# Unit IV: Complexity CISC 380 Algorithms

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## $SAT \rightarrow 3SAT$

Given f for SAT create a new formula f' as follows:

For each clause C in f,

- ▶ If C has  $\leq 3$  literals, keep it the same.
- ▶ If it has > 3 literals replace C by C' as described below:

$$C = (a_1 \vee a_2 \vee \ldots \vee a_k)$$

Add k-3 new variables  $y_1, \ldots, y_{k-3}$  and replace C by k-2 clauses:

$$(a_1 \lor a_2 \lor y_1) \land (\overline{y}_1 \lor a_3 \lor y_2) \land (\overline{y}_2 \lor a_4 \lor y_3) \land \dots$$
$$\land (\overline{y}_{k-4} \lor a_{k-2} \lor y_{k-3}) \land (\overline{y}_{k-3} \lor a_{k-1} \lor a_k)$$

► Use f' as input for 3SAT

Claim: f is satisfiable iff f' is satisfiable.



Example: SAT  $\rightarrow$  3SAT

$$(x_1) \wedge (\overline{x_1} \vee \overline{x_2} \vee \overline{x_3} \vee x_4) \wedge (\overline{x_5} \vee x_3 \vee x_2 \vee \overline{x_1} \vee \overline{x_4}) \wedge (x_4 \vee x_5)$$

## Procedure for converting input f to SAT to an input to 3SAT

For each clause C in f,

- ▶ If C has  $\leq 3$  literals, keep it the same.
- ▶ If it has > 3 literals replace C by C' as described below:

$$C = (a_1 \vee a_2 \vee \ldots \vee a_k)$$

Add k-3 variables  $y_1, \ldots, y_{k-3}$  and replace C by k-2 clauses:

$$(a_1 \lor a_2 \lor y_1) \land (\overline{y}_1 \lor a_3 \lor y_2) \land (\overline{y}_2 \lor a_4 \lor y_3) \land \dots$$
$$\land (\overline{y}_{k-4} \lor a_{k-2} \lor y_{k-3}) \land (\overline{y}_{k-3} \lor a_{k-1} \lor a_k)$$

## Example: $3SAT \rightarrow Independent Set$

$$f = (x) \land (\bar{x} \lor y \lor w) \land (\bar{y} \lor \bar{w} \lor z) \land (x \lor y) \land (\bar{y} \lor w \lor \bar{z})$$

- 1. Create the corresponding input to IS (i.e., a graph G, goal g).
- 2. Find an IS set and from this create a satisfying assignment.
- Find a satisfying assignment and from this create an IS in G of size g.

## Example 2: $3SAT \rightarrow Subset Sum$

$$f = \left(\overline{x_1} \vee \overline{x_2} \vee \overline{x_3}\right) \wedge \left(\overline{x_1} \vee \overline{x_2} \vee x_3\right) \wedge \left(x_1 \vee \overline{x_2} \vee x_3\right) \wedge \left(x_1 \vee x_2\right)$$

#### Procedure for converting input f to 3SAT to an input to SubsetSum

- 1. In the *i*th digit of  $v_i$  and  $v'_i$  put a 1.
- 2. If  $x_i$  appears in  $c_i$  put a 1 in  $v_i$  in digit n + j.
- 3. If  $\bar{x_i}$  appears in  $c_j$  put a 1 in  $v_i'$  in digit n+j.
- 4. Put a 1 in digit n + j of  $s_j$  and  $s'_j$  (these function as buffers).
- 5. All other digits get 0.
- 6. t contains n 1's followed by m 3's.

# Example 1: $3SAT \rightarrow Subset Sum$

$$f = (\overline{x_1} \vee \overline{x_2} \vee \overline{x_3}) \wedge (\overline{x_1} \vee \overline{x_2} \vee x_3)$$
$$\wedge (x_1 \vee \overline{x_2} \vee x_3) \wedge (x_1 \vee x_2)$$

#### Examples:

$$v_1 = 1000011$$
 $v'_1 = 1001100$ 
 $t = 1113333$ 

	<i>x</i> <sub>1</sub>	<i>x</i> <sub>2</sub>	<i>X</i> 3	<i>c</i> <sub>1</sub>	<i>c</i> <sub>2</sub>	<i>c</i> <sub>3</sub>	C4
$v_1$	1	0	0	0	0	1	1
$\overline{v_1'}$	1	0	0	1	1	0	0
	0	1	0	0	0	0	1
$\frac{v_2}{v_2'}$	0	1	0	1	1	1	0
<i>V</i> <sub>3</sub>	0	0	1	0	1	1	0
$\frac{v_3}{v_3'}$	0	0	1	1	0	0	0
$s_1$	0	0	0	1	0	0	0
$\overline{s'_1}$	0	0	0	1	0	0	0
<i>s</i> <sub>2</sub>	0	0	0	0	1	0	0
$s_2'$	0	0	0	0	1	0	0
<i>s</i> <sub>3</sub>	0	0	0	0	0	1	0
$s_3'$	0	0	0	0	0	1	0
<i>S</i> <sub>4</sub>	0	0	0	0	0	0	1
$s_4'$	0	0	0	0	0	0	1
t	1	1	1	3	3	3	3

## Example 2: $3SAT \rightarrow Subset Sum$

$$f = (x) \land (\bar{x} \lor y \lor w) \land (\bar{y} \lor \bar{w} \lor z) \land (x \lor y) \land (\bar{y} \lor w \lor \bar{z})$$

#### Procedure for converting input f to 3SAT to an input to SubsetSum

- 1. In the *i*th digit of  $v_i$  and  $v'_i$  put a 1.
- 2. If  $x_i$  appears in  $c_j$  put a 1 in  $v_i$  in digit n + j.
- 3. If  $\bar{x_i}$  appears in  $c_j$  put a 1 in  $v_i'$  in digit n+j.
- 4. Put a 1 in digit n + j of  $s_j$  and  $s'_j$  (these function as buffers).
- 5. All other digits get 0.
- 6. t contains n 1's followed by m 3's.