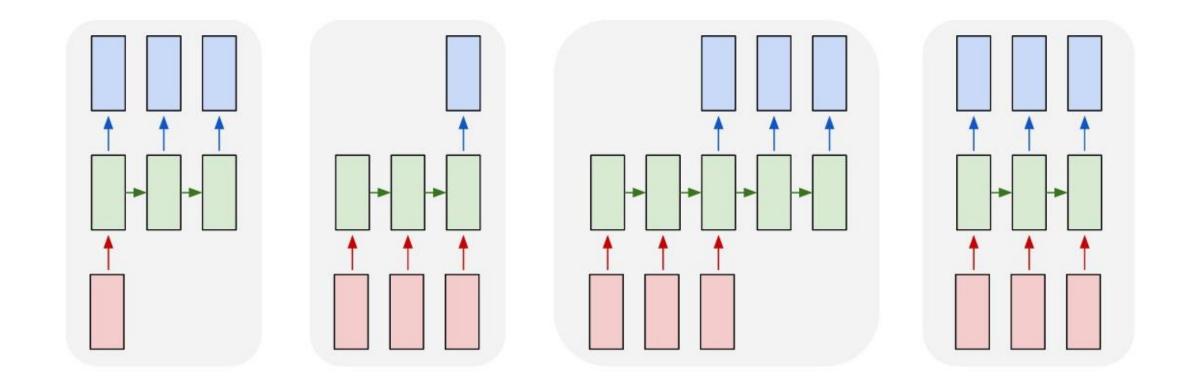
#### Recurrent neural networks



#### **Outline**

- Examples of sequential prediction tasks
- Common recurrent units
  - Vanilla RNN unit (and how to train it)
  - Long Short-Term Memory (LSTM)
  - Gated Recurrent Unit (GRU)
- Recurrent network architectures
- Applications in (a bit) more detail
  - Sequence classification
  - Language modeling
  - Image captioning
  - Machine translation

## Sequential prediction tasks

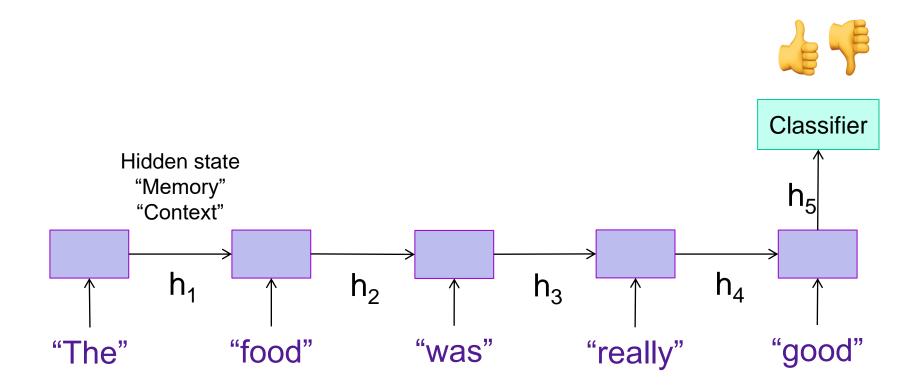
- So far, we focused mainly on prediction problems with fixedsize inputs and outputs
- But what if the input and/or output is a variable-length sequence?

### Example 1: Sentiment classification

- Goal: classify a text sequence (e.g., restaurant, movie or product review, Tweet) as having positive or negative sentiment
  - "The food was really good"
  - "The vacuum cleaner broke within two weeks"
  - "The movie had slow parts, but overall was worth watching"
- What makes this problem challenging?
- What feature representation or predictor structure can we use for this problem?

### Example 1: Sentiment classification

Recurrent model:



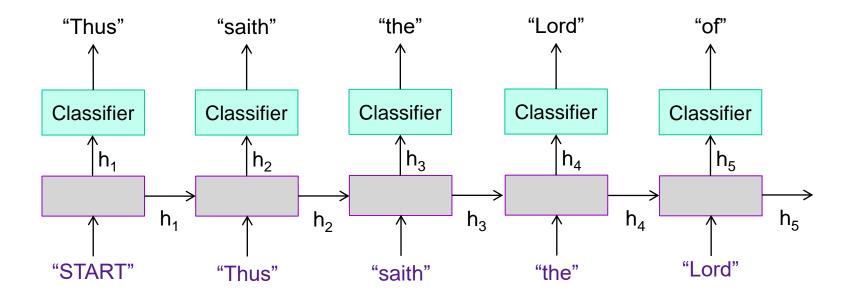
## Example 2: Text generation

 Sample from the distribution of a given text corpus (also known as language modeling)



## Example 2: Text generation

- Sample from the distribution of a given text corpus (also known as language modeling)
- Can be done one character or one word at a time:



## Example 3: Image caption generation



A cat sitting on a suitcase on the floor

Two people walking on

the beach with surfboards



A cat is sitting on a tree branch



A tennis player in action on the court



A dog is running in the grass with a frisbee



Two giraffes standing in a grassy field



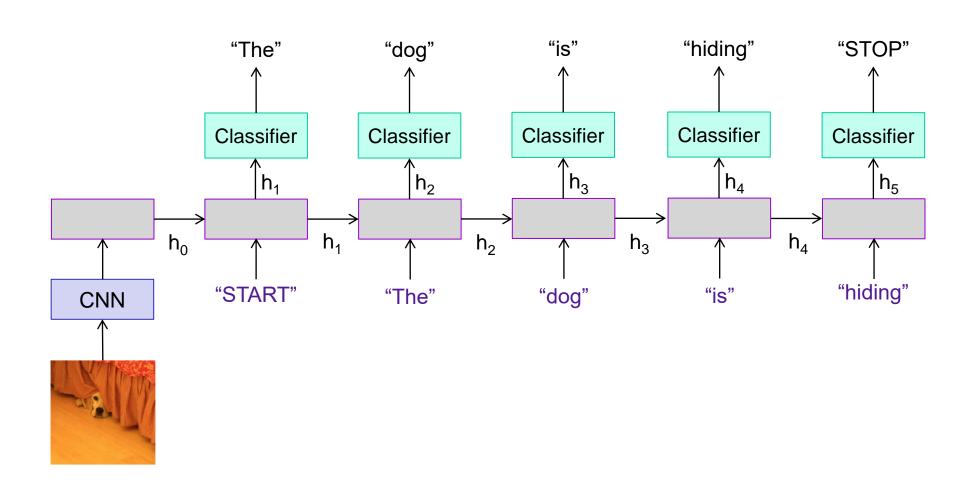
A white teddy bear sitting in the grass



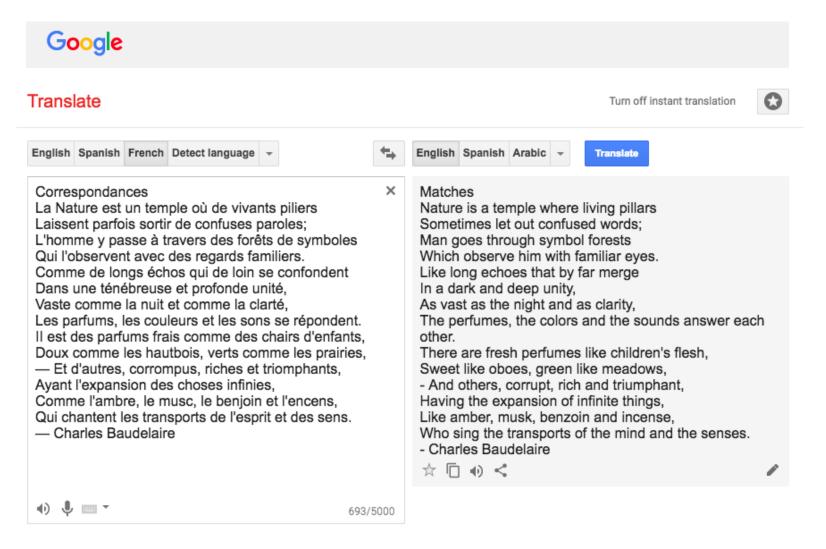
A man riding a dirt bike on a dirt track

Source: <u>J. Johnson</u> Captions generated using <u>neuraltalk2</u>

## Example 3: Image caption generation

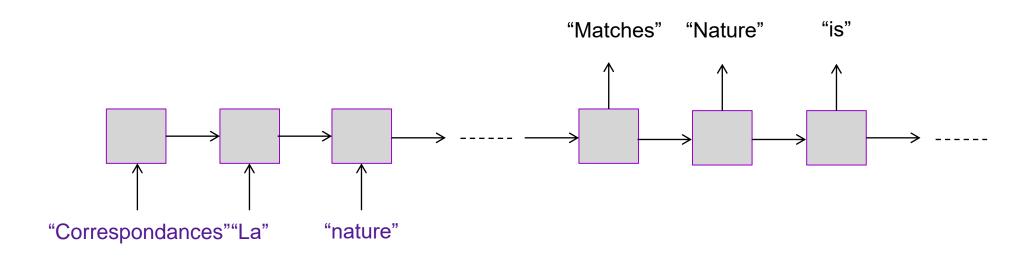


### Example 4: Machine translation



### Example 4: Machine translation

 Multiple input – multiple output (or sequence to sequence) scenario:



## Summary: Input-output scenarios

Single -Single Multiple -Single Single -Multiple Multiple -Multiple Multiple -Multiple

Feed-forward Network

Sequence Classification

Sequence generation, captioning

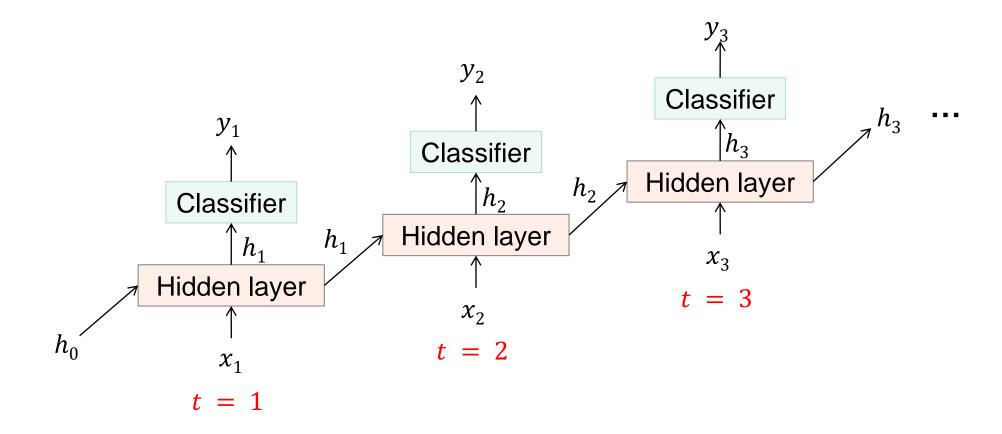
Sequence generation, captioning

**Translation** 

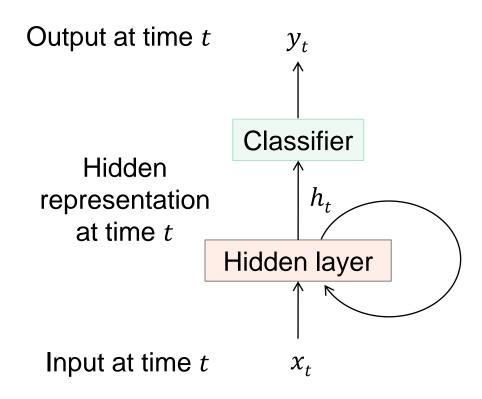
#### Outline

- Examples of sequential prediction tasks
- Common recurrent units
  - Vanilla RNN unit
  - Long Short-Term Memory (LSTM)
  - Gated Recurrent Unit (GRU)

#### Recurrent unit



#### Recurrent unit



# Recurrence:

$$h_t = f_W(x_t, h_{t-1})$$
new function input at old state

state

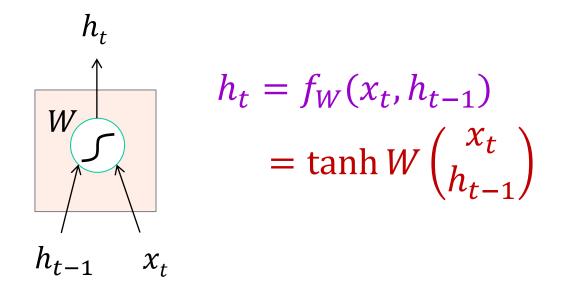
function input at old state of *W* time *t* 

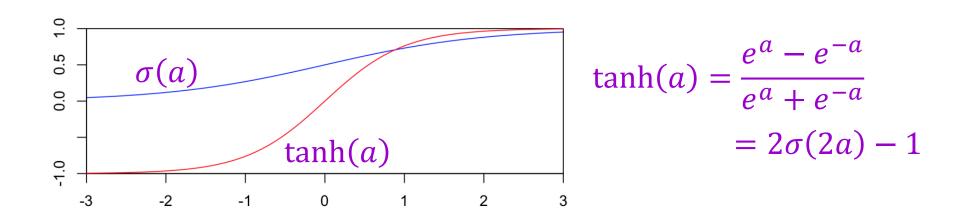
$$h_{t}$$

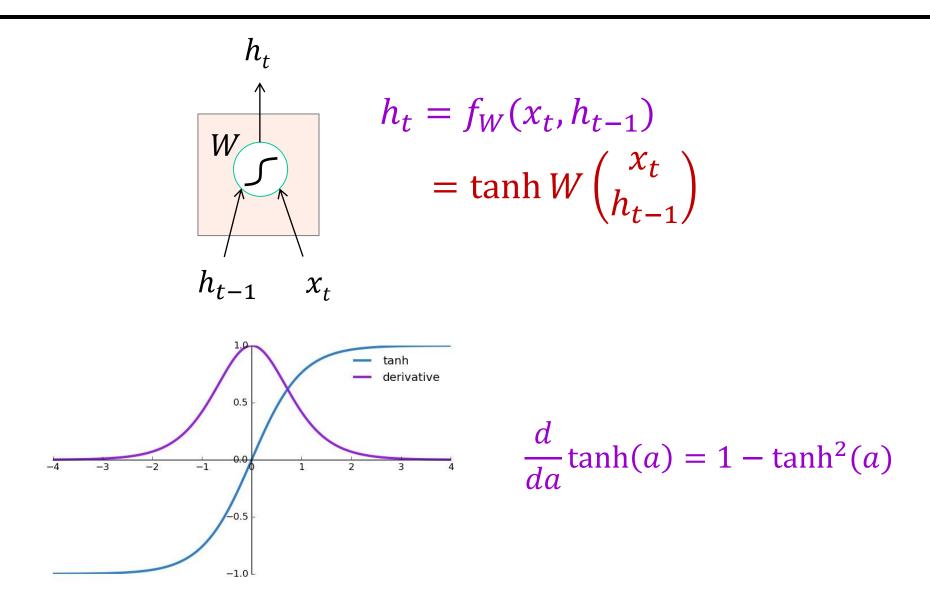
$$h_{t} = f_{W}(x_{t}, h_{t-1})$$

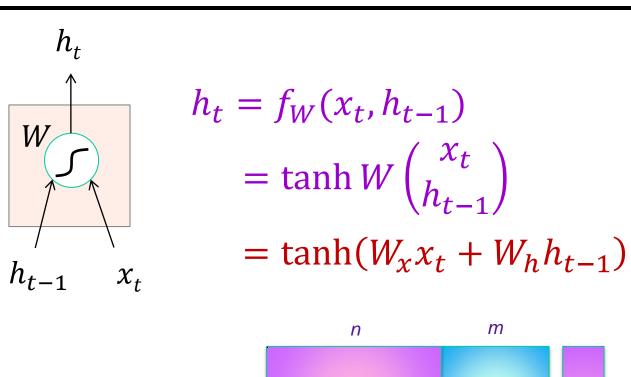
$$= \tanh W \begin{pmatrix} x_{t} \\ h_{t-1} \end{pmatrix}$$

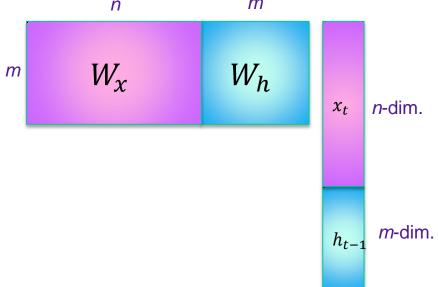
$$h_{t-1} = x_{t}$$



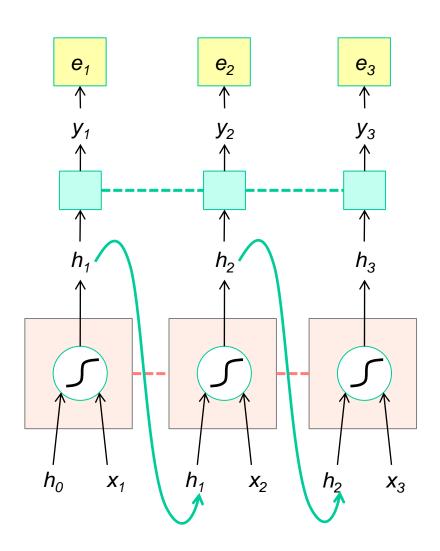








## RNN forward pass



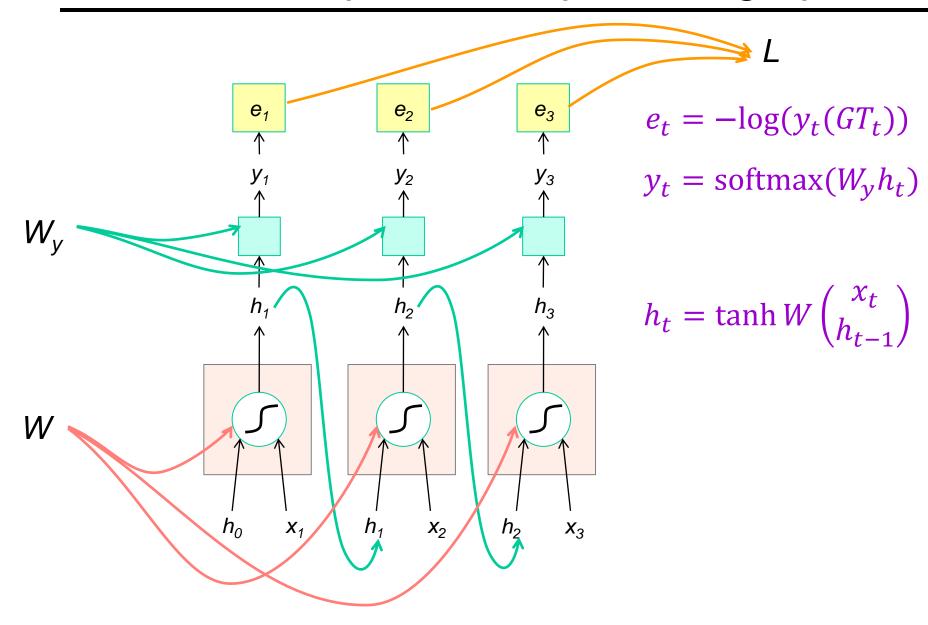
$$e_t = -\log(y_t(GT_t))$$

$$y_t = \operatorname{softmax}(W_y h_t)$$

$$h_t = \tanh W \begin{pmatrix} x_t \\ h_{t-1} \end{pmatrix}$$

---- shared weights

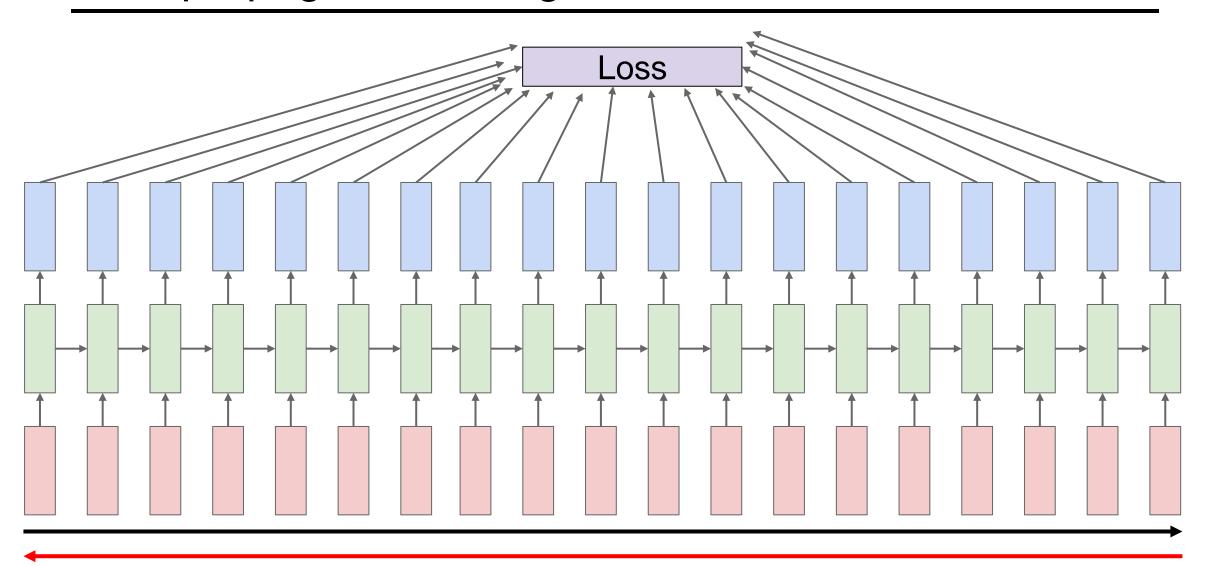
## RNN forward pass: Computation graph



## Training: Backpropagation through time (BPTT)

- The unfolded network (used during forward pass) is treated as one big feed-forward network that accepts the whole time series as input
- The weight updates are computed for each copy in the unfolded network, then summed (or averaged) and applied to the RNN weights

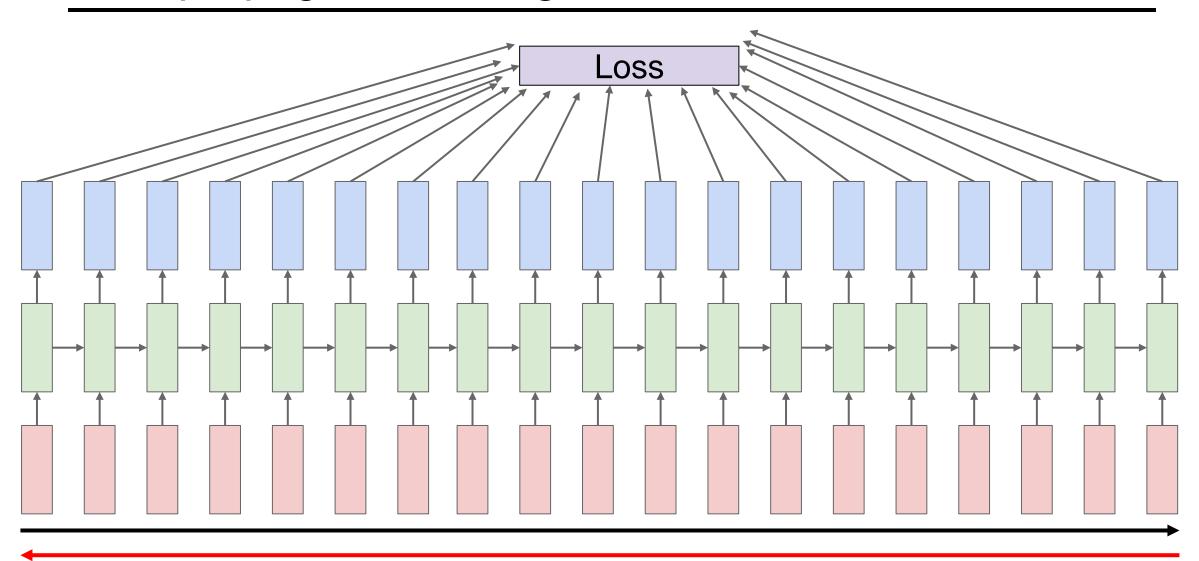
# Backpropagation through time



Forward through entire sequence to compute loss, then backward to compute gradient

Source: <u>J. Johnson</u>

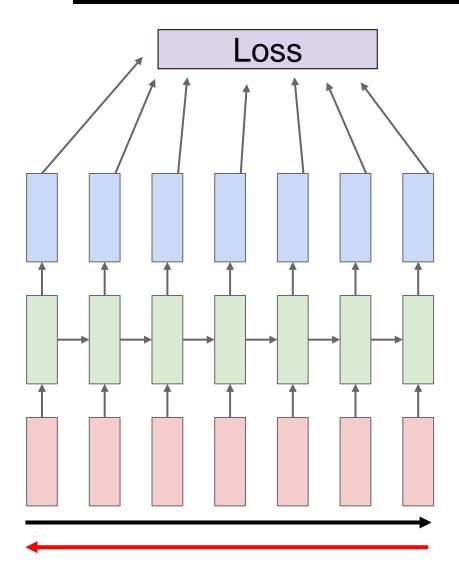
# Backpropagation through time



## Training: Backpropagation through time (BPTT)

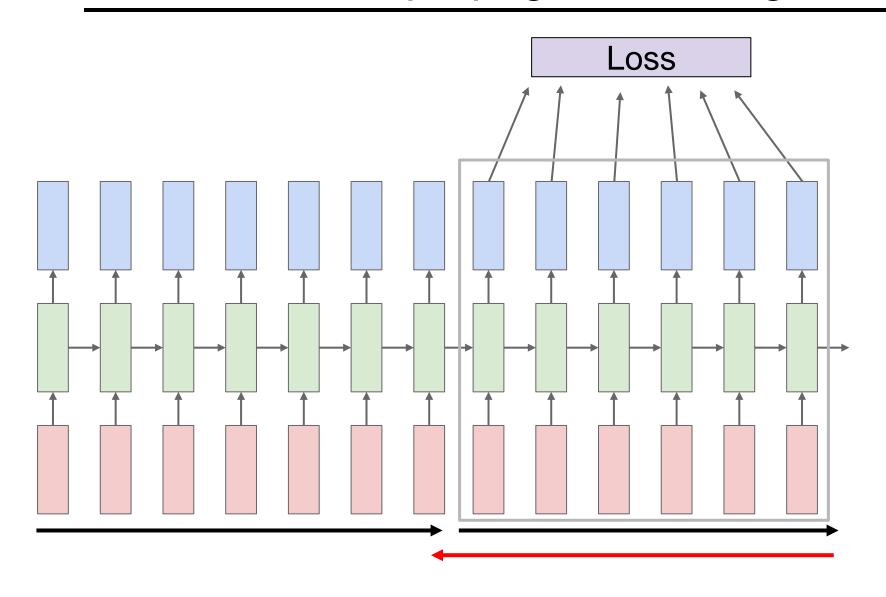
- The unfolded network (used during forward pass) is treated as one big feed-forward network that accepts the whole time series as input
- The weight updates are computed for each copy in the unfolded network, then summed (or averaged) and applied to the RNN weights
- In practice, truncated BPTT is used: run the RNN forward  $k_1$  time steps, propagate backward for  $k_2$  time steps

## Truncated backpropagation through time



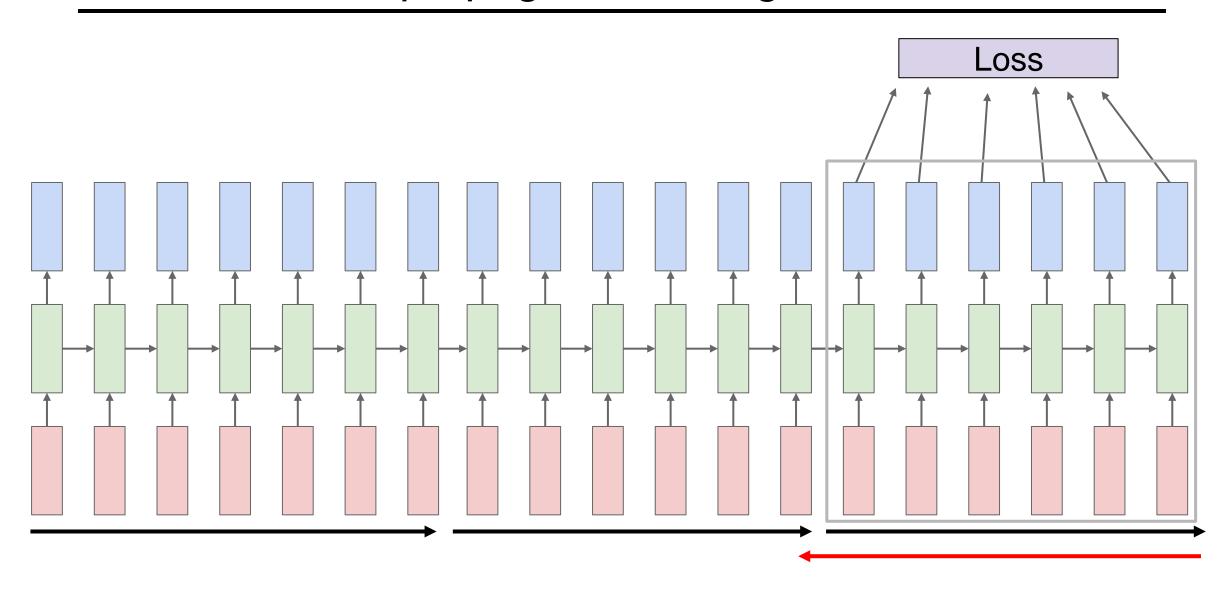
Run forward and backward through chunks of the sequence instead of whole sequence

# Truncated backpropagation through time



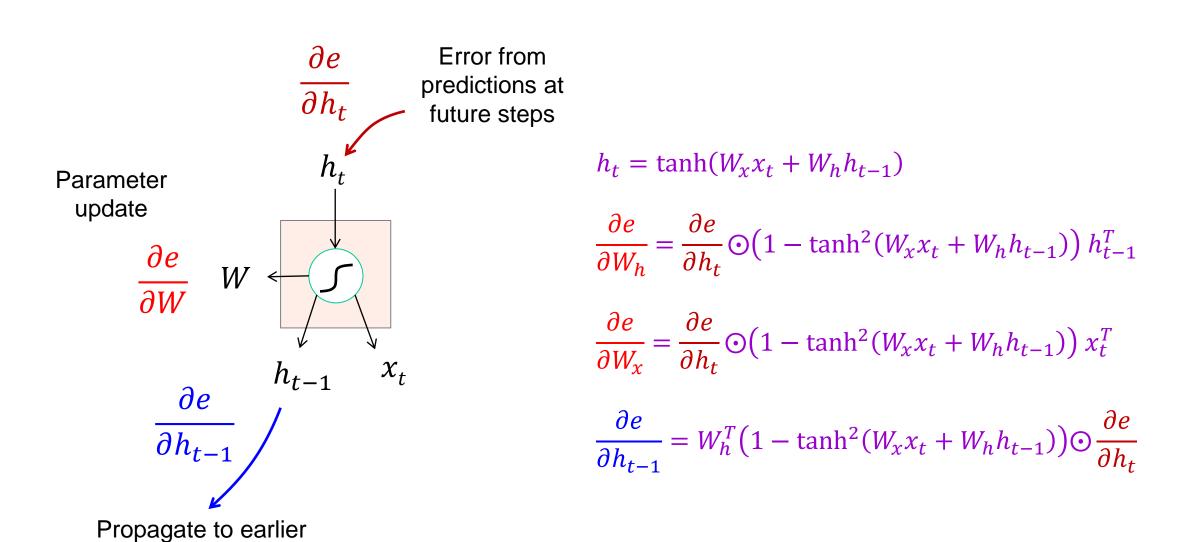
Carry hidden states forward in time further, but only backpropagate for some smaller number of steps

# Truncated backpropagation through time

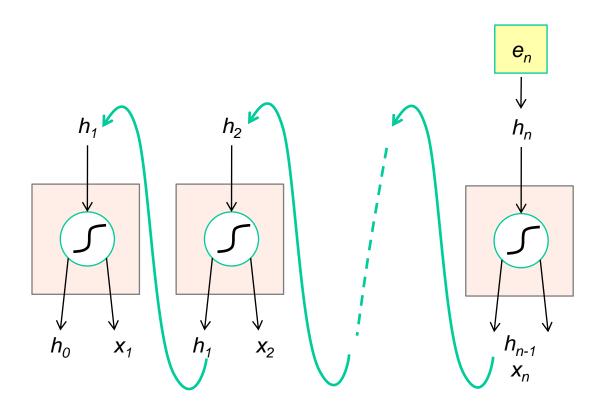


### RNN backward pass

time steps



## Vanishing and exploding gradients



$$\frac{\partial e}{\partial h_{t-1}} = W_h^T \left( 1 - \tanh^2 (W_x x_t + W_h h_{t-1}) \right) \odot \frac{\partial e}{\partial h_t}$$

Computing gradient for  $h_0$  involves many multiplications by  $W_h^T$  (and rescalings between 0 and 1)

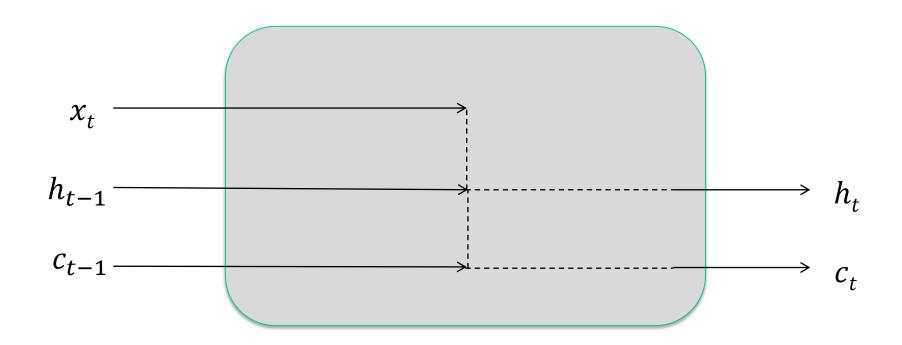
Gradients will *vanish* if largest singular value of  $W_h$  is less than 1 and *explode* if it's greater than 1

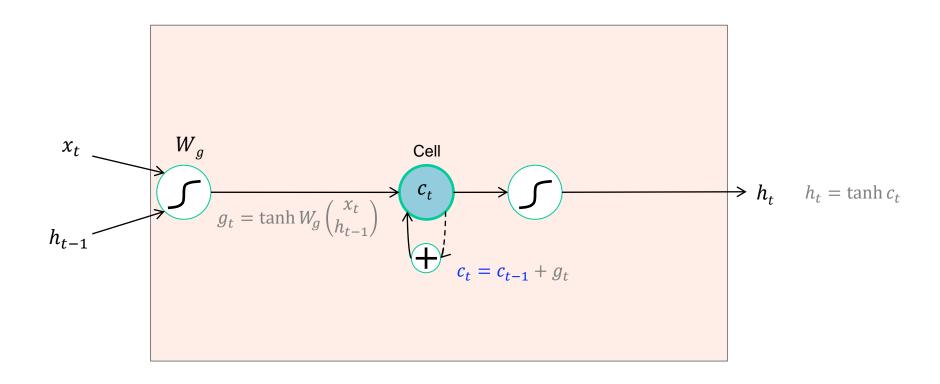
#### Outline

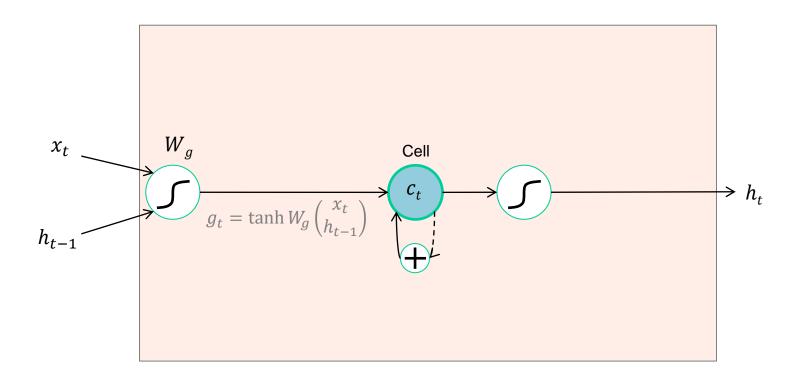
- Examples of sequential prediction tasks
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  - Gated Recurrent Unit (GRU)

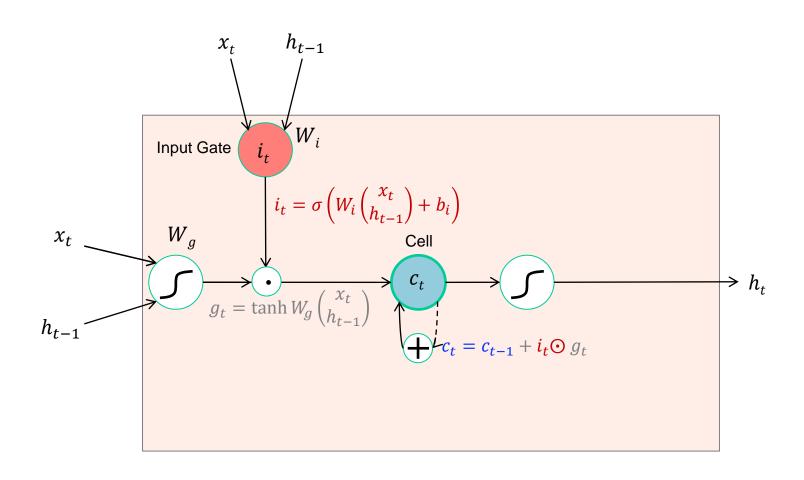
## Long short-term memory (LSTM)

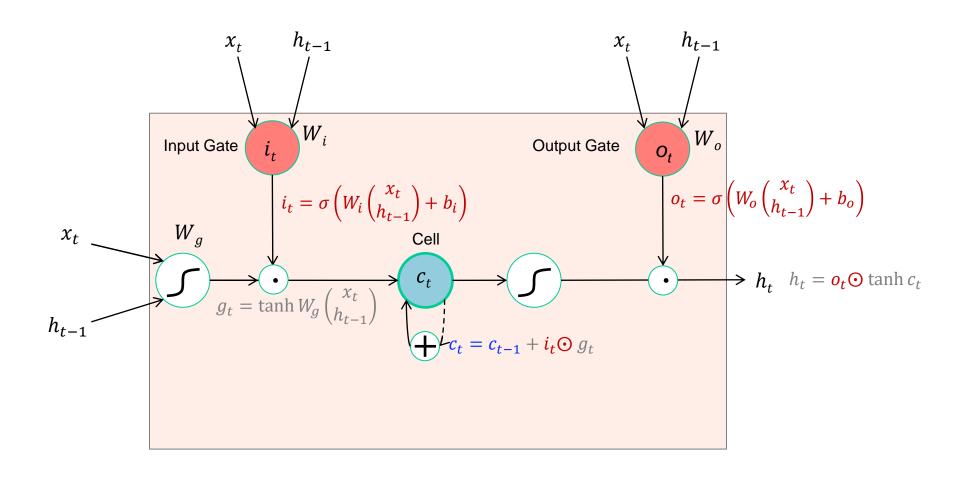
 Add a memory cell that is not subject to matrix multiplication or squashing, thereby avoiding gradient decay



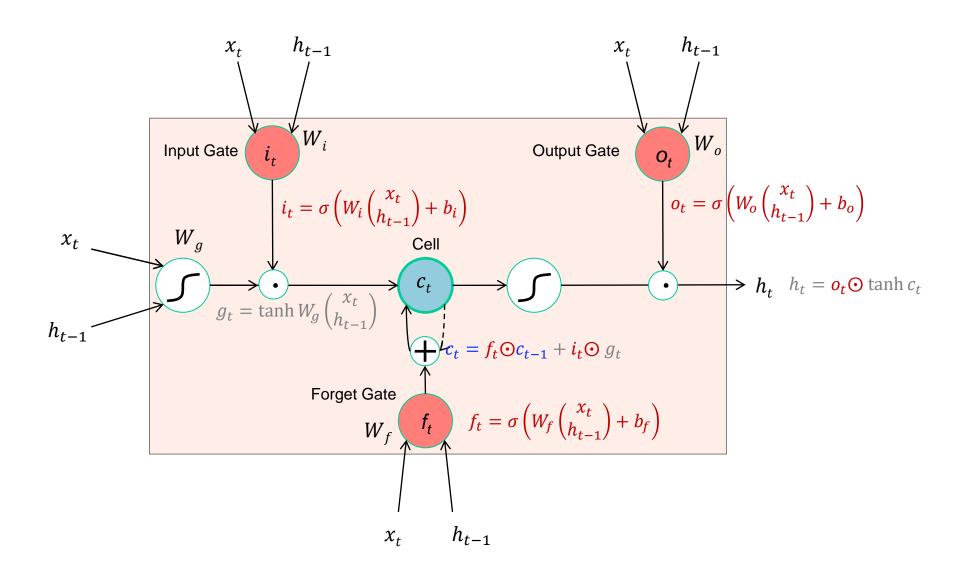




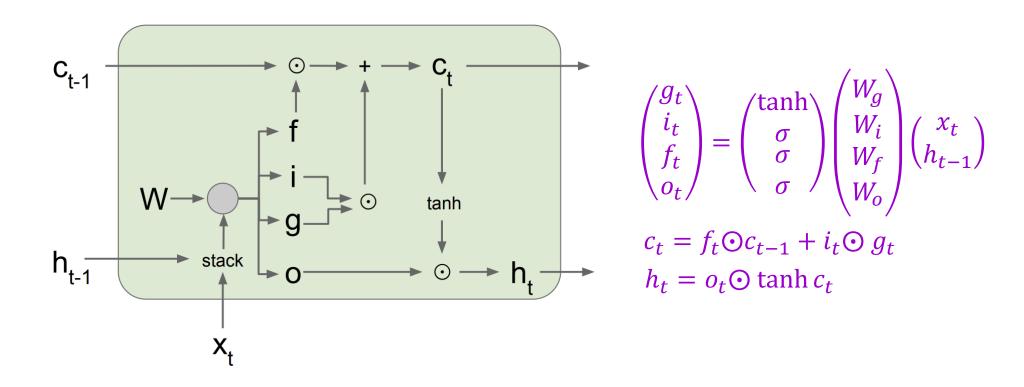




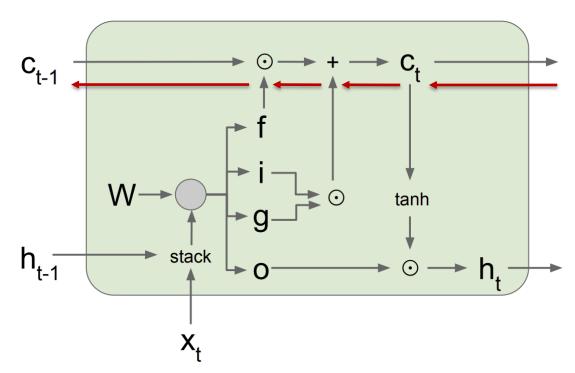
#### The LSTM cell



#### LSTM forward pass summary

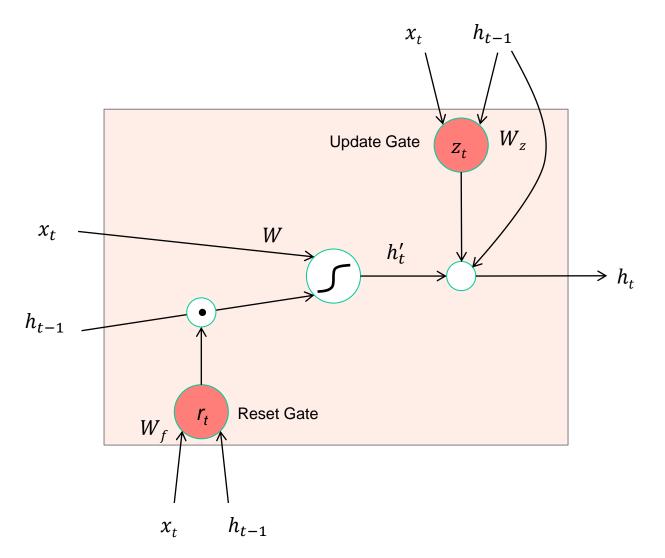


#### LSTM backward pass

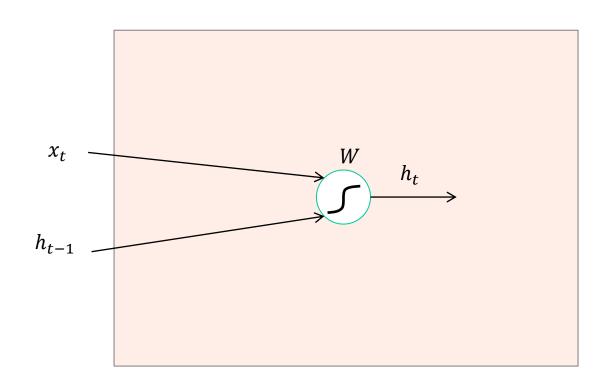


Gradient flow from  $c_t$  to  $c_{t-1}$  only involves back-propagating through addition and elementwise multiplication, not matrix multiplication or tanh

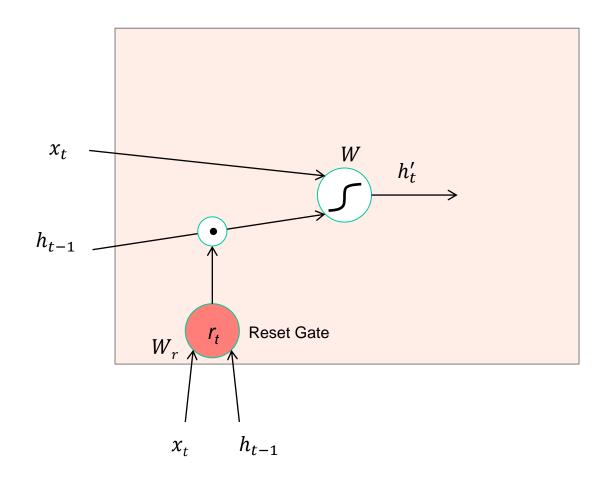
For complete details: <u>Illustrated LSTM Forward and Backward</u>
<a href="Pass">Pass</a>



- Get rid of separate cell state
- Merge "forget" and "output" gates into "update" gate

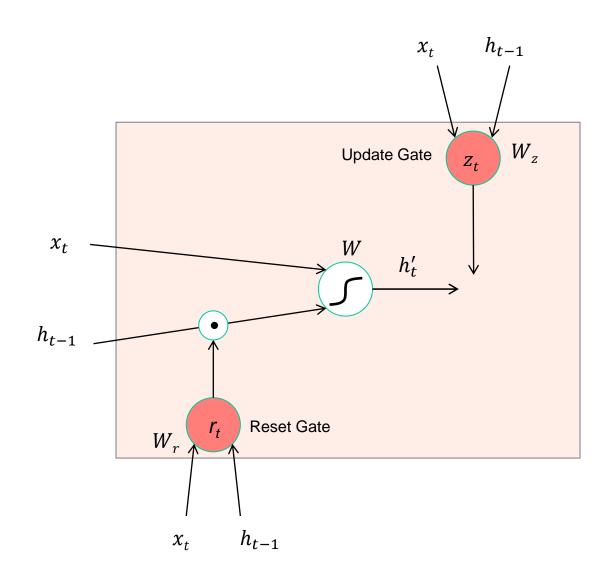


$$h_t = \tanh W \begin{pmatrix} x_t \\ h_{t-1} \end{pmatrix}$$



$$r_{t} = \sigma \left( W_{r} \begin{pmatrix} x_{t} \\ h_{t-1} \end{pmatrix} + b_{t} \right)$$

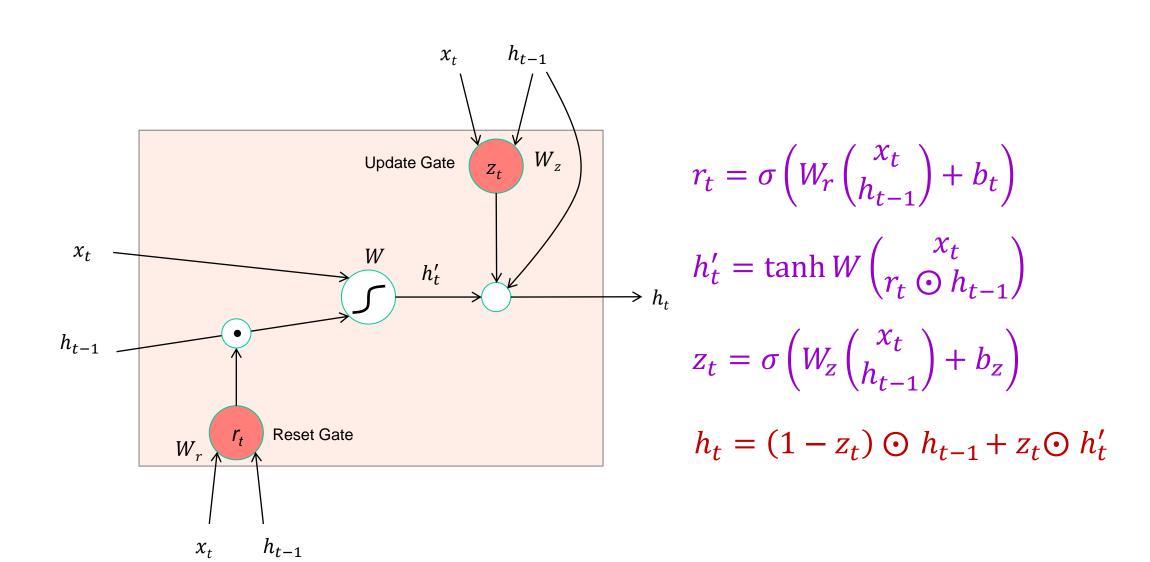
$$h'_t = \tanh W \begin{pmatrix} x_t \\ r_t \odot h_{t-1} \end{pmatrix}$$



$$r_{t} = \sigma \left( W_{r} \begin{pmatrix} x_{t} \\ h_{t-1} \end{pmatrix} + b_{t} \right)$$

$$h'_{t} = \tanh W \begin{pmatrix} x_{t} \\ r_{t} \odot h_{t-1} \end{pmatrix}$$

$$z_{t} = \sigma \left( W_{z} \begin{pmatrix} x_{t} \\ h_{t-1} \end{pmatrix} + b_{z} \right)$$



#### Outline

- Examples of sequential prediction tasks
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- Recurrent network architectures

### Summary: Input-output scenarios

Multiple -Single Single -Multiple Multiple -Multiple Multiple -Multiple

Sequence Classification

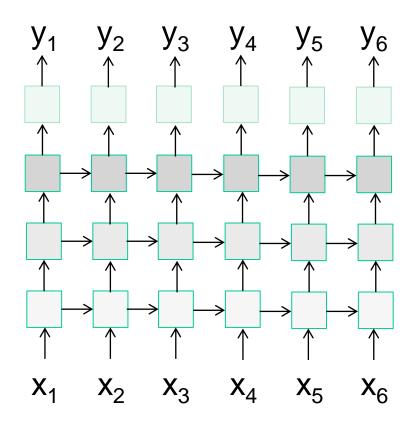
Sequence generation, captioning

Sequence generation, captioning

**Translation** 

# Multi-layer RNNs

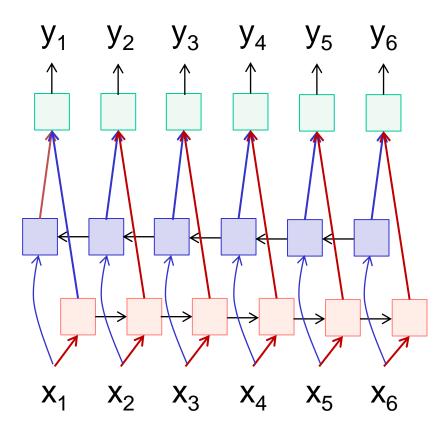
We can of course design RNNs with multiple hidden layers



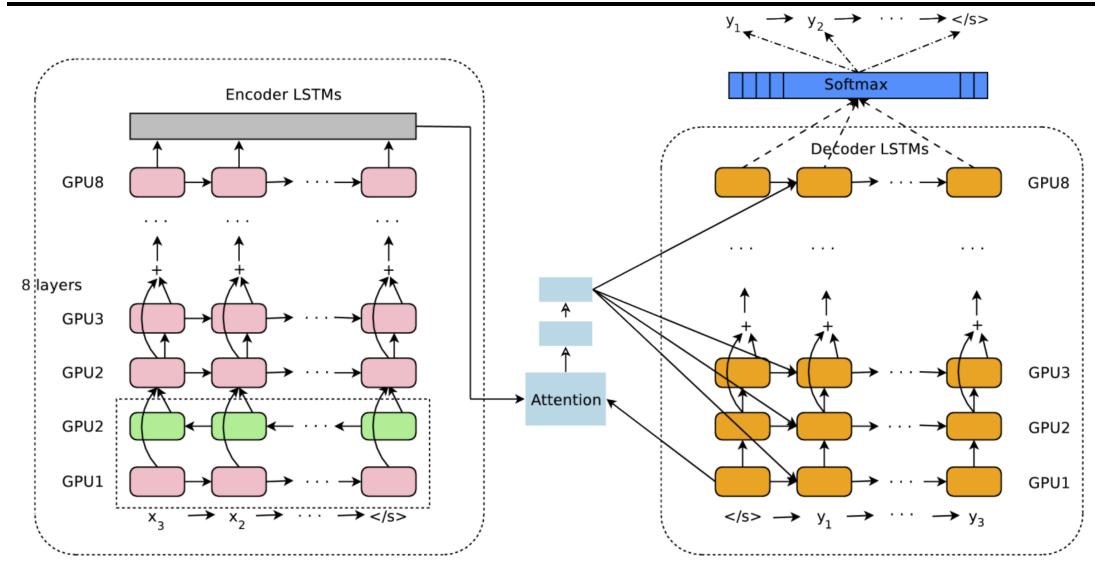
Anything goes: skip connections across layers, across time, ...

#### **Bi-directional RNNs**

 RNNs can process the input sequence in forward and in the reverse direction (common in speech recognition)



### Google Neural Machine Translation (GNMT)

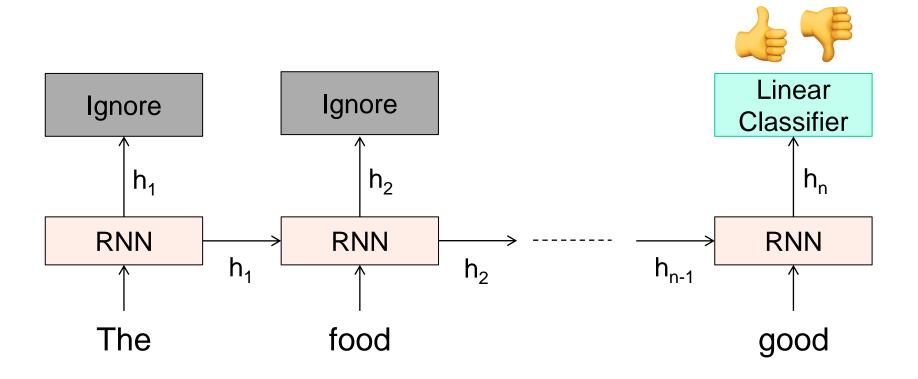


Y. Wu et al., <u>Google's Neural Machine Translation System: Bridging the Gap between Human and Machine Translation</u>, arXiv 2016

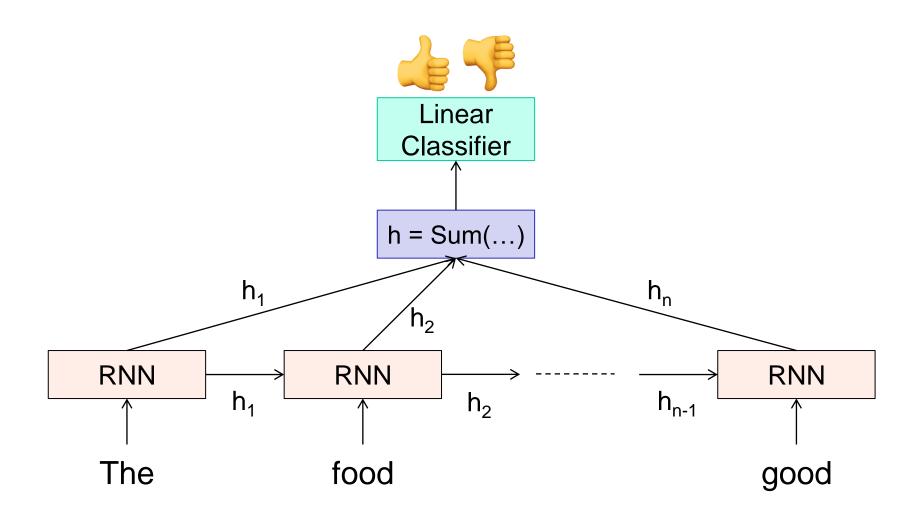
#### **Outline**

- Examples of sequential prediction tasks
- Common recurrent units
  - Vanilla RNN unit
  - Long Short-Term Memory (LSTM)
  - Gated Recurrent Unit (GRU)
- Recurrent network architectures
- Applications in (a bit) more detail
  - Sequence classification
  - Language modeling
  - Image captioning
  - Machine translation

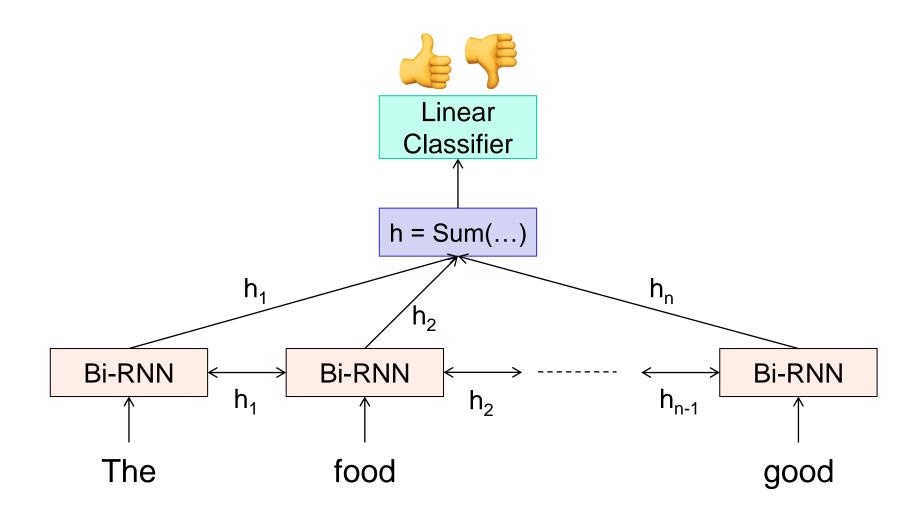
# Sequence classification



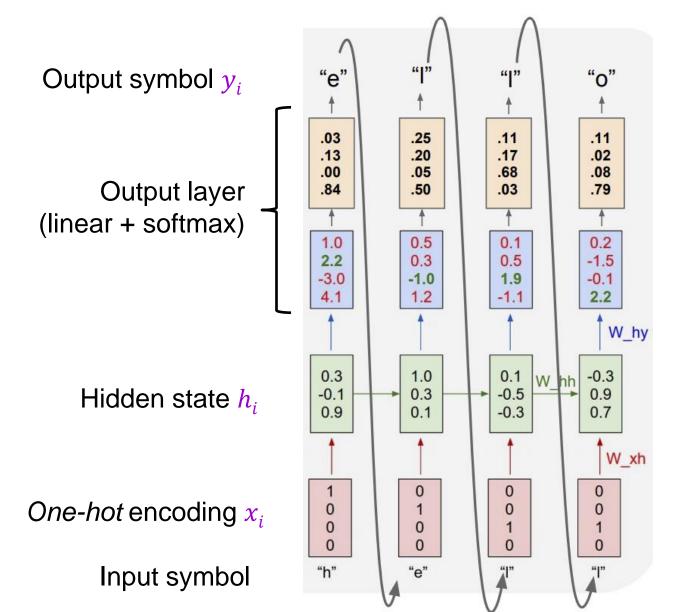
# Sequence classification



# Sequence classification



#### Language modeling: Character RNN



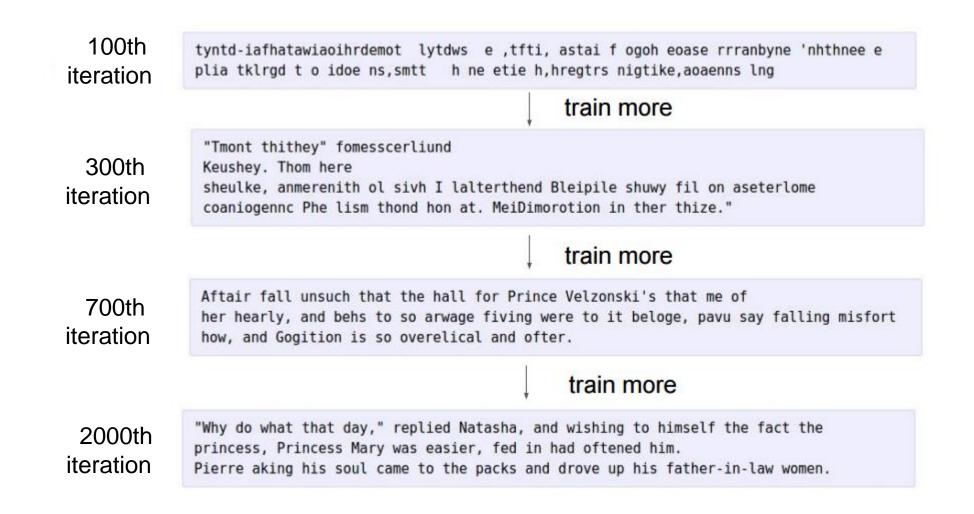
$$p(y_{1}, y_{2}, ..., y_{n})$$

$$= \prod_{i=1}^{n} p(y_{i}|y_{1}, ..., y_{i-1})$$

$$\approx \prod_{i=1}^{n} P_{W}(y_{i}|h_{i})$$

http://karpathy.github.io/2015/05/21/rnn-effectiveness/

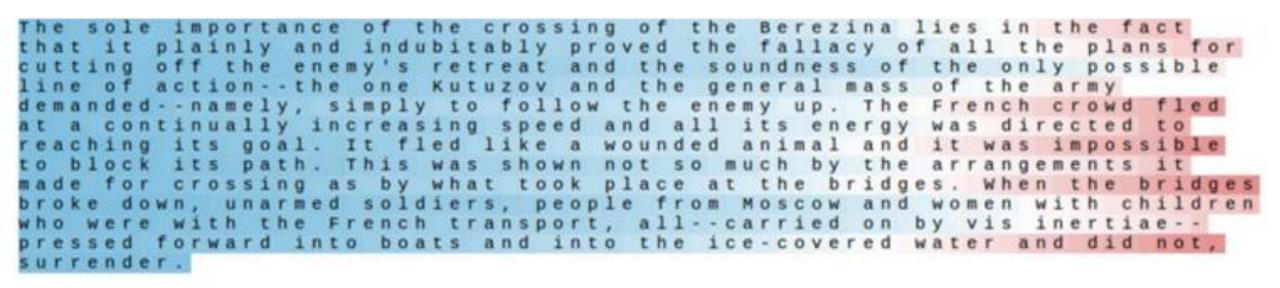
### Language modeling: Character RNN



```
"You mean to imply that I have nothing to eat out of.... On the contrary, I can supply you with everything even if you want to give dinner parties," warmly replied Chichagov, who tried by every word he spoke to prove his own rectitude and therefore imagined Kutuzov to be animated by the same desire.

Kutuzov, shrugging his shoulders, replied with his subtle penetrating smile: "I meant merely to say what I said."
```

#### quote detection cell



#### line position tracking cell

```
pending,
eturn sig;
                       if statement cell
```

```
quote/comment cell
```

A. Karpathy, J. Johnson, and L. Fei-Fei, Visualizing and Understanding Recurrent Networks, ICLR Workshop 2016

```
#ifdef CONFIG_AUDITSYSCALL
static inline int audit_match_class_bits(int class, u32 *mask)

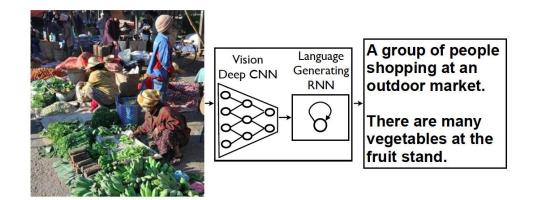
int i;
if (classes[class]) {
  for (i = 0; i < AUDIT_BITMASK_SIZE; i++)
    if (mask[i] & classes[class][i])
    return 0;
}
return 1;
}</pre>
```

#### code depth cell

#### **RNNs: Outline**

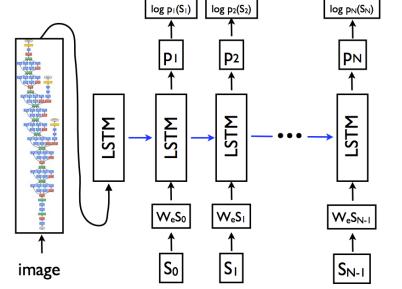
- Examples of sequential prediction tasks
- Common recurrent units
  - Vanilla RNN unit
  - Long Short-Term Memory (LSTM)
  - Gated Recurrent Unit (GRU)
- Recurrent network architectures
  - Multilayer, bidirectional, skip connections
- Applications in (a bit) more detail
  - Sequence classification
  - Language modeling
  - Image captioning
  - Machine translation

### Image caption generation



#### Training time

 Maximize likelihood of reference captions



Log-likelihood of next reference word

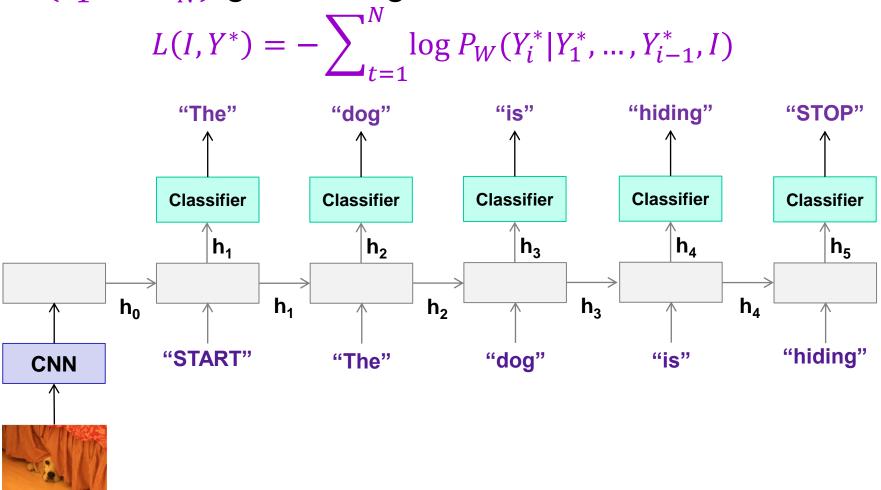
Softmax probability over next word

Word embedding

Words of reference caption (one-hot encoding)

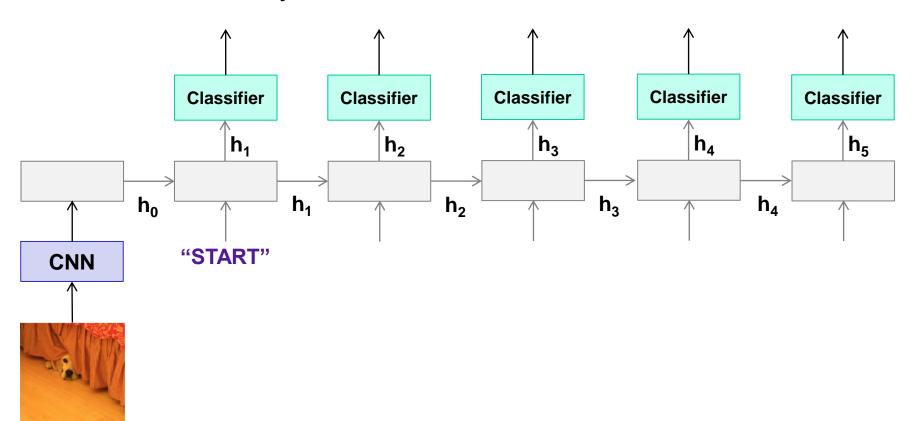
### Image caption generation: Training time

• Minimize negative log-likelihood of the ground truth caption  $Y^* = (Y_1^*, ..., Y_N^*)$  given image I:



#### Image caption generation: Test time

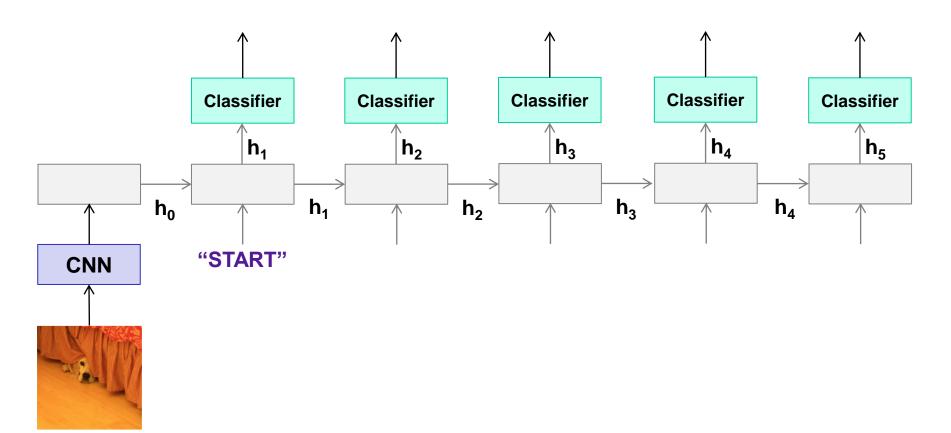
- Sample next word according to posterior distribution of classifier
  - Sentences quickly become incoherent
- Always choose the highest-likelihood word
  - Does this necessarily maximize the likelihood of the overall sentence?



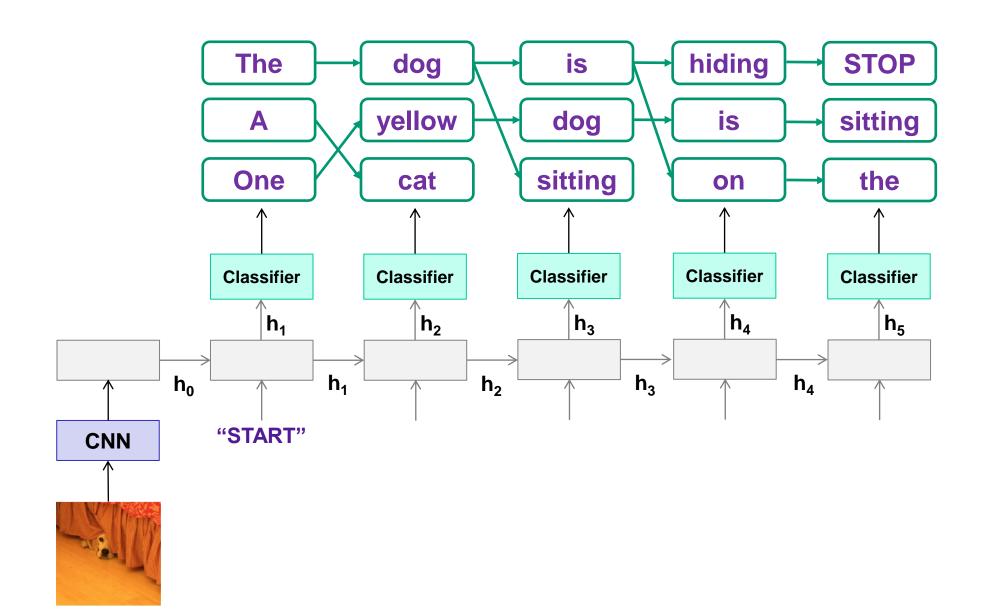
#### Image caption generation: Test time

#### Beam search:

- Maintain k (beam width) top-scoring candidate sentences according to sum of per-word log-likelihoods (or some other score)
- At each step, generate all their successors and keep the best k



# Image caption generation: Beam search



### Image caption generation: Example outputs

A person riding a motorcycle on a dirt road.



A group of young people playing a game of frisbee.



A herd of elephants walking across a dry grass field.



Two dogs play in the grass.



Two hockey players are fighting over the puck.



A close up of a cat laying on a couch.



A skateboarder does a trick



A little girl in a pink hat is



A red motorcycle parked on the



A dog is jumping to catch a



A refrigerator filled with lots of food and drinks.



A yellow school bus parked



# How to evaluate image captioning?



# Reference sentences (written by human annotators):

- "A dog hides underneath a bed with its face peeking out of the bed skirt"
- "The small white dog is peeking out from under the bed"
- "A dog is peeking its head out from underneath a bed skirt"
- "A dog peeking out from under a bed"
- "A dog that is under a bed on the floor"

#### Generated sentence:

"A dog is hiding"

## BLEU: Bilingual Evaluation Understudy

- N-gram precision: count the number of n-gram matches between candidate and reference translation, divide by total number of n-grams in candidate translation
  - Clip counts by the maximum number of times an n-gram occurs in any reference translation
  - Multiply by brevity penalty to penalize short translations

 Most commonly used measure for image captioning and machine translation despite multiple <u>shortcomings</u> Home People Explore Dataset

Overview Challenges -① Download **≡** Leaderboard -■ Evaluate -

Table-C5

Table-C40 2015 Captioning Challenge Last update: June 8, 2015. Visit CodaLab for the latest results.

cocodataset@outlook.com

	CIDEr-D	Meteor	ROUGE-L	BLEU-1 ↓₹	BLEU-2	BLEU-3	BLEU-4	
m-RNN (Baidu/ UCLA)[16]	0.886	0.238	0.524	0.72	0.553	0.41	0.302	
m-RNN <sup>[15]</sup> Metrics	0.047	2.242	0.504	0.740	0.545	2.424	າ.299	
MSR Captiva							).308	
Google <sup>[4]</sup> CIDEr-D		CIDEr: Consensus-based Image Description Evaluation						
Berkeley LR( METEOR		Meteor Universal: Language Specific Translation Evaluation for Any Target Language						
Nearest Neig Rouge-L		ROUGE: A Package for Automatic Evaluation of Summaries						
MSR <sup>[8]</sup> BLEU		BLEU: a Method for Automatic Evaluation of Machine Translation						
Montreal/Toronto <sup>[10]</sup>	0.85	0.243	0.513	0.689	0.515	0.372	0.268	
PicSOM <sup>[13]</sup>	0.833	0.231	0.505	0.683	0.51	0.377	0.281	
Tsinghua Bigeye <sup>[14]</sup>	0.673	0.207	0.49	0.671	0.494	0.35	0.241	
MLBL <sup>[7]</sup>	0.74	0.219	0.499	0.666	0.498	0.362	0.26	
Human <sup>[5]</sup>	0.854	0.252	0.484	0.663	0.469	0.321	0.217	

http://mscoco.org/dataset/#captions-leaderboard

Home People Explore Dataset

cocodataset@outlook.com

	Overview	Challenges →	④ Download	■ Evaluate - Lea	aderboard -						
Table-C5	Table-C40	2015 Captioning Ch	nallenge	Last update: June 8, 2015. Visit CodaLab for the latest results							
		M1 ↓₹	M2	M3	M4	M5					
Human <sup>[5]</sup>		0.638	0.675	4.836	3.428	0.352					
Google <sup>[4]</sup>		0.072	0.247	4.407	0.740	0.000					
MSR <sup>[8]</sup> M1	MSR <sup>[8]</sup> M1 Percentage of captions that are evaluated as better or equal to human caption.										
Montreal M2	2	Percentage of captions that pass the Turing Test.									
MSR Ca M3	MSR Ca M3 Average correctness of the captions on a scale 1-5 (incorrect - correct).										
Berkeley M4	4	Average amount of detail of the captions on a scale 1-5 (lack of details - very detailed).									
m-RNN <sup>[1</sup> M5	5	Percentage of captions that are similar to human description.									
Nearest Neig	hbor <sup>[11]</sup>	0.216	0.255	3.801	2.716	0.196					
PicSOM <sup>[13]</sup>		0.202	0.250	3.965	2.552	0.182					
Brno Universi	ity <sup>[3]</sup>	0.194	0.213	3.079	3.482	0.154					
m-RNN (Baid	lu/ UCLA) <sup>[16]</sup>	0.190	0.241	3.831	2.548	0.195					
MIL <sup>[6]</sup>		0.168	0.197	3.349	2.915	0.159					
MLBL <sup>[7]</sup>		0.167	0.196	3.659	2.420	0.156					

#### Generative model for diverse captioning

 We would like to sample diverse captions given an image to accurately reflect intrinsic open-endedness of the task



#### LSTM + beam search output lacks diversity

a close up of a plate of food with a sandwich on a table a close up of a sandwich on a plate a close up of a plate of food on a table a close up of a plate of food with a sandwich on it a close up of a plate of food on a white plate

### Generative model for diverse captioning

 We would like to sample diverse captions given an image to accurately reflect intrinsic open-endedness of the task



#### LSTM + beam search output lacks diversity

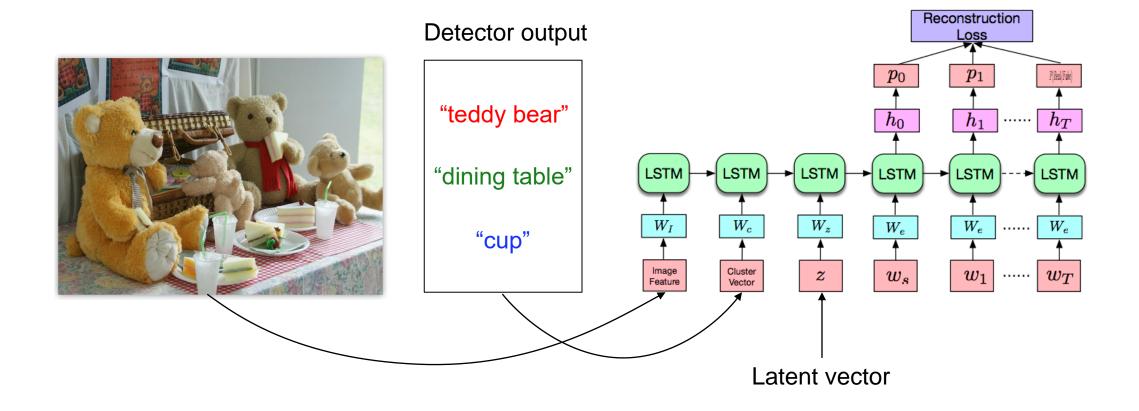
a close up of a plate of food with a sandwich on a table a close up of a sandwich on a plate a close up of a plate of food on a table a close up of a plate of food with a sandwich on it a close up of a plate of food on a white plate

# Conditional variational auto-encoder with additive Gaussian space (AG-CVAE)

a close up of a plate of food on a table a table with a plate of food on it a plate of food with a sandwich on it a white plate topped with a plate of food a plate of food on a table next to a cup of coffee

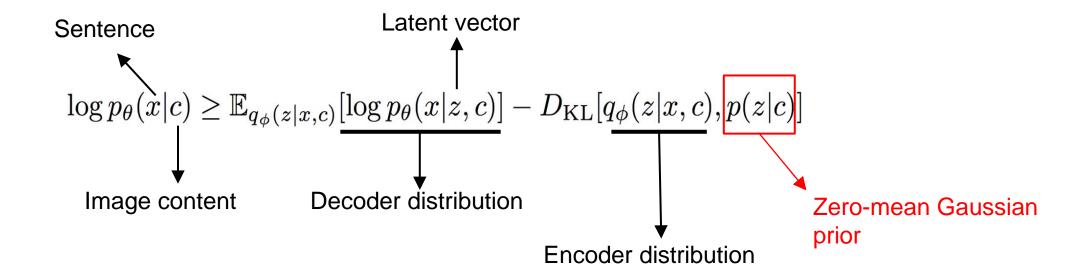
### **CVAE** for captioning

#### Decoder



#### CVAE for captioning

#### Standard CVAE objective:

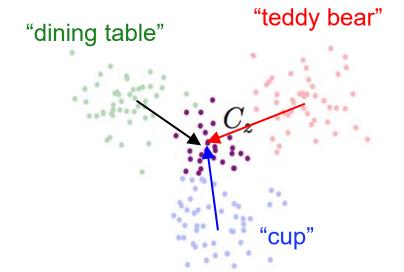


#### CVAE with additive Gaussian prior

Proposed objective: shift prior mean based on image content

$$\max_{\theta,\phi} \sum_{i=1}^{N} \log p_{\theta}(x^{i}|z^{i}, c^{i}) - D_{\mathrm{KL}}[q_{\phi}(z|x, c), p(z|c)], \quad \text{s.t. } \forall i \ z^{i} \sim q_{\phi}(z|x, c).$$

$$p(z|c) = \mathcal{N}\left(z \left| \sum_{k=1}^{K} c_{k} \mu_{k}, \ \sigma^{2} \mathbf{I} \right.\right)$$





#### Results

 More controllable captions: changing the conditioning vector of object labels changes the caption in a reasonable way



Predicted Object Labels: 'person' 'cup' 'donut' 'dining table'

#### AG-CVAE:

a woman sitting at a table with a cup of coffee
a person sitting at a table with a cup of coffee
a table with two plates of donuts and a cup of coffee
a woman sitting at a table with a plate of coffee
a man sitting at a table with a plate of food

#### LSTM Baseline:

a close up of a table with two plates of coffee a close up of a table with a plate of food a close up of a plate of food on a table a close up of a table with two plates of food a close up of a table with plates of food

#### Results

 More controllable captions: changing the conditioning vector of object labels changes the caption in a reasonable way



Object Labels: 'person'

#### AG-CVAE sentences:

a man and a woman standing in a room

a man and a woman are playing a game

a man standing next to a woman in a room

a man standing next to a woman in a field

a man standing next to a woman in a suit

Object Labels: 'person', 'remote'

#### AG-CVAE sentences:

a man and a woman playing a video game

a man and a woman are playing a video game

a man and woman are playing a video game

a man and a woman playing a game with a remote

a woman holding a nintendo wii game controller

#### Acknowledgement

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- Deep Learning, Stanford University
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- Convolutional Neural Networks for Visual Recognition, Stanford University
- Natural Language Processing with Deep Learning, Stanford University
- And Many More .....