

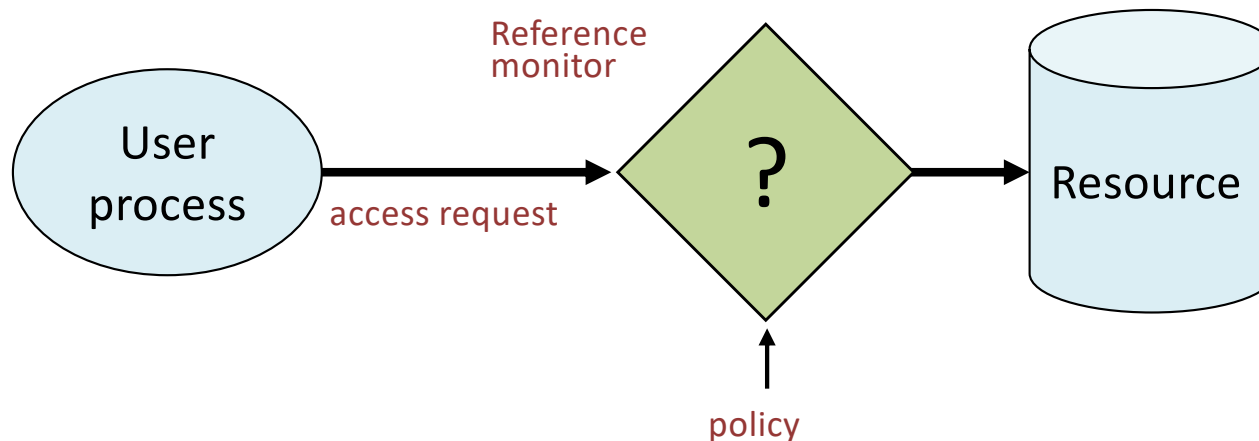
# Logical Access Control and Security Architectures

# Authorization, Access Control

- What is a subject/principal authorized to do?
- Definition of one or several perimeters of protection
  - Authorisations over assets/resources or groups of resources called “objects”
  - The authors of actions are called “subjects”
  - The “rights” granted to subjects over objects are formalized in a matrix
  - Security policy focused on the notion of perimeter
- The enforcement of these perimeters can intervene at different levels:
  - Applications
  - Operating system (or virtual machine)
  - Network

# (Logical) Access control models

- Assumptions
  - System knows who the user is
    - Authentication via name and password, other credential
  - Access requests pass through gatekeeper (reference monitor)
    - System must not allow monitor to be bypassed



# Access control matrix [Lampson]

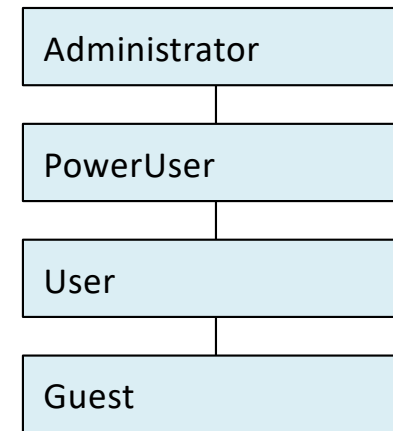
Objects  
⎵

	File 1	File 2	File 3	...	File n
User 1	read	write	-	-	read
User 2	write	write	write	-	-
User 3	-	-	-	read	read
...					
User m	read	write	read	write	read

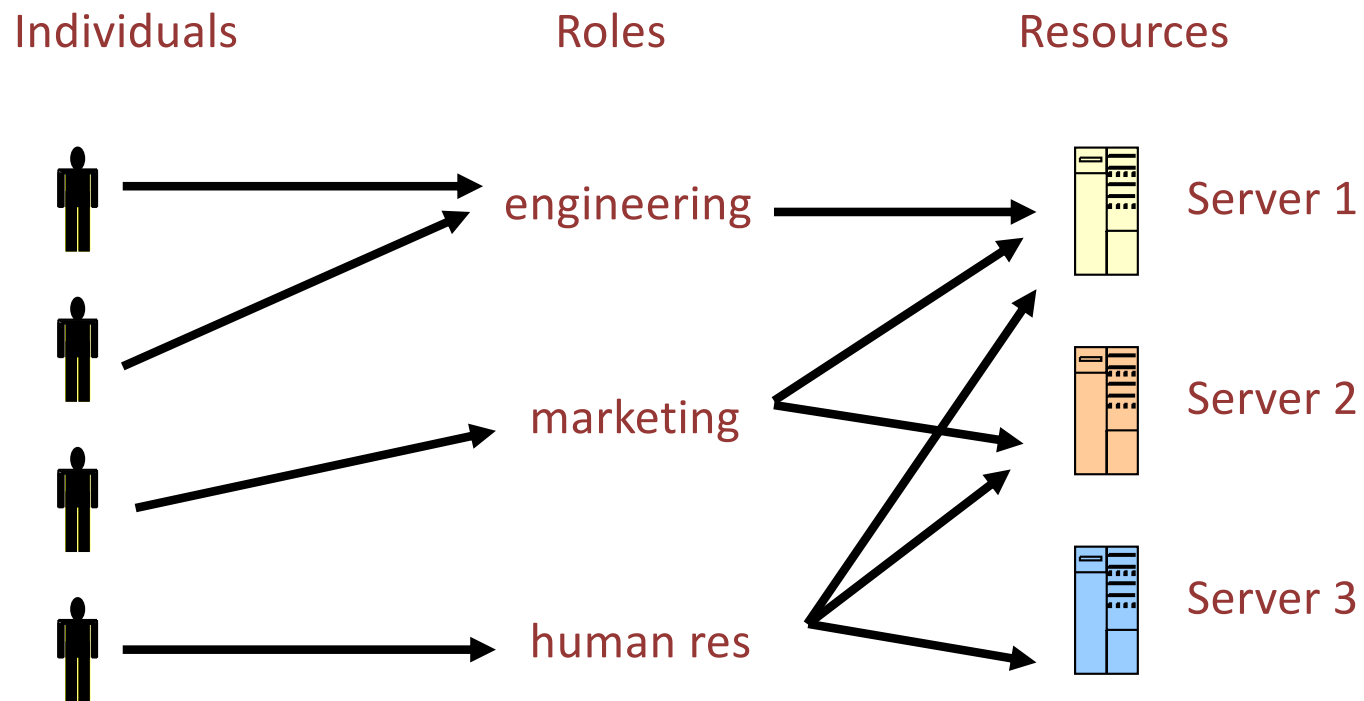
⎵ Subjects

# Roles (aka Groups)

- Role = set of users
  - Administrator, PowerUser, User, Guest
  - Assign permissions to roles; each user gets permission
- Role hierarchy
  - Partial order of roles
  - Each role gets permissions of roles below
  - List only new permissions given to each role



# Role-Based Access Control



Advantage: users change more frequently than roles

# Implementation concepts

- Access control list (ACL)

- Store column of matrix with the resource

- Capability

- User holds a “ticket” for each resource
- Two variations
  - store row of matrix with user, under OS control
  - unforgeable ticket in user space

	File 1	File 2	...
User 1	read	write	-
User 2	write	write	-
User 3	-	-	read
...			
User m	Read	write	write

Access control lists are widely used, often with groups

Some aspects of capability concept are used in many systems

# ACL vs Capabilities

- Access control list
  - Associate list with each object
  - Check user/group against list
  - Relies on authentication: need to know user
- Capabilities
  - Capability is unforgeable ticket
    - Random bit sequence, or managed by OS
    - Can be passed from one process to another
  - Reference monitor checks ticket
    - Does not need to know identify of user/process



# ACL vs Capabilities

- Delegation
  - Cap: Process can pass capability at run time
  - ACL: Try to get owner to add permission to list?
    - More common: let other process act under current user
- Revocation
  - ACL: Remove user or group from list
    - Or prevent process from acting as owner
  - Cap: Try to get capability back from process?
    - Possible in some systems if appropriate bookkeeping
      - OS knows which data is capability
      - If capability is used for multiple resources, have to revoke all or none ...
    - Indirection: capability points to pointer to resource
      - If  $C \rightarrow P \rightarrow R$ , then revoke capability C by setting  $P=0$

# Access control: summary

- Access control involves reference monitor
  - Check permissions:  $\langle \text{user info, action} \rangle \rightarrow \text{yes/no}$
  - Important: no way around this check
- Access control matrix
  - Access control lists vs capabilities
  - Advantages and disadvantages of each
- Role-based access control
  - Use group as “user info”; use group hierarchies

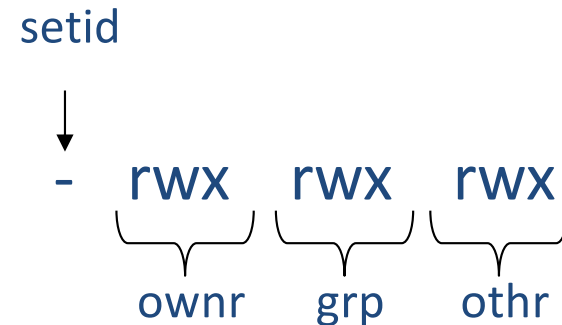
# Unix access control

- Process has user id
  - Inherit from creating process
  - Process can change id
    - Restricted set of options
  - Special “root” id
    - All access allowed
- File has access control list (ACL)
  - Grants permission to user ids
  - Owner, group, other

	File 1	File 2	...
User 1	read	write	-
User 2	write	write	-
User 3	-	-	read
...			
User m	Read	write	write

# Unix file access control list

- Each file has owner and group
- Permissions set by owner
  - Read, write, execute
  - Owner, group, other
  - Represented by vector of four octal values
- Only owner, root can change permissions
  - This privilege cannot be delegated or shared
- Setid bits – Discussed in a few slides



# Principles of Secure Design

- Compartmentalization
  - Principle of least privilege
  - Isolation
- Defense in depth
  - Use more than one security mechanism
  - Secure the weakest link
  - Fail securely
- Keep it simple

# Least Privilege Principle

- What's a privilege?
  - Ability to access or modify a resource
- Assume compartmentalization and isolation
  - Separate the system into isolated compartments
  - Limit interaction between compartments
- Least Privilege?
  - A system module should only have the minimal privileges needed for its intended purposes

# Isolation between processes

- Processes in OS:
  - A process may access files, network sockets, ....
    - Permission granted according to UID
  - Two processes with same UID have the same permissions
- Processes and privileges :
  - Compartment defined by UID (User ID)
  - Privileges defined by actions allowed on system resources

# Example: Mail Agent

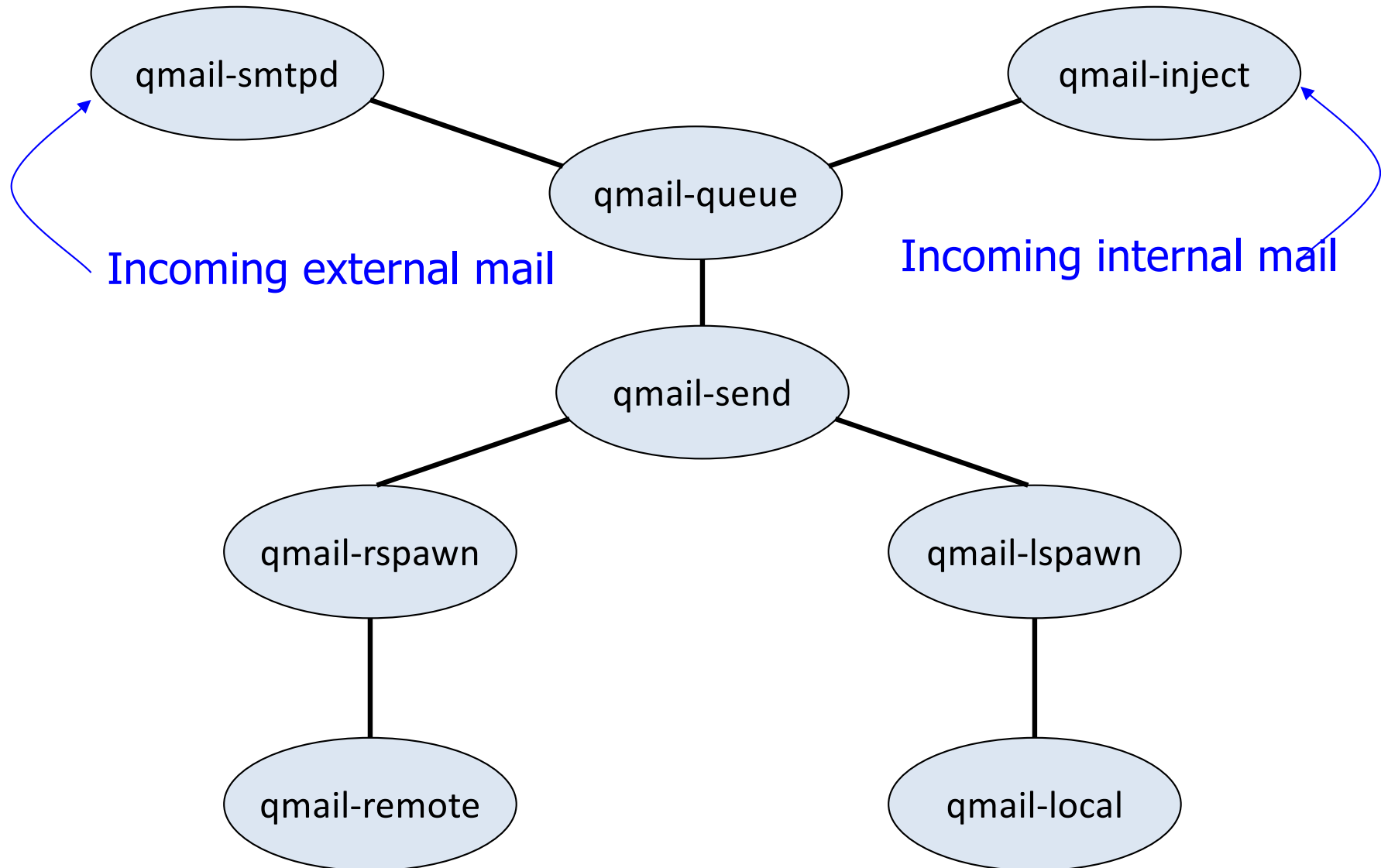
- Requirements
  - Receive and send email over external network
  - Place incoming email into local user inbox files
- Sendmail
  - Traditional Unix
  - Monolithic design
  - Historical source of many vulnerabilities
- Qmail
  - Compartmentalized design



# Qmail design

- Mail Transfer Agent (MTA)
  - Sendmail replacement
- Function isolation based on OS isolation
  - Separate modules run as separate “users”
  - Each user only has access to specific resources
- Least privilege
  - Minimal privileges for each UID
  - Only one “setuid” program
    - setuid allows program to run as different users
  - Only one “root” program
    - root program has all privileges

# Structure of qmail



# Unix: Process effective user id (EUID)

- Each process has three Ids (+ more under Linux)
  - Real User ID (RUID)
    - same as the user ID of parent (unless changed)
    - used to determine which user started the process
    - System call *access()* determines permission based on RUID
  - Effective User ID (EUID)
    - from set user ID bit on the file being executed, or sys call
    - determines the permissions for process
      - file access and port binding
  - Saved User ID (SUID)
    - So previous EUID can be restored
- Real Group ID, Effective Group ID, used similarly

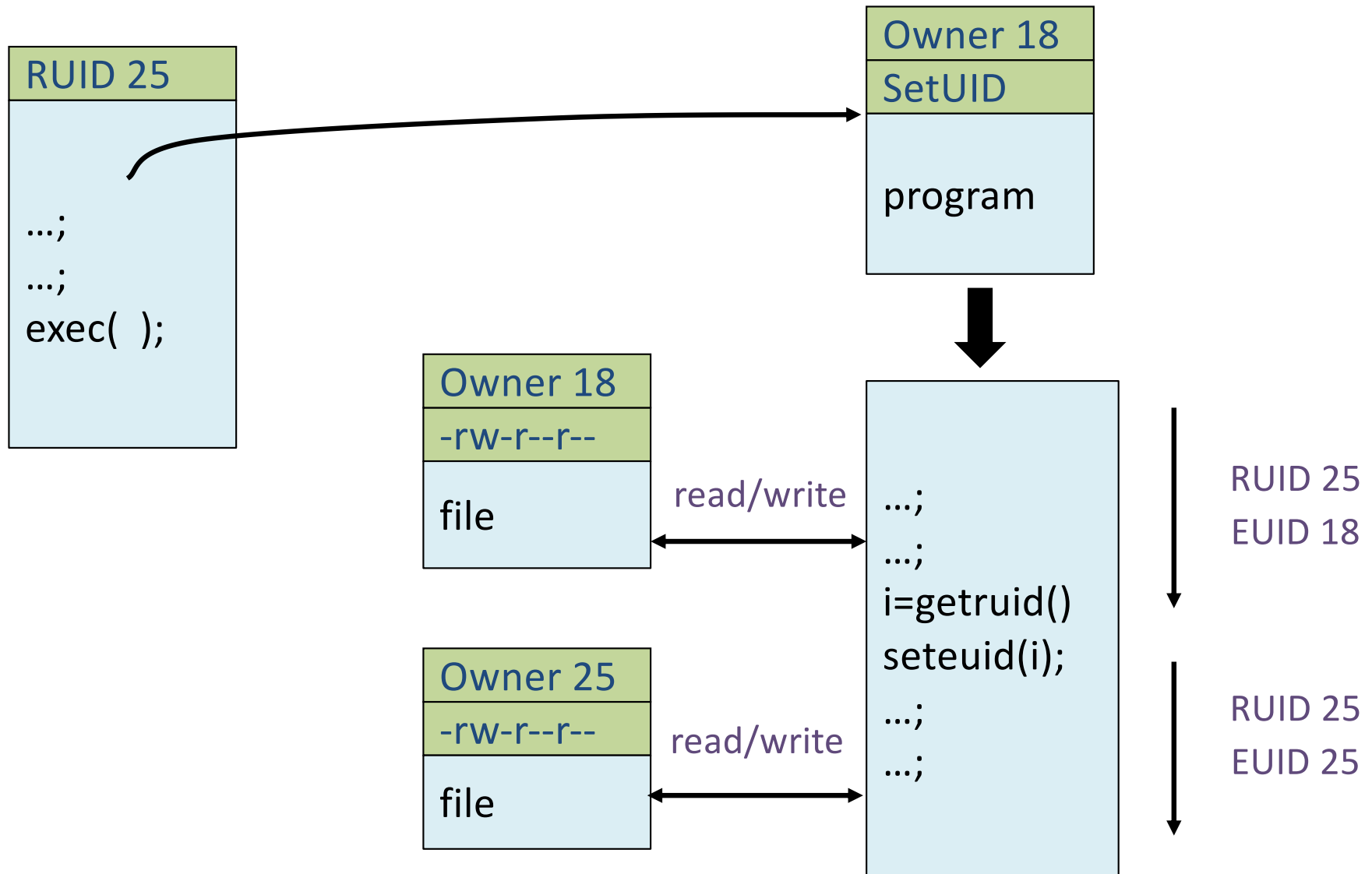
# Unix: Setid bits on executable file

- Three setid bits
  - Setuid – set EUID of process to ID of file owner
    - “chmod u+s”
  - Setgid – set EGID of process to GID of file
    - “chmod g+s”
  - Sticky
    - Off: if user has write permission on directory, can rename or remove files, even if not owner
    - On: only file owner, directory owner, and root can rename or remove file in the directory, but all owners with a write permission can modify it
    - “chmod +t”

# Unix: Process Operations and IDs

- Root
  - ID=0 for superuser root; can access any file
- Fork and Exec
  - Inherit three IDs
  - except exec of file with setuid bit (then: euid receives uid of owner of process invoked)
- Setuid system calls
  - setuid(newid) can set RUID to newid (if you have the right to, e.g. root)
    - However user root or program setuid that changes to newid != 0 cannot regain root privileges !
  - seteuid(newid) can set EUID to
    - Real ID or saved ID, regardless of current EUID
    - Any ID, if EUID=0
  - Details are actually more complicated: several different calls: setuid, seteuid, setreuid, setgid, ...

# Example



# Unix summary

- Advantages
  - Some protection from most users
  - Flexible enough to make things possible
- Main limitations
  - Too tempting to use root privileges
  - No way to assume some root privileges without all root privileges

# Mandatory Access Control (MAC)

- Access Control Models: Mandatory vs. Discretionary
  - Discretionary (DAC) = at the discretion of the resource owner
- A means of restricting access to objects based on the sensitivity of the information contained in the objects and whether they are authorized to access information of such sensitivity
- Authorization is based on prerequisites being met, resulting in an individual gaining access
- Enables the ability to deny users full control over the access to resources that they create
- access control is based on the compatibility of the security properties of the data and the clearance properties of the individual



# SELinux

- Originally started by the Information Assurance Research Group of the NSA, working with Secure Computing Corporation.
- Based on a strong, flexible mandatory access control architecture based on Type Enforcement, a mechanism first developed for the LOCK system
- Originally started as two prototypes: DTMach and DTOS which were eventually transferred over to the Fluke research operating system
- Eventually the architecture was enhanced and renamed Flask. The NSA has now integrated the Flask architecture with Linux (SELinux)

# SELinux: Background

- An example of how mandatory access controls can be added into Linux
  - Confining actions of a process, including a superuser process
- The security mechanisms implemented in the system provide flexible support for a wide range of security policies.
- Make it possible to configure the system to meet a wide range of security requirements.
- Documentation and source code is provided.

# Authentication / Identification

# Authentication

- What I know: passwords, secret questions, secret keys
- What I own: smartcard, bank card, RSA key, mobile phone
- What I am: biometrics – physiology (fingerprints, iris, veins, face, voice ...) or behavior (signature, gestures ...)
- Increasing association of two mechanisms
  - two-factor authentication from different categories

# Passwords

- Strictly personal
- Hard to find, easy to remember (no need to write it down)
  - Minimum number of characters (including ; / ! % ....)
  - Does not correspond to a dictionary word
- Avoid
  - Names or first names of relatives
  - Telephone number...
- Must be changed periodically
- Key phrase method
  - Saying, movie title....

**FIGURE 11.15 • Passwords, weakest to strongest**

Strong passwords use words that are unrelated to your interests and include upper- and lower-case letters, numbers, and symbols.

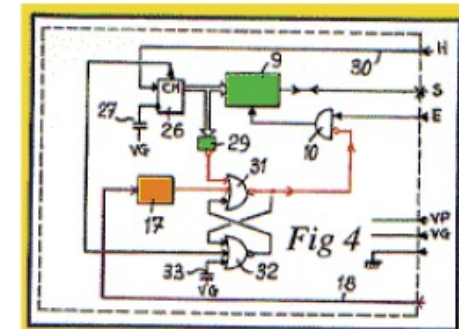
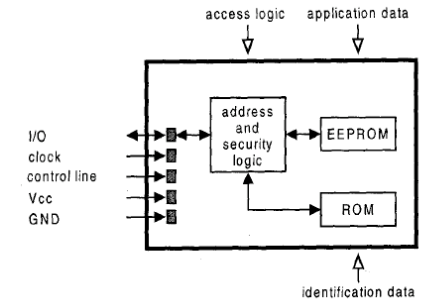
Weakest	
John	
Kelley	
JohnnieD	
yankees	
Heresjohnnie	
nycoolboy	
NYcoolboy#1	
Hypertree	
Most effective {	nyKOOLB@Y
	Hyper#tree9
	re@Lpharm#
	92Tpo5#cCw
Strongest	

# Smartcard

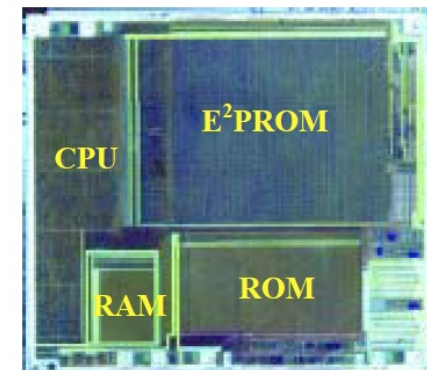
- Smart card, IC card, chip card, pin card ...
- Main features:
  - Portable object storing data and/or procedures.
  - Secure object
  - Prevents reading data stored in the card memory (secret keys...)
  - Code executed in a trusted space
  - Low cost object customizable for hundreds of millions of users.
    - 1-5\$ for SPOM / 0,1-0,5\$ for magnetic cards
  - Can't work alone and requires
    - A card reader to deliver energy
    - *A clock*
    - *A communication link*

# The card and its technical features

- Memory card:
  - Simple memory (reading / writing) (EPROM / EEPROM)
  - Not standardised
- Cabled logic Card :
  - The card contains a cabled device for protecting the data
  - Dedicated electronics to connect input and output pins
  - Not standardised
- Microprocessor card (SPOM/MAM) :
  - Memory + processor → programmable
  - Security algorithms (ex: DES, RSA)
  - ISO 7816 standards
  - Contact-based or contactless card
  - 1<sup>st</sup> implementation Bull CP8: 36b of RAM, 1 Kb EPROM, 1,6 Kb ROM



5 mm



# Biometrics

- Goals:
  - The user does not risk losing his authentication mechanism
  - Some authentication modes are well integrated – ex: fingerprints (iPAQ, iPhone 5s)



- Problems:
  - Biometric factor theft: never use 1-factor identification!
  - Sometimes easy counterfeiting (fingerprints...)
  - The data should be preserved under the control of their owner (ex: smartcard)

**FIGURE 11.17 • Facial recognition**

By taking measurements of 128 facial features and matching them to the measurements of known faces, biometric software can help identify people.

