

The following algorithm updates the given minimum spanning tree  $T$  of  $G$ , to produce a new minimum spanning tree  $T_1$  for  $G_1$ .

UPDATE-MST( $V, E, w, T, e_1, w_1$ )

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1   $T_1 = T \cup \{e_1\}$ 
2   $D =$  DFS tree produced by DFS on  $T_1$  starting from  $u$ , including information about back edges // CLRS p. 610
3   $e = \text{NIL}$ 
4   $weight = 0$ 
5  // Find the (unique) back edge of  $D$ .
6  // This must have  $u$  as an endpoint since  $e_1$  is in the cycle and DFS was started at  $u$ .
7  for  $x$  in  $u.neighbours$  // Neighbours in  $T_1$ 
8      if  $\{x, u\}$  is a back edge of  $D$ 
9           $e = \{x, u\}$ 
10          $weight = w(e)$ 
11         break
12 // Traverse up along the cycle in the DFS tree until the root  $u$  is reached,
13 // keeping track of the maximum-weight edge.
14 while  $x \neq u$ 
15     if  $w(\{x, x.parent\}) > weight$  //  $x.parent$  in  $D$ 
16          $e = \{x, x.parent\}$ 
17          $weight = w(e)$ 
18      $x = x.parent$ 
19  $T_1 = T_1 - \{e\}$ 
20 return  $T_1$ 
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## Correctness

On a high level, this algorithm updates  $T$  by inserting the new edge  $e_1 = \{u, v\}$  into  $T$ . This produces exactly one cycle in the graph  $T \cup \{e_1\}$  (Result given on Piazza). The algorithm then finds and removes the maximum-weight edge  $e$  from the cycle, to produce a new tree  $T_1 = T \cup \{e_1\} - \{e\}$ . This is, in fact, a spanning tree, since the removed edge  $e$  is on a cycle, meaning that neither of the endpoints of  $e$  become isolated vertices when  $e$  is removed. We will show that  $T_1$  is in fact a minimum spanning tree.

By definition, we have  $w(T_1) = w(T \cup \{e_1\} - \{e\}) = w(T) + w(e_1) - w(e)$ . However, since  $e$  is a maximum-weight vertex on its cycle in  $T \cup \{e_1\}$  and  $e_1$  lies on that cycle, we have  $w(e_1) \leq w(e)$ , which implies that  $w(T) \geq w(T_1)$ .

To show that the spanning tree that this algorithm produces is indeed a minimum spanning tree of  $G_1$ , suppose that  $T_1$  is not a MST. Then since  $G_1$  is connected, there must be some MST  $T'_1$  for  $G_1$  such that  $w(T'_1) < w(T_1)$ . We have two cases to consider, depending on whether or not  $T'_1$  contains  $e_1$ .

If  $e_1 \notin T'_1$ , then  $T'_1$  must be a spanning tree for  $G$ , which means that  $w(T) \leq w(T'_1)$ . However, since we established that  $w(T_1) \leq w(T) \leq w(T'_1)$ , this contradicts our assumption that  $w(T'_1) < w(T_1)$ . Therefore  $T_1$  must also be a minimum spanning tree.

Now suppose that  $e_1 \in T'_1$ . Removing  $e_1 = \{u, v\}$  from  $T'_1$  must disconnect the tree, such that  $T'_1 - \{e_1\}$  contains exactly two connected components  $A = (V_A, E_A)$  and  $B = (V_B, E_B)$ , such that  $u \in V_A$  and  $v \in V_B$ . Let  $C$  be the unique cycle contained in  $T \cup \{e_1\}$ . It will be helpful to prove the following lemma.

**Lemma 1.** *There is some edge  $e' = \{a, b\} \in C - \{e_1\}$  such that  $a \in V_A$  and  $b \in V_B$ .*

*Proof.* Since  $C$  is a cycle,  $C - \{e_1\}$  must be a connected subgraph of  $T$  which is a chain of the form

$$u = w_1 \longleftrightarrow w_2 \longleftrightarrow \dots \longleftrightarrow w_k = v,$$

where “ $\longleftrightarrow$ ” denotes “is adjacent to (in  $C - \{e_1\}$ )”. Since  $V_A \cap C$  and  $V_B \cap C$  form a partition of the vertices included in  $C$ , and we know that  $u \in V_A$  and  $v \in V_B$ , there must be some  $i$  such that  $w_i \in V_A$  and  $w_{i+1} \in V_B$ . Choosing  $e' = \{w_i, w_{i+1}\}$  completes the proof.  $\square$

This means that, if we remove  $e_1$  from  $T'_1$ , there must be some edge  $e'$  in  $C - \{e_1\}$  such that  $T'_1 - \{e_1\} \cup \{e'\}$  is a spanning tree of  $G$ . Since  $e' \in C$ , we know that  $w(e') \leq w(e)$ , where  $e$  is the edge that the algorithm chose to remove from the cycle when producing  $T_1$ . Thus, we have

$$\begin{aligned} w(T) &\leq w(T'_1) - w(e_1) + w(e') \\ &< w(T_1) - w(e_1) + w(e') \\ &\leq w(T_1) - w(e_1) + w(e) \\ &= w(T_1 - \{e_1\} \cup \{e\}) \\ &= w(T). \end{aligned}$$

Thus,  $w(T) < w(T)$ , which is a contradiction. Therefore  $T_1$  must be a minimum spanning tree of  $G_1$ .

## Running Time

We now analyze the running time of UPDATE-MST. Performing depth-first search to obtain the DFS tree  $D$  requires  $\Theta(|V| + m)$  steps, where  $m$  is the number of edges in  $T \cup \{e\}$ . However, since  $T$  is a spanning tree of  $G$ , it contains  $|V| - 1$  edges, so  $m = |V|$ , and so this step really only requires  $\Theta(|V|)$  time.

The rest of the algorithm proceeds by examining the neighbours of  $u$  in  $T \cup \{e_1\}$  to find a back edge, and then traversing a single cycle of  $T \cup \{e_1\}$ , both of which are bounded above by  $O(|T|) = O(V)$  operations, as before. Therefore UPDATE-MST runs in  $\Theta(|V|)$  time in the worst case, an improvement over using the standard algorithms to produce a new minimum spanning tree from scratch.