## The category of representations of a concrete category as a functor category

Tibor Grün

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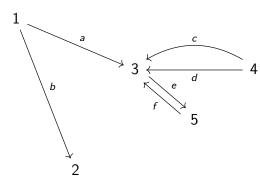
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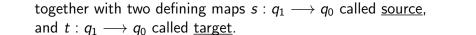
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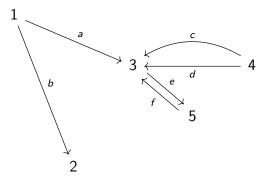
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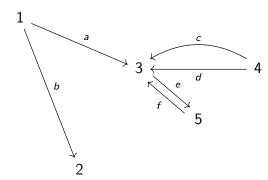




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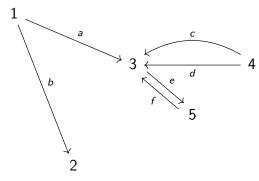
For example

$$s(a)=s(b)=1$$
  $t(b)=2$   $t(a)=t(c)=t(d)=t(f)=3$  etc.

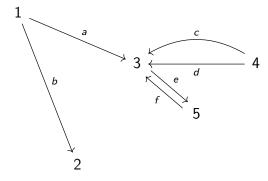
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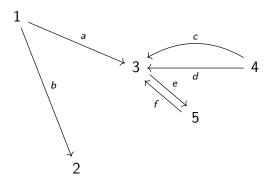


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So in the quiver q we have

$$q_0 = \{1, 2, 3, 4, 5\}$$

and

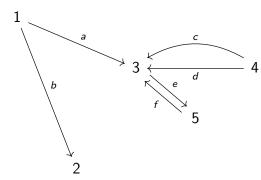
$$q_1 = \{a, b, c, d, e, f\}$$

Another map relates the arrows with the objects. That is the Hom-set, i.e. set of morphisms, between two objects (order matters):

 $\operatorname{Hom}: q_0 \times q_0 \longrightarrow \mathcal{P}(q_1)$ 

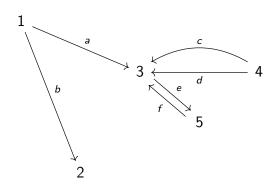
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$$Hom(1,3) = \{a\}$$
  
 $Hom(4,3) = \{c,d\}$   
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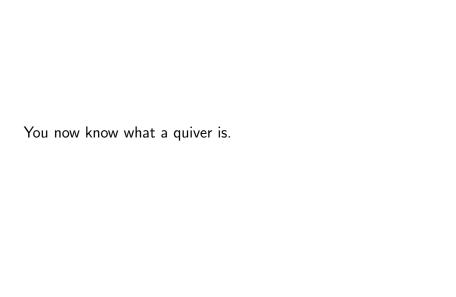
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1 & \longrightarrow & 2 \\
 & & \downarrow \\
 & & \downarrow
\end{array}$$

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$$\begin{array}{ccc}
1 & \xrightarrow{b} & \ddots \\
 & & & \ddots
\end{array}$$

$$\operatorname{End}(1) = \{a\}$$

 $End(2) = \{c\}$  $\text{Hom}(1,2) = \{b\}$ 



A <u>category</u> C is a quiver with two further maps:

(1) For every object  $X \in \mathcal{C}_0$  there is the <u>identity map</u>

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( $\mu$ ) For two <u>composable</u> morphisms  $\varphi$  and  $\psi \in \mathcal{C}_1$ , i.e. with  $t(\varphi) = s(\psi)$  there is the composition map

$$\mu: \mathcal{C}_1 \times \mathcal{C}_1 \longrightarrow \mathcal{C}_1$$
$$\varphi: A \longrightarrow B$$
$$\psi: B \longrightarrow C$$

$$(\varphi,\psi)\longmapsto \mu(\varphi,\psi):=\varphi\psi:A\longrightarrow C$$

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  - 2.  $s(\varphi \psi) = s(\varphi)$  and  $t(\varphi \psi) = t(\psi)$ , i.e. for objects  $M, L, N \in \mathcal{C}_0$  we have

$$u: \operatorname{Hom}(M, I) \times \operatorname{Hom}(I, M) \longrightarrow \operatorname{Hom}(M, M)$$

$$\mu: \operatorname{Hom}(M, L) \times \operatorname{Hom}(L, N) \longrightarrow \operatorname{Hom}(M, N)$$

- 1.  $s(\mathbb{1}_M) = M = t(\mathbb{1}_M)$ , i.e.  $\mathbb{1}_M \in \operatorname{End}(M)$ .
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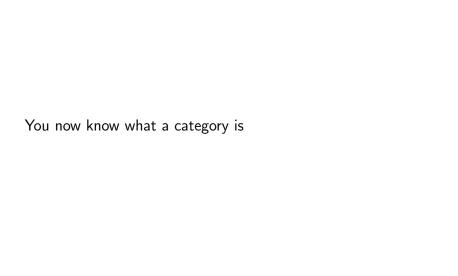
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These properties make each endomorphism set  $\operatorname{End}(M)$  for  $M \in \mathcal{C}$  together with the composition into a monoid, called the endomorphism monoid  $(\operatorname{End}(M), \mu)$ .

## So when you define a category, you always answer the four questions

- ▶ What are the objects?
- ► What are the morphisms? Especially what are the identity morphisms?
- ► How do you compose morphisms?
- Why is the composition associative? Why is the identity a unit for the composition?



A small example for a category: The symmetric group on two objects  $S_2$ .

$$\mathbb{1}_{\{1,2\}} \left( \{1,2\} \right) (1,2)$$

The rule that the composition of (1,2) with itself results in the identity  $\mathbb{1}_{\{1,2\}}$  makes sure there are only 2 morphisms in total.

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The rule that the composition of (1,2) with itself results in the identity  $\mathbb{1}_{\{1,2\}}$  makes sure there are only 2 morphisms in total. This is an example of a category which is a sub-category of the category Sets with sets as objects and functions between sets as morphisms. Between those categories lies the category FinSets in which the objects are finite sets and morphisms are functions between finite sets.

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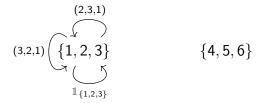
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 $\{4, 5, 6\}$ 

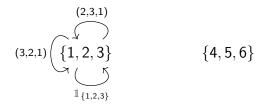
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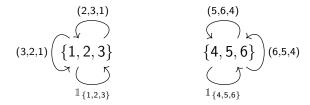
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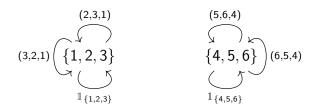
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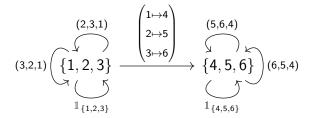
 $(3,2,1) \underbrace{\begin{pmatrix} (2,3,1) & \begin{pmatrix} 1 \mapsto 4 \\ 2 \mapsto 5 \\ 3 \mapsto 6 \end{pmatrix}}_{1 \\ \{1,2,3\}} \underbrace{\begin{pmatrix} (5,6,4) \\ (5,6,4) \\ (4,5,6) \end{pmatrix}}_{1 \\ \{4,5,6\}} (6,5,4)$ 

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The endomorphism monoid on each object is the goup  $C_3$ , i.e. the cyclic group on three elements. This also means that each endomorphism is invertible. We thus call this category  $C_3C_3$ .

You may have noticed that this picture is not complete when we look back to the axioms for a category: We have three endomorphisms at the first object and three endomorphisms at the second object. Since we also have a morphism from the first to the second object, we also need all the possible compositions of those morphisms.



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$$(3,2,1) \underbrace{\begin{pmatrix} (2,3,1) & \begin{pmatrix} 1 \mapsto 4 \\ 2 \mapsto 5 \\ 3 \mapsto 6 \end{pmatrix}}_{\mathbb{I}_{\{1,2,3\}}} \underbrace{\begin{pmatrix} (5,6,4) \\ 2 \mapsto 5 \\ 3 \mapsto 6 \end{pmatrix}}_{\mathbb{I}_{\{4,5,6\}}} (6,5,4)$$

Of the missing two morphisms from  $\{1,2,3\}$  to  $\{4,5,6\}$ , the first one is mapping  $1 \mapsto 5, 2 \mapsto 6$  and  $3 \mapsto 4$ , where the last one is mapping  $1 \mapsto 6, 2 \mapsto 4$  and  $3 \mapsto 5$ .

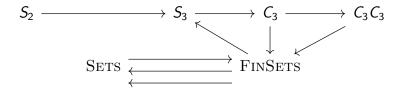
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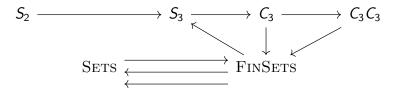
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The categories  $S_2$ ,  $C_3C_3$ , SET, FINSETS can themselves all be considered objects in a greater category, CAT, i.e. <u>the</u> category of categories.

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We know what the objects are in CAT. But what are the morphisms? What is meant with an arrow from SETS to FINSETS?

| You now know the objects in the category $\operatorname{Cat}$ of all categories. |  |
|--|--|
|  |  |

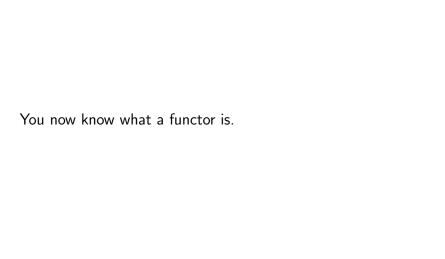
A <u>functor</u>  $F: \mathcal{C} \to \mathcal{D}$ , between categories  $\mathcal{C}$  and  $\mathcal{D}$ , consists of the following data:

- ▶ An object  $Fc \in \mathcal{D}_0$ , for each object  $c \in \mathcal{C}_0$ .
- ▶ A function  $Ff: Fc \to Fc' \in \mathcal{D}_1$ , for each morphism  $f: c \to c' \in \mathcal{C}_1$ , so that the source and target of Ff are, respectively, equal to F applied to the source or target of f, in other words, s(Ff) = Fs(f) and t(Ff) = Ft(f).

The assignments are required to satisfy the following two <u>functoriality axioms</u>:

- For any composable pair  $f: M \to N, g: N \to L \in C_1, Ff \cdot Fg = F(f \cdot g).$
- $f: M \to N, g: N \to L \in \mathcal{C}_1, F f \cdot F g = F(f \cdot g)$
- ▶ For each object  $c \in C_0$ ,  $F(1_c) = 1_{Fc}$ .

- So with functors you always answer the four questions
  - ► How does it work on objects?
  - ► How does it work on morphisms?
  - ▶ Why does it respect composition?
  - ► Why does it respect identity morphisms?



| ou now know the objects and morphisms in the category CAT of all categories. |  |
|--|--|
|  |  |

Let us now take a look at just two categories,  ${\cal C}$  and  ${\cal D}$  as objects in  ${\rm Cat}.$ 

The Hom-set  $\mathrm{Hom}(\mathcal{C},\mathcal{D})$  of all functors  $F:\mathcal{C}\longrightarrow\mathcal{D}$  is itself a category, called the <u>functor category</u>.

This makes the functors  $F, G, H : \mathcal{C} \longrightarrow \mathcal{D}$  objects in  $\operatorname{Hom}(\mathcal{C}, \mathcal{D})$  when before they were considered morphisms.

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$$F$$
  $G$   $H$ 

As you can imagine, we are again looking for the morphisms in this category, i.e. what are morphisms between functors?

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$$F \stackrel{\alpha}{\Longrightarrow} G \stackrel{\beta}{\Longrightarrow} H$$

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functors between categories  $\mathcal C$  and  $\mathcal D$ .

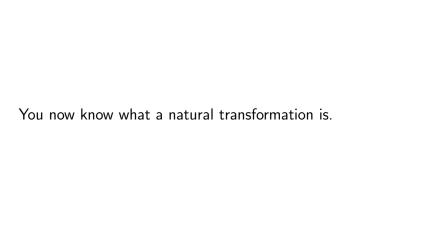
Given categories  $\mathcal C$  and  $\mathcal D$  and functors  $F:\mathcal C\to\mathcal D$  and  $\mathcal G:\mathcal C\to\mathcal D$ , a <u>natural transformation</u>  $\alpha:F\Rightarrow\mathcal G$  consists of:

▶ a morphism  $\alpha_c : Fc \to Gc \in \mathcal{D}_1$  for each object  $c \in \mathcal{C}_0$ , the collection of which define the <u>components</u> of the natural transformation, so that, for any morphism  $f : c \to c' \in \mathcal{C}_1$ , the following square of morphisms in  $\mathcal{D}$ 

$$\begin{array}{ccc}
Fc & \xrightarrow{\alpha_c} & Gc \\
Ff \downarrow & & \downarrow Gf \\
Fc' & \xrightarrow{\alpha_{c'}} & Gc'
\end{array}$$

<u>commutes</u>, i.e., has a common composite  $Fc \rightarrow Gc' \in \mathcal{D}_1$ . This means explicitly that

$$Ff\alpha_{c'} = \alpha_c Gf, \forall f : c \to c' \in \mathcal{C}_1.$$



| You now know the objects and morphisms in the category $\mathrm{Hom}(\mathcal{C},\mathcal{D})$ of all functors between categories $\mathcal{C}$ and $\mathcal{D}$ . |  |
|---|--|

Now that we know what a functor category is, we want to work towards a special kind of functor category: Given a finite concrete category  $\mathcal C$  whose endomorphism monoids are explicitly cyclic, we can calculate its  $\Bbbk$ -linear closure, i.e. the  $\Bbbk$ -Algebroid  $\mathcal A$ .

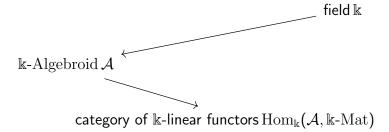
Then we can calculate the category of k-linear functors from the k-Algebroid  $\mathcal A$  into the matrix category k-Mat over the same field k.

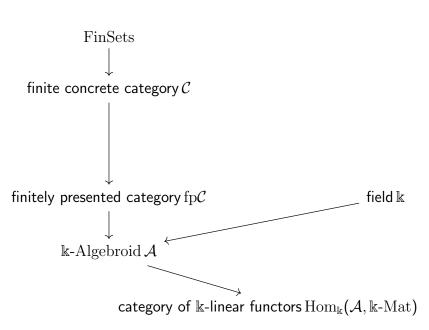
 $\operatorname{Hom}_{\Bbbk}(\mathcal{A}, \Bbbk\operatorname{-Mat})$ 

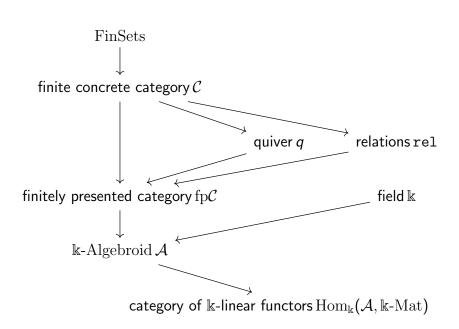
category of  $\Bbbk$ -linear functors  $\mathrm{Hom}_\Bbbk(\mathcal{A}, \Bbbk ext{-}\mathrm{Mat})$ 

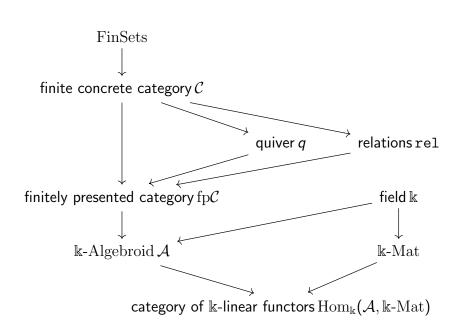
 $\begin{tabular}{ll} FinSets \\ & \downarrow \\ finite \ concrete \ category \ \mathcal{C} \end{tabular}$ 

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Objects  $\mathcal{C}_0$ 

k-linear functors F:  $A \to \mathbb{k}$ -mat

 $c \in \mathcal{A}_0 \mapsto \mathit{Fc} \in \Bbbk ext{-}\mathbf{mat}_{\mathsf{n}}$  natural numbers  $\mathbb{N}_0$ 

 $\varphi \in \mathcal{A}_1 \mapsto F\varphi \in \mathbb{k}\text{-mat}_1$ 

Morphisms  $\mathcal{C}_1$ 

natural transforma-  $m \times n$ -matrices tions, components

are matrices

Composition:

 $\varphi:A\to B$ ,

 $\psi: B \to C$ 

 $\varphi\psi:A\to C$ 

 $\eta: F \Rightarrow G, \eta_c: Fc \rightarrow Gc$ 

 $\varepsilon: G \Rightarrow H. \ \varepsilon_c: Gc \rightarrow Hc$ 

 $\eta \varepsilon : F \Rightarrow H, \eta_c \varepsilon_c : Fc \rightarrow Hc$ 

(component-wise) matrix multiplication

## Direct Sum:

Let  $I = \{1, ..., N\}$   $\{F_i\}_{i \in I}$  a family of objects in  $\operatorname{Hom}_{\mathbb{k}}(\mathcal{A}, \mathbb{k}\operatorname{-Mat})_{0}$   $\{n_i\}_{i\in I}$  a family

of objects in k-mat<sub>0</sub>

be a finite set

 $F: c \mapsto \sum_{i=1}^{N} F_i(c)$   $n = \sum_{i=1}^{N} n_i$ 

at each object the sum of natural numbers at that object

sum of natural numbers

Projections:

Coprojections:

$$(\pi_i)_c := \begin{pmatrix} 1_{F_i(c)}, & 1_{F_i(c)} \end{pmatrix}$$

 $(\pi_i)_c := \begin{pmatrix} 0_{F_{< i}(c), F_i(c)} \\ 1_{F_i(c)} \\ 0_{F_{< i}(c), F_i(c)} \end{pmatrix}$ 

 $\pi_i: F \to F_i$  with components

 $\iota_i: F_i \to F$  with components

 $\begin{array}{l} (\iota_i)_c := \\ (0_{F_i(c), \, F_{< i}(c)} \quad 1_{F_i(c)} \quad 0_{F_i(c), \, F_{> i}(c)}) \end{array}$ 

For a morphism  $a:c\to c'\in\mathcal{A}_1$  we have a family of morphisms  $\{F_ia:F_ic\to F_ic'\}_{i\in I}$ . Then the direct sum F is defined on morphisms as

$${\sf Fa} := \sum (\pi_i)_{\sf c} {\sf F}_i {\sf a}(\iota_i)_{\sf c'} : {\sf Fc} o {\sf Fc'}$$

which satisfies

$$(\iota_{i})_{c}Fa(\pi_{i})_{c'} = (\iota_{i})_{c} \sum_{j \in I} (\pi_{j})_{c}F_{j}a(\iota_{j})_{c'}(\pi_{i})_{c'}$$

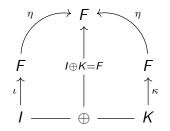
$$= \sum_{j \in I} (\iota_{i})_{c}(\pi_{j})_{c}F_{j}a(\iota_{j})_{c'}(\pi_{i})_{c'}$$

$$= \sum_{j \in I} (\delta_{i,j})_{c}F_{j}a(\delta_{j,i})_{c'}$$

$$= 1_{F_{i}c}F_{i}a1_{F_{i}c'}$$

$$= F_{i}a$$

One step in the decomposition algorithm looks like this:



Then we have two morphisms  $\iota$ ,  $\kappa$  with target F and sources I, K such that  $I \oplus K = F$ .

## Algorithm 1: DecomposeOnceByRandomEndomorphism

**Input**: a functor F in a functor category

**Output :** a pair  $[\iota: I \to F, \kappa: K \to F]$  of morphisms such that  $I \oplus K = F$  with  $I \neq 0$  and  $K \neq 0$  or fail if it was unable to further decompose F;

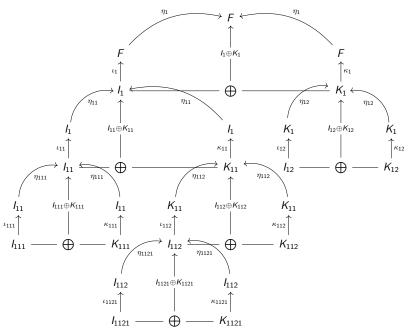
- $_{1}\ d:=\mathsf{max}\{\dim_{\Bbbk}\!Fc\}_{c\in\mathcal{A}_{0}};$
- 2 if d = 0 then
- 2 If a = 0 then
  3 | return fail; // the zero representation is
  - indecomposable
- 4 end
- 5  $\mathcal{B} = [\beta_1, \dots, \beta_h]$  is a  $\mathbb{k}$ -basis of  $\mathrm{Hom}_{\mathrm{Hom}_{\Bbbk}(\mathcal{A}, \mathbb{k}-\mathrm{Mat})}(F, F)$ ;
- $\mathcal{B} = [\beta_1, \dots, \beta_h]$  is a  $\mathbb{R}$  basis of  $\mathrm{Hom}_{\mathrm{Hom}_{\mathbb{R}}(\mathcal{A},\mathbb{R}\text{-}\mathrm{Mat})}(r, r)$  6 add  $0_{F,F}$  to  $\mathcal{B}$ ;

```
n := |\log_2(d)| + 1;
8 for b \in [h+1, h, ..., 2] do
      \alpha := \beta_b + \operatorname{random}(\mathbb{k}) \cdot \beta_{b-1};
                                       // a heuristic
 9
        ansatz for a random endomorphism
       for i \in [1, ..., n] do
10
          \alpha_2 := \alpha^2:
11
           /* We do not expect the exponentiation to
13
               produce an idempotent, still this is a
               very cheap test:
                                                                */
           if \alpha = \alpha_2 then
14
              break:
15
16
           end
17
           \alpha := \alpha_2;
       end
18
       if \alpha = 0 then
19
           continue :
                              // try another endomorphism
20
21
       end
```

```
8 for b \in [h+1, h, ..., 2] do
 9
       \kappa := \text{KernelEmbedding}(\alpha);
22
       if \kappa = 0 then
23
           continue; // try another endomorphism
24
       end
25
       \iota := \operatorname{ImageEmbedding}(\alpha);
26
       return [\iota, \kappa];
27
28 end
                                  // The input functor F is
29 return fail:
```

indecomposable with a high probability.

## Direct sum decomposition



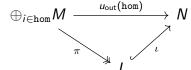
## Algorithm 2: WeakDirectSumDecomposition

**Input:** a functor F in a functor category **Output :** a list  $[\eta_i : F_i \to F]$  of embeddings.

```
1 queue := [1_F];
2 summands := \emptyset:
3 while queue \neq \emptyset do
       let \eta = \text{remove(queue)};
 4
       result :=
 5
         DecomposeOnceByRandomEndomorphism(s(\eta));
       if result = fail then // s(\eta) indecomposable
 6
           add \eta to summands;
       else
 8
           [\iota, \kappa] = \text{result};
 9
           append [\iota\eta, \kappa\eta] to queue;
10
11
       end
12 end
```

Hom-based invariants:

 ${\tt EmbeddingOfSumOfImagesOfAllMorphisms:}$ 



$$\begin{array}{ccc}
1 & \xrightarrow{b} & 2 \\
 & \downarrow & \downarrow \\
 & \downarrow & c
\end{array}$$