

# Today's most frequently used $F_{\theta}$ estimation methods, and their accuracy in estimating male and female pitch in clean speech

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#### Abstract

Variation in fundamental frequency ( $F_{\theta}$ ) constitutes a valuable source of information for researches across many disciplines, with a shared interest in speech. Different methods for estimating  $F_{\theta}$  vary in estimation accuracy and accessibility, and there is yet no gold standard. Through a bibliometric survey, this study examines what methods were the most frequently used in the speech scientific community during the years 2010-2016. Secondly, the most used methods are evaluated against a ground truth reference, with a specific focus on their accuracy in estimating  $F_{\theta}$  in male and female speakers, respectively.

The results show that Praat is the dominant method by far, followed by STRAIGHT, RAPT and YIN. This pattern holds across a range of different research areas, although within Acoustics and Engineering, Praat's dominance is less pronounced. In the evaluation including Praat, RAPT and YIN — with their default and gender-adapted settings — Praat also proved to be the most accurate. The finding that adapting Praat's pitch range settings by gender leads to further improvements should encourage researchers to do this routinely.

**Index Terms**: fundamental frequency, pitch tracking, pitch estimation, speech prosody, intonation.

## 1. Introduction

Estimation of the fundamental frequency  $(F_{\theta})$ , or pitch tracking, underlies all data-driven analyses of intonation. Although large datasets require automatic procedures, there are known uncertainties involved in using existing methods, raising reliability as an obvious concern. However, although different  $F_{\theta}$  estimation methods are in use, there is no gold standard. This investigation sets out to survey which  $F_{\theta}$  estimation methods are currently the most well-spread, and to bench-mark these against a ground truth reference.

Information regarding  $F_0$  in speech is relevant to a range of different research areas and applications. In basic linguistic research,  $F_0$  characteristics have been linked to various linguistic and pragmatic functions, e.g. conversational contrast [1] or interrogative signaling [2]. In dialogue systems, real-time analysis of the  $F_0$  contour may guide the interpretation of a speaker's intentions [3]. In a clinical context,  $F_0$  is an important feature in the description of atypical prosodic and vocal features [4]. Within the field of acoustics, new pitch tracking algorithms are continuously suggested, for clean recordings of single speakers as well as for more challenging conditions. However, it is unclear to what extent these algorithms are accessible to researchers in other disciplines.

Many approaches exist for estimating  $F_0$ . In phonetic and linguistic research, Praat [5] has been referred to as "the de facto standard speech analysis program" [6], and is indeed a wellspread software for the acoustic analysis of speech. For  $F_{\theta}$ estimation, the default method provided in Praat is the autocorrelation function [5]. Another flavor of the autocorrelation method is available in YIN [7], which has been referred to as "one of the most popular and most efficient methods of pitch estimation" [8]. The RAPT [9] algorithm (also referred to as ESPS or get f0) is another well-known method, which instead uses a cross-correlation function. In most, if not all, speech analysis frameworks, users can adjust parameters like framerate and pitch range, to tailor their analysis to their purpose.  $F_{\theta}$  estimation accuracy varies across speakers, with accuracy generally being lower for female speakers than for male speakers [10]. As shown by Vogel and colleagues [11], adjusting the pitch-range settings by gender may improve  $F_0$ estimation accuracy, even to levels comparable to applying individualized speaker settings. However, little is known of whether such adjustments are generally made, and of the degree of improvement across different  $F_0$  estimation approaches.

Many evaluations of  $F_0$  estimation methods have been presented during the years, for conditions involving different challenges (e.g. clean speech [10] [12], noisy conditions [8], multi-speaker conditions [13], and for singing voice [14]). According to Pirker et al. [15], YIN and RAPT are the best performing algorithms for  $F_{\theta}$  estimation in single speakers. Camacho [10] substantiated this statement, in finding YIN and RAPT performing at comparable levels, whereas Praat's autocorrelation method performed slightly worse. According to a more recent evaluation by Ghahremani and colleagues [16], many off-the-shelf pitch trackers (YIN and RAPT included) are outperformed by Kaldi Pitch [16], an algorithm specifically tuned for automatic speech recognition. Such differences in evaluation outcomes may be contributed to different methodological choices. For example, whereas some evaluations base their statistics on instants where all candidate trackers agree on voicing (e.g. [10] [16]), the voiced/unvoiced decision is treated like a feature of the candidate trackers in other evaluations (e.g. [8] [17]). Hence, comparing outcomes across evaluations is not always straightforward. Additional concern may be raised regarding the fact that not all evaluations include the same trackers; this indicates that the selection of trackers is somewhat arbitrary.

The accuracy of estimated glottal activity (as reflected in  $F_{\theta}$  frequency) is best evaluated with reference to recorded actual glottal activity, e.g. as described in [18]. The CSTR [18], the Mocha-TIMIT [19] and the Keele [20] corpora are well-known resources containing parallel recordings of laryngograph and microphone signals. A more recent database is the PTDB-TUG

corpus [15], which includes more speakers and more recorded sentences than previous resources. For a general purpose evaluation of  $F_{\theta}$  estimation approaches, PTDB-TUG therefore appears as the best available reference today.

Without quantitative evidence, impressions that some  $F_{\theta}$  estimation approaches are more widely used than others remain subjective. Although evaluations of existing methods have been made, the selection of included approaches has not necessarily reflected their usage in the scientific community. Moreover, validations against ground truth references of smaller size may need to be updated when better reference materials become available. And finally, although evidence holds that adapting pitch range settings by speaker gender may improve  $F_{\theta}$  estimation accuracy, it is yet unknown to what extent such adjustments are actually made, and to what gain. The present paper addresses these concerns by investigating the following research questions:

- What F<sub>θ</sub> estimation methods have been used the most within the wide speech scientific community, during the last 5 years?
- 2. How do these methods perform, when used "as-is", and evaluated against the best available ground truth reference?
- 3. For each of the methods evaluated, how is estimation accuracy affected by adapting settings by speaker gender?

A bibliometric survey addressing the first research question is described in Section 2. Based on this survey, the most frequently used approaches are then evaluated against a ground truth reference; this evaluation is described in Section 3. Lastly, the findings are summarized and discussed in Section 4.

# 2. Bibliometric survey

## 2.1. Data retrieval

The first research question, relating to what  $F_0$  estimation methods are currently in use, was explored through a bibliometric survey using the Web of Science (WoS), within its Core Collection. The search terms used to extract publications (articles or proceedings papers) were a) any of the terms pitch, intonation, fundamental frequency or f0, together with any of the terms b) track\*, estimat\*, curve, contour, slope, rising or falling. Any one of the two terms speech or voice was also included as a required term. (For the speech alternative, the string *prosod\** was specified as a required term.) Research areas included were Acoustics, Computer Science, Communication and Linguistics<sup>1</sup>. The search was performed in the Web of Science on March 20, 2016, and included publications between 2010 and 2016. Based on these criteria, the search resulted in 360 hits. After the manual exclusion of publications describing non-human sounds (e.g. animals or musical instruments), 351 items remained.

#### 2.2. Data analysis

The 351 publications were manually examined with regards to whether they included any  $F_{\theta}$  estimation, and – if so – what

<sup>1</sup> In WoS, publications on phonetics (e.g. Journal of Phonetics) are listed under the research area Linguistics.

specific method was used. If  $F_{\theta}$  values were referred to in the publication without any explicit specification regarding how these were derived, this was also noted.  $F_{\theta}$  estimation settings were noted if such were explicitly stated.

Information regarding WoS research area classification was registered for all publications. In this analysis, many publications were counted multiple times, reflecting the fact that publications may represent more than one research area.

## 2.3. Bibliometric results

74 of the retrieved 351 publications were not accessible online, neither through the author's university library, nor through a Google Scholar search. Of the remaining 277 publications, 128 contained no information regarding  $F_{\theta}$  estimation. Of these, a substantial proportion constituted reports of  $F_{\theta}$  modelling or resynthesis (n = 53, e.g. [21], [22]), thus implicitly involving analysis of  $F_{\theta}$ . In these, Hz values were often reported but with no explicit information regarding how these were derived. A minor proportion (n = 25) were publications that neither directly nor indirectly involved any  $F_{\theta}$  analysis, e.g. in reviews like [23], or in studies based on existing ToBI-annotated data, e.g. [24].

Six publications included more than one  $F_{\theta}$  estimation algorithm [6] [14] [25]-[28]. For ease of interpretation, only the 143 publications where one  $F_{\theta}$  estimation method was specified are included in the presentation in Table 1. As seen, in the majority of the publications where one  $F_{\theta}$  estimation method was specified, this method is Praat [5]. Of the 11 cases where information regarding the specific estimation algorithm was unavailable, but where software environment was specified, 3 had employed Snack [29], 2 had used CSL<sup>3</sup>, whereas the remaining 6 had all been performed in different environments. In 17 of the 143 publications, the output from the automatic  $F_{\theta}$  estimation was checked (and potentially modified) manually. In 9 of the 80 publications based on  $F_{\theta}$  estimation in Praat, the default pitch range setting (75-500 Hz) was modified across all speakers (n = 5), adapted by gender (n = 2), or by speaker (n = 2).

Table 1. Methods used in the 143 publications where one F<sub>0</sub> extraction algorithm was specified.

Extraction method	# of publications				
Praat	80				
RAPT	12				
N/A*	11				
STRAIGHT [30]	8				
YIN	5				
SWIPE [31]	3				
Hu & Wang [32]	2				
Others**	22				
Total	143				

<sup>\*</sup> Information regarding the  $F_{\theta}$  analysis environment was specified, whereas the specific method was not.

The 143 publications where one  $F_{\theta}$  estimation method was specified were also analyzed with regards to what research area

<sup>\*\*</sup> Each appearing in only one publication.

<sup>&</sup>lt;sup>2</sup> In some of these publications, the authors referred to another publication for details regarding how  $F_{\theta}$  data had been derived. Such second-hand references were not included in this analysis. <sup>3</sup> Computerized Speech Lab, PENTAX.

they represented. In this analysis, 7 areas represented by only one publication each were collapsed into a single category: "Other areas". As indicated in Figure 1, Praat is the dominant method (> 60% of the publications) in most research areas. Only within Engineering and Acoustics, Praat's dominance is less pronounced, falling below 50%. (NB: Figure 1 illustrates that, for example, YIN is represented in 7 research areas, which may seem contradictory to the data in Table 1, where YIN is reported in only 5 publications. However, this reflects the fact that a publication may represent more than one research area.)

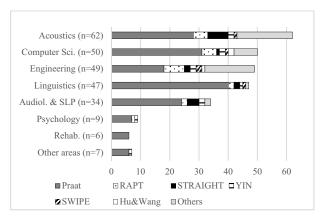


Figure 1. Number of publications using the different  $F_0$  estimation methods across different research areas.

## 3. Evaluation

#### 3.1. Speech data

The PTDB-TUG corpus [15] was used as a ground truth reference. This corpus contains 4720 recorded sentences from 20 speakers (10 male and 10 female). The sentences are recorded both through microphone and laryngograph at a 48 kHz sampling rate, with a 16 bit resolution. From this material, the reference  $F_{\theta}$  trace – as extracted by means of RAPT [9] from the high-pass filtered laryngograph signal as described in [15] – was used as the ground truth. Microphone signals were used as input to the  $F_{\theta}$  extraction as described below.

## 3.2. $F_{\theta}$ estimation

Three of the four most frequently used  $F_{\theta}$  estimation methods, as reported above, were used: Praat [5], RAPT [9] and YIN [7]. These were selected on the basis of their frequency of use, and of their potential to run "as-is", e.g. without relying on voicing decisions from other sources. (For this reason, STRAIGHT was not included in the analysis.)

For all three methods,  $F_0$  values were computed in steps of 0.01 seconds, thus matching the frame rate in the reference material. All methods were implemented with two different configuration settings; one with their respective default settings (described below), and one with pitch range values adapted by gender, according to the recommendations in the online Praat manual [33]. Hence, for male speakers, the pitch range was set to 75-300 Hz, and for female speakers to 100-500 Hz.

For  $F_{\theta}$  estimation in Praat, the standard autocorrelation method was used. Default values were used in the standard configuration setting, with a pitch search range of 75-500 Hz. For the gender-adapted settings, the increased pitch floor from 75 to 100 Hz in the female settings results in a reduced frame

rate (93 frames/sec instead of 100 frames/sec in the default/male settings). To compensate for this reduction, the number of frames in the generated female pitch files was stretched by interpolation by a factor of 1.07.

RAPT was run through an implementation in Snack [29], with default settings (method: ESPS, maxpitch: 400 Hz, minpitch: 60 Hz, window length: 0.0075). In the gender-adapted version, pitch range was adapted as described above.

YIN was run through a Matlab implementation available at [34]. In the standard configuration, default settings as described in [7] were used (minf0: 30 Hz and maxf0: SR/(4\*dsratio), threshold: 0.1). In the gender-adapted configuration, pitch range settings were adapted as described above.

#### 3.3. Analysis

The  $F_{\theta}$  traces yielded by each of the three extraction methods were each evaluated against the reference  $F_{\theta}$  traces in the PTDB-TUG corpus. In accordance with [8], the following evaluation metrics were used:

- Gross Pitch Error (GPE): the proportion of frames

   considered voiced by both pitch tracker and ground truth where the relative pitch error is higher than 20%.
- **Fine Pitch Error (FPE)**: the standard deviation of the distribution of relative error values (in cents) from the frames that do not have gross pitch errors.
- Voicing Decision Error (VDE): the proportion of frames for which an incorrect voiced/unvoiced decision is made.
- **F0 Frame Error (FFE)**: the proportion of frames for which an error (either according to the GPE or the VDE criterion) is made. FFE can be considered a single measure of overall performance [17].

## 3.4. Evaluation results

Table 2 displays the results of the evaluation of the different  $F_{\theta}$ estimation methods, for female and male speakers, and for the group as a whole. It is clear from the figures in Table 2 that Praat's overall performance, as estimated by the FFE, is better than that of both RAPT and YIN. However, it is also clear that this pattern is largely driven by Praat's superior accuracy in detecting voicing, particularly when compared to YIN; in this respect, YIN does not at all meet the performance of the other trackers. A closer look at the YIN's inaccurate voicing decisions reveals that a majority of these errors (93% in the default setting, and 79% in the gender-adapted setting) are cases of over-identification of voicing. It should be observed, however, that on frames where the three candidate trackers agree with the reference data on voicing (66-75% for YIN, as compared to 95% for Praat), YIN is more accurate (as measured both by GPE and FPE) than the other two candidates, although the advantage over Praat is quite marginal.

Adaption of pitch range settings by gender is most beneficial for Praat, whereas the positive effects of a similar adaption for RAPT and YIN are less obvious. For YIN, in fact, the gender-adapted settings generally lead to deteriorated accuracy. For Praat, however, the adapted settings benefits the  $F_0$  estimation accuracy for both female and male speakers. However, not even in the gender-adapted version of Praat does the FFE for female speakers reach the performance on male speakers in the non-adapted/default version.

	Female speakers				Male speakers			All speakers				
Method	GPE	FPE	VDE	FFE	GPE	FPE	VDE	FFE	GPE	FPE	VDE	FFE
	(%)	(cents)	(%)	(%)	(%)	(cents)	(%)	(%)	(%)	(cents)	(%)	(%)
Praat (def.)	2.33	30.47	5.06	7.39	1.83	37.10	4.87	6.70	2.09	33.83	4.96	7.05
Praat (m/f)	2.10	28.85	4.82	6.92	1.28	34.82	4.18	5.47	1.69	31.91	4.51	6.20
RAPT (def.)	3.89	41.87	8.88	12.77	5.47	48.17	6.81	12.29	4.67	44.95	7.86	12.53
RAPT (m/f)	3.86	41.90	8.39	12.25	4.90	48.13	7.51	12.41	4.37	44.86	7.96	12.33

32.61

29.40

26.78

33.34

27.90

34 65

1.39

1.56

Table 2. Evaluation results for the three  $F_0$  estimation methods, with default (def.) and gender-adapted (m/f) settings.

# 4. Discussion

31.18

25.52

24 17

34.28

25.83

36.08

1.12

1.32

1.66

1.80

YIN (def.)

YIN (m/f)

By surveying the last five years' literature for speech-related studies involving  $F_{\theta}$  estimation, the present investigation has identified Praat, RAPT, STRAIGHT and YIN as the most frequently used. By evaluating three of these methods against a state-of-the-art ground truth reference, it was further shown that the most frequently used method – Praat – was also the most accurate. Moreover, the comparison of gender-adapted pitch range settings against default settings revealed that – at least for Praat – accuracy could be further improved by applying different settings for male and female speakers.

A secondary finding in the bibliometric survey is the distribution of different  $F_\theta$  estimation methods across research areas. Praat was found to hold a position as the dominant method across a wide range of research areas, such as Linguistics, Computer Science and Audiology & Speech-Language Pathology. On the other hand, many methods or algorithms are reported in single or few studies, particularly within Engineering and Acoustics. Considering that these are the areas where much of the development of new methods presumably takes place, this should not come as a surprise. Time will tell if any of these methods will be more frequently used in the future. A rather discomforting discovery was that many studies report  $F_\theta$  values but with no specification regarding how these were derived. From the perspective of replicability and reliability, this is – of course – unfortunate.

In any bibliometric survey, there is a chance that the search criteria may not cover all relevant publications. This risk is present also here. Moreover, with only one person performing the manual lookup of the publications, reliability may be questioned. However, the task of identifying whether or not  $F_\theta$  estimation method is explicitly reported, and – in case it is – what method this is, is quite straightforward, and does not rely on subjective interpretation. Therefore, data noise of this type should not be a major concern.

Regarding the evaluation, there are some aspects that deserve to be discussed. First, the high error rates observed for YIN on voicing decisions are not surprising, considering that YIN is designed only to provide  $F_{\theta}$  estimates, treating the voiced/unvoiced decision as a separate issue [8] [7]. From this perspective, the suboptimal evaluation results for YIN may be considered unfair. However, for the purpose of this investigation, the different estimation methods were intended to be used "as is", with default settings. In other contexts, coupling YIN with voicing decisions from another source is a way of improving the  $F_{\theta}$  estimates [12]. One may argue that YIN is disprivileged also in the default configuration settings, as the pitch range is wider than in the default settings of the other two candidates. YIN's default settings (with 40 Hz as the

lower pitch threshold) are presumably less tailored to speech than the other two candidates. However, the fact that YIN with its default configuration actually performs better than when pitch range settings are adapted by gender, serves to indicate that this potential disfavor does not have a major effect.

31.85

27.49

25 47

33.81

26.86

35.38

The fact that RAPT is used both in the processing of laryngograph data (available in the PTDB-TUG corpus) and as a candidate  $F_{\theta}$  estimation method, one may argue that this method is given an advantage in the evaluation. Hence, the evaluation results for RAPT may overestimate its actual performance.

It should be acknowledged that the findings presented here are generalizable only to similar conditions. Hence, it is reasonable to assume that the evaluation results generalizes to other situations involving clean recordings of single adult speakers; however, they may not extend to more challenging conditions like speech-in-noise or pathological speech samples. Moreover, researchers may want to analyze the accuracy of different  $F_{\theta}$  estimation methods in more detail; in such contexts, tools like WinPitch [6] may provide better assistance than a general evaluation of the kind presented here.

As scientific progress is continuous, the current investigation will need to be extended with the inclusion of new  $F_{\theta}$  estimation methods when such methods become available. By relying on publically available data (the PDTB-TUG corpus), and standard evaluation outcome measures, the experimental setup can easily be replicated by others.

In the absence of a golden standard for the analysis of  $F_{\theta}$  in speech science, deciding what method to use is an ad hoc choice. The present study has addressed this unsatisfying state of affairs by identifying which methods are currently the most frequently used, and by evaluating their performance against the currently best available ground truth reference. The findings should provide comfort to researchers who rely on (one of) the most accessible tools available for  $F_{\theta}$  estimation – Praat – that their estimations are among the most accurate (if not the most accurate) that can be achieved. Also, the evaluation gives a reasonable estimation of the error rates that can be expected. Moreover, the finding that adapting pitch range settings by gender leads to further improvements in accuracy – at least for Praat – should encourage researchers to do this routinely.

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