

Splay trees

April 15, 2015

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Binary search trees

- A *binary search tree* is a binary tree storing entries (i.e., key-value pairs) at its internal nodes satisfying:

If u , v , and w are nodes such that u is in the left subtree of v and w is in the right subtree of v , then

$$\text{key}(u) < \text{key}(v) < \text{key}(w).$$

- External nodes do not store entries.

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Performance

- Consider a map of n entries implemented by means of a binary search tree of height h .
- Search, insertion and removal all take time proportional to the height h .
- The height h is $O(n)$ in the worst case and $O(\log n)$ in the best case.

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- The height h is $O(n)$ in the worst case and $O(\log n)$ in the best case.
- *This can be improved by keeping the binary tree "balanced".*

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Splay trees

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Splay trees

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- While splay trees are often “balanced”, there is no explicit bound on the height of the tree (unlike AVL trees).
- With a splay tree of n entries, search, insertion and removal all take $O(\log n)$ -time *on average*.

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Splaying

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- *Splaying an internal node x* means raising x up to the root through a sequence of rotations.

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Splaying

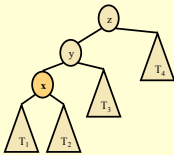
- *Splaying* is a *move-to-root* operation that causes frequently accessed elements to remain nearer the root.
- *Splaying an internal node x* means raising x up to the root through a sequence of rotations.
- There are three types of rotation: *zig-zig*, *zig-zag* and *zig*.

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Zig-zig rotation

x is a left (resp. right) child of y , and y is a left (resp. right) child of z .

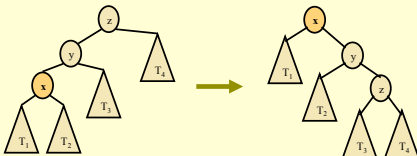


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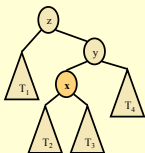


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Zig-zag rotation

x is a left (resp. right) child of y , and y is a right (resp. left) child of z .

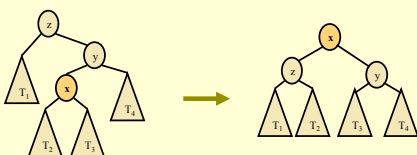


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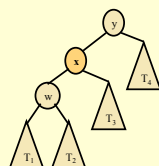


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Zig rotation

x is a left (resp. right) child of y , and y has no parent.

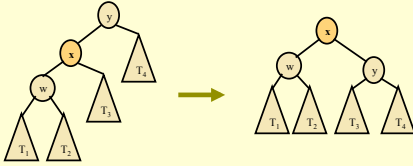


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When to splay

Splaying is performed after every search, insertion and removal operation.

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- **get(k)**: If k is found at a node x , then splay x ; otherwise, splay the parent of the leaf reached.

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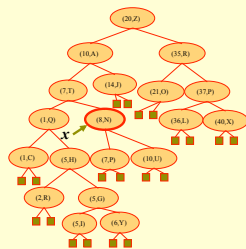
- **get(k)**: If k is found at a node x , then splay x ; otherwise, splay the parent of the leaf reached.
- **put(k, v)**: Splay the new node with (k, v) .
- **remove(k)**: Splay the parent of the node removed (not necessarily the node that had the removed entry).

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Splaying

Example. Splaying $x = (8, N)$.

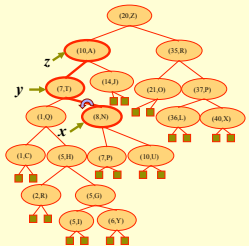


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Zig-zag rotation

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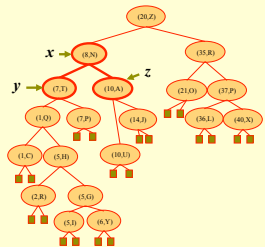
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[illegible]

Zig-zag rotation

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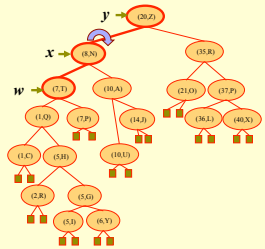
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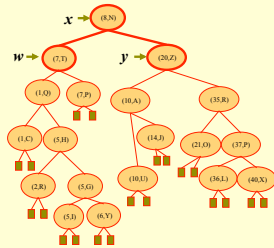


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Zig rotation

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Splaying Visualization

<https://www.cs.usfca.edu/~galles/visualization/SplayTree.html>

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Performance

- Performance analysis is very complex.

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- Starting from the empty map, consider performing a sequence of m search/insertion/removal operations on n entries. The total running time of these m operations is $O(m \log n)$.

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- Starting from the empty map, consider performing a sequence of m search/insertion/removal operations on n entries. The total running time of these m operations is $O(m \log n)$.
- Thus, *on average*, each operation takes $O(\log n)$ time.

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