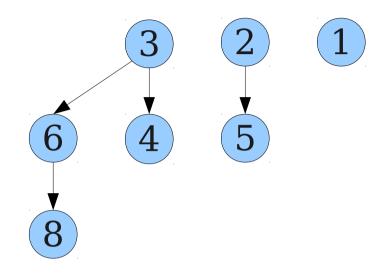
- A **binomial heap** is a collection of heap-ordered binomial trees stored in ascending order of size.
- Operations defined as follows:
  - $meld(pq_1, pq_2)$ : Use addition to combine all the trees.
    - Fuses  $O(\log n)$  trees. Total time:  $O(\log n)$ .
  - pq.enqueue(v, k): Meld pq and a singleton heap of (v, k).
    - Total time:  $O(\log n)$ .
  - *pq.find-min*(): Find the minimum of all tree roots.
    - Total time:  $O(\log n)$ .
  - pq.extract-min(): Find the min, delete the tree root, then meld together the queue and the exposed children.
    - Total time:  $O(\log n)$ .

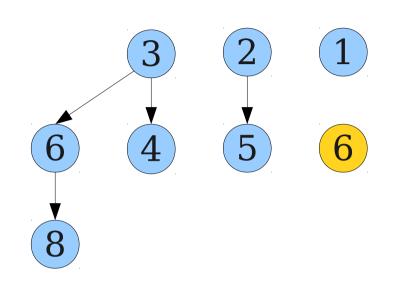


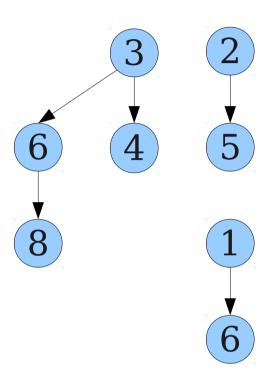


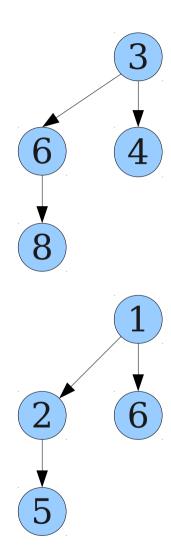


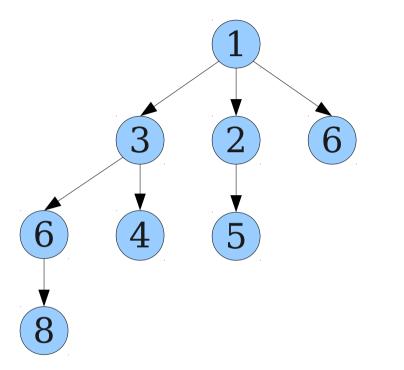


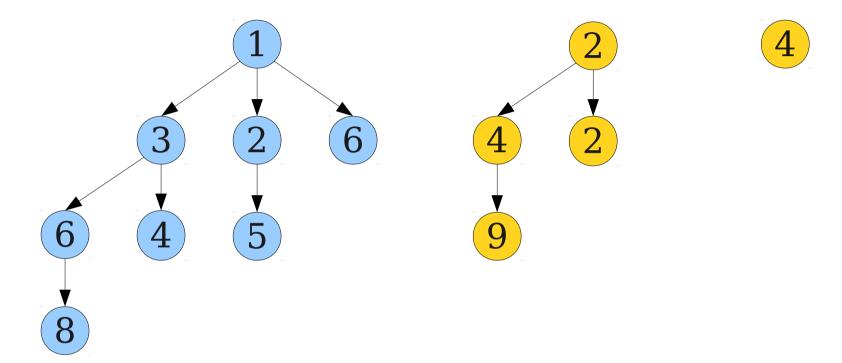


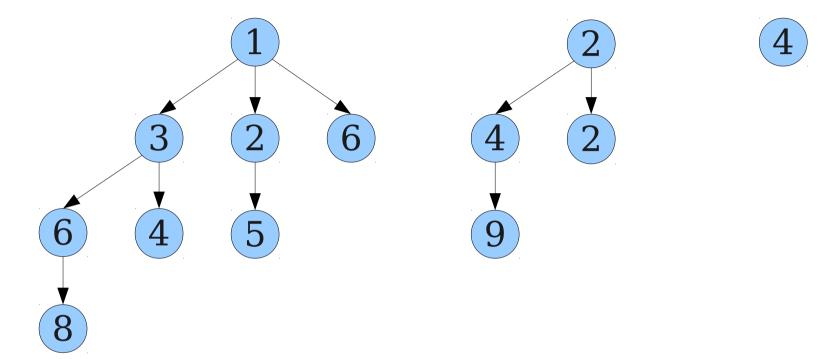


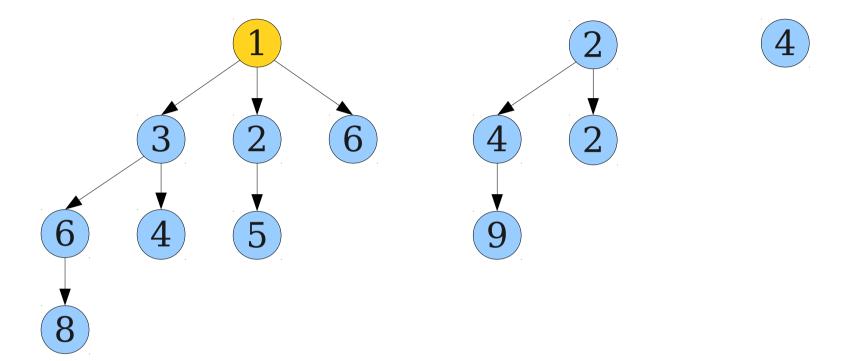


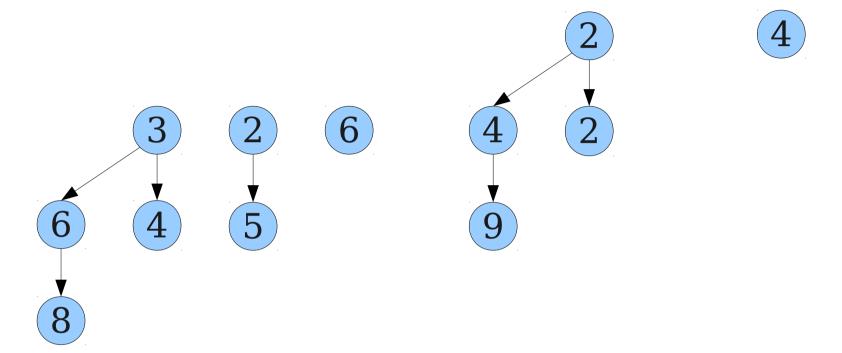


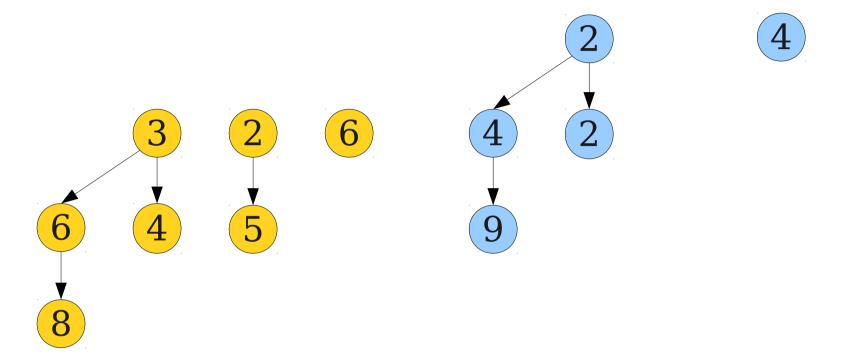


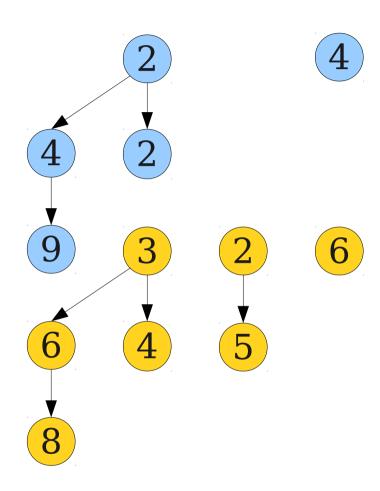


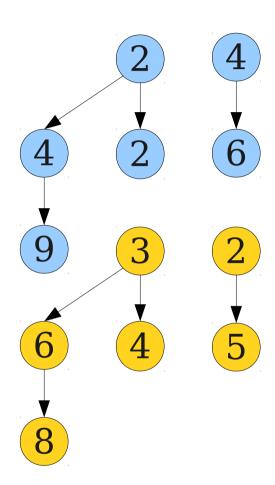


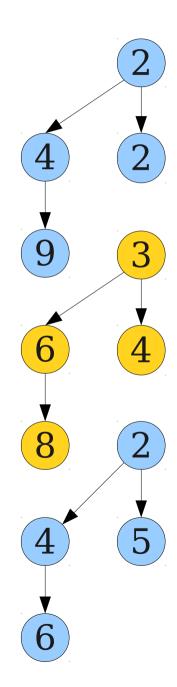


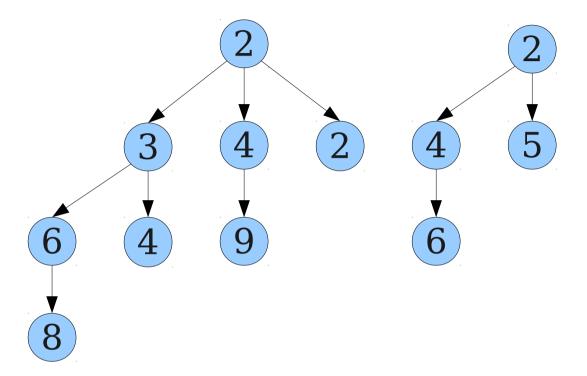












Time-Out for Announcements!

# Office Hours Update

- Keith's office hours are now moved to Gates 178 going forward – looks like we didn't actually have Hewlett 201 after lecture. <sup>©</sup>
- Thursday office hours changed from 7:30PM - 9:30PM, location TBA.
- As always, feel free to email us with questions!

#### Problem Set Two Graded

- Problem Set Two has been graded; will be returned at end of lecture.
- Rough solution sketches available up front!

#### Problem Set Three Clarification

- Many of you have questions about Q2 on Problem Set Three.
- For parts (iii) and (iv), assume the following:
  - The basic data structure can be constructed in worst-case time O(n).
  - The cost of a cut is worst-case  $O(\min\{|T_1|, |T_2|\})$ .
- You don't need to justify these facts. We're mostly interested in seeing your amortized analyses.

Your Questions

"What's a popular data structure in place of map for military purposes, where guaranteed time of operations are required?"

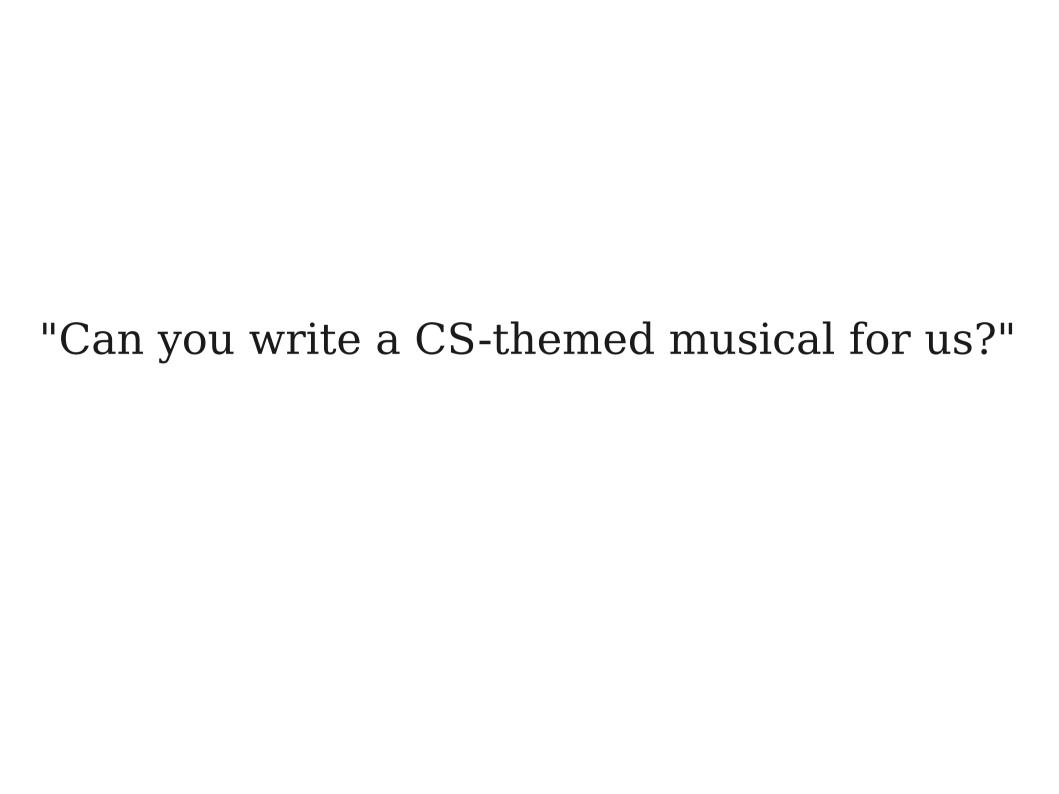
**Red/black trees** are the gold standard here – they've got excellent worst-case performance and support fast insertions and deletions.

Hash tables have *expected* O(1) operations, but that requires good hash functions. Search "HashDoS" for an attack on many programming languages' implementations of hash tables.

"How do you determine out of how many fewer points a problem set will be worth for people working alone vs. in pairs? Are you happy with how the optional pairs system has worked thus far?"

For PS1, about 25% the class worked in pairs. For PS2, about 50% of the class worked in pairs.

I'm hoping to encourage people to work in pairs without punishing people who choose not to. I'm still tuning the buffer amount.



"Can you	write a	CS-themed	musical	for 1	us?"
J					

I'm thinking Les Miserables could be adapted for CS.

#### "Can you write a CS-themed musical for us?"

I'm thinking *Les Miserables* could be adapted for CS. Some sample songs:

"Server in the Cloud"

#### "Can you write a CS-themed musical for us?"

I'm thinking *Les Miserables* could be adapted for CS. Some sample songs:

"Server in the Cloud"

"Red and Black"

#### "Can you write a CS-themed musical for us?"

I'm thinking *Les Miserables* could be adapted for CS. Some sample songs:

"Server in the Cloud"

"Red and Black"

"Do you Hear the Balanced Tree?"

Back to CS166!

# Analyzing Insertions

- Each *enqueue* into a binomial heap takes time O(log *n*), since we have to meld the new node into the rest of the trees.
- However, it turns out that the amortized cost of an insertion is lower in the case where we do a series of *n* insertions.

- Suppose we want to execute n++ on the binary representation of n.
- Do the following:
  - Find the longest span of 1's at the right side of n.
  - Flip those 1's to 0's.
  - Set the preceding bit to 1.

1 0 1 1 0

- Suppose we want to execute n++ on the binary representation of n.
- Do the following:
  - Find the longest span of 1's at the right side of n.
  - Flip those 1's to 0's.
  - Set the preceding bit to 1.

1 0 1 1 1

- Suppose we want to execute n++ on the binary representation of n.
- Do the following:
  - Find the longest span of 1's at the right side of *n*.
  - Flip those 1's to 0's.
  - Set the preceding bit to 1.



- Suppose we want to execute n++ on the binary representation of n.
- Do the following:
  - Find the longest span of 1's at the right side of n.
  - Flip those 1's to 0's.
  - Set the preceding bit to 1.



- Suppose we want to execute n++ on the binary representation of n.
- Do the following:
  - Find the longest span of 1's at the right side of *n*.
  - Flip those 1's to 0's.
  - Set the preceding bit to 1.

1 1 0 0 0

- Suppose we want to execute n++ on the binary representation of n.
- Do the following:
  - Find the longest span of 1's at the right side of *n*.
  - Flip those 1's to 0's.
  - Set the preceding bit to 1.

1 1 0 0 1

- Suppose we want to execute n++ on the binary representation of n.
- Do the following:
  - Find the longest span of 1's at the right side of n.
  - Flip those 1's to 0's.
  - Set the preceding bit to 1.



- Suppose we want to execute n++ on the binary representation of n.
- Do the following:
  - Find the longest span of 1's at the right side of n.
  - Flip those 1's to 0's.
  - Set the preceding bit to 1.

1 1 0 1 0

- Suppose we want to execute n++ on the binary representation of n.
- Do the following:
  - Find the longest span of 1's at the right side of n.
  - Flip those 1's to 0's.
  - Set the preceding bit to 1.
- Runtime:  $\Theta(b)$ , where b is the number of bits flipped.

- Claim: Starting at zero, the amortized cost of adding one to the total is O(1).
- **Idea:** Use as a potential function the number of 1's in the number.

$$\Phi = 0 \quad 0 \quad 0 \quad 0 \quad 0$$

- Claim: Starting at zero, the amortized cost of adding one to the total is O(1).
- Idea: Use as a potential function the number of 1's in the number.

$$\Phi = 1 \quad 0 \quad 0 \quad 0 \quad 1$$

- Claim: Starting at zero, the amortized cost of adding one to the total is O(1).
- **Idea:** Use as a potential function the number of 1's in the number.

 $\Phi = 1 \quad 0 \quad 0 \quad 0 \quad 1$ 

Actual cost: 1

 $\Delta\Phi$ : +1

Amortized cost: 2

- Claim: Starting at zero, the amortized cost of adding one to the total is O(1).
- **Idea:** Use as a potential function the number of 1's in the number.



- Claim: Starting at zero, the amortized cost of adding one to the total is O(1).
- Idea: Use as a potential function the number of 1's in the number.

$$\Phi = 0 \quad 0 \quad 0 \quad 0$$

- Claim: Starting at zero, the amortized cost of adding one to the total is O(1).
- Idea: Use as a potential function the number of 1's in the number.



- Claim: Starting at zero, the amortized cost of adding one to the total is O(1).
- Idea: Use as a potential function the number of 1's in the number.



- Claim: Starting at zero, the amortized cost of adding one to the total is O(1).
- **Idea:** Use as a potential function the number of 1's in the number.

$$\Phi = 1 \quad 0 \quad 0 \quad 1 \quad 0$$

- Claim: Starting at zero, the amortized cost of adding one to the total is O(1).
- **Idea:** Use as a potential function the number of 1's in the number.

 $\Phi = 1 \quad 0 \quad 0 \quad 1 \quad 0$ 

Actual cost: 2

 $\Phi: 0$ 

Amortized cost: 2

- Claim: Starting at zero, the amortized cost of adding one to the total is O(1).
- Idea: Use as a potential function the number of 1's in the number.

$$\Phi = 2 \quad 0 \quad 0 \quad 1 \quad 1$$

- Claim: Starting at zero, the amortized cost of adding one to the total is O(1).
- **Idea:** Use as a potential function the number of 1's in the number.

 $\Phi = 2 \quad 0 \quad 0 \quad 1 \quad 1$ 

Actual cost: 1

∆Ф: 1

Amortized cost: 2

- Claim: Starting at zero, the amortized cost of adding one to the total is O(1).
- Idea: Use as a potential function the number of 1's in the number.



- Claim: Starting at zero, the amortized cost of adding one to the total is O(1).
- Idea: Use as a potential function the number of 1's in the number.



- Claim: Starting at zero, the amortized cost of adding one to the total is O(1).
- Idea: Use as a potential function the number of 1's in the number.



- Claim: Starting at zero, the amortized cost of adding one to the total is O(1).
- Idea: Use as a potential function the number of 1's in the number.



- Claim: Starting at zero, the amortized cost of adding one to the total is O(1).
- Idea: Use as a potential function the number of 1's in the number.



- Claim: Starting at zero, the amortized cost of adding one to the total is O(1).
- Idea: Use as a potential function the number of 1's in the number.



- Claim: Starting at zero, the amortized cost of adding one to the total is O(1).
- **Idea:** Use as a potential function the number of 1's in the number.

$$\Phi = 1 \quad 0 \quad 0 \quad 1 \quad 0 \quad 0$$

- Claim: Starting at zero, the amortized cost of adding one to the total is O(1).
- Idea: Use as a potential function the number of 1's in the number.

 $\Phi = 1 \quad 0 \quad 0 \quad 1 \quad 0 \quad 0$ 

Actual cost: 3

∆Ф: -1

Amortized cost: 2

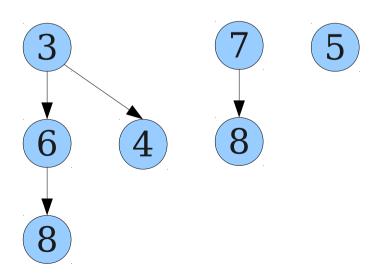
## Properties of Binomial Heaps

- Starting with an empty binomial heap, the amortized cost of each insertion into the heap is O(1), assuming there are no deletions.
- Rationale: Binomial heap operations are isomorphic to integer arithmetic.
- Since the amortized cost of incrementing a binary counter starting at zero is O(1), the amortized cost of enqueuing into an initially empty binomial heap is O(1).

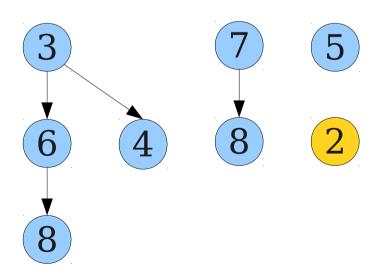
# Binomial vs Binary Heaps

- Interesting comparison:
  - The cost of inserting n elements into a binary heap, one after the other, is  $\Theta(n \log n)$  in the worst-case.
  - If n is known in advance, a binary heap can be constructed out of n elements in time  $\Theta(n)$ .
  - The cost of inserting n elements into a binomial heap, one after the other, is  $\Theta(n)$ , even if n is not known in advance!

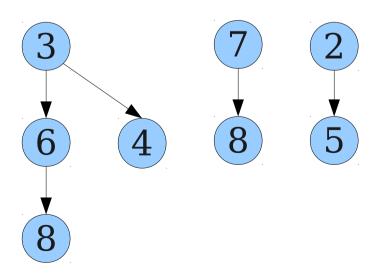
- This amortized time bound does not hold if enqueue and extract-min are intermixed.
- **Intuition**: Can force expensive insertions to happen repeatedly.



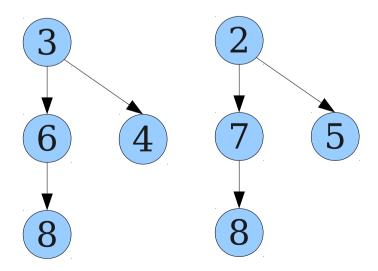
- This amortized time bound does not hold if enqueue and extract-min are intermixed.
- **Intuition**: Can force expensive insertions to happen repeatedly.



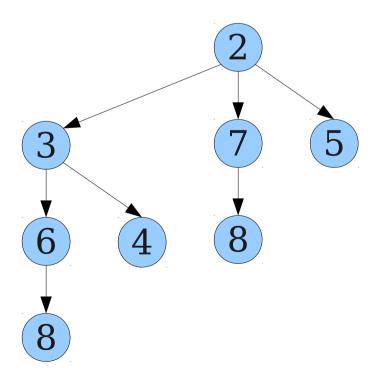
- This amortized time bound does not hold if enqueue and extract-min are intermixed.
- **Intuition**: Can force expensive insertions to happen repeatedly.



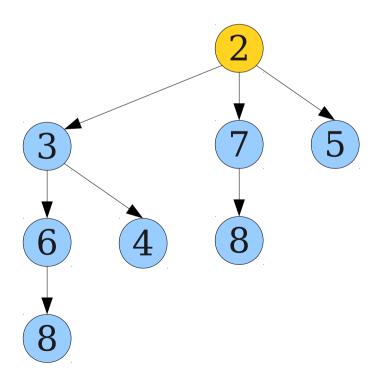
- This amortized time bound does not hold if enqueue and extract-min are intermixed.
- **Intuition**: Can force expensive insertions to happen repeatedly.



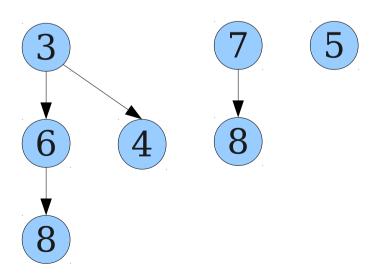
- This amortized time bound does not hold if enqueue and extract-min are intermixed.
- Intuition: Can force expensive insertions to happen repeatedly.



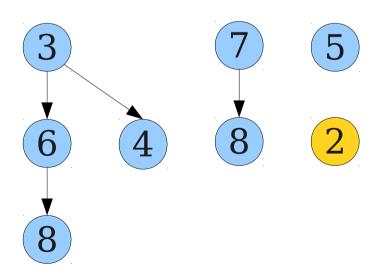
- This amortized time bound does not hold if enqueue and extract-min are intermixed.
- Intuition: Can force expensive insertions to happen repeatedly.



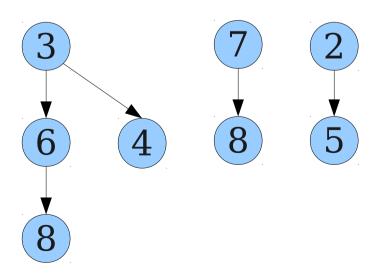
- This amortized time bound does not hold if enqueue and extract-min are intermixed.
- **Intuition**: Can force expensive insertions to happen repeatedly.



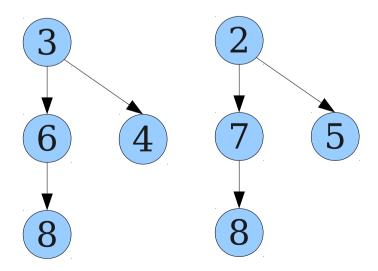
- This amortized time bound does not hold if enqueue and extract-min are intermixed.
- **Intuition**: Can force expensive insertions to happen repeatedly.



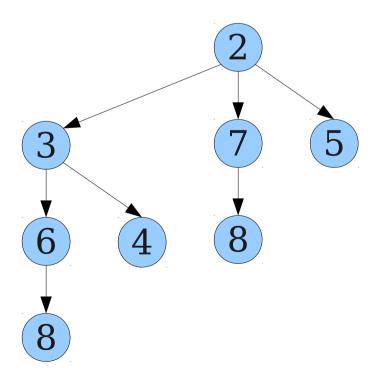
- This amortized time bound does not hold if enqueue and extract-min are intermixed.
- **Intuition**: Can force expensive insertions to happen repeatedly.



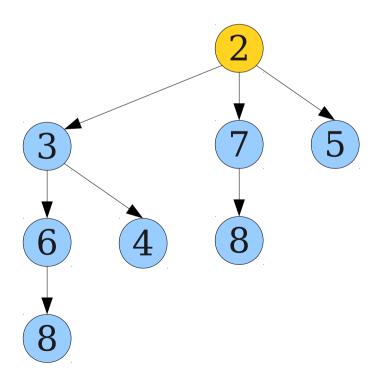
- This amortized time bound does not hold if enqueue and extract-min are intermixed.
- **Intuition**: Can force expensive insertions to happen repeatedly.



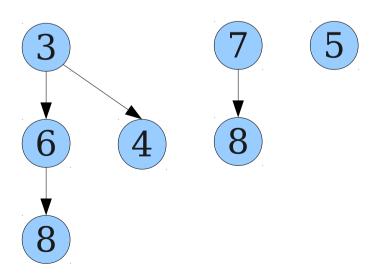
- This amortized time bound does not hold if enqueue and extract-min are intermixed.
- Intuition: Can force expensive insertions to happen repeatedly.



- This amortized time bound does not hold if enqueue and extract-min are intermixed.
- Intuition: Can force expensive insertions to happen repeatedly.



- This amortized time bound does not hold if enqueue and extract-min are intermixed.
- **Intuition**: Can force expensive insertions to happen repeatedly.



Question: Can we make insertions amortized O(1), regardless of whether we do deletions?

### Where's the Cost?

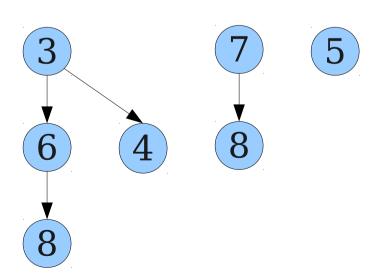
- Why does *enqueue* take time  $O(\log n)$ ?
- **Answer**: May have to combine together O(log *n*) different binomial trees together into a single tree.
- New Question: What happens if we don't combine trees together?
- That is, what if we just add a new singleton tree to the list?

# Lazy Melding

 More generally, consider the following lazy melding approach:

To meld together two binomial heaps, just combine the two sets of trees together.

• If we assume the trees are stored in doubly-linked lists, this can be done in time O(1).

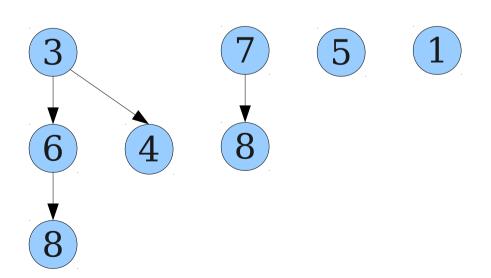


# Lazy Melding

 More generally, consider the following lazy melding approach:

To meld together two binomial heaps, just combine the two sets of trees together.

• If we assume the trees are stored in doubly-linked lists, this can be done in time O(1).

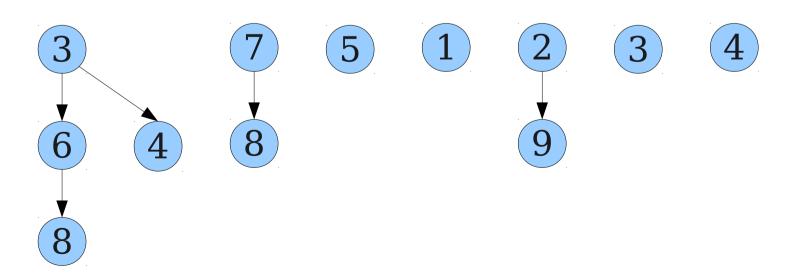


# Lazy Melding

 More generally, consider the following lazy melding approach:

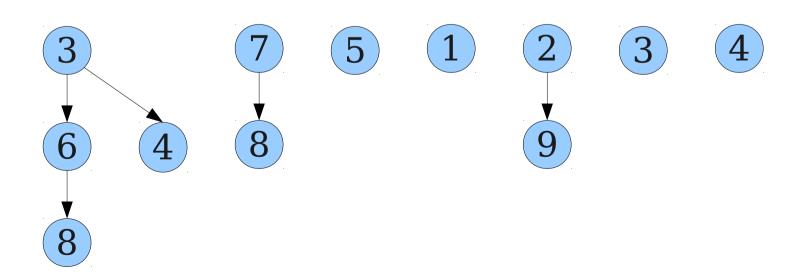
To meld together two binomial heaps, just combine the two sets of trees together.

• If we assume the trees are stored in doubly-linked lists, this can be done in time O(1).



### The Catch: Part One

- When we use eager melding, the number of trees is  $O(\log n)$ .
- Therefore, find-min runs in time  $O(\log n)$ .
- **Problem:** *find-min* no longer runs in time  $O(\log n)$  because there can be  $\Theta(n)$  trees.

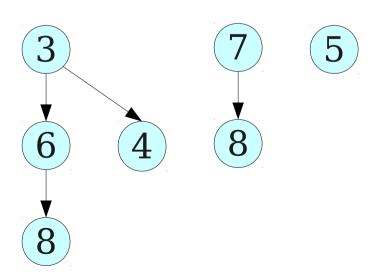


#### A Solution

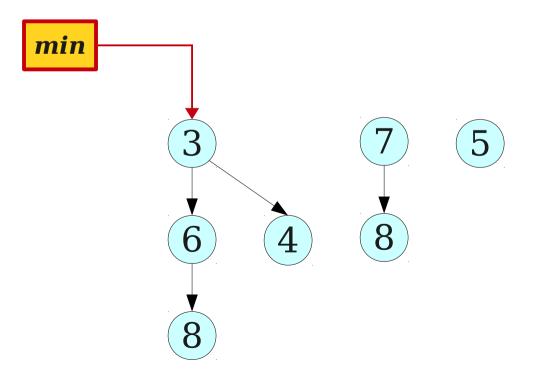
- Have the binomial heap store a pointer to the minimum element.
- Can be updated in time O(1) after doing a meld by comparing the minima of the two heaps.

### A Solution

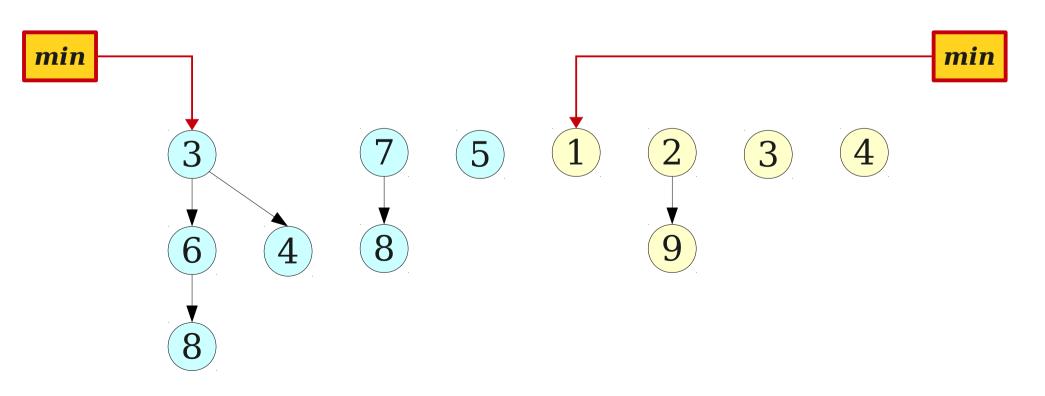
- Have the binomial heap store a pointer to the minimum element.
- Can be updated in time O(1) after doing a meld by comparing the minima of the two heaps.



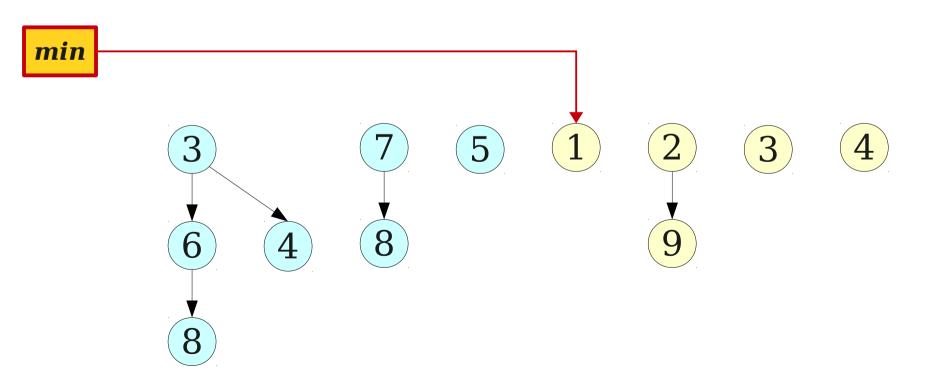
- Have the binomial heap store a pointer to the minimum element.
- Can be updated in time O(1) after doing a meld by comparing the minima of the two heaps.



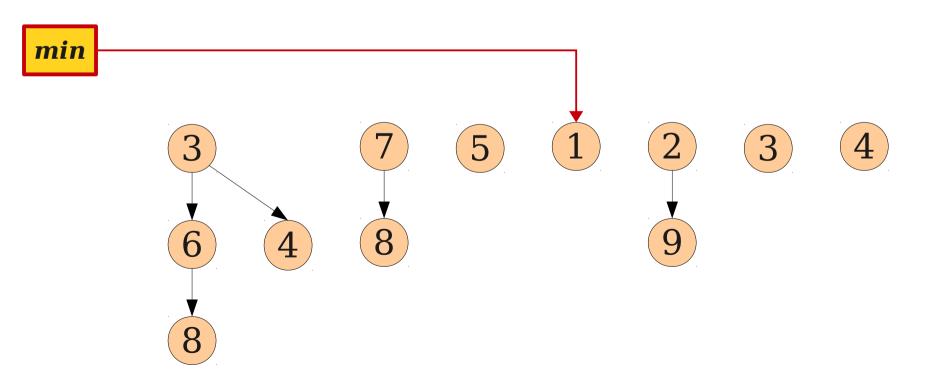
- Have the binomial heap store a pointer to the minimum element.
- Can be updated in time O(1) after doing a meld by comparing the minima of the two heaps.



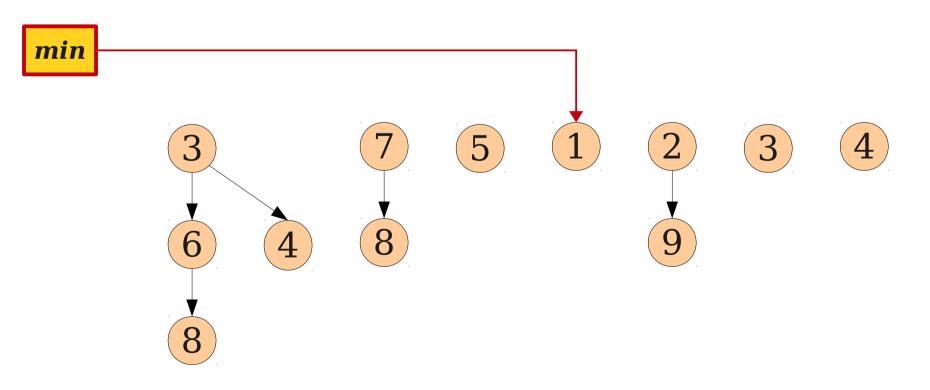
- Have the binomial heap store a pointer to the minimum element.
- Can be updated in time O(1) after doing a meld by comparing the minima of the two heaps.



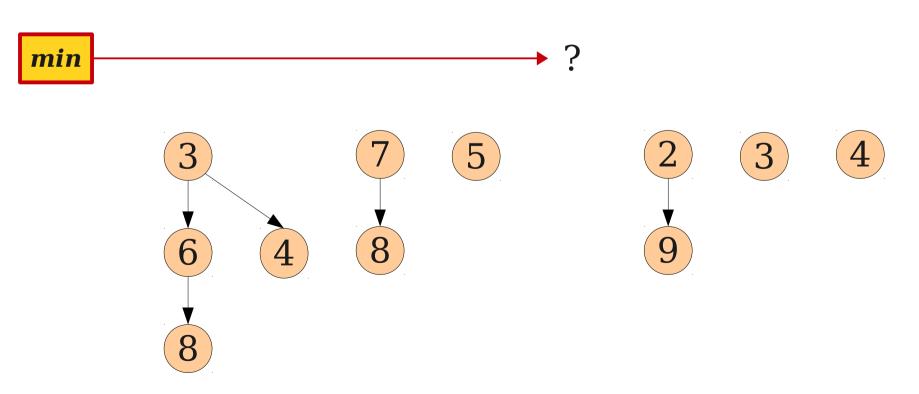
- Have the binomial heap store a pointer to the minimum element.
- Can be updated in time O(1) after doing a meld by comparing the minima of the two heaps.



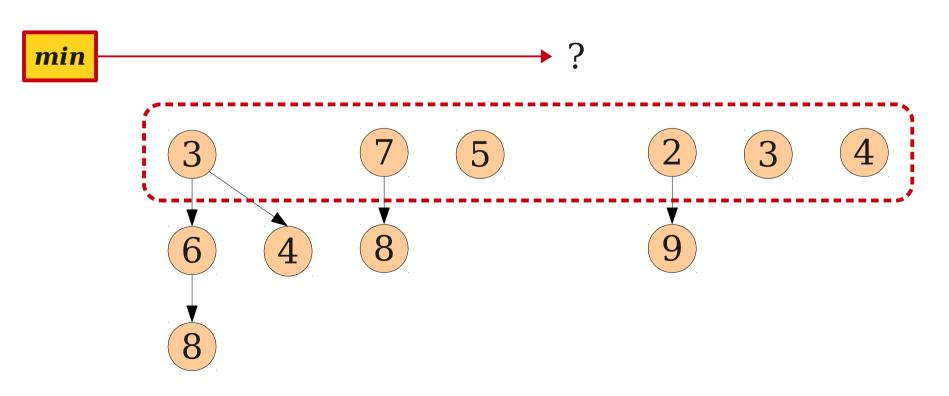
- Even with a pointer to the minimum, deletions might now run in time  $\Theta(n)$ .
- Rationale: Need to update the pointer to the minimum.



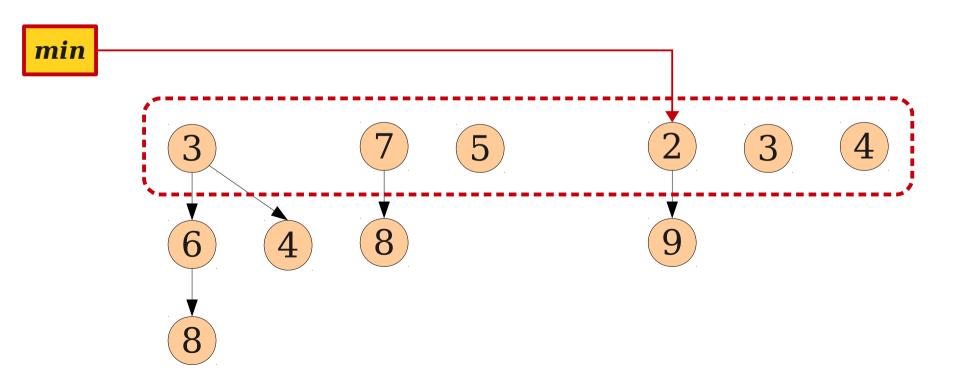
- Even with a pointer to the minimum, deletions might now run in time  $\Theta(n)$ .
- Rationale: Need to update the pointer to the minimum.



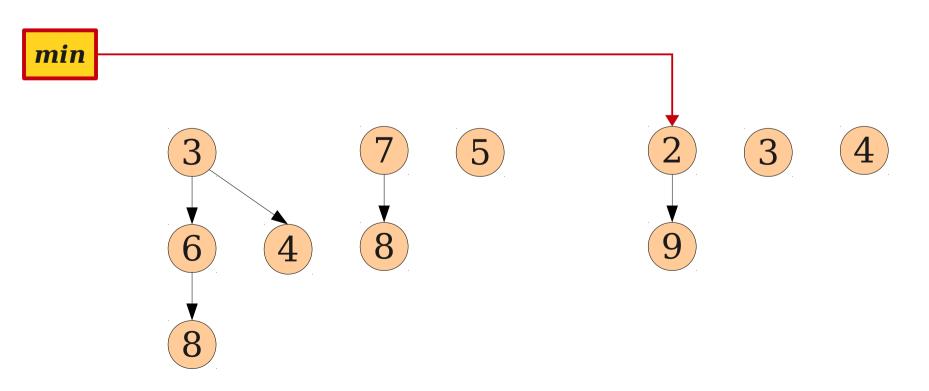
- Even with a pointer to the minimum, deletions might now run in time  $\Theta(n)$ .
- Rationale: Need to update the pointer to the minimum.



- Even with a pointer to the minimum, deletions might now run in time  $\Theta(n)$ .
- Rationale: Need to update the pointer to the minimum.



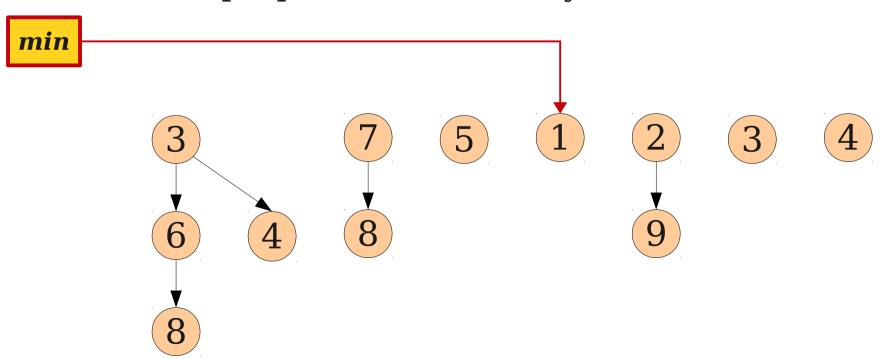
- Even with a pointer to the minimum, deletions might now run in time  $\Theta(n)$ .
- Rationale: Need to update the pointer to the minimum.



### Resolving the Issue

- Idea: When doing an *extract-min*, coalesce all of the trees so that there's at most one tree of each order.
- Intuitively:
  - The number of trees in a heap grows slowly (only during an insert or meld).
  - The number of trees in a heap drops rapidly after coalescing (down to  $O(\log n)$ ).
  - Can backcharge the work done during an extract-min to enqueue or meld.

- Our eager melding algorithm assumes that
  - there is either zero or one tree of each order, and that
  - the trees are stored in ascending order.
- Challenge: When coalescing trees in this case, neither of these properties necessarily hold.



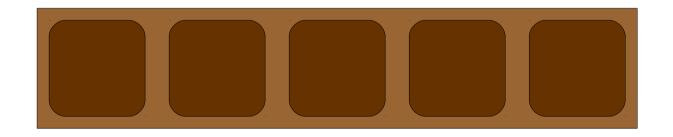
• Let's turn back to arithmetic to get an intuition for how to solve this problem.

4 2 8 2 1 1 1

 Let's turn back to arithmetic to get an intuition for how to solve this problem.

Sum: **19** 

Bits Needed: 5



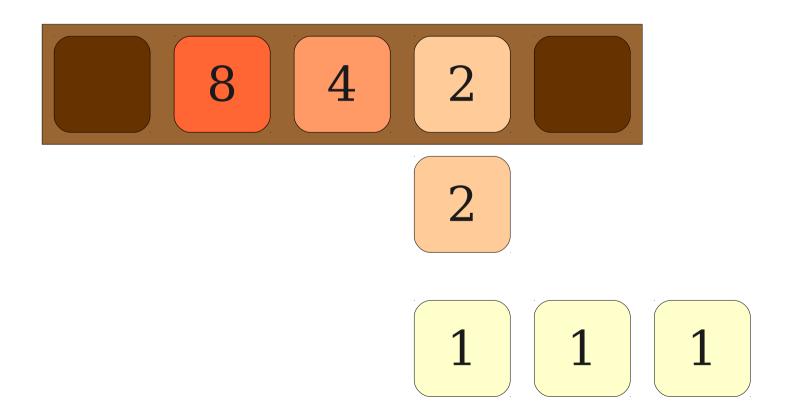


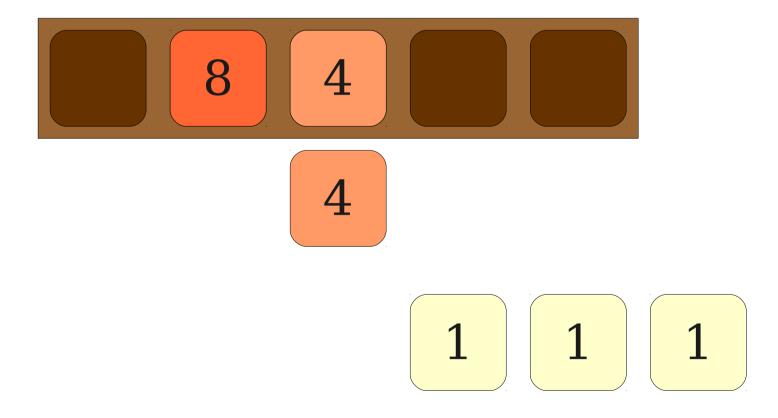
• Let's turn back to arithmetic to get an intuition for how to solve this problem.

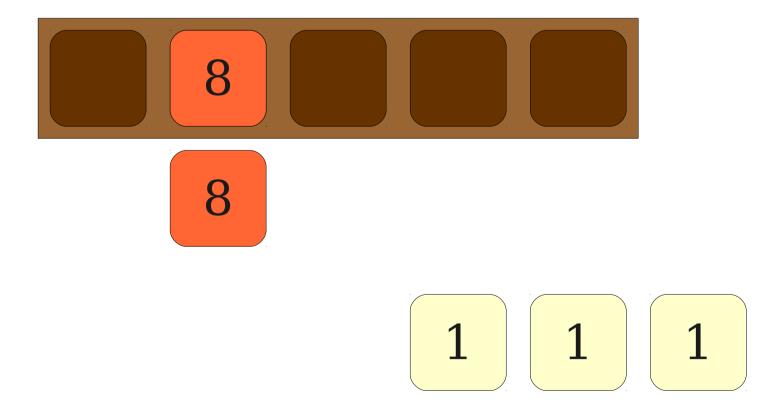


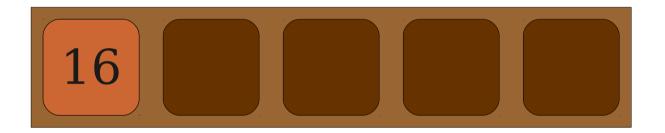
8 2 1 1









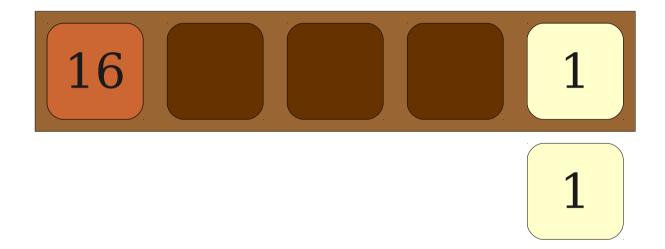


• Let's turn back to arithmetic to get an intuition for how to solve this problem.

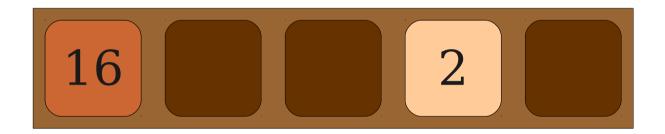


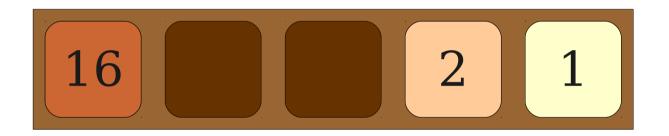
1

• Let's turn back to arithmetic to get an intuition for how to solve this problem.



1





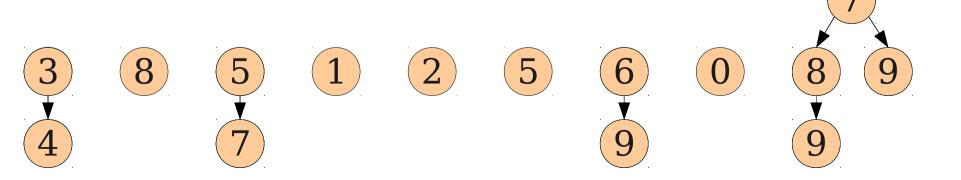
• Let's turn back to arithmetic to get an intuition for how to solve this problem.

16 2 1

- Compute the number of bits necessary to hold the sum.
  - Only  $O(\log n)$  bits are needed.
- Create an array of that size, initially empty.
- For each packet:
  - If there is no packet of that size, place the packet in the array at that spot.
  - If there is a packet of that size:
    - Fuse the two packets together.
    - Recursively add the new packet back into the array.

#### Now With Trees!

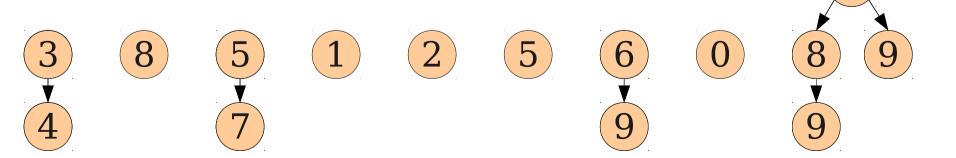
- Compute the number of *trees* necessary to hold the *nodes*.
  - Only  $O(\log n)$  *trees* are needed.
- Create an array of that size, initially empty.
- For each *tree*:
  - If there is no *tree* of that size, place the *tree* in the array at that spot.
  - If there is a *tree* of that size:
    - Fuse the two *trees* together.
    - Recursively add the new *tree* back into the array.



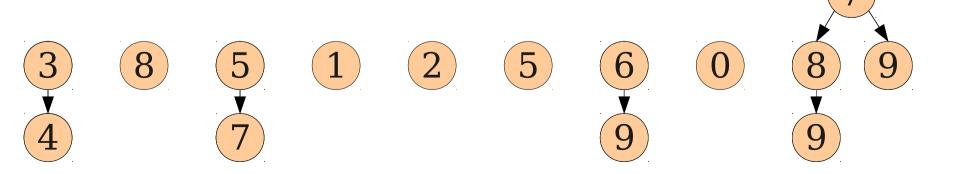
#### Total number of nodes: 15

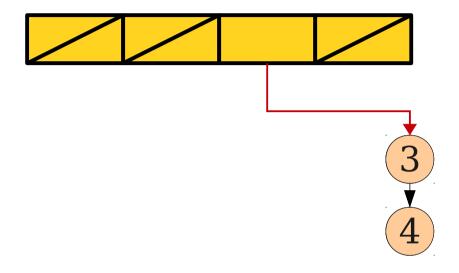
(Can compute in time  $\Theta(T)$ , where T is the number of trees, if each tree is tagged with its order)

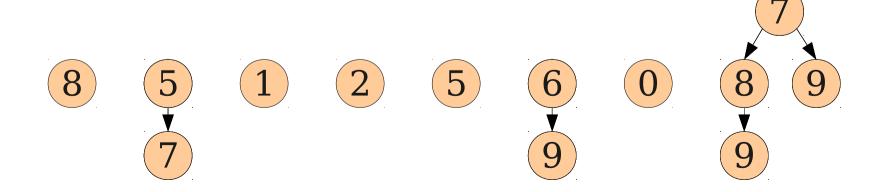
Bits needed: 4

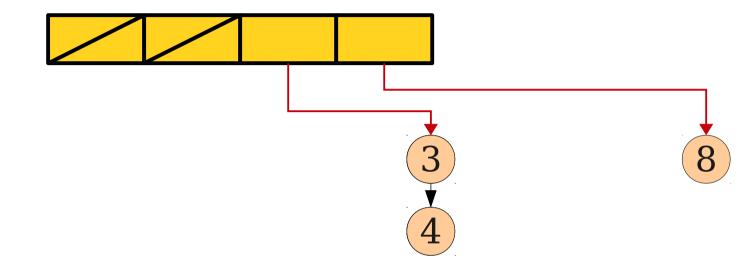


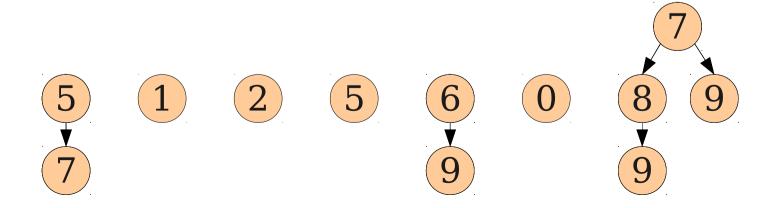


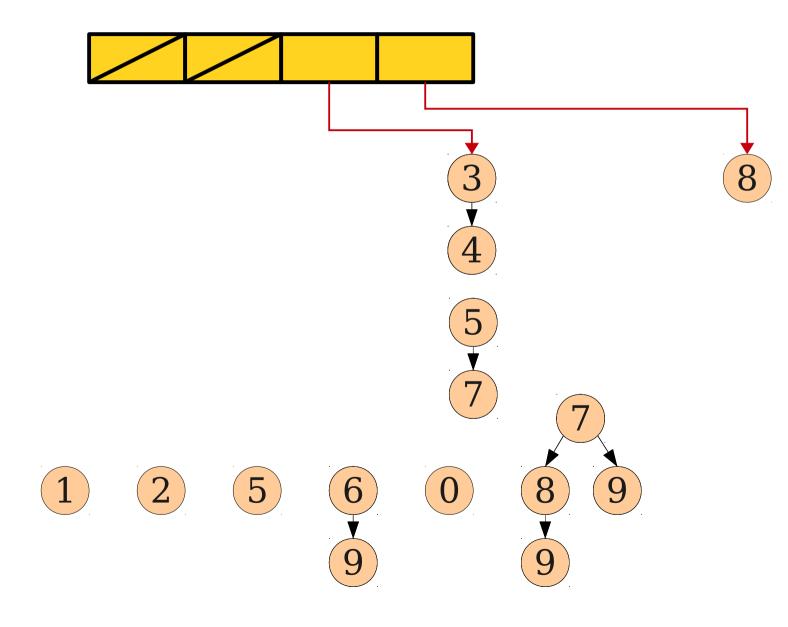


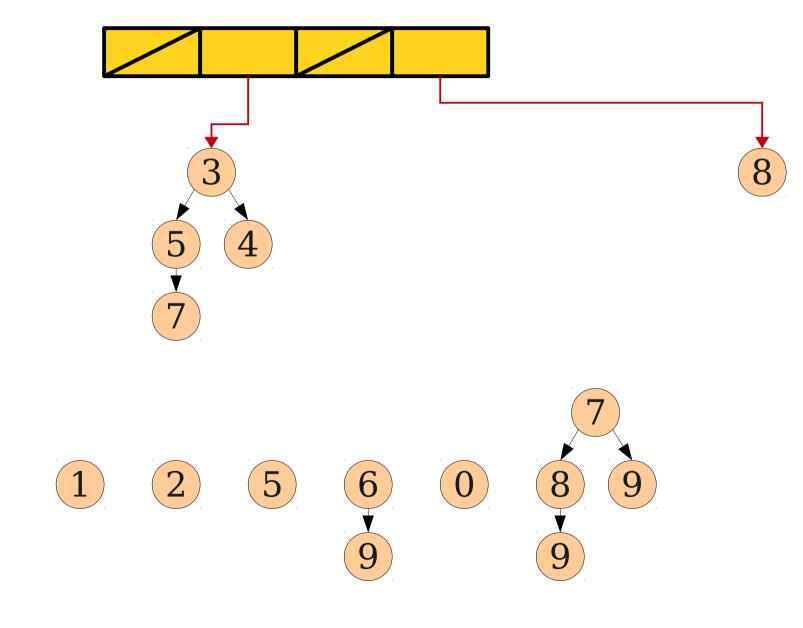


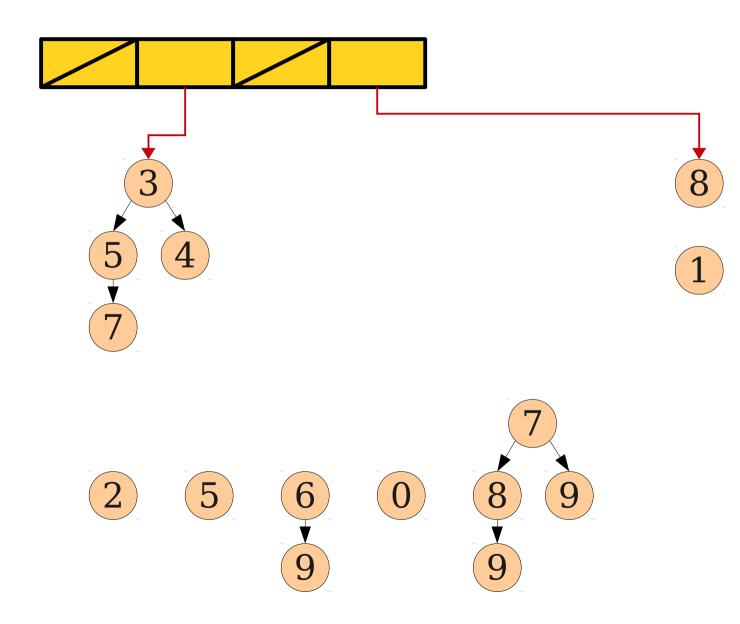


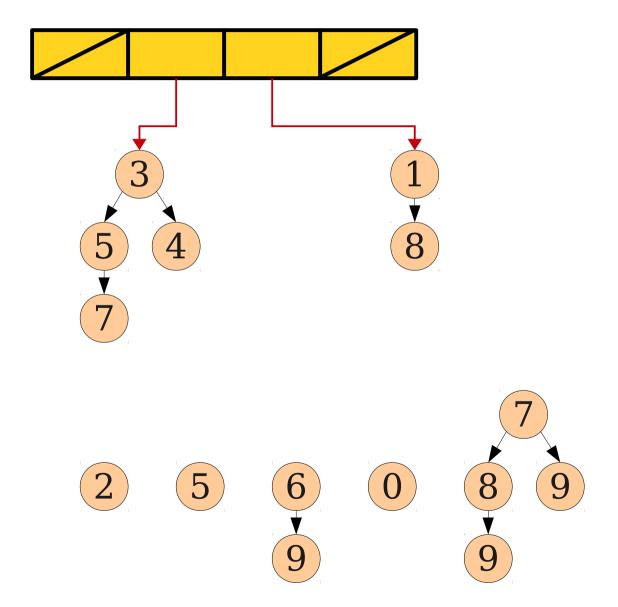


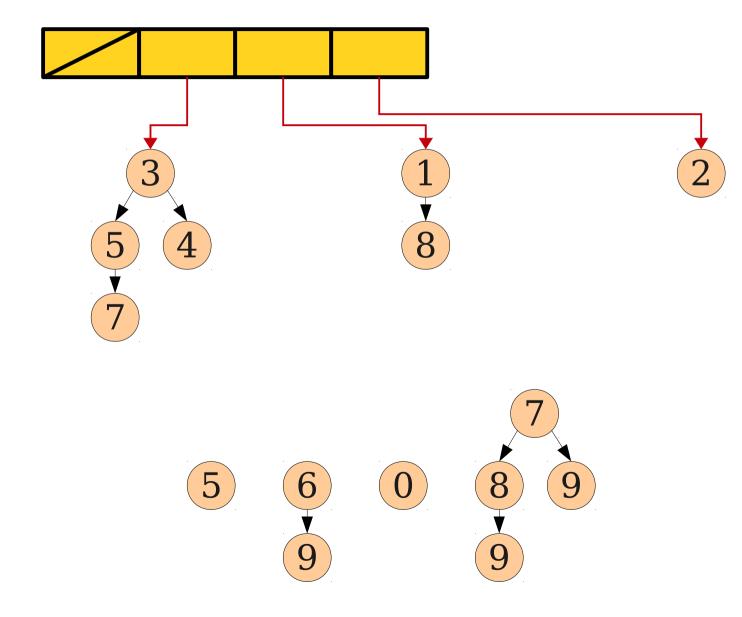


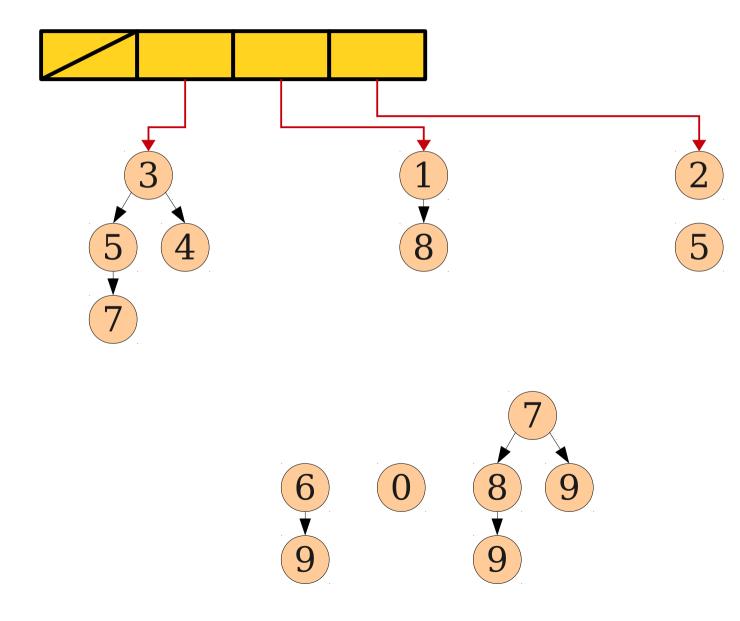


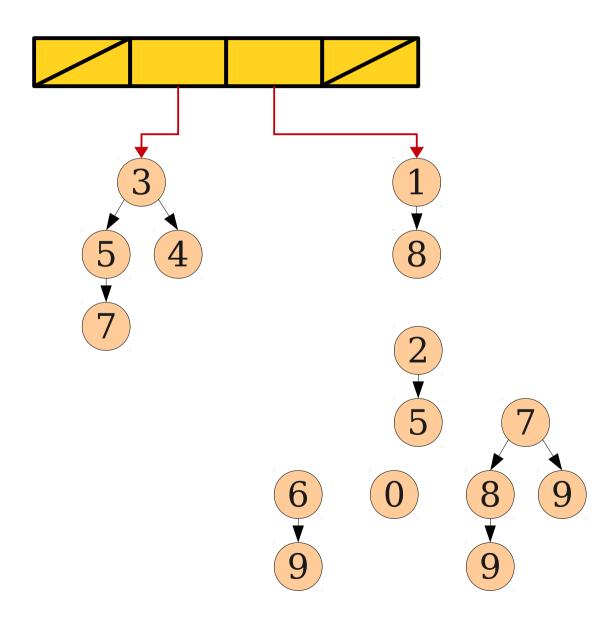


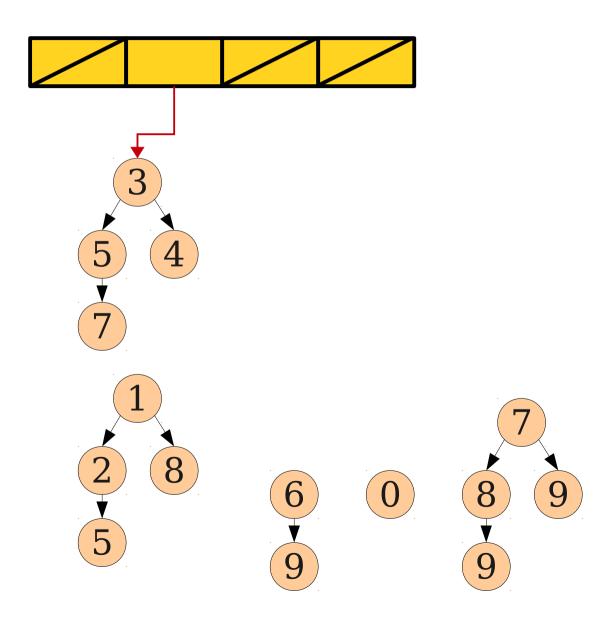


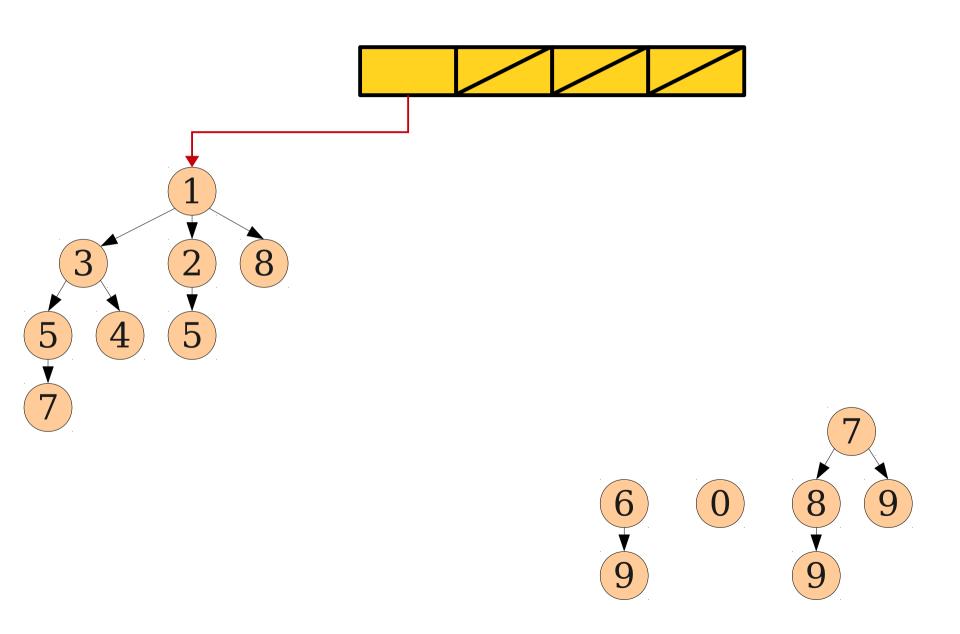


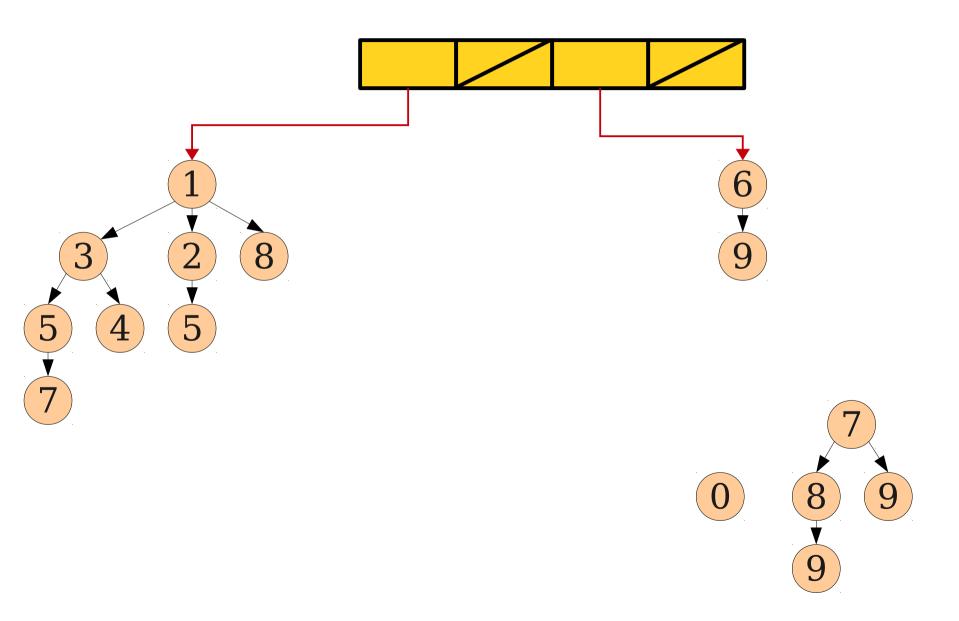


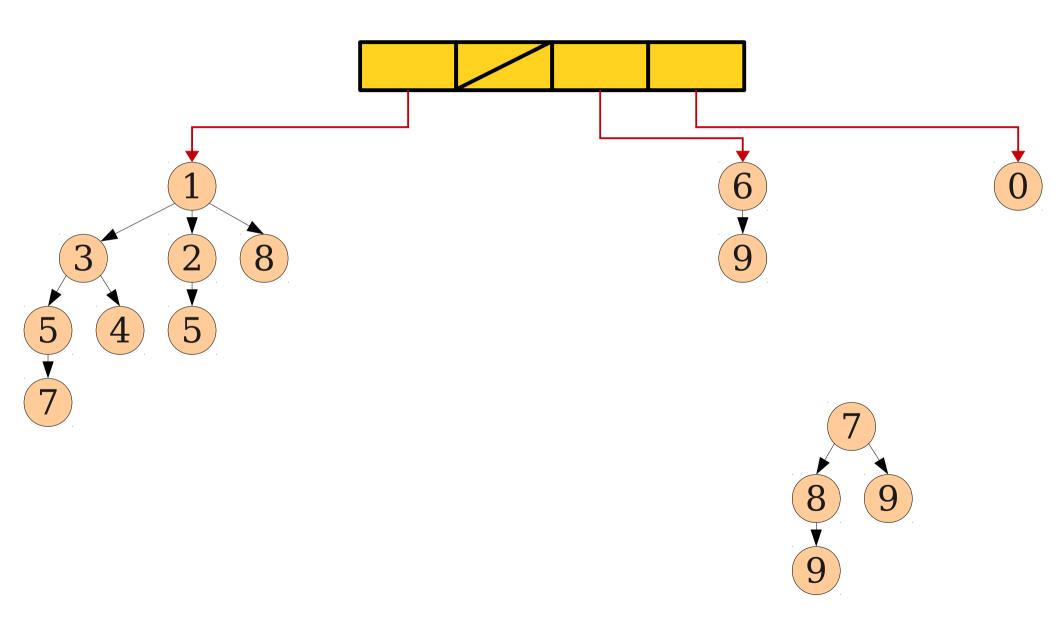


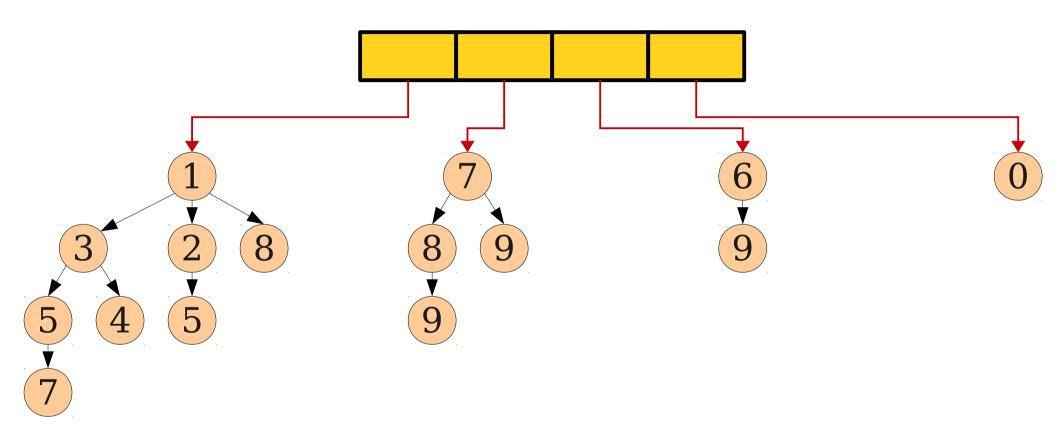












#### Analyzing Coalesce

- Suppose there are *T* trees.
- We spend  $\Theta(T)$  work iterating across the main list of trees twice:
  - Pass one: Count up number of nodes (if each tree stores its order, this takes time  $\Theta(T)$ ).
  - Pass two: Place each node into the array.
- Each merge takes time O(1).
- The number of merges is O(T).
- Total work done:  $\Theta(T)$ .
- In the worst case, this is O(n).

#### The Story So Far

- A binomial heap with lazy melding has these worst-case time bounds:
  - **enqueue**: O(1)
  - **meld**: O(1)
  - *find-min*: O(1)
  - extract-min: O(n).
- These are *worst-case* time bounds. What about an *amortized* time bounds?

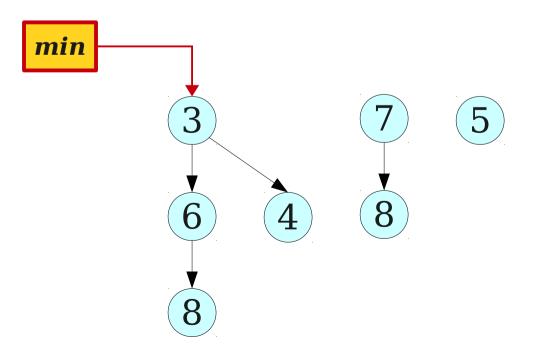
#### An Observation

- The expensive step here is *extract-min*, which runs in time proportional to the number of trees.
- Each tree can be traced back to one of three sources:
  - An enqueue.
  - A *meld* with another heap.
  - A tree exposed by an *extract-min*.
- Let's use an amortized analysis to shift the blame for the *extract-min* performance to other operations.

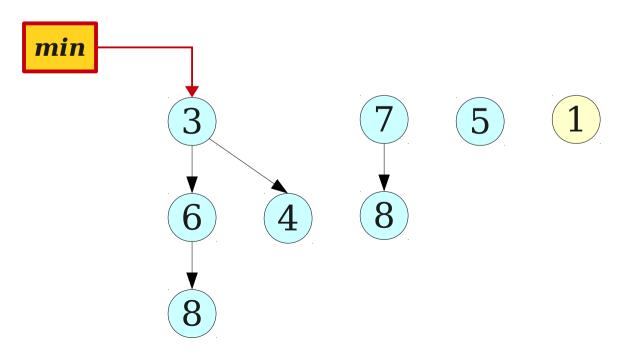
#### The Potential Method

- We will use the potential method in this analysis.
- When analyzing insertions with eager merges, we set  $\Phi(D)$  to be the number of trees in D.
- Let's see what happens if we use this  $\Phi$  here.

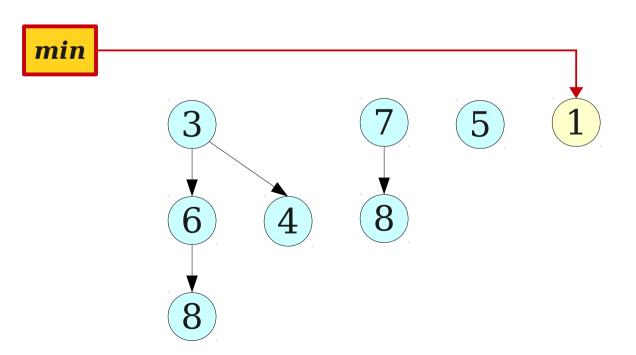
• To *enqueue* a key, we add a new binomial tree to the forest and possibly update the *min* pointer.



• To *enqueue* a key, we add a new binomial tree to the forest and possibly update the *min* pointer.



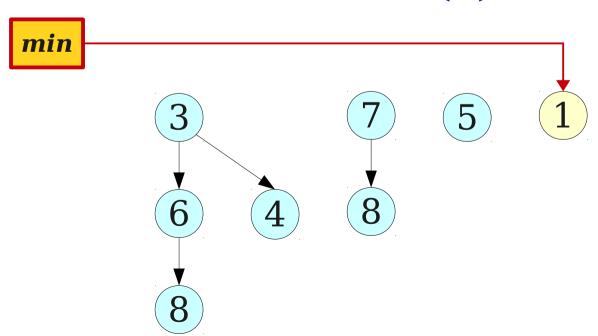
• To *enqueue* a key, we add a new binomial tree to the forest and possibly update the *min* pointer.



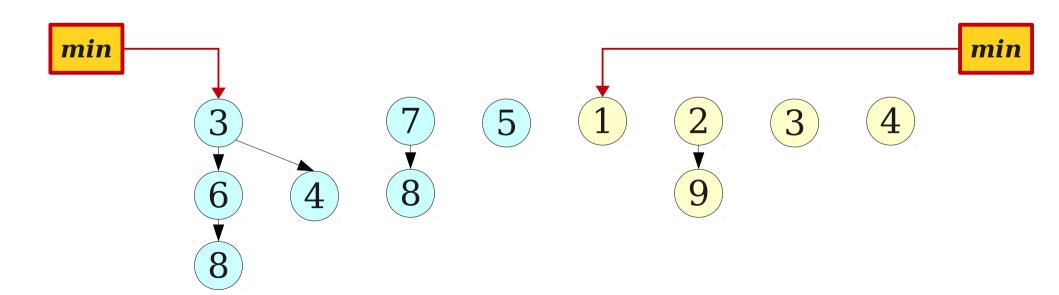
• To *enqueue* a key, we add a new binomial tree to the forest and possibly update the *min* pointer.

Actual time: O(1).  $\Delta\Phi$ : +1

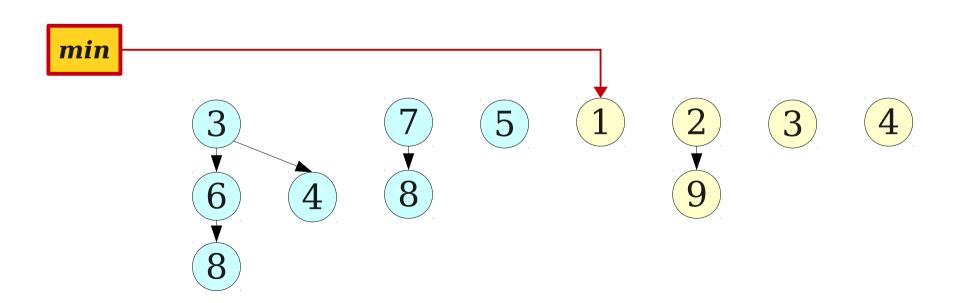
Amortized time: O(1).



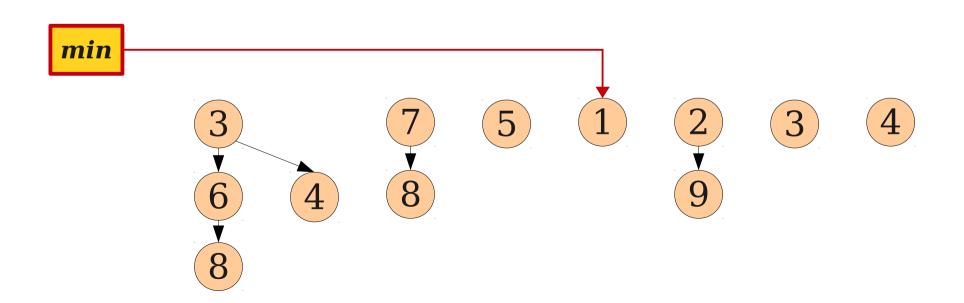
• Suppose that we **meld** two lazy binomial heaps  $B_1$  and  $B_2$ . Actual cost: O(1).



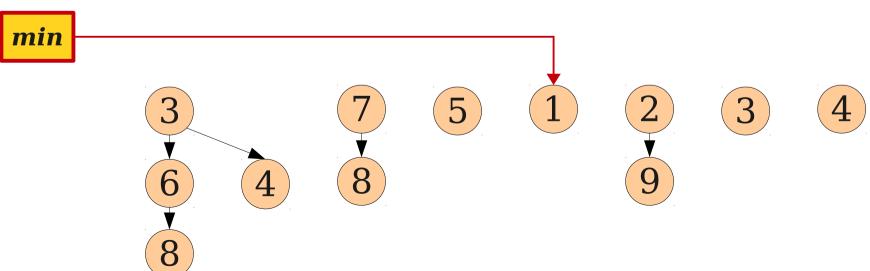
• Suppose that we *meld* two lazy binomial heaps  $B_1$  and  $B_2$ . Actual cost: O(1).



• Suppose that we **meld** two lazy binomial heaps  $B_1$  and  $B_2$ . Actual cost: O(1).

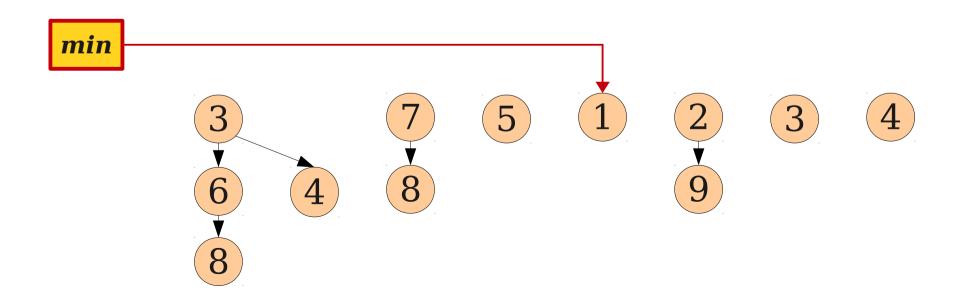


- Suppose that we **meld** two lazy binomial heaps  $B_1$  and  $B_2$ . Actual cost: O(1).
- Let  $\Phi_{B_1}$  and  $\Phi_{B_2}$  be the initial potentials of  $B_1$  and  $B_2$ .
- The new heap B has potential  $\Phi_{B_1} + \Phi_{B_2}$  and  $B_1$  and  $B_2$  have potential 0.
- $\Delta\Phi$  is zero.
- Amortized cost: O(1).



### Analyzing a Find-Min

- Each *find-min* does O(1) work and does not add or remove trees.
- Amortized cost: O(1).



#### Analyzing Extract-Min

- Initially, we expose the children of the minimum element. This takes time  $O(\log n)$ .
- Suppose that at this point there are T trees. As we saw earlier, the runtime for the coalesce is  $\Theta(T)$ .
- When we're done merging, there will be  $O(\log n)$  trees remaining, so  $\Delta \Phi = -T + O(\log n)$ .
- Amortized cost is

$$O(\log n) + \Theta(T) + O(1) \cdot (-T + O(\log n))$$

$$= O(\log n) + \Theta(T) - O(1) \cdot T + O(1) \cdot O(\log n)$$

$$= O(\log n).$$

#### The Overall Analysis

- The *amortized* costs of the operations on a lazy binomial heap are as follows:
  - **enqueue**: O(1)
  - **meld**: O(1)
  - *find-min*: O(1)
  - extract-min: O(log n)
- Any series of e enqueues mixed with d extract-mins will take time
   O(e + d log e).

#### Why This Matters

- Lazy binomial heaps are a powerful building block used in many other data structures.
- We'll see one of them, the *Fibonacci* heap, when we come back on Wednesday.
- Assuming the TAs think it's reasonable, you'll see another (supporting add-to-all) on the problem set.

#### Next Time

#### The Need for decrease-key

 A powerful and versatile operation on priority queues.

#### Fibonacci Heaps

• A variation on lazy binomial heaps with efficient decrease-key.

#### Implementing Fibonacci Heaps

... is harder than it looks!