

1. (a) Let $D_0 = \text{"On input } x \in \mathbb{N}, \text{ output TRUE iff } x \text{ is even."}$
 Then, $\overline{D_0} = \text{"On input } x \in \mathbb{N}, \text{ output TRUE iff } x \text{ is odd."}$
 Also, $D_0 \leq_p \overline{D_0}$ with the reduction function $f(x) = x + 1$:
- clearly, $f(x)$ is computable in polytime;
 - also, x is even iff $f(x) = x + 1$ is odd, i.e., $x \in D_0$ iff $f(x) \in \overline{D_0}$.
- (b) Yes, $D_0 \in NP$ because $D_0 \in P \subseteq NP$.
- (c) **Conclusion:** $NP = coNP$ (this implies that D_1 is $coNP$ -complete).

Justification: For every $D \in NP$, $D \leq_p D_1$ (because D_1 is NP -complete) so $D \leq_p \overline{D_1}$ (by transitivity of \leq_p), which implies that $D \in coNP$ (because $\overline{D_1} \in coNP$). Hence, $NP \subseteq coNP$.
 For every $D \in coNP$, $\overline{D} \in NP$ (by definition of $coNP$) so $\overline{D} \leq_p D_1$ (because D_1 is NP -complete). But then $\overline{D} \leq_p \overline{D_1}$ (by transitivity of \leq_p), which is equivalent to $D \leq_p D_1$, and this implies that $D \in NP$ (because $D_1 \in NP$). Hence, $coNP \subseteq NP$.

2. **GOLDDIGGER** $\in NP$: The following algorithm verifies GOLDDIGGER in polytime.

VERIFYGD(h, g, H, G, c):
 # c is a sequence of integers j_1, j_2, \dots, j_ℓ
return TRUE iff:
 $\ell \leq m$
 $1 \leq j_k \leq n$ for $k = 1, 2, \dots, \ell$
 $j_{k-1} - 1 \leq j_k \leq j_{k-1} + 1$ for $k = 2, 3, \dots, \ell$
 $H[1, j_1] + H[2, j_2] + \dots + H[\ell, j_\ell] \leq h$
 $G[1, j_1] + G[2, j_2] + \dots + G[\ell, j_\ell] \geq g$

Clearly, VERIFYGD(h, g, H, G, c) = TRUE for some c iff (h, g, H, G) is a yes-instance for GOLDDIGGER. Also, VERIFYGD runs in polytime: each arithmetic operation requires at most polytime and there are a linear number of arithmetic operations performed.

GOLDDIGGER is NP-hard: SUBSETSUM \leq_p GOLDDIGGER through the following reduction function.

On input $(S = \{x_1, x_2, \dots, x_m\}, t)$, output $h = g = t$ and

- $H[1, 1] = G[1, 1] = 0$; $H[1, 2] = G[1, 2] = x_1$;
- $H[2, 1] = G[2, 1] = 0$; $H[2, 2] = G[2, 2] = x_2$;
- ...;
- $H[m, 1] = G[m, 1] = 0$; $H[m, 2] = G[m, 2] = x_m$.

Clearly, (h, g, H, G) can be computed in polytime from (S, t) .

Also, suppose S contains some subset S' whose sum is exactly t . Then consider the drilling path defined as follows, for $k = 1, 2, \dots, m$:

$$j_k = \begin{cases} 1 & \text{if } x_k \notin S', \\ 2 & \text{if } x_k \in S'. \end{cases}$$

j_1, \dots, j_m is a valid drilling path ($1 \leq j_k \leq 2$ for $k = 1, 2, \dots, m$ and $j_{k-1} - 1 \leq j_k \leq j_{k-1} + 1$ for $k = 2, 3, \dots, m$). Moreover, $H[k, j_k] = G[k, j_k] = 0$ when $x_k \notin S'$ and $H[k, j_k] = G[k, j_k] = x_k$ when $x_k \in S'$, so $H[1, j_1] + \dots + H[m, j_m] = \sum_{x \in S'} x = t \leq h$ and $G[1, j_1] + \dots + G[m, j_m] = \sum_{x \in S'} x = t \geq g$.

Finally, suppose j_1, \dots, j_ℓ is a drilling path such that $H[1, j_1] + \dots + H[\ell, j_\ell] \leq h = t$ and $G[1, j_1] + \dots + G[\ell, j_\ell] \geq g = t$. Then $H[1, j_1] + \dots + H[\ell, j_\ell] = G[1, j_1] + \dots + G[\ell, j_\ell] = t$ (because $H[i, j] = G[i, j]$ for all i, j). This means $S' = \{G[k, j_k] : G[k, j_k] > 0\}$ is a subset of S whose sum is exactly t .

3. Suppose that $\text{GDD}(H, G, h, g)$ is an algorithm that solves the **GOLDIGGER** decision problem in polytime. We write an algorithm to solve the **GOLDIGGEROPT** optimization problem.

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GDO( $H, G, h$ ):
    # Compute an upper bound  $B$  on the maximum amount of gold possible:
    # simply add up the maximum gold amount on each level.
     $B \leftarrow \sum_{j=1}^m \max(G[j, 1], G[j, 2], \dots, G[j, n])$ 
    Binary search in the range  $[0, B]$  to find the maximum  $g$  with  $\text{GDD}(H, G, h, g) = \text{TRUE}$ .
    # Now, “eliminate” individual blocks from consideration, one by one.
    # Loop Invariant:  $\text{GDD}(H, G, h, g) = \text{TRUE}$ .
    for  $i \leftarrow 1, 2, \dots, m$ :
        for  $j \leftarrow 1, 2, \dots, n$ :
             $(k, \ell) \leftarrow (H[i, j], G[i, j])$  # save input values for block  $[i, j]$ 
             $(H[i, j], G[i, j]) \leftarrow (h + 1, 0)$  # “eliminate” block  $[i, j]$  by making it unusable
            if not  $\text{GDD}(H, G, h, g)$ :
                 $(H[i, j], G[i, j]) \leftarrow (k, \ell)$  # “restore” block  $[i, j]$ 
    # Now, every block has been “eliminated,” except those on an optimum drilling path.
     $k \leftarrow 1$ :
    while  $k \leq m$ :
        select  $j_k$  such that  $H[k, j_k] < h + 1$  — break out of the loop if this is not possible
         $k \leftarrow k + 1$ 
    return  $j_1, j_2, \dots, j_{k-1}$ 

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Correctness:

- The maximum value of g such that $\text{GDD}(H, G, h, g) = \text{TRUE}$ belongs in the range $[0, B]$ computed by the algorithm, because every drilling path goes through at most one block per level. Also, $\text{GDD}(H, G, h, g) \Rightarrow \text{GDD}(H, G, h, g - 1)$ and $\neg \text{GDD}(H, G, h, g) \Rightarrow \neg \text{GDD}(H, G, h, g + 1)$, so binary search will correctly find the maximum value of g .
- $\text{GDD}(H, G, h, g)$ is a loop invariant for the main loop. So at the end, the final values of H and G contain a drilling path with at least g gold. In addition, every block $[i, j]$ outside this drilling path will have $H[i, j] = h + 1$ and $G[i, j] = 0$ because of the “elimination process” taking place during the loop. So an optimum drilling path is equal to the blocks $[i, j]$ where $H[i, j] < h + 1$ —exactly what the algorithm returns.

Runtime:

- Let b be the maximum number of bits needed to write down each of the values in matrices H and G , in addition to the value h (so the total size of the input is $s \leq b(mn + 1)$).
- The size of B (in binary) is at most $b + m$. So performing binary search in the range $[0, B]$ makes $\mathcal{O}(\log B) = \mathcal{O}(s)$ many calls to GDD .
- The rest of the algorithm makes one call to GDD for each entry in the H and G matrices.
- In addition, the final loop takes time at most $\mathcal{O}(mn) = \mathcal{O}(s)$.
- The total running time of GDO is therefore $\mathcal{O}(sT(s))$, where $T(s)$ is the running time of GDD .

4. (a) $\text{PIR}(x_1, x_2, \dots, x_n)$:

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     $k \leftarrow 0$  # size of the subsequence
     $s \leftarrow 0$  # sum of the subsequence
    for  $i \leftarrow 1, 2, \dots, n$ :
        if  $s + x_i \leq B$ :
            # Add  $x_i$  to the subsequence.
             $k \leftarrow k + 1$ 
             $i_k \leftarrow i$ 
             $s \leftarrow s + x_i$ 
    return  $x_{i_1}, \dots, x_{i_k}$ 

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(b) Let s^* denote the maximum possible sum for input x_1, \dots, x_n .

Either $x_1 \geq B/2$ or $x_1 < B/2$.

- If $x_1 \geq B/2$, then the algorithm outputs a subsequence with sum $s \geq x_1 \geq B/2 \geq s^*/2$ (since $s^* \leq B$ by the problem definition).
- If $x_1 < B/2$, then either the algorithm returns a subsequence with sum $s \geq B/2$ or the algorithm returns a subsequence with sum $s < B/2$.
 - If $s \geq B/2$ then, as in the very first case, $s \geq B/2 \geq s^*/2$.
 - If $s < B/2$ then $s = x_1 + x_2 + \dots + x_n$ (the entire sequence is returned), so $s = s^* \geq s^*/2$.

In all cases, $s \geq s^*/2$. By definition, the approximation ratio is at most 2.