

第二讲 Principle of Well-Ordering, Structural Induction

1. Principle of Well-Ordering

Every non-empty subset of \mathbb{N} has a smallest element.

Principle of well ordering 与 induction 等价

如果见到一道题要用 principle of well ordering 证明，如果你这道题会用 induction 做那么证明步骤如下。

- 定义 predicate $P(n)$
- Assume there is some m such that $\neg P(m)$
- 定义 $S = \{n \in \mathbb{N} \mid \neg P(n)\}$
- 说明 S 是 non-empty by assumption (m in S)
- By principle of well-ordering, there is a smallest element in S , say $a \in S$
- 那么 $a-1 < a$ (或者 $a-k$ for some k)
- 证明 $a-1$ 在 natural number 里 (相当于 induction 里的 base case)
- $P(a-1)$ since a is the smallest element of S
- 由 $P(a-1)$ 推出 $P(a)$
- Contradiction

Example 1: 利用 principle of well-ordering 证明 $\sum_{k=0}^n k = \frac{n(n+1)}{2}$ for all $n \in \mathbb{N}$

Example 2: 利用 principle of well-ordering 证明 $\sqrt{2}$ 是无理数 ($\sqrt{2}$ is irrational)

Hint 1: $1.4 < \sqrt{2} < 1.5$

Hint 2: $(\sqrt{2} + 1)(\sqrt{2} - 1) = 1$

2. Structural Induction

(i) Recursively Defined Sets

- Smallest element
- How to construct complex elements from simpler ones.

Recursive definition of N

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Recursive definition of the set of well-formed algebraic expressions E

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Recursive definition of the set of rooted binary trees T

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(ii) Structural Induction

定义 predicate

Base Case: 对应 definition 中的 base case

Induction Step: IH

Example:

Let E be a set of expressions defined as follows:

- $\text{two} \in E$;
- if e_1 and e_2 are in E , then so are $(e_1 \text{ plus } e_2)$ and $(e_1 \text{ times } e_2)$;

For $e \in E$, let $L(e)$ be the total number of **two**'s, **plus**'s, and **times**'s in e , and $T(e)$ be the number of **two**'s in e . For example

$$\begin{aligned} L((\text{two plus two})) &= 3, & T((\text{two plus two})) &= 2, \\ L((\text{two plus (two plus two)})) &= 5, & T((\text{two plus (two plus two)})) &= 3. \end{aligned}$$

Prove by Structural Induction that for all $e \in E$, $T(e) = \frac{L(e)+1}{2}$.

Example 2:

Let $\Sigma = \{a, b\}$ be a set of characters. Let A be a set of strings of characters in Σ . Assume A is defined as follows:

- $a \in A$;
- if $s \in A$, then $s \cdot a \in A$ and $s \cdot b \in A$, where \cdot denotes string concatenation;

Use structural induction to prove that every string in A begins with an a .