#### State

Assume: Agent position: 120; Food Count: 30 Ghost position: 12; Agent Face: 4 => State Num: 120\*2<sup>30</sup>\*2<sup>12</sup>\*4

State space: n \* 2<sup>k</sup> Search

# fringe: 保存即将拓展的节点 complete: 能够找到一个解 optimal: 能够找到最优解

fringe: LIFO stack Time: O(bm) Space: O(bm) complete: complete when No Cycle Optimal: No

# **BFS**

fringe: FIFO queue Time: O(b<sup>s</sup>) Space: O(b<sup>s</sup>) complete: complete Optimal: Yes when all cost is 1

## Iterative Deepening

#### BFS+DFS

1. Run DFS with depth limit 1, if not find, ... 2. Run DFS with depth limit 2, if not find, . 每一层复杂度指数上升,因此上一层结果 不需要传递给下一层

fringe: priority queue cost sensitive BFS Time: O(b ) Space: O(b ) complete: finite cost and all positive Optimal: Yes

#### A\* Search

combine greedy and UCS f(n)=g(n)+h(n)Admissible: optimal in tree search Consistency: optimal in graph search reason: 任意路径上cost不下降 Consistency => Admissible e.g. for consistent: Euclidean/Manhattan

Standard Search Fomulation: 朴素搜索 给一个变量赋值,全部结束后判断是否 有冲突, 如果有则重新赋值

# Backtracking

DFS + variable order + fail-on-violation 只选择与约束不冲突的赋值, 如果全部 冲突, 那么backtrack回溯

#### Filter: Constraints Propagation

- 1. 初始化. 将所有的Arc都放入一个queue
- 2. 反复移除 $Arc: X_i > X_j$  强制要求Arc是 consistency的. 即对于每一个 $v \in D(X_i)$ 都有 $w \in \dot{D}(X_i)$ 能够让(v,w)满足约束
- 3. 如果v没有任何的w能够使之满足约束, 那么需要从domain中删除
- 4. 如果删除了任意值, 那么需要将所有的  $X_k$ -> $X_i$ 重新放入队列中
- 5. 币复直到队列为空或者某个domain为 空集

时间复杂度: O(ed³)=O(n d)

function AC-3(exp) returns the CSP, possibly with reduced domains inputs: exp, a binary CSP with variables  $\{X_1, X_2, \dots, X_n\}$  local variables: queue, a queue of arcs, initially all the arcs in exp while queue is not empty do  $(X_1, X_2) \leftarrow \text{RestOVE-PicCONSISTEMT-VALUES}(X_i, X_j)$  then for each  $X_1$  in NEGRIBORS[ $X_i$ ] do add  $(X_k, X_k)$  to queue

function REMOVE-INCONSISTENT-VALUES  $(X_i, X_j)$  returns true iff succeeds

removed:—fulse for each x in  $DOMAIN[X_i]$  do if no value y in  $DOMAIN[X_i]$  allows (x,y) to satisfy the constraint  $X_i \leftarrow X_j$  then delet x from  $DOMAIN[X_i]$ . removed—true return removed.

# K-Consistency:

在remove backward之后的状态. 保证k-1的consistent的赋值一定能拓展到 第k个变量上,而不违反任何约束

互相容Arc Consistency是2-consistency

Ordering: Most Remaining Value MRV

下一个变量选择domain中剩余最多的一个 这个顺序无法提前得知, 因为与已赋值的 变量的值有关

Ordering: Least Constraints Value LCV 下一个变量选择约束最少的一个

Structure: Tree-Structured CSP

 $O(d^n)$ -> $O(nd^2)$ A B D F

- 1. 将图展平(任意顺序), 然后用有向箭头连接成为一 即,令无向图线性化
- 2. Remove Backward 要求所有的Arc: Pa(x)->x是consistent的
- 3. Assign Forward 在可选的domain中选择一个值赋值
- 在Remove Backward之后, 从Root到Leaf都是arc consistency的
- 如果Root到leaf都是consistency的,那么Forward Assign不会 Backtracking

#### Cutset Conditioning

找到割集,为cutset分配变量,只留下树状CSP 时间复杂度: O(d (n-c)d )

#### Local Search

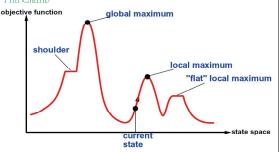
State: 随机对变量完整赋值,Successor: 找到违反约束最多的变量重新赋值

#### Performance: R 接近 critical ratio时表现较差

$$R = \frac{\text{number of constraints}}{\text{number of variables}}$$

# R很大和很小都会减少算法运行的时间。 R很大时,变量很少,会减少运行 时间。R很小的时候,约束稀疏,可行解范围很大,运行时间也很短。

#### Hill Clamb



#### Zero Sum

# **Adversarial Search**

Agents have opposite utilities (values on outcomes) Adversial Search(Minimax)

# Adversarial, pure competition

Minimax values

Non-Terminal State:

 $\operatorname{Agent's\ Control}: V(s) = \max_{s' \in \operatorname{successors}(s)} V(s')$ 

1. Deterministic, zero-sum 2. Players alternate turns.

3. One player max result.

4. One player min result.

Opponent's Control:  $V(s') = \min_{s \in \operatorname{successors}(s')} V(\overline{s)}$ 

Terminal State: # 终端状态, 定值和固定的游戏性质

#### Minimax Search

if the state is a terminal state: return the state's utility if the next agent is MAX: return max-value(state) if the next agent is MIN: return min-value(state) lef max-value(state): initialize v = -∞ for each successor of state: v = max(v, value(successor)) return v def min-value(state): initialize  $v = +\infty$ for each successor of state: v = min(v, value(successor))

# **Resource Limits**

## Like (exhaustive) **DFS**

• Time:  $O(b^m)$ • Space: O(bm)

 Replace terminal utilities with an evaluation function for non-terminal positions.

### **Evaluation Functions**

Ideal: Returns the actual minimax value of the position.

### Alpha-beta Pruning

α: MAX's best option on path to root β: MIN's best option on path to root

#### def max-value(state, $\alpha$ , $\beta$ ): initialize $v = -\infty$ for each successor of state:

= max(v, value(successor, α, β)) If  $v \ge \beta$  return v $\alpha = \max(\alpha, v)$ 

initialize  $v = +\infty$ for each successor of state:  $v = min(v, value(successor, \alpha, \beta))$ if v ≤ α return v  $\beta = \min(\beta, v)$ return v

def min-value(state ,  $\alpha$ ,  $\beta$ ):

Max层只更新alpha, min层只更新beta 当alpha >= beta的时候剪枝

# Logic

Syntax: 是否是一个合法的语句

Semantic: 这个model是否能让语句是true/false

 $(\alpha \wedge \beta) \equiv (\beta \wedge \alpha)$  commutativity of  $\wedge$  $(\alpha \lor \beta) \equiv (\beta \lor \alpha)$  commutativity of  $\lor$  $\begin{array}{ll} ((\alpha \wedge \beta) \wedge \gamma) \equiv (\alpha \wedge (\beta \wedge \gamma)) & \text{associativity of } \wedge \\ ((\alpha \vee \beta) \vee \gamma) \equiv (\alpha \vee (\beta \vee \gamma)) & \text{associativity of } \vee \end{array}$  $\begin{array}{ccc} \neg(\neg\alpha) \equiv \alpha & \text{double-negation elimination} \\ (\alpha \Rightarrow \beta) \equiv (\neg\beta \Rightarrow \neg\alpha) & \text{contraposition} \end{array}$  $(\alpha \Rightarrow \beta) \equiv (\neg \alpha \lor \beta)$  implication elimination  $(\alpha \Leftrightarrow \beta) \equiv ((\alpha \Rightarrow \beta) \land (\beta \Rightarrow \alpha))$  biconditional elimination  $\neg(\alpha \land \beta) \equiv (\neg \alpha \lor \neg \beta)$  de Morgan  $\neg(\alpha \lor \beta) \equiv (\neg \alpha \land \neg \beta)$  de Morgan  $(\alpha \wedge (\beta \vee \gamma)) \equiv ((\alpha \wedge \beta) \vee (\alpha \wedge \gamma))$  distributivity of  $\wedge$  over  $\vee$  $(\alpha \lor (\beta \land \gamma)) = ((\alpha \lor \beta) \land (\alpha \lor \gamma))$  distributivity of  $\lor$  over  $\land$ 

Valid: 是否一定是true satisfiable: 是否有一个model使之为true unsatisfiable: 没有任何一个model使之为true

Entail: 如果a中的所有model都是true, 那么b中的也是true (a包含于b)

Proof: a demonstration of entailment from a to b

soundness 健全: everything can be proved is in fact entailed completeness 完整: everuything that is entailed can be proved sound意味着所有可证明的都是对的,即无法证明错误存在 complete意味着所有的正确都可以被证明

CNF: 只存在 与或非 的逻辑表达式 应该被叫做 conjunction of disjunction of literals 也叫做Clause

Resolution Rule: an inference rule in PL

#### Examples:

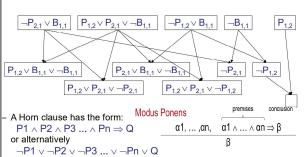
 $\frac{P_{1,3} \vee P_{2,2}, \qquad P_{2,3} \vee \neg P_{2,2}}{P_{1,3} \vee P_{2,3}} \qquad \frac{P_{1}, \neg P_{1}}{\{\}}$ 如果两个clause之间有相互无法证明的内容(如,  $P_{1}, \neg P_{1}$ )那么

Inference Rule的本质就是两个clause都为true的时候能够推导出 其他的一定为true的clause

e.g. 证明: **KB |=** α

- 1. Convert KB∧¬α to CNF
- 2. Repeatedly apply the resolution rule to add new clauses, until one of the two things happens
  - a) Two clauses resolve to yield the empty clause, in which case KB entails q
  - There is no new clause that can be added, in which case KB does not entail α

$$KB = (B_{1,1} \Leftrightarrow (P_{1,2} \lor P_{2,1})) \land \neg B_{1,1}$$
  
 $\alpha = \neg P_{1,2}$ 



Forward Chaining and Backward Chaining run linear time, linear space Forward Chaining是健全的且完整的,但是Backward chaining是健 全的但是不完整的.

Horn Logic和Inference Rule都是sound & complete

Modus Ponens只对Horn Logic是sound & complete

-个complete的搜索算法可以用于produce complete inference算法 Forward chaining是data-driven,不断地向knowledge base中添加推导 出的逻辑,直到找到我们想要的

Backward chaining是goal-driven,我们只证明需要用的逻辑,然后不 断向前推导,直到所有的subgoal被证明

Backward chaining:

- Avoid loop: 检查subgoal是否已经在需要证明的stack中
- Avoid repeat work: 检查subgoal是否已经被证明(或被证否)

- Objects: people, houses, numbers, colors, baseball games, wars, ...
- Relations: red, round, prime, bigger than, part of, comes between, ...
- Functions: father of, best friend of, one more than, ...

Atomic Sentences: predicate(term1, terms2, ...) or term1=term2 Logical symbols

- Equality

Connectives  $\neg$ ,  $\land$ ,  $\lor$ ,  $\Rightarrow$ ,  $\Leftrightarrow$ - Quantifiers ∀,∃ Variables x, y, a, b, ..

Non-logical symbols (ontology)

- Constants KingArthur, 2, ShanghaiTech, .. Predicates Brother, >, .

Sqrt, LeftLegOf, - Functions ·般使用∀和⇒配合,∃和∧配合

が実力 v ,...
∀x Likes(x,lceCream) ≡ -∃x -Likes(x,lceCream) ¬∀x ¬Likes(x,Broccoli)

Universal Inference(UI):

Subst( $\{v/g\}$ ,  $\alpha$ ) — Substitute v with g in  $\alpha$ 

Existential Inference(EI):

∃vα Subst( $\{v/k\}$ ,  $\alpha$ )

其中存在的变量可以用一个函数代替, 称作Skolem constant, 如: C1

# $\exists x \ Crown(x) \land OnHead(x,John) \ yields:$ $Crown(C_1) \wedge OnHead(C_1, John)$

Propositional Inference:

如果alpha被FOL KB蕴含,那么可以由知识库 中有限大小的子集蕴含

但是如果alpha并未被KB蕴含, 那么会陷入无 限循环

### Unification:

将一个变量替换成一个literal常量.

如: King(x)替换成King(John)

Knows(John,x) Knows(John,Jane) {x/Jane} Knows(John,x) Knows(y,OJ) {x/OJ,y/John} Knows(John,x) Knows(y,Mother(y)) {y/John,x/Mother(John)} Knows(John,x) Knows(x,OJ) {fail}

在做unify之前应该先standardize,将两个语句的 相同名字的变量替换掉,因为可能表示两个完 全不同的内容

MGU: 在做替换的时候, 保证最泛化的替换 MGU可能不止一个

# $\theta = \{y/John, x/z\}$ or $\theta = \{y/John, x/John, z/John\}$

Horn Logic Inference  $p_1', p_2', \dots, p_n', (p_1 \land p_2 \land \dots \land p_n \Rightarrow q)$ where  $p_i'\theta = p_i \theta$  for

FOL Forward chaining properties: 1. Sound and complete for FOL Horn clauses

2. FC terminates for first-order Horn clauses with no functions in finite number of iterations

3. In general, FC may not terminate if  $\alpha$  is not

entailed Backward Chaining:

1. Depth-first recursive proof search: space is linear in size of proof

2. Avoid infinite loops

3. Avoid repeat works

#### Conversion to CNF:

- 1. Eliminate biconditionals and implications
- 2. move  $\neg$  inwards:  $\neg \forall x p \equiv \exists x \neg p, \neg \exists x p \equiv \forall x \neg p$
- 3. standardize the variables: each quantifier should use a different variable
- 4. Skolemize: each existential variable should be replaced by a Skolem function of enclosing unversally quantified variable.
- 5. Distribute disjunction over conjunction
- 6. Drop unversally quantifier

### Bayes Network

联合概率密度分布: Time: O(d<sup>n</sup>) Space: O(d<sup>n</sup>) 有向无环图.

强假设: 每一个点只与自己的父节点相关 CPT: Conditional Probability Table 对于某一个子节点:

- 假设父节点的domain为di
- 假设该节点的domain为d
- 每一行之和是1
- 那么该节点的复杂度(参数量)是(d-1)∏ di
- d-1的原因是行之和为1

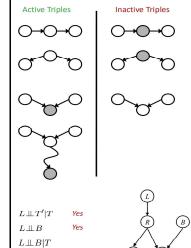
对于一个n节点,最大domain为d,最大父节点数 量为k的Bayes Net, 空间复杂度为O(nd<sup>k+1</sup>) So for any i, we have:  $P(X_i \mid X_1,...,X_{i-1}) = P(X_i \mid Parents(X_i))$ 

Markov Blanket:

个节点的父节点, 子节点, 子节点的父节点组 成该节点的Markov Blanket

给定Markov Blanket的情况下, 节点与其他节点 条件无关

D-separation: inactive 的path都是独立的



 $L \! \perp \! \! \perp \! \! B | T, R$  Ves Bayes Net causal:

 $L \perp \!\!\! \perp B | T'$ 

when Bayes Network reflect the true causal patterns:

- often simpler
- often easier to access probability
- often more robust. e.g. change the frequency of one node does not affect rest of model

BNs need not actually be causal

- sometimes no causal net exists over the domain (especially when variables are missing)
- End up with arrows reflect correlation, not causal

# Markov Network

Markov Network = undirect graph + potential function

$$p(\mathbf{x}) = \frac{1}{Z} \prod_{C} \psi_{C}(\mathbf{x}_{C})$$

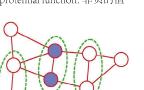
where  $\psi_C(\mathbf{x}_C)$  is the potential over clique C and



is the normalization coefficient (aka, partition function).

Clique: 一个完全图, 所有点都相互连接 Max Clique: 包含最多点的Clique

protential function: 非负的值





 $A \perp \!\!\! \perp B \mid C$ 

BN to MN:

- 1. 将所有有向边转换成无向边
- 2. 将BN中子节点的共同父节点之间连线(moralization)
- 3. 将CPT转换成protential function

An extension of MN (aka. Markov random field) where everything is conditioned on an input

$$P(y|x) = \frac{1}{Z(x)} \prod_{C} \psi_{C}(y_{C}, x)$$

where  $\psi_{\mathcal{C}}(y_{\mathcal{C}},x)$  is the potential over clique C and

$$Z(x) = \sum_{y} \prod_{C} \psi_{C}(y_{C}, x)$$

is the normalization coefficient.

## Bayes Network Inference

Variable Elimination(VE):

将求和符号尽可能向里面传入

factors: 对于求和过程中, 有可能会出现P(a|B,E)的情况, 有两个变量,于是可以保留其中一个变量,叫做factor 消除顺序: 将联合概率按照链式法则拆结成条件概率的 求和,然后消除出现次数最少的一个变量,通过求和 去消除.

Initial factors:  $P(+y_2|X_2), P(Y_1|X_1), P(X_1), P(X_2|X_1, Y_1)$ 

choose to eliminate hidden r.v.  $Y_1$ ,  $P(X_2|X_1) = \sum_{y_1} P(y_1|X_1)P(X_2|X_1, y_1)$ 

resulting factors:  $P(+y_2|X_2), P(X_2|X_1), P(X_1)$ 

choose to eliminate hidden r.v.  $X_1$ ,  $P(X_2) = \sum_{x_1} P(X_2|x_1)P(x_1)$ 

resulting factors:  $P(+y_2|X_2), P(X_2)$ choose to eliminate hidden r.v.  $X_2$ ,  $P(+y_2) = \sum_{x_2} P(+y_2|x_2)P(x_2)$ 

并不一定存在一个得到最少factor的顺序

there not always exist an ordering that only results in small factors

Poly-Tree: 一个有向图, 但是不存在无向环

对于Poly-Treee BN, VE可以是线性(对于CPT entries的数量) 复杂度, 在以下顺序:

- convert to factor graph

- Take one as root

- eliminate from leaves to root



- Run a sum-product-like algorithm on

就直接结束

3. 根据频率获取概率

低概率事件不容易采样

2. 遇到变量不是我们想要的值

the junction tree.

- Intractable on graphs with large cluster nodes

## Prior Sample 1. 直接采样

直接采样 根据频率获取概率

低概率事件不容易采样

# Likelihood Sample

- 1. 固定已知的变量 2. 按照随机数赋值
- 3. 如果遇到固定的变量,那么按照条件概率乘到weight中
- 4. 按照weight来normalize, 然后计算对应的probability

#### Important Sample:

如果原始的概率P(x)采样比较

困难,那么考虑使用Q(x)来采样,那么weight应该变成了P(x)/Q(x) Q(x)的选取对算法的影响很大,最好的Q(x)应该有Q(x)~|f(x)|P(x)

Sampling distribution (z is sampled and e is fixed evidence)

$$S_{WS}(\mathbf{z}, \mathbf{e}) = \prod_{i=1}^{l} P(z_i | \mathsf{Parents}(Z_i))$$

Now, samples have weights

$$w(\mathbf{z}, \mathbf{e}) = \prod_{i=1}^{m} P(e_i | \mathsf{Parents}(E_i))$$

Together, weighted sampling distribution is consistent

$$S_{\text{WS}}(z, e) \cdot w(z, e) = \prod_{i=1}^{l} P(z_i | \text{Parents}(z_i)) \prod_{i=1}^{m} P(e_i | \text{Parents}(e_i))$$

## Gibbs Sample

 $X_{i}' \sim P(X_{i} \mid x_{1},...,x_{i-1},x_{i+1},...,x_{n})$ 

### In a Bayes net

 $P(X_i \mid X_1,..,X_{i-1},X_{i+1},..,X_n)$ 

 $= P(X_i \mid markov\_blanket(X_i))$ 

=  $\alpha P(X_i \mid u_1, u_m) \prod_j P(y_j \mid parents(Y_j))$ 

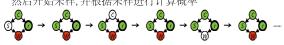
1. 完全随机初始化所有的变量

2. 随机指定一个变量, 移除该变量的赋值, 然后基于其Markov Blanket进行采样

3. 重复上述步骤多次之后能够得到一个近似与真实概率下的分布

4. 上述过程称为warmup.

然后开始采样,并根据采样进行计算概率



Sample  $S \sim P(S \mid c, r, \neg w)$