

# Empirical Software Engineering

Report 1: Searching and Classifying Empirical Studies  
(Search year 2020)

学院：软件学院

Group members：孙浩峰（191250123）、邹英龙（191250219）、丁笑宇  
（191250025）、陶泽华（191250133）

Group Id：12 组

Presenter：陶泽华（191250133）

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# 1. Search Process

## 1.1 Search Description

### 1.1.1 Plan description

In this task, we aim to finish the search of literature reviews about empirical studies in 2020 and group them into several categories according to their research methods. The task is averagely delivered to each member in our group to guarantee that all of us can collaborate to finish this task. After that, we will gather our results and use them to complete the presentation and report.

### 1.1.2 Search venues and database

VENUE/JOURNAL	DATABASE
Empirical Software Engineering Journal (EMSE)	<a href="#">Springer</a>
International Symposium on Empirical Software Engineering and Measurement (ESEM)	<a href="#">ACM digital library</a>
International Conference on Evaluation and Assessment in Software Engineering (EASE)	<a href="#">ACM digital library</a>

### 1.1.3 Selection and Classification criteria

First, it's obvious that there're plenty of papers issued in the three venues in 2020, so we have to do the selecting work. We will discard some papers that don't meet our needs. Thus, some criteria are made to help the filtering work. The criteria are as follows:

1. Published in 2020.
2. Must use one or more empirical study methods.
3. Written in English.
4. More than 6 pages.

As regard to the empirical study methods, we divide it into 7 categories which are as follows. What's more, we find out the features of each method for the convenience of the classification work.

Methods	Features
Systematic (Literature) Reviews	Summary and analysis of existing papers
Experiments	control variable, experiments
Surveys	Interview survey, questionnaire investigation, huge amounts of data
Case Studies	Systematic collection of data and information
Ethnography	field investigation, observation
Action Research	practical work
Expert Opinions	the advice of professionals

#### 1.1.4 Execution process

##### **step1 choosing database**

We choose Springer and ACM digital library as our databases. Springer is the database for the journals, which represent EMSE, while ACM is the database for the conferences, which represent ESEM and EASE. We choose to use them for the reason that they are free and convenient.

##### **step2 screening & getting papers list and download by sci-hub**

In each database, we do the search and successfully filter down some papers. For example, there're 146 papers in EMSE in 2020 in total. However, after the screening, there're 125 left. After that, we will get the list of the target papers and download them according to the list.

##### **step3 Categorizing**

The process of categorizing can be very fussy and hard. First, we will read the titles and the abstract to get the main idea of the paper. In

most situations, the abstract is clear enough and we can categorize directly. However, if we can't get the result according to the abstract, we have to read the papers thoroughly and do the discussion.

## 1.2 Problems and experience

### Problems:

**problem 1:** How to classify a paper that uses a variety of research methods?

**solution:** When our team members encounter such papers in their respective classification, they will mark them, then read them in depth, and conduct group discussion to find out the research methods used in the most critical steps as the classification standard.

**problem 2:** How to distinguish between different research methods?

**solution:** When we first read and classified the papers, we could not clearly distinguish the differences and definitions of some research methods. In this regard, we have queried the definition of each method.

### Experience:

Through the practice of searching and classifying the literature review of empirical research, we understand the main research methods used in the paper and the meaning of each research method. In addition, we have a clearer understanding of the process of literature search and classification.

## 2. Search Result

### 2.1 Statistic Summary

VENUES	COUNT
EASE	30

EMSE	125			
ESEM	22			
Total	177			
	EASE	EMSE	ESEM	TOTAL
Experiments	3	35	4	42
Case study	6	30	10	46
Survey	10	26	4	40
Action research	1	19	1	21
Systematic reviews	6	10	2	18
Ethnographies	2	3	0	5
Expert opinion	2	2	1	5
TOTAL	30	125	22	177

# TOTAL

单位: %



## 2.2 Study list

### 2.2.1 ESEM

PAPER CITATION	METHOD
<p>Nyyti Saarimäki, Valentina Lenarduzzi, Sira Vegas, Natalia Juristo, and Davide Taibi. 2020. Cohort Studies in Software Engineering: A Vision of the Future. In <i>Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM)</i> (<i>ESEM '20</i>). Association for Computing Machinery, New York, NY, USA, Article 33, 1 – 6.</p> <p>DOI:<a href="https://doi.org/10.1145/3382494.3422160">https://doi.org/10.1145/3382494.3422160</a></p>	Experiments
<p>Andreas Schuler and Gabriele Anderst-Kotsis. 2020. Characterizing Energy Consumption of Third-Party API Libraries using API Utilization Profiles. In <i>Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM)</i> (<i>ESEM '20</i>). Association for Computing Machinery, New York, NY, USA, Article 8, 1 – 11. DOI:<a href="https://doi.org/10.1145/3382494.3410688">https://doi.org/10.1145/3382494.3410688</a></p>	Experiments
<p>Sergei Shcherban, Peng Liang, Amjed Tahir, and Xueying Li. 2020. Automatic Identification of Code Smell Discussions on Stack Overflow: A Preliminary Investigation. In <i>Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM)</i> (<i>ESEM '20</i>). Association for Computing Machinery, New York, NY, USA, Article 34, 1 – 6.</p> <p>DOI:<a href="https://doi.org/10.1145/3382494.3422161">https://doi.org/10.1145/3382494.3422161</a></p>	Experiments
<p>Ying Meng and Gregory Gay. 2020. Understanding The Impact of Solver Choice in Model-Based Test Generation. In <i>Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM)</i> (<i>ESEM</i></p>	Experiments

<p>'20&lt;/i&gt;). Association for Computing Machinery, New York, NY, USA, Article 22, 1 - 11.</p> <p>DOI:<a href="https://doi.org/10.1145/3382494.3410674">https://doi.org/10.1145/3382494.3410674</a></p>	
<p>Gouri Deshpande and Guenther Ruhe. 2020. Beyond Accuracy: ROI-driven Data Analytics of Empirical Data. In &lt;i&gt;Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM)&lt;/i&gt; (&lt;i&gt;ESEM '20&lt;/i&gt;). Association for Computing Machinery, New York, NY, USA, Article 37, 1 - 6.</p> <p>DOI:<a href="https://doi.org/10.1145/3382494.3422159">https://doi.org/10.1145/3382494.3422159</a></p>	Case Study
<p>Jorge Melegati and Xiaofeng Wang. 2020. Case Survey Studies in Software Engineering Research. In &lt;i&gt;Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM)&lt;/i&gt; (&lt;i&gt;ESEM '20&lt;/i&gt;). Association for Computing Machinery, New York, NY, USA, Article 6, 1 - 12. DOI:<a href="https://doi.org/10.1145/3382494.3410683">https://doi.org/10.1145/3382494.3410683</a></p>	Case Study
<p>Héctor Cadavid, Vasilios Andrikopoulos, Paris Avgeriou, and John Klein. 2020. A Survey on the Interplay between Software Engineering and Systems Engineering during SoS Architecting. In &lt;i&gt;Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM)&lt;/i&gt; (&lt;i&gt;ESEM '20&lt;/i&gt;). Association for Computing Machinery, New York, NY, USA, Article 2, 1 - 11. DOI:<a href="https://doi.org/10.1145/3382494.3410671">https://doi.org/10.1145/3382494.3410671</a></p>	Case Study
<p>Inger Anne Tøndel, Daniela Soares Cruzes, and Martin Gilje Jaatun. 2020. Using Situational and Narrative Analysis for Investigating the Messiness of Software Security. In &lt;i&gt;Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software</p>	Case Study

Engineering and Measurement (ESEM) (ESEM '20). Association for Computing Machinery, New York, NY, USA, Article 27, 1 – 6. DOI: <a href="https://doi.org/10.1145/3382494.3422162">https://doi.org/10.1145/3382494.3422162</a>	
Hugo Jernberg, Per Runeson, and Emelie Engström. 2020. Getting Started with Chaos Engineering – design of an implementation framework in practice. In <i>Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM) (ESEM '20)</i> . Association for Computing Machinery, New York, NY, USA, Article 43, 1 – 10. DOI: <a href="https://doi.org/10.1145/3382494.3421464">https://doi.org/10.1145/3382494.3421464</a>	Case Study
Valentina Lenarduzzi, Vladimir Mandić, Andrej Katin, and Davide Taibi. 2020. How long do Junior Developers take to Remove Technical Debt Items? In <i>Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM) (ESEM '20)</i> . Association for Computing Machinery, New York, NY, USA, Article 30, 1 – 6. DOI: <a href="https://doi.org/10.1145/3382494.3422169">https://doi.org/10.1145/3382494.3422169</a>	Case Study
Zakaria Ournani, Romain Rouvoy, Pierre Rust, and Joel Penhoat. 2020. On Reducing the Energy Consumption of Software: From Hurdles to Requirements. In <i>Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM) (ESEM '20)</i> . Association for Computing Machinery, New York, NY, USA, Article 14, 1 – 12. DOI: <a href="https://doi.org/10.1145/3382494.3410678">https://doi.org/10.1145/3382494.3410678</a>	Case Study
Ehsan Firouzi, Ashkan Sami, Foutse Khomh, and Gias Uddin. 2020. On the use of C# Unsafe Code Context: An	Case Study



Empirical Study of Stack Overflow. In <i>Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM)</i> ( <i>ESEM '20</i> ). Association for Computing Machinery, New York, NY, USA, Article 39, 1 – 6. DOI: <a href="https://doi.org/10.1145/3382494.3422165">https://doi.org/10.1145/3382494.3422165</a>	
Kamonphop Srisopha, Daniel Link, Devendra Swami, and Barry Boehm. 2020. Learning Features that Predict Developer Responses for iOS App Store Reviews. In <i>Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM)</i> ( <i>ESEM '20</i> ). Association for Computing Machinery, New York, NY, USA, Article 12, 1 – 11. DOI: <a href="https://doi.org/10.1145/3382494.3410686">https://doi.org/10.1145/3382494.3410686</a>	Case Study
Carmen Coviello, Simone Romano, Giuseppe Scanniello, and Giuliano Antoniol. 2020. GASSER: Genetic Algorithm for teSt Suite Reduction. In <i>Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM)</i> ( <i>ESEM '20</i> ). Association for Computing Machinery, New York, NY, USA, Article 36, 1 – 6. DOI: <a href="https://doi.org/10.1145/3382494.3422157">https://doi.org/10.1145/3382494.3422157</a>	Case Study
Andreas Schuler and Gabriele Anderst-Kotsis. 2020. Characterizing Energy Consumption of Third-Party API Libraries using API Utilization Profiles. In <i>Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM)</i> ( <i>ESEM '20</i> ). Association for Computing Machinery, New York, NY, USA, Article 8, 1 – 11. DOI: <a href="https://doi.org/10.1145/3382494.3410688">https://doi.org/10.1145/3382494.3410688</a>	Systematic literature reviews

<p>Alex Serban, Koen van der Blom, Holger Hoos, and Joost Visser. 2020. Adoption and Effects of Software Engineering Best Practices in Machine Learning. In <i>Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM)</i> (<i>ESEM '20</i>). Association for Computing Machinery, New York, NY, USA, Article 3, 1 – 12. DOI:<a href="https://doi.org/10.1145/3382494.3410681">https://doi.org/10.1145/3382494.3410681</a></p>	<p>Systematic literature reviews</p>
<p>Jannik Fischbach, Henning Femmer, Daniel Mendez, Davide Fucci, and Andreas Vogelsang. 2020. What Makes Agile Test Artifacts Useful? An Activity-Based Quality Model from a Practitioners' Perspective. In <i>Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM)</i> (<i>ESEM '20</i>). Association for Computing Machinery, New York, NY, USA, Article 41, 1 – 10. DOI:<a href="https://doi.org/10.1145/3382494.3421462">https://doi.org/10.1145/3382494.3421462</a></p>	<p>Survey</p>
<p>Alex Serban, Koen van der Blom, Holger Hoos, and Joost Visser. 2020. Adoption and Effects of Software Engineering Best Practices in Machine Learning. In <i>Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM)</i> (<i>ESEM '20</i>). Association for Computing Machinery, New York, NY, USA, Article 3, 1 – 12. DOI:<a href="https://doi.org/10.1145/3382494.3410681">https://doi.org/10.1145/3382494.3410681</a></p>	<p>Survey</p>
<p>Cecilia Apa, Martin Solari, Diego Vallespir, and Guilherme Horta Travassos. 2020. A Taste of the Software Industry Perception of Technical Debt and its Management in Uruguay: A survey in software industry. In <i>Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software</i></p>	<p>Survey</p>

Engineering and Measurement (ESEM) (ESEM '20). Association for Computing Machinery, New York, NY, USA, Article 42, 1 - 9. DOI: <a href="https://doi.org/10.1145/3382494.3421463">https://doi.org/10.1145/3382494.3421463</a>	
Edna Dias Canedo, Rodrigo Bonifácio, Márcio Vinicius Okimoto, Alexander Serebrenik, Gustavo Pinto, and Eduardo Monteiro. 2020. Work Practices and Perceptions from Women Core Developers in OSS Communities. In Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM) (ESEM '20). Association for Computing Machinery, New York, NY, USA, Article 26, 1 - 11. DOI: <a href="https://doi.org/10.1145/3382494.3410682">https://doi.org/10.1145/3382494.3410682</a>	Survey
Arthur-Jozsef Molnar and Simona Motogna. 2020. Long-Term Evaluation of Technical Debt in Open-Source Software. In Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM) (ESEM '20). Association for Computing Machinery, New York, NY, USA, Article 13, 1 - 9. DOI: <a href="https://doi.org/10.1145/3382494.3410673">https://doi.org/10.1145/3382494.3410673</a>	Action Research
Martin Forsberg Lie, Mary Sánchez-Gordón, and Ricardo Colomo-Palacios. 2020. DevOps in an ISO 13485 Regulated Environment: A Multivocal Literature Review. In Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM) (ESEM '20). Association for Computing Machinery, New York, NY, USA, Article 9, 1 - 11. DOI: <a href="https://doi.org/10.1145/3382494.3410679">https://doi.org/10.1145/3382494.3410679</a>	Expert Opinions

## 2.2.2 EASE

PAPER CITATION	METHOD
<p>Andrés Paul Moya Flores and Fernanda Maria Ribeiro de Alencar. 2020. Competencies Development based on Thinking-based Learning in Software Engineering: An Action-Research. In <i>Proceedings of the 34th Brazilian Symposium on Software Engineering</i> (<i>SBES '20</i>). Association for Computing Machinery, New York, NY, USA, 680 – 689.</p> <p>DOI:<a href="https://doi.org/10.1145/3422392.3422481">https://doi.org/10.1145/3422392.3422481</a></p>	Action Research
<p>Zi Peng, Jinqiu Yang, Tse-Hsun (Peter) Chen, and Lei Ma. 2020. A first look at the integration of machine learning models in complex autonomous driving systems: a case study on Apollo. <i>Proceedings of the 28th ACM Joint Meeting on European Software Engineering Conference and Symposium on the Foundations of Software Engineering</i>. Association for Computing Machinery, New York, NY, USA, 1240 – 1250.</p> <p>DOI:<a href="https://doi.org/10.1145/3368089.3417063">https://doi.org/10.1145/3368089.3417063</a></p>	Case Study
<p>Prashanth Krishnamurthy, Animesh Basak Chowdhury, Benjamin Tan, Farshad Khorrami, and Ramesh Karri. 2020. Explaining and Interpreting Machine Learning CAD Decisions: An IC Testing Case Study. In <i>Proceedings of the 2020 ACM/IEEE Workshop on Machine Learning for CAD</i> (<i>MLCAD '20</i>). Association for Computing Machinery, New York, NY, USA, 129 – 134.</p> <p>DOI:<a href="https://doi.org/10.1145/3380446.3430643">https://doi.org/10.1145/3380446.3430643</a></p>	Case Study
<p>Aline F. Barbosa, Geraldo Torres G. Neto, Maria Lencastre, Roberta A. A. Fagundes, and Wylliams B. Santos. 2020. Fostering Industry-Academia Collaboration in Software Engineering using Action Research: A Case Study. In <i>19th Brazilian Symposium on Software Quality</i> (<i>SBQS'20</i>). Association for Computing</p>	Case Study

<p>Machinery, New York, NY, USA, Article 44, 1 – 9.</p> <p>DOI:<a href="https://doi.org/10.1145/3439961.3440005">https://doi.org/10.1145/3439961.3440005</a></p>	
<p>Mansoorreh Zahedi, Roshan Namal Rajapakse, and Muhammad Ali Babar. 2020. Mining Questions Asked about Continuous Software Engineering: A Case Study of Stack Overflow. In <i>Proceedings of the Evaluation and Assessment in Software Engineering (EASE '20)</i>. Association for Computing Machinery, New York, NY, USA, 41 – 50.</p> <p>DOI:<a href="https://doi.org/10.1145/3383219.3383224">https://doi.org/10.1145/3383219.3383224</a></p>	Case Study
<p>Umme Ayda Mannan, Iftekhar Ahmed, Carlos Jensen, and Anita Sarma. 2020. On the relationship between design discussions and design quality: a case study of Apache projects. <i>Proceedings of the 28th ACM Joint Meeting on European Software Engineering Conference and Symposium on the Foundations of Software Engineering</i>. Association for Computing Machinery, New York, NY, USA, 543 – 555.</p> <p>DOI:<a href="https://doi.org/10.1145/3368089.3409707">https://doi.org/10.1145/3368089.3409707</a></p>	Case Study
<p>Mason Pellegrini. 2020. The Intersection of Design Thinking and Website Creation: Findings from Two Case Studies. In <i>Proceedings of the 38th ACM International Conference on Design of Communication (SIGDOC '20)</i>. Association for Computing Machinery, New York, NY, USA, Article 15, 1 – 2.</p> <p>DOI:<a href="https://doi.org/10.1145/3380851.3416750">https://doi.org/10.1145/3380851.3416750</a></p>	Case Study
<p>Gabriel Yago de Oliveira Moreira and José Amancio Macedo Santos. 2020. Applying coupling and cohesion concepts in object-oriented software: a controlled experiment. In <i>19th Brazilian Symposium on Software Quality (SBQS'20)</i>. Association for Computing</p>	Experiments

Machinery, New York, NY, USA, Article 8, 1 – 10. DOI: <a href="https://doi.org/10.1145/3439961.3439969">https://doi.org/10.1145/3439961.3439969</a>	
Marian Daun, Jennifer Brings, and Thorsten Weyer. 2020. Do Instance-level Review Diagrams Support Validation Processes of Cyber-Physical System Specifications: Results from a Controlled Experiment. In <i>Proceedings of the International Conference on Software and System Processes</i> ( <i>ICSSP '20</i> ). Association for Computing Machinery, New York, NY, USA, 11 – 20. DOI: <a href="https://doi.org/10.1145/3379177.3388893">https://doi.org/10.1145/3379177.3388893</a>	Experiments
Marios-Stavros Grigoriou, Kostas Kontogiannis, Alberto Giammaria, and Chris Brealey. 2020. Report on evaluation experiments using different machine learning techniques for defect prediction. In <i>Proceedings of the 30th Annual International Conference on Computer Science and Software Engineering</i> ( <i>CASCON '20</i> ). IBM Corp., USA, 123 – 132.	Experiments
Stina Matthiesen, Pernille Bjørn, and Claus Trillingsgaard. 2020. Attending to implicit bias as a way to move beyond negative stereotyping in GSE. In <i>Proceedings of the 15th International Conference on Global Software Engineering</i> ( <i>ICGSE '20</i> ). Association for Computing Machinery, New York, NY, USA, 22 – 32. DOI: <a href="https://doi.org/10.1145/3372787.3390432">https://doi.org/10.1145/3372787.3390432</a>	Ethnography
Christine T. Wolf and Drew Paine. 2020. Sensemaking Practices in the Everyday Work of AI/ML Software Engineering. <i>Proceedings of the IEEE/ACM 42nd International Conference on Software Engineering Workshops</i> . Association for Computing Machinery, New York, NY, USA, 86 – 92. DOI: <a href="https://doi.org/10.1145/3387940.3391496">https://doi.org/10.1145/3387940.3391496</a>	Ethnography

<p>Nagendra Kumar Sharma, Wen-Kuo Chen, and Kuei-Kuei Lai. 2020. Challenges and Prospects for Digital Marketers while Dealing with SMEs: An Interview. In <i>2020 The 4th International Conference on Software and e-Business</i> (<i>ICSEB 2020</i>). Association for Computing Machinery, New York, NY, USA, 25 – 31. DOI:<a href="https://doi.org/10.1145/3446569.3446573">https://doi.org/10.1145/3446569.3446573</a></p>	Expert Opinions
<p>Xin Zhou. 2020. How to Treat the Use of Grey Literature in Software Engineering. In <i>Proceedings of the International Conference on Software and System Processes</i> (<i>ICSSP '20</i>). Association for Computing Machinery, New York, NY, USA, 189 – 192. DOI:<a href="https://doi.org/10.1145/3379177.3390305">https://doi.org/10.1145/3379177.3390305</a></p>	Expert Opinions
<p>Junjie Chen, Jibesh Patra, Michael Pradel, Yingfei Xiong, Hongyu Zhang, Dan Hao, and Lu Zhang. 2020. A Survey of Compiler Testing. <i>ACM Comput. Surv.</i> 53, 1, Article 4 (January 2021), 36 pages. DOI:<a href="https://doi.org/10.1145/3363562">https://doi.org/10.1145/3363562</a></p>	Survey
<p>Jorge Melegati and Xiaofeng Wang. 2020. Case Survey Studies in Software Engineering Research. In <i>Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM) (ESEM '20)</i>. Association for Computing Machinery, New York, NY, USA, Article 6, 1 – 12. DOI:<a href="https://doi.org/10.1145/3382494.3410683">https://doi.org/10.1145/3382494.3410683</a></p>	Survey
<p>Xiancong Chen, Lin Li, Weike Pan, and Zhong Ming. 2020. A Survey on Heterogeneous One-class Collaborative Filtering. <i>ACM Trans. Inf. Syst.</i> 38, 4, Article 35 (October 2020), 54 pages. DOI:<a href="https://doi.org/10.1145/340252">https://doi.org/10.1145/340252</a></p>	Survey
<p>Guangjie Li, Hui Liu, and Ally S. Nyamawe. 2020. A Survey on Renamings of Software Entities. <i>ACM Comput.</i></p>	Survey

Surv. 53, 2, Article 41 (March 2021), 38 pages. DOI: <a href="https://doi.org/10.1145/3379443">https://doi.org/10.1145/3379443</a>	
Fengrong Zhao, Junqi Zhao, and Yang Bai. 2020. A Survey of Automatic Generation of Code Comments. In Proceedings of the 2020 4th International Conference on Management Engineering, Software Engineering and Service Sciences (ICMSS 2020). Association for Computing Machinery, New York, NY, USA, 21 – 25. DOI: <a href="https://doi.org/10.1145/3380625.3380649">https://doi.org/10.1145/3380625.3380649</a>	Survey
Hong-Nam Quach, Sungwoong Yeom, and Kyungbaek Kim. 2020. Survey on Reinforcement Learning based Efficient Routing in SDN. The 9th International Conference on Smart Media and Applications**. Association for Computing Machinery, New York, NY, USA, 196 – 200. DOI: <a href="https://doi.org/10.1145/3426020.3426072">https://doi.org/10.1145/3426020.3426072</a>	Survey
Tao Zhang, Yu Jiang, Runsheng Guo, Xiaoran Zheng, and Hui Lu. 2020. A Survey of Hybrid Fuzzing based on Symbolic Execution. In Proceedings of the 2020 International Conference on Cyberspace Innovation of Advanced Technologies (CIAT 2020). Association for Computing Machinery, New York, NY, USA, 192 – 196. DOI: <a href="https://doi.org/10.1145/3444370.3444570">https://doi.org/10.1145/3444370.3444570</a>	Survey
Elielton da Costa Carvalho, Paulo R. Campelo Malcher, and Rodrigo Pereira dos Santos. 2020. A Survey Research on the Use of Mobile Applications in Software Project Management. In 19th Brazilian Symposium on Software Quality (SBQS' 20). Association for Computing Machinery, New York, NY, USA, Article 2, 1 – 10. DOI: <a href="https://doi.org/10.1145/3439961.3439963">https://doi.org/10.1145/3439961.3439963</a>	Survey
Marimuthu C., K. Chandrasekaran, and Sridhar Chimalakonda. 2020. Energy Diagnosis of Android Applications: A Thematic Taxonomy and Survey. ACM	Survey



Comput. Surv. 53, 6, Article 117 (November 2021), 36 pages. DOI: <a href="https://doi.org/10.1145/341798">https://doi.org/10.1145/341798</a>	
Mairieli Wessel, Alexander Serebrenik, Igor Wiese, Igor Steinmacher, and Marco A. Gerosa. 2020. What to Expect from Code Review Bots on GitHub? A Survey with OSS Maintainers. In Proceedings of the 34th Brazilian Symposium on Software Engineering (SBES '20). Association for Computing Machinery, New York, NY, USA, 457 – 462. DOI: <a href="https://doi.org/10.1145/3422392.3422459">https://doi.org/10.1145/3422392.3422459</a>	Survey
Luiz Rodrigues, Armando M. Toda, Paula T. Palomino, Wilk Oliveira, and Seiji Isotani. 2020. Personalized gamification: A literature review of outcomes, experiments, and approaches. In <i>Eighth International Conference on Technological Ecosystems for Enhancing Multiculturality (TEEM'20)</i> . Association for Computing Machinery, New York, NY, USA, 699 – 706. DOI: <a href="https://doi.org/10.1145/3434780.3436665">https://doi.org/10.1145/3434780.3436665</a>	Systemtic reviews
Gwen Klerks, Nicolai Brodersen Hansen, Daisy O'Neill, and Ben Schouten. 2020. Designing Community Technology Initiatives: A Literature Review. In 32nd Australian Conference on Human-Computer Interaction (OzCHI '20). Association for Computing Machinery, New York, NY, USA, 99 – 111. DOI: <a href="https://doi.org/10.1145/3441000.3441067">https://doi.org/10.1145/3441000.3441067</a>	Systemtic reviews
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### 2. 2. 3 EMSE

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Krüger, J., Lausberger, C., von Nostitz-Wallwitz, I. <i>et al.</i> Search. Review. Repeat? An empirical study of threats to replicating SLR searches. <i>Empir Software Eng</i> <b>25</b> , 627 – 677 (2020). <a href="https://doi.org/10.1007/s10664-019-09763-0">https://doi.org/10.1007/s10664-019-09763-0</a>	Systematic Reviews
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Sharafi, Z., Sharif, B., Guéhéneuc, YG. <i>et al.</i> A practical guide on conducting eye tracking studies in software engineering. <i>Empir Software Eng</i> <b>25</b> , 3128 – 3174 (2020). <a href="https://doi.org/10.1007/s10664-020-09829-4">https://doi.org/10.1007/s10664-020-09829-4</a>	Action Research
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Oliveira, E., Fernandes, E., Steinmacher, I. <i>et al.</i> Code and commit metrics of developer productivity:	Case Studies

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<p>Gleirscher, M., Marmsoler, D. Formal methods in dependable systems engineering: a survey of professionals from Europe and North America. <i>Empir Software Eng</i> <b>25</b>, 4473 – 4546 (2020).</p> <p><a href="https://doi.org/10.1007/s10664-020-09836-5">https://doi.org/10.1007/s10664-020-09836-5</a></p>	Survey
<p>Jolak, R., Savary-Leblanc, M., Dalibor, M. <i>et al.</i> Software engineering whispers: The effect of textual vs. graphical software design descriptions on software design communication. <i>Empir Software</i></p>	Experiment

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<p>Hu, L., Wong, W.E., Kuhn, D.R. <i>et al.</i> How does combinatorial testing perform in the real world: an empirical study. <i>Empir Software Eng</i> <b>25</b>, 2661 – 2693 (2020). <a href="https://doi.org/10.1007/s10664-019-09799-2">https://doi.org/10.1007/s10664-019-09799-2</a></p>	Action Research
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<p>Liao, L., Chen, J., Li, H. <i>et al.</i> Using black-box performance models to detect performance regressions under varying workloads: an empirical study. <i>Empir Software Eng</i> <b>25</b>, 4130 – 4160 (2020).  <a href="https://doi.org/10.1007/s10664-020-09866-z">https://doi.org/10.1007/s10664-020-09866-z</a></p>	Action Research
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<p>Salza, P., Palomba, F., Di Nucci, D. <i>et al.</i></p> <p>Third-party libraries in mobile apps. <i>Empir Software Eng</i> <b>25</b>, 2341 – 2377 (2020).</p> <p><a href="https://doi.org/10.1007/s10664-019-09754-1">https://doi.org/10.1007/s10664-019-09754-1</a></p>	Survey
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Zhou, J., Wang, S., Bezemer, CP. <i>et al.</i> Bounties on technical Q&A sites: a case study of Stack Overflow bounties. <i>Empir Software Eng</i> <b>25</b> , 139 – 177 (2020). <a href="https://doi.org/10.1007/s10664-019-09744-3">https://doi.org/10.1007/s10664-019-09744-3</a>	Case Studies
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Yao, K., Li, H., Shang, W. <i>et al.</i> A study of the performance of general compressors on log files.	Survey

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<p>Wang, T., Yu, X., Qiu, Z. <i>et al.</i> BARRIERFINDER: recognizing ad hoc barriers. <i>Empir Software Eng</i> <b>25</b>, 4676 – 4706 (2020). <a href="https://doi.org/10.1007/s10664-020-09862-3">https://doi.org/10.1007/s10664-020-09862-3</a></p>	Experiments
<p>Demissie, B.F., Ceccato, M. &amp; Shar, L.K. Security analysis of permission re-delegation vulnerabilities in Android apps. <i>Empir Software Eng</i> <b>25</b>, 5084 – 5136 (2020).  <a href="https://doi.org/10.1007/s10664-020-09879-8">https://doi.org/10.1007/s10664-020-09879-8</a></p>	Experiments

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### 3. Classification Rationale

#### 3.1 Experiments

##### 3.1.1 case1

###### Citation

Andreas Schuler and Gabriele Anderst-Kotsis. 2020. Characterizing Energy Consumption of Third-Party API Libraries using API Utilization Profiles. In *Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM)* (*ESEM '20*). Association for Computing Machinery, New York, NY, USA, Article 8, 1 – 11.

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###### Rationale

Like other experiments, the process is explicit and the data related is sufficient. The researchers introduce System API Utilization Profiles (uAPI) which are based on the general assumption that the actual energy consumption of a library is directly tied to its utilization of the underlying System API and provide a formal definition and implementation of the proposed uAPI profiles that are calculated based on dynamic call graphs obtained from a library under test. Therefore, the study is a typical experiment.

### **3.1.2 case2**

#### **Citation**

Gabriel Yago de Oliveira Moreira and José Amancio Macedo Santos. 2020. Applying coupling and cohesion concepts in object-oriented software: a controlled experiment. In 19th Brazilian Symposium on Software Quality (SBQS'20). Association for Computing Machinery, New York, NY, USA, Article 8, 1 – 10.

DOI:<https://doi.org/10.1145/3439961.3439969>

#### **Rationale**

Despite the fact that most studies have focused on high-level metaphors, The researchers have designed an experiment which aims to build empirical evidence reinforcing that basic concepts deserve more attention towards better OO design. The researchers compared the software the participants with experiment's oracle to draw conclusions. The ultimate results are that previous knowledge on the concepts impacts on design quality heavily compared to programming experience and application of cohesion is significantly easier than application of coupling. The process of the experience is explicit and the result is remarkable. The researchers have designed and carried out a complete experiment, so we classify this paper as a controlled experiment.

## **3.2 Case study**

### **3.2.1 case1**

#### **Citation**

Jorge Melegati and Xiaofeng Wang. 2020. Case Survey Studies in Software Engineering Research. In *Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM)* (*ESEM '20*). Association for Computing Machinery, New York, NY, USA, Article 6, 1 – 12.

DOI:<https://doi.org/10.1145/3382494.3410683>

#### **Rationale**

The researchers perform a systematic mapping study and analyzed 12 Software Engineering studies that used the case survey method. They aim to provide a better understanding of how case survey has been applied in Software Engineering research. We can find a statistical analysis of the key points of all the paper referenced from this article.

### 3.2.2 case2

#### Citation

*Mansoorah Zahedi, Roshan Namal Rajapakse, and Muhammad Ali Babar. 2020. Mining Questions Asked about Continuous Software Engineering: A Case Study of Stack Overflow. In Proceedings of the Evaluation and Assessment in Software Engineering (EASE '20). Association for Computing Machinery, New York, NY, USA, 41 – 50.*

DOI:<https://doi.org/10.1145/3383219.3383224>

#### Rationale

The researchers presented an empirical study aimed at exploring Continuous Software Engineering (CSE) from the practitioners. Devoting their efforts in survey, the researchers have analyzed 12,989 questions and answers posted on Stack Overflow from Q&A websites. Further on, a qualitative analysis was conducted to identify the significant challenges discussed. Eventually, the researchers identified 32 topics of discussions, among which "Error messages in Continuous Integration/Deployment" and "Continuous Integration concepts" are the most dominant. Simultaneously, they presented the most challenging areas in this domain from the practitioners' perspectives. From the paper, It's obvious to find out that their efforts contains all phases required by a case study, so it is classified as a case study.

## 3.3 Survey

### 3.3.1 case1

#### Citation

Cecilia Apa, Martin Solari, Diego Vallespir, and Guilherme Horta Travassos. 2020. A Taste of the Software Industry Perception of Technical Debt and its Management in Uruguay: A survey in software industry. In *Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM)* (*ESEM '20*). Association for Computing Machinery, New York, NY, USA, Article 42, 1 – 9.

DOI:<https://doi.org/10.1145/3382494.3421463>

### **Rationale**

The article aim to characterize how the software industry professionals in Uruguay understand, perceive, and adopt technical debt management (TDM) activities. The researchers replicate a Brazilian survey with the Uruguayan software industry and compare their findings. They surveyed 259 respondents indicated any awareness of the TD concept due to the faced difficult to realize how to associate such a concept with actual software issues. Given the respondents involved, this work is a good survey.

### **3.3.2 case2**

#### **Citation**

*Fengrong Zhao, Junqi Zhao, and Yang Bai. 2020. A Survey of Automatic Generation of Code Comments. In Proceedings of the 2020 4th International Conference on Management Engineering, Software Engineering and Service Sciences (ICMSS 2020). Association for Computing Machinery, New York, NY, USA, 21 – 25.*

DOI:<https://doi.org/10.1145/3380625.3380649>

#### **Rationale**

Taking it into consideration that most programmers only pay attention to the code and ignore the comments and documents, the researchers decided to carry out a survey to discuss the current progress in the field of code comments research. The researchers divide code comments into three types, named document comments, block comments, and line comments. Then, they compare the main methods of code comments.



Subsequently, they discuss the main tools of code comments, then analyze the effectiveness and limitations. Eventually, they present some concluding remarks and directions for the future research. Their efforts has a significant impact on this area that code comment is an important element to a program. It's necessary to ensure the quality of code comments. The researchers have investigated a number of documents and experiments, besides, they have conducted interviews, so we classify this paper as a survey.

### **3.4 Ethnography**

#### **3.4.1 case1**

##### **Citation**

*Christine T. Wolf and Drew Paine. 2020. Sensemaking Practices in the Everyday Work of AI/ML Software Engineering. Proceedings of the IEEE/ACM 42nd International Conference on Software Engineering Workshops\*\*. Association for Computing Machinery, New York, NY, USA, 86 – 92. DOI:<https://doi.org/10.1145/3387940.3391496>*

##### **Rationale**

The researchers engaged with the topic of sense-making in everyday SE work and focus on projects building artificial intelligence (AI) and machine learning (ML) services. Their findings highlight the breadth of sense-making practices in AI/ML projects, noting developers' efforts to make sense of AI/ML environments, of AI/ML model ecosystems and of business-AI relations. Their work empirically investigated how and in what ways AI/ML projects present software teams with emergent sense-making requirements and opportunities. The topic of their research is quite unique and their working experience is precious. The researchers participated in everyday SE work without any priori knowledge, so we classify this paper as an ethnography.

### **3.5 Systematic literature review**

#### **3.5.1 case1**

## Citation

Alex Serban, Koen van der Blom, Holger Hoos, and Joost Visser. 2020. Adoption and Effects of Software Engineering Best Practices in Machine Learning. In *Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM)* (*ESEM '20*). Association for Computing Machinery, New York, NY, USA, Article 3, 1 – 12. DOI:<https://doi.org/10.1145/3382494.3410681>

## Rationale

The researchers aim to empirically determine the state of the art in how teams develop, deploy and maintain software with ML components. They mined both academic and grey literature and identified 29 engineering best practices for ML applications. This work is quite an excellent mixture of both white and grey literature analysis.

### 3.5.2 case2

## Citation

*Ahmed Remaida, Aniss Moumen, Younes El Bouzekri El Idrissi, and Zineb Sabri. 2020. Handwriting Recognition with Artificial Neural Networks a Decade Literature Review. In Proceedings of the 3rd International Conference on Networking, Information Systems & Security (NISS2020). Association for Computing Machinery, New York, NY, USA, Article 65, 1 – 5. DOI:*<https://doi.org/10.1145/3386723.3387884>

## Rationale

The researchers proposed an exploratory analysis of 294 research papers collected from five indexed research engines to provide a research papers distribution across years and journals, a Keywords frequency analysis using cloud visualization, and a Natural Language Processing Topic Modeling using Non-Negative Matrix Factorization. In order to avoid the interference of massive concerning research papers, the researchers focus the research papers published in the last decade. Their study tracks the recent research papers concerning

handwriting recognition that reflects the trend of this area. The researchers investigated a large amount of documents to gather evidence and utilized mapping study, so we classify this paper as a systematic literature review.

## 3.6 Action research

### 3.6.1 case1

#### Citation

Arthur-Jozsef Molnar and Simona Motogna. 2020. Long-Term Evaluation of Technical Debt in Open-Source Software. In *Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM)* (*ESEM '20*). Association for Computing Machinery, New York, NY, USA, Article 13, 1–9.

DOI:<https://doi.org/10.1145/3382494.3410673>

#### Rationale

The article aim to study the evolution and characteristics of technical debt in open-source software. The researchers carry out a longitudinal study that covers the entire development history of several complex applications. The evaluation uses three complex, open-source Java applications. All 110 released versions, covering more than 10 years of development history for each application were analyzed using SonarQube. The systematic study in this article and the length of the time span are worth learning.

### 3.6.2 case2

#### Citation

*Andrés Paul Moya Flores and Fernanda Maria Ribeiro de Alencar. 2020. Competencies Development based on Thinking-based Learning in Software Engineering: An Action-Research. In Proceedings of the 34th Brazilian Symposium on Software Engineering (SBES '20). Association for Computing Machinery, New York, NY, USA, 680–689.*

DOI:<https://doi.org/10.1145/3422392.3422481>

#### Razionale

The researchers believe that traditional ways of teaching SE has some shortages that it ignores the students' personality reflection. Therefore, the researchers proposed a teaching method called Thinking-based Learning which develops effective thinking in students using thinking skills, habits of the mind and the meta-cognition during the teaching of subject content. The action research as a methodology to improve their teaching practices in education has been seen as a positive change in educational practices. This methodology is considered as a positive change in educational practices, due to teachers can solve practical problems and improved deficiencies found during the teaching of SE and has encouraged the development of competencies among SE teachers. The researchers raised an problem on SE teaching and tried to find out a solution, so we classify this paper as an action research.

### **3.7 Expert opinion**

#### **3.7.1 case1**

##### **Citation**

Martin Forsberg Lie, Mary Sánchez-Gordón, and Ricardo Colomo-Palacios. 2020. DevOps in an ISO 13485 Regulated Environment: A Multivocal Literature Review. In *Proceedings of the 14th ACM / IEEE International Symposium on Empirical Software Engineering and Measurement (ESEM) (ESEM '20)*. Association for Computing Machinery, New York, NY, USA, Article 9, 1 - 11.

DOI:<https://doi.org/10.1145/3382494.3410679>

##### **Rationale**

The article synthesize sources published between 2015 to March of 2020 to capture the opinions of experts and community in this field. Their findings reveal that adoption of DevOps in a regulated medical device environment such as ISO 13485 has its challenges, but potential benefits may outweigh those in areas such as regulatory, compliance, security, organizational and technical. Undoubtedly, it is

obvious that their conclusions are based on numerous expert opinions and thus reasonable.

### **3.7.2 case2**

#### **Citation**

Nagendra Kumar Sharma, Wen-Kuo Chen, and Kuei-Kuei Lai. 2020. Challenges and Prospects for Digital Marketers while Dealing with SMEs: An Interview. In\* *2020 The 4th International Conference on Software and e-Business (ICSEB 2020)*. Association for Computing Machinery, New York, NY, USA, 25 – 31.

DOI:<https://doi.org/10.1145/3446569.3446573>

#### **Rationale**

The findings of the study, which is a qualitative analysis of the interview responses, are based on an interview of a reputed Delhi (India) based digital marketing firm, who deals with small-medium enterprises. In order to uplift the business of the SMEs, the satisfaction level of SMEs with the services rendered by digital marketing firms should be aware by service providers. However, the amount of concerning research paper doesn't meet the demand. This study may reach an helping hand to managers of digital marketing firms that they can implement the findings of this study in redesigning and augmenting their services. Taking it into consideration that this paper is based on an interview of an expert of this domain, it is classified as an expert opinion.