1 Topological Spaces

Definition 1.1.

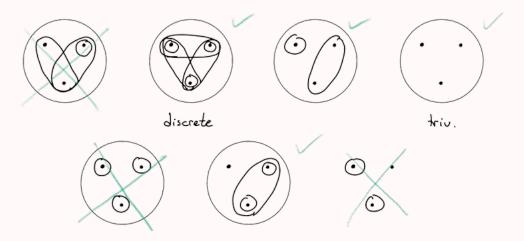
- 1. A **topology** on a set X is a set \mathcal{T} of subsets of X called **open sets** such that
 - $\varnothing, X \in \mathscr{T}$
 - $\mathscr{T}' \subseteq \mathscr{T} \implies \bigcup_{U \in \mathscr{T}'} U \in \mathscr{T}$. (Preserved under arbitrary unions)
 - $U_1, \dots, U_n \in \mathscr{T} \implies \bigcap_{i=1}^n U_i \in \mathscr{T}$. (Preserved under finite intersections)

 (X, \mathcal{T}) – or just X when \mathcal{T} is understood – is a **(topological) space**.

- 2. Suppose $\mathscr{T}, \mathscr{T}'$ are two topologies on X with $\mathscr{T} \subseteq \mathscr{T}'$. We say \mathscr{T}' is **finer** than \mathscr{T} and \mathscr{T} is **coarser** than \mathscr{T}' .
- 3. $A \subseteq X$ is **closed** if $X \setminus A$ is open. Hence \emptyset, X are closed, and closedness is preserved under finite unions and arbitrary intersections.

Example 1.1.

- 1. The **discrete topology** on X is $\mathcal{T} = \mathcal{P}(X)$.
- 2. The $\boldsymbol{trivial}\ \boldsymbol{topology}$ on X is $\mathcal{T}=\{\varnothing,X\}.$
- 3. $X = \{1, 2, 3\}$:



Definition 1.2. A set \mathcal{B} of subsets of X is a **basis** if

- $\bullet \ \ X = \bigcup_{B \in \mathscr{B}} B$
- $x \in B_1 \cap B_2$ with $B_1, B_2 \in \mathscr{B} \implies (\exists B \in \mathscr{B}) (x \in B \subseteq B_1 \cap B_2)$

Theorem 1.1. A basis \mathcal{B} generates a topology \mathcal{T} via

$$U \in \mathscr{T} \iff (\forall x \in U) (\exists B \in \mathscr{B}) (x \in B \subseteq U).$$

Proof. $\emptyset \in \mathcal{T}$ (vacuously) and $X \in \mathcal{T}$ since \mathcal{B} covers X. We then verify the union and intersection properties:

• Suppose $U_{\alpha} \subseteq X$ are open, then $\bigcup_{\alpha} U_{\alpha}$ is open because

$$x \in \bigcup_{\alpha} U_{\alpha} \implies x \in U_{\alpha} \text{ for some } \alpha \implies x \in B_{\alpha} \subseteq U_{\alpha} \subseteq \bigcup_{\alpha} U_{\alpha}$$

• Suppose U_1, U_2 are open, then $U_1 \cap U_2$ is open because

$$x \in U_1 \cap U_2 \implies \begin{cases} x \in B_1 \subseteq U_1 \text{ for some } B_1 \in \mathscr{B} \\ x \in B_2 \subseteq U_2 \text{ for some } B_2 \in \mathscr{B} \end{cases} \implies x \in B \subseteq B_1 \cap B_2 \subseteq U_1 \cap U_2$$

for some $B \in \mathcal{B}$. By induction, any finite intersection of open sets is open.

Example 1.2. Let $X = \mathbb{R}$. We can construct three topologies via the bases:

- 1. $\{(a,b): a,b \in \mathbb{R}\}\$ (the **standard topology** on \mathbb{R})
- 2. $\{[a, b) : a, b \in \mathbb{R}\}$
- 3. $\{U \subseteq \mathbb{R} : U = \mathbb{R} \setminus \{x_1, \dots, x_n\} \text{ for some } x_1, \dots, x_n \in \mathbb{R}\}$

Note, (2) is finer than (1), and (1) is finer than (3).

Remark.

- 1. Uncountable intersections may not be open. E.g. $\bigcap_n (-1/n, 1/n) = \{0\}$ is not open in the standard topology on \mathbb{R} .
- 2. Different bases could generate the same topology. E.g. For $X = \mathbb{R}^2$, open balls generate the same topology as open squares do.

Definition 1.3. Let X be a space, and $A \subseteq X$.

- 1. $int(A) = \bigcup \{U \subseteq A : U \text{ is open}\}\ is the$ *interior*of A.
- 2. $\overline{A} = \bigcap \{C \supseteq A : C \text{ is closed}\}\$ is the $\boldsymbol{closure}\$ of A.
- 3. A is **dense** if $\overline{A} = X$.

Example 1.3.

- 1. $int(A) = \overline{A} = A$ in the discrete topology.
- 2. $\operatorname{int}(A) = \varnothing; \overline{A} = X$ in the trivial topology for any $A \neq \varnothing, X$.
- 3. \mathbb{Q} is dense in \mathbb{R} .

Warning. A, B dense does not imply $A \cap B$ dense, e.g. take \mathbb{Q} and $\mathbb{Q} + \sqrt{2}$.

Theorem 1.2.

- 1. $A \text{ open} \Leftrightarrow A = \text{int}(A)$
- 2. $A \text{ closed} \Leftrightarrow A = \overline{A}$

Definition 1.4.

- 1. A *neighborhood of* $x \in X$ is an open set that contains x.
- 2. $x \in X$ is a *limit point* of A if $(\forall x \in U \in \mathcal{T}) (A \cap U \setminus \{x\} \neq \emptyset)$.
- 3. $x \in X$ is an **adherent point** of A if $(\forall x \in U \in \mathcal{T}) (A \cap U \neq \emptyset)$.
- 4. $\partial A = \{x \in X : x \text{ limit pt of } A \text{ and } X \setminus A\}$

Theorem 1.3.

- 1. $\overline{A} = \{\text{adherent pts of } A\} = A \cup \{\text{limit pts of } A\} = \text{int}(A) \sqcup \partial A.$
- 2. $X = int(A) \sqcup \partial A \sqcup int(X \backslash A)$.

Theorem 1.4. If U_1, U_2 are dense and open, then $U_1 \cap U_2$ is dense and open.

Proof. Suppose $x \in X$. We want to show that for any $x \in U$ open we have $U \cap (U_1 \cap U_2) \neq \emptyset$.

Since U_1 is dense, $U \cap U_1 \neq \emptyset$. Since U_2 is also dense, $U \cap U_1 \cap U_2 \neq \emptyset$.

2 Metric Spaces

Definition 2.1.

- 1. A **metric** on a set X is a function $d: X^2 \to \mathbb{R}$ such that
 - $d(x,y) \ge 0$ and equality holds if and only if x = y
 - \bullet d(x,y) = d(y,x)
 - $d(x,y) + d(y,z) \ge d(x,z)$

The set $B_x(\varepsilon) = \{y : d(x,y) < \varepsilon\}$ is the (open) ε -ball centered at x.

2. The **metric topology** on (X, d) is the topology generated by the basis

$$\mathscr{B} = \{B_x(r) : x \in X, r > 0\}$$

Example 2.1. The *euclidean metric* d on \mathbb{R}^n is $d(\mathbf{x}, \mathbf{y}) = \sqrt{\sum_i (x_i - y_i)^2}$.

3 Subspace Spaces

Definition 3.1. Let (X, \mathcal{T}) be a space and $A \subseteq X$. The **subspace topology** on A (with respect to X) is

$$\mathscr{T}_A = \{ A \cap U : U \in \mathscr{T} \} .$$

We call A with this topology a **subspace** of X.

Theorem 3.1. A basis \mathcal{B} for \mathcal{T} defines a basis \mathcal{B}_A for \mathcal{T}_A via

$$\mathscr{B}_A = \{A \cap B : B \in \mathscr{B}\}.$$

Remark. If (X, d) is a metric space and $A \subseteq X$ then (A, d_A) is a metric space where $d_A(a_1, a_2) = d(a_1, a_2)$.

Theorem 3.2. Let (X, d) be a metric space. Then the metric topology on $A \subseteq X$ agrees with the subspace topology of $A \subseteq X$.

Proof. The subspace topology on A has basis $\mathscr{B}_S = \{A \cap B_x(r)\}_{x \in X}$ whereas the metric topology on A has basis $\mathscr{B}_M = \{B_x^A(r)\} = \{A \cap B_x(r)\}_{x \in A} \subseteq \mathscr{B}_S$. On the other hand, given any open U in the subspace topology and $x \in U \subseteq A$, we have $x \in A \cap B_x(r) \subseteq U$ for some r > 0, but this is just $x \in B_x^A(r) \subseteq U$. Since $x \in U$ was arbitrary, U is open in the metric topology too.

Definition 3.2. $A \subseteq X$ (space) is discrete if its subspace topology is discrete.

Example 3.1. Is $X = \{0\} \cup_n \{1/n\}$ discrete in \mathbb{R} ? No. $\{0\}$ is not open in X. If it were, then $\exists (a,b)$ such that $(a,b) \cap X = \{0\}$, but 1/n < b for large n.

Warning. $B = A = \mathbb{R} \times \{0\} \subseteq X = \mathbb{R}^2$ are examples for the following statements:

- 1. B open in A does not imply B open in X.
- 2. Suppose $A \subseteq Y \subseteq X$, then the int(A) in Y may not be $Y \cap int(A)$.

But these versions are true:

Theorem 3.3.

- 1. B open in A, and A open in X, then B open in X.
- 2. Suppose $A \subseteq Y \subseteq X$, the closure of A in Y is $Y \cap$ (closure of A in X).

4 Product Spaces

Definition 4.1. Let $\{X_{\alpha}\}_{\alpha}$ be a collection of spaces.

1. The **product topology** on $X_1 \times \cdots \times X_n$ is generated by the basis

$$\mathscr{B} = \{Y_1 \times \cdots \times Y_n : Y_1, \cdots, Y_n \text{ open}\}$$

2. More generally, the **product topology** on $\prod_{\alpha} X_{\alpha}$ is generated by the basis

$$\mathscr{B} = \{ \prod_{\alpha} Y_{\alpha} : Y_{\alpha} \text{ open for all } \alpha, \text{ and only finitely many } Y_{\alpha} \neq X_{\alpha} \}$$

Theorem 4.1.

1. If $A \subseteq X$; $B \subseteq Y$ are subspaces, then the subspace topology and product topology on $A \times B$ agree.

2. The metric topology on \mathbb{R}^n agrees with the product topology on \mathbb{R}^n .

5 Quotient Space

Definition 5.1.

• Let X be a space, Y be a set, and $q: X \to Y$ be surjective. The **quotient topology** on Y induced by the **quotient** map q is given by

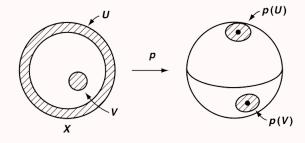
$$\mathscr{B} = \{ U \subseteq Y : q^{-1}(U) \text{ open in } X \}$$

• Let $A \subseteq X$ be a subset and define $x \stackrel{A}{\sim} y \Leftrightarrow x = y \text{ or } x, y \in A$. We denote X/A the space on $X/\stackrel{A}{\sim}$ with quotient topology induced by the canonical map $q: X \to X/\stackrel{A}{\sim}$.

Remark. An equivalence relation \sim on X determines the surjective *canonical map* $q:X \twoheadrightarrow X/\sim$ defined by q(x)= equivalence class of x.

Example 5.1.

1. Consider the unit 2-disk $X=D^2=\{x\times y:x^2+y^2\leqslant 1\}$. If we identify together all points on the boundary ∂D^2 , we get the quotient space $D^2/\partial D^2$ that is homeomorphic with the subspace of \mathbb{R}^3 called the unit 2-sphere $S^2=\{x\times y\times z:x^2+y^2+z^2=1\}$.



- 2. We can construct a torus $S^1 \times S^1$ from the rectangle $[0,1] \times [0,1]$.
- 3. We can patch two disks $D^2 \sqcup D^2$ along their boundaries to obtain S^2 . Formally, given a homeomorphism $\varphi: \partial D_1^2 \to D_2^2$, we have $(D_1^2 \sqcup D_2^2)/\sim = S^2$ where $x \sim y \Leftrightarrow x = y$ or $x \in \partial D_1^2, y \in \partial D_2^2, \varphi(x) = y$.

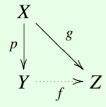
6 Continuous Functions

Definition 6.1. Let X, Y be spaces. A function $f: X \to Y$ is

- continuous at $x \in X$ if $f^{-1}(V)$ is open in X for all neighborhoods V of f(x).
- **continuous** if $f^{-1}(V)$ is open in X for all V open in Y.
- a **homeomorphism** if f is bijective, and f and f^{-1} are continuous.

Theorem 6.1.

- 1. Let \mathscr{B} be a basis of X. The map $f: X \to Y$ is continuous if and only if $f^{-1}(B)$ is open for all $B \in \mathscr{B}$.
- 2. A composition of continuous functions is continuous.
- 3. Let $A \subseteq X$ be a subspace and $f: X \to Y$ be continuous. Then $f|_A$ is continuous.
- 4. Let $f: Z \to X \times Y$ where $f = f_X \times f_Y$. Then f is continuous if and only if f_X, f_Y are continuous.
- 5. Any quotient map is continuous. Given a quotient map $p: X \to Y$, $f: Y \to Z$ is continuous if and only if $g = f \circ p$ is continuous.



- 6. The following are equivalent to $f: X \to Y$ being continuous:
 - (1) $f^{-1}(C)$ is closed for all closed $C \subseteq Y$.
 - (2) Given any $x \in X$ and $f(x) \subseteq V$ open, there exists open U with $f(U) \subseteq V$.
 - (3) $f(\overline{A}) \subseteq \overline{f(A)}$ for all $A \subseteq X$.

Proof of (6).

• Continuity is equivalent to (1) by taking complements.

- For (2), say f is continuous, then $U = f^{-1}(V)$ works. Conversely, say (2) is true. Then for any open $V \subseteq Y$, any $v \in V$ admits a neighborhood within V, which has an open preimage $U_v \subseteq X$. Then $f^{-1}(V) = \bigcup_{v \in V} U_v$ is open, and thus f is continuous.
- (1) \Rightarrow (3). Since $A \subseteq f^{-1}(f(A)) \subseteq f^{-1}(\overline{f(A)})$ which is closed, we have $\overline{A} \subseteq f^{-1}(\overline{f(A)})$ and thus $f(\overline{A}) \subseteq \overline{f(A)}$.
- (3) \Rightarrow (1). Let $C \subseteq Y$ be closed. Then $f\left(\overline{f^{-1}(C)}\right) = \overline{f(f^{-1}(C))} \subseteq \overline{C} = C$ and hence $\overline{f^{-1}(C)} \subseteq f^{-1}f\left(\overline{f^{-1}(C)}\right) \subseteq f^{-1}(C)$ and thus $f^{-1}(C)$ is closed.

Corollary 6.1. Say X, Y are metric spaces. $f: X \to Y$ is continuous if and only if

$$(\forall x \in X, \varepsilon > 0) (\exists \delta > 0) (\forall d_X(x, y) < \delta) (d_Y(f(x), f(y)) < \varepsilon).$$

Theorem 6.2. (Pasting Lemma) Let $X = A \cup B$ be a space where A, B are closed. If $f_A : A \to Y$ and $f_B : B \to Y$ are continuous and $f_A(x) = f_B(x)$ for all $x \in A \cap B$, then $f : X \to Y$ defined by

$$f(x) = \begin{cases} f_A(x) & x \in A \\ f_B(x) & x \in B \end{cases}$$

is continuous.

7 Limits and Continuity

Definition 7.1. $\{x_n\}_{n\in\mathbb{N}}$ in X converges to $x\in X$ if any neighborhood of x contains all but finitely many x_n . Write $x_n\to x$.

Warning. Limits may not be unique:

- 1. In the trivial topology, any sequence converges to all points.
- 2. In $\mathbb{R}_1 \sqcup \mathbb{R}_2 / \sim$ where $x \sim y \iff x \in \mathbb{R}_1, y \in \mathbb{R}_2, x = y \neq 0$, we have

$$1/n \rightarrow 0_1$$
 and $1/n \rightarrow 0_2$ (fat point)

Theorem 7.1. If $x_n \to x$, then $x \in \overline{\{x_n\}_n}$.

Definition 7.2. A space X is *first-countable* if for any $x \in X$, there exists a countable number of neighborhoods U_1, U_2, \cdots such that any neighborhood of x contains some U_i . The $\{U_i\}$ is called a **neighborhood basis** of x.

Theorem 7.2. If X is first-countable,

- 1. $x \in \overline{A} \implies \exists x_1, x_2, \dots \in A \text{ such that } x_n \to x.$
- 2. $f: X \to Y$ is continuous if and only if $(x_n \to x) \implies (f(x_n) \to f(x))$.

8 Connectedness

Definition 8.1. A space X is **connected** if there is no nontrivial clopen (closed and open) set $A \subseteq X$.

Example 8.1. The subspace $(0,1) \cup (2,3)$ of \mathbb{R} is not connected.

Theorem 8.1. $[a, b] \subseteq \mathbb{R}$ is connected.

Proof. Suppose the contrary, that $[a,b] = A \sqcup B$ where A,B are closed and non-empty. WLOG Assume $b \in B$. Then $s = \sup A < b$. If $s \in A$, since A is also open, there exists $(s - \varepsilon, s + \varepsilon) \subseteq A \implies \sup A \geqslant s + \varepsilon$, a contradiction. Hence $s \in B$ instead. Since B is open, there exists $(s - \varepsilon, s + \varepsilon) \subseteq B$ and thus $\sup A \leqslant s - \varepsilon$, a contradiction.

Definition 8.2. A space X is **path-connected** if every pair $x, y \in X$ can be joined by a path in X: a continuous map $\gamma : I = [0, 1] \to X$ such that $\gamma(0) = x$ and $\gamma(1) = y$.

Example 8.2.

- 1. \mathbb{R}^n is path-connected. Use the path $\gamma(t) = t\mathbf{x} + (1-t)\mathbf{y}$.
- 2. S^n is path-connected. Use the path $\gamma(t) = \frac{t\mathbf{x} + (1-t)\mathbf{y}}{|t\mathbf{x} + (1-t)\mathbf{y}|}$.
- 3. A torus is path-connected: Start with a path in I^2 and then take the quotient.

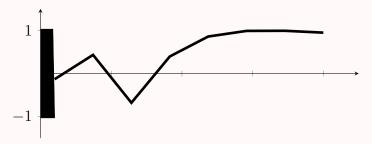
Theorem 8.2.

- 1. Any path-connected space is connected.
- 2. If $f: X \to Y$ is continuous and surjective,
 - X connected $\implies Y$ connected.
 - X path-connected $\implies Y$ path-connected.
- 3. Quotients of a (path-)connected space is (path-)connected.
- 4. A product of (path-)connected spaces is (path-)connected.

Example 8.3. The *topologist's sine curve* defined by

$$X = \{(x \times \sin(1/x)) : x > 0\} \cup \{0\} \times [-1, 1]$$

is connected but not path-connected.



Definition 8.3. The equivalence relation $x \sim y$ where there is a (path-)connected subspace containing both x, y partitions the space into (path-)connected **components**.

9 Compactness

Definition 9.1.

- 1. An *open cover* of X is a collection of open sets that cover X. A space X is *compact* if every open cover of X admits a finite subcover.
- 2. A space X is **sequentially compact** if every sequence of points in X admits a convergent subsequence.

Theorem 9.1. 1st-countable + compact \implies sequentially compact.

Proof. Suppose $\{x_n\}_n$ does not have a convergent subsequence. Let $x \in X$, then there exists a countable neighborhood basis U_1, U_2, \cdots . We can safely let $U_1 \supseteq U_2 \supseteq \cdots$ by taking successive intersections. Since there is no subsequence that converges to x, only finitely many x_n lie in U_n for some sufficiently large n. Hence, every $x \in X$ has a neighborhood U_x that intersects $\{x_n\}_n$ at a finite number of points. Taking the union of all U_x and applying compactness shows that $\{x_n\}_n$ is finite, so we can conclude by the pigeonhole principle.

Theorem 9.2.

- 1. Every closed subspace of a compact space is compact.
- 2. A continuous function maps compact spaces to a compact image.
- 3. Suppose X is compact and $C_1 \supseteq C_2 \supseteq \cdots$ is a sequence of closed and non-empty sets. Then $\bigcup_n C_n$ is non-empty.
- 4. A product of compact spaces is compact (Infinite case is hard: Tychonoff's Thm)
- 5. [a, b] is compact.

Proof of (4). Suppose $[a,b] = \bigcup_{\alpha} U_{\alpha}$. Then

 $S = \{x \in [a, b] : [a, b] \text{ can be covered by finitely many } U_{\alpha} \}$

contains $a \in S$ and is bounded above by b. Hence S has a supremum s.

Claim. $s \in S$.

Proof. Let $s \in U_{\beta}$ for some β , so there exists $(s - \varepsilon, s + \varepsilon) \subseteq U_{\beta}$. If $s \notin S$, just add U_{β} to the finite subcover of $[a, s - \varepsilon/2]$.

Claim. s = b.

Proof. If not, then similarly, just add U_{β} to the finite subcover of [a, s].

Therefore [a, b] can be covered by finitely many U_{α} .

Theorem 9.3. (Heine-Borel)

A subspace A of \mathbb{R}^n is compact if and only if it is closed and bounded.

Proof.

• (\Leftarrow) $X \subseteq [-M, M]^n$ is a closed subset of a compact space, so X is compact.

• (\Rightarrow) Compactness on the open cover $\{B_0(r)\}_{r>0}$ shows X is bounded. We then show any limit pt x of X is in X: For all $n \in \mathbb{N}^*$, $C_n := \overline{B_x 1/n} \cap X \neq \emptyset$, and thus $\bigcap_n C_n = X \cap \{x\}$ is non-empty.

10 Hausdorff Spaces

Definition 10.1. A space X is **Hausdorff** if for any distinct $x, y \in X$ there exists disjoint neighborhoods $x \in U, y \in V$.

Example 10.1.

- 1. The trivial topology is not Hausdorff. The discrete topology is.
- 2. Metric spaces are Hausdorff.
- 3. The finite complement topology on \mathbb{R} is not Hausdorff.
- 4. The space $\mathbb{R}_1 \sqcup \mathbb{R}_2 / \sim$ containing the fat point is not Hausdorff.

Theorem 10.1. X is Hausdorff if and only if $\Delta = \{(x \times x) : x \in X\} \subseteq X^2$ is closed.

Proof.

- (\Rightarrow) If X is Hausdorff, for any $x \neq y$ there exists disjoint neighborhoods U, V of x, y respectively. Then $U \times V$ is a neighborhood of $(x \times y) \in X \times Y$ disjoint from Δ . Taking the union over all $(x \times y)$ implies Δ is closed.
- (\Leftarrow) If Δ is closed, given any $x \neq y$ there exists a basis neighborhood $U \times V$ of $(x \times y)$ disjoint from Δ . Then U, V are the desired neighborhoods.

Theorem 10.2.

- 1. In a Hausdorff space, a sequence of points converge to at most one point.
- 2. One-point sets in a Hausdorff space are closed.
- 3. A subspace of a Hausdorff space is Hausdorff.
- 4. A finite product of Hausdorff spaces is Hausdorff.
- 5. A compact subspace of a Hausdorff space is closed.

Warning. A quotient of a Hausdorff space may not be Hausdorff.

11 Normal Spaces

Definition 11.1.

- 1. X is T_1 if one-point sets are closed.
- 2. A space is **normal** if it is T_1 , and, for any pair of disjoint closed sets $A, B \subseteq X$ there exists disjoint open sets $U, V \subseteq X$ such that $A \subseteq U, B \subseteq V$.

Remark.

- 1. Normal \implies Hausdorff $\implies T_1$.
- 2. A quotient, subspace, or product of normal space(s) need not be normal.

Example 11.1.

- 1. The fat point $\mathbb{R}_1 \sqcup \mathbb{R}_2 / \sim$ is T_1 but not Hausdorff.
- 2. The K-topology on \mathbb{R} generated by $\{(a,b)\} \cup \{(a,b) \setminus \bigcup_n \{1/n\}\}$ is Hausdorff but not normal.
- 3. The topology \mathbb{R}_{ℓ} on \mathbb{R} generated by $\{[a,b)\}$ is normal, but \mathbb{R}^2_{ℓ} is not normal.

Theorem 11.1.

- 1. A closed subspace A of a normal space X is normal.
- 2. Compact + Hausdorff \implies Normal.

Proof of (2). Suppose $A, B \subseteq X$ are disjoint and closed. Fix $a \in A$. Then for each $b \in B$ there exists disjoint neighborhoods $a \in U_b, b \in V_b$. Since B is also compact, there exists finitely many V_b that cover B. The union of such finitely many V_b and the intersection of their corresponding U_b form disjoint open sets containing a and b respectively. Repeat the same procedure for every $a \in A$ and then apply compactness of a.

Theorem 11.2. Metric spaces are normal.

Proof. We can show that, for any subset $A \subseteq X$, the *point-to-set distance* $d(-,A): X \to \mathbb{R}$ given by $d(x,A) = \inf_{a \in A} d(x,a)$ is continuous. For disjoint closed sets A,B, the open sets

$$U = \{x : d(x, A) < d(x, B)\}, \qquad V = \{x : d(x, A) > d(x, B)\}\$$

contain A, B respectively and are disjoint.

Theorem 11.3. X is normal if and only if for any closed A and open U such that $A \subseteq U$, there exists an open set V such that $A \subseteq V \subseteq \overline{V} \subseteq U$.

Theorem 11.4. (Urysohn's Lemma)

Let X be normal and A, B be disjoint closed sets of X. There exists a continuous map

$$f: X \to I$$

such that $f(A) = \{0\}$ and $f(B) = \{1\}$.

Proof. Define open sets U_p for each $p \in \mathbb{Q} \cap [0,1]$ as follows: Enumerate $\mathbb{Q} \cap [0,1]$ such that 1 and 0 are the first two elements. Define $U_1 = X - B$ and by normality pick U_0 such that $A \subseteq U_0 \subseteq \overline{U_0} \subseteq U_1$. By induction, say we defined U_p for a finite number of p's and let p be the next rational in the enumeration. We must have p < r < q where U_p, U_q are already defined. By normality we pick U_r such that $\overline{U_p} \subseteq U_r \subseteq \overline{U_r} \subseteq U_q$.

Additionally, we let $U_p = \emptyset$ for all rationals p < 0 and $U_p = X$ for all rationals p > 1. Hence,

$$p < q \implies \overline{U_p} \subseteq U_q$$
.

We then define $f(x) = \inf\{p : x \in U_p\}$. It is easy to see $f(A) = \{0\}$ and $f(B) = \{1\}$. We show that f is continuous.

Lemma 1.
$$x \in \overline{U_r} \implies f(x) \leqslant r$$

Proof. If $x \in \overline{U_r}$, then $x \in U_s$ for every $s > r$. Hence $f(x) \leqslant r$. \Box
Lemma 2. $x \notin \overline{U_r} \implies f(x) \geqslant r$.
Proof. If $x \notin \overline{U_r}$, then $x \notin U_s$ for any $s < r$. Hence $f(x) \geqslant r$. \Box

Given a ball $I = (f(x) - \delta, f(x) + \delta)$, we wish to find a neighborhood U of x such that $f(U) \subseteq I$. First we choose rational numbers $p, q \in I$ such that p < f(x) < q. Then the open set $U_q \setminus \overline{U_p}$ is the desired neighborhood using the lemmas above.

Theorem 11.5. (Tietze Extension Theorem)

Let A be closed in a normal space X. Any continuous map from A to I can be extended to a continuous map from X to I. True also for \mathbb{R} instead of I.

Proof. We show for [-1,1] instead of I, and then for (-1,1) instead of \mathbb{R} .

Lemma. If $f: A \to [-\varepsilon, \varepsilon]$ is continuous, there exists continuous $g: X \to \mathbb{R}$ with $g(X) \subseteq [-\varepsilon/3, \varepsilon/3]$ and $(g-f)(A) \subseteq [-2\varepsilon/3, 2\varepsilon/3]$.

Proof. Applying the Urysohn Lemma on the disjoint closed sets $L = f^{-1}([-\varepsilon, -\varepsilon/3])$ and $R = f^{-1}([\varepsilon/3, \varepsilon])$, there exists $g: X \to [-\varepsilon/3, \varepsilon/3]$ such that $g(L) = \{-\varepsilon/3\}$ and $g(R) = \{\varepsilon/3\}$. This g works.

Now let $f: A \to [-1,1]$ be continuous. Then we can find $g_1: X \to [-1/3,1/3]$ such that $|f(a) - g_1(a)| \leq 2/3$ for all $a \in A$. Then we apply the Lemma on $f - g_1$ again, so we get $g_2: X \to [-2/9,2/9]$ such that $|f(a) - g_1(a) - g_2(a)| \leq 4/9$. Recursively, we get a sequence of functions g_n such that $g_{n+1}: X \to [-(2/3)^n/3, (2/3)^n/3]$ and

$$|f(a) - g_1(a) - \dots - g_{n+1}(a)| \le \left(\frac{2}{3}\right)^{n+1}.$$

By the Weierstrass M-test, $g(x) = \sum_{n=1}^{\infty} g_n(x)$ converges to the desired function (Exercise).

To show the (-1,1) version, take g from the [-1,1] case. Apply the Urysohn Lemma to the disjoint closed sets A and $D = g^{-1}(\{-1\}) \cup g^{-1}(\{1\})$ to get a continuous $\varphi : X \to [0,1]$ so that $\varphi(D) = \{0\}$ and $\varphi(A) = \{1\}$. Then $h(x) = \varphi(x)g(x)$ works (|h(x)| < 1).

Urysohn Metrization Theorem

Definition 11.2.

- 1. A space is **second-countable** if it has a countable basis.
- 2. A space is *metrizable* if it is homeomorphic to a metric space.

Theorem 11.6. (Urysohn Metrization Theorem)

 $2nd countable + Normal \implies Metrizable.$

Proof. We first note that $I^{\omega} = \{ \mathbf{x} = (x_1, x_2, \cdots) : x_i \in I \}$ with the metric

$$d(\mathbf{x}, \mathbf{y}) = \sup_{n} \frac{|x_n - y_n|}{n}.$$

is a metric space. Let X be normal with a countable basis \mathscr{B} . We will embed X into I^{ω} .

Lemma. There exists a collection $\{f_n: X \to I\}_{n \in \mathbb{N}}$ of continuous functions such that given any $x \in X$ and any neighborhood U, there exists some f_n that is positive at x but vanishes outside U.

Proof. For each $B, C \in \mathcal{B}$ with $\overline{B} \subseteq C$, apply the Urysohn Lemma to construct a continuous function $g_{B,C}: X \to I$ such that $g_{B,C}(\overline{B}) = \{1\}$ and $g_{B,C}(X \setminus C) = \{0\}$. $\{g_{B,C}: \overline{B} \subseteq C\}$ is the desired collection. It is countable because $\mathcal{B} \times \mathcal{B}$ is countable, and given any x with neighborhood U, we can choose by Theorem 11.3 the sequence of open sets $x \in B \subseteq \overline{B} \subseteq C \subseteq U$, and then use $g_{B,C}$.

Using $\{f_n\}_{n\in\mathbb{N}}$ from the Lemma, define $F:X\to I^\omega$ such that

$$F(x) = (f_0(x), f_1(x), f_2(x), \cdots)$$

- F is injective because given $x \neq y$, there exists some $f_n(x) > 0 = f_n(y)$ (Hausdorff!).
- F is continuous: Let $B_x(\varepsilon) \subseteq I^\omega$. Fix an integer $N > 2/\varepsilon$. Since each f_n is continuous, for each $1 \le n \le N$ there exists a neighborhood $x \in U_n$ such that $y \in U_n \implies |f_n(x) f_n(y)| \le \varepsilon/2$. Hence for any $y \in U_1 \cap \cdots \cap U_N$,

$$d(F(x), F(y)) = \sup_{n} \frac{|f_n(x) - f_n(y)|}{n}$$

$$\leq \max \left(\sup_{1 \leq n \leq N} \frac{|f_n(x) - f_n(y)|}{n}, \sup_{n > N} \frac{|f_n(x) - f_n(y)|}{n} \right)$$

$$\leq \max \left(\frac{\varepsilon}{2}, \frac{1}{N+1} \right) < \varepsilon.$$

• For each open set U in X, F(U) is open in F(X): Let $x \in U$ and f(x) = z. Choose a f_N that is positive at x but vanishes outside U. Let

$$W = F(X) \cap \pi_N^{-1}((0,1])$$

be open in F(X). We claim that $z \in W \subseteq F(U)$. Firstly, we have $z = F(x) \in W$ because $f_N(x) > 0$. Secondly, given any $F(y) \in W$, we must have $f_N(y) > 0$. Since $f_N(y) \in W$ vanishes outside U, y must be in U, so $F(y) \in F(U)$.

Therefore, X is homeomorphic to its image under F, a subspace of the metric space I^{ω} , which is also a metric space.

12 Manifolds

Definition 12.1. An *n-manifold* is a 2nd countable Hausdorff space X such that each $x \in X$ has a neighborhood homeomorphic with an open subset of \mathbb{R}^n . We also write $X = X^n$. A 1-manifold is a *curve*, and a 2-manifold is a *surface*.

Theorem 12.1. $X^n \times Y^m$ is an (n+m)-manifold.

Proof. Hausdorffness and 2nd Countability follow immediately. Fix $(x \times y) \in X \times Y$, then there exists neighborhoods U, V of x, y homeomorphic to $\mathbb{R}^n, \mathbb{R}^m$ respectively. Then $U \times V$ is a neighborhood of $(x \times y)$ homeomorphic to $\mathbb{R}^n \times \mathbb{R}^m \cong \mathbb{R}^{n+m}$.

Example 12.1.

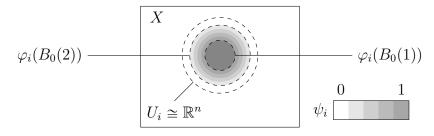
- 1. \mathbb{R}^n is an *n*-manifold.
- 2. S^n is an *n*-manifold. (Write $S^n = e_1^n \cup e_2^n$ where $e^n = \operatorname{int}(D^n) \cong \mathbb{R}^n$).
- 3. The **real projective space** $\mathbb{RP}^n = S^n / \sim (\text{where } x \sim y \iff x = \pm y) \text{ is an } n\text{-manifold.}$
- 4. $T^n = \underbrace{S^1 \times \cdots S^1}_n$ is an *n*-manifold. T^2 is a **torus**.
- 5. Fact: Every connected curve is homeomorphic to either \mathbb{R} and S^1 .

Theorem 12.2. A compact *n*-manifold X can be embedded in \mathbb{R}^N for some $N \in \mathbb{N}$.

Proof. Each $x \in X$ admits a neighborhood U^x with a homeo $\varphi^x : \mathbb{R}^n \to U^x$. We can choose a basis $x \in B^x \subseteq \varphi^x(B_0(1))$, and hence by compactness of X via the B^x there exists U_1, \dots, U_m with homeos $\varphi_i : \mathbb{R}^n \to U_i$ and $X \subseteq \bigcup_i \varphi_i(B_0(1))$

By Urysohn's Lemma, there exists $\rho_i: X \to I$ such that $\rho_i\left(\overline{\varphi_i(B_0(1))}\right) = \{1\}$ and $\rho_i\left(X \setminus \varphi_i(B_0(2))\right) = \{0\}$. Via the pasting lemma, let $\psi_i: X \to \mathbb{R}^n$ be the continuous function

$$\psi_i(x) = \begin{cases} \rho_i(x)\varphi_i^{-1}(x) & x \in U_i \\ (0, \dots, 0) & \text{otherwise} \end{cases}.$$



Then $F(x) = (\rho_1(x), \dots, \rho_m(x), \psi_1(x), \dots, \psi_m(x))$ embeds X into $\mathbb{R}^{m(n+1)}$.

13 Paracompactness

Definition 13.1.

• An open cover $\{U_{\alpha}\}_{\alpha}$ of X is **locally finite** if every $x \in X$ has a neighborhood that intersects only finitely many U_{α} .

- A **refinement** of an open cover $\{U_{\alpha}\}_{\alpha}$ of X is an open cover $\{V_{\beta}\}_{\beta}$ such that each V_{β} is contained in some U_{α} (depends on β).
- A space X is paracompact if it is Hausdorff, and, every open cover of X admits a locally finite refinement.

Warning.

- 1. Some sources do not require Hausdorffness in the definition.
- 2. Quotient/Subspace/Product of paracompact space(s) may not be paracompact.

Example 13.1. \mathbb{R}^n is paracompact. Let B(r) be the open ball of radius r centered at the origin. Given any open covering \mathscr{A} , for each $n \in \mathbb{N}^*$ we can pick a finite number of elements of \mathscr{A} that covers $\overline{B(n)}$. Intersect them with $\mathbb{R}^n \setminus \overline{B(n-1)}$. The union of these open sets is a desired locally finite refinement.

Theorem 13.1.

- 1. A closed subspace of a paracompact space is paracompact.
- 2. Compact + Hausdorff \implies Paracompact
- 3. Metric space \implies Paracompact.
- 4. Paracompact \implies Normal.

Proof of (4). Let A, B be closed and disjoint. We first prove the case when $A = \{a\}$. For each $b \in B$ pick disjoint neighborhoods $a \in U_b, v \in V_b$. Since $(X \setminus B) \cup_b V_b$ is an open cover of X, by paracompactness there exists a locally finite refinement of V_{α} 's that cover B. Also, x has a neighborhood W that intersects only finitely many V_{α} , say V_{b_1}, \dots, V_{b_n} . Then the open sets $U = U_{b_1} \cap \dots \cap U_{b_n}$ and $V = V_{b_1} \cap \dots \cap V_{b_n}$ form a desired pair.

For the general case, we update the notation so that for each $a \in A$ there exists disjoint open sets $a \in U_a, B \subseteq V_a$. Let $\{U_\alpha\}$ be a locally finite refinement that covers A, so $b \in B$ admits a neighborhood W_b that intersects finitely many U_α , say U_{a_1}, \dots, U_{a_n} . We then let

 $V_b = W_b \cap_i V_{a_i}$. Then $U = \bigcup_{\alpha} U_{\alpha}$ and $V = \bigcup_{b \in B} V_b$ give the desired separation.

Definition 13.2. A *partition of unity* on X is a locally finite open cover $\{U_{\alpha}\}_{\alpha}$ equipped with continuous $\rho_{\alpha}: X \to I$ such that

- $\rho_{\alpha}(x) > 0 \implies x \in U_{\alpha}$
- $\sum_{\alpha} \rho_{\alpha}(x) = 1$ (well-defined due to local finiteness)

Theorem 13.2. Every cover of a paracompact space admits a refinement that has a partition of unity.

Proof. Let $\{U_{\alpha}\}$ be a cover of X. For each $x \in X$ there is an $x \in U_{\alpha_x}$ and hence we can pick $x \in W_x \subseteq \overline{W_x} \subseteq U_{\alpha_x}$ by normality. Let $\{V_{\beta}\}$ be a locally finite refinement of $\{W_x\}$. By Urysohn's Lemma, there exists $\psi_{\beta}: X \to I$ such that $\psi\left(\overline{V_{\beta}}\right) = \{1\}$ and $\psi\left(X \setminus U_{\alpha_{\beta}}\right) = \{0\}$. Then $\rho_{\beta}(x) = \psi_{\beta}(x) / \sum_{\gamma} \psi_{\gamma}(x)$ is a desired partition of unity.

Theorem 13.3. Manifold \implies Paracompact.

Proof. Let X be a manifold.

Lemma. $\exists K_1, K_2, \cdots$ compact with $K_n \subseteq \operatorname{int}(K_{n+1})$ and $X = \bigcup_n \operatorname{int}(K_n)$. Proof. Let U_i with homeos $\varphi_i : \mathbb{R}^n \to U_i$ such that $\{\varphi_i(B_0(1))\}$ covers X. Then take the compact space $K_n = \bigcup_{i=1}^n \bigcup_{j=1}^n \varphi_i\left(\overline{B_0(j)}\right)$.

Let $X = \bigcup_{\alpha} U_{\alpha}$. Then for each n there exists $U_1^n, \dots, U_{t_n}^n$ that cover the compact space K_n . Then $V_i^n = U_i^n \backslash K_{n-1}$ form a locally finite refinement.

14 Covering Dimension

Definition 14.1.

1. The **covering dimension** of a space X is the infimum over $n \in \mathbb{N}$ such that $(\forall \text{ open cover } \{U_{\alpha}\})$ $(\exists \text{ refinement } \{V_{\beta}\})$ $(\forall x \in X)$ $(x \text{ is in } \leqslant n+1 \text{ of the } V_{\beta})$ or equivalently

$$\dim X = \max_{\mathscr{A} \text{ open cover } X} \left[\min_{\mathscr{B} \text{ refmt of } \mathscr{A}} \underbrace{\left(\max_{x \in X} |\{B \in \mathscr{B} : x \in B\}|\right)}_{\text{order of } \mathscr{B}} \right] - 1$$

2. A **Lebesgue number** for an open cover $\{U_{\alpha}\}$ of a compact metric space is a real $\delta > 0$ such that any subset of X of diameter $< \delta$ is contained within some U_{α} .

Theorem 14.1. (Lebesgue's Covering Lemma)

Any open cover $\{U_{\alpha}\}$ of a compact metric space (X,d) has a Lebesgue number.

Proof. Since X is compact, assume $\{U_{\alpha}\} = \{U_1, \cdots, U_n\}$. The map $f(x) = \max_{1 \leq i \leq n} d(x, X \setminus U_i) > 0$ is continuous on a compact space and thus f(X) has a minimum $\delta > 0$.

Example 14.1.

1. Any compact subspace of \mathbb{R} has dimension at most 1.

Proof. Note that $\mathscr{C} = \{(n, n+1), (n-\frac{1}{2}, n+\frac{1}{2}) : n \in \mathbb{Z}\}$ has order 2. Let \mathscr{A} be any open covering of a compact subspace X of \mathbb{R} , with some Lebesgue number $\delta > 0$. The image \mathscr{I} of \mathscr{C} under $f : x \mapsto \delta x/2$ is an open covering whose elements have diameter $\delta/2 < \delta$, and hence is an open refinement subcover of \mathscr{A} . Hence

$$\dim X = \max_{\mathscr{A} \text{ open cover } X} \left[\min_{\mathscr{B} \text{ open refinement subcover of } \mathscr{A}} (\text{order of } \mathscr{B}) \right] - 1$$

$$\leqslant \max_{\mathscr{A} \text{ open cover } X} [2] - 1 = 1.$$

- 2. $\dim I = 1$.
 - *Proof.* We show that there is some open covering \mathscr{A} such that any open refinement subcover of \mathscr{A} has order at least 2. Let $\mathscr{A} = \{[0,1), (0,1]\}$ and let \mathscr{B} be any open refinement subcovering. Since 0 and 1 cannot belong to the same refinement, \mathscr{B} has at least two elements. Partition \mathscr{B} into two nonempty parts \mathscr{B}_1 and \mathscr{B}_2 . If \mathscr{B} had order 1 then $[]\mathscr{B}_1$ and $[]\mathscr{B}_2$ disconnect [0,1], a contradiction.

3. Fact: dim $I^n = n$, and every compact subspace of \mathbb{R}^n has dimension $\leq n$.

Theorem 14.2.

- If Y is a closed subspace of a finite dimensional space X, then $\dim Y \leq \dim X$.
- If $X = Y \cup Z$ where Y, Z are closed finite dimensional subspaces of X, then $\dim X = \max(\dim Y, \dim Z)$.
- Every compact subspace of \mathbb{R}^N has dimension at most N.

Theorem 14.3. (The embedding Theorem)

Every compact metrizable space X of dimension m can be embedded in \mathbb{R}^{2m+1} .

Definition 14.2. Let X be a compact metric space.

- 1. $C(X, \mathbb{R}^n) = \{f : X \to \mathbb{R}^n \text{ cts} \}$ is the metric space equipped with the uniform metric $d(f,g) = \sup_x |f(x) g(x)|$.
- 2. For $A \subseteq X$, diam $(A) = \sup_{x,y \in A} d(x,y)$.
- 3. For $f \in \mathcal{C}(X, \mathbb{R}^n)$, diam $(f) = \sup \{ \operatorname{diam}(f^{-1}\{z\}) : z \in f(X) \}$.
- 4. $U_{\varepsilon} = \{ f \in \mathcal{C}(X, \mathbb{R}^n) : \operatorname{diam}(f) < \varepsilon \}.$

Remark. $\bigcap_{n} U_{1/n} = \{ f \in \mathcal{C}(X, \mathbb{R}^n) : f \text{ injective} \}, \text{ and } U_{\varepsilon} \text{ is open and dense.}$

15 Homotopies

From now on, we assume all 'maps' are continuous.

Definition 15.1.

• The mapping cylinder M_f of a map $f: X \to Y$ is the quotient space of the disjoint union $(X \times I) \coprod Y$ obtained by identifying each (x, 1) with f(x).

- A deformation retraction of a space X onto a subspace $A \subseteq X$ is a family of maps $f_t: X \to X, t \in [0,1]$ such that $f_0 = \mathbf{1}, f_1(X) = A$, and $f_t \mid_{A} = \mathbf{1}$ for all t. The map $(x,t) \mapsto f_t(x)$ should also be continuous.
- A **homotopy** is a family of maps $f_t: X \to Y, t \in [0,1]$ such that $(x,t) \mapsto f_t(x)$ is continuous. f_0, f_1 are **homotopic**, written $f_0 \simeq f_1$, if there exists a homotopy f_t connecting them. If $f_t \mid_A$ is independent of t for some $A \subseteq X$, then f_t is a **homotopy relative to** A.
- A retraction of X onto $A \subseteq X$ is a map $r: X \to X$ such that r(X) = A and $r|_{A} = 1$. A deformation retraction is just a homotopy from the identity map to a retraction of X onto A.
- $f: X \to Y$ is a **homotopy equivalence** if there exists $g: X \to Y$ such that $fg \simeq \mathbf{1}_Y, gf \simeq \mathbf{1}_X$. We then say X and Y are **homotopy equivalent** (written $X \simeq Y$) or have the same **homotopy type**.
- X is **contractible** if $X \simeq \{0\}$. $f: X \to Y$ is **nullhomotopic** if $f \simeq$ constant.

16 CW Complexes

Definition 16.1. A CW complex / cell complex is a space X built as such:

- 1. Start with a discrete set X^0 , whose points are **0-cells**.
- 2. Let D^n_{α} be n-balls (with $\partial D^n_{\alpha} = S^{n-1}_{\alpha}$). Inductively, form the n-skeleton (n-dimensional CW complex) X^n as the quotient space of $X^{n-1} \coprod_{\alpha} D^n_{\alpha}$ by identifying $x \sim \varphi_{\alpha}(x)$ where $\varphi_{\alpha} : \partial D^n_{\alpha} \to X^{n-1}$ are the **attaching maps**. This makes $X^n = X^{n-1} \coprod_{\alpha} \operatorname{int}(D^n_{\alpha})$ as a set. The e^n_{α} are called n-cells.
- 3. One can stop after finite n, setting $X = X^n$. Or one can set $X = \bigcup_{n=0}^{\infty} X^n$, giving it the weak topology: $U \subseteq X$ is open $\Leftrightarrow U \cap X^n$ is open in X^n for all n.

The *characteristic map* of a cell $e^n_{\alpha} = \operatorname{int}(D^n_{\alpha})$ is the map

$$\Phi_{\alpha}: D_{\alpha}^{n} \hookrightarrow X^{n-1} \coprod_{\beta} D_{\beta}^{n} \xrightarrow{\text{quot}} X^{n} \hookrightarrow X$$

Example 16.1.

1. A one-dimensional cell complex X^1 is a graph, where nodes are 0-cells and edges are 1-cells.

- 2. The *n*-sphere S^n is a cell complex with two cells e^0 and e^n , with the attaching map $S^{n-1} \to e^0$. Or, we can inductively attach two *n*-cells to the equatorial S^{n-1} .
- 3. The **real projective** n-**space** $\mathbb{RP}^n \cong S^n/(v \sim -v) \cong D^n/(v \sim -v : v \in \partial D^n)$ is a cell complex by attaching an n-cell to \mathbb{RP}^{n-1} via the map $S^{n-1} \twoheadrightarrow \mathbb{RP}^{n-1}$. We can also have $\mathbb{RP}^{\infty} = \bigcup_n \mathbb{RP}^n$.

Definition 16.2. A *subcomplex* of a CW complex X is a closed subspace $A \subseteq X$ that is a union of cells of X. The pair (X, A) is a CW *pair*.

Example 16.2.

- 1. $\mathbb{RP}^k \subseteq \mathbb{RP}^n$ is a subcomplex $(k \leq n)$.
- 2. $S^k \subseteq S^n$ is not a subcomplex with the two-cell structure, but is a subcomplex using the recursive CW structure.

Theorem 16.1.

- If X, Y are cell complexes, then $X \times Y$ is a cell complex, whose cells are $e_{\alpha}^m \times e_{\beta}^n$ where $e_{\alpha}^m, e_{\beta}^n$ are cells of X, Y respectively.
- If (X, A) is a CW pair, then the quotient space X/A is a cell complex, whose cells are the cells of $X \setminus A$, and one new 0-cell: the image of A in X/A.

Theorem 16.2. If (X, A) is a CW pair and A is contractible, then the quotient map $X \to X/A$ is a homotopy equivalence.

Definition 16.3. $A \subseteq X$ has the **homotopy extension property** if given any map $f_0: X \to Y$ and a homotopy $f_t \mid_A: A \to Y$ of $f_0 \mid_A$, we can extend $f_t \mid_A$ to a homotopy f_t on X.

Theorem 16.3. $A \subseteq X$ has the homotopy extension property if and only if $X \times \{0\} \cup A \times [0,1]$ is a retract of $X \times [0,1]$.

Theorem 16.4. If (X, A) is a CW pair, A has the homotopy extension property.