



SEQUENCE MODELING INTRODUCTION TO RNNs

Deep Neural Networks

Session 18

Pramod Sharma

pramod.sharma@prasami.com

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Agenda


- Sequence Modeling
- Introduction to RNN
- Different Architectures
- Language Modelling
- Image Captioning

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Examples – Sequence Modelling

Domain	Data Type	Output type
Speech Recognition	Audio	Words (text)
Music Creation	Nodes (\emptyset)	Audio 
Sentiment classification	... an enjoyable one-time-watch for the funny punchlines, far-out characters and performances. But the unconvincing story and the temperate screenplay prevent it from reaching its full potential ...	Integers (Stars ratings from 1 to 5)
Machine Translation	डीएनएन व्याख्यानमाला आपले स्वागत आहे।	Welcome to DNN Lecture.
Named Entity Recognition	Mohan was driving a Maruti	Mohan was driving a Maruti
Video activity recognition	Sequence of Video Frames	Identify activity say running

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Sequence Modeling – Named Entity Recognition

□ x : Mohan was driving a Maruti

□ y: 1 0 0 0 1

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Sequence Modeling – Named Entity Recognition

□ x : <Mohan Sharma> was driving a <Maruti 800>

□ y : 1 0 0 0 1

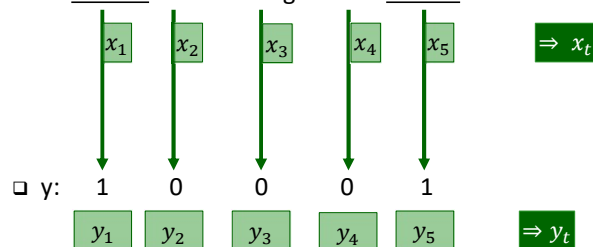
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Sequence Modeling – Named Entity Recognition

□ x : Mohan was driving a Maruti



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Representing Words

- ❑ Vocabulary = [a, aakash, aamaan... to zulu, zyzzogeton]
 - ❖ Also referred as corpus
 - ❖ Two more tokens <UNK> and <EOS>
- ❑ Can be converted to one hot encoding

❑ x : Mohan was driving a Maruti

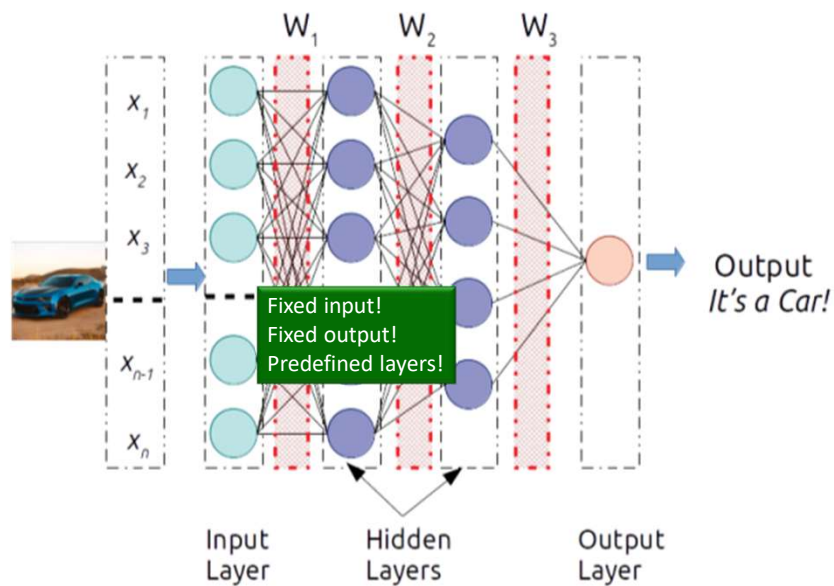
0	0	0	1	0
0	0	0	0	0
—	—	1	—	—
—	—	—	—	—
—	—	—	0	1
1	—	—	—	—
—	1	—	—	—
0	0	0	0	0

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Using Standard Architecture



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To Summarize....

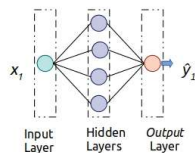
- ❑ Not all problems can be converted into one with fixed length inputs and outputs
- ❑ Problems such as Speech Recognition or Time-series Prediction require a system to store and use context information
- ❑ Hard/Impossible to choose a fixed context window
- ❑ There can always be a new sample longer than anything seen

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What is Recurrent Neural Network...



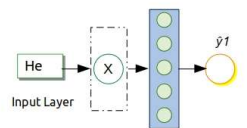
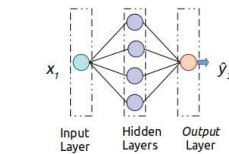
- ❑ Remember our little Neural Network...
- ❑ Let's simplify the layout a little

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What is Recurrent Neural Network...



Simple Feed- Forward Network

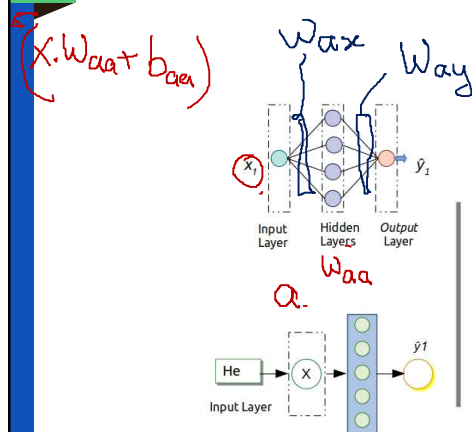
- It takes one value and gives probability of it being a word or character or a value

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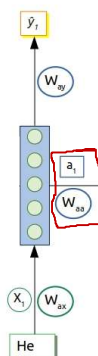
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What is Recurrent Neural Network...



Simple Feed- Forward Network

- Let's also calculate activations a_1 and weights W_{aa}
- Assume that we have some method of calculating them
- At the moment both W_{ax} and W_{aa} would seem to be same

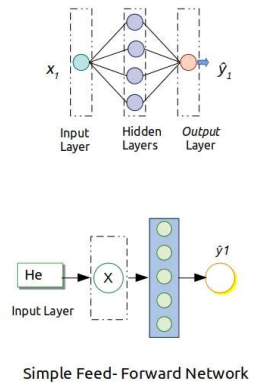


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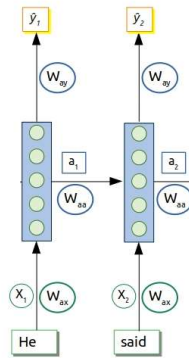
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What is Recurrent Neural Network...



- Using the weights and activations, read X_2 and process it through the network
- Calculation of \hat{y}_2 will be based on X_2 , W_{ax} , W_{aa} and a_1 ,

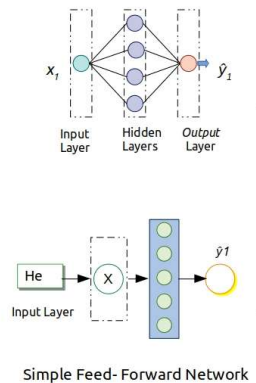


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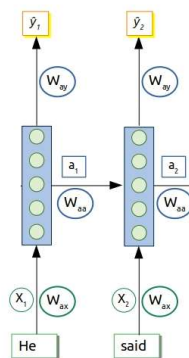
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What is Recurrent Neural Network...



- But it makes two set of calculations
- Using different formulae
- To make it consistent let's initialize a_0 with weights W_{aa}



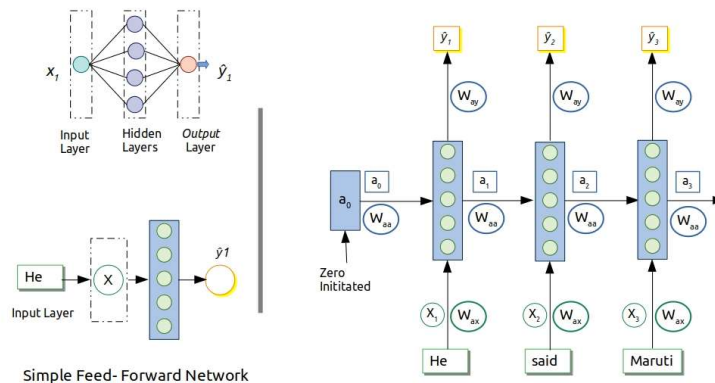
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What is Recurrent Neural Network...

- Similarly we can calculate \hat{y}_3



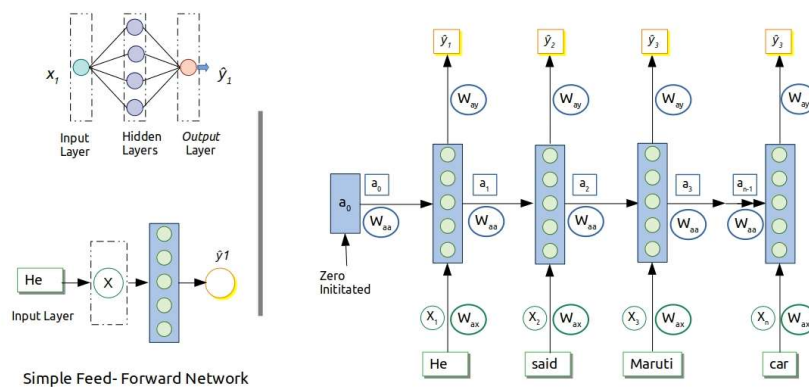
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What is Recurrent Neural Network...

- And continue till end,
- Some literatures represent it with a loop,

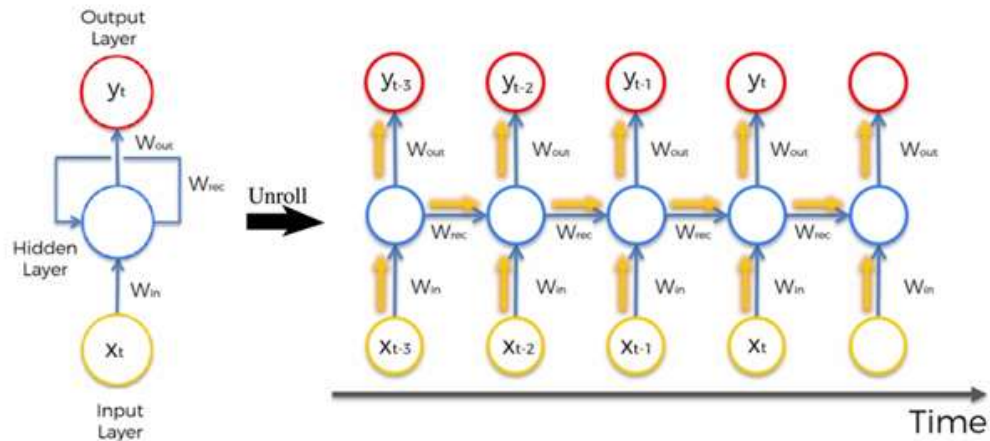


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Alternate Representations

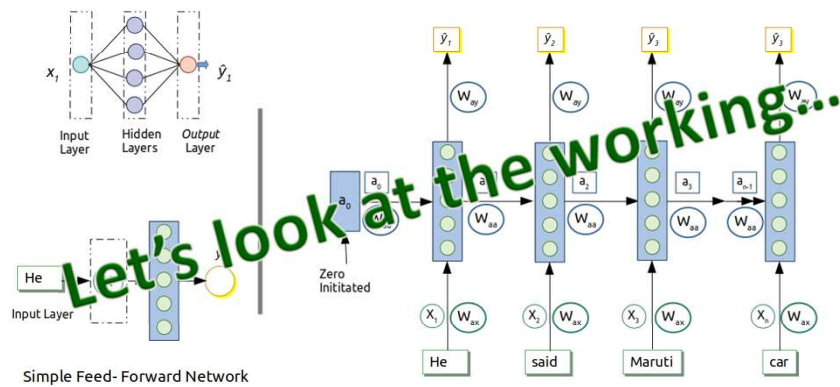


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What is Recurrent Neural Network...

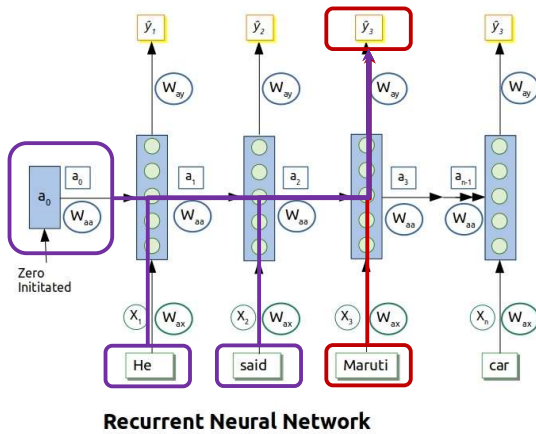


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What is Recurrent Neural Network...



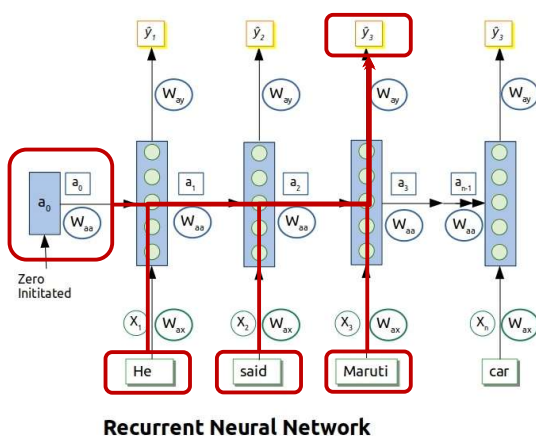
- Taking activations from previous time step also
- The W_{ax} and W_{aa} are shared parameters across all time steps
- So, for calculation of \hat{y}_3 would be influenced by those for \hat{y}_2 and \hat{y}_1

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What is Recurrent Neural Network...



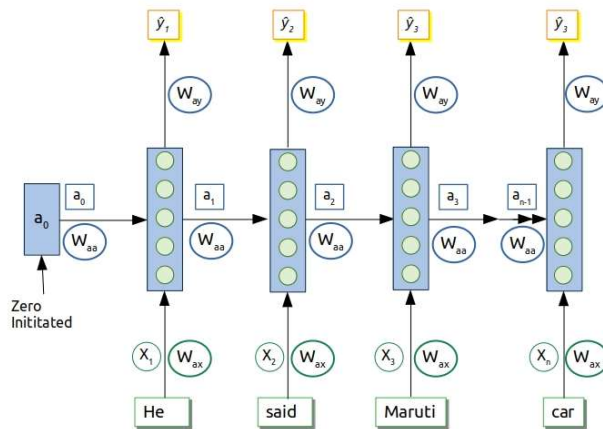
- It is using the information till time step 3.
 - ❖ He said "Maruti..."
- However, it has no clue what comes next!!!
 - ❖ He said "Maruti is most fuel efficient car"
 - ❖ He said "Maruti is most expensive shop"
 - ❖ He said "Maruti is strongest"

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That's is Recurrent Neural Network...



Recurrent Neural Network

- ❑ Its it great!
- ❑ All done... sealed, signed, and delivered...
- ❑ Wait... let's do some math too....

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What We Know So Far....

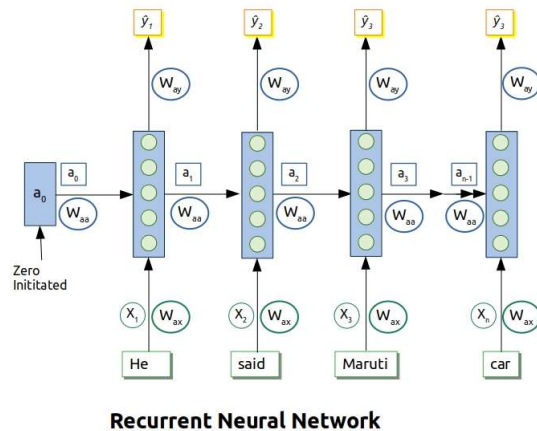
- ❑ Recurrent Neural Networks take the previous output or hidden states as inputs.
- ❑ The composite input at time ' t ' has some historical information about the happenings at time ' $T < t$ '.
- ❑ RNNs are useful as their intermediate values (state) can store information about past inputs for a time that is not fixed a priori
- ❑ Note that the weights are shared over time
- ❑ Essentially, copies of the RNN cell are made over time (unrolling/unfolding), with different inputs at different time steps

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Forward Propagation



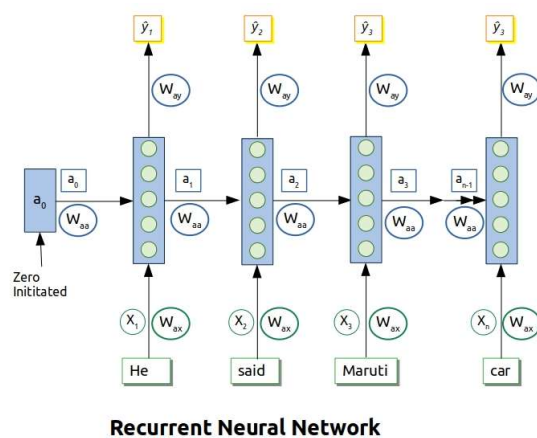
- Let's work on equations

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Forward Propagation



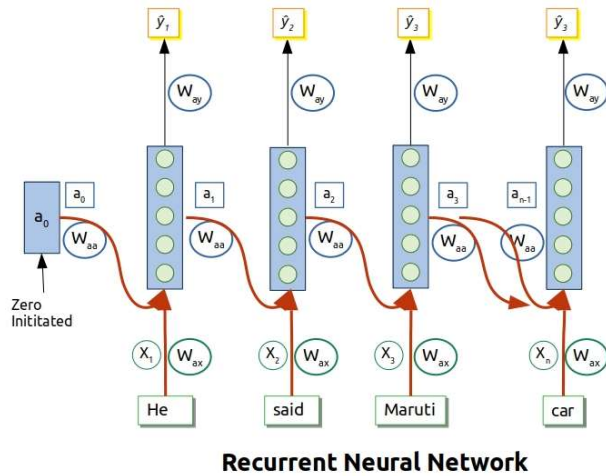
- To start with; a_0 is vector of all zeros
 - $a_1 = g_1 (a_0 \cdot W_{aa} + X_1 \cdot W_{ax} + b_a) \rightarrow \text{Tanh / ReLU}$
 - $\hat{y}_1 = g_2 (a_1 \cdot W_{ay} + b_y) \rightarrow \text{Sigmoid/Softmax (for classification)}$
- Tanh Activation function is more prevalent in RNN
 - Sometime ReLU too is used
- For output layers, the activation function will depend on type of output
- Generally, at 't' we can write
 - $a_t = g_1 (a_{t-1} \cdot W_{aa} + X_t \cdot W_{ax} + b_a)$
 - $\hat{y}_t = g_2 (a_t \cdot W_{ay} + b_y)$

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Forward Propagation



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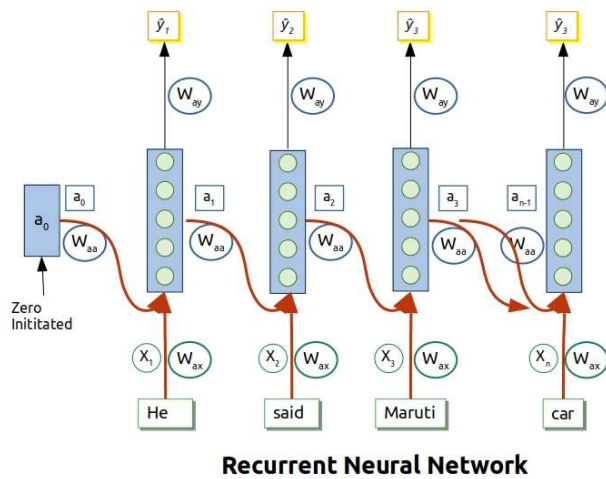
□ Our equations

$$a_t = g_1(a_{t-1} \cdot W_{aa} + x_t \cdot W_{ax} + b_a)$$

$$\hat{y}_t = g_2(a_t \cdot W_{ay} + b_y)$$

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Forward Propagation



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□ Our equations

$$a_t = g_1(a_{t-1} \cdot W_{aa} + x_t \cdot W_{ax} + b_a)$$

$$\hat{y}_t = g_2(a_t \cdot W_{ay} + b_y)$$

□ Can be written as:

$$a_t = g_1([a_{t-1}, x_t] \cdot W_a + b_a)$$

$$\hat{y}_t = g_2(a_t \cdot W_y + b_y)$$

where W_a will be stacked matrix of W_{aa} and W_{ax}

$$W_a = \begin{bmatrix} W_{aa} \\ W_{ax} \end{bmatrix}$$

Similarly ,

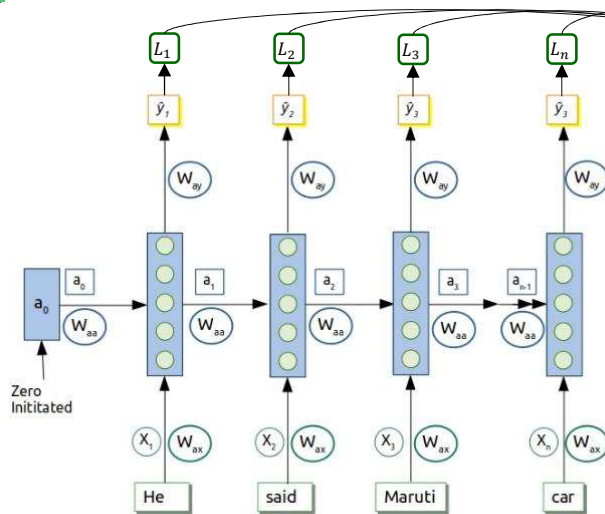
$$[a_{t-1}, x_t] = [a_{t-1} \mid x_t]$$

We know that :

$$[a_{t-1} \mid x_t] \cdot \begin{bmatrix} W_{aa} \\ W_{ax} \end{bmatrix} = a_{t-1} \cdot W_{aa} + x_t \cdot W_{ax}$$

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Back Propagation



Recurrent Neural Network

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- At time step 't'; Loss Function for single prediction

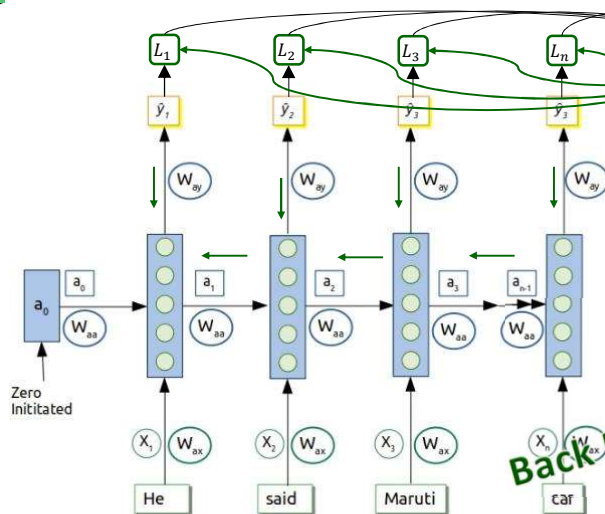
$$L_t(\hat{y}_t, y) = -y_t \cdot \log(\hat{y}_t) - (1 - y_t) \cdot \log(1 - \hat{y}_t)$$

- Sum of losses at all time steps:

$$L(\hat{y}, y) = \sum_{t=1}^{T_x} L_t(\hat{y}_t, y)$$

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Back Propagation



Recurrent Neural Network

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- Forward propagation:
- $$a_t = g_1([a_{t-1}, x_t] \cdot W_a + b_a)$$
- $$\hat{y}_t = g_2(a_t \cdot W_y + b_y)$$

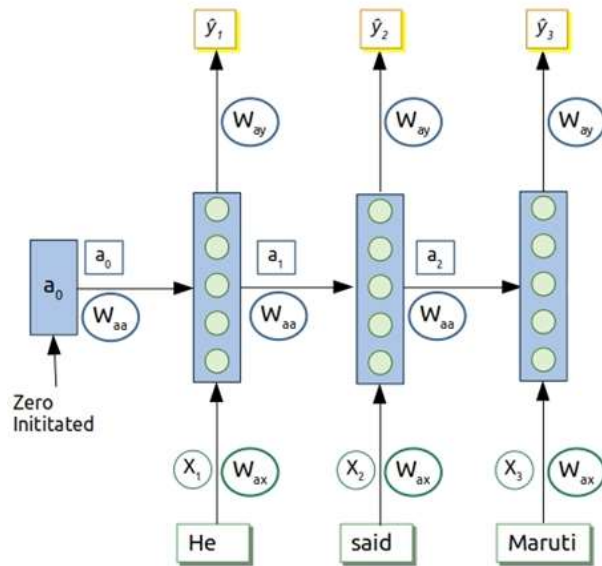
- Loss Function

$$L_t(\hat{y}, y) = -y_t \cdot \log(\hat{y}_t) - (1 - y_t) \cdot \log(1 - \hat{y}_t)$$

Back Propagation through Time.

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Back Propagation Through Time...



Forward propagation:

$$a_t = g_1([a_{t-1}, x_t] \cdot W_a + b_a)$$

$$\hat{y}_t = g_2(a_t \cdot W_y + b_y)$$

Loss Function :

$$L_t(\hat{y}, y) = -y_t \cdot \log(\hat{y}_t) - (1 - y_t) \cdot \log(1 - \hat{y}_t)$$

Step 3:

$$\frac{dL_3}{dw_y} = \frac{dL_3}{d\hat{y}_3} \cdot \frac{d\hat{y}_3}{dw_y}$$

$$\frac{dL_3}{dw_a} = \frac{dL_3}{d\hat{y}_3} \cdot \frac{d\hat{y}_3}{da_3} \cdot \frac{da_3}{dw_a} + \frac{dL_3}{d\hat{y}_3} \cdot \frac{d\hat{y}_3}{da_3} \cdot \frac{da_3}{da_2} \cdot \frac{da_2}{dw_a} + \frac{dL_3}{d\hat{y}_3} \cdot \frac{d\hat{y}_3}{da_3} \cdot \frac{da_3}{da_2} \cdot \frac{da_2}{da_1} \cdot \frac{da_1}{dw_a}$$

There is a pattern here!

$$\frac{dL_3}{dw_x} = \frac{dL_3}{d\hat{y}_3} \cdot \frac{d\hat{y}_3}{da_3} \cdot \frac{da_3}{dw_x} + \frac{dL_3}{d\hat{y}_3} \cdot \frac{d\hat{y}_3}{da_3} \cdot \frac{da_3}{da_2} \cdot \frac{da_2}{dw_x} + \frac{dL_3}{d\hat{y}_3} \cdot \frac{d\hat{y}_3}{da_3} \cdot \frac{da_3}{da_2} \cdot \frac{da_2}{da_1} \cdot \frac{da_1}{dw_x}$$

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Quickly check the dimension....

$$a_t = g^1(a_{t-1} \cdot W_{aa} + x_t \cdot W_{ax} + b_a)$$

[100,100] [100, 100] [100,10000] [10000, 100]

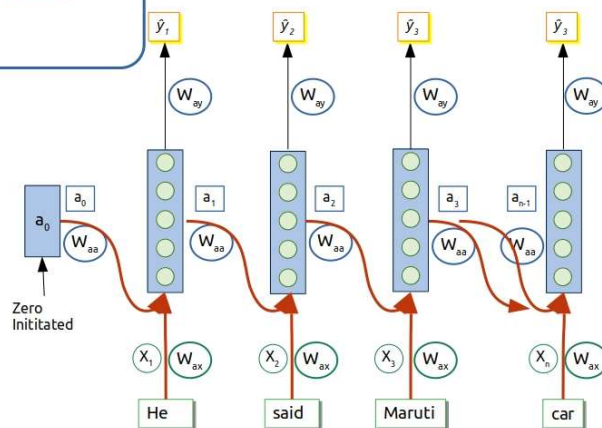
$$\hat{y}_t = g^2(a_t \cdot W_{ay} + b_y)$$

$$a_t = g^1([a_{t-1}; x_t] \cdot W_a + b_a)$$

$$\hat{y}_t = g^2(a_t \cdot W_y + b_y)$$

$$[a_{t-1}; x_t] = [a_{t-1} \quad x_t] \begin{bmatrix} 100 \\ 100 \times 10100 \end{bmatrix} \begin{bmatrix} 100 \\ 10000 \end{bmatrix}$$

$$W_a = \begin{bmatrix} 100 \\ W_{aa} \\ W_{ax} \end{bmatrix} \begin{bmatrix} 100 \\ 10000 \end{bmatrix}$$



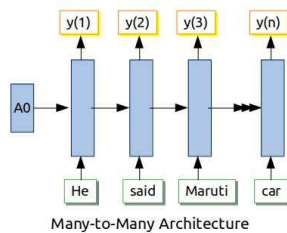
Recurrent Neural Network

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Type of Architectures



Many to many mapping. T_x input parameters are same as T_y output parameters

Named entity Recognition:
Mohan was driving a Maruti

→ 1 0 0 0 1

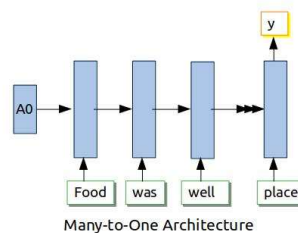
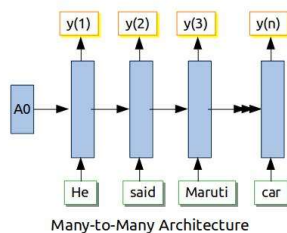
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- Andrej Karpathy

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Type of Architectures



Many to one architecture.

Input is the 'review' written by a patron and output is an integer (star rating)

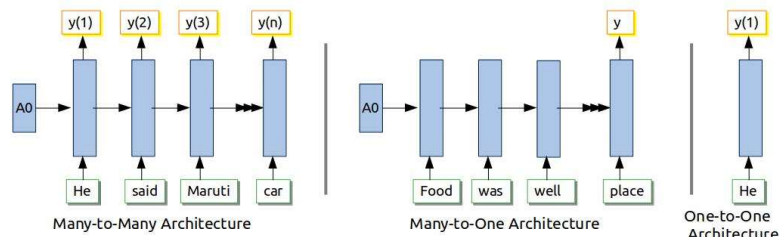
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Type of Architectures



Of course there is one to one. i.e. Basic neural network...

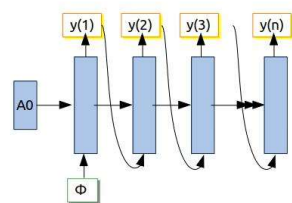
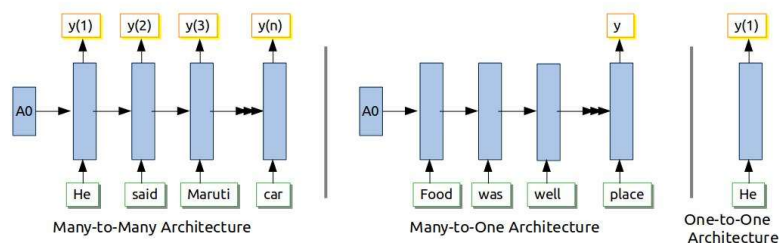
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Type of Architectures



We also have one to many architecture, mapping one input to multiple outputs. Output from each layer becomes input for next time step.

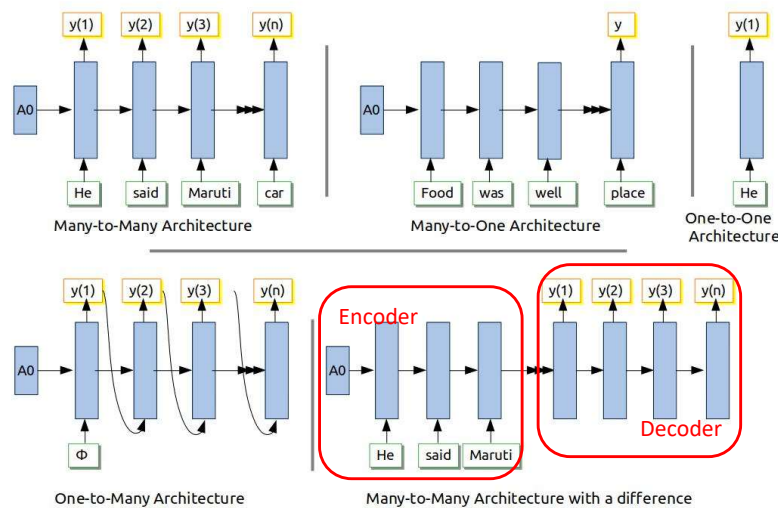
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Type of Architectures



डीएनएन व्याख्यानमाला आपले स्वागत आहे।

→ Welcome to DNN Lecture

In this Architecture, we have two completely different parts. One side reading sentences in one language, and other side translating in different language. We can have T_x and T_y different which is a case in machine translations

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Language Modelling

Speech Recognition

- ❑ Toad met Pit....
- ❑ Todd met Pete...
- ❑ Given any sentence, what is the probability of that being a valid sentence
- ❑ So what language model would do is to calculate probability of a sentence with that combination of words
 - ❖ $P(\text{Toad met Pit}) = 4.6 \times 10^{-1}$
 - ❖ $P(\text{Todd met Pete}) = 9.3 \times 10^{-9}$
- ❑ Mathematically $P(\text{sentence}) = P(y_1, y_2, y_3, \dots y_n)$

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How to Model?

- Training set : Large corpus of English text

❖ Adults need eight hours of sleep a day!

Adults	need	eight	hours	of	sleep	a	day	↓	<EOS>
y_1	y_2	y_3	y_4	y_5	y_6	y_7	y_8	-	y_9

- First step is to tokenize the sentence
- Add a token at end and at the beginning <EOS> (y_9)
- Remember we have limited tokens (say we only have 10,000 tokens).
- Unknown words will be given a token <unk>

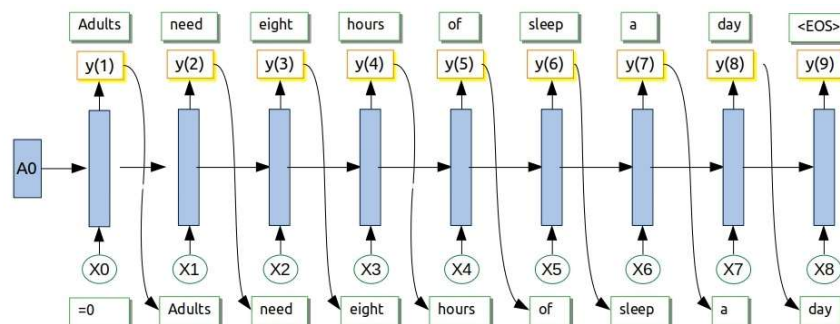
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RNN Model

- At the onset RNN tries to predict probabilities of each word in the corpus of being first word in this sentence.
- i.e. $P[a]$, $P[aakash]$, $P[aamaan]$... to $P[zulu]$, $P[zyzzogeton]$
 - ❖ This would be an array of 10002 elements



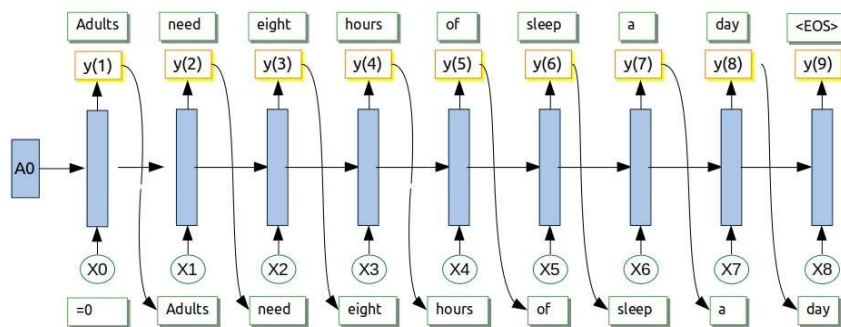
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RNN Model

- Thus we can calculate error between \hat{y}_1 and “Adults”
- Given first word “Adults”, again RNN predicts the probabilities for second word, thus combined probability, and it continues...
 - ❖ i.e. $P[a|Adult]$, $P[aakash|Adult]$, $P[aamaan|Adult]$... to $P[zulu|Adult]$, $P[zyzzogeton|Adult]$
- Somewhere in that bunch there will be a probability $P[need|Adult]$



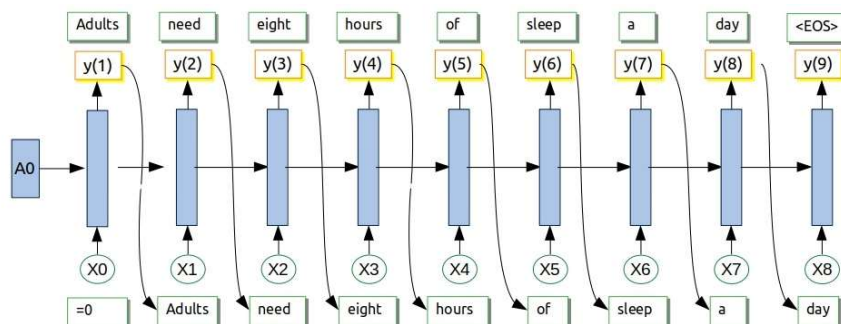
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RNN Model

- At third step we can calculate error between \hat{y}_2 and “need”.
- Given first word “Adults”, and second word as “need”, again RNN predicts the probabilities for third word
 - i.e. $P[a|Adult, need]$, $P[aakash|Adult, need]$, $P[aamaan|Adult, need]$... to $P[zulu|Adult, need]$, $P[zyzzogeton|Adult, need]$
- Somewhere in that bunch there will be a probability $P[eight|Adult, need]$



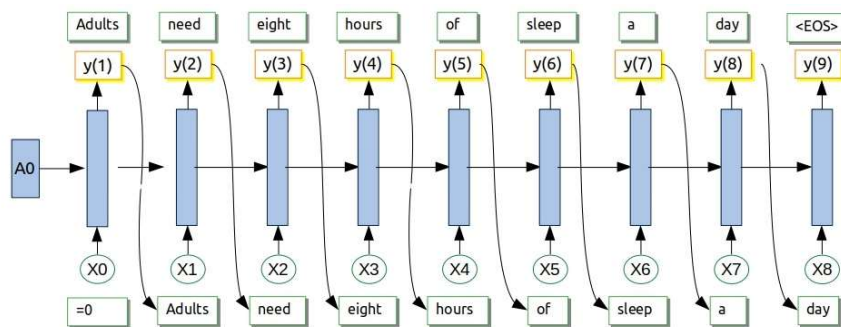
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RNN Model

- Thus we can calculate error between \hat{y}_3 and “eight”.
- It continues from left to right till end, X_8
- Given all previous words, what is the probability of this word being <EOS>.



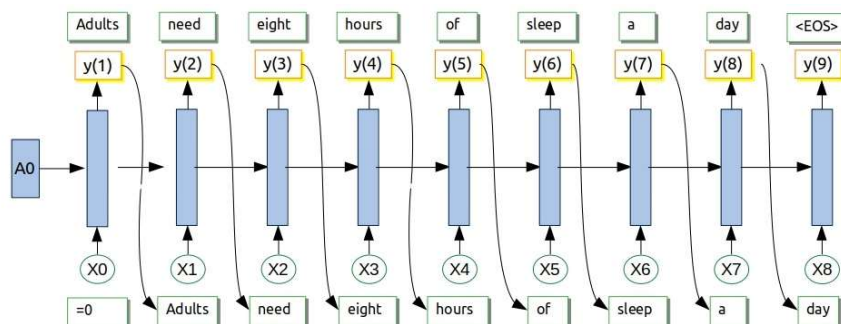
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RNN Model

- RNN is trying to predict one word at a time from left to right.
- Given that we are going to use logits and subsequently softmax for loss function, our loss function will be
- $\ell(\hat{y}, y) = -y * \log(\hat{y})$ as \hat{y} is very close to 0 for all other words
 - ❖ since its remaining part $[(1 - y) * \log(1 - \hat{y})]$ is insignificantly small we can ignore it.



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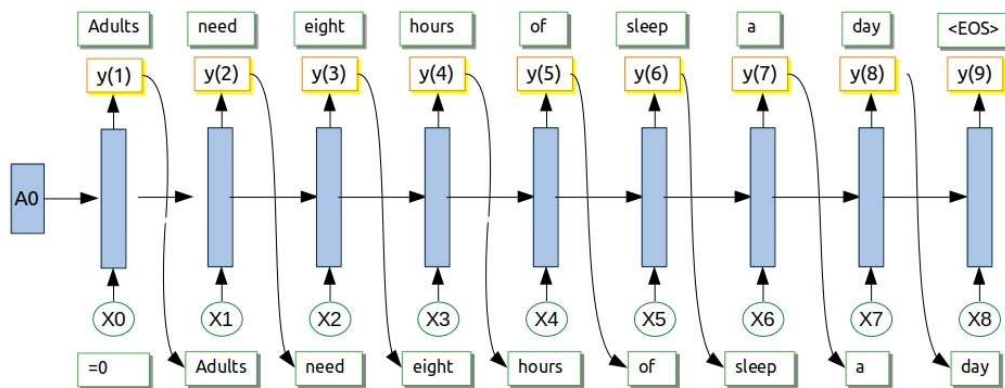
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RNN Model

□ Thus for overall sentence, Cost will be

- ❖ $J(\hat{y}, y) = \sum \ell(\hat{y}, y)$
- ❖ $J(\hat{y}, y) = -\frac{1}{m} \sum y * \log(\hat{y})$
- ❖ Which we will be minimizing.



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RNN Model

- Suppose you have sentence with 3 words
- You want to know probability of it being a sentence
- Given a sentence y_1, y_2, y_3
- $P(y_1, y_2, y_3) = P[y_1] * P[y_2 | y_1] * P[y_3 | y_1, y_2]$

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Word representation

- Vocabulary = [a, aakash, aamaan... to zulu, zyzzogeton]
 - ❖ Also referred as corpus
 - ❖ Two more tokens <UNK> and <EOS>
- Can be converted to one hot encoding

Man (5468)	Women (8701)	King (4823)	Queen (7157)	Apple (56)	Oranges (7259)
0	0	0	0	0	0
0	0	0	0	1	0
—	—	1	—	0	—
—	—	—	—	—	—
1	—	—	—	—	—
—	—	—	1	—	1
—	1	—	—	—	—
—	—	—	—	—	—
0	0	0	0	0	0

This representation is treating words independently....

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Featured Representation

	Man (5468)	Women (8701)	King (4823)	Queen (7157)	Apple (56)	Oranges (7259)
Gender	-1	1	-0.95	0.97	0	0.001
Royal	0.01	0.02	0.90	0.98	0.05	-0.01
Age	0.05	0.02	0.7	0.68	0.001	-0.4
Food	0.001	0.002	0.0001	0.0002	0.95	0.90

Feature representing a huge corpus can drastically be reduced...

- Man → Women \approx King → ????
- In terms of algorithm, we can use this using Similarity Coefficients
 - ❖ Find a word $W : \arg\max (e_w, e_{king} - e_{man} + e_{women})$
 - ❖ Cosine sim $(u, v) = \frac{(u^T \cdot v)}{\|u\|_2 \cdot \|v\|_2}$
 - ❖ Euclidian distances or Manhattan distances can also be used

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RNN Model

- ❑ In some cases, it is advantageous to have character based RNN instead of word based RNN.
- ❑ Both formats have their own advantages.

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Sequence to sequence : Image Captioning

- ❑ Given an image, produce a sentence describing its contents
- ❑ Inputs: Image feature (from a CNN)
- ❑ Outputs: Multiple words (let's consider one sentence)



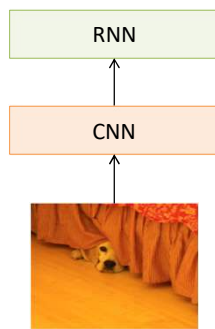
: The dog is hiding

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Image Captioning

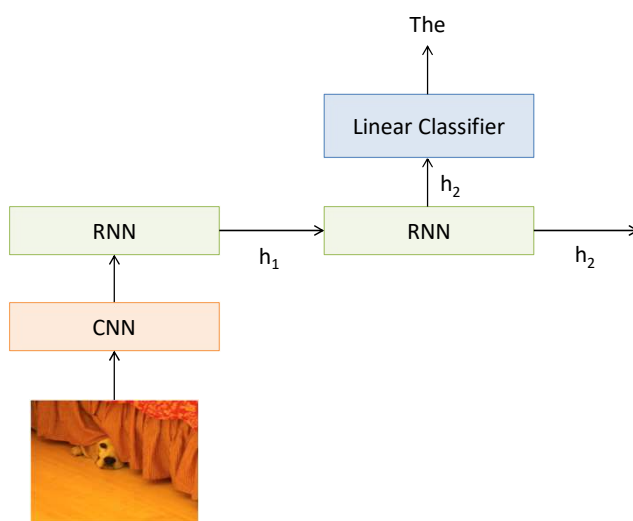


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Image Captioning

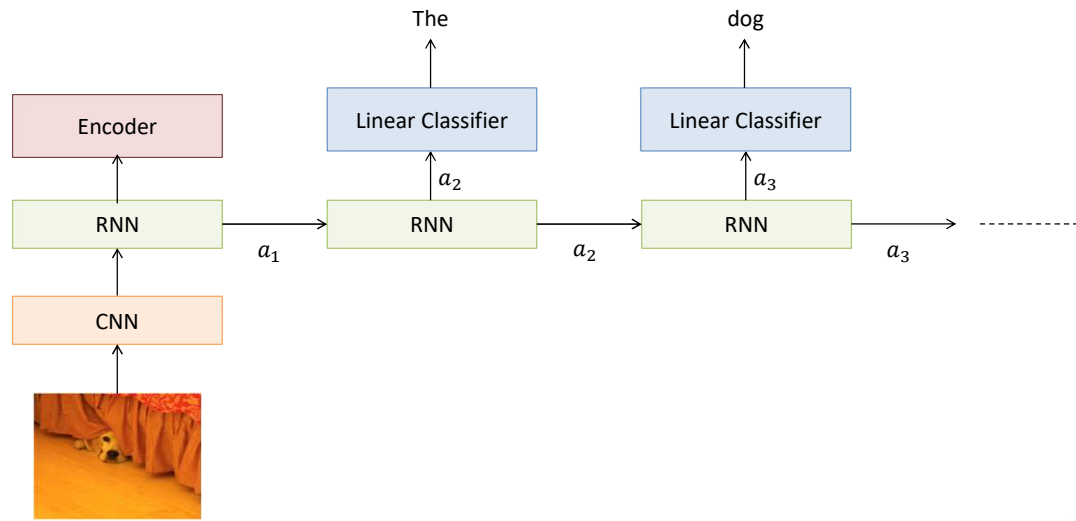


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Image Captioning

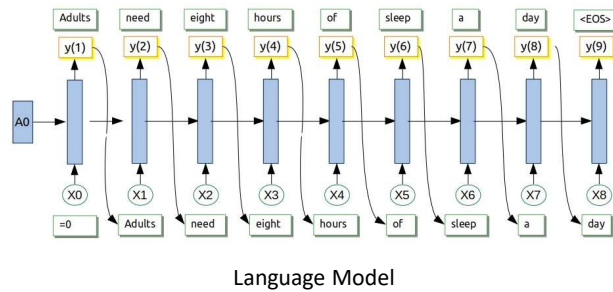


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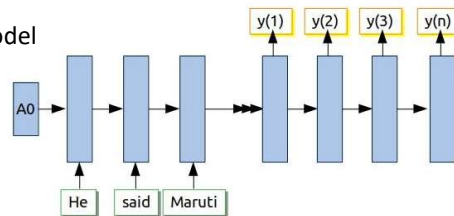
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Machine translation



Language Model

Conditional language Model



Machine translation

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Sequence to sequence : Bleu Score

- 'Dog' , 'bed' , 'hiding'
- Le chien est sous le lit
- कुत्ता बिस्तर के नीचे है.
- कुत्ता पलंगाच्या खाली आहे.



: The dog is hiding

- Reference 1: The Dog is hiding under the bed
- Reference 2: There is a dog under the bed
- MT Output : The dog the dog hiding under the bed

"BLEU: a Method for Automatic Evaluation of Machine Translation" By [Kishore Papineni](#), [Salim Roukos](#), [Todd Ward](#), [Wei Jing Zhu](#).

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RNN Outputs: Image Captions

A person riding a motorcycle on a dirt road.



Two dogs play in the grass.



A herd of elephants walking across a dry grass field.



A group of young people playing a game of frisbee.



Two hockey players are fighting over the puck.



A close up of a cat laying on a couch.



[Show and Tell: A Neural Image Caption Generator, CVPR 15](#)

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