

o.i Linear, Partial and Well-orderings

o.2 Ordinals and Order Types

There are several ways to define the natural numbers \mathbb{N} , the way we do it here, and the way generally used in set theory, is to use the Axiom of Infinity. This states that \mathbb{N} is an *inductive set*, meaning that it contains 0, defined as $\emptyset = \{\}$, as well as the successor of every element in it, including of course 0 itself. [?, p.39]

Definition o.2.1. [?, p.38] The successor of a set α is $\alpha^+ = \alpha \cup \{\alpha\}$. The successor of $0 = \emptyset$ is called 1 and the successor of 1 is called 2, etc..

The consequence of this is that \mathbb{N} is the set we are familiar with: $\{0, 1, 2, 3, \dots\}$. It also means that any natural number is defined as the set of all of its predecessors. For example $3 = \{0, 1, 2\}$ and $5 = \{0, 1, 2, 3, 4\}$.

Perhaps slightly more subtle then is that, under the usual ordering, $n < m \implies n \in m \wedge n \subset m$. This is an important property and the natural numbers, as well as the set \mathbb{N} at large, are *transitive* sets.

Definition o.2.2. [?, p.14] A set T is called *transitive* if

$$\forall x (x \in T \implies x \subseteq T).$$

Definition o.2.3. [?, p.14] A set is called an *ordinal number* or *ordinal* if it is transitive and well-ordered by \in .

Ordinals are denoted by lowercase greek letter: $\alpha, \beta, \gamma, \dots$. The ordinal associated with (\mathbb{N}, \in) specifically is denoted by ω .

Lemma o.2.4. ω and every natural number $n \in \omega$ is an ordinal.

Lemma o.2.5. [?, Lemma 2.3, p.15]

1. If α is an ordinal and $\beta \in \alpha$, then β is an ordinal.
2. If α, β are ordinals and $\alpha \subset \beta$, then $\alpha \in \beta$.
3. If α, β are ordinals, then either $\alpha \subseteq \beta$ or $\beta \subseteq \alpha$

Theorem o.2.6. [?, Theorem 2, p.15] Every well-ordered set is isomorphic to a unique ordinal.

0.3 The Well-ordering Theorem

The following, along with *Zorn's Lemma*, is one of the most fundamental results in set theory. There is a (bad) joke that goes:

The *Axiom of Choice* is obviously true, the *Well-Ordering Theorem* obviously false, and who knows with *Zorn's Lemma*.

Definition 0.3.1 (Zermelo's Well-Ordering Theorem). [?, Theorem 15, p.39]
Every set can be well ordered.

We could provide a proof for definition 0.3.1 in **ZFC** here, and treat the well-ordering theorem as a regular theorem. This theorem, as it turns out, is not just another regular theorem, and we will therefore also not treat it as one.

Indeed, the well-ordering theorem is actually equivalent to the Axiom of Choice. This means that if either statement is assumed to be true (and it has to be assumed since we are talking about *axioms*), the other one can be proved from it. This is the same methodology we will use for proving our main result, theorem ??, as well. There we will show equivalence of our main statement, that group structure exists on all arbitrary sets, with the Well-Ordering Theorem. As such by transitivity, this main statement is also equivalent to the Axiom of Choice.

Theorem 0.3.2. *The Well-ordering Theorem is equivalent to the Axiom of Choice.*

Proof. [?, p.39] We provide a proof in two parts; first showing that the Well-Ordering Theorem is true in **ZFC**. Then, conversely, we prove **AC** in **ZF**, assuming that the well-ordering theorem holds true.

I. Axiom of Choice \implies Well-Ordering Theorem

We proceed by transfinite induction.

Let A be an arbitrary set and let $S = \mathcal{P}(A) \setminus \{\emptyset\}$ be the collection of all non-empty subsets of A . Let $f : S \rightarrow A$ be a choice function (as specified by the Axiom of Choice). We then define an ordinal sequence $\langle a_\alpha \mid \alpha < \theta \rangle$ the following way:

$$\begin{aligned} a_0 &= f(A) \\ a_\alpha &= f(A \setminus \{a_\xi \mid \xi < \alpha\}) \quad \text{if } A \setminus \{a_\xi \mid \xi < \alpha\} \text{ is non-empty.} \end{aligned}$$

Now let θ be the smallest ordinal such that $A = \{a_\xi \mid \xi < \theta\}$.

We know that such an ordinal must exist, since the sequence $\langle a_\alpha \mid \alpha < \theta \rangle$ is entirely defined by the choice function f . f maps every non-empty subset of A , i.e. members of S , to a element of that subset (in A).

By defining the ordinal sequence the way we did, it is not possible for any element of A to occur in the sequence twice. Any subset of A , which is the input of the choice function for some element a_γ in the sequence, does not contain any elements a_α for $\alpha < \gamma$, and by definition f can not map to any of these members.

As such $\mathbf{Im}(\langle a_\alpha \mid \alpha < \theta \rangle) = A$ and $\langle a_\alpha \mid \alpha < \theta \rangle$ enumerates A , i.e. is a bijection¹. Hence A can be well-ordered, the least element of any subset being the one which corresponds to the smallest ordinal in the sequence.

2. Well-Ordering Theorem \implies Axiom of Choice

Let S be a set of non-empty sets.

$\bigcup S$ can be well-ordered by assumption and clearly $s \in S \implies s \subseteq \bigcup S$. We can then define the function $f : S \rightarrow \bigcup S$ to map any elements of S to its least element, according to the well-order of $\bigcup S$.

Evidently f is a choice function and since the set S was arbitrary the Axiom of Choice holds.

□

o.4 Hartogs' Lemma

We continue with the final result for this chapter, a lemma originally stated by Hartogs in 1915, restated in this form in our main paper [?].

Lemma o.4.1. [?] *Let A be an arbitrary set. Then there exists an ordinal α , such that no injective map from any subset of A to α exists.*

¹Recall that a sequence is just a function from \mathbb{N} , resp. an ordinal, to the set of its elements