

# Large Multimodal Model and Its Impact to Document Understanding

# overview

1. What is LMM?
2. Why LMM?
3. LMM Architecture and Training Strategy
4. A few LMM Examples
5. LMM Demo
6. Findings, Recommendations and Further Resources

# What is LMM?

## Large Multimodal Model

<-> Multimodal Large Language Model

<-> Large Vision Language Models

- LLM-based model with the ability to **receive**, **reason**, and **output** with multimodal information.
- LMM manifests two representative traits:
  - LMM is based on LLM with billion scale parameters, which is not available in previous multimodal models.
  - LMM uses new training paradigms to unleash its full potential, such as multimodal instruction tuning to encourage the model to follow new instructions.

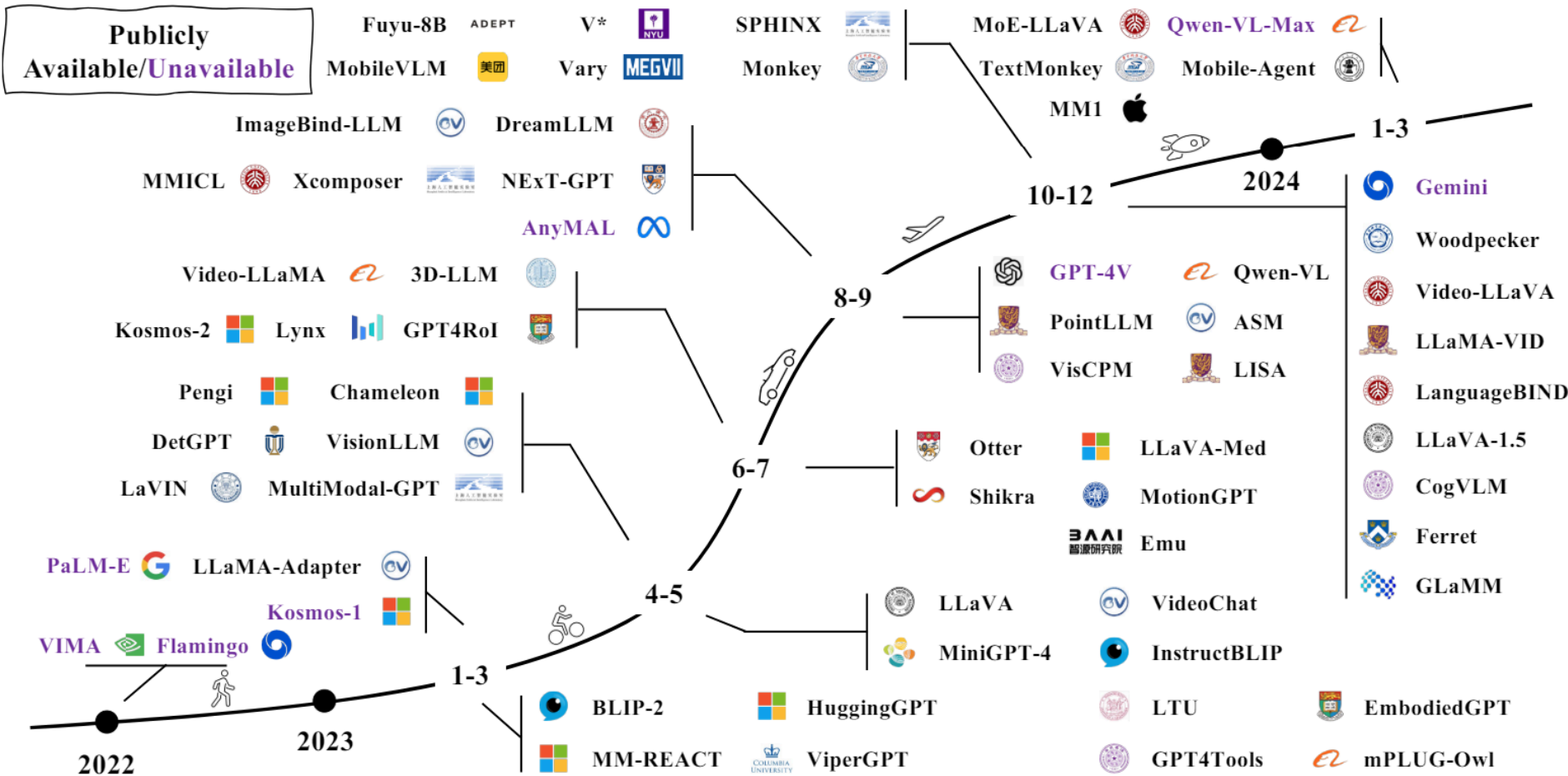
# The motivation

This research is to see if we can leverage the recent development of LMM to benefit the Document Understanding solution in ATO.

1. improving the performance of models in the DU pipeline;
2. improving the throughput of the DU pipeline;
3. simplifying the DU pipeline by replacing multiple models with one or two models;
4. simplifying the training or inference of the models in the DU pipeline.

# Recent LMM Development

There has been a boom in research and development of LMM in recent years, particularly since last year.



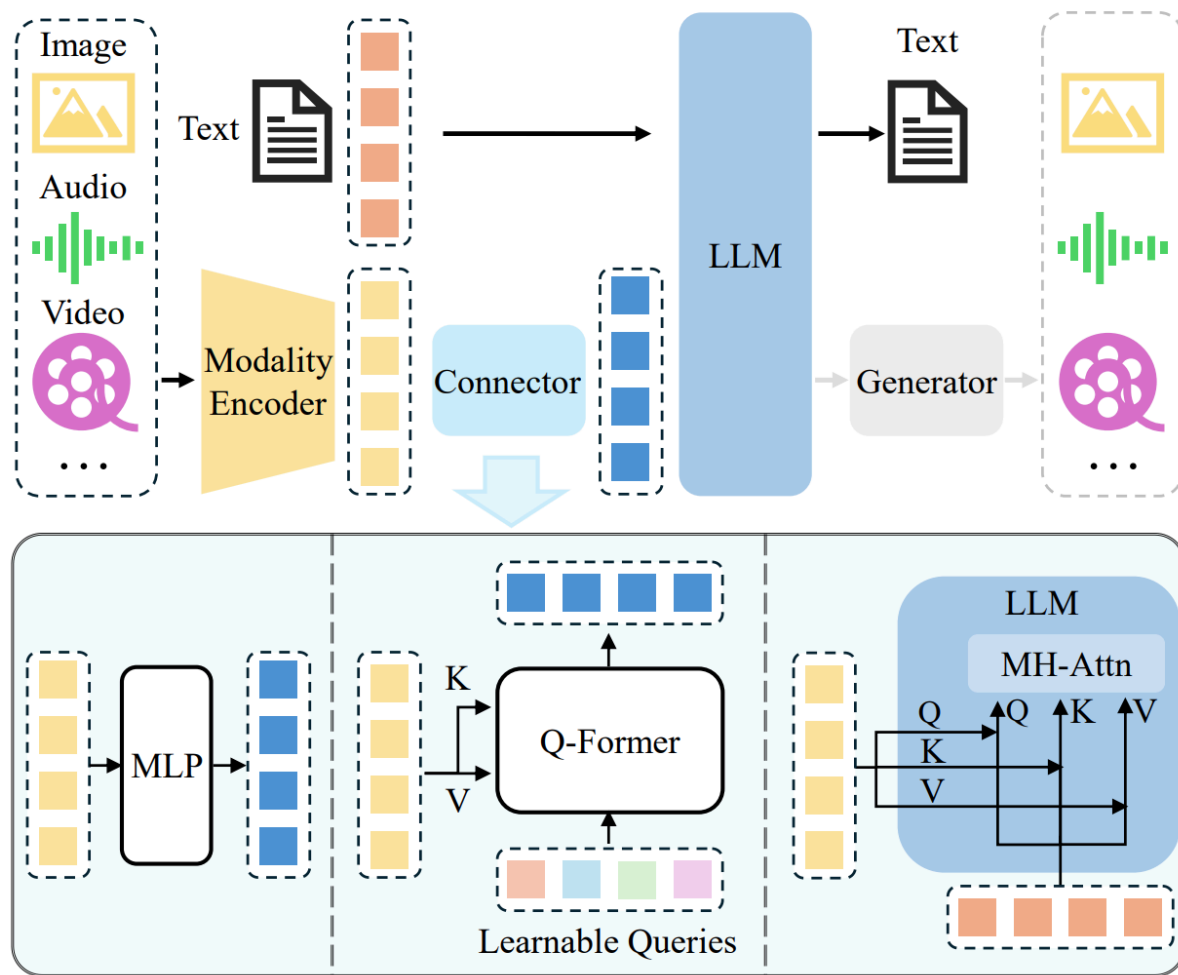
# LMM Architecture (1)

A typical LMM can be abstracted into three modules, i.e.,

1. a pre-trained modality encoder,
2. a pre-trained LLM, and
3. a modality interface to connect them.

# LMM Architecture (2)

The typical LMM architecture is as follows



# LMM Training Strategy and Data (1)

## Pre-training: entails large-scale text-paired data

- (1) aligning different modalities and
- (2) providing world knowledge.

A common approach for pre-training is to keep pre-trained modules (e.g. visual encoders and LLMs) frozen and train a learnable interface.



# LLM Training Strategy and Data (2)

## Instruction-Tuning

Intuitively, instruction tuning aims to teach models to better understand the instructions from users and fulfill the demanded tasks. Instruction tuning learns how to generalize to unseen tasks rather than fitting specific tasks like the two counterparts.

A multimodal instruction sample often includes an optional instruction and an input-output pair. The instruction is typically a natural language sentence describing the task, such as, "Describe the image in detail." The input can be an image-text pair like the VQA task or only an image like the image caption task. The output is the answer to the instruction conditioned on the input.

# Some research in LMM

1. MM1
2. LLaVA-1.5
3. InternVL

# MM1:

## **Key Contributions: studies of the importance of various architecture components and data choices**

through ablations of the image encoder, the vision language connector, and various pre-training data choices.

## **Findings:**

1. large-scale multimodal pre-training using a careful mix of image-caption, interleaved image-text, and text-only data is crucial.
2. the image encoder together with image resolution and the image token count has substantial impact, while the vision-language connector design is of comparatively negligible importance.

# LLaVA-1.5

## Key Improvements comparing to the base LLaVA:

- (1) Scaling to high-resolution image inputs.
- (2) Compositional capabilities.
- (3) Data efficiency: randomly downsampling
- (4) Data scaling.

# InternVL

## Advantages:

- (1) Strong Vision Encoder: large-scale vision foundation model—InternViT-6B, boosting its visual understanding capabilities.
- (2) Dynamic HighResolution: images being divided into tiles ranging from 1 to 40 of  $448 \times 448$  pixels according to the aspect ratio and resolution of the input images, which supports up to 4K resolution input.
- (3) High-Quality Bilingual Dataset: dataset that covers common scenes and document images, which significantly enhancing performance in OCR- and Chinese-related tasks.

# Information Extraction Comparison

## Invoice

Receipt US-001

East Repair Inc.

1912 Harvest Lane  
New York, NY 12210

LOGO

Bill To

Ship To

Receipt Date

11/02/2019

John Smith

John Smith

P.O.#

2312/2019

2 Court Square

3787 Pineview Drive

Due Date

26/02/2019

New York, NY 12210

Cambridge, MA 12210

Qty	Description	Unit Price	Amount
1	Front and rear brake cables	100.00	100.00
2	New set of pedal arms	15.00	30.00
3	Labor 3hrs	5.00	15.00

Subtotal

145.00

Sales Tax 6.25%

9.06

Total

\$154.06



Terms & Conditions

Payment is due within 15 days





Please make checks payable to: East Repair Inc.

## Results

	InternVL	LLaVA	EasyOCR + llama-3-70b-instruct
Company name	East Repair Inc.	East Repair Inc.	East Repair Inc_
company address	1912 Harvest Lane, New York, NY 12210.	1912 Harvest Lane, New York, NY 12210.	1912 Harvest Lane, New York, NY 12210
Phone number	not provided	not visible	Not detected in the provided text
receipt number	US-001	US-001	Not detected in the provided text
rate and amount of tax	tax rate is 6.25%, the tax amount is \$9.06	The tax rate is 6.25%, and the amount of tax is \$9.06.	Tax Rate: 6.25% Tax Amount: \$9.06
Due date	26/02/2019	26/02/2019	26/02/2019
receipt date	11/02/2019	11/02/2019	11/02/2019
Total amount	\$154.06	\$154.06	\$154.06
name of the first item in the table	Front and rear brake cables.	Front and rear brake cables.	East Repair Inc_
nameof the last item in the table	Labor 3hrs.	Labor 3hrs.	Please make checks payable to: East Repair Inc
cost of the second item	the cost of the second item, "New set of pedal arms", is \$30	The cost of the second item is \$30.00.	Not detected in the provided text

# Demo

## InternVL Family: A Pioneering Open-Source Alternative to GPT-4V [CVPR 2024 Oral]


 [\[Code\]](#) |  [\[Paper\]](#) |  [\[Quick Start\]](#) |  [\[Hugging Face\]](#)

InternVL-Chat-V1-5-Backup3


Image

Drop Image Here  
- or -  
Click to Upload


Examples




What does this image mean



Describe this image in detail



Please read the text in this image and return the information in the JSON format



How many dogs are in the figure, and why?

Parameters

InternVL-Chat

Enter text and press ENTER

Send

Upvote

Downvote

Flag

Regenerate

Clear

# Findings

1. Significant advancements in the field of LMM in the last two years, and still fast evolving.
2. Online tools demonstrates the capability of conducting many document understanding tasks including text extraction, information extraction, document classification and some claim match tasks.
3. Space for improvement, particularly on information extraction from multiple documents per page, handling annotation, text grounding, and accurate claim match.



# Recommendations

1. Experimenting on some of the advanced LMM such as InternVL, LLaVA-1.6, TextMonkey, LayoutLLM etc.
2. Keep monitoring the development of LMM as it is still a very active research topic.
3. Start planning on Vector Database for image/document data when building the ATO vector databases.

# Further resources

## Youtube Video:

- [Mastering Multimodal Models: Exploring Idefics2](#)
- [Multimodal Generative AI Demystified](#)

## Website:

- [InternVL](#)
- [LLaVA](#)
- [TextMonkey](#)
- [Awesome-Multimodal-Large-Language-Models](#)
- [Awesome-Document-Understanding](#)

## Further materiel for this presentation

You might have watched a nice video a few weeks ago called "Multimodal Generative AI Demystified". The Multimodal models mentioned in that video includes many types of input and many types of output.

Note: With Document Understanding in consideration, this presentation will be focusing on multimodel on Image and Text. And it will also not involve any image generation.

# An example of interleaved image-text data:

## Royal Australian Mint to release Bluey-themed Dollarbucks coins

By Charlotte Gore

Posted 13h ago, updated 12h ago



The 2024 Bluey Dollarbucks collection includes a coin that features Bingo and Bluey dressed up as The Grannies. (Supplied: Royal Australian Mint)

[abc.net.au/news/royal-australian-mint-to-release-bluey-themed-dollarbucks-coins/](https://www.abc.net.au/news/royal-australian-mint-to-release-bluey-themed-dollarbucks-coins/)

Copy link

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One dollar coins featuring Australia's favourite blue heeler, Bluey, will be released by the Royal Australian Mint tomorrow.

The 2024 Bluey Dollarbucks are a collection of three colourful coins that feature Bluey on her own, the Heeler family together, and Bingo and Bluey dressed up as The Grannies.

The Dollarbucks will be available for sale as individual coin cards or in a three-coin set with a sticker sheet.

Mint CEO Leigh Gordon said the Bluey limited-run program was an opportunity to get Australians involved in coin collection in the same way coins featuring the Wiggles, Mr Squiggle or Playschool were in the past.

"This is the mint's classic storytelling and social culture commentary through coins," Mr Gordon said.

"We hope that through this new Bluey program we are cultivating the coin collector of tomorrow."

"What better way to start your child's or grandchild's coin collection, than with a Bluey coin?"



One of the coins features the Heeler family together. (Supplied: Royal Australian Mint)

## **Some of the challenges when I read the papers.**

1. There is no consistent measure of the benchmark results.