

## CSC373 Winter 2015 Assignment # 2

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### Question 2

**Proof:** To prove that GOLDDIGGER is  $NP$ -complete, we need to show,

1. GOLDDIGGER  $\in NP$
2. GOLDDIGGER is  $NP$ -hard.

- (1) Show that GOLDDIGGER  $\in NP$ .

Consider the verifier algorithm. The certificates are all the paths  $C = [j_1, \dots, j_\ell]$  for  $\ell \leq m$ .

GOLDDIGGERVERIFY( $h, g, H, G, C$ )

```
hardness = 0
gold = 0
for  $k = 1, \dots, \ell$ 
    if not  $1 \leq j_k \leq n$ 
        return FALSE
    if  $k \geq 2$  and not  $j_{k-1} - 1 \leq j_k \leq j_{k-1} + 1$ 
        return FALSE
    hardness = hardness +  $H[k, j_k]$ 
    gold = gold +  $G[k, j_k]$ 
if hardness >  $h$  or gold <  $g$ 
    return FALSE
return TRUE
```

GOLDDIGGERVERIFY( $h, g, H, G, C$ ) returns TRUE for some  $C$  if and only if there is a desired drill path.

This algorithm runs in worst case polynomial time because the for loop iterates at most  $m$  times and it takes at most polynomial time to implement each iteration. Every line not in the for loop runs in polynomial time clearly.

Hence, GOLDDIGGER  $\in NP$ .

- (2) Show that GOLDDIGGER is  $NP$ -hard.

Claim: SUBSETSUM  $\leq_p$  GOLDDIGGER.

The definition of SUBSETSUM decision problem:

Input: A finite set of positive integers  $S$  and a positive integer target  $t$ .

Output: Is there some subset  $S'$  of  $S$  whose sum is exactly  $t$ ?

Consider the reduction function taking the input of SUBSETSUM to the input of GOLDDIGGER:

SUBSETSUMTOGOLDDIGGER( $S, t$ )

Let  $H$  and  $G$  be  $[|S| \times 2]$  arrays

$i = 1$

**for** each  $k \in S$

$H[i, 1] = k$

$G[i, 1] = k$

$H[i, 2] = 0$

$G[i, 2] = 0$

$i = i + 1$

$h = t$

$g = t$

**return**  $(h, g, H, G)$

$s_1, s_1$	0,0
$s_2, s_2$	0,0
$\vdots$	$\vdots$
$s_n, s_n$	0,0

Figure 1: On input  $S = \{s_1, s_2, \dots, s_n\}$ , output  $H$  and  $G$  as above.

**Runtime:** The reduction function runs in worst case  $O(n)$  ( $n = |S|$ ) which is in polynomial time.

**Correctness**

Let  $S = \{s_1, s_2, \dots, s_n\}$ .

Suppose there is a subset  $S'$  of  $S$  whose sum is exactly  $t$ . Define

$$j_k = \begin{cases} 1, & s_k \in S' \\ 2, & s_k \notin S' \end{cases}$$

for  $k \in \{1, 2, \dots, n\}$ . Then  $j_1, j_2, \dots, j_n$  is a path such that

- $1 \leq j_k \leq 2$  for  $k = 1, 2, \dots, n$  (each coordinate on the path is valid);
- $j_{k-1} - 1 \leq j_k \leq j_{k-1} + 1$  for  $k = 2, \dots, n$  (each block is underneath the one just above, either directly or diagonally) since there are only two columns in both  $H$  and  $G$ ;
- $H[1, j_1] + H[2, j_2] + \dots + H[n, j_n] = \sum_{i \in S'} i = t \leq h = t$
- $G[1, j_1] + G[2, j_2] + \dots + G[n, j_n] = \sum_{i \in S'} i = t \geq g = t$

Hence,  $j_1, j_2, \dots, j_n$  is a desired drill path for input  $(h, g, H, G)$ .

In the other direction, suppose there is a drill path  $j_1, j_2, \dots, j_\ell$  for  $\ell \leq n$ . Since there are only two columns in both  $H$  and  $G$ , either  $j_k = 1$  or  $j_k = 2$  for  $k = 1, \dots, \ell$ . Construct  $S'$  as follows:

```

 $S' = \emptyset$ 
for  $i = 1, \dots, \ell$ 
    if  $j_i = 1$ 
         $S' = S' \cup \{s_i\}$ 

```

Note that  $\sum_{i \in S'} i = G[1, j_1] + G[2, j_2] + \dots + G[\ell, j_\ell] = H[1, j_1] + H[2, j_2] + \dots + H[\ell, j_\ell]$ .

Also,  $t = g \leq G[1, j_1] + G[2, j_2] + \dots + G[\ell, j_\ell] = H[1, j_1] + H[2, j_2] + \dots + H[\ell, j_\ell] \leq h = t$ .

Then,  $\sum_{i \in S'} i = t$ .

Then,  $S'$  is a subset of  $S$  whose sum is exactly  $t$ .

Hence,  $\text{SUBSETSUM} \leq_p \text{GOLDDIGGER}$ .

It is proved that  $\text{SUBSETSUM}$  is  $NP$ -hard, so  $\text{GOLDDIGGER}$  is  $NP$ -hard.

By (1) and (2),  $\text{GOLDDIGGER} \in NP$  and  $\text{GOLDDIGGER}$  is  $NP$ -hard.

Hence,  $\text{GOLDDIGGER}$  is  $NP$ -complete. ■