

# **Hierarchical Pooling Structure for Weakly Labeled Sound Event Detection**

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#### Abstract

Sound event detection with weakly labeled data is considered as a problem of multi-instance learning. And the choice of pooling function is the key to solving this problem. In this paper, we proposed a hierarchical pooling structure to improve the performance of weakly labeled sound event detection system. Proposed pooling structure has made remarkable improvements on three types of pooling function without adding any parameters. Moreover, our system has achieved competitive performance on Task 4 of Detection and Classification of Acoustic Scenes and Events (DCASE) 2017 Challenge using hierarchical pooling structure.

**Index Terms**: sound event detection, weakly-labeled data, pooling function, hierarchical structure

#### 1. Introduction

The aim of sound event detection (SED) is to detect what types of sound events occur in an audio stream and furthermore, locate the onset and offset times of sound events.

Traditional approaches of SED depend on strongly labeled data, which provides the type and its timestamp (onset and offset time) of each sound event occurrence. But such annotation is too consuming to acquire. In consequence, many researchers begin to focus on the detection of sound events using weakly labeled training data. Weak label represents that training data are annotated with only the presence of sound events and no timestamps are provided.

Google released the weakly labeled Audio Set [1] in 2017, which boosted the development of relevant research community. The Detection and Classification of Acoustic Scenes and Events (DCASE) 2017 Challenge launched a task of large-scale weakly supervised sound event detection for smart cars [2], and it employed a subset of Audio Set.

Common solutions to SED with weak label are based on Multi-Instance Learning (MIL). In MIL, the groundtruth label of each instance is unknown. Instead, we only know the groundtruth label of bags, each containing many instances. A bag is labeled negative if all instances are negative; a bag is labeled positive if at least one instance in it is positive. As shown in Figure 1, in MIL for SED, an audio clip can be considered as a bag, each consisting of several frames. For a specific class of sound events, a clip is labeled positive if target sound event occurs in at least one frame.

To solve the problem of MIL for SED, we usually use neural networks to predict the probabilities of each sound event class occurring in each frame. Then, we need to aggregate the frame-level probabilities into a clip-level probability for each class of sound events. Standard approaches to aggregating the probabilities include max-pooling and average-pooling, and there are also many variants and developments. Kong et al.

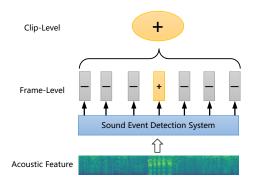


Figure 1: Illustration of Multi-Instance Learning System for sound event detection with weakly labeled data.

[3] proposed an attention model as pooling function, which has been adopted in many works [4, 5, 6]. McFee et al. [7] proposed a family of adaptive pooling operators. Wang et al. [8] compared five pooling functions for SED with weak labeling.

In our paper, we proposed a hierarchical pooling structure to give a better supervision for neural network learning. Proposed pooling structure has improved the performance of three types of pooling functions without any added parameters. We evaluate our methods on DCASE 2017 Challenge Task 4 and our model has shown excellent performance.

### 2. Methods

# 2.1. Baseline System

The mainstream Convolutional Recurrent Neural Network (CRNN) system is implemented as our baseline. The overview of baseline system is illustrated in Figure 2.

We use log mel spectrogram as acoustic feature. The input feature will pass through several Convolutional layers, a Bi-directional Gated Recurrent Unit (Bi-GRU) and a dense layer with sigmoid activation to produce predictions for frame-level presence probabilities of each sound event class.

The architecture of neural networks in our work is similar to that in [4]. As shown in Figure 3, the Convolutional Neural Network (CNN) part consists of four convolutional blocks and a single convolutional layer. Each block contains two gated convolutional layers [9], batch normalization [10], dropout [11] and a max-pooling layer. Max-pooling layers are adopted on both time axis and frequency axis. Note that the frame rate has reduced from 50 Hz to 12.5 Hz due to the max-pooling operations on time axis. The extracted features over different convolutional channels are stacked to the frequency axis before being fed into the Recurrent Neural Network (RNN) part.

The RNN part in our work is based on Bi-GRU. The outputs of forward and backward GRU are concatenated to get final outputs. The hyper-parameters are included in Figure 3.

Finally, a pooling function is adopted to calculate the

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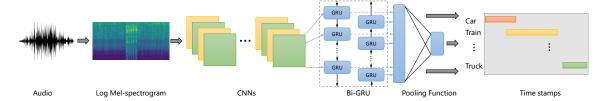


Figure 2: Overview of baseline system.

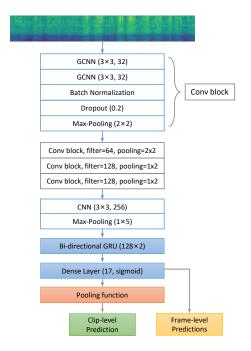


Figure 3: Architecture of neural networks. The first and second dimensions of convolutional kernels and strides represent the time axis and frequency axis respectively. The size of all convolutional kernels is  $3\times3$ .

presence probability of each sound event class in a 10-second audio clip. The choice and usage of pooling function will be specifically explained in the following parts of this section.

For testing, in order to locate the detected sound events, a threshold  $\theta$  is set to the frame-level predictions. Then, we use post-processing methods including median filter and ignoring noise to get the onset and offset times of detected events.

# 2.2. Pooling function

As mentioned above, the design of pooling function is an essential issue in weakly labeled sound event detection. Wang et al. [8] made a comprehensive comparison of five pooling functions (max pooling, average pooling, linear softmax, exponential softmax and attention) in MIL for SED. Those pooling functions are introduced as follows.

Let  $x_i \in [0, 1]$  be the predicted probability of a specific event class occurring at the *i*-th frame. We need a pooling function to make a clip-level prediction. Let  $y \in [0, 1]$  be the clip-level probability, and we have the following equation:

$$y = \frac{\sum_{i=1}^{N} w_i x_i}{\sum_{i=1}^{N} w_i} \tag{1}$$

Table 1: Definition of five pooling functions

Pooling function	Definition	Weight value				
Max pooling	$y = \max_{i} x_i$	$w_i = \begin{cases} 1, & i = \arg\max_i x_i \\ 0, & \text{else} \end{cases}$				
Average pooling	$y = \frac{1}{n} \sum x_i$	$w_i = \frac{1}{n}$				
Linear softmax	$y = \frac{\sum x_i^2}{\sum x_i}$	$w_i = x_i$				
Exp. softmax	$y = \frac{\sum x_i \exp(x_i)}{\sum \exp(x_i)}$	$w_i = \exp\left(x_i\right)$				
Attention	$y = \frac{\sum w_i x_i}{\sum w_i}$	$w_i = h(\mathbf{u})$				

where  $w_i$  is the weight coefficient for  $x_i$ , and N is the number of frames in a clip. Shown in Table 1 is the formula to calculate the weight values  $w_i$  for five types of pooling functions.

In the case of attention pooling function, the weight value  $w_i$  is learnt by a dense layer with softmax activation. And its input  ${\bf u}$  is the same as the input of the dense layer producing  $x_i$ . It is obvious from Table 1 that  $w_i$  is a function of  $x_i$  or  ${\bf u}$ , so we denote this function as:

$$w_i = f(x_i; \mathbf{u}) \tag{2}$$

#### 2.3. Hierarchical pooling structure

Instead of aggregating all N frame-level predictions  $x_i$  to a clip-level prediction y at once, we firstly group N frames into several segments with the length of M to make segment-level predictions  $\hat{x}_j$ . At the same time, the weight values  $w_i$  are also averaged to obtain segment-level weights  $\hat{w}_j$ . Finally, we use the segment-level predictions  $\hat{x}_j$  and weights  $\hat{w}_j$  to get the clip-level prediction y. The entire process is illustrated by the following formulas.

$$\hat{x}_j = \frac{\sum_{i=1+(j-1)M}^{jM} w_i x_i}{\sum_{i=1+(j-1)M}^{jM} w_i}, j = 1, 2, ..., N/M$$
 (3)

$$\hat{w}_j = \frac{\sum_{i=1+(j-1)M}^{jM} w_i}{M}, j = 1, 2, ..., N/M$$
 (4)

$$y = \frac{\sum_{j=1}^{N/M} \hat{w}_j \hat{x}_j}{\sum_{j=1}^{N/M} \hat{w}_j}$$
 (5)

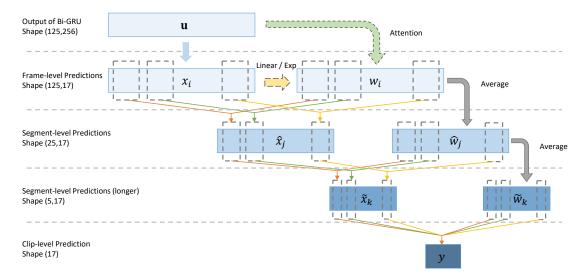


Figure 4: Three-stage hierarchical pooling structure. In linear and exponential softmax pooling, the frame-level weights  $w_i$  derive from frame-level predictions  $x_i$ ; in attention pooling, they are learnt from the output of Bi-GRU. In the first stage, every five frames are aggregated together to get segment-level predictions  $\hat{x}_j$ ; the weights of every five frames are averaged to get segment-level weights  $\hat{w}_j$ . In the second stage, every five segments are aggregated to get longer-segment-level predictions  $\tilde{x}_k$  and every five segment-level weights are averaged to get longer-segment-level weights  $\hat{w}_k$ . In the end,  $\tilde{x}_k$  and  $\tilde{w}_k$  are aggregated to get final clip-level prediction.

#### 2.4. Analysis of hierarchical pooling structure

Before we discuss this structure in depth, we would like to arrive at a proposition: the accuracy of  $\hat{x}_j$  is larger than that of  $x_i$  in a well-trained system. This proposition is intuitively reasonable because it is easier for the system to output correct predictions when the required time resolution gets longer.

According to the theoretical discussion in [8], the process of weight updating is related to  $\frac{\partial y}{\partial x_i}$  and  $\frac{\partial y}{\partial w_i}$ . We take linear softmax pooling function as an example to interpret the function of proposed pooling structure.

In the case of normal single pooling structure,  $w_i = x_i$ ,

$$\frac{\partial y}{\partial x_i} = \frac{2x_i - y}{\sum_{k=1}^{N} x_k} \tag{6}$$

In the case of hierarchical pooling structure,

$$\hat{w}_{j} = \frac{\sum_{i=1+(j-1)M}^{jM} w_{i}}{M}$$

$$= \frac{\sum_{i=1+(j-1)M}^{jM} x_{i}}{M}$$
(7)

$$\frac{\partial y}{\partial x_i} = \sum_{l=1}^{N/M} \left( \frac{\partial y}{\partial \hat{x}_l} \frac{\partial \hat{x}_l}{\partial x_i} + \frac{\partial y}{\partial \hat{w}_l} \frac{\partial \hat{w}_l}{\partial x_i} \right) 
= \frac{x_i + \hat{x}_j - y}{\sum_{k=1}^{N} x_k}, \quad j = \lceil \frac{i}{M} \rceil$$
(8)

As shown in Equation 6 and Equation 8, compared with single pooling structure, the segment-level prediction  $\hat{x}_j$  also contributes to the update of frame-level prediction  $x_i$  in hierarchical pooling structure. As segment-level prediction is more accurate than frame-level prediction, we believe proposed hierarchical pooling structure can provide a better supervision for neural network learning.

Detailed mathematical derivation and analysis of all five pooling functions are available in the appendix of our extended

version<sup>1</sup>. We proved that proposed structure would make no difference on max and average pooling, so we conducted our experiments using the other three pooling functions.

The hierarchical pooling structure used in our work is illustrated in Figure 4. It is a three-stage pooling structure. The number of predicted probabilities for a certain class of sound events in an audio clip decreases from 125 to 25, and then 5, and finally 1.

# 3. Experiments

#### 3.1. Dataset

We demonstrated our experiments on task 4 of DCASE 2017 Challenge [2]. This task contains 17 classes of sound events. The dataset is a subset of Audio Set [1]. The training set has weak labels denoting the presence of a given sound event in the video's soundtrack and no timestamps are provided. For testing and evaluation, strong labels with timestamps are provided for the purpose of evaluating performance.

### 3.2. Experimental Setup

To extract log mel spectrogram feature, each audio is divided into frames of 40 ms duration with 50% overlapping. The input of our system is a  $500\times80$  matrix, where 500 denotes the number of frames and 80 is the number of mel-filter bins.

Our model is trained using Adam optimizer [12]. The initial learning rate is 0.001. The mini batch size is 128. The loss function is categorical cross entropy based on clip-level labels. We use early stop strategy when the validation loss stops degrading for 10 epochs.

### 3.3. Metrics

According to the official instructions of DCASE 2017 Challenge [2], our method is evaluated based on two kinds of

<sup>&</sup>lt;sup>1</sup>https://arxiv.org/abs/1903.11791

Table 2: Performance of single and hierarchical pooling structure, in terms of ER (lower is better) and  $F_1$ -score (%) (higher is better).

Single Pooling Structure							Hierarchical Pooling Structure								
		Sub.	Del.	Ins.	ER	Pre.	Rec.	$F_1$	Sub.	Del.	Ins.	ER	Pre.	Rec.	$F_1$
Development	Linear	0.25	0.18	0.36	0.79	39.00	47.01	42.63	0.19	0.40	0.17	0.76 (3.8%↓)	53.07	41.31	<b>46.46</b> (9.0%↑)
	Exp.	0.29	0.35	0.18	0.82	44.67	37.24	40.62	0.27	0.26	0.26	<b>0.79</b> (3.7%↓)	45.90	45.72	<b>45.81</b> (12.8%↑)
	Att.	0.30	0.34	0.19	0.83	44.68	36.97	40.46	0.25	0.33	0.21	<b>0.79</b> (4.8%↓)	48.17	42.51	45.16 (11.6%†)
Evaluation	Linear	0.21	0.36	0.18	0.76	53.40	43.19	47.76	0.19	0.30	0.20	0.69 (9.2%↓)	56.39	50.78	53.44 (11.8%↑)
	Exp.	0.23	0.35	0.23	0.81	48.35	43.78	45.95	0.23	0.28	0.22	<b>0.73</b> (9.9%↓)	53.40	51.38	<b>52.37</b> (14.0%↑)
	Att.	0.21	0.31	0.27	0.79	46.12	44.43	45.26	0.21	0.28	0.24	<b>0.73</b> (7.6%↓)	52.27	50.58	<b>51.41</b> (13.6%†)

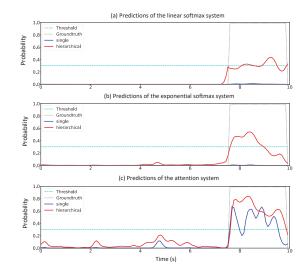


Figure 5: The frame-level predictions of three systems on an evaluation audio clip.

segment-based metrics: the primary metric is segment-based micro-averaged error rate (ER) and the secondary metric is segment-based micro-averaged  $F_1$ -score. ER is the sum of Substitution, Deletion and Insertion Errors, and  $F_1$ -score is the harmonic avergae of Precision and Recall. Each segment-based metric will be calculated in one-second segments over the entire test set. Detailed information can be found in [2]. We use sed\_eval toolbox [13] to compute the metrics.

### 4. Results

#### 4.1. Experimental results

We apply single pooling structure and proposed hierarchical pooling structure to three types of pooling functions. The performance on development and evaluation dataset is shown in Table 2. The percentage in red represents the change rate from single pooling structure to hierarchical pooling structure. Proposed structure can make remarkable improvements in all situations without adding any parameters. It is safe to draw a conclusion that hierarchical pooling structure can improve the performance of weakly-labeled sound event detection system significantly. Besides, linear softmax pooling function outperforms the other pooling functions in all conditions, which corresponds with the experimental results in [8].

Figure 5 illustrates the frame-level predictions of single and hierarchical pooling structures on three pooling functions. In this audio, the sound of train occurs from 7.574 s to 10 s. In linear and exponential softmax, single pooling structure cannot output any positive predictions; on the contrary, hierarchical

Table 3: Comparison with other methods, in terms of ER and  $F_1$ -score (%). We compare proposed system with the following systems: (1)EMSI: 1st place in DCASE 2017; (2) Surrey: 2nd in DCASE 2017; (3) MLMS8: 3rd in DCASE 2017; (4) GCCaps: A Capsule Routing Network proposed in 2018; (5) Wang: Linear softmax system proposed in 2018.

	Devel	opment	Evaluation		
	ER	$F_1$	ER	$F_1$	
EMSI* [14]	0.71	47.1	0.66	55.5	
Surrey* [15]	0.72	49.7	0.73	51.8	
MLMS8* [16]	0.84	34.2	0.75	47.1	
GCCaps [17]			0.76	46.3	
Wang [8]	0.79	45.4			
Proposed	0.76	46.5	0.69	53.4	

\* system using model ensemble;

- - - results not presented in paper.

pooling structure can correctly detect target event. In attention pooling, the predicted probabilities of hierarchical structure are also higher than single structure where the event occurs. Besides, the linear and exponential softmax are more likely to produce deletion errors while attention will result in more insertion errors. This also complies with the analysis in [8].

#### 4.2. Comparison with other methods

Compared with other methods, the performance of our system is also competitive. We compare proposed system with the top 3 teams in DCASE 2017 Challenge and two methods proposed in 2018. Proposed system can outperform most methods except the top 1 system in DCASE 2017 Challenge [14]. Note that the top 1 team utilized the ensemble of multiple systems, which significantly improved its performance. Our system can achieve comparable performance without ensemble.

### 5. Conclusion

In this paper, we proposed a hierarchical pooling structure to solve the problem of Multi-Instance Learning. We applied this strategy to develop a weakly-labeled sound event detection system. Our proposed method can effectively improve the performance in three types of pooling functions without adding any parameters. Besides, our best system can achieve comparable performance with the state-of-the-art systems without the techniques of ensemble. We believe our method can be applied in more applications of Multi-Instance Learning in addition to the field of weakly labeled sound event detection.

# 6. Acknowledgements

This work was supported by the National Natural Science Foundation of China under Grant No. U1836219.

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