

Minimum Spanning Trees

Algorithms and Applications

Varun Ganesan

18.304 Presentation

Outline

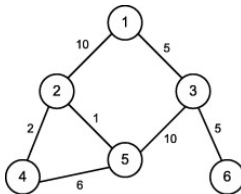
- 1 Definitions
 - Graph Terminology
 - Minimum Spanning Trees
- 2 Common Algorithms
 - Kruskal's Algorithm
 - Prim's Algorithm
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Graphs

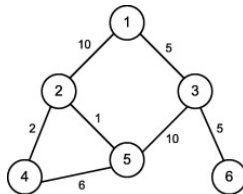
- In graph theory, a **graph** is an ordered pair $G = (V, E)$ comprising a set of **vertices** or nodes together with a set of **edges**.



- Edges** are 2-element subsets of V which represent a connection between two vertices.
 - Edges can either be directed or undirected.
 - Edges can also have a **weight** attribute.

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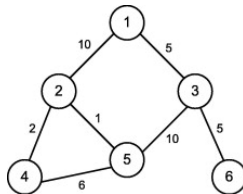
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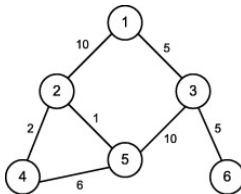
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Connectivity

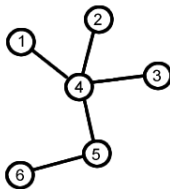
- A graph is **connected** when there is a path between every pair of vertices.
- A **cycle** is a path that starts and ends with the same vertex.
- A **tree** is a connected, acyclic graph.

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Spanning Trees

- Formally, for a graph $G = (V, E)$, the spanning tree is $E' \subseteq E$ such that:
 - $\exists u \in V : (u, v) \in E' \vee (v, u) \in E' \forall v \in V$
 - In other words: the subset of edges spans all vertices.
- $|E'| = |V| - 1$
- In other words: the number of edges is one less than the number of vertices, so that there are no cycles.

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Linear Graph



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What Makes A Spanning Tree The Minimum?

MST Criterion: When the *sum* of the edge weights in a spanning tree is the minimum over all spanning trees of a graph

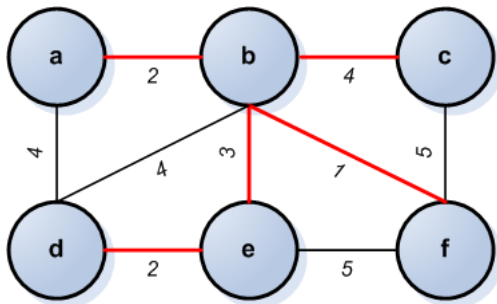


Figure: Suppose (b, f) is removed and (c, f) is added...

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Main Idea

- Start with $|V|$ disjoint components.
- Consider lesser weight edges first to incrementally connect components.
- Make certain to avoid cycles.
- Continue until spanning tree is created.

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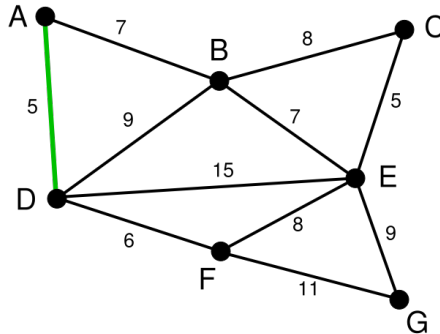
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Pseudocode

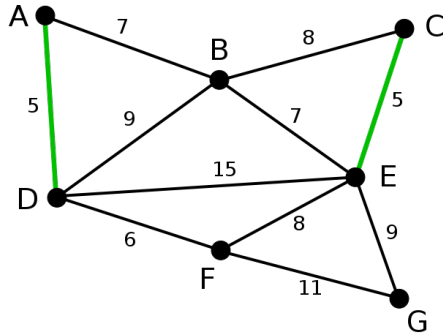
Kruskal's Algorithm

```
1  $A = \emptyset$ 
2 foreach  $v \in G.V$ :
3   MAKE-SET( $v$ )
4 foreach  $(u, v)$  ordered by weight( $u, v$ ), increasing:
5   if FIND-SET( $u$ )  $\neq$  FIND-SET( $v$ ):
6      $A = A \cup (u, v)$ 
7   UNION( $u, v$ )
8 return  $A$ 
```

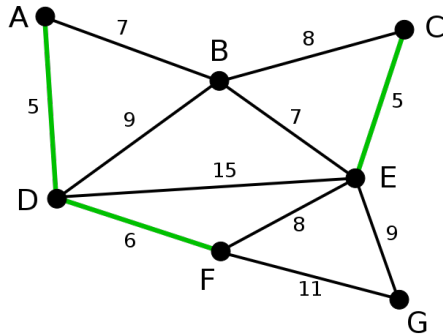
Example



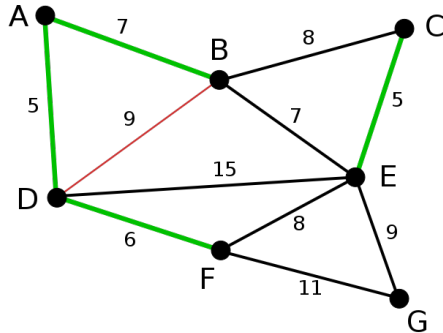
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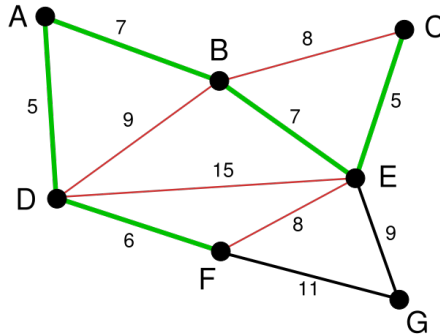
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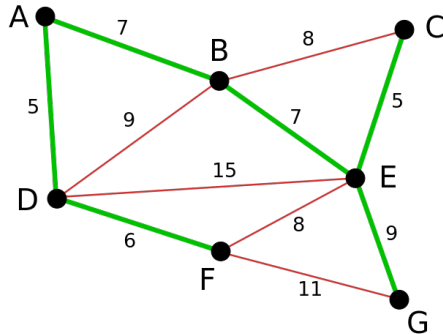
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Example



Example



Proof Of Correctness

- **Spanning Tree Validity**

- By avoiding connecting two already connected vertices, output has no cycles.
- If G is connected, output must be connected.

- **Minimality**

- Consider a lesser total weight spanning tree with at least one different edge $e = (u, v)$.
- If e leads to less weight, then e would have been considered before some edge that connects u and v in our output.

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- Branch outwards to grow your connected component.
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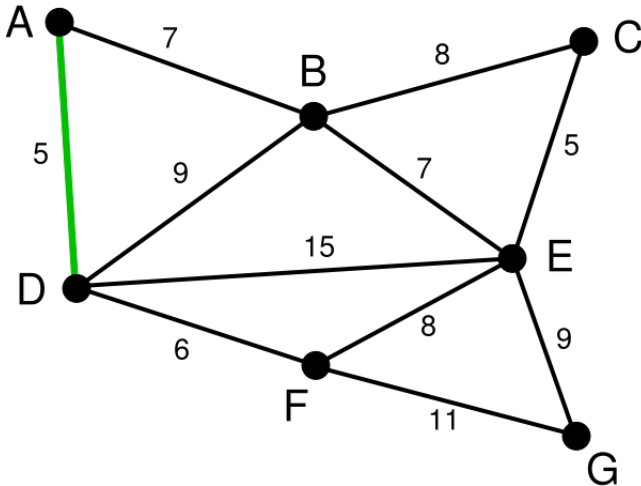
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Proof of Correctness

Both the spanning tree and minimality argument are nearly identical for Prim's as they are for Kruskal's.



Q.E.D.

Some Applications

- ***Taxonomy***
- ***Clustering Analysis***
- ***Traveling Salesman Problem Approximation***