

## SOLUTIONS

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### Problem 1

Reformulate the following optimization problems as decision problems. For each, argue that the decision version is in P.

- a. *Sequence Alignment.* Given strings  $(A, B)$ , find the minimum value of edit operations to convert string  $A$  into string  $B$ .

We reformulate this as the decision problem: given strings  $(A, B)$  and integer  $k$ , can string  $A$  be converted into string  $B$  with edit operations costing at most  $k$ ?

Using dynamic programming, we can compute the minimum number of edit operations to convert  $A$  to  $B$  in time  $O(mn)$ , where  $A$  is of length  $m$  and  $B$  of length  $n$ . We compute  $\text{OPT}(m, n)$  in polynomial time and return 1 if  $\text{OPT}(m, n) \leq k$  and 0 otherwise. Hence, *Sequence Alignment*  $\in$  P.

- b. *Minimum Spanning Tree.* Given a weighted graph  $G = (V, E, w)$  with weights  $w(e) \geq 0$  for all  $e \in E$ , find a spanning tree of minimal cost.

We reformulate this as the decision problem: given weighted graph  $G = (V, E, w)$  with weights  $w(e) \geq 0$  for all  $e \in E$  and integer  $k$ , is there a spanning tree for  $G$  of cost at most  $k$ ?

We have seen that Kruskal's algorithm computes a minimum spanning tree in time  $O(|E|^2)$  (this can be refined to  $O(|E| \log |V|)$ ). We can run Kruskal's and then compute the total weight  $W$  of the produced MST in polynomial time, and return 1 if  $W \leq k$  and 0 otherwise. Thus, *MST*  $\in$  P.

- c. *Interval Scheduling.* Given a set of intervals  $L = \{(s_1, e_1), \dots, (s_n, e_n)\}$ , find a maximal-size subset of intervals which do not overlap.

We reformulate this as the decision problem: given a set of intervals  $L = \{(s_1, e_1), \dots, (s_n, e_n)\}$  and integer  $k$ , is there a subset of non-overlapping intervals of size at least  $k$ ?

We can use our greedy interval scheduling algorithm to compute a maximal-size set of intervals of size  $m$  in  $O(n \log n)$  time (sorting by earliest finish takes  $O(n \log n)$  time and selecting the edges can be done in  $O(n)$  time). If  $m \geq k$  return 1, otherwise return 0.

## Problem 2

A *Hamiltonian cycle* on a directed graph  $G = (V, E)$  is a cycle which visits each vertex in  $V$  exactly once. Recall that a *cycle* is a path with the same start and end vertices.

- a. The **Hamiltonian Cycle** problem is: given a directed graph  $G = (V, E)$ , does  $G$  contain a Hamiltonian cycle?

Show that **Hamiltonian Cycle** is NP-hard via a reduction from 3SAT.

See proof presented on pages 475-477 of Kleinberg and Tardos.

- b. The **Hamiltonian Path** problem is, similarly, does there exist a *path* which visits every vertex in the graph? (recall that a path need not start and end at the same place, while a cycle does)

Show that **Hamiltonian Cycle**  $\leq_p$  **Hamiltonian Path**, that is, **Hamiltonian Path** is NP-hard by a reduction to **Hamiltonian Cycle**.

We reduce **Hamiltonian Cycle** on the directed graph  $G = (V, E)$  to **Hamiltonian Path** on  $G' = (V', E')$ .

We create the graph  $G' = (V' = V \setminus v \cup \{v', v''\}, E')$  by replacing an arbitrary vertex  $v \in V$  with the pair of vertices  $v'$  and  $v''$ . We replace all outward edges  $(v, u)$  from  $v$  with edges  $(v', u) \in E'$ , and all incoming edges  $(u, v)$  with edges  $(u, v'') \in E'$ . All other edges remain unchanged.

We then solve **Hamiltonian Path** on  $G'$ . If there exists an Hamiltonian path in  $G'$ , then it must start from  $v'$  and end at  $v''$ , since  $v'$  only has outgoing edges and  $v''$  only has incoming edges. This path equates to a cycle which begins and ends at  $v$  in  $G$ .

Similarly, if there exists a Hamiltonian cycle in  $G$ , then it is a Hamiltonian path in  $G'$ .

## Problem 3 (Bonus)

The pandemic has ended, and you're having a big group of friends over for a celebratory dinner! Unfortunately, each of your  $m$  friends has very restrictive dietary needs, many of which are incompatible.

You have a large recipe book  $R$  with  $n$  recipes in it, and friend  $i$  can eat a subset  $R_i \subseteq R$  of the foods in your recipe book. You've been trying to come up with a set of dishes  $M \subseteq R$  to cook such that every guest can eat at least one dish ( $M \cap R_i \neq \emptyset$  for all  $i$ ), but you have the time to make at most  $k$  dishes before your friends arrive.

Show that the problem **Meal Planning** of determining whether there exists a set  $M$  of recipes you can cook such that  $|M| \leq k$  and every guest can eat at least one dish is NP-complete.

(*Hint:* try reducing from Set Cover or 3SAT.)

This is a formulation of the **Hitting Set** problem.

We reduce from **Set Cover**: given a set  $V$  of vertices, a collection  $S = \{S_1, \dots, S_n\}$  of sets with  $S_i \subseteq V$ , and an integer  $k$ , can we select  $H \subseteq S$  such that  $|H| \leq k$  and for all  $v \in V$ ,  $v \in S_i$  for some  $S_i \in H$ ?

We formulate our **Set Cover** instance as a **Meal Planning** instance as follows:

- For each set  $S_i$ , create a recipe  $R_i$
- For each vertex  $v \in V$ , create a friend  $v$  who can eat any meal  $R_i$  such that  $v \in S_i$
- Keep  $k$  the same

Solve the **Meal Planning** instance, and return the result.

We claim that this returns 1 if there is a **Set Cover** of size at most  $k$  and 0 otherwise.

*Proof.* Assume there is a set cover of size at most  $k$ , which uses  $H = \{S_1, \dots, S_j\}$ ,  $j \leq k$ . Select meals  $\{R_1, \dots, R_j\}$ . Then, since each vertex  $v$  is in some set  $S_{i(v)}$ , friend  $v$  must be able to eat meal  $R_{i(v)}$ , and all friends have a meal they can eat with at most  $k$  meals.

Now, assume that our **Meal Planning** formulation returns 1. Then, there is some set of recipes  $R = \{R_1, \dots, R_j\}$ ,  $j \leq k$ , such that each friend can eat at least one food. Thus, each vertex  $v$  is then in at least one of  $\{S_1, \dots, S_j\}$ , and  $H = \{S_1, \dots, S_j\}$  forms a set cover of size at most  $k$ .  $\square$