# Optical Flow Regularization of Implicit Neural Representations for Video Frame Interpolation

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### **Abstract**

Recent works have shown the ability of neural implicit representations (NIR) to carry meaningful representations of signal derivatives. In this work, we leverage this property to perform video frame interpolation by explicitly constraining the derivatives of the signal to satisfy the optical flow constraint. We achieve state of the art video frame interpolation on limited motion ranges using only a target video and its optical flow, without learning the interpolation operator from additional training data. We further show that constraining the signal derivatives to satisfy the optical flow constraint not only allows to interpolate intermediate frames but also improves the ability of narrow networks to fit observed frames, which suggests possible applications to NIR optimization and video compression.

## 1 Introduction

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Many core concepts across the fields of signal processing are defined in terms of continuous functions 12 13 and their derivatives: surface curvature is the derivative of surface normals in space, motion is a 14 rate of change in space through time, etc. In contrast, the modern digital infrastructure is inherently discrete: digital sensors capture discrete observations regularly sampled in time and space; digital 15 computers store and process discrete samples of signals. In order to model continuous notions from 16 discrete samples of continuous signals, classical signal processing approaches have resorted to a 17 variety of heuristics and assumptions, often taking the form of constant first or second derivatives 18 of the signal between consecutive observations. The lack of generality of any such handcrafted heuristics, combined with the ever improving quantitative results of machine learning approaches, 20 have led to the near ubiquitous use of machine learning approaches in recent signal processing 21 research. These approaches leverage large collections of data to infer statistical properties of signals 22 instead of hand-crafted heuristics. 23

In computer vision, Video Frame Interpolation (VFI) is one task representative of such development. VFI models aim to infer intermediate frames between consecutive frames of a video. To do so, most successful approaches rely on the optical flow as an approximation of the motion field to guide the interpolation of pixel intensities from the grid of two consecutive frames onto the pixel grid of intermediate frames. Classical approaches formulate assumptions such as constant speed or acceleration of the motion field between consecutive frames. The value of each pixel in the infered intermediate frame is thus computed by shifting the pixel intesities of the observed frames following the optical flow directions and interpolating these shifted pixel intensities on the intermediate frame's pixel grid. These approaches suffer from the following two limitations:

- Optical flow constraint used to infer the optical flow holds for limited situations.
- Linear interpolation of pixel intesities along the optical flow directions does not hold in practice.

These limitations share the same root cause: discretization. Indeed, both the optical flow constraint and the constant motion field assumption only truly hold at the infinitesimal scale, for much smaller time deltas than typical FPS used in practice.

These limitations have motivated the use of ML approaches in which the frame interpolation operation is instead learned from the statistical regularities of a set of training videos, without explicitly formulating any assumption. While these approaches have achieved great success in terms of benchmark performance, they are prone to suffer from generalization errors when applied to new arbitrary videos. Indeed differences between the training set distribution (i.e. the benchmark videos) and the target video distribution hinders the performance of ML approaches: differences in the range of motion, exposure time and frame-per-second have been shown to limit the generalization of state-of-the-art models to videos in the wild [CITE]. 

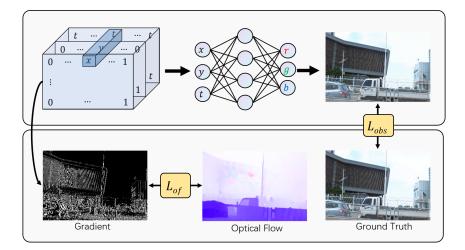


Figure 1: Illustration of our approach

In the mean time, research on implicit representations aim to represent continuous signals in digital computer as parameterized functions. In paritcular, the SIREN [CITE] model has shown that representing signals using Multi Layer Perceptrons (MLP) with sine activation functions allowed for meaningful representations of the signal derivatives. This raises the question wether theoretical approaches can be applied at the infinitesimal scale, i.e., on the exact gradient of NIR rather than applying these notions on the discretely sampled observation through heuristics.

In this work, we propose one such application: we regularize the derivatives of SIREN representations of videos to satisfy the optical flow constraint, i.e., to be orthogonal to their optical flow (which we compute using existing state-of-the-art OF models). Our approach outperforms most existing machine learning-based approaches on small motion range benchmarks, without relying on machine learning to learn the interpolation: we simply regularize the implicit representation using the definition of the optical flow and the optical flow constraint equation. In this sense, our approaches is most similar to classical VIF approaches, except that instead of wrapping the OF on discrete explicit frame representations, we apply the optical flow constraint on the exact gradient of the the NIR. Our method is thus not subject to any mismatch between training and test data, thus avoiding the pitfalls of machine learning approaches. Furthermore, our approach can sample any number of frame in-between the observed frames due to the continuous nature of the representation. In addition to its application to VFI, we also show that constraining the gradient of the model also improves the ability of narrow MLPs to fit the signal, suggesting potential applications in NIR optimisation and video compression.

67 To summarize, the contributions of this work are:

- We propose a regularization method for SIREN which achieve state-of-the-art video frame interpolation on small motion ranges.
- In contrast to other state-of-the art approaches, our approach does not rely on training on a large external training set but works given only the target video and its estimated optical flow.

 We show that our regularization approach not only helps generalizing to intermediate frame generalization but also helps narrow models fit the observed frames.

75 On the other hand, our approach (in its current form) presents important limitations:

- It relies on an input optical flow, which is computed using existing ML-based model and thus suffers the limitations of ML approaches.
- Optimization of the NIR is very time-consuming, which hinders our ability to work on full resolution videos for time constraints.
- Our method currently only works on limited motion range. It does not match state-of-the art ML models on large motion ranges.

While we aknowledge the importance of the above limitations, we believe these are not fundamental limitations of our approach. We discuss these limitations at length and present possible axis to tackle them in Section XXX. The remainder of this paper is organized as follows: We briefly present some related work in Section XXX, the detail of our method in Section XXX, and design several experiments to highlight the advantages of our approach in Section XXX.

### 87 2 Related Work

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Deep learning video interpolation. A number of deep learning models have been developed for video interpolation tasks. Almost all models can be categorized as: optical flow based, and kernel based.

Optical Flow-Based. Optical flow-based approaches are the most popular in video frame interpolation. The standard technique of video frame interpolation aims at explicitly estimating motion in the form of optical flow, warping two input frames to an intermediate frame, and synthesizing the occlusion region. The frames are constrained by the assumption of linear motion and constant luminance between them. However, video interpolation of video frames is heavily dependent on the accuracy of optical flow.

The Super-SloMo? proposed by Jiang et,al. is a non-negligible work in the task of optical flow-based video frame interpolation. Super-SloMo extends the U-Net architecture proposed by Liu et al?. The bilateral optical flow is calculated for the input two frames and approximates the key frame with the intermediate optical flow of the two frames. Then the frames of the input are warped according to the obtained intermediate optical flow.

RRIN ? mentioned that the estimation of intermediate frames in Super-SloMo works poorly near the boundaries because the optical flow is not locally smooth in these regions. RRIN proposes to improve the accuracy of optical flow by residual learning. BMBC ? adds two additional approximate vectors to Super-SloMo to make the bilateral motion estimation more accurate.

Kernel-Based. To avoid explicit motion estimation and warping stages, the kernel-based approach performs a convolution operation on the input frames and the output of the convolution is used as the result of interpolating the frames. Niklaus et al. ? proposed a fully convolutional deep neural network using a spatially adaptive convolutional kernel to perform the prediction of intermediate frames for two frames with consecutive inputs. Niklaus et al. ? improved their method by using a separable convolution with spatially adaptive one-dimensional convolutional kernel pairs estimated for each pixel, in reducing the parameters of the model. The results of kernel-based methods for frame interpolation can be limited by the size of the kernel.

Lee et al. proposed Adacof?, which can use any pixel at any position for convolution operation, so that the convolution kernel is no longer limited to the local range. And many methods residing in optical flow are defined as a special case of Adacof. However, most kernel-based methods can only generate one intermediate frame, and if one wants to generate multiple intermediate frames, one needs to do it recursively. EDSC? is the first kernel-based method proposed to generate multiple intermediate frames, but the results are not as good as the optical flow method.

#### Implicit Neural Network Representation. (INR)

INR use a neural network to represent an object approximately, which is essentially a way to parameterize the signal. Since ?, ? was developed, INR has performed well in the areas of 3D vision

tasks, images, and video. The image and video tasks most relevant to this paper are around the direction of image/video compression.

COIN? first proposed the use of INR to compress images, mapping pixel coordinates to RGB values. 125 COIN++? cooperated with the meta-learning approach for image compression work based on COIN. 126 In the field of video compression, NeRV? proposed by Chen et al. successfully encodes the video 127 into a neural network, i.e., the content of the video is saved using a neural network. Only the frame 128 index of the model needs to be provided, and the corresponding RGB picture is output. In other 129 words, this makes it possible to output infinite frames of video using a neural network. Although 130 NeRV briefly attempts the task of performing video frame interpolation, this is not NeRV's main 131 work. The NRFF? proposed by Rho et al., which uses optical flow and residuals information for 132 video compression, does not directly fit all frames. 133

Most related to our approach is the concurrent work by XX et al. ?, which also uses INR for video interpolation tasks. Their approach, CURE, uses machine learning. It requires visual features of the video and does not fully map the pixel coordinates and frame positions of the video to RGB images.

### 3 Method

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We consider a ground-truth videos as a continuous signal v mapping continuous spatial (x, y) and temporal (t) coordinates to RGB values:

$$v: (x, y, t) \to (R, G, B)$$

$$v: \mathbb{R}^3 \to \mathbb{R}^3$$
(1)

Our goal is to find a continuous function  $f_{\theta}$ , parameterized by a finite parameter set  $\theta \in \Theta$ , with minimum distance d to the ground-truth signal:

$$f_{\theta}: (x, y, t) \to (R, G, B)$$

$$s.t. \ \theta = min_{\Theta} \int_{(x, y, t)} d(f_{\theta}(x, y, t), v(x, y, t))$$

$$(2)$$

where the distance funtion d may either be the Peak Signal to Noise Ratio (PSNR) or the Structural Similarity Index Measure (SSIM). To do so, we only have access to regularly sampled observation of the signal v (i.e. the explicit representation of the video), which we denote as:

$$\mathcal{V} \in \mathbb{R}^{T \times H \times W \times 3}$$

$$s.t. \, \mathcal{V}_{xut} = v(x, y, t) \, \forall (x, y, t) \in \mathbb{N}^3$$
(3)

Following previous work on NIR (cite), we use the SIREN model (MLP with sine activation functions),
The most straightforward way to solve Equation XXX is to optimize over the model parameters to fit
the observations, using the following loss function

$$\mathcal{L}_{obs} = \frac{1}{HWT} \sum_{x=1}^{W} \sum_{y=1}^{H} \sum_{t=1}^{T} ||f_{\theta}(x, y, t) - \mathcal{V}_{xyt}||^{2}$$
(4)

However, we found that optimizing the NIR to only minimize this observation loss leads to overfitting the observation with high temporal frequencies: the intra-frame signal, which we aim to correctly recover, shows important deviations from the observed frames, as illustrated in Figure XXX. This observation has lead us to consider fitting not only the signal itself, but to also constrain its derivatives. In particular, we regularize the model so as to respect the optical flow constraint.

The optical flow represents the movement of brightness patterns in videos. For a given coordinate (x,y,t) in a video signal v, the optical is defined as the motion of this coordinate's brightness, The optical flow constraint equation states that for an infinitesimal lapse of time  $\delta t$ , the brightness of a physical point perceived by the camera should remain constant. In other words, given the displacement  $(\delta x, \delta y)$  of a physical point in the image coordinate system, the image brightness v

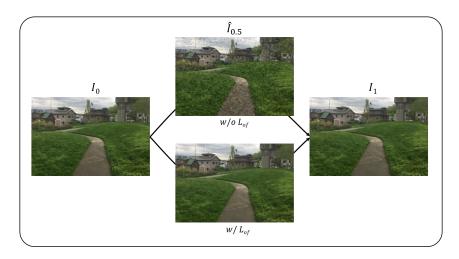


Figure 2: Illustration of NIR frame interpolation with and without optical flow regularization. Without regularization (middle top), intermediate frames show unnatural high-frequency variations. Regularizing the NIR to satisfy the optical flow constraint equation result in nicely interpolated frames (middle bottom).

should remain constant. This relationship is exact in the infinitesimal limit, as  $\delta t$  tends to zero, we have:

$$v(x, y, t) = (x + \delta x, y + \delta y, t + \delta t) \tag{5}$$

We leverage this optical flow constraint equation to regularize the NIR. Denoting the derivatives of the video signal as:

$$D(f, \theta, x, y, t) = \left(\frac{\delta f_{\theta}(x, y, t)}{\delta x}, \frac{\delta f_{\theta}(x, y, t)}{\delta y}, \frac{\delta f_{\theta}(x, y, t)}{\delta t}\right)$$
(6)

162 And the optical flow as:

$$F(x, y, t) = (u(x, y, t), v(x, y, t), 1)$$
(7)

we can now define the optical flow regularization loss

$$\mathcal{L}_{of} = \frac{1}{HWT} \sum_{x \in W} \sum_{y \in H} \sum_{t \in T} |D(f, \theta, x, y, t) \cdot F(x, y, t)|$$
(8)

This loss constrains the derivatives of the signal to be orthogonal to the optical flow and can be intuitively understood as keeping constant brightness along the optical flow trajectories, thus dumping the high frequency temporal variations observed in Figure XXX. The total loss we use to optimize the NIR is a weighted sum of these two terms:

$$\mathcal{L} = \lambda \mathcal{L}_{obs} + (1 - \lambda) \mathcal{L}_{of} \tag{9}$$

where  $\lambda$  is a hyperparameter taking values between 0 and 1 whose impact we investigate in the following section. The exactitude of the optical flow constraint plays in our favor: As we regularize the true derivative of the signal representation, we do not assume constant derivatives of the signal on any interval. On the other hand, the optical flow we used was computed from discrete consecutive frames, and thus does not represent the true infinitesimal motion range. We discuss this limitation in Section XXX.

# 174 4 Experiments

- Following previous works, we use the A, B and C dataset as benchmarks to compare to the state-of-
- the-art. We run all additional experiments on the XXX video illustarted in Figure XXX. Due to the
- time-consuming operation of optimizing SIREN representations, we optimize and evaluate all models
- on a XXX resolution. For the A dataset, we follow the standard 8 video split XXX.
- Unless specified other-wise, all experiments are run with a SIREN of depth XXX and width XXX.
- We use an omega of XXX and a lambda of XXX. We optimize the models using the Adam optimizer
- using a cosine learning rate with maximum learning rate of XXX during XXX epochs.
- We start by showing the impact of controling the fit to high frequency without the optical flow loss in
- section XXX. We show that while limiting the frequency fitted does improve generlization, it does
- not allow to reach the same accuracy as optical flow regularization, showing that OF regularization
- does more than just limiting the fitted frequencies.
- In Section XXX, we compare our results to state of the art quantitatively on standard benchmarks.
- We show that our approach achieves state-of-the-art resuklts on low-range motion datasets, but
- underperforms existing methods on the high-range motion dataset. We present an ablation in Section
- 189 XXX, providing inisight and appropriate settings on the different model hyperparameters and a
- qualitative analysis of our results in Section XXX.
- Finally, we report a surprising additional result in Section XXX: We show that XXX.

### 192 4.1 Optical Flow constaint and High Frequencies

193 SIRENs are parameterized with a factor omega that allows to control the frequency.

#### 194 4.2 State of the art models

- 195 Table XXX shows the results of
- 196 4.3 Ablation study
- 197 Next, we highlight the
- 198 4.4 Qualitative Analysis
- 199 Ask Sho-kun.
- 200 4.5 Video fitting
- 201 5 Limitations

## 202 6 Conclusion

# 203 References

- References follow the acknowledgments. Use unnumbered first-level heading for the references. Any
- 205 choice of citation style is acceptable as long as you are consistent. It is permissible to reduce the font
- 206 size to small (9 point) when listing the references. Note that the Reference section does not count
- 207 towards the page limit.
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