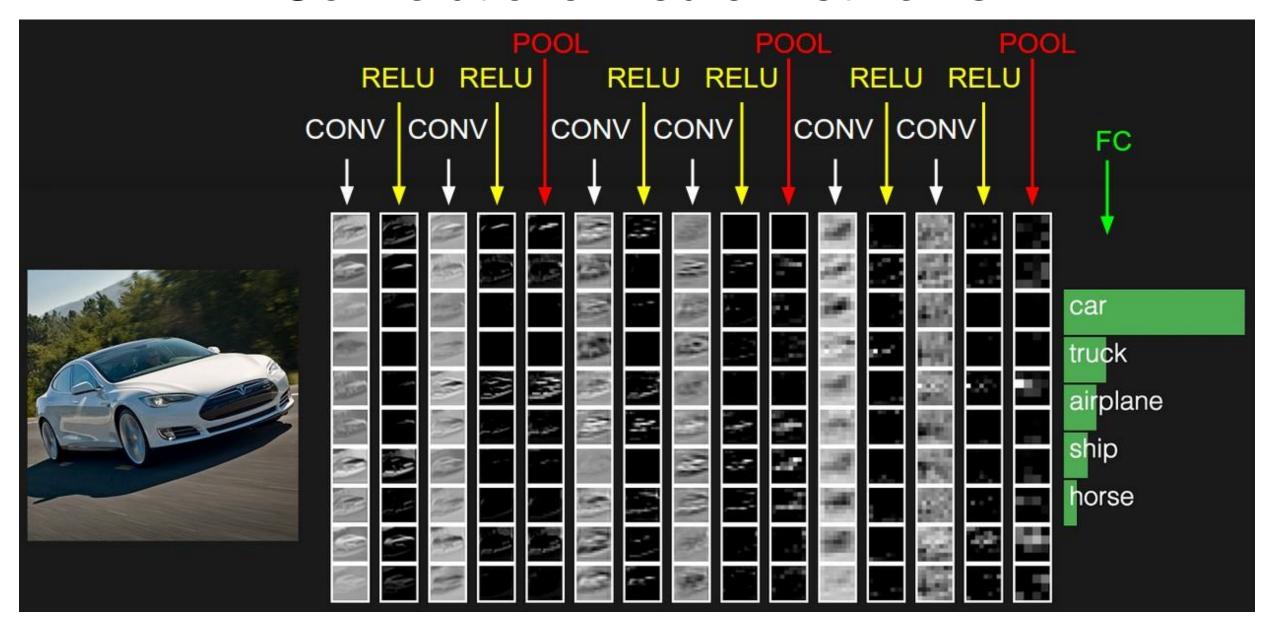
#### Convolutional neural networks



#### Outline

#### Building blocks

- Convolutional layers and backprop rules
- Pooling layers and nonlinearities

#### • Architectures:

- 2012: AlexNet
- 2013: ZFNet
- 2014: VGGNet, GoogLeNet
- 2015: ResNet
- 2016: ResNeXt, DenseNet
- etc.

#### This Class

#### Neural Network and Image

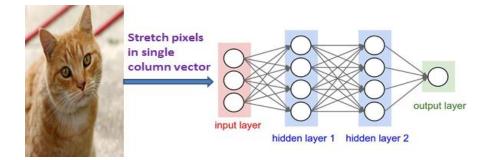
- Dimensionality
- Local relationship

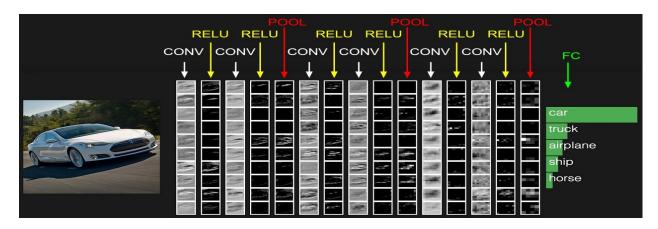
# Convolutional Neural Network (CNN)

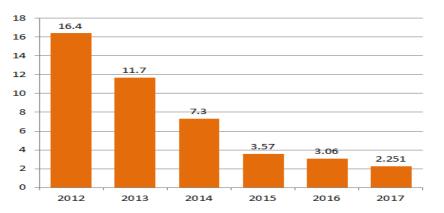
- Convolution Layer
- Non-linearity Layer
- Pooling Layer
- Fully Connected Layer
- Classification Layer

#### ImageNet Challenge

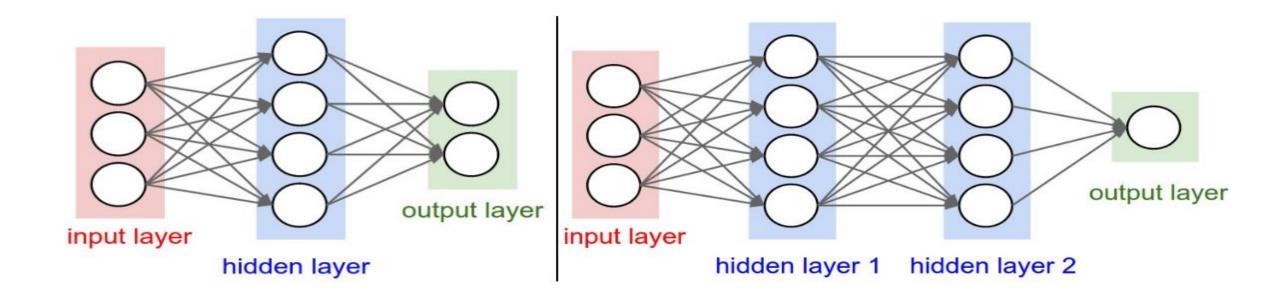
- Progress
- Human Level Performance





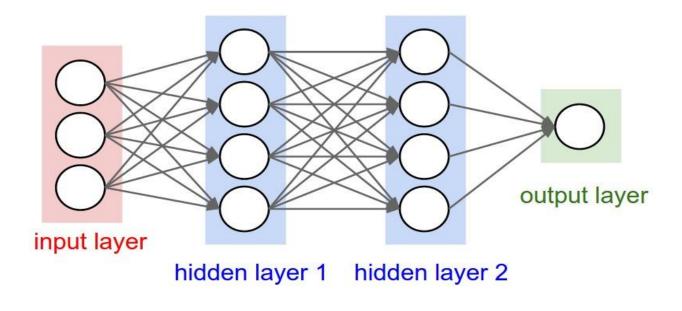


#### **Neural Networks**

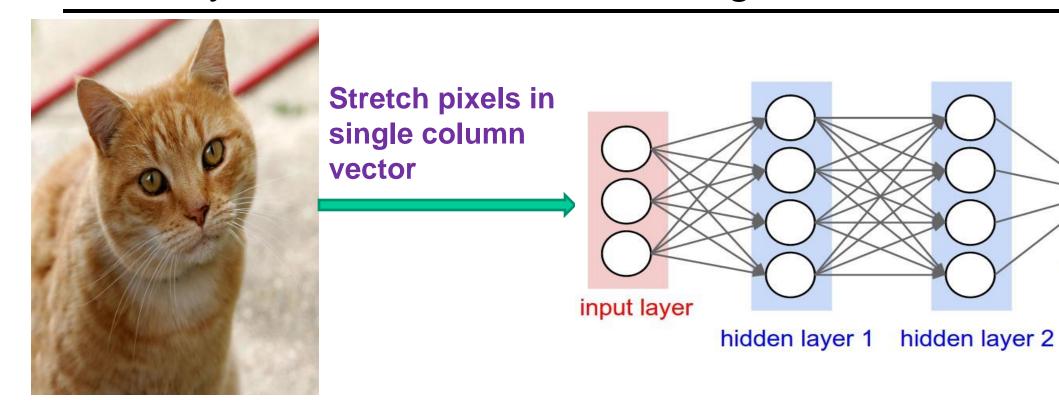


Source: <a href="http://cs231n.github.io">http://cs231n.github.io</a>

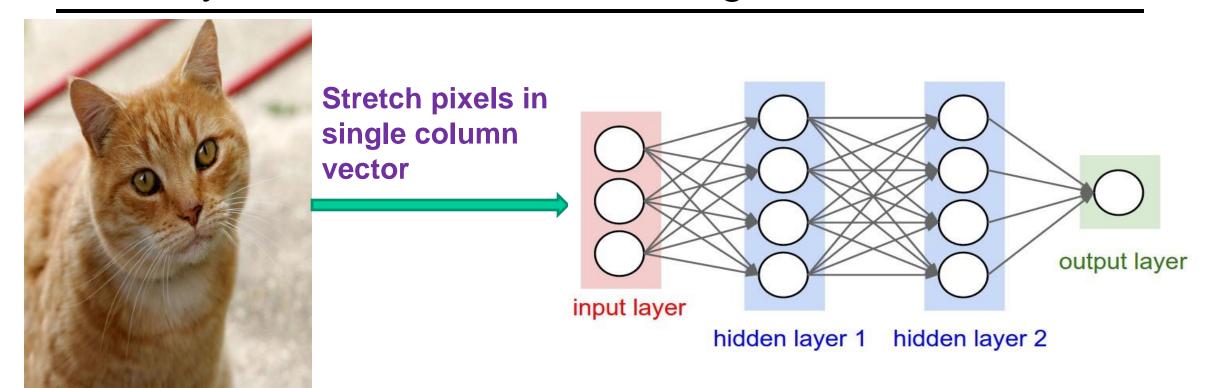




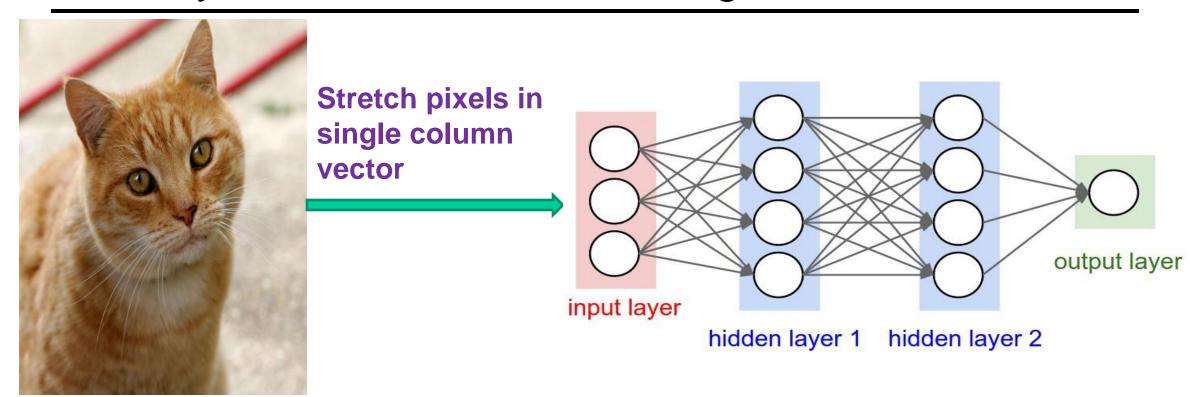
How to apply NN over Image?



output layer



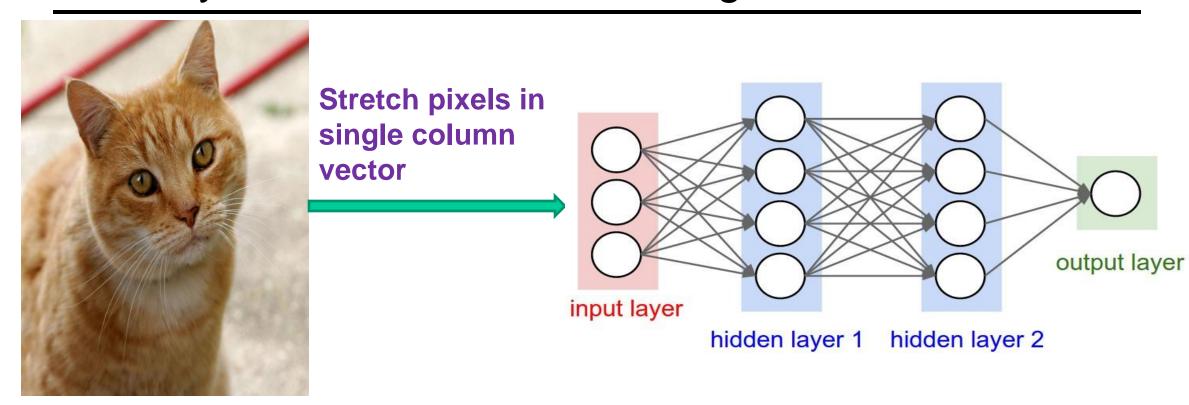
Problems ?



# **Problems:**

**High dimensionality** 

**Local relationship** 

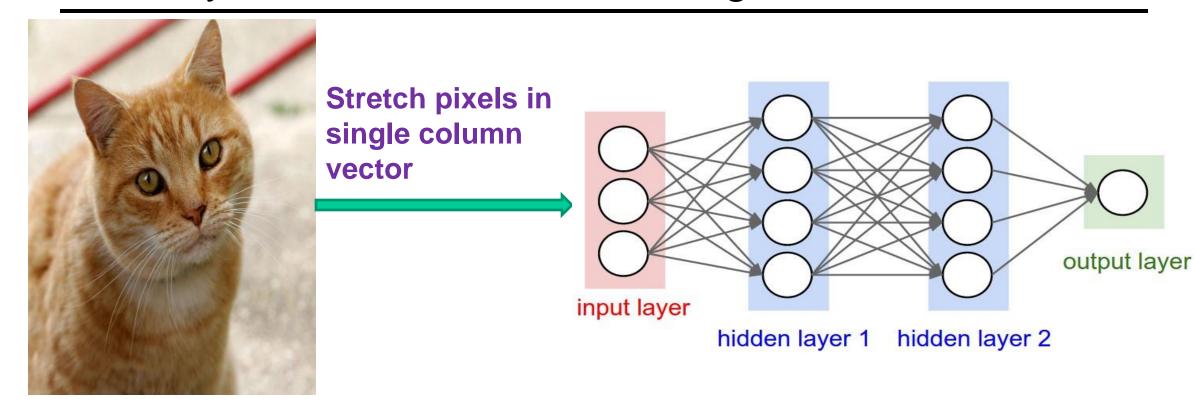


### **Problems:**

**High dimensionality** 

**Local relationship** 

# Solution ?



# **Problems:**

**High dimensionality** 

**Local relationship** 

# **Solution:**

**Convolutional Neural Network** 

#### Convolutional Neural Networks

```
Also known as
```

CNN,

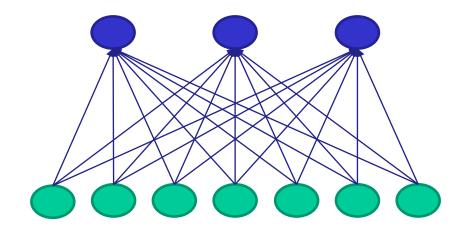
ConvNet,

DCN

CNN = a multi-layer neural network with

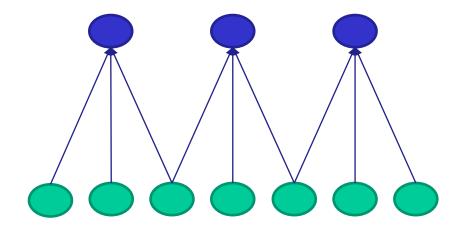
- 1. Local connectivity
- 2. Weight sharing

### **CNN: Local Connectivity**



**Hidden layer** 

**Input layer** 



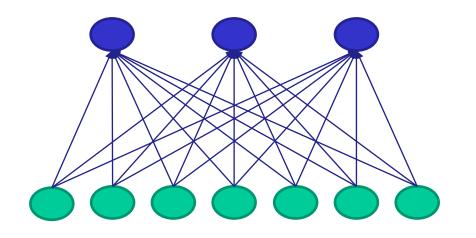
**Local connectivity** 

#### **Global connectivity**

# input units (neurons): 7

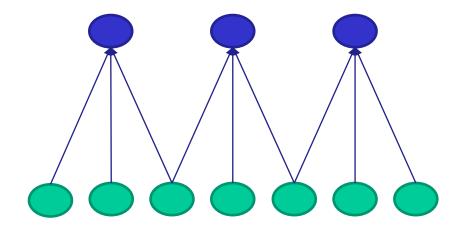
# hidden units: 3

#### **CNN: Local Connectivity**



**Hidden layer** 

**Input layer** 



Local connectivity

#### **Global connectivity**

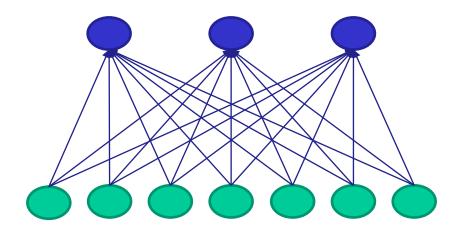
# input units (neurons): 7

# hidden units: 3

#### **Number of parameters**

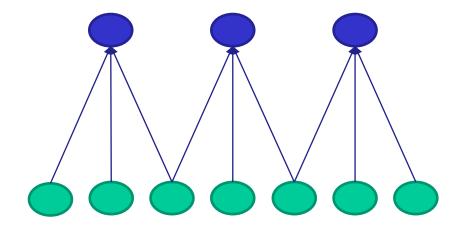
- Global connectivity: ?
- Local connectivity: ?

### **CNN: Local Connectivity**



**Hidden layer** 

Input layer



Local connectivity

#### **Global connectivity**

# input units (neurons): 7

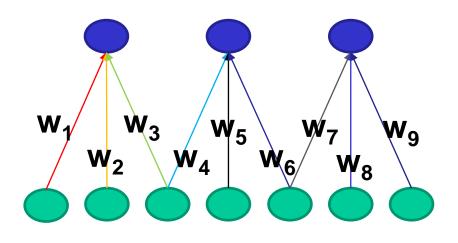
# hidden units: 3

#### **Number of parameters**

Global connectivity: 3 x 7 = 21

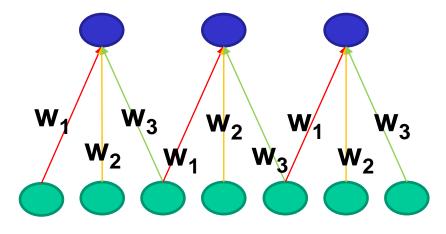
Local connectivity: 3 x 3 = 9

### **CNN: Weight Sharing**



**Hidden layer** 

**Input layer** 



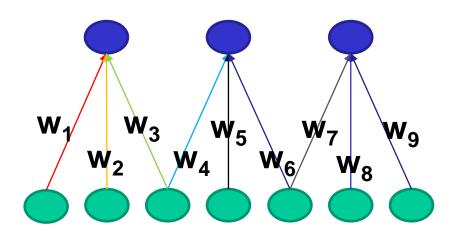
Without weight sharing

# input units (neurons): 7

# hidden units: 3

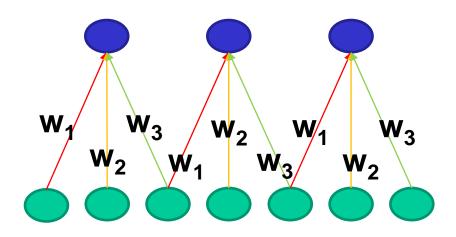
With weight sharing

### **CNN: Weight Sharing**



**Hidden layer** 

Input layer

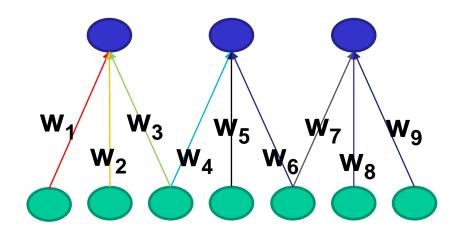


With weight sharing

#### Without weight sharing

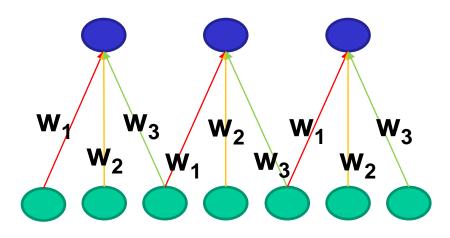
- # input units (neurons): 7
- # hidden units: 3
- Number of parameters
  - Without weight sharing: ?
  - With weight sharing : ?

### **CNN: Weight Sharing**



**Hidden layer** 

**Input layer** 

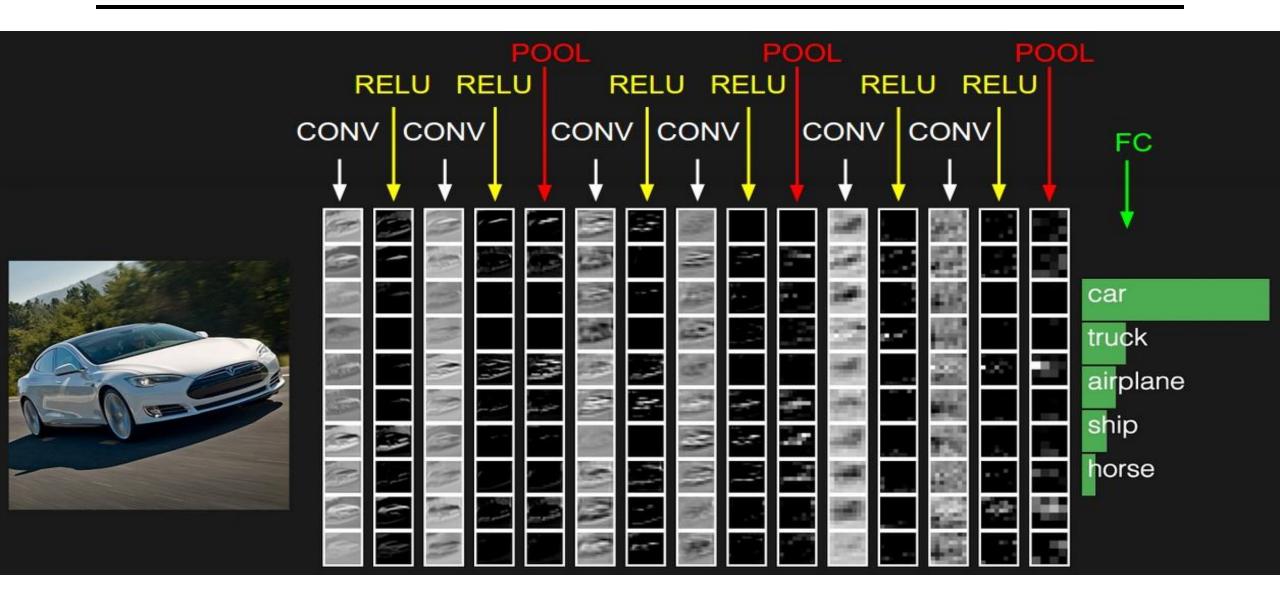


With weight sharing

#### Without weight sharing

- # input units (neurons): 7
- # hidden units: 3
- Number of parameters
  - Without weight sharing:  $3 \times 3 = 9$
  - With weight sharing:  $3 \times 1 = 3$

#### Convolutional Neural Networks



#### Layers used to build ConvNets

Input Layer (Input image)

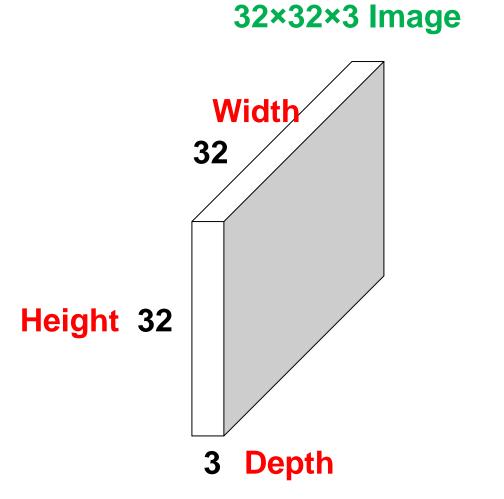
Convolutional Layer

Non-linearity Layer (such as Sigmoid, Tanh, ReLU, PReLU, ELU, Swish, etc.)

Pooling Layer (such as Max Pooling, Average Pooling, etc.)

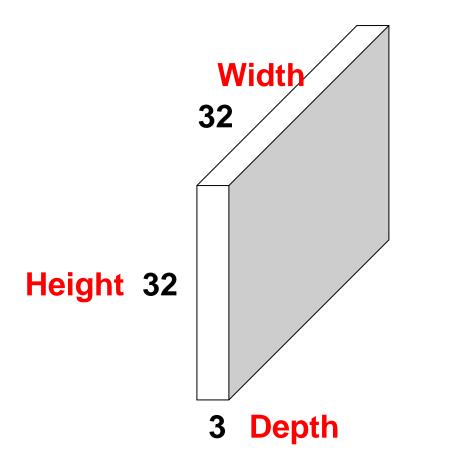
Fully-Connected Layer

Classification Layer (Softmax, etc.)

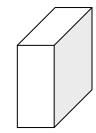


-> preserve spatial structure

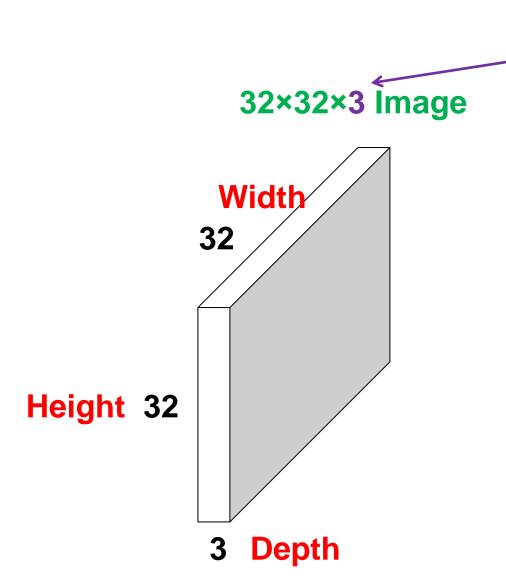
#### 32×32×3 Image





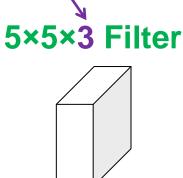


Convolve the filter with the image i.e. "slide over the image spatially, computing dot products"



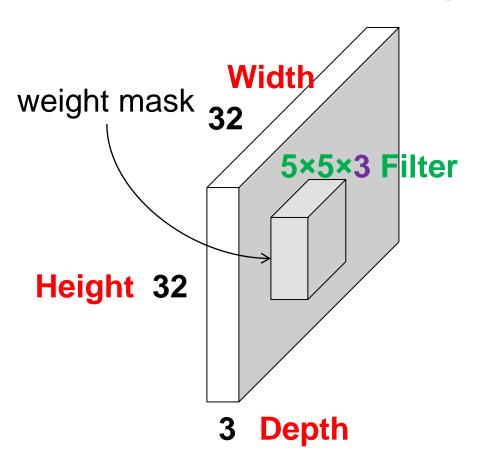
#### Handling multiple input channels

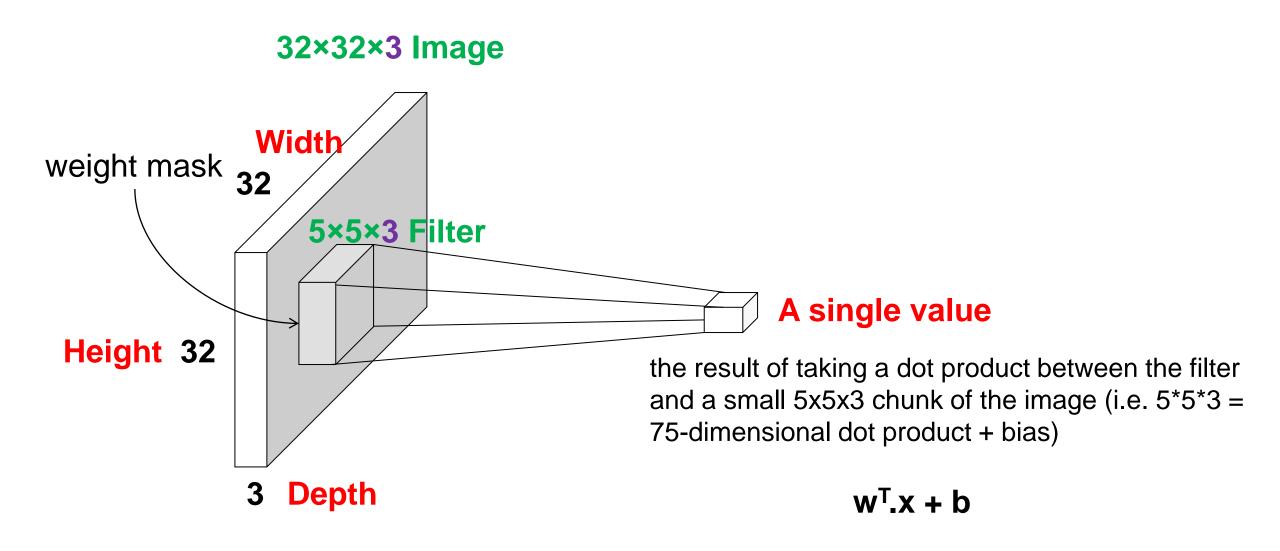
Filters always extend the full depth of the input volume

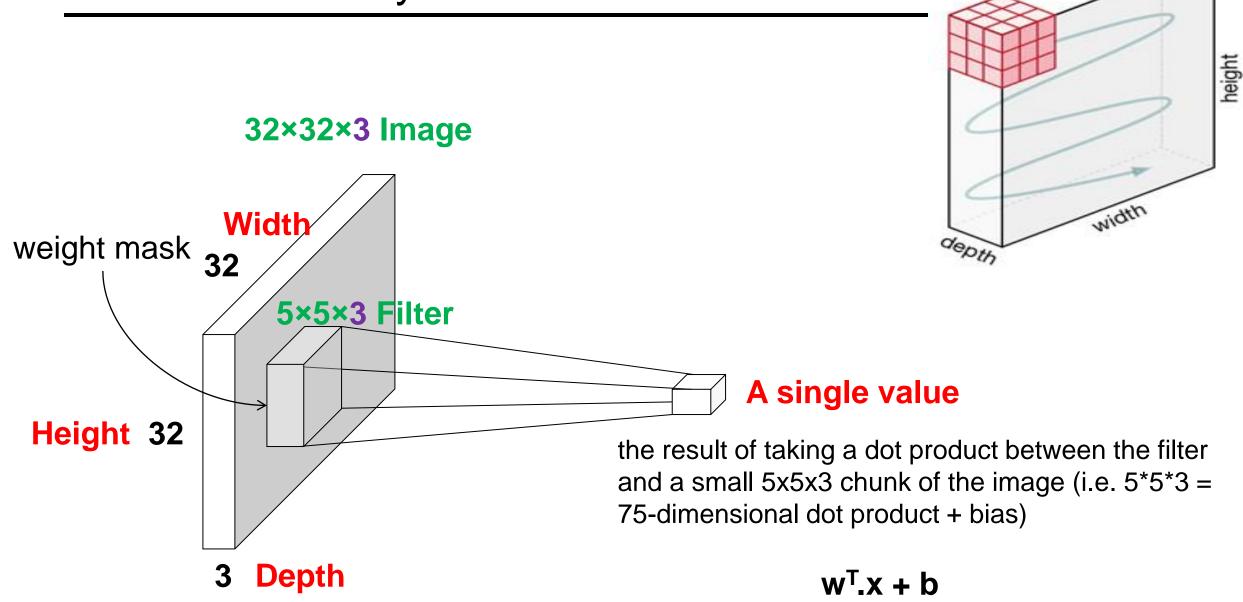


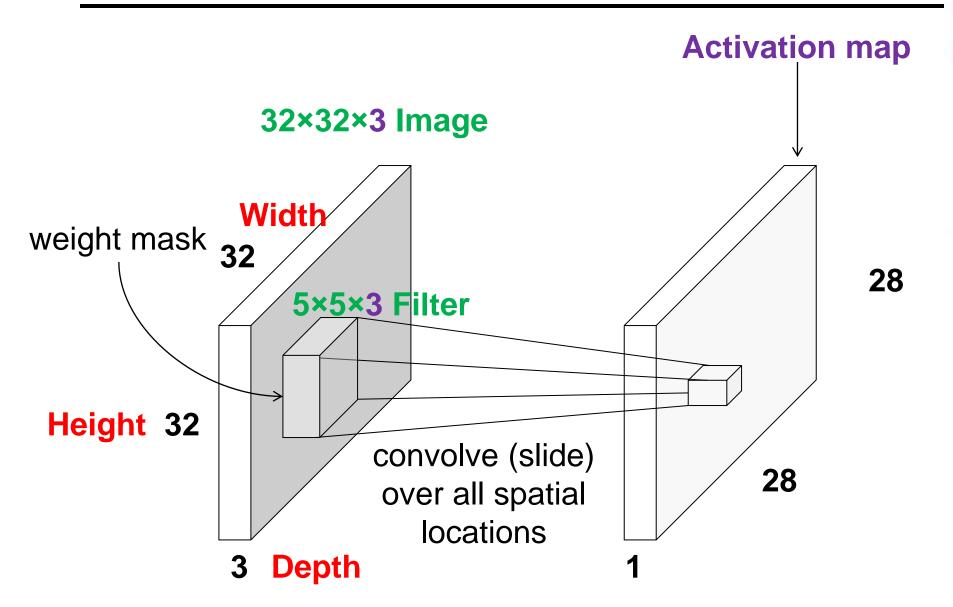
Convolve the filter with the image i.e. "slide over the image spatially, computing dot products"

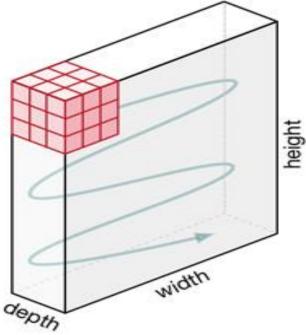




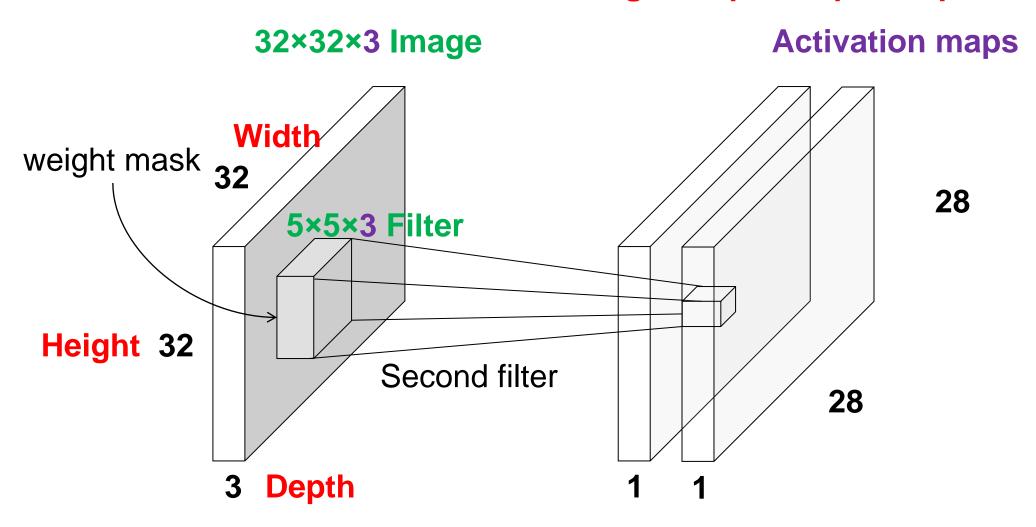




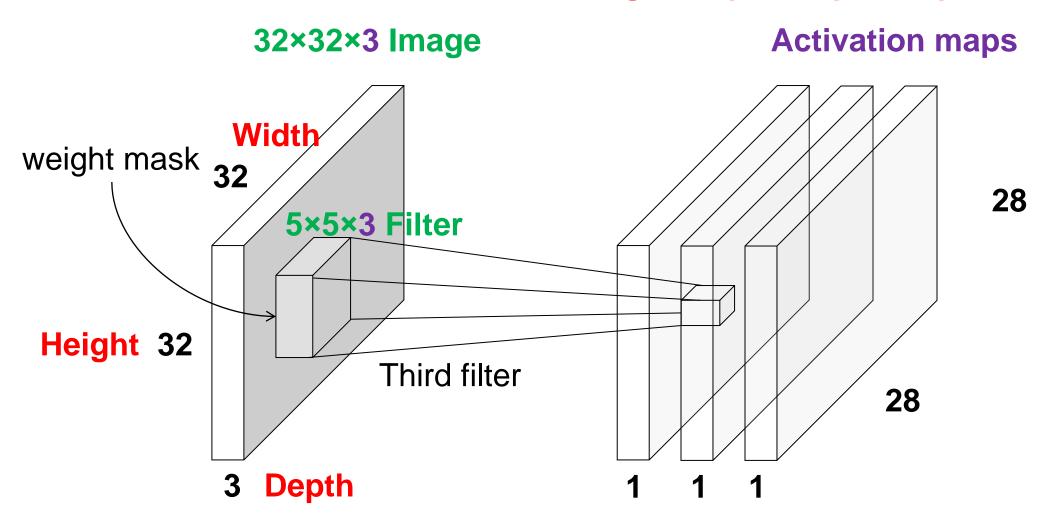




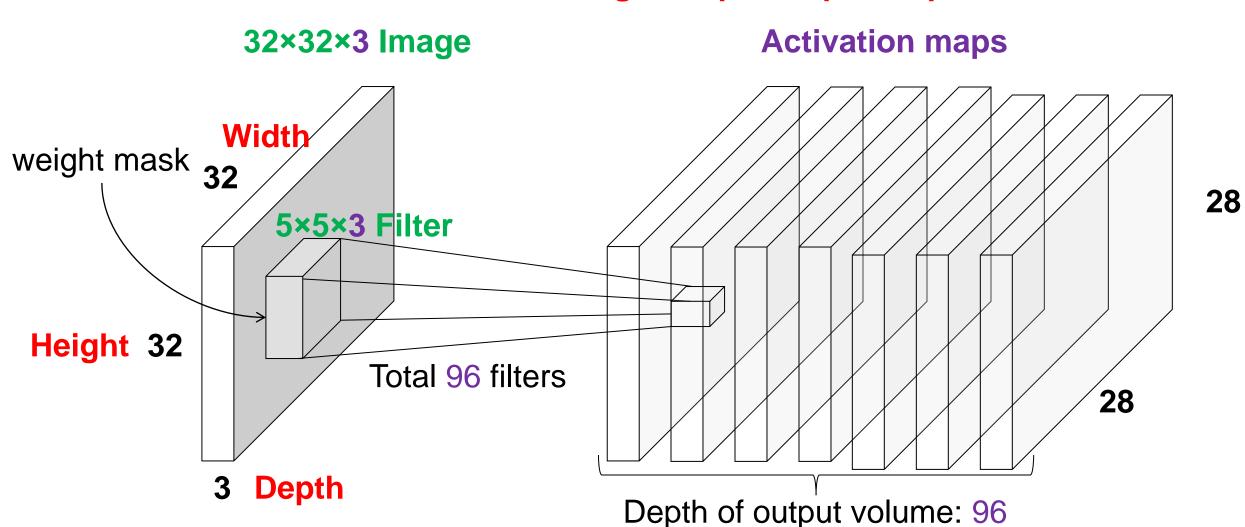
#### Handling multiple output maps



#### Handling multiple output maps

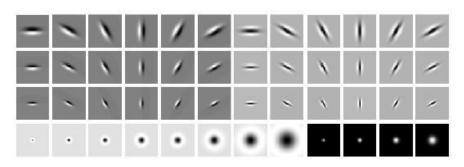


#### Handling multiple output maps



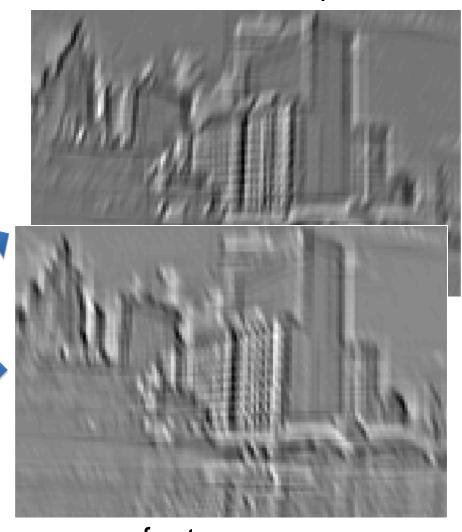
#### Convolution and traditional feature extraction

bank of *K* filters

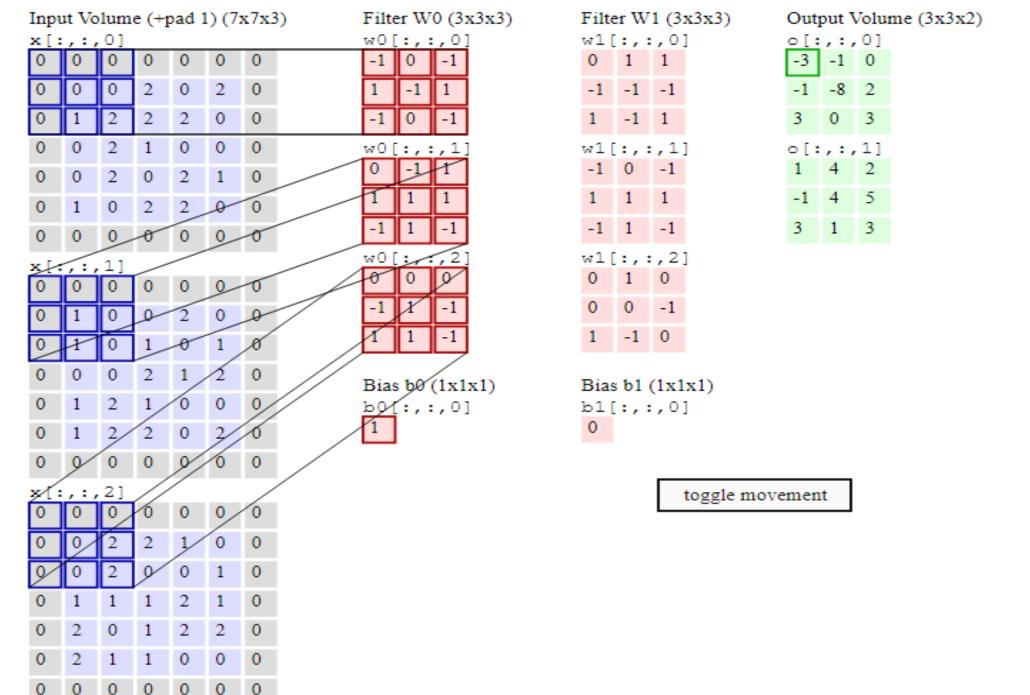


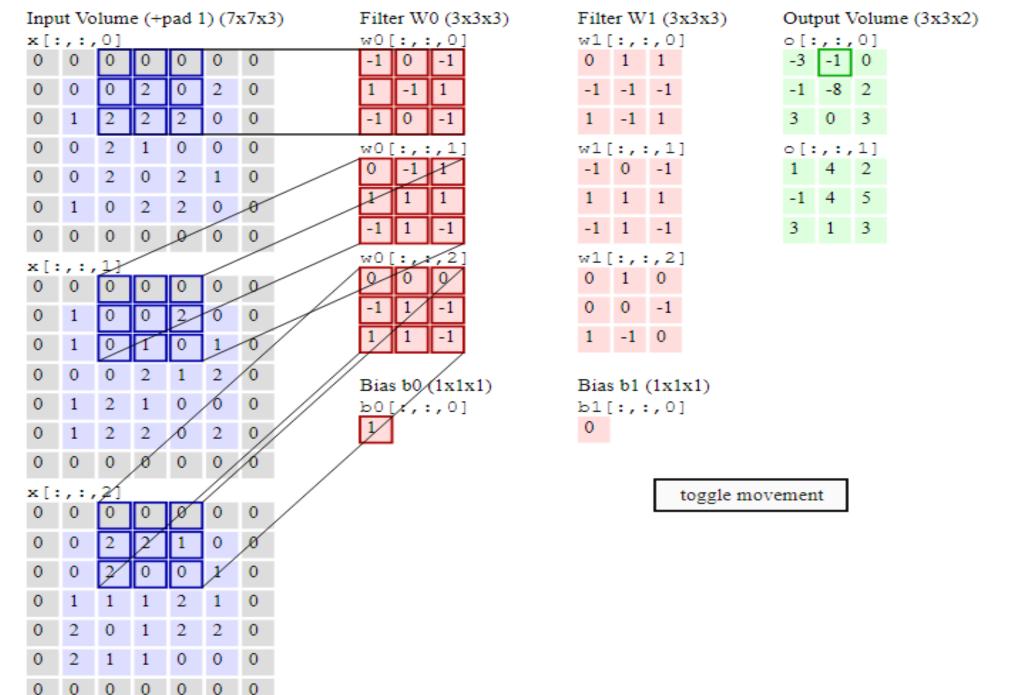
image

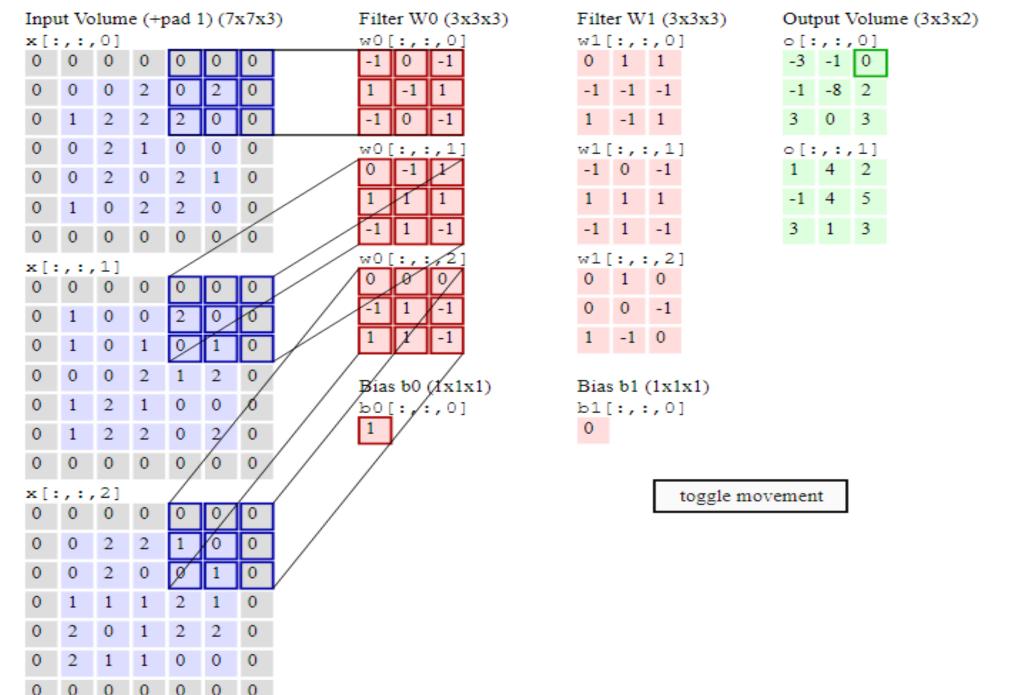
*K* feature maps



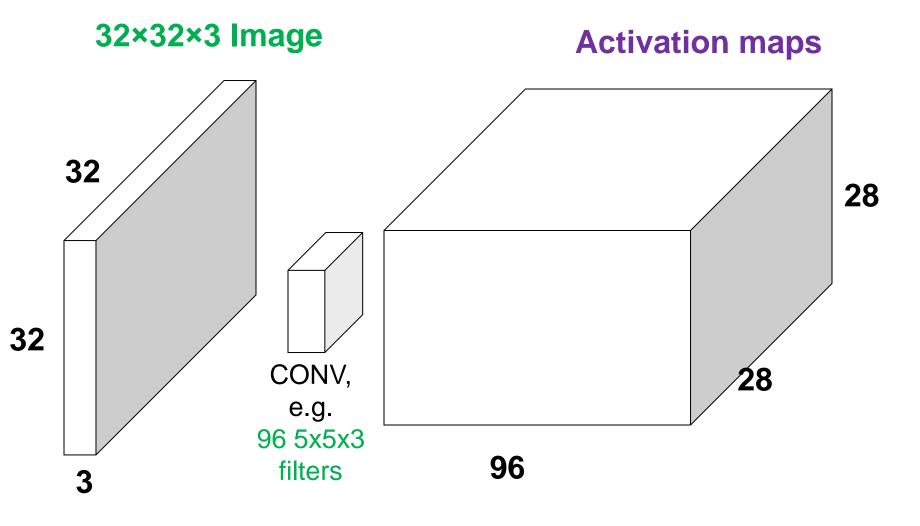
feature map



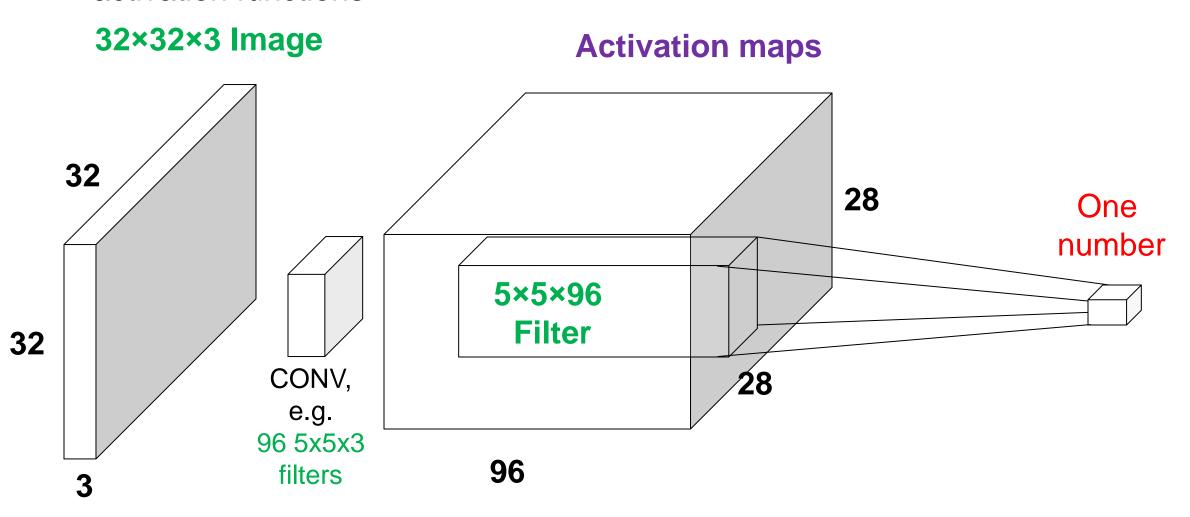




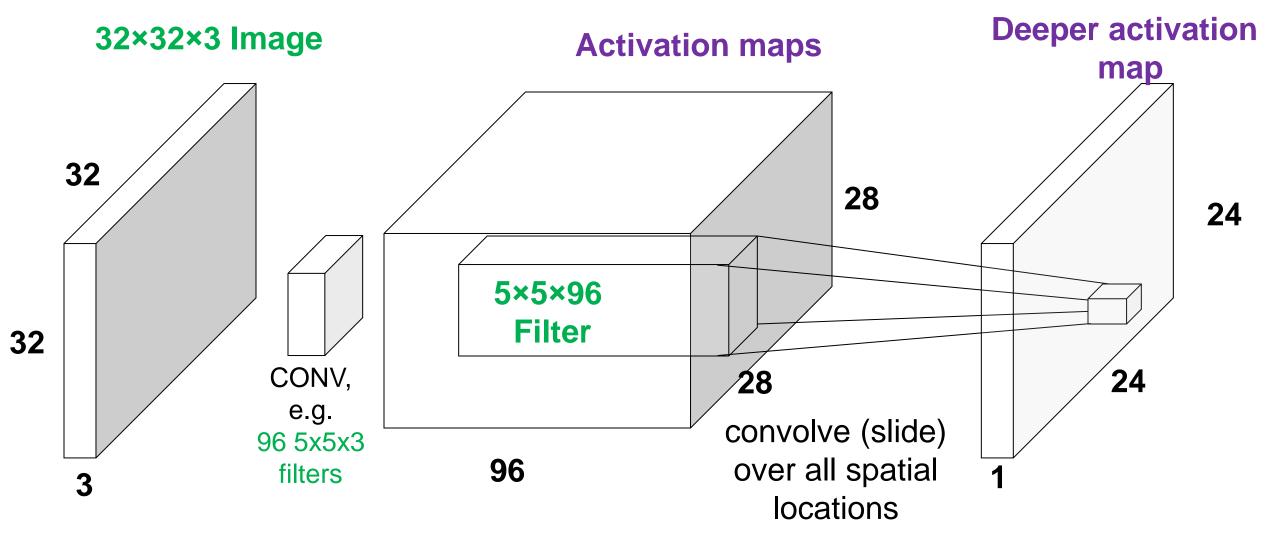
Preview: ConvNet is a sequence of Convolution Layers, interspersed with activation functions



Preview: ConvNet is a sequence of Convolution Layers, interspersed with activation functions

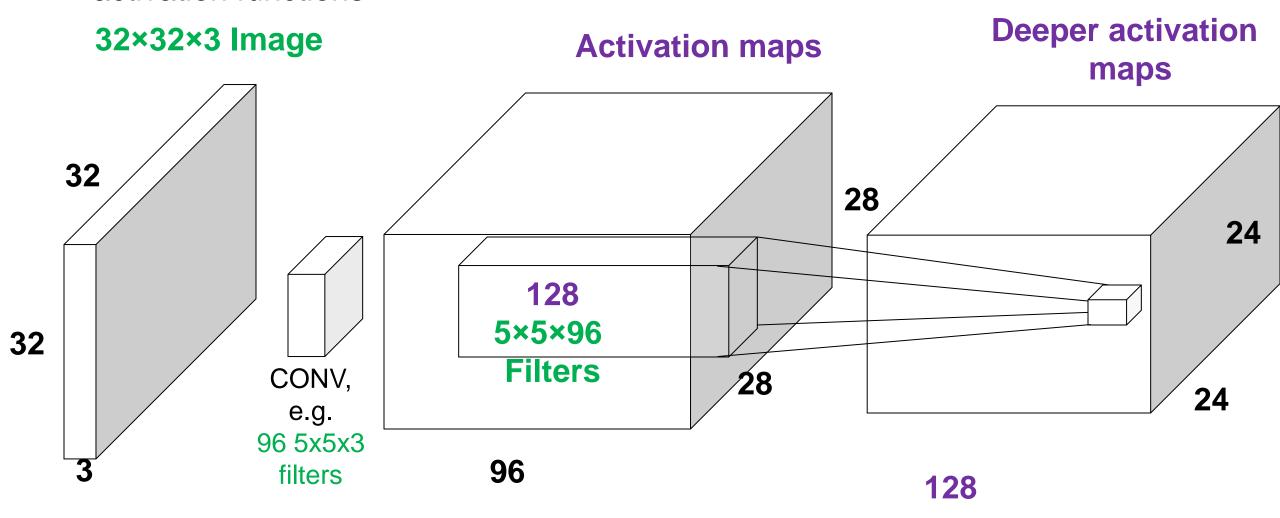


Preview: ConvNet is a sequence of Convolution Layers, interspersed with activation functions



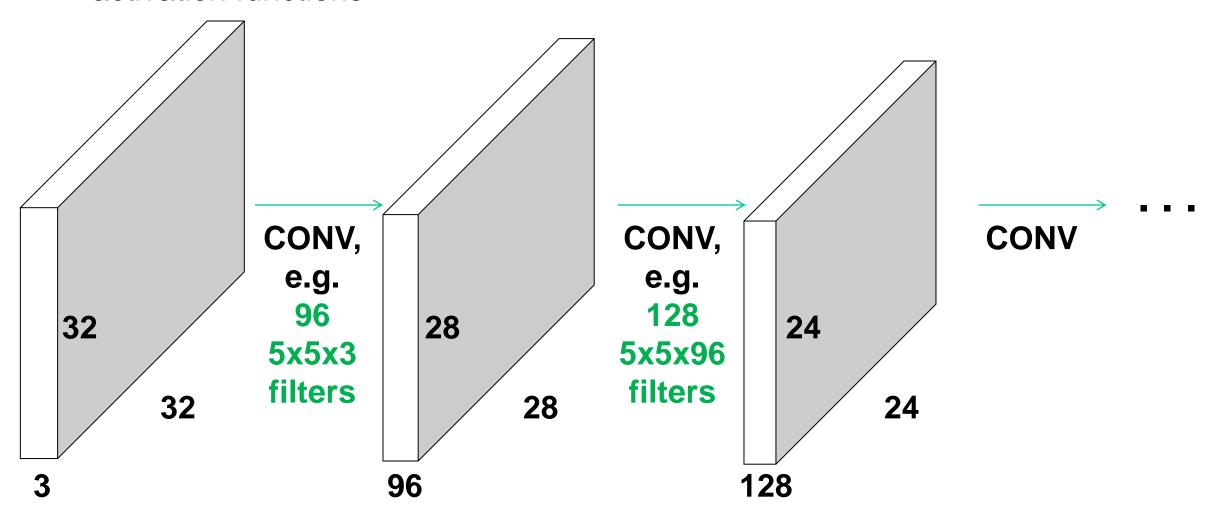
#### Convolutional Layer

Preview: ConvNet is a sequence of Convolution Layers, interspersed with activation functions



#### Multilayer Convolution

Preview: ConvNet is a sequence of Convolution Layers, interspersed with activation functions



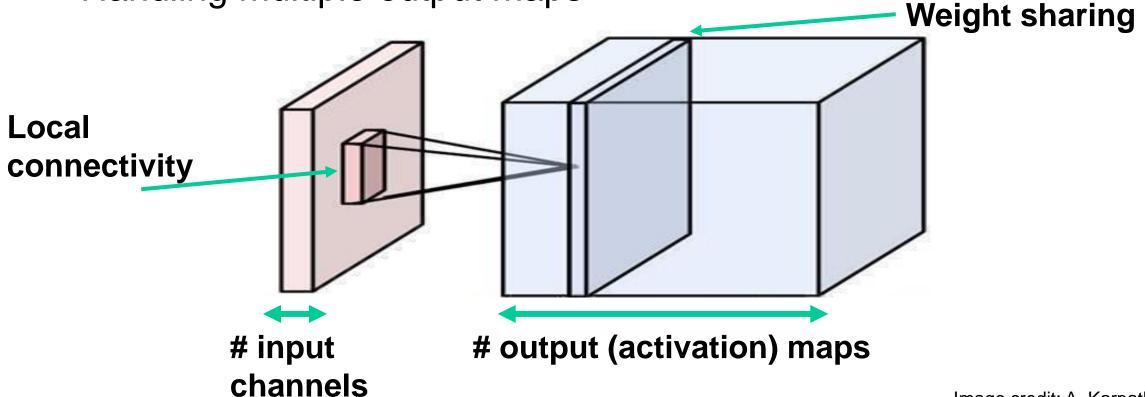
## Any Convolution Layer

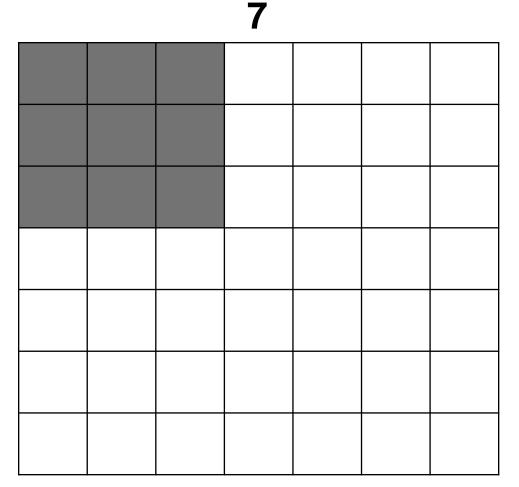
Local connectivity

Weight sharing

Handling multiple input channels

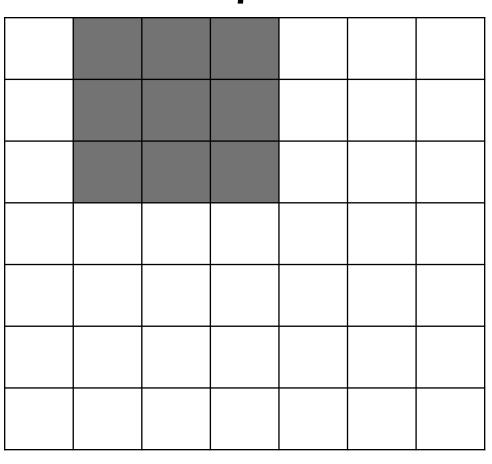
Handling multiple output maps





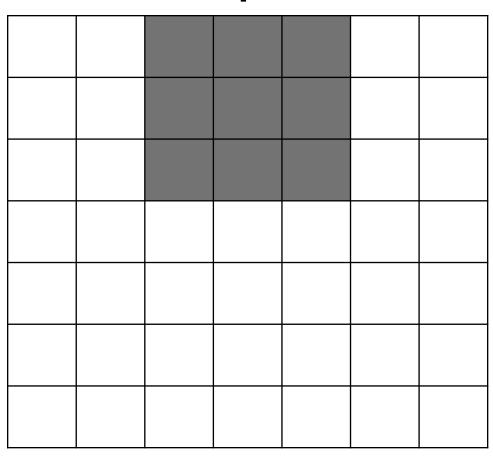
7×7 input (spatially) assume 3×3 filter

7



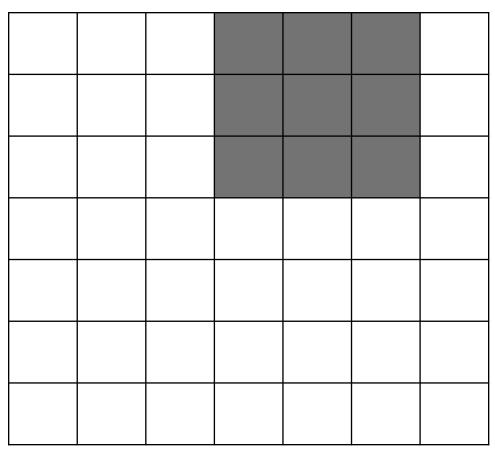
7×7 input (spatially) assume 3×3 filter

7

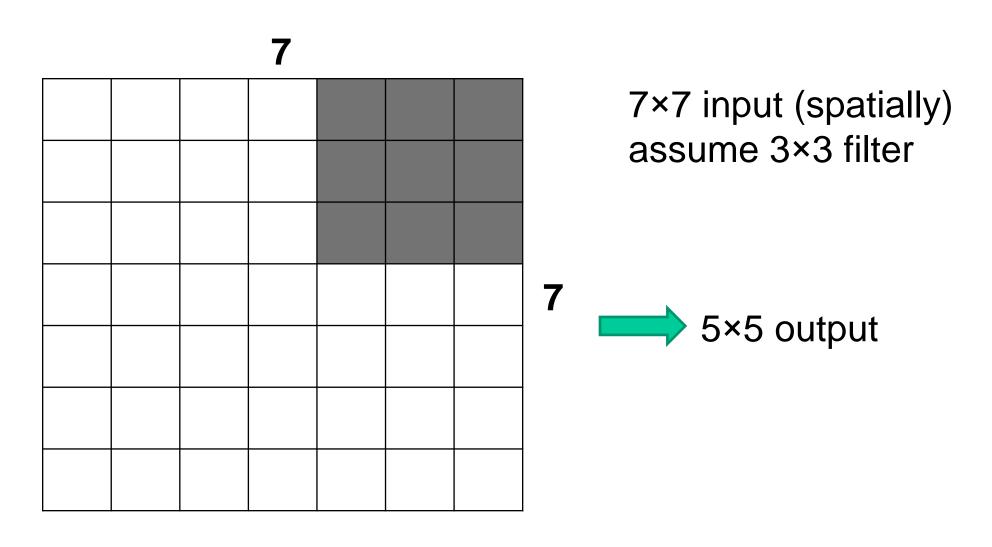


7×7 input (spatially) assume 3×3 filter

7



7×7 input (spatially) assume 3×3 filter

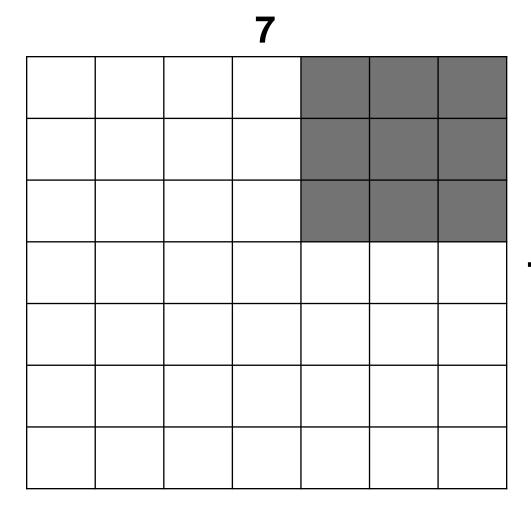


7

7×7 input (spatially) assume 3×3 filter applied with stride 2

7

7×7 input (spatially) assume 3×3 filter applied with stride 2



7×7 input (spatially) assume 3×3 filter applied with stride 2

3×3 output

7

7×7 input (spatially) assume 3×3 filter applied with stride 3

7

	_		

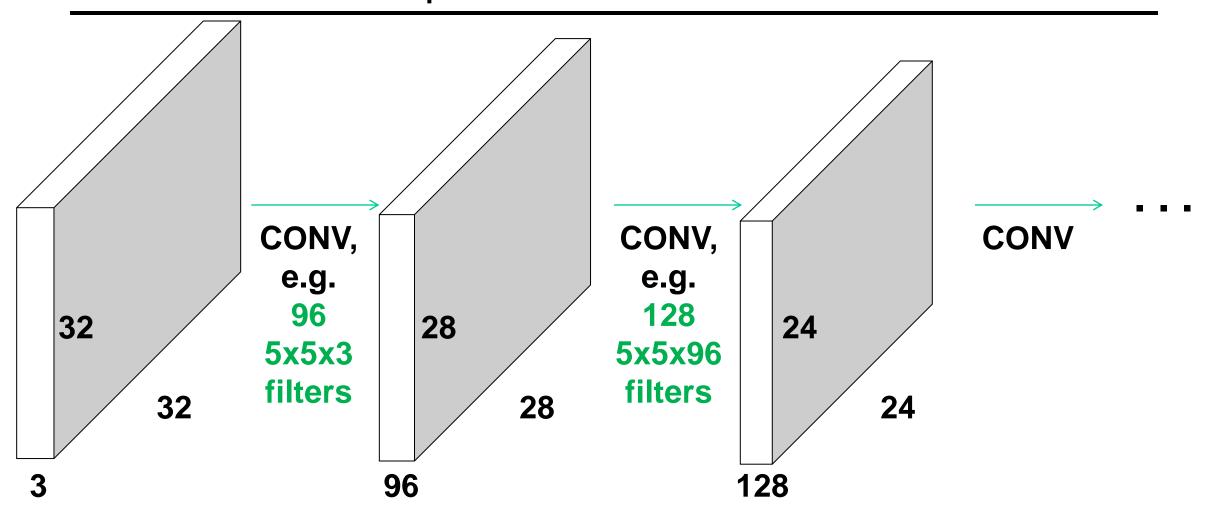
7×7 input (spatially) assume 3×3 filter applied with stride 3

doesn't fit! cannot apply 3x3 filter on 7x7 input with stride 3.

N

Output size (N - F) / stride + 1

N e.g. N = 7, F = 3  
stride 1 => 
$$(7 - 3)/1 + 1 = 5$$
  
stride 2 =>  $(7 - 3)/2 + 1 = 3$   
stride 3 =>  $(7 - 3)/3 + 1 = 2.33$ 



E.g. 32x32 input convolved repeatedly with 5x5 filters shrinks volumes spatially! (32 -> 28 -> 24 ...). Shrinking too fast is not good, doesn't work well.

## In practice: common to zero pad

0	0	0	0	0	0	0	0	0
0								0
0								0
0								0
0								0
0								0
0								0
0								0
0	0	0	0	0	0	0	0	0

e.g. input 7×7 (spatially)3×3 filter, applied with stride 1pad with 1 pixel border

What is the output dimension?

#### In practice: common to zero pad

0	0	0	0	0	0	0	0	0
0								0
0								0
0								0
0								0
0								0
0								0
0								0
0	0	0	0	0	0	0	0	0

e.g. input 7×7 (spatially)3×3 filter, applied with stride 1pad with 1 pixel border

7×7 Output

## In practice: common to zero pad

0	0	0	0	0	0	0	0	0
0								0
0								0
0								0
0								0
0								0
0								0
0								0
0	0	0	0	0	0	0	0	0

e.g. input 7×7 (spatially)3×3 filter, applied with stride 1pad with 1 pixel border

#### 7×7 Output

in general, common to see CONV layers with stride 1, filters of size F×F, and zero-padding with

(F-1)/2. (will preserve size spatially)

e.g.

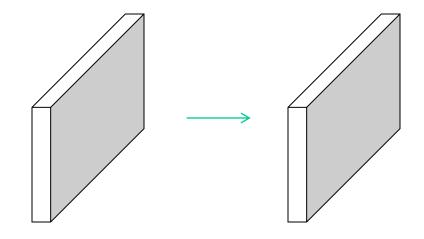
 $F = 3 \Rightarrow zero pad with 1$ 

 $F = 5 \Rightarrow zero pad with 2$ 

 $F = 7 \Rightarrow zero pad with 3$ 

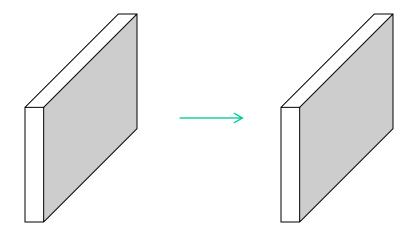
Input volume: 32x32x3 10 5x5 filters with stride 1, pad 2

Output volume size: ?



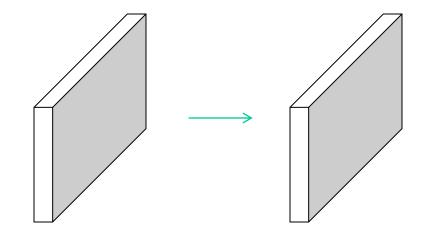
Input volume: 32x32x3 10 5x5 filters with stride 1, pad 2

Output volume size: (32+2\*2-5)/1+1 = 32 spatially, so 32x32x10



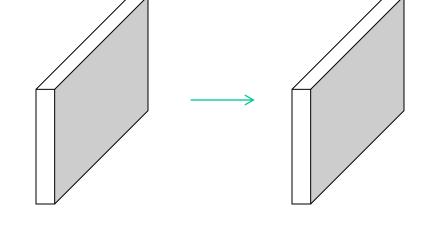
Input volume: 32x32x3 10 5x5 filters with stride 1, pad 2

Number of parameters in this layer?



Input volume: 32x32x3

10 5x5 filters with stride 1, pad 2



Number of parameters in this layer?

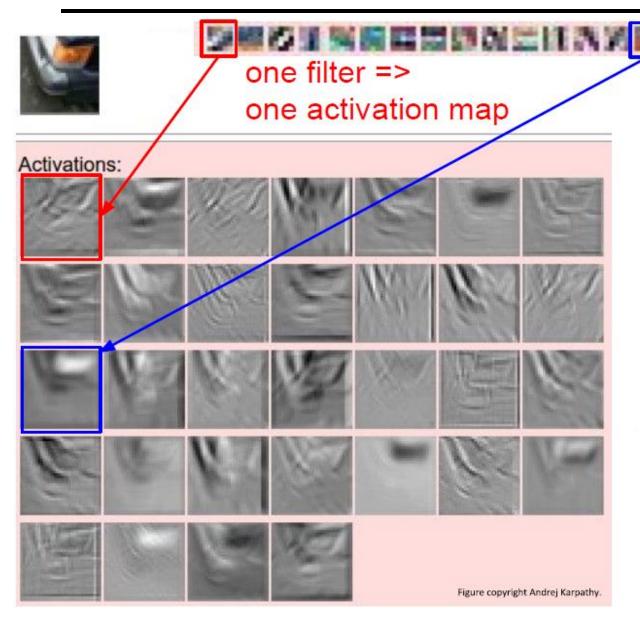
$$5*5*3 + 1 = 76$$
 params (+1 for bias)

#### **Summary**. To summarize, the Conv Layer:

- ullet Accepts a volume of size  $W_1 imes H_1 imes D_1$
- Requires four hyperparameters:
  - $\circ$  Number of filters K,
  - $\circ$  their spatial extent F ,
  - $\circ$  the stride S,
  - $\circ$  the amount of zero padding P.
- ullet Produces a volume of size  $W_2 imes H_2 imes D_2$  where:
  - $\circ W_2 = (W_1 F + 2P)/S + 1$
  - $\circ H_2 = (H_1 F + 2P)/S + 1$  (i.e. width and height are computed equally by symmetry)
  - $\circ D_2 = K$
- With parameter sharing, it introduces  $F \cdot F \cdot D_1$  weights per filter, for a total of  $(F \cdot F \cdot D_1) \cdot K$  weights and K biases.

Source: cs231n, Stanford University

#### Convolution as feature extraction



example 5x5 filters (32 total)

We call the layer convolutional because it is related to convolution of two signals:

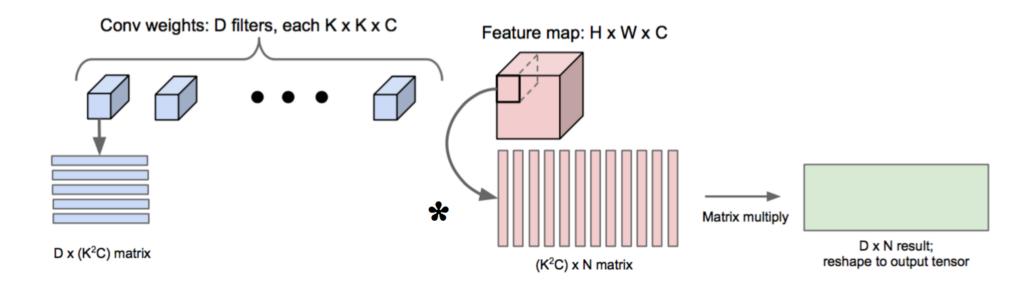
$$f[x,y] * g[x,y] = \sum_{n_1 = -\infty}^{\infty} \sum_{n_2 = -\infty}^{\infty} f[n_1, n_2] \cdot g[x - n_1, y - n_2]$$

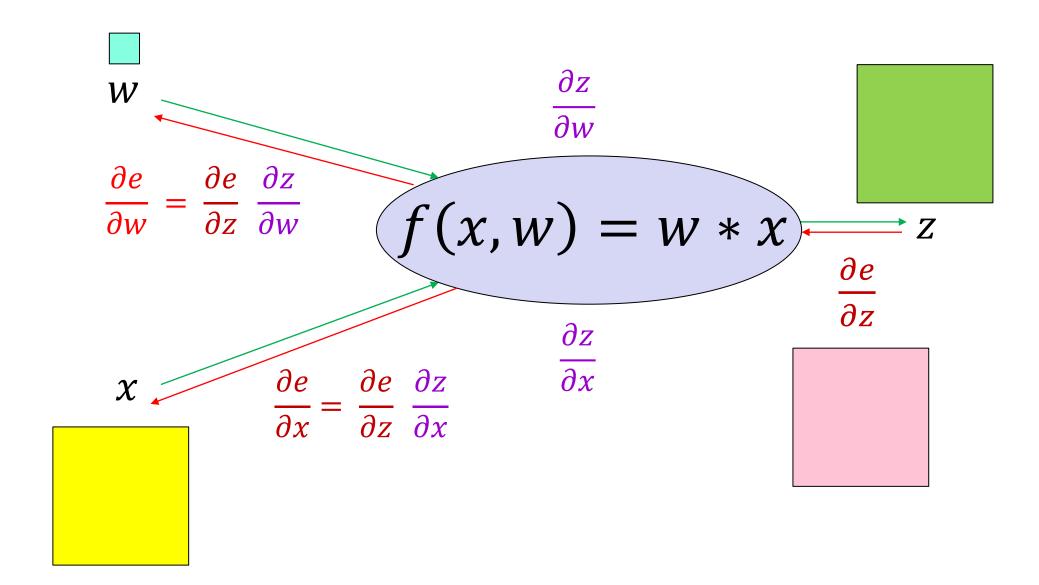
elementwise multiplication and sum of a filter and the signal (image)

Source: cs231n, Stanford University

#### Efficient implementation of convolutions

Reshape all image neighborhoods into columns (im2col operation), do matrix-vector multiplication





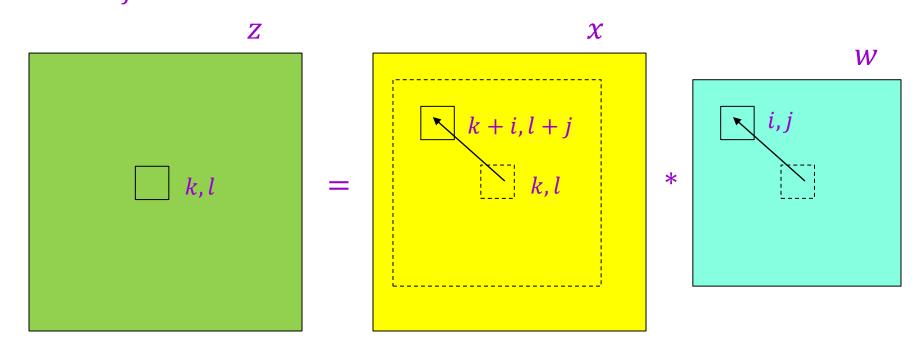
 $\frac{\partial e}{\partial w_{ij}}$ 

$$\frac{\partial e}{\partial w_{ij}} = \frac{\partial e}{\partial z} \frac{\partial z}{\partial w_{ij}} = \sum_{k,l} \frac{\partial e}{\partial z_{kl}} \frac{\partial z_{kl}}{\partial w_{ij}}$$

$$z_{kl} = \sum_{i,j=-f}^{f} w_{ij} x_{k+i,l+j}$$

$$\frac{\partial z_{kl}}{\partial w_{ij}} = \chi_{k+i, \, l+j}$$

 $z_{kl} = \sum_{i,j=-f}^{f} w_{ij} x_{k+i,\,l+j}$  For simplicity, assume filter indices go from -f to f



$$\frac{\partial e}{\partial w_{ij}} = \frac{\partial e}{\partial z} \frac{\partial z}{\partial w_{ij}} = \sum_{k,l} \frac{\partial e}{\partial z_{kl}} \frac{\partial z_{kl}}{\partial w_{ij}} = \sum_{k,l} \frac{\partial e}{\partial z_{kl}} \frac{\partial z_{kl}}{\partial z_{kl}} x_{k+i,l+j}$$

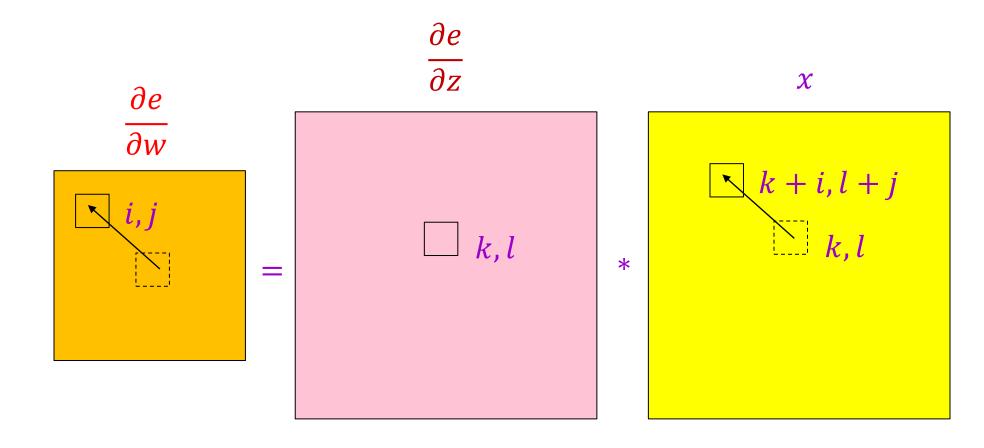
$$z_{kl} = \sum_{i,j=-f}^{f} w_{ij} x_{k+i,l+j}$$

 $z_{kl} = \sum_{i,j=-f}^{f} w_{ij} x_{k+i,\,l+j}$  For simplicity, assume filter indices go from -f to f

$$\frac{\partial z_{kl}}{\partial w_{ij}} = \chi_{k+i, \, l+j}$$

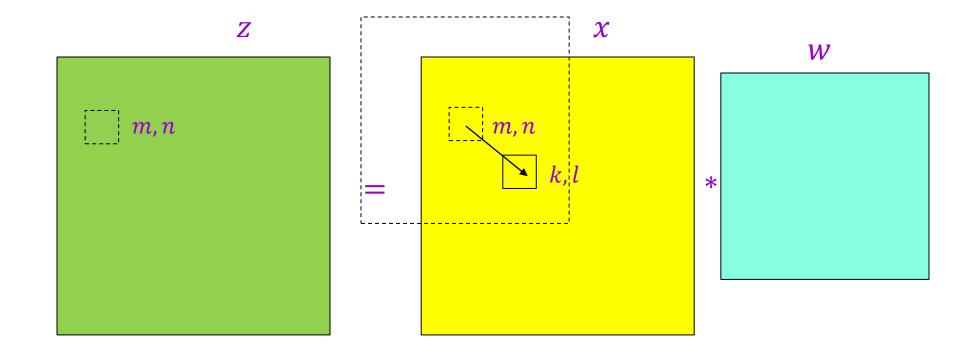
 $\chi$ W

$$\frac{\partial e}{\partial w_{ij}} = \sum_{k,l} \frac{\partial e}{\partial z_{kl}} x_{k+i,\,l+j}$$

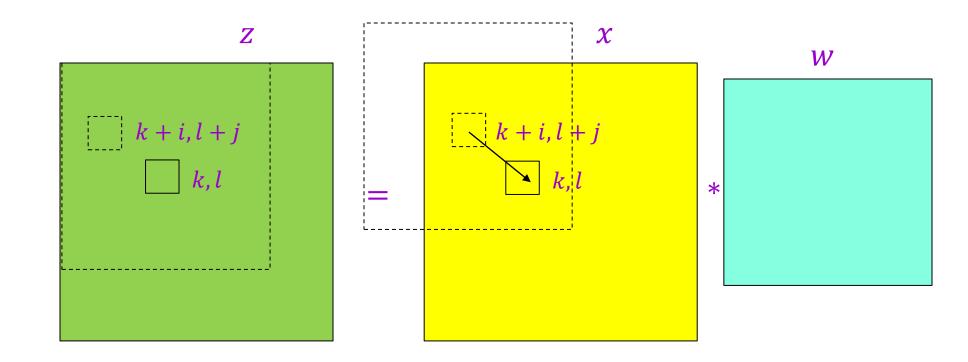


 $\frac{\partial e}{\partial x_{kl}}$ 

$$\frac{\partial e}{\partial x_{kl}} = \frac{\partial e}{\partial z} \frac{\partial z}{x_{kl}} = \sum_{m,n} \frac{\partial e}{\partial z_{mn}} \frac{\partial z_{mn}}{\partial x_{kl}}$$

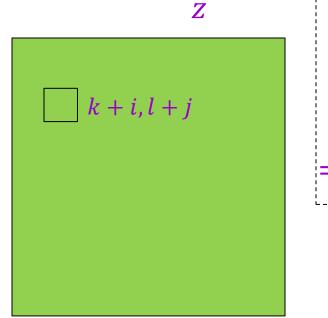


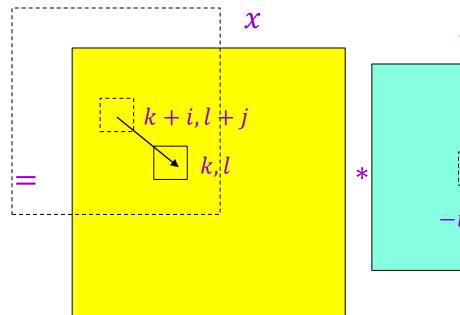
$$\frac{\partial e}{\partial x_{kl}} = \frac{\partial e}{\partial z} \frac{\partial z}{x_{kl}} = \sum_{m,n} \frac{\partial e}{\partial z_{mn}} \frac{\partial z_{mn}}{\partial x_{kl}} = \sum_{i,j} \frac{\partial e}{\partial z_{k+i,l+j}} \frac{\partial z_{k+i,l+j}}{\partial x_{kl}}$$

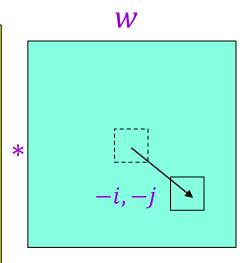


$$\frac{\partial e}{\partial x_{kl}} = \sum_{i,j} \frac{\partial e}{\partial z_{k+i,l+j}} \frac{\partial z_{k+i,l+j}}{\partial x_{kl}}$$

$$\frac{\partial z_{k+i,l+j}}{\partial x_{kl}} = w_{-i,-j}$$

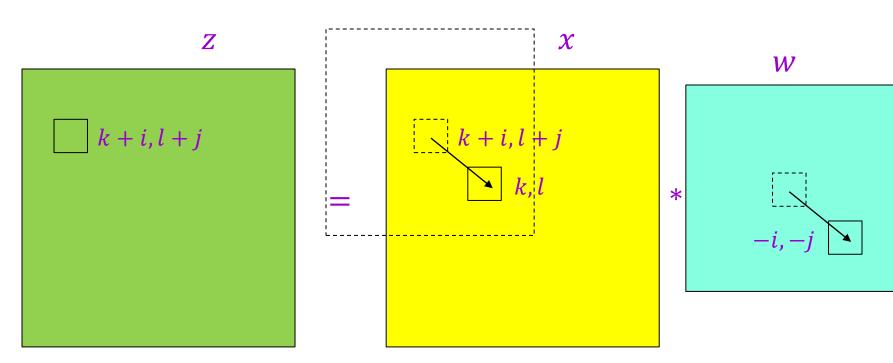






$$\frac{\partial e}{\partial x_{kl}} = \sum_{i,j} \frac{\partial e}{\partial z_{k+i,l+j}} \frac{\partial z_{k+i,l+j}}{\partial x_{kl}} = \sum_{i,j} \frac{\partial e}{\partial z_{k+i,l+j}} w_{-i,-j}$$

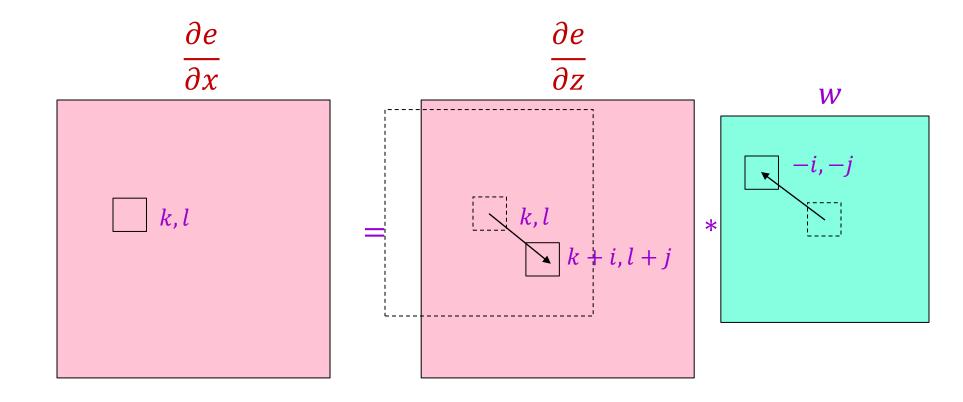
$$\frac{\partial z_{k+i,l+j}}{\partial x_{kl}} = w_{-i,-j}$$



$$\frac{\partial e}{\partial x_{kl}} = \sum_{i,j} \frac{\partial e}{\partial z_{k+i,l+j}} w_{-i,-j}$$

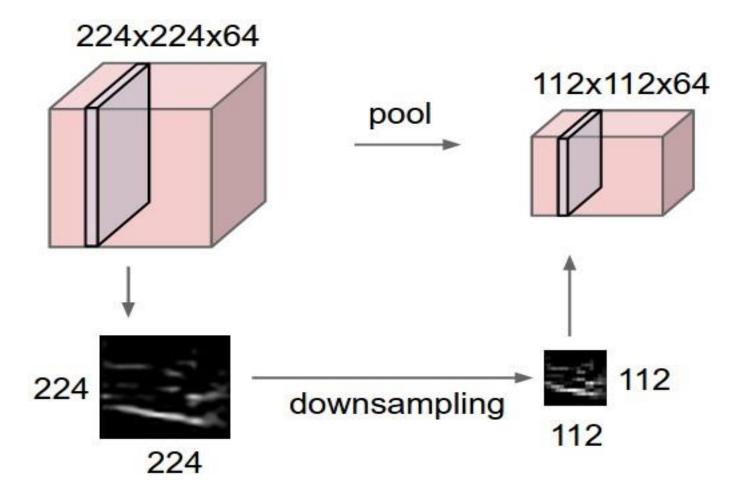
### Backpropagation for convolutional layer

$$\frac{\partial e}{\partial x_{kl}} = \sum_{i,j} \frac{\partial e}{\partial z_{k+i,l+j}} w_{-i,-j}$$

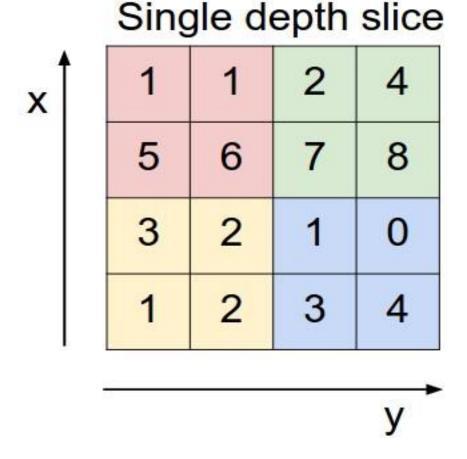


### Pooling Layer

- makes the representations smaller and more manageable
- operates over each activation map independently:



# Max Pooling



max pool with 2x2 filters and stride 2



Backward pass: upstream gradient is passed back only to the unit with max value

# Pooling Layer

- ullet Accepts a volume of size  $W_1 imes H_1 imes D_1$
- Requires two hyperparameters:
  - $\circ$  their spatial extent F,
  - $\circ$  the stride S,
- ullet Produces a volume of size  $W_2 imes H_2 imes D_2$  where:

$$W_0 = (W_1 - F)/S + 1$$

$$H_2 = (H_1 - F)/S + 1$$

$$\circ D_2 = D_1$$

- Introduces zero parameters since it computes a fixed function of the input
- Note that it is not common to use zero-padding for Pooling layers

Source: cs231n, Stanford University

# Fully Connected Layer

- Connect every neuron in one layer to every neuron in another layer
- Same as the traditional multi-layer perceptron neural network

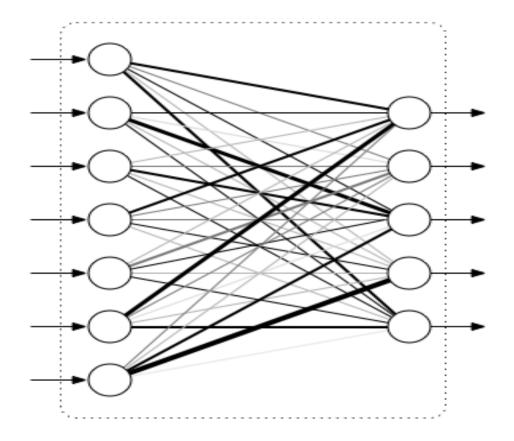


Image Source: machinethink.net

# Fully Connected Layer

- Connect every neuron in one layer to every neuron in another layer
- Same as the traditional multi-layer perceptron neural network

No. of Neurons (Last FC) = No. of classes

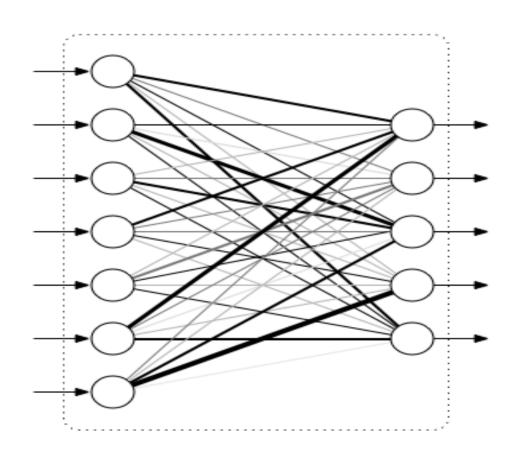


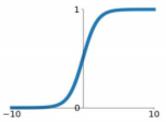
Image Source: machinethink.net

# Non-linearity Layer

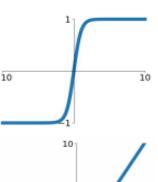
### Activation Functions

### **Sigmoid**

$$\sigma(x) = \frac{1}{1 + e^{-x}}$$

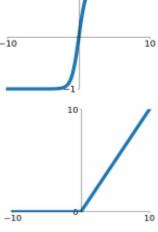


### tanh



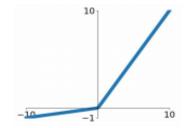
### ReLU

$$\max(0,x)$$



### Leaky ReLU

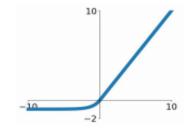
$$\max(0.1x, x)$$



### Maxout

$$\max(w_1^T x + b_1, w_2^T x + b_2)$$

$$\begin{cases} x & x \ge 0 \\ \alpha(e^x - 1) & x < 0 \end{cases}$$

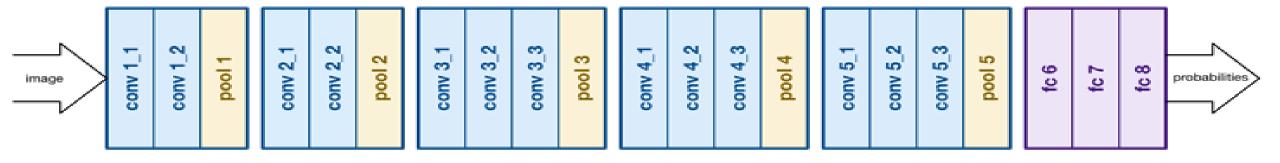


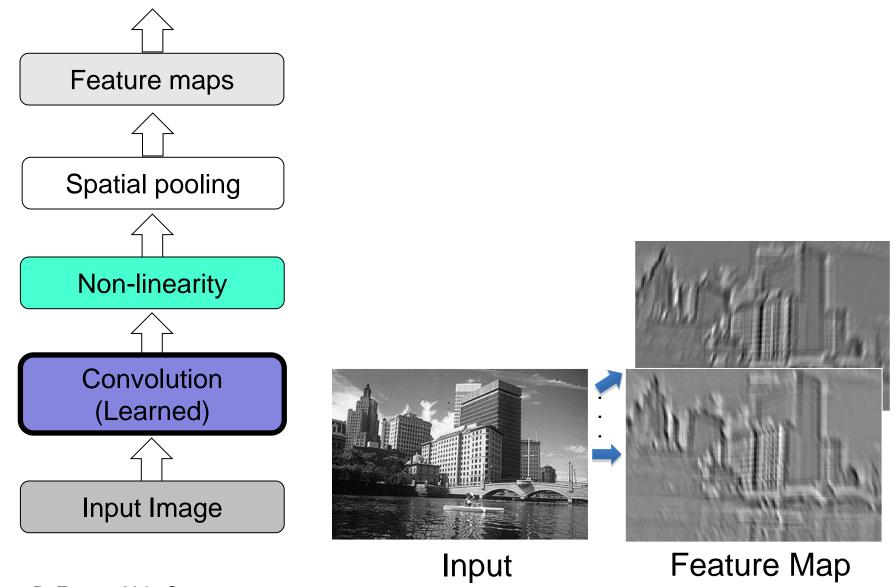
# Classification/Loss Layer

SVM Classifier
SVM Loss/Hinge Loss/Max-margin Loss

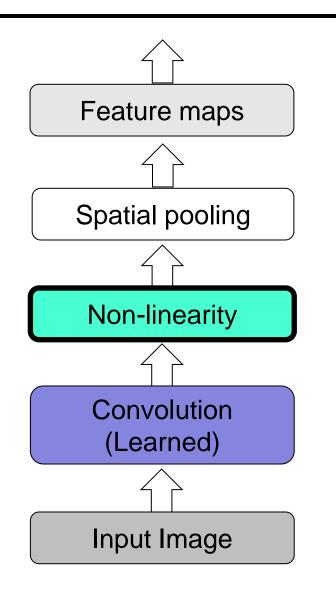
Softmax Classifier
Softmax Loss/Cross-entropy Loss

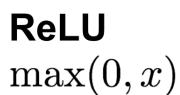
# A typical CNN structure

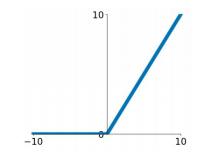




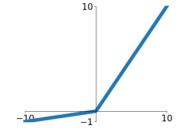
Source: R. Fergus, Y. LeCun



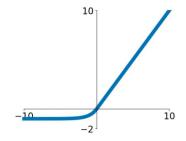




# Leaky ReLU max(0.1x, x)

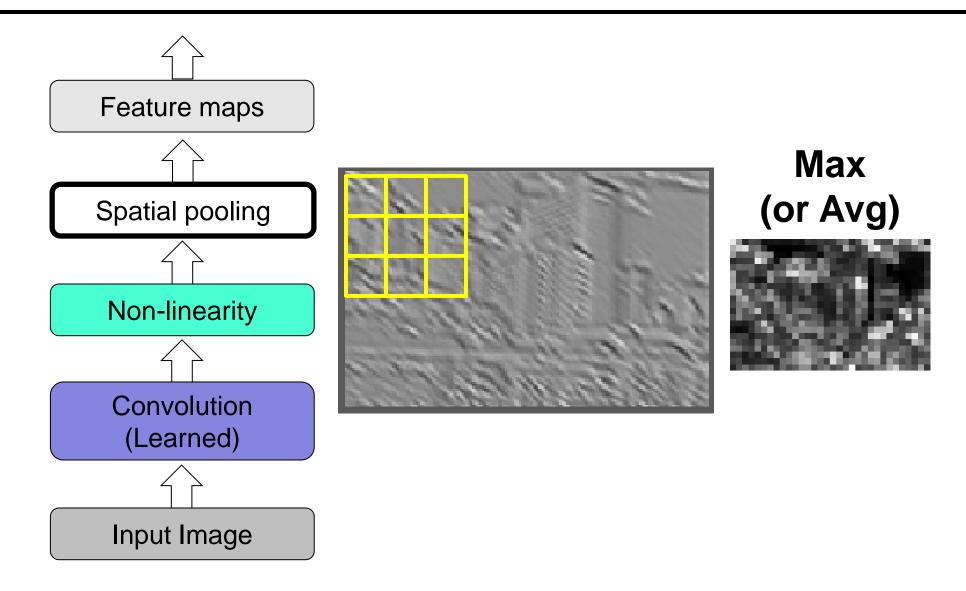


$$\begin{cases} x & x \ge 0 \\ \alpha(e^x - 1) & x < 0 \end{cases}$$

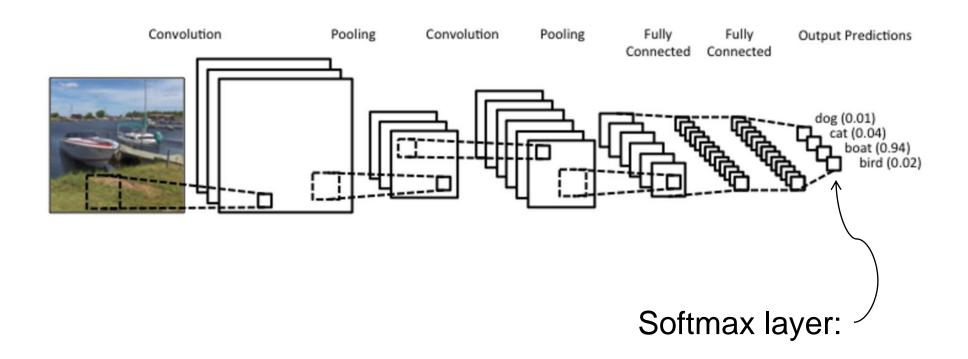


Source: R. Fergus, Y. LeCun

Source: Stanford 231n

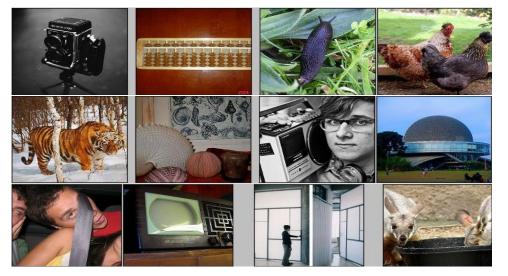


Source: R. Fergus, Y. LeCun



### ImageNet Challenge





- ~14 million labeled images, 20k classes
- Images gathered from Internet
- Human labels via Amazon MTurk
- Challenge: 1.2 million training images, 1000 classes

### ImageNet Challenge

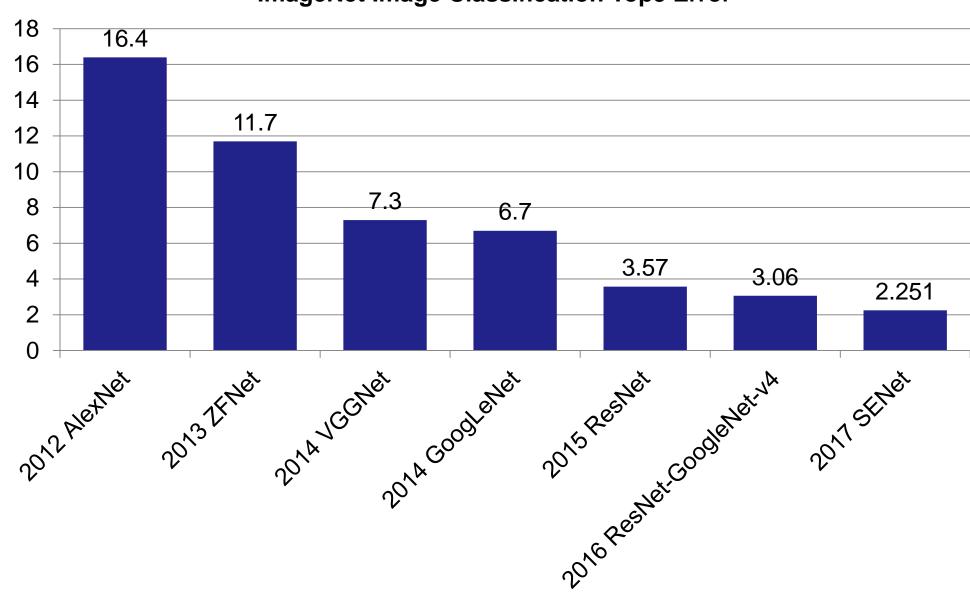


- Mages, 20k classes
  - Images gathered from Internet
  - uman labels via Amazon MTurk
  - Challenge 1.2 million training images, 1000 classes

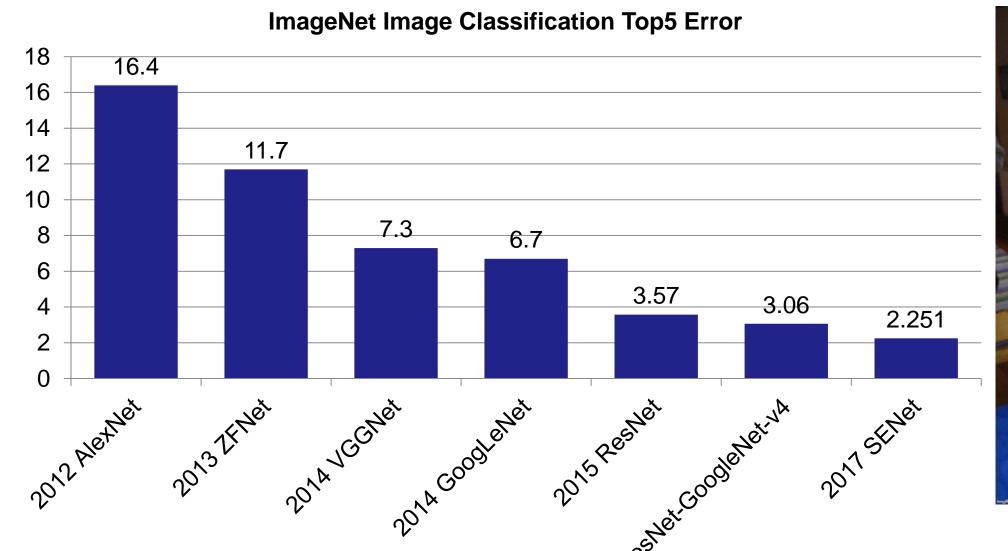
www.image-net.org/challenges/LSVRC/

# Progress on ImageNet Challenge





# Progress on ImageNet Challenge





Best Non-ConvNet in 2012: 26.2%

### Things to remember

### Neural network and Image

 Neuroscience, Perceptron, Problems due to High Dimensionality and Local Relationship

### Convolutional neural network (CNN)

- Convolution Layer,
- Nonlinearity Layer,
- Pooling Layer,
- Fully Connected Layer,
- Loss/Classification Layer

### Progress on ImageNet challenge

Latest SENet, Winner 2017

### Acknowledgement

Thanks to the following courses and corresponding researchers for making their teaching/research material online

- Deep Learning, Stanford University
- Introduction to Deep Learning, University of Illinois at Urbana-Champaign
- Introduction to Deep Learning, Carnegie Mellon University
- Convolutional Neural Networks for Visual Recognition, Stanford University
- Natural Language Processing with Deep Learning, Stanford University
- And Many More .....

### **Next Classes**

# Training Aspects of CNN

**Activation Functions** 

**Dataset Preparation** 

**Data Preprocessing** 

Weight Initialization

**Optimization Methods** 

**Learning Rate** 

Transfer Learning

Generalization

