



# Solving problems by searching

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## Chapter 3



# Outline

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- Problem-solving agents
- Problem types
- Problem formulation
- Example problems
- Basic search algorithms



# Problem-solving agents

```
function SIMPLE-PROBLEM-SOLVING-AGENT(percept) returns an action
  static: seq, an action sequence, initially empty
           state, some description of the current world state
           goal, a goal, initially null
           problem, a problem formulation

  state  $\leftarrow$  UPDATE-STATE(state, percept)
  if seq is empty then do
    goal  $\leftarrow$  FORMULATE-GOAL(state)
    problem  $\leftarrow$  FORMULATE-PROBLEM(state, goal)
    seq  $\leftarrow$  SEARCH(problem)
  action  $\leftarrow$  FIRST(seq)
  seq  $\leftarrow$  REST(seq)
  return action
```

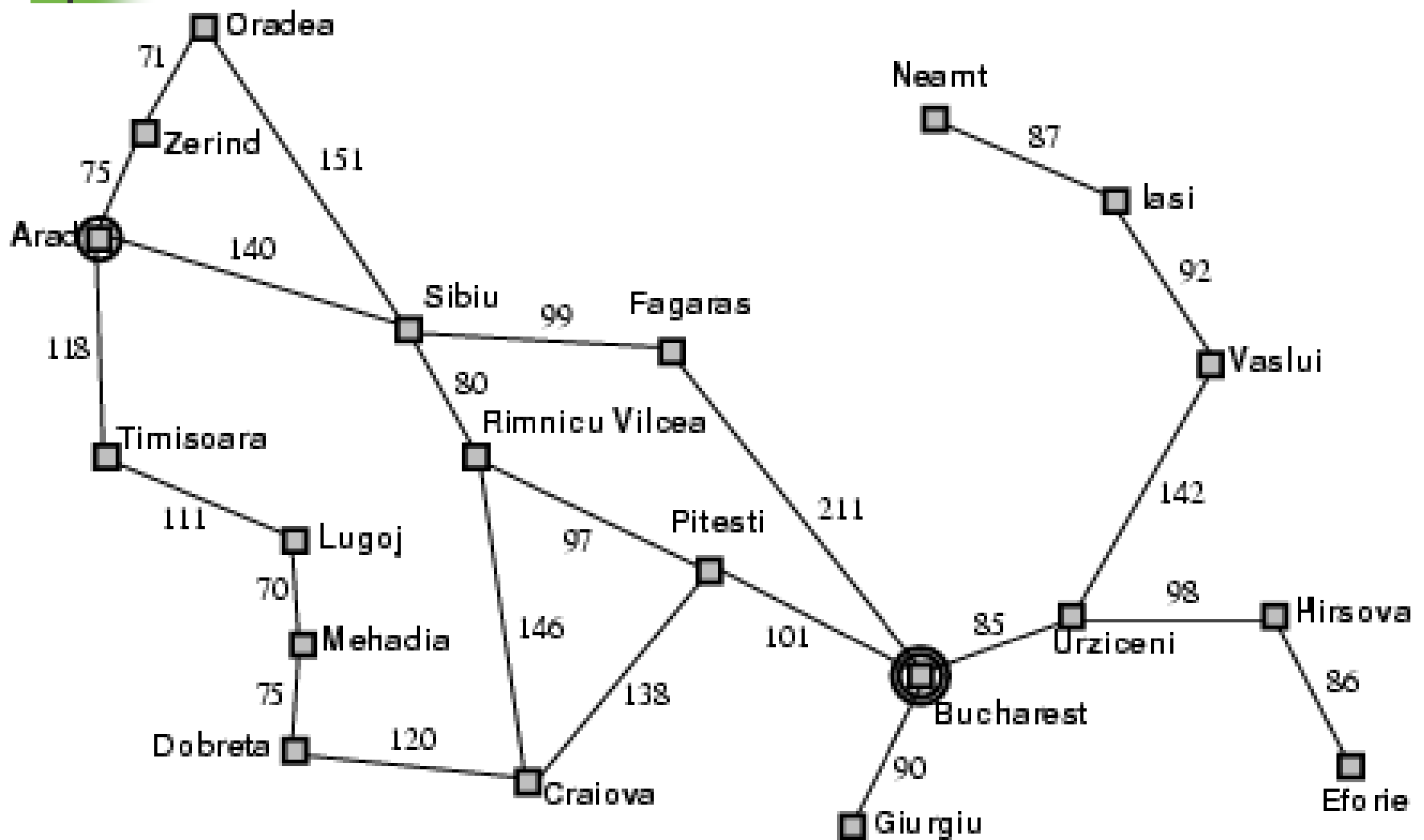


# Example: Romania

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- On holiday in Romania; currently in Arad.
- Flight leaves tomorrow from Bucharest
- **Formulate goal:**
  - be in Bucharest
- **Formulate problem:**
  - **states:** various cities
  - **actions:** drive between cities
- **Find solution:**
  - sequence of cities, e.g., Arad, Sibiu, Fagaras, Bucharest

# Example: Romania





# Problem types

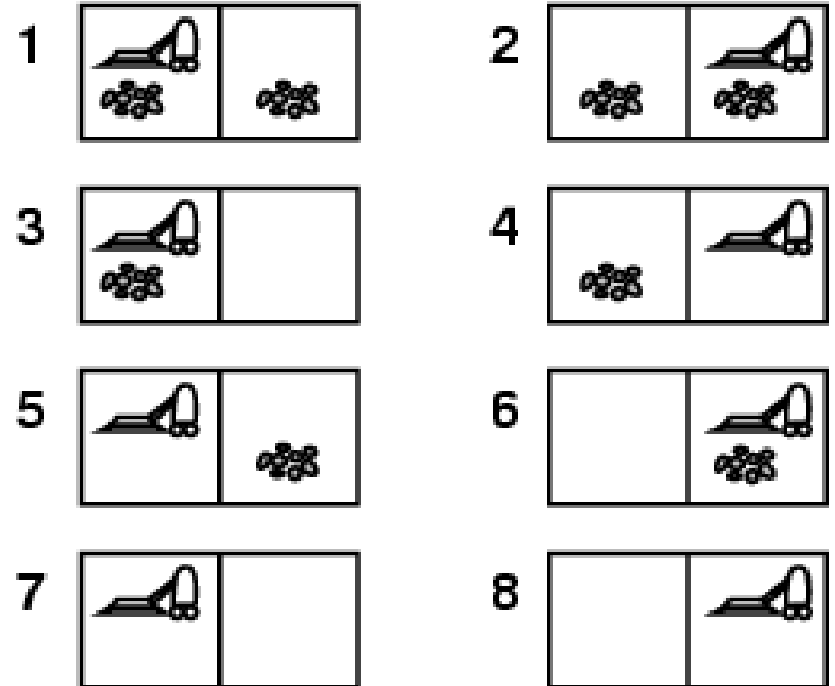
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- Deterministic, fully observable → single-state problem
  - Agent knows exactly which state it will be in; solution is a sequence
- Non-observable → sensorless problem (conformant problem)
  - Agent may have no idea where it is; solution is a sequence
- Nondeterministic and/or partially observable → contingency problem
  - percepts provide new information about current state
  - often interleave search, execution
- Unknown state space → exploration problem

# Example: vacuum world

- Single-state, start in #5.

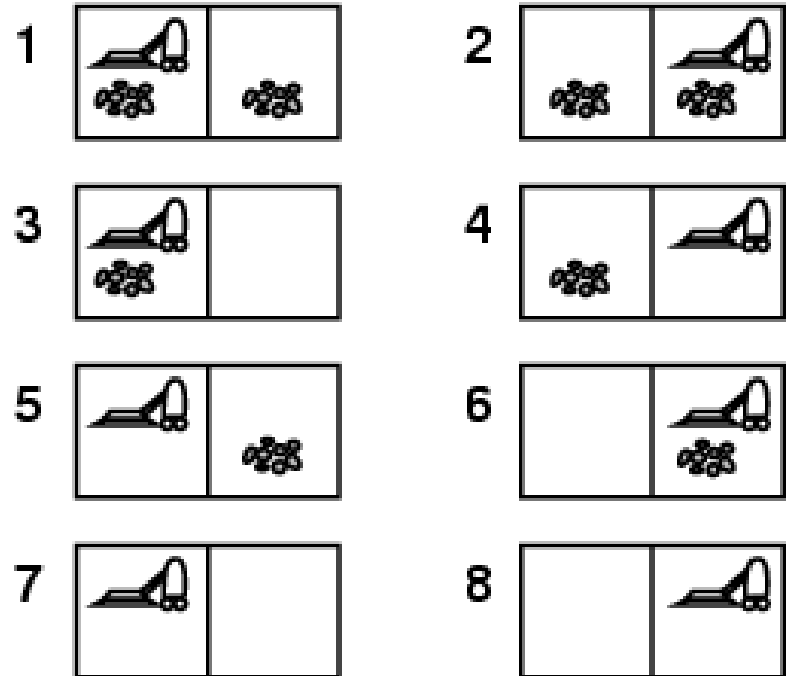
Solution?



# Example: vacuum world

- Single-state, start in #5.  
Solution? [*Right, Suck*]

- Sensorless, start in  $\{1,2,3,4,5,6,7,8\}$  e.g.,  
*Right* goes to  $\{2,4,6,8\}$   
Solution?





# Example: vacuum world

- Sensorless, start in  $\{1,2,3,4,5,6,7,8\}$  e.g.,  
*Right* goes to  $\{2,4,6,8\}$

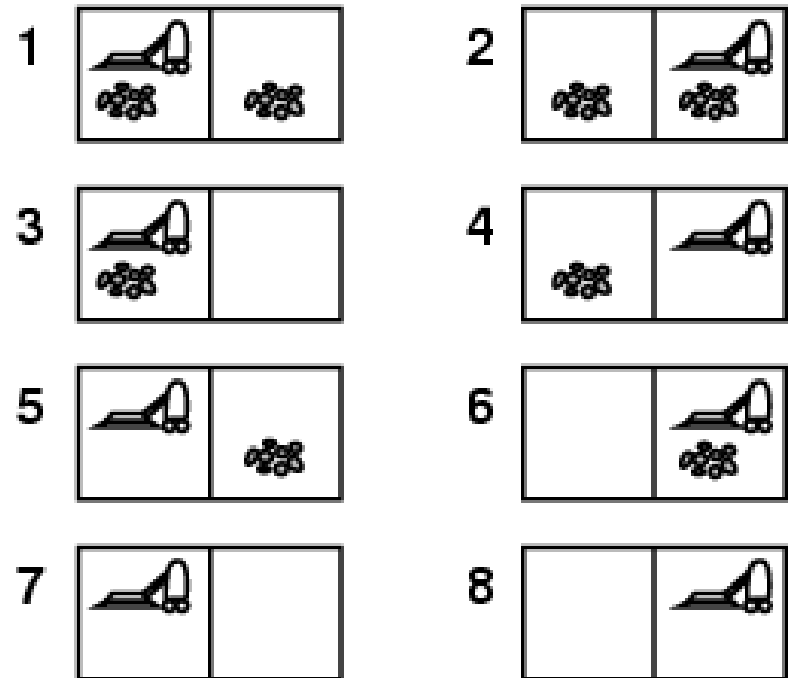
Solution?

*[Right, Suck, Left, Suck]*

- Contingency

- Nondeterministic: *Suck* may dirty a clean carpet
- Partially observable: location, dirt at current location.
- Percept:  $[L, \text{Clean}]$ , i.e., start in #5 or #7

Solution?



# Example: vacuum world

- Sensorless, start in  $\{1, 2, 3, 4, 5, 6, 7, 8\}$  e.g., *Right* goes to  $\{2, 4, 6, 8\}$

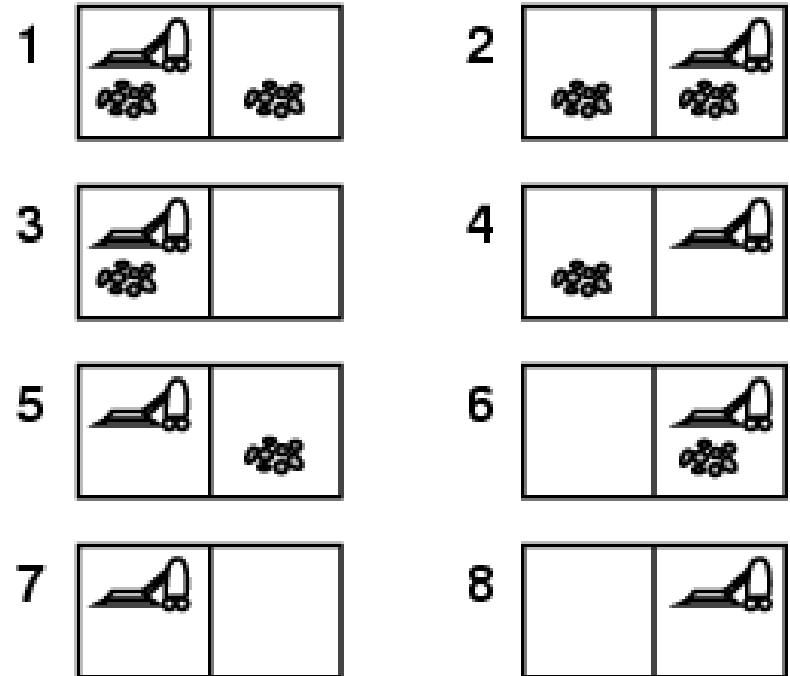
Solution?

*[Right, Suck, Left, Suck]*

- Contingency

- Nondeterministic: *Suck* may dirty a clean carpet
- Partially observable: location, dirt at current location.
- Percept:  $[L, \text{Clean}]$ , i.e., start in #5 or #7

Solution? *[Right, **if** dirt **then** Suck]*





# Single-state problem formulation

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A **problem** is defined by four items:

1. **initial state** e.g., "at Arad"
  2. **actions** or **successor function**  $S(x)$  = set of action–state pairs
    - e.g.,  $S(Arad) = \{ \langle Arad \rightarrow Zerind, Zerind \rangle, \dots \}$
  3. **goal test**, can be
    - **explicit**, e.g.,  $x = \text{"at Bucharest"}$
    - **implicit**, e.g.,  $Checkmate(x)$
  4. **path cost** (additive)
    - e.g., sum of distances, number of actions executed, etc.
    - $c(x, a, y)$  is the **step cost**, assumed to be  $\geq 0$
- A **solution** is a sequence of actions leading from the initial state to a goal state

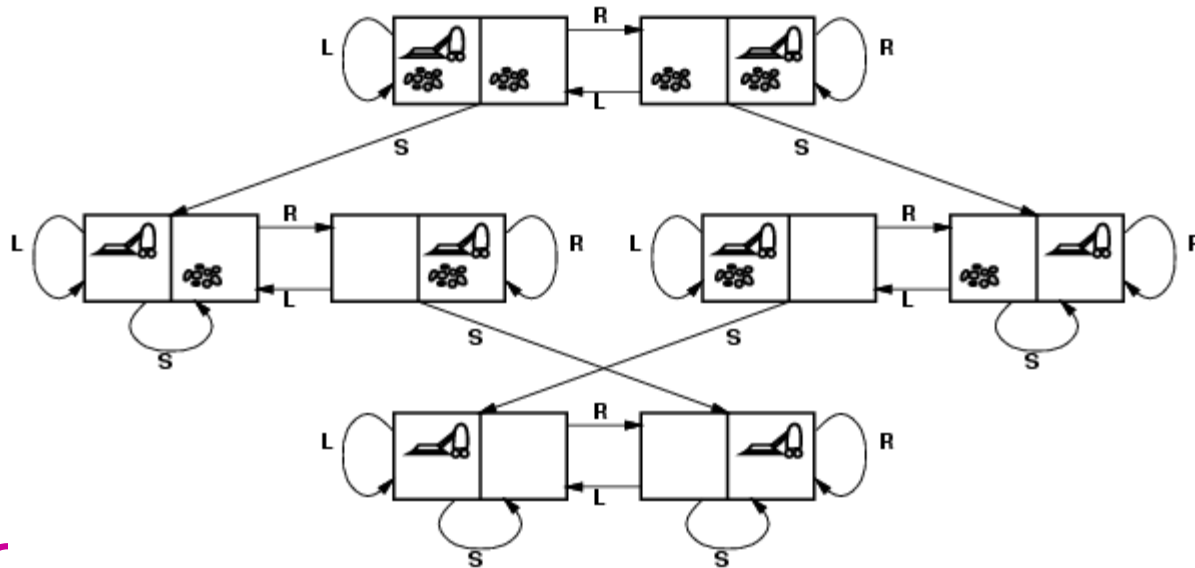


# Selecting a state space

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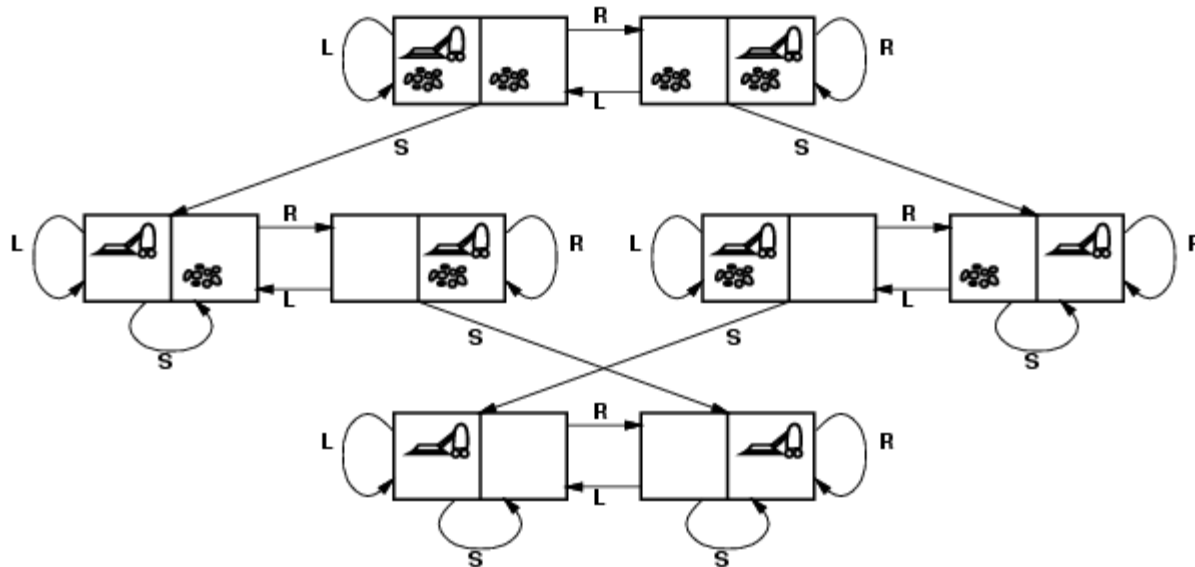
- Real world is absurdly complex
  - state space must be **abstracted** for problem solving
- (Abstract) state = set of real states
- (Abstract) action = complex combination of real actions
  - e.g., "Arad → Zerind" represents a complex set of possible routes, rest stops, etc.
- For guaranteed realizability, **any** real state "in Arad" must get to **some** real state "in Zerind"
- (Abstract) solution =
  - set of real paths that are solutions in the real world
- Each abstract action should be "easier" than the original problem

# Vacuum world state space graph



- states!
- actions?
- goal test?
- path cost?
-

# Vacuum world state space graph



- states? integer dirt and robot location
- actions? *Left, Right, Suck*
- goal test? no dirt at all locations
- path cost? 1 per action

# Example: The 8-puzzle

7	2	4
5		6
8	3	1

Start State

	1	2
3	4	5
6	7	8

Goal State

- states?
- actions?
- goal test?
- path cost?

# Example: The 8-puzzle

7	2	4
5		6
8	3	1

Start State

	1	2
3	4	5
6	7	8

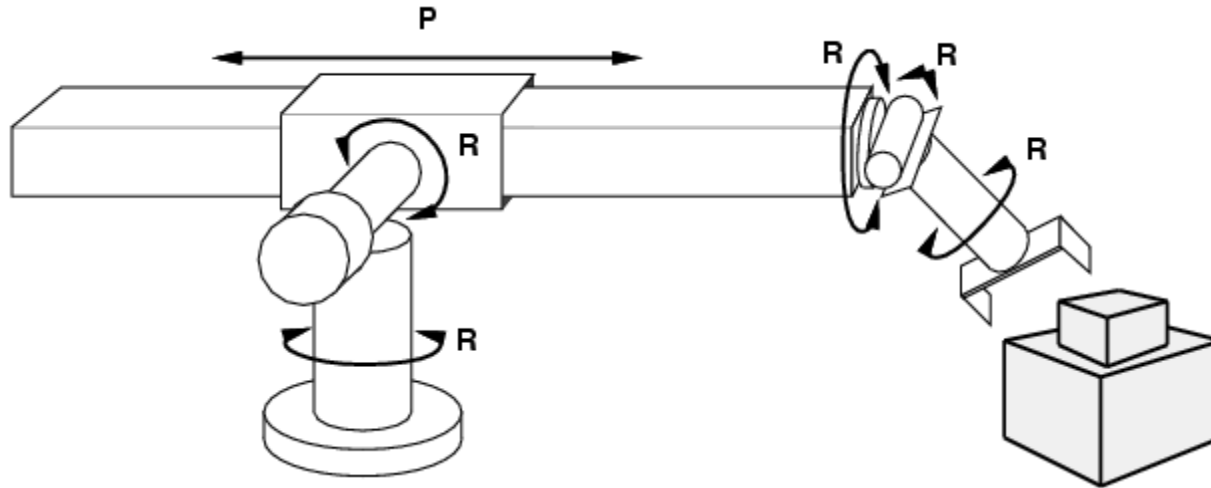
Goal State

- states? locations of tiles
- actions? move blank left, right, up, down
- goal test? = goal state (given)
- path cost? 1 per move

[Note: optimal solution of  $n$ -Puzzle family is NP-hard]



# Example: robotic assembly



- states?: real-valued coordinates of robot joint angles parts of the object to be assembled
- actions?: continuous motions of robot joints
- goal test?: complete assembly
- path cost?: time to execute



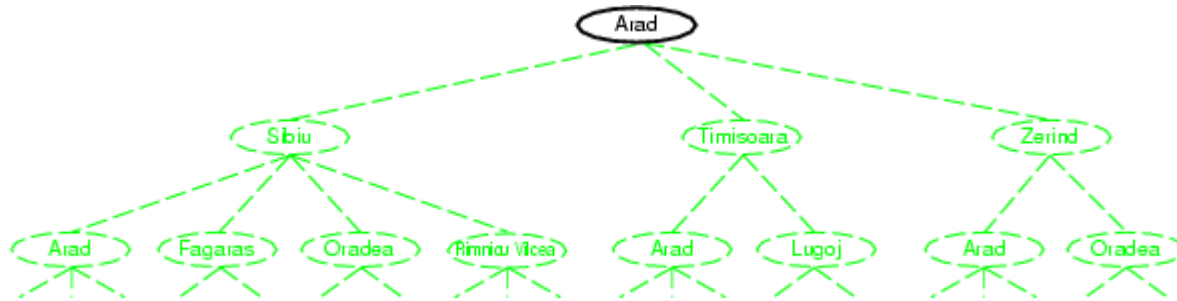
# Tree search algorithms

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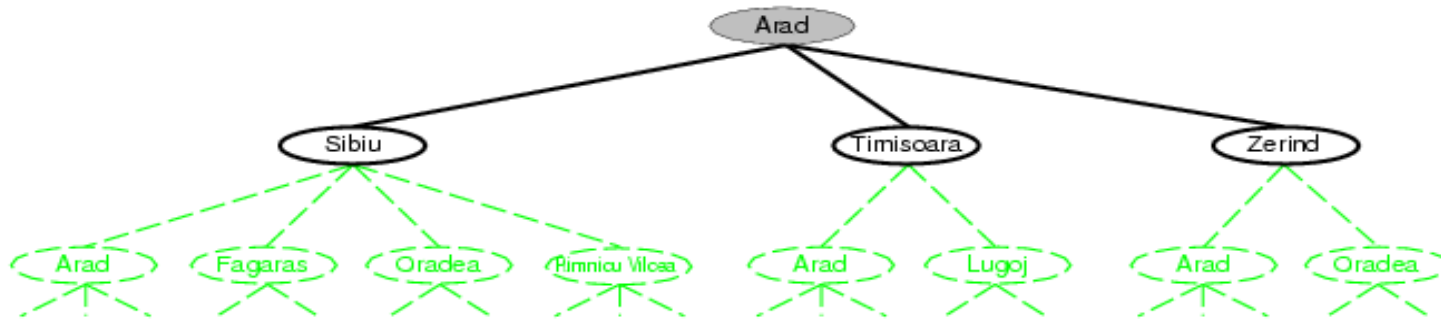
- Basic idea:
  - offline, simulated exploration of state space by generating successors of already-explored states

```
function TREE-SEARCH(problem, strategy) returns a solution, or failure
  initialize the search tree using the initial state of problem
  loop do
    if there are no candidates for expansion then return failure
    choose a leaf node for expansion according to strategy
    if the node contains a goal state then return the corresponding solution
    else expand the node and add the resulting nodes to the search tree
```

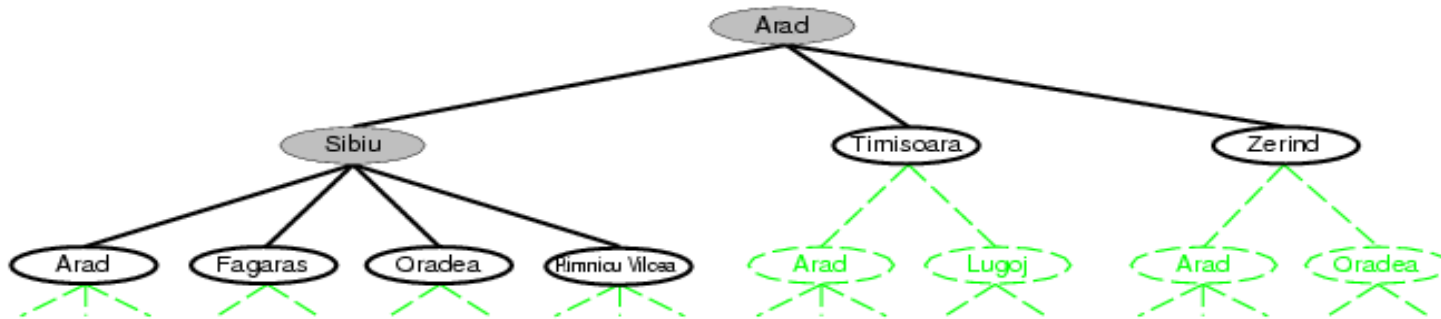
# Tree search example



# Tree search example



# Tree search example





# Implementation: general tree search

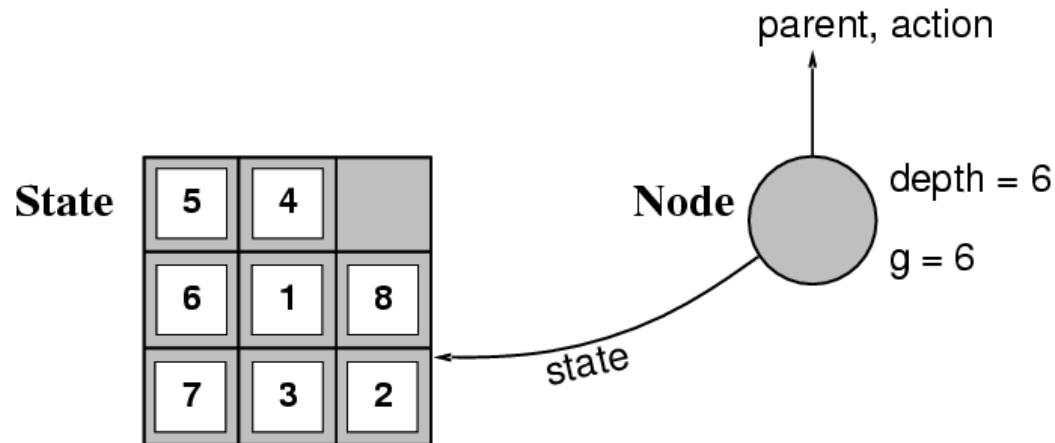
```
function TREE-SEARCH(problem, fringe) returns a solution, or failure
  fringe  $\leftarrow$  INSERT(MAKE-NODE(INITIAL-STATE[problem]), fringe)
  loop do
    if fringe is empty then return failure
    node  $\leftarrow$  REMOVE-FRONT(fringe)
    if GOAL-TEST[problem](STATE[node]) then return SOLUTION(node)
    fringe  $\leftarrow$  INSERTALL(EXPAND(node, problem), fringe)
```

---

```
function EXPAND(node, problem) returns a set of nodes
  successors  $\leftarrow$  the empty set
  for each action, result in SUCCESSOR-FN[problem](STATE[node]) do
    s  $\leftarrow$  a new NODE
    PARENT-NODE[s]  $\leftarrow$  node; ACTION[s]  $\leftarrow$  action; STATE[s]  $\leftarrow$  result
    PATH-COST[s]  $\leftarrow$  PATH-COST[node] + STEP-COST(node, action, s)
    DEPTH[s]  $\leftarrow$  DEPTH[node] + 1
    add s to successors
  return successors
```

# Implementation: states vs. nodes

- A **state** is a (representation of) a physical configuration
- A **node** is a data structure constituting part of a search tree includes **state**, **parent node**, **action**, **path cost  $g(x)$** , **depth**



- The `Expand` function creates new nodes, filling in the various fields and using the `SuccessorFn` of the problem to create the corresponding states.



# Search strategies

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- A search strategy is defined by picking the **order of node expansion**
- Strategies are evaluated along the following dimensions:
  - **completeness**: does it always find a solution if one exists?
  - **time complexity**: number of nodes generated
  - **space complexity**: maximum number of nodes in memory
  - **optimality**: does it always find a least-cost solution?
- Time and space complexity are measured in terms of
  - $b$ : maximum branching factor of the search tree
  - $d$ : depth of the least-cost solution
  - $m$ : maximum depth of the state space (may be  $\infty$ )





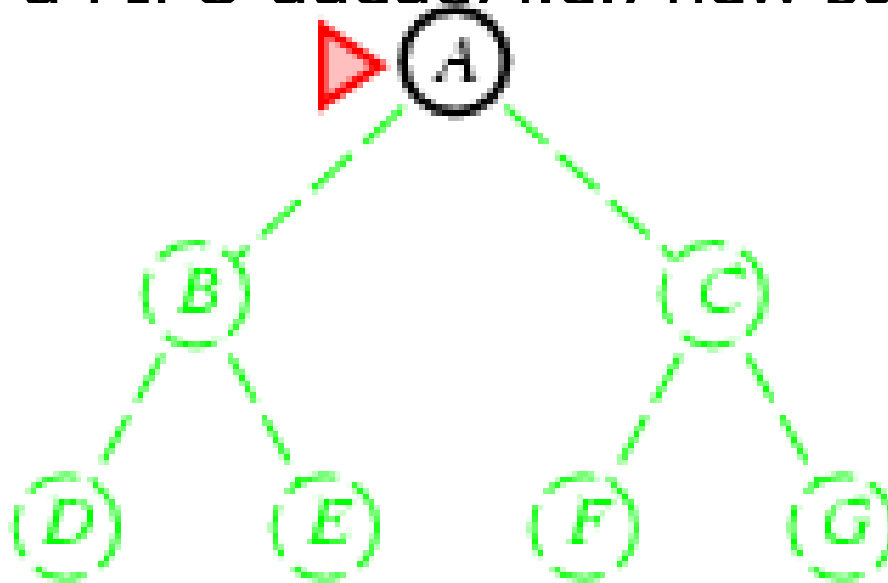
# Uninformed search strategies

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- **Uninformed** search strategies use only the information available in the problem definition
- Breadth-first search
- Uniform-cost search
- Depth-first search
- Depth-limited search
- Iterative deepening search

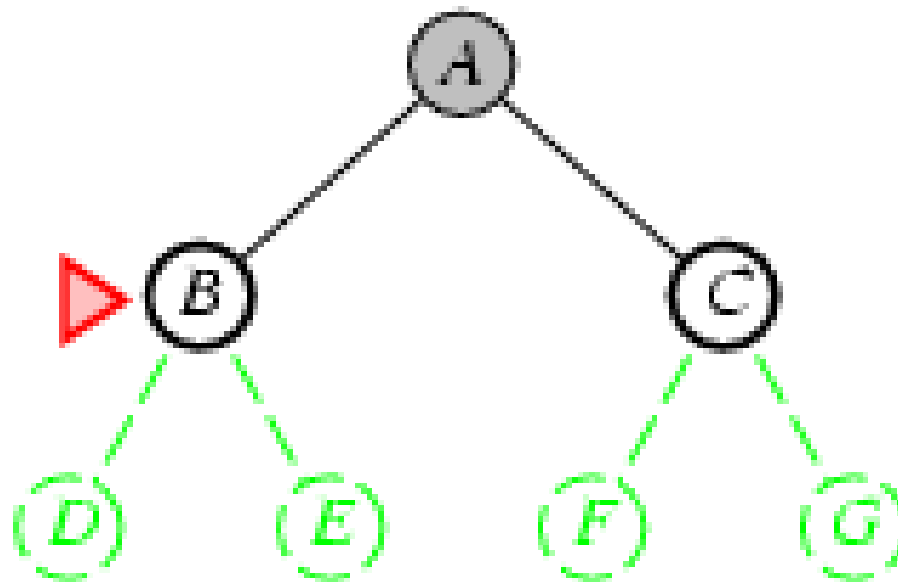
# Breadth-first search

- Expand shallowest unexpanded node
- 
- Implementation:
  - *fringe* is a FIFO queue, i.e., new successors go at end



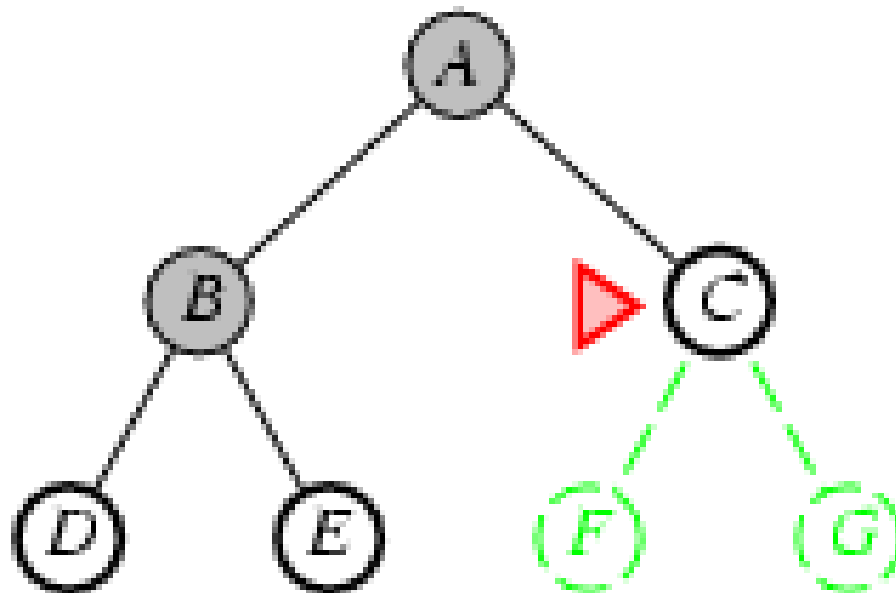
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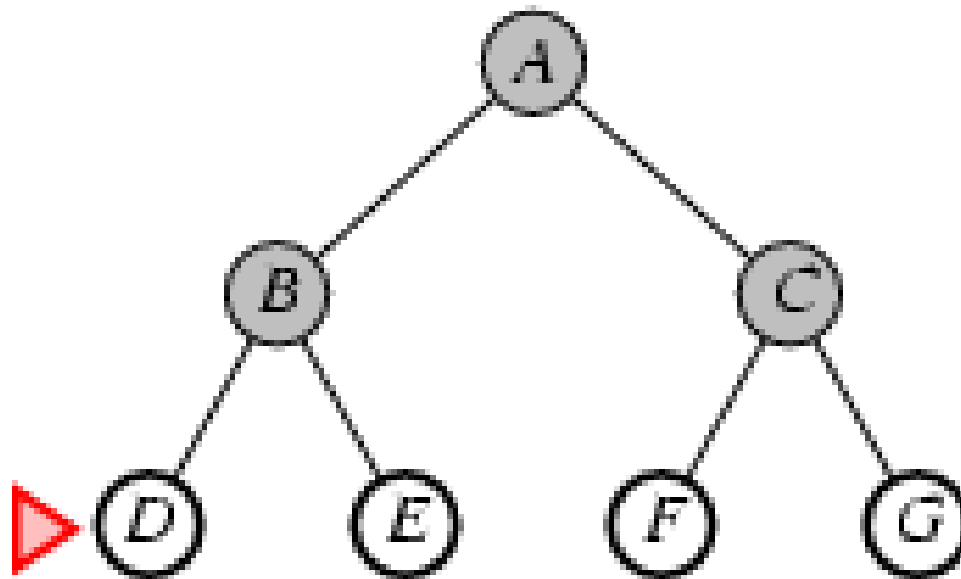
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# Breadth-first search

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# Properties of breadth-first search

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Complete? Yes (if  $b$  is finite)

Time?  $1+b+b^2+b^3+\dots +b^d + b(b^d-1) = O(b^{d+1})$

Space?  $O(b^{d+1})$  (keeps every node in memory)

Optimal? Yes (if cost = 1 per step)

**Space** is the bigger problem (more than time)



# Uniform-cost search

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Expand least-cost unexpanded node

**Implementation:**

*fringe* = queue ordered by path cost

Equivalent to breadth-first if step costs all equal

Complete? Yes, if step cost  $\geq \epsilon$

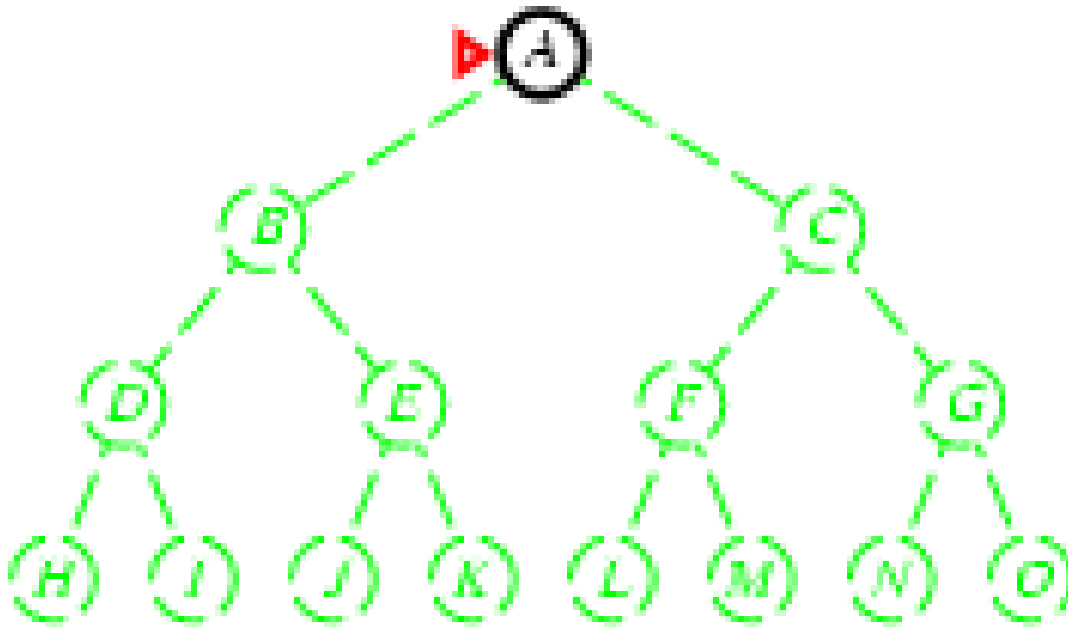
Time? # of nodes with  $g \leq$  cost of optimal solution,  
 $O(b^{\text{ceiling}(C^*/\epsilon)})$  where  $C^*$  is the cost of the optimal solution

Space? # of nodes with  $g \leq$  cost of optimal solution,  
 $O(b^{\text{ceiling}(C^*/\epsilon)})$

Optimal? Yes – nodes expanded in increasing order of  $g(n)$

# Depth-first search

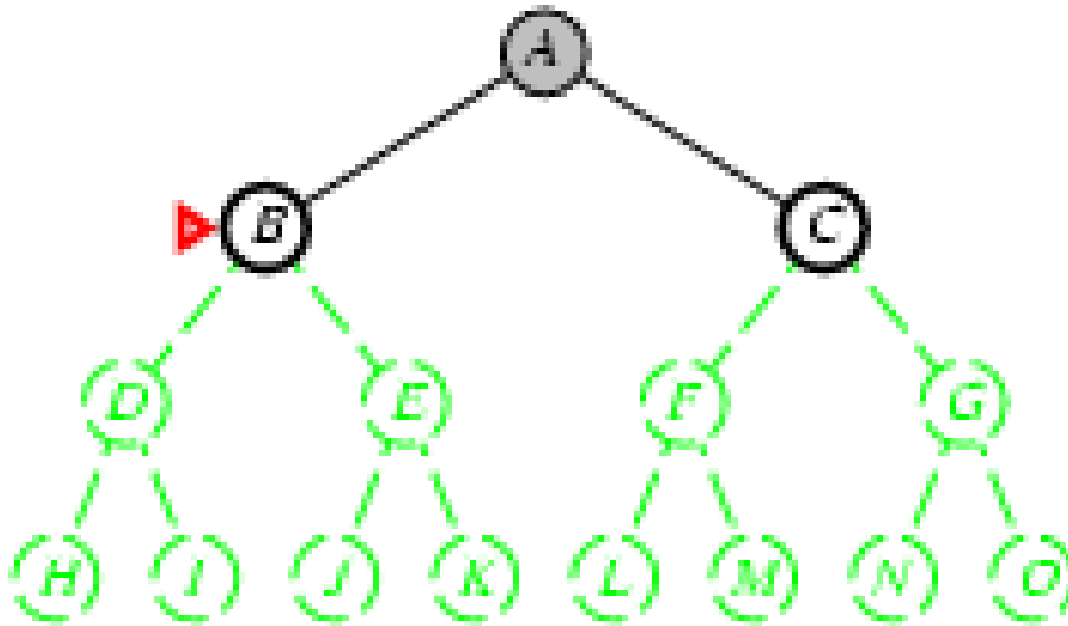
- Expand deepest unexpanded node
- Implementation:
  - *fringe* = LIFO queue, i.e., put successors at front





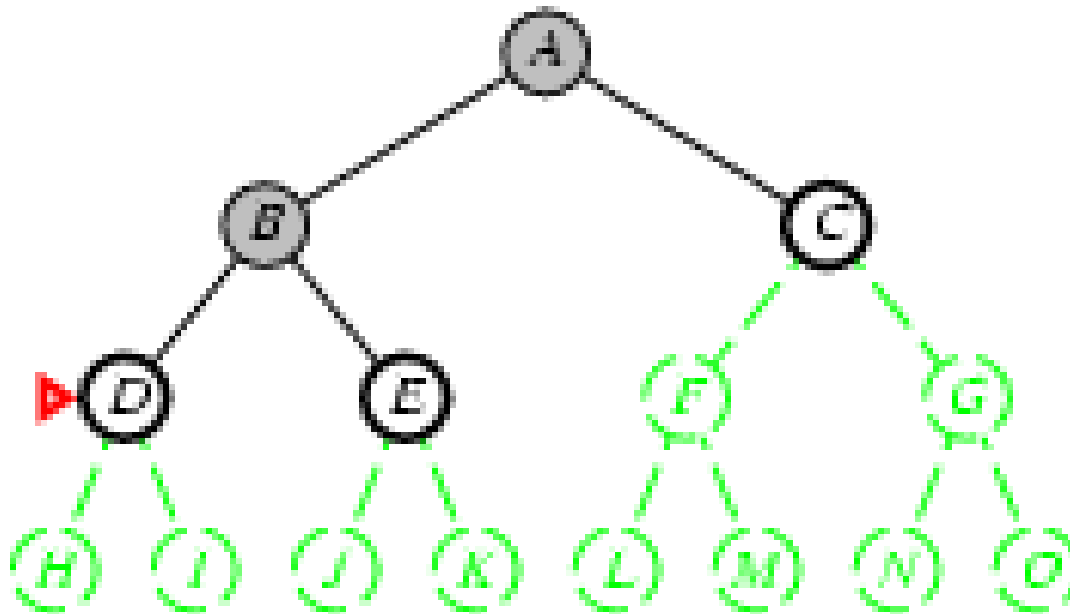
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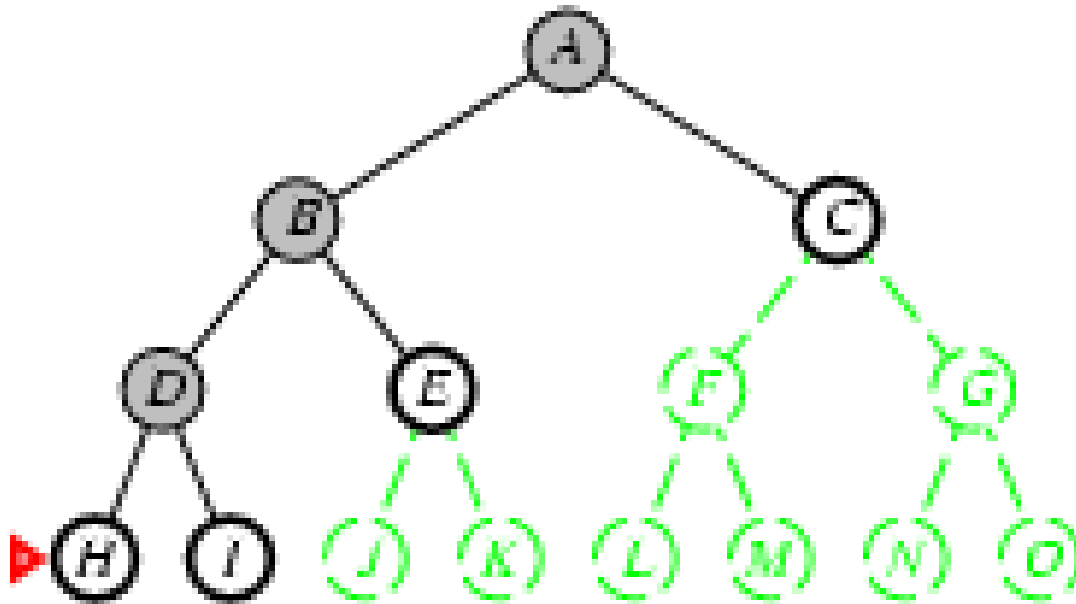
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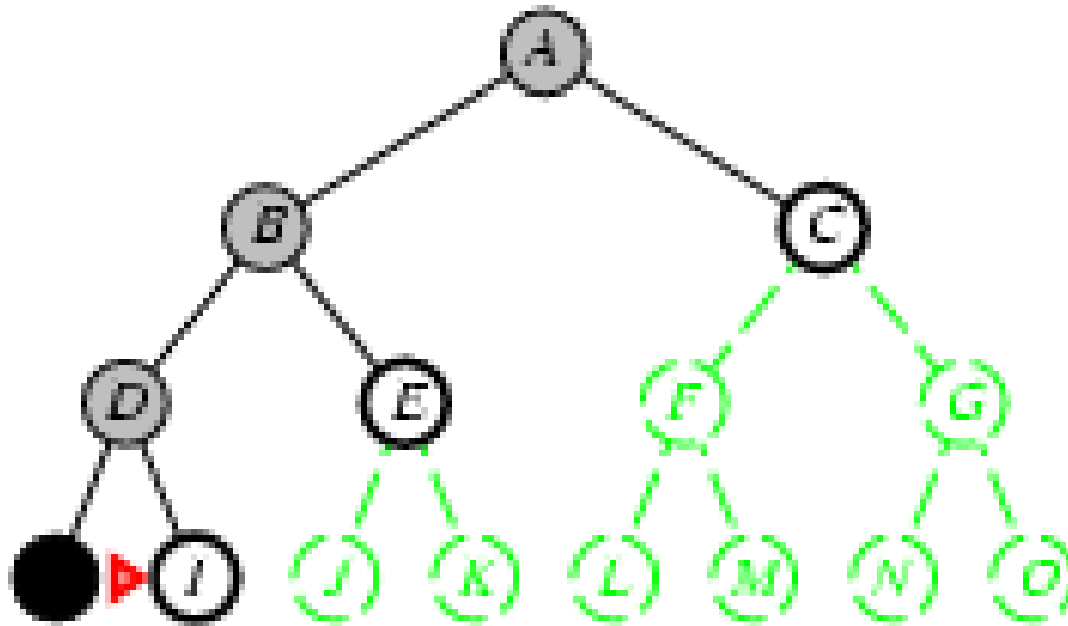
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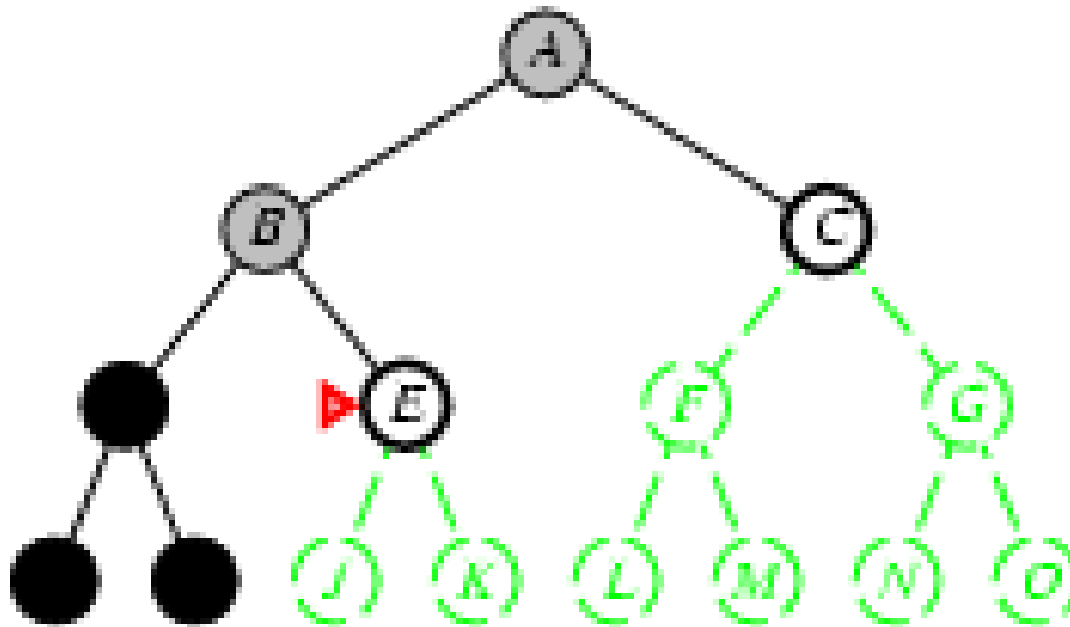
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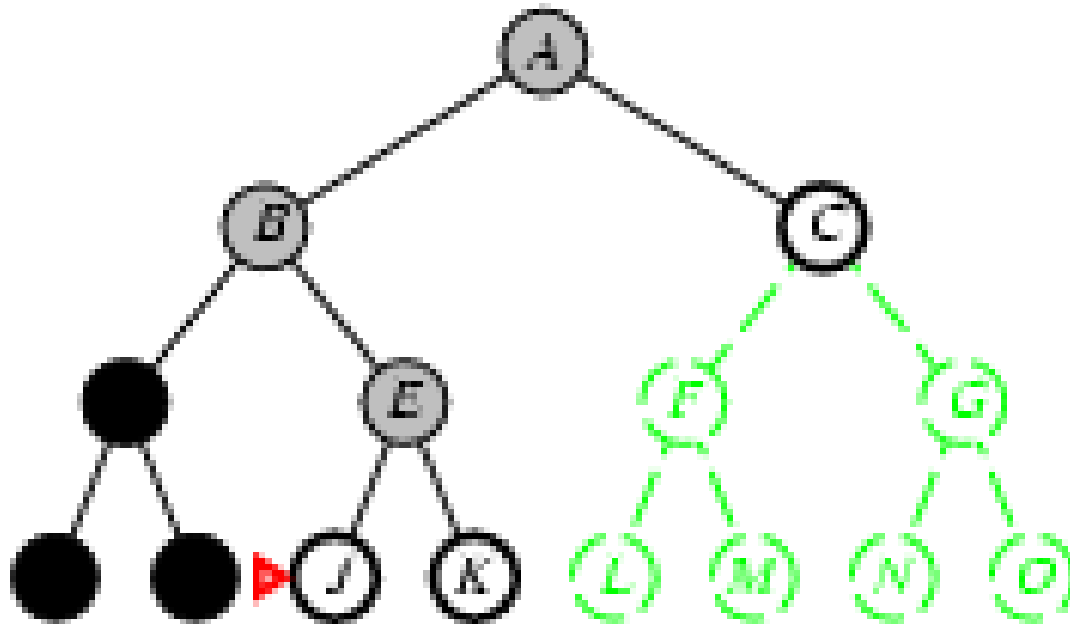
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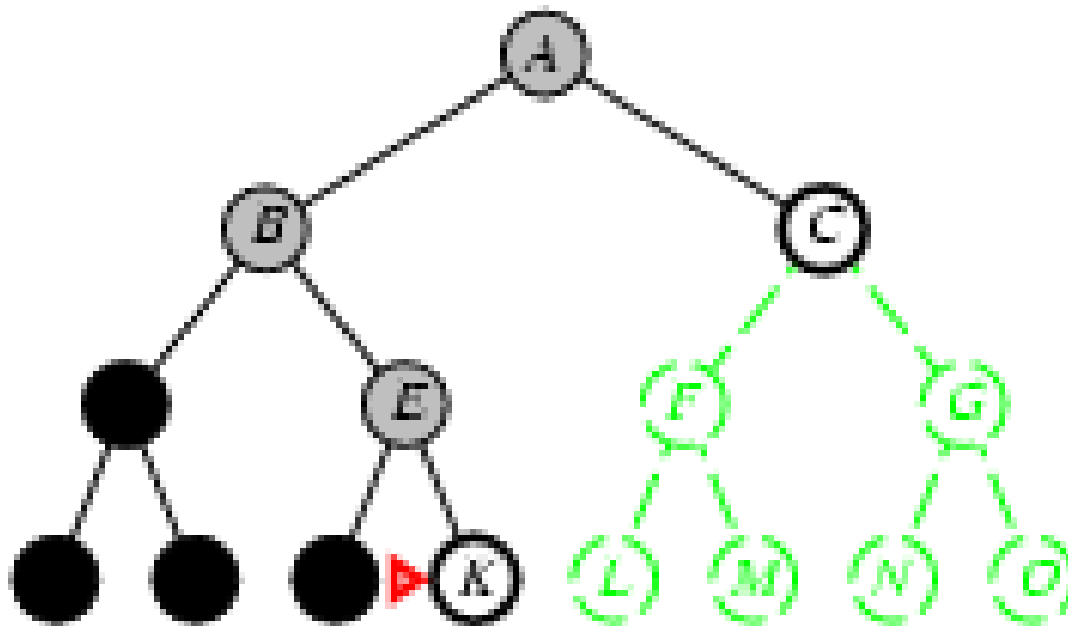
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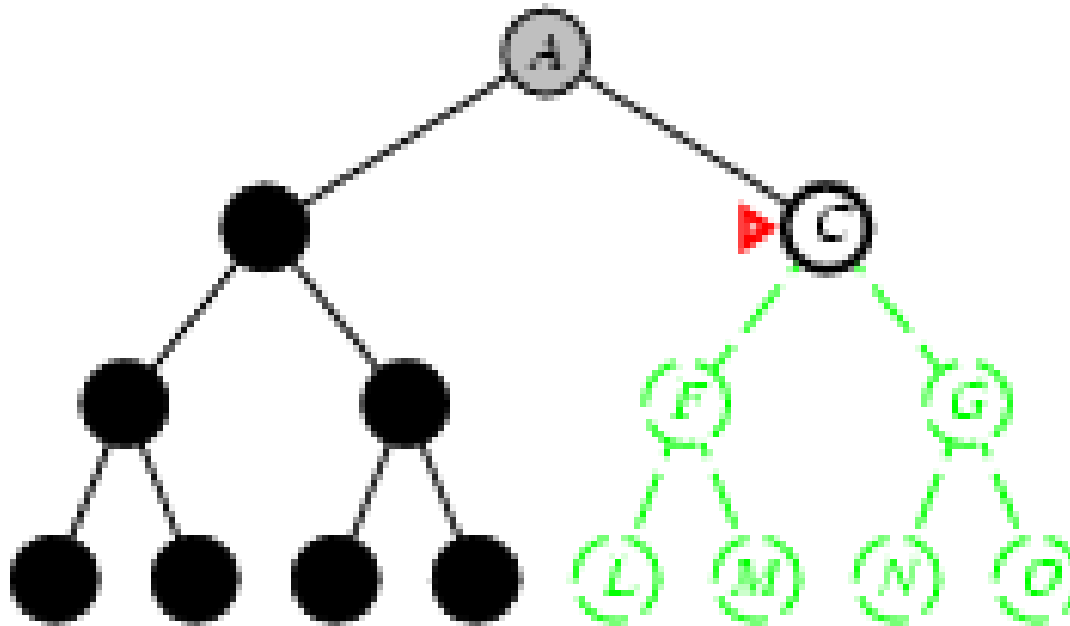
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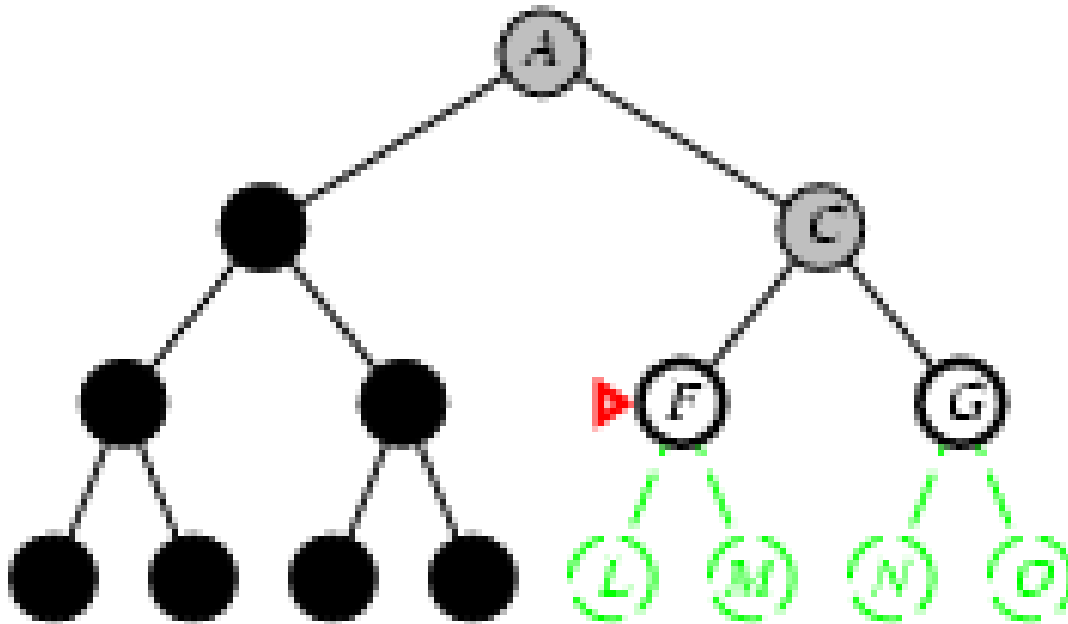
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# Depth-first search

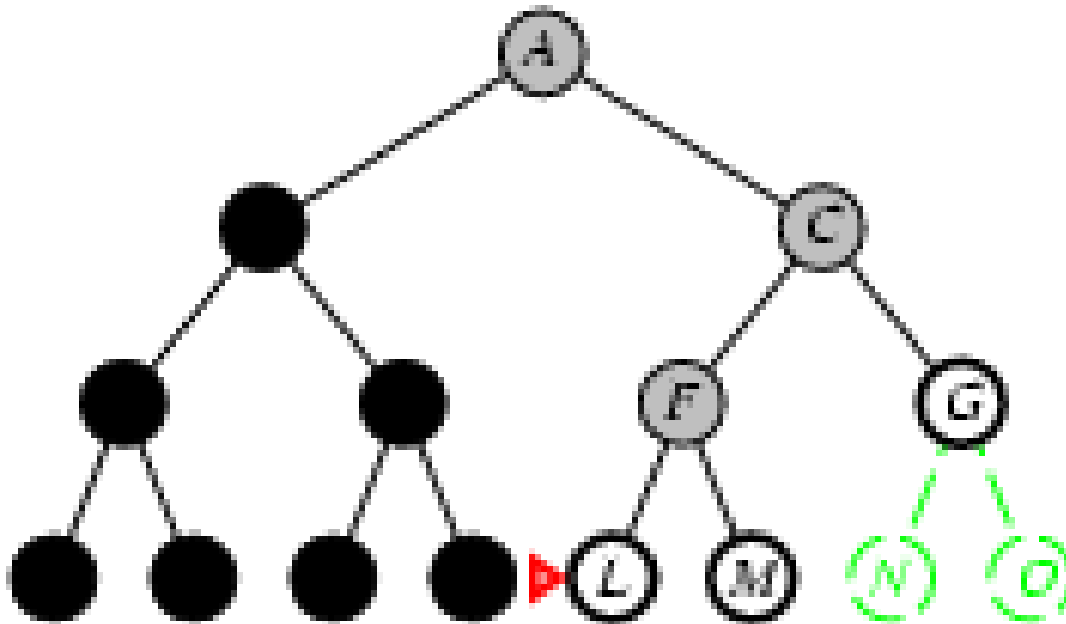
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- Expand deepest unexpanded node

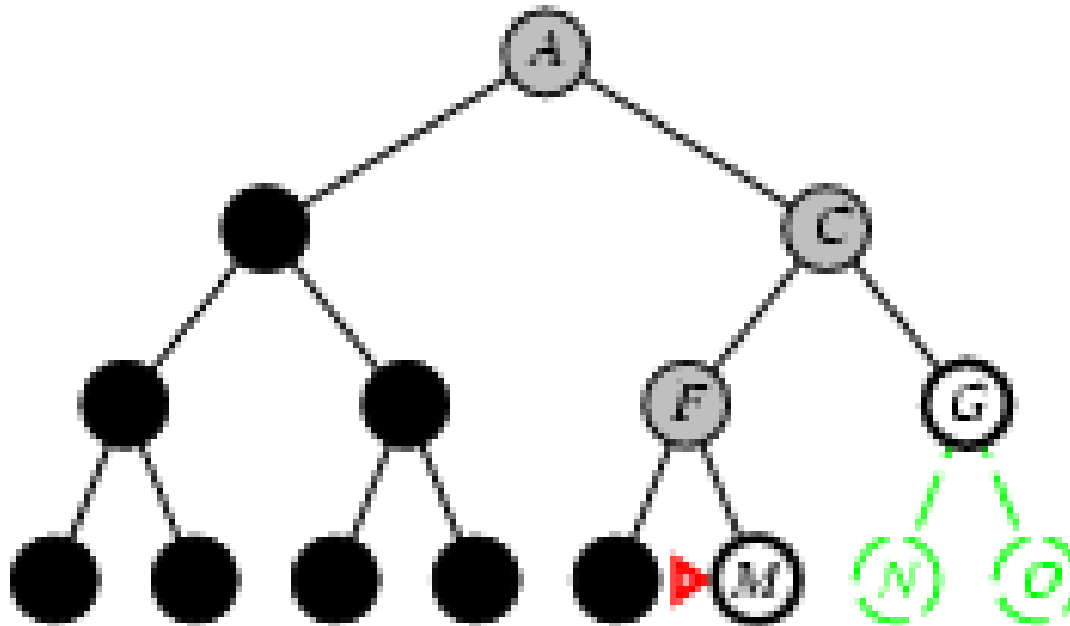
## ■ Implementation:

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# Depth-first search

- Expand deepest unexpanded node
- **Implementation:**
  - *fringe* = LIFO queue, i.e., put successors at front





# Properties of depth-first search

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- Complete? No: fails in infinite-depth spaces, spaces with loops
  - Modify to avoid repeated states along path  
→ complete in finite spaces
- Time?  $O(b^m)$ : terrible if  $m$  is much larger than  $d$ 
  - but if solutions are dense, may be much faster than breadth-first
- Space?  $O(bm)$ , i.e., linear space!
- Optimal? No



# Depth-limited search

= depth-first search with depth limit  $l$ ,  
i.e., nodes at depth  $l$  have no successors

Recursive implementation:

```
function DEPTH-LIMITED-SEARCH(problem, limit) returns soln/fail/cutoff
  RECURSIVE-DLS(MAKE-NODE(INITIAL-STATE[problem]), problem, limit)

function RECURSIVE-DLS(node, problem, limit) returns soln/fail/cutoff
  cutoff-occurred?  $\leftarrow$  false
  if GOAL-TEST[problem](STATE[node]) then return SOLUTION(node)
  else if DEPTH[node] = limit then return cutoff
  else for each successor in EXPAND(node, problem) do
    result  $\leftarrow$  RECURSIVE-DLS(successor, problem, limit)
    if result = cutoff then cutoff-occurred?  $\leftarrow$  true
    else if result  $\neq$  failure then return result
  if cutoff-occurred? then return cutoff else return failure
```



# Iterative deepening search

---

```
function ITERATIVE-DEEPENING-SEARCH( problem) returns a solution, or fail-  
ure  
  inputs: problem, a problem  
  for depth  $\leftarrow$  0 to  $\infty$  do  
    result  $\leftarrow$  DEPTH-LIMITED-SEARCH( problem, depth)  
    if result  $\neq$  cutoff then return result
```

# Iterative deepening search / =0

Limit = 0



# Iterative deepening search / =1

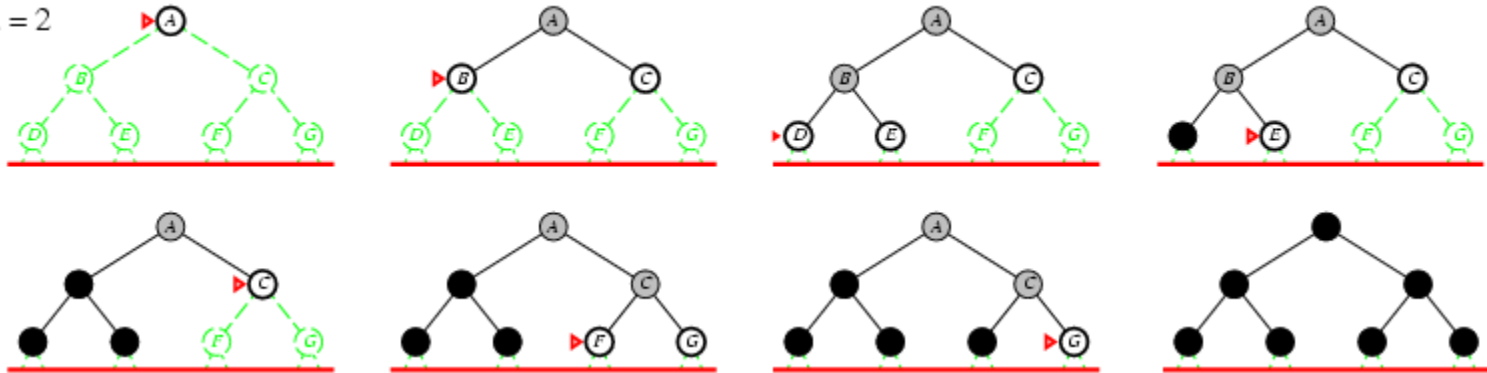
Limit = 1





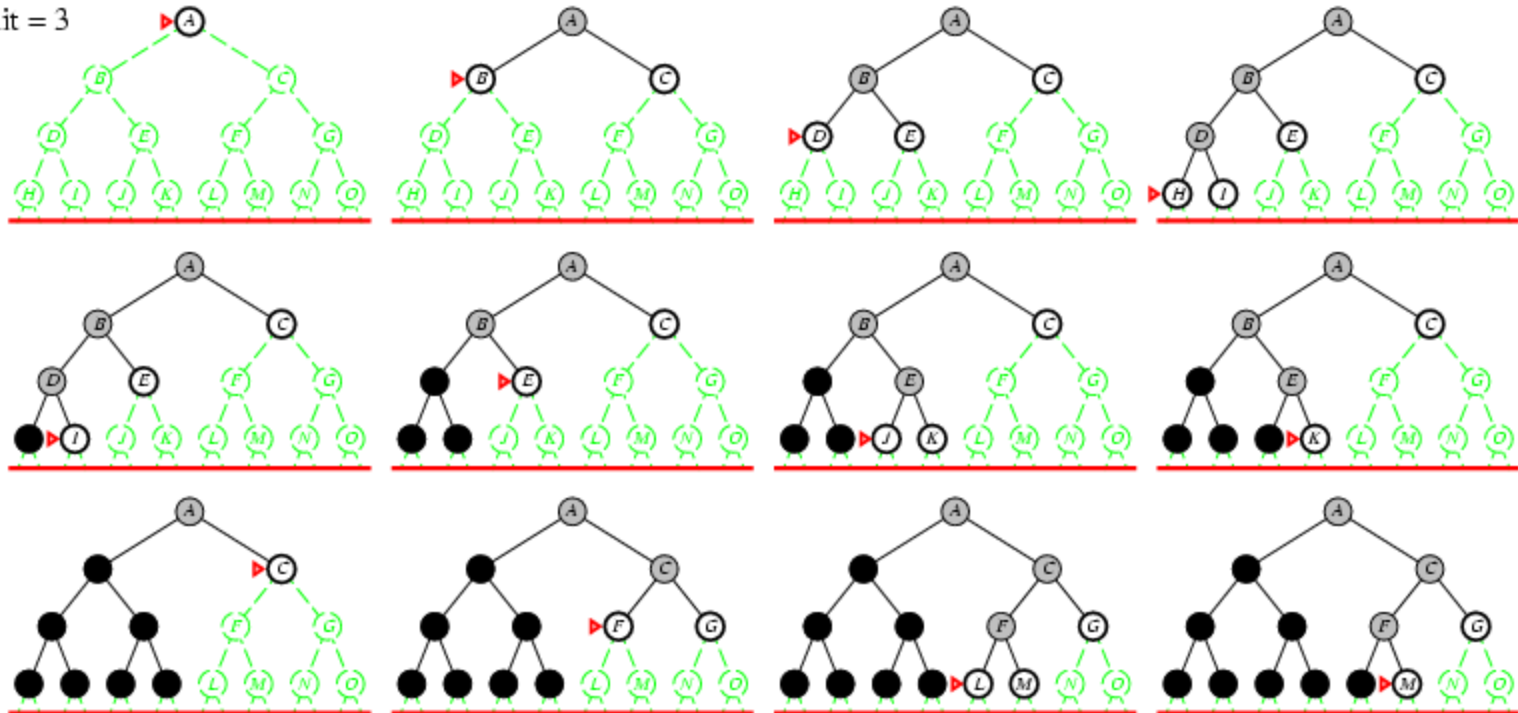
# Iterative deepening search / =2

Limit = 2



# Iterative deepening search / =3

Limit = 3



# Iterative deepening search

- Number of nodes generated in a depth-limited search to depth  $d$  with branching factor  $b$ :

$$N_{DLS} = b^0 + b^1 + b^2 + \dots + b^{d-2} + b^{d-1} + b^d$$

- Number of nodes generated in an iterative deepening search to depth  $d$  with branching factor  $b$ :

$$N_{IDS} = (d+1)b^0 + d b^1 + (d-1)b^2 + \dots + 3b^{d-2} + 2b^{d-1} + 1b^d$$

- For  $b = 10, d = 5$ ,

- $N_{DLS} = 1 + 10 + 100 + 1,000 + 10,000 + 100,000 = 111,111$

- $N_{IDS} = 6 + 50 + 400 + 3,000 + 20,000 + 100,000 = 123,456$

- Overhead =  $(123,456 - 111,111)/111,111 = 11\%$

# Properties of iterative deepening search

---

- Complete? Yes
- Time?  $(d+1)b^0 + d b^1 + (d-1)b^2 + \dots + b^d = O(b^d)$
- Space?  $O(bd)$
- Optimal? Yes, if step cost = 1

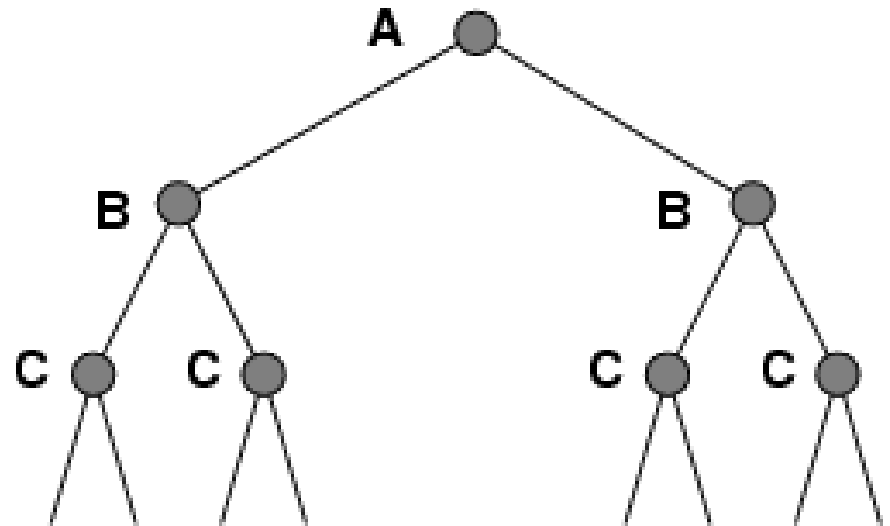
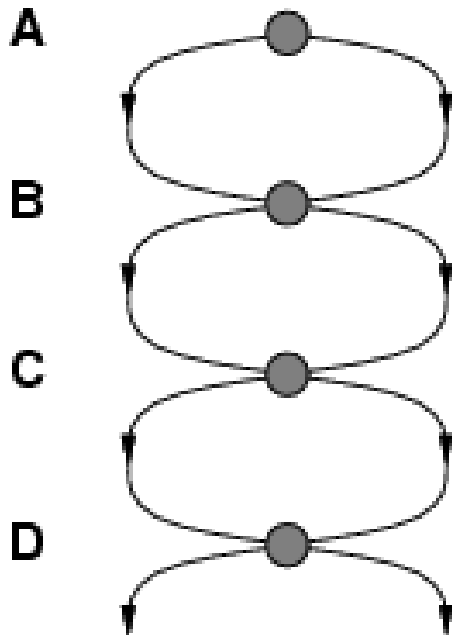


# Summary of algorithms

Criterion	Breadth-First	Uniform-Cost	Depth-First	Depth-Limited	Iterative Deepening
Complete?	Yes	Yes	No	No	Yes
Time	$O(b^{d+1})$	$O(b^{\lceil C^*/\epsilon \rceil})$	$O(b^m)$	$O(b^l)$	$O(b^d)$
Space	$O(b^{d+1})$	$O(b^{\lceil C^*/\epsilon \rceil})$	$O(bm)$	$O(bl)$	$O(bd)$
Optimal?	Yes	Yes	No	No	Yes

# Repeated states

- Failure to detect repeated states can turn a linear problem into an exponential one!





# Graph search

```
function GRAPH-SEARCH(problem, fringe) returns a solution, or failure
  closed ← an empty set
  fringe ← INSERT(MAKE-NODE(INITIAL-STATE[problem]), fringe)
  loop do
    if fringe is empty then return failure
    node ← REMOVE-FRONT(fringe)
    if GOAL-TEST[problem](STATE[node]) then return SOLUTION(node)
    if STATE[node] is not in closed then
      add STATE[node] to closed
      fringe ← INSERTALL(EXPAND(node, problem), fringe)
```



# Summary

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- Problem formulation usually requires abstracting away real-world details to define a state space that can feasibly be explored
- Variety of uninformed search strategies
- Iterative deepening search uses only linear space and not much more time than other uninformed algorithms