

Ethereum

Data Layer

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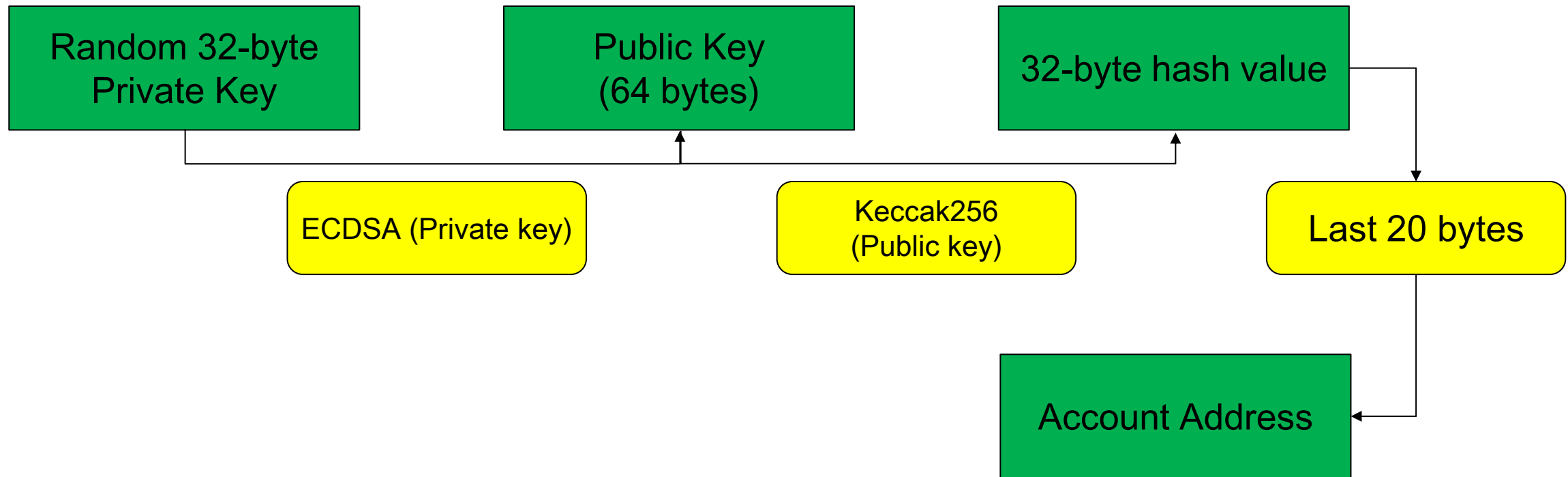
■ Account

- Each account has an address of 20 bytes and account information
 - e.g., (0x)517d601e0b20483732d04c22246dfec575e1e6ad
- Ethereum has two types of account
 - **Externally Owned Account (EOA)**, **Contract Account (CA)**
- Account information consists of 4 fields

Field	Description
Nonce	The number of transactions sent from this account
Balance	Ether balance(Wei) of this account
Root	Merkle root of the storage trie
CodeHash	Byte code on smart contract of this account

■ Account Generation

- Public Key = ECDSA (Private Key)
- Account Address = The last 20 bytes of Base58Check(Keccak256(Public Key))

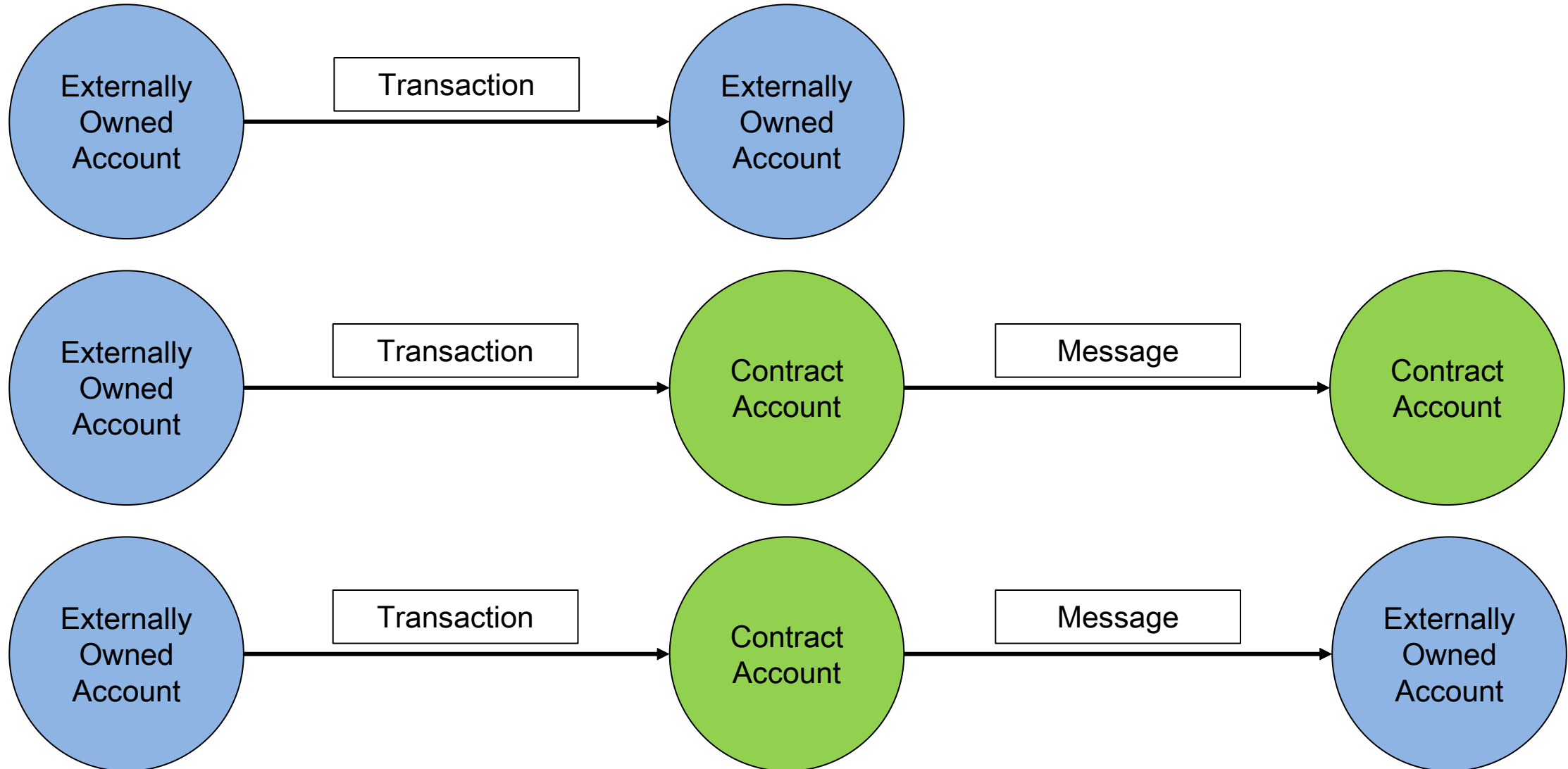


■ Transaction

- Data structure sent to other account or contract
- Encrypted with a digital signature
- Used to create new contract and distribute it to nodes in Ethereum

Field	Description
AccountNonce	The number of transactions sent from the sender
Price	Cost which transaction's sender will pay for each step of execution (Wei)
GasLimuit	The maximum range that can be paid for a transaction
Recipient	Address of transaction's recipient
Amount	The amount of Ether sent from the sender to the recipient (Wei)
Payload	(Optional) Parameter to be transferred to the contract / Contract code
V, R, S	Values related to ECDSA signature to identify the sender

Transaction Cases



■ Receipt

- The result of execution of transaction
- All records for the transaction's execution
- Save information of every transaction properly included in the block

Field	Description
PostState	State information after processing the transaction
Failed	Failure after processing the transaction
CumulativeGasUsed	Gas cost cumulatively used at the block includes this transaction and receipt
Bloom	Bloom filter to quickly search for log information in Logs
Logs	Logs generated during execution of the transaction
TxHash	Hash value to identify a transaction
ContractAddress	Address of smart contract (if this transaction is created from smart contract)
GasUsed	Gas cost used in order to execute this transaction

Field	Description
ParentHash	Hash value of parent block header
UncleHash	Hash value of uncle blocks of current block
Coinbase	Account address to receive Ether (Miner's address)
Root	Hash of root node of Merkle Patricia Tree containing state information of accounts
TxHash	Hash of root node of Merkle Tree that includes all transactions in current block
ReceiptHash	Hash of root node of Merkle Tree that includes all receipts in current block
Bloom	Bloom Filter used to search for log information
Difficulty	Difficulty of current block
Number	Current block number
GasLimit	Maximum gas acceptable to current block
GasUsed	The sum of gas used by transactions in current block
Time	Record on initial generation time of current block
Extra	Additional information related to current block
MixDigest, Nonce	Values used to make enough calculations during mining work creating new block

■ Genesis Block

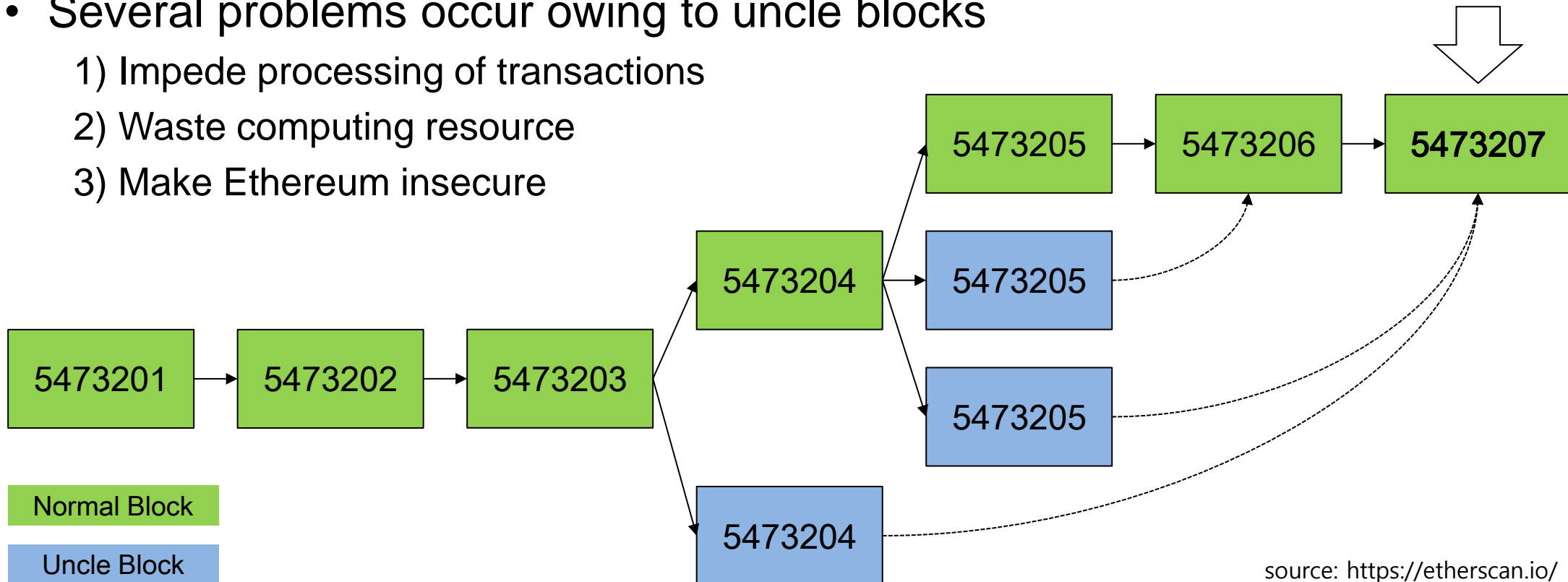
- Initial (First) Block in a blockchain
- Number of Block is “0”
- Does not include any transactions
- Can be generated using ethereum client with genesis.json file

```
{
  "config": {
    "chainId": 0,
    "homesteadBlock": 0,
    "eip155Block": 0,
    "eip158Block": 0
  },
  "alloc": {},
  "coinbase": "0x0000000000000000000000000000000000000000",
  "difficulty": "0x100",
  "extraData": "",
  "gasLimit": "0x8000000",
  "nonce": "0x00000000000000000000000000000033",
  "mixhash": "0x0000000000000000000000000000000000000000000000000000000000000000",
  "parentHash": "0x0000000000000000000000000000000000000000000000000000000000000000",
  "timestamp": "0x0"
}
```

genesis.json

■ Uncle Block

- Block which cannot be included in normal (main) blockchain
 - Due to lower difficulty of the blockchain
 - Ethereum selects a blockchain which has higher difficulty
- Several problems occur owing to uncle blocks
 - 1) Impede processing of transactions
 - 2) Waste computing resource
 - 3) Make Ethereum insecure



Data Layer

■ Ghost (Greedy Heaviest Observed Subtree)

<https://etherscan.io/>

[Normal Block]

block Height: 5473207

Transactions: 178 transactions and 6 contract internal transactions in this block

Difficulty: 3,013,212,963,063,350

Total Difficulty: 3,741,615,489,063,530,911,921

Block Reward: 3.288458365548649104 Ether (3 + 0.100958365548649104 + 0.1875)

Uncle Reward: 4.125 Ether (2 Uncles at Position 0, Position 1)

[Uncle Block #0]

Uncle Height: 5473205

block Height: 5473207

Difficulty: 3,017,636,198,039,301

Transaction: X

Block Reward: X

Uncle Reward: 2.25 Ether

[Uncle Block #1]

Uncle Height: 5473204

block Height: 5473207

Difficulty: 3,020,585,984,845,821

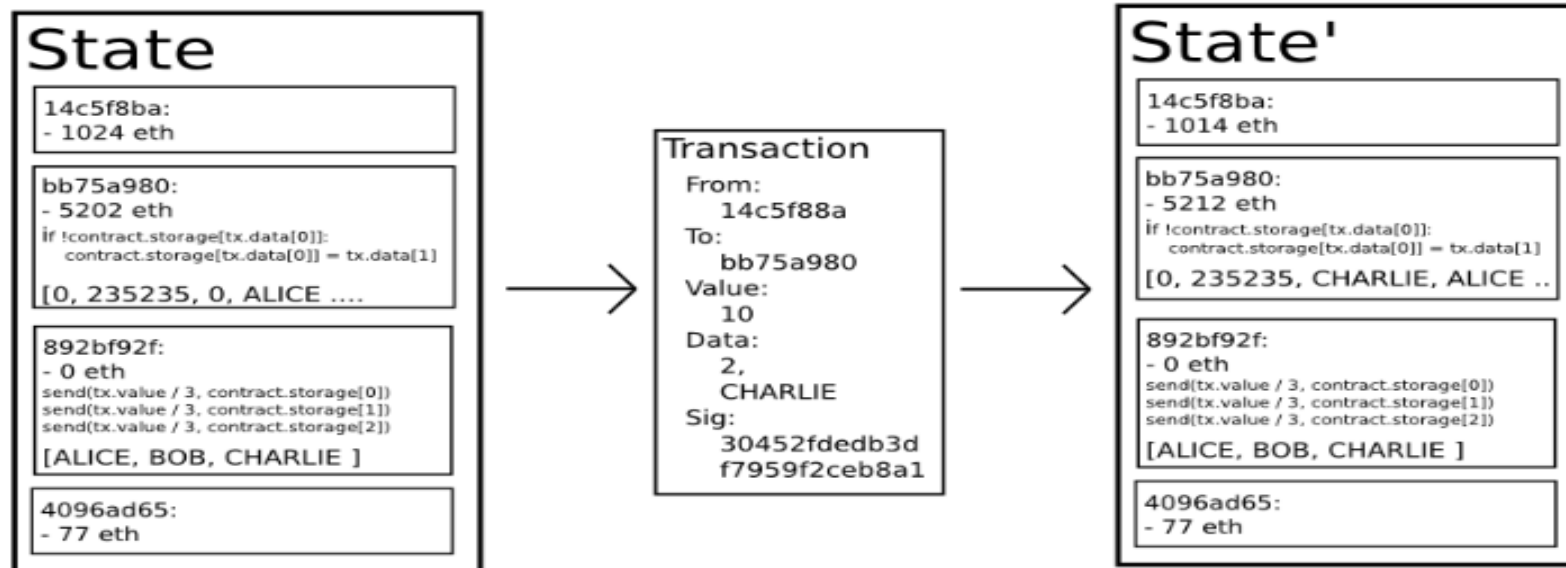
Transaction: X

Block Reward: X

Uncle Reward: 1.875 Ether

■ Ethereum State Transition Function

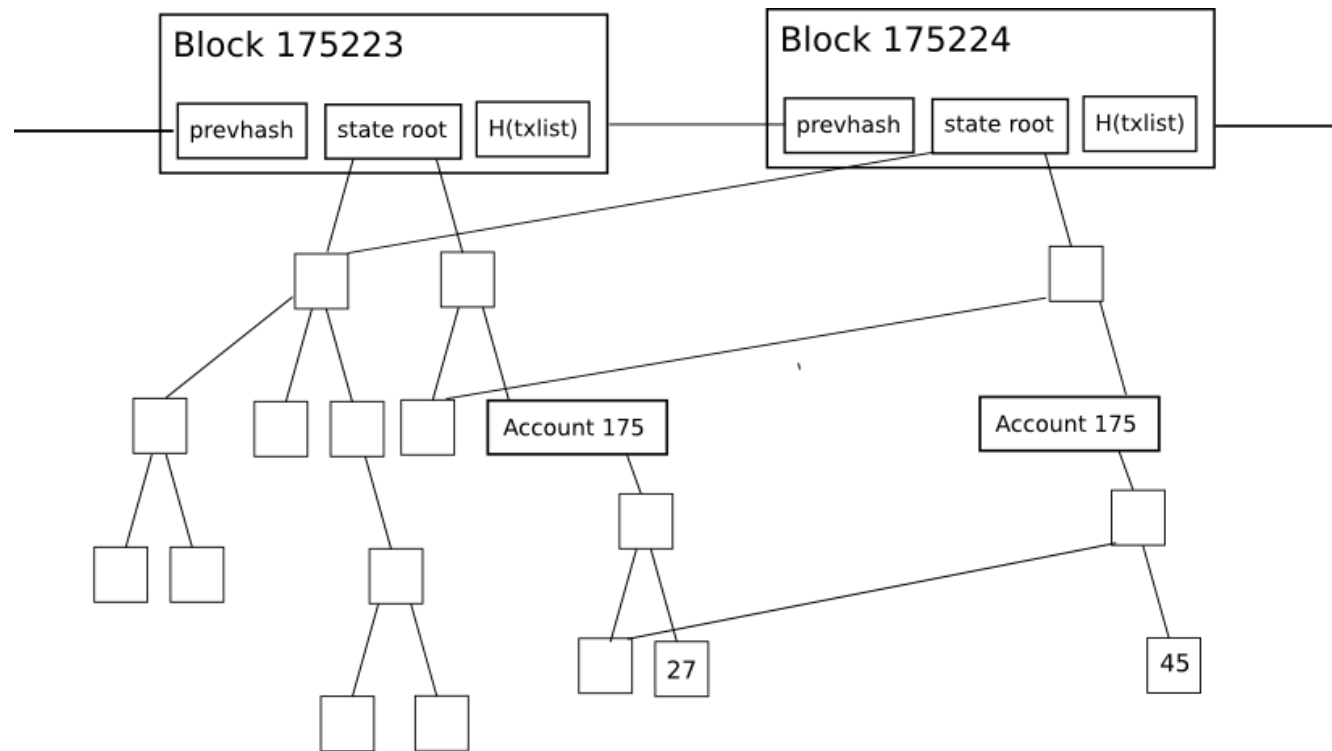
- The Ethereum state transition function, $\text{APPLY}(S, \text{TX}) \rightarrow S'$



source: <https://github.com/ethereum/wiki/wiki/White-Paper>

■ Merkle Patricia Tree (MPT) in Ethereum (1)

- Why MPT is needed?
 - 1) Improve efficiency for inserts, deletes, updates and lookups
 - 2) Bound the depth of the tree (Merkle Patricia Tree)



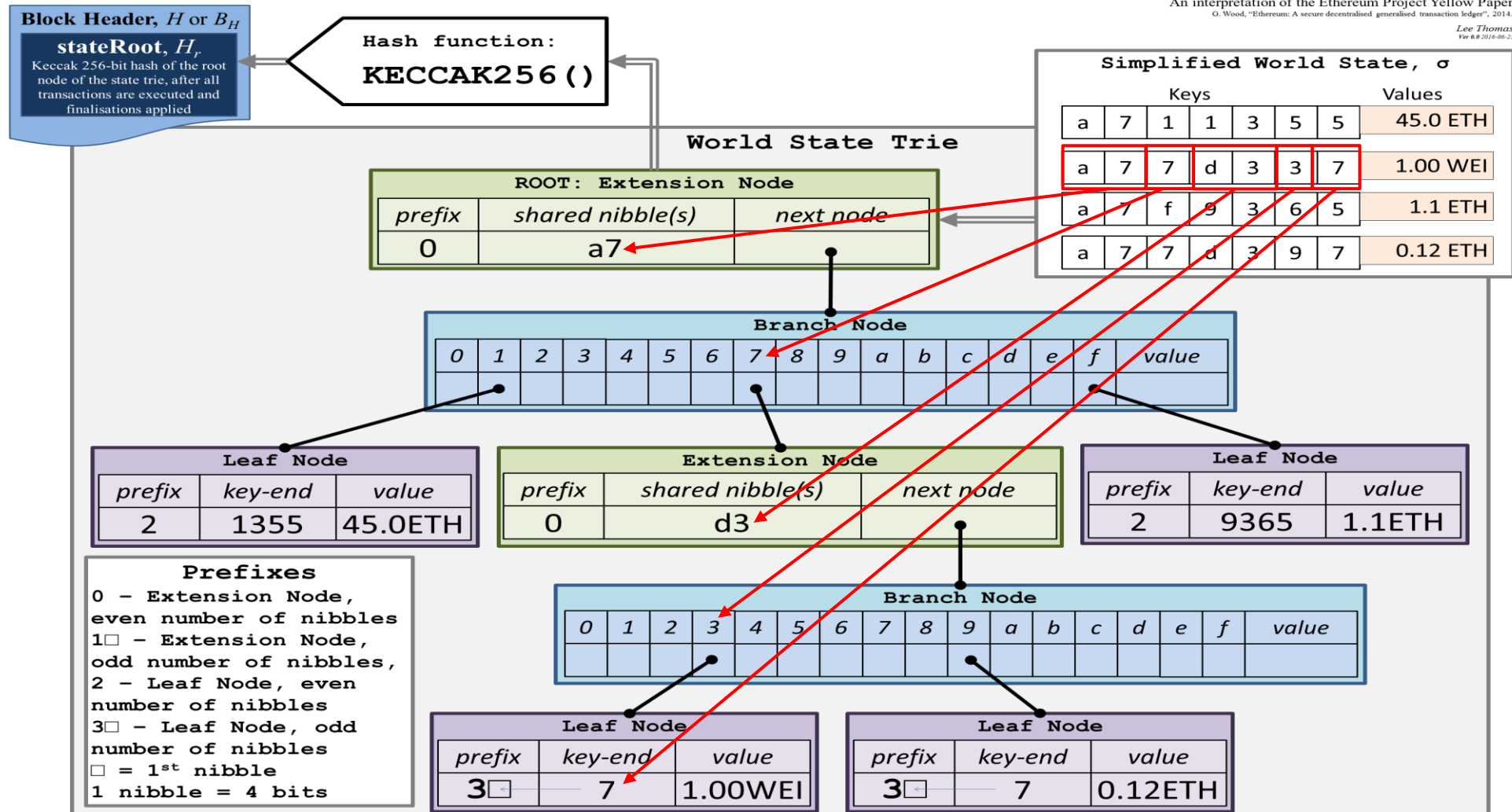
source: <https://blog.ethereum.org/2015/06/26/state-tree-pruning/>

■ Merkle Patricia Tree (MPT) in Ethereum (2)

- Main features
 - All elements in the MPT are encoded in **Recursive Length Prefix (RLP)**
 - Paths to each node in the MPT are stored in **LevelDB** after they are RLP-encoded and hashed in Keccak256
 - Tree has **4 types** of node:
 - Empty node, Leaf node, Extension node, Branch node
 - **Hex-Prefix** is used to distinguish between the leaf and extension node

Prefix	Node Type	Length of path(Nibble)
0	Extension node	Even
1	Extension node	Odd
2	Leaf node	Even
3	Leaf node	Odd

■ Merkle Patricia Tree (MPT) in Ethereum (3)



source: <https://ethereum.stackexchange.com/questions/6415/eli5-how-does-a-merkle-patricia-trie-tree-work?rq=1>

■ Ether Units

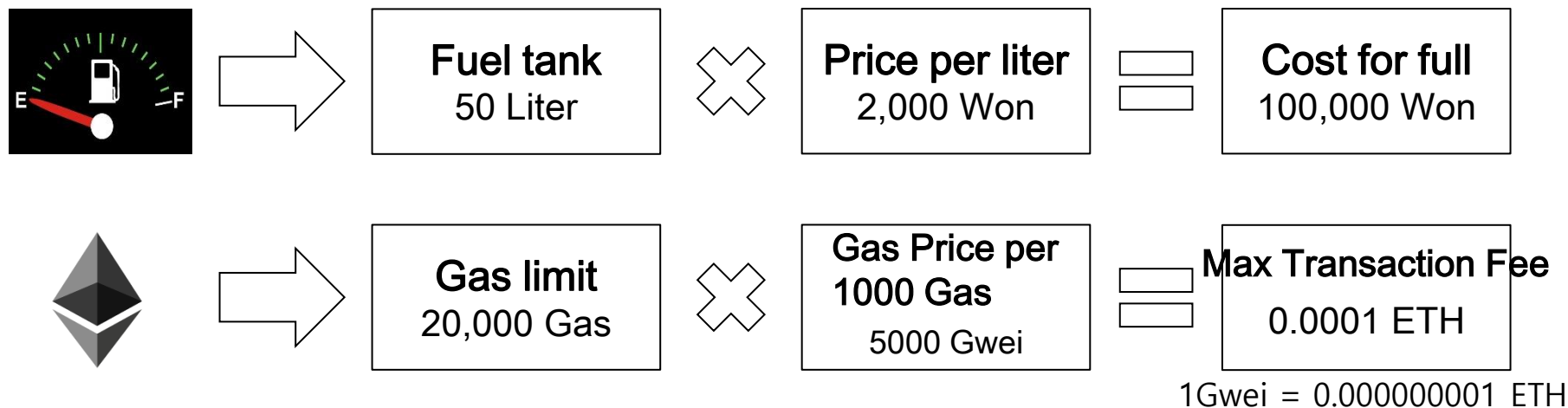
- Used as a cryptocurrency in Ethereum
 - Users can transfer and receive Ether
 - Most exchanges provide Ether
- Used to pay for EVM calculation fees
- 1 Ether = 1×10^{18} Wei

<https://converter.murkin.me/>

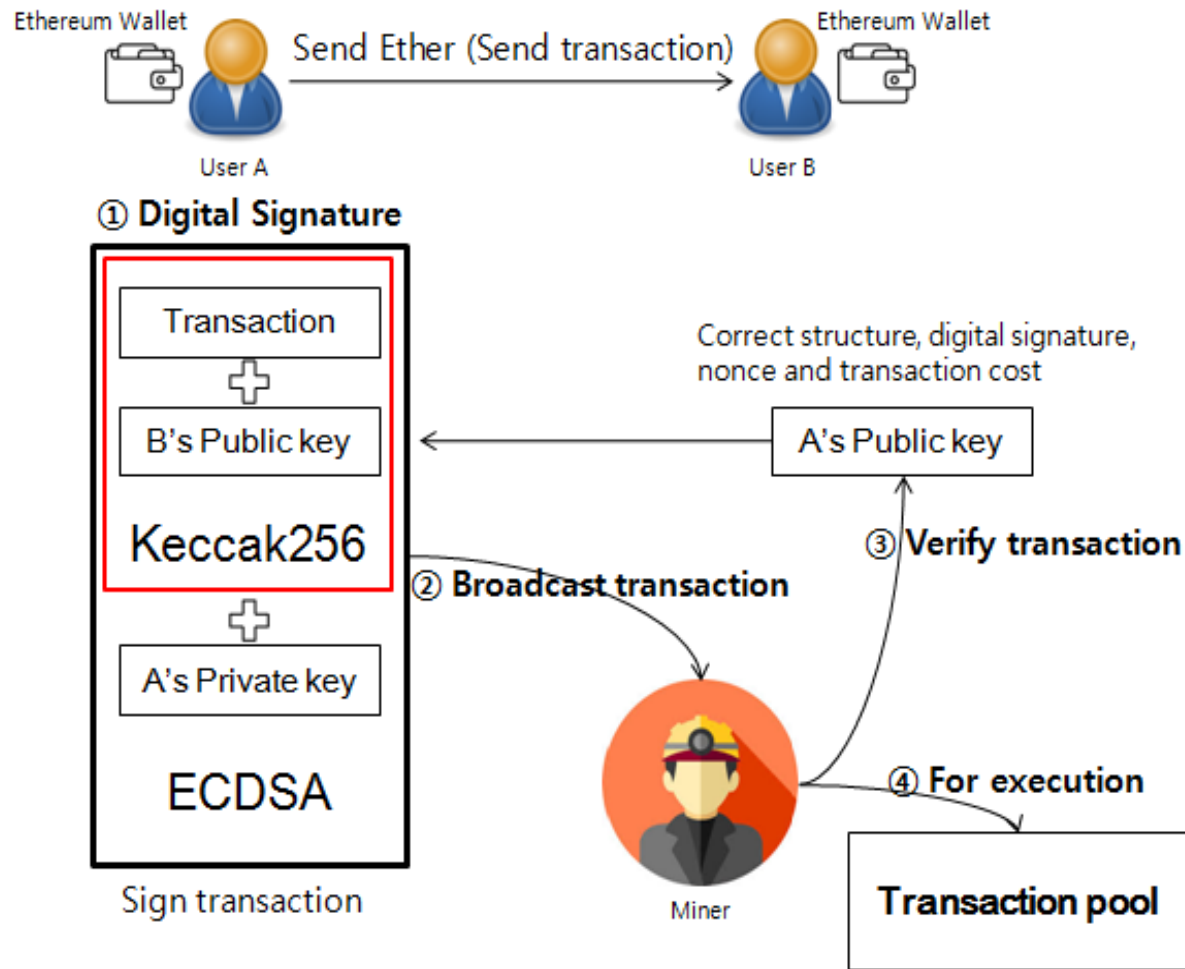
1	Wei
0.001	Kwei
0.000001	Mwei
0.000000001	Gwei
0.000000000001	Szabo
0.000000000000001	Finney
0.000000000000000001	Ether
0.00000000000000000001	Kether
0.0000000000000000000001	Mether
0.000000000000000000000001	Gether
0.00000000000000000000000001	Tether

■ Gas

- Operational **token** of Ethereum
 - Used to pay for the usage of Ethereum platform
- Precaution against abuse of transactions
 - To prevent DoS attack
 - To prevent smart contract from executing infinite loop
- Cost for executing a transaction in Ethereum:
Max Transaction Fee = Gas Price x Gas Limit



Transaction Processing



(1) User A performs ECDSA digital signature encryption on the transaction with its own private key

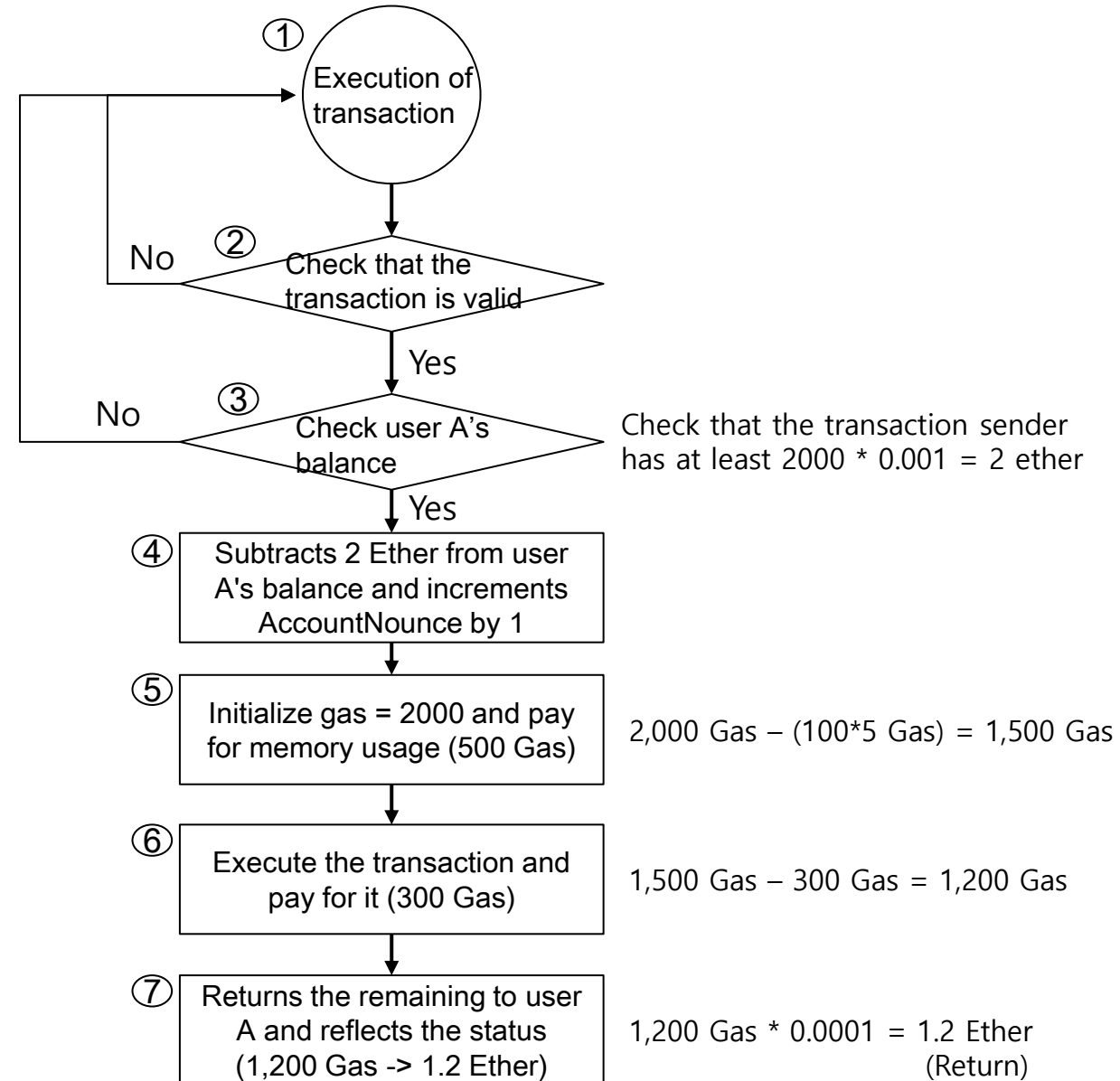
(2) Ethereum client (geth) broadcasts signed transactions to all nodes currently connected

(3) Miner validates the transaction, such as digital signature verification, and puts it in the transaction pool if there is no problem

(4) Miner pulls out the transaction with the highest cost of execution in the transaction pool, executes it, adds the block to blockchain by mining it

Transaction Cost Processing

- Suppose that
 - GasLimit: 2,000 Gas
 - GasPrice: 0.0001 Ether
 - Data size used in transaction: 100 bytes
 - Processing cost per 1 byte: 5 Gas
 - User A's transaction cost: 300 Gas
 - 1 Gas = 0.001 Ether



■ Data Layer

- Account
- Transaction
- Receipt
- Block
- Merkle Patricia Tree
- Ether / Gas
- Transaction / Cost Processing

- <https://www.ethereum.org/>
- <https://namu.wiki/w/Ethereum>
- <https://github.com/ethereum/wiki/wiki/White-Paper>
- <https://easythereentropy.wordpress.com/2014/06/04/understanding-the-ethereum-trie/>
- <https://blog.ethereum.org/2015/06/26/state-tree-pruning/>
- Jaehyun Park, **core ethereum programming**, Jpub, 2018