

1. (a) Suppose  $D \leq_p E$  and  $E \in NP$ . Then, there is a polynomial-time computable function  $f$  such that for all inputs  $x$  (for  $D$ ),  $f(x)$  is an input for  $E$  and  $x$  is a yes-instance for  $D$  iff  $f(x)$  is a yes-instance for  $E$ . Also, there is a verifier  $V_E(x, c)$  for  $E$  that runs in polynomial time and such that for all yes-instances  $x$ ,  $V_E(x, c) = \text{True}$  for some  $c$ ; for all no-instances  $x$ ,  $V_E(x, c) = \text{False}$  for all  $c$ .

We construct a verifier  $V_D$  for  $D$  as follows.

$V_D(x, c)$ : **return**  $V_E(f(x), c)$

Note that  $V_D$  runs in polynomial time (as a function of  $\text{size}(x)$ ) because  $f$  is computable in polytime and  $V_E$  runs in polytime.

Also,  $V_D(x, c)$  outputs **True** for some value of  $c$  iff  $V_E(f(x), c)$  outputs **True** for some value of  $c$  (by the construction of  $V_D$ ) iff  $f(x)$  is a yes-instance for  $E$  (by definition, since  $V_E$  is a verifier for  $E$ ) iff  $x$  is a yes-instance for  $D$  (by definition, since  $D \leq_p E$ ).

Hence,  $V_D$  is a polytime verifier for  $D$  so  $D \in NP$ , by definition.

- (b) Decision problem  $D$  is *coNP*-hard iff

$$\forall D' \in \text{coNP}, D' \leq_p D.$$

- (c) Suppose  $D$  is *coNP*-hard and  $D \in NP$ .

**coNP**  $\subseteq$  **NP**: For all  $D' \in \text{coNP}$ ,  $D' \leq_p D$  (because  $D$  is *coNP*-hard) so  $D' \in NP$  (because  $D \in NP$ ).

**NP**  $\subseteq$  **coNP**: For all  $D' \in NP$ ,  $\overline{D'} \in \text{coNP}$ , by definition of *coNP* (where  $\overline{D'}$  is the complement of  $D'$ ).

Then  $\overline{D'} \in NP$  (since  $\text{coNP} \subseteq NP$  was shown above) so  $\overline{D'} = D' \in \text{coNP}$ .

Hence,  $NP = \text{coNP}$ .

2. (a) The PARTITION decision problem is defined as follows.

**Input:** A set of integers  $S = \{x_1, x_2, \dots, x_n\}$ .

**Question:** Is there some partition of  $S$  into subsets  $S_1, S_2$  with equal sum?

- (b) To show that PARTITION is polytime self-reducible, first suppose that PART is an algorithm that solves the PARTITION decision problem, *i.e.*, for all input sets  $S$ ,  $\text{PART}(S) = \text{True}$  if  $S$  can be partitioned,  $\text{PART}(S) = \text{False}$  otherwise.

We write an algorithm to solve the PARTITION search problem, as follows.

PARTSEARCH( $S$ ):

**if not** PART( $S$ ): **return** NIL

$T \leftarrow 2 \sum_{x \in S} |x|$

$S_1 \leftarrow \emptyset$       # accumulator for the first subset

$t_1 \leftarrow 0$       # sum of elements of  $S_1$

$S_2 \leftarrow \emptyset$       # accumulator for the second subset

$t_2 \leftarrow 0$       # sum of elements of  $S_2$

**for each**  $x \in S$ :

$S \leftarrow S - \{x\}$

    # see if it works to put  $x$  in  $S_1$

**if**  $(x + t_1 = t_2 \text{ and } \text{PART}(S))$  **or**  $(x + t_1 \neq t_2 \text{ and } \text{PART}(S \cup \{x + t_1 + T, t_2 + T\}))$ :

$S_1 \leftarrow S_1 \cup \{x\}$

$t_1 \leftarrow t_1 + x$

**else:**

$S_2 \leftarrow S_2 \cup \{x\}$

$t_2 \leftarrow t_2 + x$

**return**  $(S_1, S_2)$

**Correctness:** Clearly, PARTSEARCH returns the correct value if  $S$  cannot be partitioned.

If  $S$  can be partitioned, then the following fact is a loop invariant:

Either  $(t_1 = t_2$  and  $S$  can be partitioned) or  $(t_1 \neq t_2$  and  $S \cup \{t_1 + T, t_2 + T\}$  can be partitioned).

When the loop terminates,  $S = \emptyset$ . Then it is not possible to have  $t_1 \neq t_2$  because this would imply that  $t_1 + T \neq t_2 + T$  and since both  $t_1 + T$  and  $t_2 + T$  are positive, the set  $\{t_1 + T, t_2 + T\} = S \cup \{t_1 + T, t_2 + T\}$  cannot be partitioned. So  $t_1 = t_2$ , and this means  $(S_1, S_2)$  is a correct partition of the original  $S$  (since  $t_1$  is the sum of elements of  $S_1$  and  $t_2$  is the sum of elements of  $S_2$ ).

Correctness of the loop invariant:

- This is clearly true at the start of the loop, because  $S$  can be partitioned into subsets  $S'_1, S'_2$  with equal sum, and  $t_1 = t_2 = 0$ .
- Suppose that the loop invariant is true at the start of one iteration of the loop

**Case 1:** Suppose that  $t_1 = t_2$  and that  $S$  can be partitioned into  $(S'_1, S'_2)$ , at the start of the iteration.

Consider the element  $x$  removed from  $S$  and suppose, without loss of generality, that  $x \in S'_1$ .

**Sub-case A:** If  $x = 0$ , then  $x + t_1 = t_2$ . Also,  $S - \{x\}$  can be partitioned by placing  $x$  in either subset  $S_1$  or  $S_2$  (this will not change any of the sums). So the algorithm places  $x$  in  $S_1$ .

**Sub-case B:** If  $x \neq 0$ , then  $x + t_1 \neq t_2$ . In this case,  $S - \{x\} \cup \{x + t_1 + T, t_2 + T\}$  can be partitioned into  $(S'_1 - \{x\} \cup \{x + t_1 + T\}, S'_2 \cup \{t_2 + T\})$ , because  $(S'_1, S'_2)$  partitions  $S$  and  $t_1 + T = t_2 + T$ . So the algorithm places  $x$  in  $S_1$ .

In both sub-cases, the algorithm places  $x$  in  $S_1$ .

**Case 2:** Suppose  $t_1 \neq t_2$  and  $S \cup \{t_1 + T, t_2 + T\}$  can be partitioned into  $(S'_1, S'_2)$ , at the start of the iteration. Note that  $t_1 + T$  and  $t_2 + T$  cannot both belong to the same subset of the partition, as there would be no way for other numbers from  $S$  to add up to  $t_1 + t_2 + 2T \geq T$ . Without loss of generality, assume  $t_1 + T \in S'_1$  and  $t_2 + T \in S'_2$ .

Consider the element  $x$  removed from  $S$ .

**Sub-case A:** If  $x \in S'_1$ , then either  $x + t_1 = t_2$ , in which case  
or  $x + t_1 \neq t_2$ , in which case

**Sub-case A:** If  $x + t_1 = t_2$ , then  $x$  can be placed in  $S_1$  iff  $S - \{x\}$  can still be partitioned; otherwise,  $x$  must be placed in  $S_2$ . We know this because

**Sub-case B:** If  $x + t_1 \neq t_2$ , then  $x$  can be placed in  $S_1$  iff  $S - \{x\} \cup \{x + t_1 + T, t_2 + T\}$  can still be partitioned; otherwise,  $x$  must be placed in  $S_2$ . We know this because

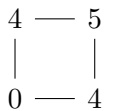
If  $x \in S'_1$  in the partition, then  $(S - \{x\}) \cup \{x + t_1 + T, t_2 + T\}$  can be partitioned (because  $x$  is added to  $t_1 + T$  as part of  $S'_1$  anyway). If  $(S - \{x\}) \cup \{x + t_1 + T, t_2 + T\}$  cannot be partitioned, then it must be because  $x \in S'_2$  in the partition—since  $x$  must belong to either  $S'_1$  or  $S'_2$ .

Either way, the algorithm places  $x$  in a subset that works.

**Runtime:** Let  $t(n)$  be the runtime of  $\text{PART}(S)$ , where  $n = |S|$ . Then,  $\text{PARTSEARCH}(S)$  runs in worst-case time  $\mathcal{O}(n^2 + nt(n))$ , because the main loop iterates  $n$  times, calling  $\text{PART}$  at most twice each time and taking no more than time  $\mathcal{O}(n)$  to perform basic set operations.

Hence, by definition,  $\text{PARTITION}$  is polytime self-reducible.

3. (a) For the input on the right (where we've indicated the profit of each corner), the algorithm returns the corners with profits 5 and 0, for a total of 5. However, picking both corners with profits 4 would give a total profit of 8 instead.
- (b) Note that the input to the problem can be represented as an undirected graph  $G$ , and valid selections of corners are the same as independent sets in  $G$ .



Let  $A(G)$  be the smallest total profit of any independent set returned by the greedy algorithm, and  $M(G)$  be the *maximum* profit of *all* independent sets of  $G$ . We prove that for all inputs  $G$ ,  $A(G) \geq M(G)/4$ —and that for at least one input  $G_0$ ,  $A(G_0) = M(G_0)/4$ .

Let  $S$  be any independent set returned by the algorithm, and  $T$  be any independent set with maximum profit in  $G$ . For all  $v \in T$ , if  $v \notin S$ , then there is some corner  $v' \in S$  such that  $(v', v) \in E$  and  $p(v') \geq p(v)$ —otherwise,  $v$  could be added to  $S$ . When  $v$  was removed from  $G$  by the algorithm, it was because of some adjacent corner  $v'$  being added to  $S$ , which means that at that point,  $v'$  had the largest profit among all remaining corners, including  $v$ .

Since no corner in  $S$  has more than 4 neighbours, for all  $v \in S$ , there are at most 4 corners  $v_1, v_2, v_3, v_4 \in T$  such that  $p(v) \geq p(v_1)$ ,  $p(v) \geq p(v_2)$ ,  $p(v) \geq p(v_3)$ ,  $p(v) \geq p(v_4)$ . In other words, for all  $v \in S$ ,  $4p(v) \geq p(v_1) + p(v_2) + p(v_3) + p(v_4)$ , in the worst case, to “cover” all corners in  $T$ . Hence,  $4p(S) \geq p(T)$ , i.e.,  $A(G) \geq M(G)/4$ , as desired.

To show that  $A(G)$  can be equal to  $M(G)/4$ , consider the input on the right. The algorithm will select the corners with profits  $(p+1) + 0 + 0 + 0 + 0 = p+1$  while the maximum profit is obtained by picking the corners  $p + p + p + p = 4p$ . This is not quite the desired factor of 4, though it can be made arbitrarily close to it by picking  $p$  large enough. To get a factor of 4 exactly, simply set the profit of the middle “corner” to  $p$ . Then, the selection returned by the algorithm is no longer *guaranteed* to be sub-optimal, but it is *possible* that the algorithm will select the middle “corner” first, and end up with a solution whose value is exactly  $1/4$  of the optimum.

