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# 1 Transformer Small

## 1.1 Data Generation

# 1.1.1 vocabulary & tokenization

 $vocab_{type} \colon (\texttt{text\_problems.py vocab\_type()})$ 

'VocabType's:

• 'SUBWORD': 'SubwordTextEncoder', an invertible wordpiece vocabulary. Must provide 'self.approx<sub>vocabsize</sub>'. Generates the vocabulary based on the training data. To limit the number of samples the vocab generation looks at, override 'self.max<sub>samplesforvocab</sub>'. Recommended and default.

- 'CHARACTER': 'ByteTextEncoder', encode raw bytes.
- 'TOKEN': 'TokenTextEncoder', vocabulary based on a file. Must provide a vocabulary file yourself ('TokenTextEncoder.store<sub>tofile</sub>') because one will not be generated for you. The vocab file should be stored in 'data<sub>dir</sub>/' with the name specified by 'self.vocab<sub>filename</sub>'.

## 1.1.2 Registry Gotcha

Where:

- github: https://github.com/tensorflow/tensor2tensor/blob/master/docs/new\_problem.md
- blog: https://cloud.google.com/blog/big-data/2018/02/cloud-poetry-training-and-hyp
- tutorial: https://github.com/GoogleCloudPlatform/training-data-analyst/blob/master/courses/machine\_learning/deepdive/09\_sequence/poetry.ipynb

You can test data generation of your a problem in your own project with:

```
PROBLEM=poetry_line_problem

DATA_DIR=$HOME/t2t_data

TMP_DIR=/tmp/t2t_datagen

mkdir -p $DATA_DIR $TMP_DIR

t2t-datagen \
    --t2t_usr_dir=$PATH_TO_YOUR_PROBLEM_DIR \
    --data_dir=$DATA_DIR \
    --tmp_dir=$TMP_DIR \
    --problem=$PROBLEM

@registry.register_problem

class PoetryLineProblem(text_problems.Text2TextProblem):
    pass
```

- The Python Filename is snake\_case of problem name
- PROBLEM is the name of the class that was registered with @registry.register\_problem(), but converted from CamelCase to snake\_case

#### 1.1.3 MISC

## 1.2 Problems base classes

- blog: https://cloud.google.com/blog/big-data/2018/02/cloud-poetry-training-and-hyp-
- Models that use a sequence as an input, but are essentially classifiers or regressors—a spam filter or sentiment identifier are canonical examples of such a model.
- Models that take a single entity as input but produce a text sequence as output—image captioning is an example of such a model, since given an image, the model needs to produce a sequence of words that describes the image.
- Models that take sequences as input and produce sequences as outputs.
   Language translation, question-answering, and text summarization are all examples of this third type.

## 1.3 Modality (Not Interfaces)

## 1.3.1 Definition

utils/modality.py

An abstract class representing modalities for transforming data to a space interpretable by T2T models. It has 4 functions:

- bottom: called on inputs entering the model.
- targets<sub>bottom</sub>: called on targets entering the model (e.g., the decoder).
- top: called on model outputs to generate predictions (e.g., logits).
- loss: called on predictions (outputs of top) and targets.

For example, think about a modality for images:

- 'bottom' represents the part of the model applied to an incoming image, e.g., an entry flow of a convolutional network.
- 'top' represents the top part of a model that is generating images, e.g., a PixelCNN network.

- 'targets<sub>bottom</sub>' represents the auto-regressive part of the network. It is applied to the already-generated part of an image, which is given to the decoder to generate the next part. In some cases, e.g., for text, it is the same as the 'bottom' function, and that is the default we use. But, e.g., for images, a different function might be needed to regress properly.
- 'loss' would compare the generated image to the target image and score it.

All the functions have simple and sharded versions. A sub-class only needs to implement the simple version, the default sharding will be used then.

## 1.3.2 Usage Example

```
In SubclassProblem def hparams(): (text_problems.py Text2TextProblem as an example)
```

```
def hparams(self, defaults, unused_model_hparams):
  p = defaults
  p.stop_at_eos = int(True)
  if self.has_inputs:
    source_vocab_size = self._encoders["inputs"].vocab_size
    p.input_modality = {
        "inputs": (registry.Modalities.SYMBOL, source_vocab_size)
    }
  target_vocab_size = self._encoders["targets"].vocab_size
  p.target_modality = (registry.Modalities.SYMBOL, target_vocab_size)
  if self.vocab_type == VocabType.CHARACTER:
    p.loss_multiplier = 2.0
  if self.packed_length:
    identity = (registry.Modalities.GENERIC, None)
    if self.has_inputs:
      p.input_modality["inputs_segmentation"] = identity
      p.input_modality["inputs_position"] = identity
    p.input_modality["targets_segmentation"] = identity
    p.input_modality["targets_position"] = identity
```

## 1.4 Input Pipeline

## 1.4.1 batch generation

https://github.com/tensorflow/tensor2tensor/blob/master/docs/overview.md#batching

Variable length Problems are bucketed by sequence length and then batched out of those buckets. This significantly improves performance over a naive batching scheme for variable length sequences because each example in a batch must be padded to match the example with the maximum length in the batch.

## 1. Implementation

• In data\_generators/problems.py Problem.input\_fn()

```
# sg: GPU batch size / buckets are generated here
dataset = data_reader.bucket_by_sequence_length(
    dataset, data_reader.example_length, batching_scheme["boundaries"],
    batching_scheme["batch_sizes"])
# bucket_by_sequence_length using tf.contrib.data.group_by_window()
```

https://www.tensorflow.org/api\_docs/python/tf/contrib/data/group\_by\_window

A transformation that groups windows of elements by key and reduces them.

This transformation maps each consecutive element in a dataset to a key using  $key_{func}$  and groups the elements by key. It then applies  $reduce_{func}$  to at most  $window_{sizefunc}$  (key) elements matching the same key. All execpt the final window for each key will contain  $window_{sizefunc}$  (key) elements; the final window may be smaller.

#### 1.5 T2TModel

#### 1.5.1 Overview

top() body() bottom() loss()

- body() should be overridden when subclass T2TModel
- top() bottom() loss() should be overridden when declaring new Modality in layers/modalities.py

From https://github.com/tensorflow/tensor2tensor/blob/master/docs/overview.md#building-the-model

At this point, the input features typically have "inputs" and "targets", each of which is a batched 4-D Tensor (e.g. of shape [batch\_size, sequence\_length, 1, 1] for text input or [batch\_size, height, width, 3] for image input).

The Estimator model function is created by T2TModel.estimator\_model\_fn, which may be overridden in its entirety by subclasses if desired. Typically, subclasses only override T2TModel.body.

• estimator\_model\_fn is a @classmethod function, which is used as an override of the original constructor. This acts like a factory function return subclass instances of T2TModel class

The model function constructs a T2TModel, calls it, and then calls T2TModel.{estimator\_spec\_train, estimator\_spec\_eval, estimator\_spec\_predict} depending on the mode.

A call of a T2TModel internally calls bottom, body, top, and loss, all of which can be overridden by subclasses (typically only body is).

The default implementations of bottom, top, and loss depend on the Modality specified for the input and target features (e.g. SymbolModality.bottom embeds integer tokens and SymbolModality.loss is softmax\_cross\_entropy).

### 1.5.2 hparams

When subclass T2TModel, there are many hparams defined in layers/common\_hparams.py. transformer.py initiated basic\_params1() in that file.

hparams can also be overridden in subclasses.

### 1.5.3 Decoder Notes

- has\_input=False, no encoder
- 1. Pre & Post Process

For example, if sequence=="dna", then the output is previous\_value + normalize(dropout(x))

```
hparams.layer_preprocess_sequence = "n"
# normalize(x)
hparams.layer_postprocess_sequence = "da"
# previous_value + dropout(x)
```

## 1.5.4 Embedding

• Embedding Size (Hidden Size / Input Length)

```
# /utils/modality.py
def _body_input_length(self):
   return self._model_hparams.hidden_size
```

- ullet Implementation
  - SymbolModality: simple\_body() calls \_get\_weights()

## 1.6 Estimator Funcs

• Involves t2t\_model subclass\_T2TModel problem common\_hparams

Estimator funcs related code & logic are mainly implemented in utils/t2t\_model.py estimator\_model\_fn() and ?(eval\_metrics etc)

#### 1.6.1 Train

- return train\_op & loss
- optimizer: defined in hparams.optimizer
  - common hparams are defined in common\_hparams.py
  - subclasses can overridden this hparam

## 1.6.2 Eval

- return predictions & eval\_metric\_ops & loss
- metrics: defined by problem.py (and subclasses) eval\_metrics()

#### 1.6.3 Predict

- return predictions
- call self.infer() in which calls \_greedy\_infer() which should be implemented in subclass. Otherwise a slower version of infer() will be used

### 1.7 Function Flow

bottom, top, and loss are specified in hparams.problems from features to logtis, losses

- features flow into model: t2t<sub>model.py</sub> T2TModel.estimator\_model\_fn() calls logits, losses\_dict = model(features)
- 2. model(features) calls Layers.base.Layer.\_\_call\_\_() which is over-ridden by T2TModel.call()
- 3. T2TModel.call() calls model\_fn\_sharded(sharded\_features)
- 4. model\_fn\_sharded() calls model\_fn(datashard\_to\_features)
- 5. model\_fn() calls bottom(), body() top() and loss() in order. bottom(), top() and loss() are defined in corresponding problems' def hparams() method which use functions defined in layers/modalities.py
  - body() can return losses or not
    - If return, then it must contains (logits, losses) in a tuple then training will skip top() and loss() functions. This means body() need to implement top() and loss() by itself
    - Otherwise, it simply return logits as output. Then model\_fn() will use top() to calculate logits and loss() to calculate loss()

t2t\_model.py loss(): model<sub>body</sub> must return a dictionary of logits when problem<sub>hparams.target modality</sub> is a dict

- bottom(): transform features to feed into body
  - input: embedding inputs
  - output: transformed features
  - SymbolModality
    - (a) ensure 3D dimension of input feature x
    - (b) added dropout to input features x
    - (c) created weight matrix ret as embedding matrix
    - (d) sampling ret using dropped out x
- top(): generating logits. body\_output to logits

- SymbolModality top(): [batch, p0, p1, body<sub>inputdepth</sub>] -> [batch, p0, p1, ?, vocab<sub>size</sub>] ([batch, p0, p1, 1, vocab<sub>size</sub>] in small ptb)
- loss(): Default in utils/modality.pyloss(top\_out, targets) which uses softmax-cross-entropy
  - SymbolModality doesn't override this method, so it use cross entropy as default
  - Should be overridden when define new modality in layers/modality.py
- 6. return logtis, losses in model\_fn()

## 1.8 Encoder-Decoder Diffs

## 1.8.1 self-attention

No computational differences

- layer name is different (same name with format string / variable)
- bias is different
- decoder has layer cache but currently un-implemented

## 1.9 MISC

problem.py spaceID?  $ZH_{TOK} = 16$