## Practical No. 4

Tomasz Siłkowski ts407106@students.mimuw.edu.pl

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## 2 Attention Exploration

## a) Attention input for identity

For attention to approximately copy one of the value vectors  $v_i$ ,  $k_i^T q$  would have to significantly greater than  $k_j^T q$  for any  $j \neq i$ .

## b) Query input for attention to return average

$$q = C(k_a + k_b)$$
, where  $C \gg 0$ 

## c) Drawbacks of single-headed attention

1.

$$q = C(\mu_a + \mu_b)$$
, where  $C \gg 0$ 

Because covariance matrices for all keys k are identity matrix multiplied by a vanishingly small constant, we know that keys (random variables)  $k_a$  and  $k_b$  are not correlated, therefore independent. That means  $\mu_a$  and  $\mu_b$  are their best estimators and are also perpendicular to each other, they are the best guess for requested value.

2. To restate the problem, we have  $k_a \sim \mathcal{N}(\mu_a, \alpha I + \frac{1}{2}(\mu_a \mu_a^T))$  where  $\alpha$  is vanishingly small.

Let  $q = C(\mu_a + \mu_b)$  (same as part 1) and let  $k_b$  be the key pointing in the same direction as  $k_a$  but with different norm. This means:

$$k_i^T q \approx \begin{cases} \varepsilon_a C & \text{for } i = a, \text{ where } \varepsilon_a \sim \mathcal{N}(1, \frac{1}{2}) \\ \varepsilon_b C & \text{for } i = b, \text{ where } \varepsilon_b \sim \mathcal{N}(1, \frac{1}{2}) \\ 0 & \text{otherwise} \end{cases}$$

Because of that, when calculating c:

$$c = \frac{\exp(\varepsilon_a C)}{\exp(\varepsilon_a C) + \exp(\varepsilon_b C)} v_a + \frac{\exp(\varepsilon_b C)}{\exp(\varepsilon_a C) + \exp(\varepsilon_b C)} v_b =$$

$$= \frac{1}{\exp((\varepsilon_a - \varepsilon_b)C)} v_a + \frac{1}{\exp((\varepsilon_b - \varepsilon_a)C)} v_b.$$

Because  $\varepsilon_a$  and  $\varepsilon_b$  come from the same distribution, it is equally likely that c will be closer to  $v_a$  as to  $v_b$ . This means c will be closer to whichever  $v_i$  has bigger  $|k_i|$  for  $i \in \{a, b\}$ .

#### d) Benefits of multi-headed attention

1.

$$q_1 = C_1 \mu_a$$
, where  $C_1 \gg 0$   
 $q_2 = C_2 \mu_b$ , where  $C_2 \gg 0$ 

2.

$$k_a^T q_1 = C_1 \varepsilon_a$$
$$k_b^T q_2 = C_2 \varepsilon_b$$

Then:

$$c_1 = v_a$$

$$c_2 = v_b$$

$$c = \frac{1}{2}(c_1 + c_2) \approx \frac{1}{2}(v_a + v_b)$$

Basing my judgement on these calculations, I expect output c to be close to the average of  $v_a$  and  $v_b$ .

# e) Key-Query-Value self-attention intuition

1.  $c_2$  approximates vector  $u_a$ .

It's impossible to approximate  $u_b$  with  $c_2$  by adding either  $u_c$  or  $u_d$  to  $x_2$ . Any of these vectors will increase in value equally along with  $u_b$ . That means there's no way for  $u_b$  to dominate the combination.

2.

# 3 Pretraining Transformer-based Generative Model

## d) Model with only finetuning

After 75 epochs of finetuning previously untrained model, in evaluation it achieved a score of 0.6% (3 out of 500 correct).

For comparison, naive model (outputting only "London" as an answer) achieved a score of 5.0% (25 out of 500 correct).

### f) Fully trained model on CharCorruptedDataset

After 650 epochs of pretraining and 10 epochs of finetuning using Dataset with randomly masked substrings, the model achieved a score of 23.0% (115 out of 500 correct) in evaluation.