

Notes on Kernel & Image and the Dimension Theorem:

Definition: Let $T: V \rightarrow W$ be a function.

Let $A \subseteq V$. The *image* of the set A under T is

$$T(A) = \{T\mathbf{x} \mid \mathbf{x} \in A\} \quad \text{a set}$$

Let $B \subseteq W$. The *pre-image* of the set B under T is

$$T^{-1}(B) = \{\mathbf{x} \in V \mid T\mathbf{x} \in B\} \quad \text{a set.}$$

Exercise and Discussion: Let $T: \mathbb{R}^n \rightarrow \mathbb{R}^n$ be the *sort function* defined by $T\mathbf{x}$ = the vector whose entries are the entries of \mathbf{x} sorted in descending order. For example, in \mathbb{R}^3 , $T(1, -2, 3) = (3, 1, -2)$.

(a) Is the sort function a linear transformation?

(b) What is $T(\mathbb{R}^n)$?

(c) In \mathbb{R}^3 what is $T^{-1}(\{(1, 0, 0)\})$?

(d) What is the difference between writing $T^{-1}(\{(1, 0, 0)\})$ and $T^{-1}(1, 0, 0)$? Is one more valid than the other?

(a) $T(1, -2, 3) = (3, 1, -2)$. (b) $T(\mathbb{R}^n)$ is set of $a_1, \dots, a_n \in \mathbb{R}^n$ where $a_n \geq a_{n-1} \geq a_{n-2} \geq \dots \geq a_1$.
 $\Rightarrow T(1, -2, 3) = (-3, 1, 2)$ (c) $T^{-1}(\{(1, 0, 0)\})$
 $T(-1, 2, -3) = (2, -1, -3)$. is $\{ \{0, 0, 1\}, \{0, 1, 0\}, \{1, 0, 0\} \}$
 $\neq -T(1, -2, 3)$. (d) $T^{-1}(1, 0, 0)$ is not well-defined.
 \therefore not a linear transformation because the output is a set and the input also has to be a set.

Exercise and Discussion: Let V and W be vector spaces and $T \in \mathcal{L}(V, W)$

(a) Show that if A is a subspace of V , its image $T(A)$ is a subspace of W .

(b) Show that if B is a subspace of W , its pre-image is a subspace of V .

(a) $T(A)$ is non-empty and $c\mathbf{x} + \mathbf{y} \in T(A)$ whenever $\mathbf{x}, \mathbf{y} \in T(A)$ and $c \in \mathbb{R}$.

$1^\circ 0 \in T(A)$ since $0 = T(0)$.

$\Rightarrow T(A)$ is non-empty.

$2^\circ \mathbf{x} \in T(A) \Rightarrow \mathbf{x} = T\mathbf{a}$, where $\mathbf{a} \in A$.

$\mathbf{y} \in T(A) \Rightarrow \mathbf{y} = T\mathbf{b}$, where $\mathbf{b} \in A$.

$c\mathbf{x} + \mathbf{y} \in T(A) \Rightarrow c\mathbf{x} + \mathbf{y} = T\mathbf{z}$, where $\mathbf{z} \in A$.

$\Rightarrow c\mathbf{x} + \mathbf{y} = cT\mathbf{a} + T\mathbf{b}$

$= T(\underbrace{c\mathbf{a} + \mathbf{b}}_{\mathbf{z}})$

why $\mathbf{z} \in A$? because A is a subspace.

Definition: Let V and W be vector spaces and let $T \in \mathcal{L}(V, W)$.

The *kernel* of T is

$$\ker T = T^{-1}(\{0\})$$

The *image* of T is

$$\operatorname{im} T = T(V)$$

Exercise and Discussion: Express $\ker T$ and $\operatorname{im} T$ using set notation.

$\ker T = \{ \mathbf{x} \in V \mid T\mathbf{x} = 0 \}$ a subspace of V .

$\operatorname{im} T = \{ T\mathbf{x} \in W \mid \mathbf{x} \in V \}$ a subspace of W .

Notice that by parts (b) and (a) of the previous exercise, $\ker T$ and $\operatorname{im} T$ are subspaces of V and W respectively. If V and W are finite dimensional, then so too are $\ker T$ and $\operatorname{im} T$, and $\dim(\ker T) \leq \dim V$, and $\dim(\operatorname{im} T) \leq \dim W$.

Exercise and Discussion: Let V and W be vector spaces. Suppose that V is finite dimensional and that $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n$ is a basis for V . Show that $\operatorname{im} T = \operatorname{span}\{T\mathbf{v}_1, T\mathbf{v}_2, \dots, T\mathbf{v}_n\}$.

show $1^\circ \operatorname{im} T \subseteq \operatorname{span}\{T\mathbf{v}_1, T\mathbf{v}_2, \dots, T\mathbf{v}_n\}$. $\mathbf{y} \in \operatorname{im} T \Rightarrow \mathbf{y} = T\mathbf{x}$, $\mathbf{x} \in V$. $\leftarrow \mathbf{x}$ can be written as a linear combination of the basis vectors.
 $\operatorname{im} T = T(V)$
 $= T(\operatorname{span}\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\})$
 $= \operatorname{span}\{T(c_1\mathbf{v}_1 + \dots + c_n\mathbf{v}_n)\}$
 $= \operatorname{span}\{c_1T\mathbf{v}_1 + \dots + c_nT\mathbf{v}_n\}$

$$\text{im } T = T(V) \\ = T(\text{span}\{v_1, v_2, \dots, v_n\})$$

$$T(c_1 v_1 + \dots + c_n v_n) \quad \text{as a linear combination of the basis vectors.} \\ = c_1 T v_1 + \dots + c_n T v_n \\ = \text{span}\{T v_1, T v_2, \dots, T v_n\}$$

show $\text{span}\{T v_1, T v_2, \dots, T v_n\} \subseteq \text{im } T$.

Since $T v_j \in \text{im } T$, where $j \in \{1, 2, \dots, n\}$, any linear combination of $T v_j$ is also in $\text{im } T$.

As a consequence, $\text{im } T$ is finite dimensional and $\dim(\text{im } T) \leq n = \dim V$.

2 of 4

Exercise and Discussion: Let $T \in \mathcal{L}(V, W)$ and let $x \in V$ and $y \in W$ be such that $Tx = y$.

(a) Show that $T^{-1}(\{y\}) = \{x\} + \ker T$.

(b) Can you find a condition that ensures for every $y \in \text{im } T$ there exists a unique $x \in V$ such that $Tx = y$?

(a) show $T^{-1}(\{y\}) \subseteq \{x\} + \ker T$.

show if $z \in T^{-1}(\{y\})$, then $z \in \{x\} + \ker T$.

if $Tz = y$, $u = z - x \in \ker T$.

$z = x + u$, where $u \in \ker T$.

if $Ax = b$ has a unique solution multiply $A = 0$.

(b) iff $\ker T = \{0\}$

$z \in \{x\} + \ker T \subseteq T^{-1}(\{y\})$.

if $z = x + u$.

$Tz = Tx + Tu = y + 0 = y$.

Definition: Let $T : V \rightarrow W$ be a function

T is injective if $Tx = Ty$ then $x = y$. one to one

T is surjective if for all $y \in W$, there exists an $x \in V$ such that $y = Tx$. onto

T is bijective if it both injective and surjective.

$T : V \rightarrow W$ every $y \in W$ is the image of some unique vector in V

Example: For any given basis α of an n -dimensional vector space V , the α -basis representation function $[\]_\alpha : V \rightarrow \mathbb{R}^n$ is bijective.

$\text{basis } \alpha = \{v_1, \dots, v_n\}$ $x = c_1 v_1 + \dots + c_n v_n$ x uniquely represented $[x]_\alpha = \begin{bmatrix} c_1 \\ \vdots \\ c_n \end{bmatrix}$ $([\]_\alpha : V \rightarrow \mathbb{R}^n)$

Exercise and Discussion: Let V and W be finite dimensional vector spaces and $T \in \mathcal{L}(V, W)$

(a) If $\dim(\ker T) = 0$ can you conclude T is injective? What about surjective? need more info. injective. $[x]_\alpha = [y]_\alpha$.

(b) If $\dim(\ker T) \neq 0$ can you conclude whether or not T is injective? What about surjective?

(c) If $\dim(\text{im } T) = \dim W$ can you conclude whether or not T is injective? What about surjective?

(d) If $\dim V = \dim W$ and $\dim(\ker T) = 0$ can you conclude T is surjective?

(e) If $\dim V < \dim W$ and $\dim(\ker T) = 0$ can you conclude T is surjective? not surjective.

(a) $\dim(\ker T) = 0$.

$\ker T = T^{-1}(\{0\}) = \{0\}$

mult $\{0\} = 0$.

T is injective iff $\ker T = \{0\}$

if $Tx = Ty$, then $Tx - Ty = 0$.

$\Rightarrow T$ is linear $\Rightarrow T(x - y) = 0$.

$\Rightarrow x - y \in \ker T = \{0\}$

$\Rightarrow x - y = 0 \Rightarrow x = y \Rightarrow T$ is injective.

(b) If $\dim(\ker T) \neq 0$, there exists more than one vector $x \in V$ such that $0 = Tx \in W$

$\Rightarrow T$ is not surjective.

(c) $T : V \rightarrow W$

$\forall y \in W, \exists x \in V$ s.t. $Tx = y$.

$\dim(\text{im } T) = \dim W$

$\text{im } T = W$.

Suppose $\{v_1, \dots, v_n\}$ is a basis for V

(d) if $\dim(\ker T) = 0$, $\ker T = \{0\} \Rightarrow T$ is injective.

if $c_1 v_1 + \dots + c_n v_n = 0$.

then $T(c_1 v_1 + \dots + c_n v_n) = 0 \Rightarrow c_1 v_1 + \dots + c_n v_n \in \ker T = \{0\} \Rightarrow c_1 v_1 + \dots + c_n v_n = 0$.

and $\{v_1, \dots, v_n\}$ is a basis for V .

$\Rightarrow c_1 = \dots = c_n = 0 \Rightarrow W = \text{span}\{T v_1, \dots, T v_n\}$ a basis for W

Theorem: (Dimension Theorem) Let V and W be vector spaces. Suppose V is finite dimensional and let $T \in \mathcal{L}(V, W)$. Then

$$\dim(\ker T) + \dim(\text{im } T) = \dim V$$

Theorem: (Dimension Theorem) Let V and W be vector spaces. Suppose V is finite dimensional and let $T \in \mathcal{L}(V, W)$. Then

$$\dim(\ker T) + \dim(\operatorname{im} T) = \dim V$$

and $\{v_1, \dots, v_n\}$ is a basis for V .
 $\Rightarrow c_1 = \dots = c_n = 0 \Rightarrow W = \operatorname{span}\{\underbrace{Tv_1, \dots, Tv_n}_{\text{a basis for } W}\}$
 $\Rightarrow \dim(\operatorname{im} T) = \dim V = \dim W$

Definition: If $T \in \mathcal{L}(V, W)$ is bijective, T is called an *isomorphism* and we say V and W are *isomorphic* vector spaces.

Example: Any n -dimensional vector space V is isomorphic to \mathbb{R}^n . For any given basis α for V , the α -basis representation function $[\]_\alpha : V \rightarrow \mathbb{R}^n$ is an isomorphism.

Exercise and Discussion: Suppose V and W are finite dimensional vector spaces, and $T \in \mathcal{L}(V, W)$.

- (a) Suppose T is an isomorphism. Must $\dim V = \dim W$?
- (b) Suppose $\dim V = \dim W$. If T is injective is it also surjective? If T is surjective is it also injective?
- (c) Suppose $\dim V = \dim W$ and $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n$ is a basis for V , and $\mathbf{w}_1, \mathbf{w}_2, \dots, \mathbf{w}_n$ is a basis for W . If T is defined by $T\mathbf{v}_k = \mathbf{w}_k$ for each $k = 1, 2, \dots, n$, is T an isomorphism?