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# **Real-time Systems**

## **Week 5:**

### **Aperiodic real time scheduling**

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# Notation of scheduling algorithms

- ❑ A scheduling algorithm involves in a scheduling problem with
  - ❑ A class of task set,
  - ❑ An optimality criterion, and
  - ❑ A machine environment.
  
- ❑ Systematic notation of scheduling algorithms:
  - ❑  $\alpha | \beta | \gamma$
  - ❑  $\alpha$ : machine environment
    - Uniprocessor, multiprocessor, distributed architecture etc
  - ❑  $\beta$ : tasks & resource characteristics
    - preemptive, independent, precedence constrained, synchronous activations ...
  - ❑  $\gamma$ : optimality criterion

# Notation of scheduling algorithms

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## □ Examples

□ 1|*prec*| $L_{\max}$

- Minimizes the maximum lateness of a task set with precedence constraints on a uniprocessor machine.

□ 3|*no\_preem*|  $\sum f_i$

- Minimizes the sum of finishing times of a non-preemptive task set on a 3 processor machine.

□ 2|*sync*| $\sum Late_i$

- Minimizes the number of late tasks of a task set with synchronous arrival times on a 2 processor machine.

## Jackson's algorithm (1|sync| $L_{\max}$ )

- A uniprocessor scheduling algorithm of a task set with **synchronous arrival times**
  - All tasks have the same arrival time
- For synchronous arrival times, the scheduling problem only considers **execution times** & **deadlines**
  - Task set notation:

$$\mathcal{J} = \{J_i(\underline{C}_i, \underline{D}_i), \ i = 1, \dots, n\}$$

# Jackson's rule

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## □ Theorem 3.1 (Jackson's rule)

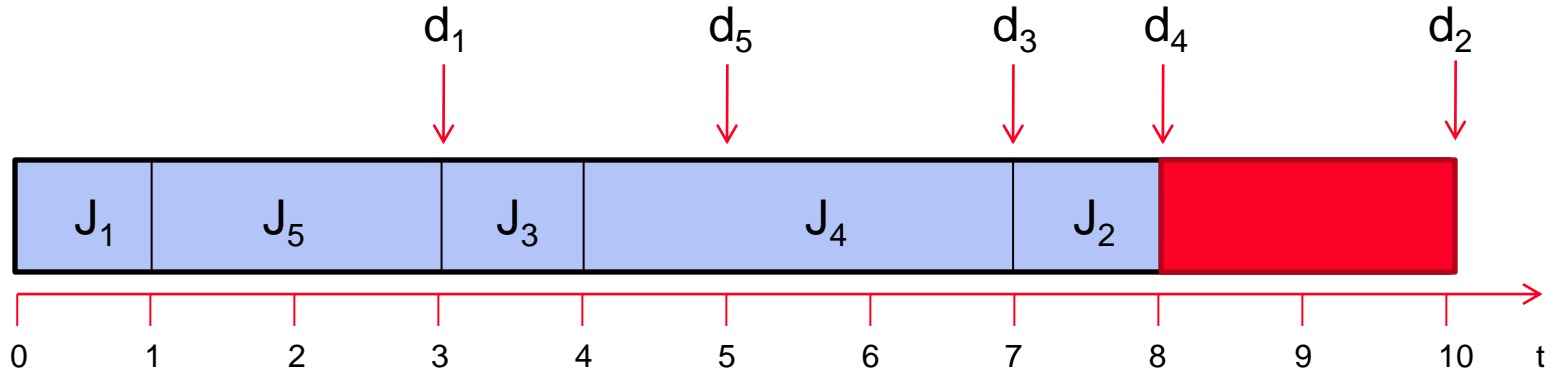
- Given a set of  $n$  independent tasks (i.e. no resource & precedence constraints), any algorithm that executes the tasks in order of non-decreasing deadline is optimal with respect to minimizing the maximum lateness.

➡ Earliest Due Date (EDD)

# Examples

## Example 1

	$J_1$	$J_2$	$J_3$	$J_4$	$J_5$
$C_i$	1	1	1	3	2
$d_i$	3	10	7	8	5

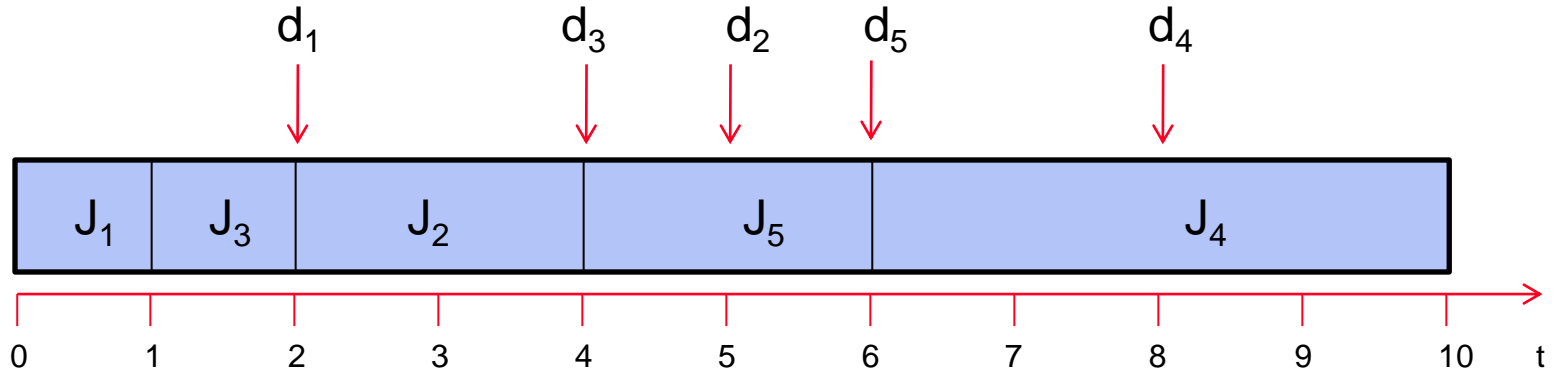


a feasible schedule produced by Jackson's algorithm

# Examples

## Example 2

	$J_1$	$J_2$	$J_3$	$J_4$	$J_5$
$C_i$	1	2	1	4	2
$d_i$	2	5	4	8	6



an infeasible schedule produced by Jackson's algorithm

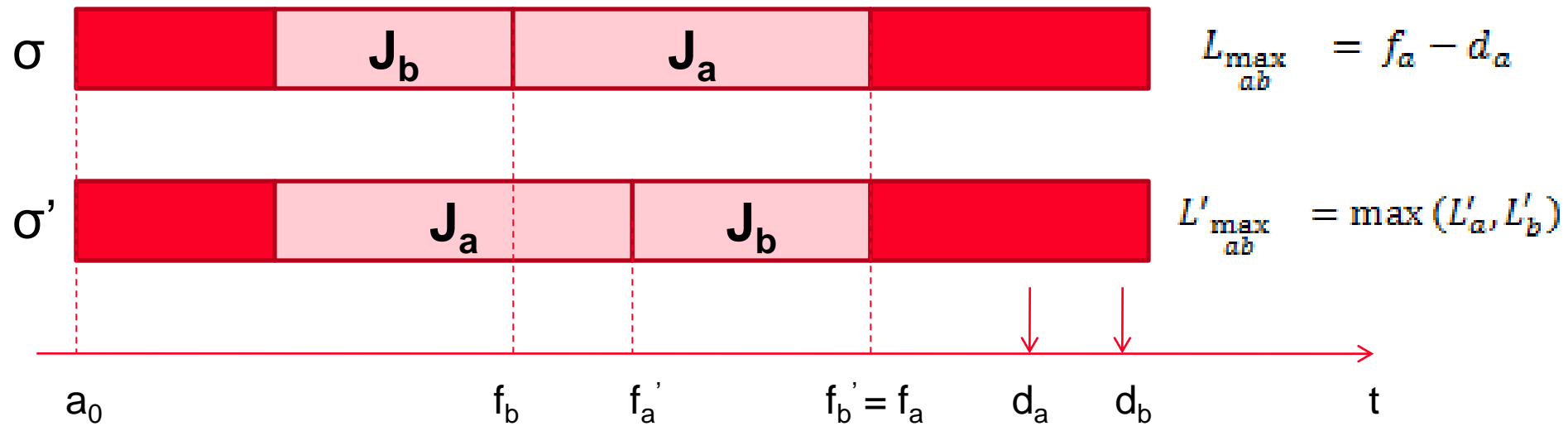


## Proof: Jackson's

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- Jackson's theorem can be proved by a simple interchange argument.
- Let  $\sigma$  be a schedule produced by algorithm A.
- if A is different than EDD, then there exist 2 tasks  $J_a$  and  $J_b$  with  $d_a < d_b$  such that  $J_b$  immediately precedes  $J_a$  in  $\sigma$
- Let  $\sigma'$  be a schedule obtained from  $\sigma$  by interchanging  $J_a$  and  $J_b$ , so that  $J_a$  immediately precedes  $J_b$  in  $\sigma'$
- We'll show  $L_{max}(ab) > L'_{max}(ab)$

# Proof: Jackson's theorem



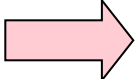
$$\text{if } (L'_a \geq L'_b) \text{ then } L'_{\max_{ab}} = f'_a - d_a < f_a - d_a$$

$$\text{if } (L'_a \leq L'_b) \text{ then } L'_{\max_{ab}} = f'_b - d_b < f_a - d_a$$

# Guarantee

## □ Guarantee test:

- All tasks can complete before their deadlines
- The worst-case finishing time  $f_i$  is less than or equal to its deadline  $d_i$

  $\forall i = 1, \dots, n \quad f_i \leq d_i.$

- Suppose a set of task  $J_1, J_2, J_3 \dots J_n$  is listed by increasingly deadline, thus in the worst-case finishing time  $f_i$

$$f_i = \sum_{k=1}^i c_k$$

If  $d_1 < d_2 < \dots < d_n$ , the feasibility condition under EDD is given by checking  $n$  conditions

$$\forall i = 1, \dots, n \quad \sum_{k=1}^i C_k \leq d_i.$$

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□ What if the task set is not synchronous?

→ Horn's algorithm ( $1|preem|L_{\max}$ )

# Horn's theorem

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## □ Theorem 3.2

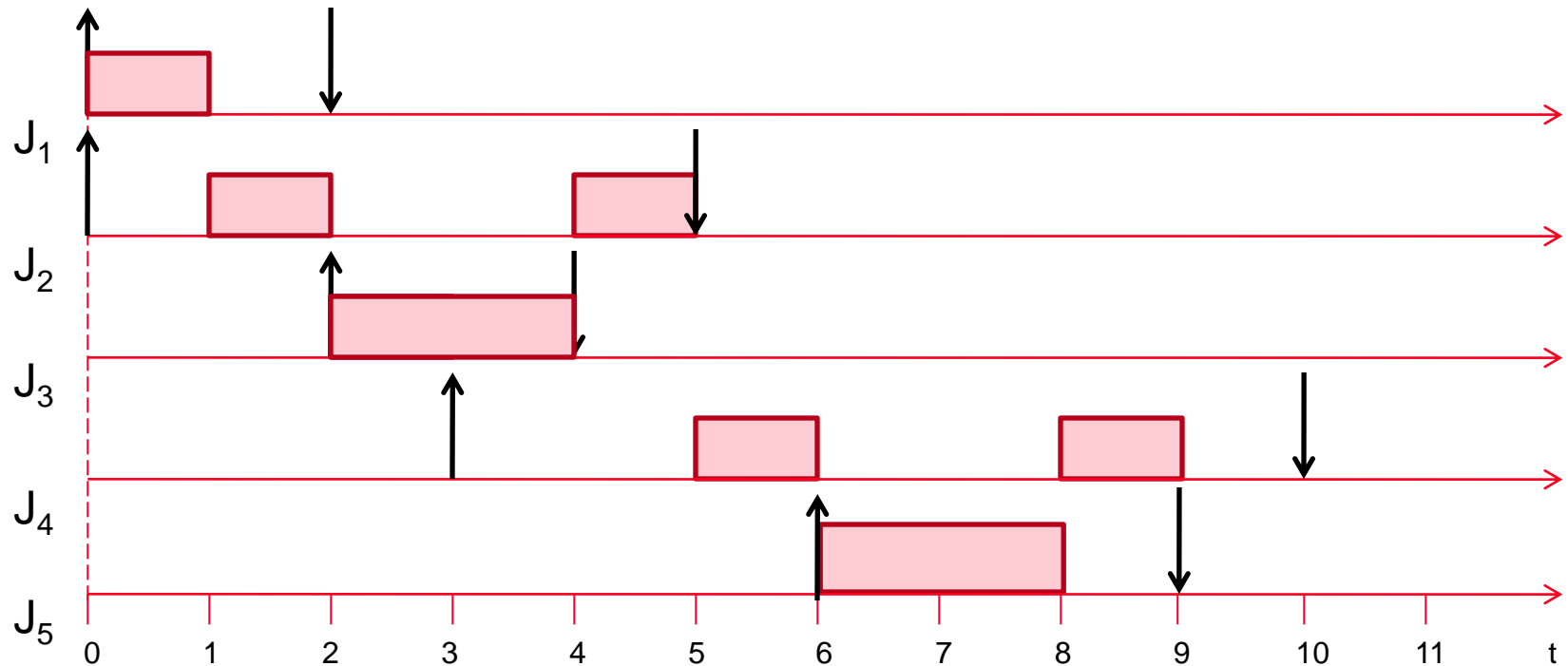
- Given a set of  $n$  independent tasks with arbitrary arrival times, any algorithm that **at any instant executes the task with the earliest absolute deadline among all the ready tasks** is optimal with respect to minimizing the maximum lateness.

 **Earliest deadline first (EDF)**

Time complexity of EDF:  $O(n^2)$

# Example

	$J_1$	$J_2$	$J_3$	$J_4$	$J_5$
$a_i$	0	0	2	3	6
$C_i$	1	2	2	2	2
$D_i$	2	5	4	10	9

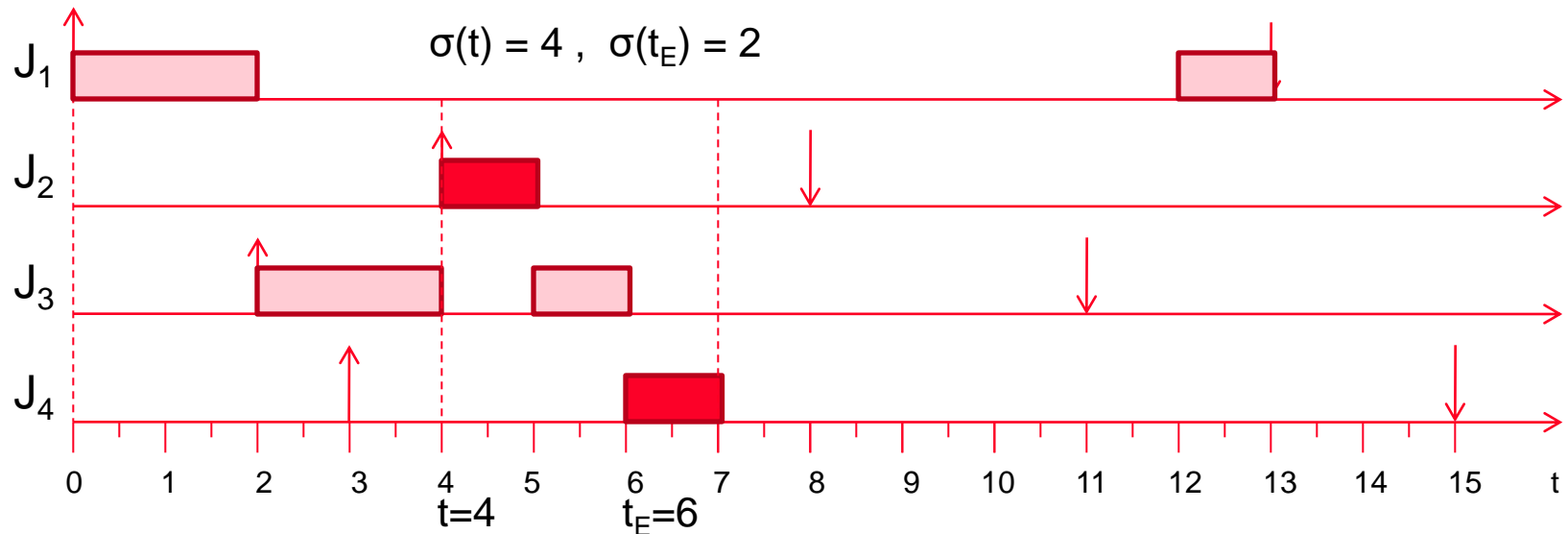
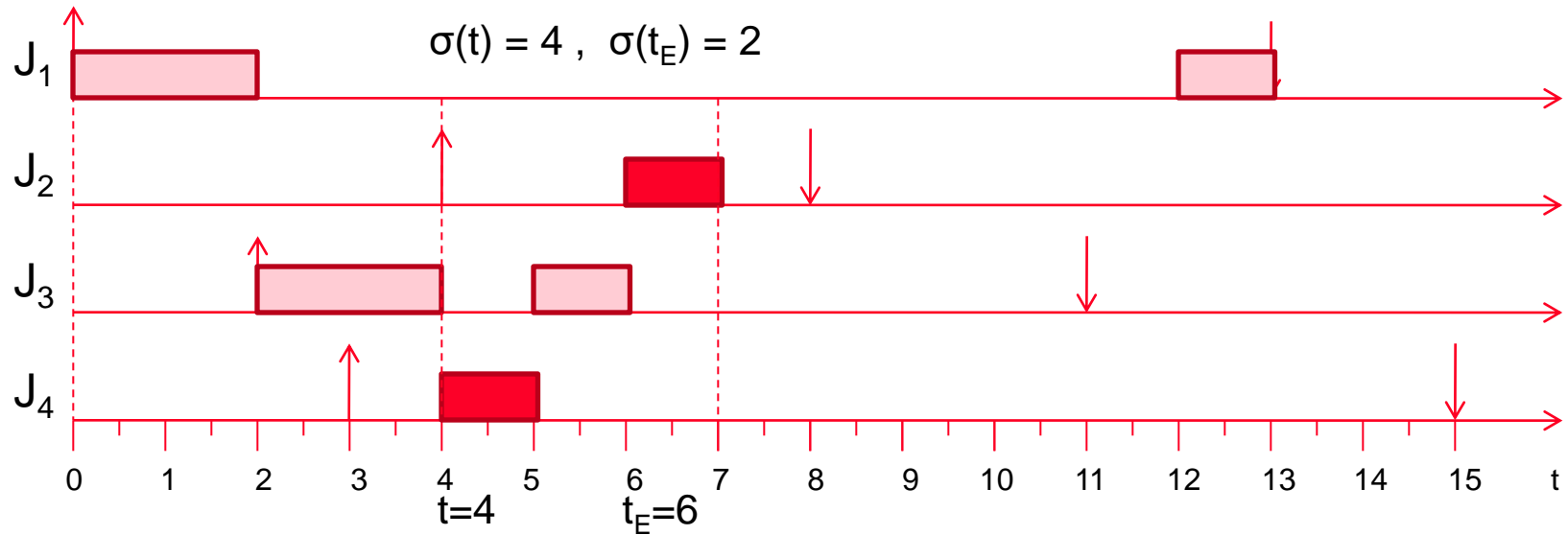


## EDF optimality

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- $\sigma$ : a schedule generated by algorithm  $A$
- $\sigma_{\text{EDF}}$ : a schedule generated by EDF (not equal to  $\sigma$ )
- $\sigma(t)$ :
  - the task executing in the time slice  $[t, t+1)$  in  $\sigma$
- $E(t)$ :
  - the ready task with the earliest deadline at time  $t$
- $t_E(t)$ :
  - the time ( $\geq t$ ) at which the next slice of task  $E(t)$  begins its execution in the current schedule

# EDF optimality





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- ❑  $L_{\max} = \max(f_2 - d_2, f_4 - d_4)$
  - ❑  $L'_{\max} = \max(f'_2 - d_2, f'_4 - d_4)$
  - ❑  $d_2 < d_4$
  - ❑  $f'_2 < f_2$
  - ❑ In the worst case when  $\sigma(t)$  are the time slice that each task finishes
    - ❑  $f_2 = f'_4$
  - ❑  $f'_2 - d_2 < f_2 - d_2$
  - ❑  $f'_4 - d_4 = f_2 - d_4 < f_2 - d_2$
  - ❑  $\Rightarrow L'_{\max} < L_{\max}$

# Guarantee

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- ❑ Guarantee test has to be done dynamically, whenever a new task enters the system.
- ❑ Given new task  $J_{new}$  arrives at  $t$ .
- ❑ The new task set (including  $J_{new}$ ) is listed with increasing deadline
- ❑ Guarantee test:
  - ❑ The worst-case finishing time  $f_i$  is less than or equal to its deadline  $d_i$
  - ❑  $C_i(t)$ : remaining worst-case execution time of task  $J_i$  at time  $t$

$$\forall i = 1, \dots, n \quad \sum_{k=1}^i C_k(t) \leq d_i.$$

# Non-preemptive scheduling

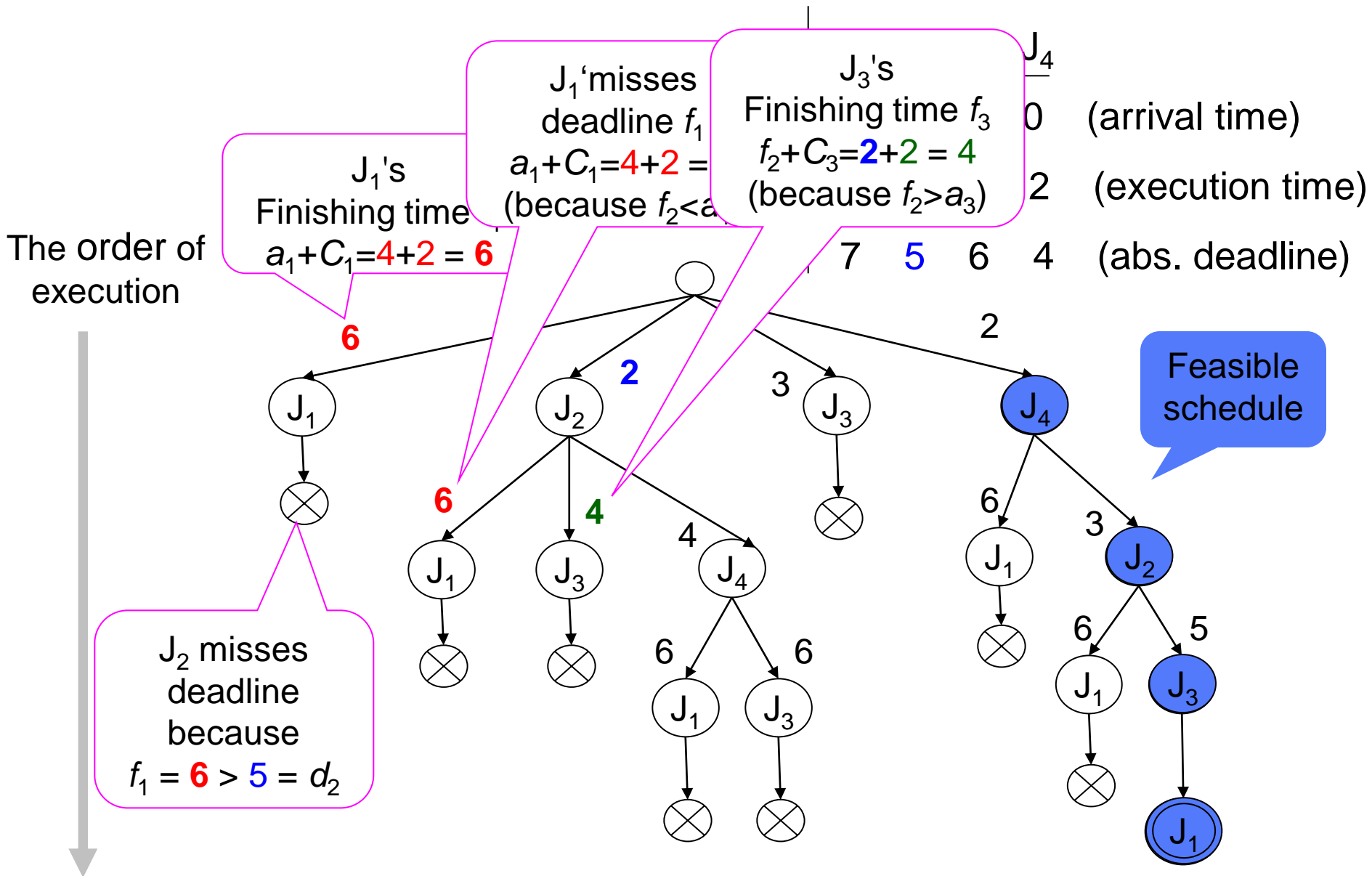
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- ❑ Scheduling problem of finding a feasible schedule of non-preemptive tasks
  - ❑ NP-hard
  
- ❑ When arrival times are known a priori, the scheduling problems are treated by branch-and-bound algorithms.
  - ❑ Bratley's algorithm
  - ❑ Spring kernel

## Bratley's algorithm (1|no\_preem|feasible)

- ❑ Finds a feasible schedule of non-preemptive tasks
- ❑ Start with empty schedule
- ❑ Present all possible schedules with a tree-structure
- ❑ Calculate finishing time of each task considering the finishing time of the predecessor, the arrival time, & the execution time
- ❑ Finds a path of a feasible schedule

## Bratley's algorithm (1|no\_preem|feasible)

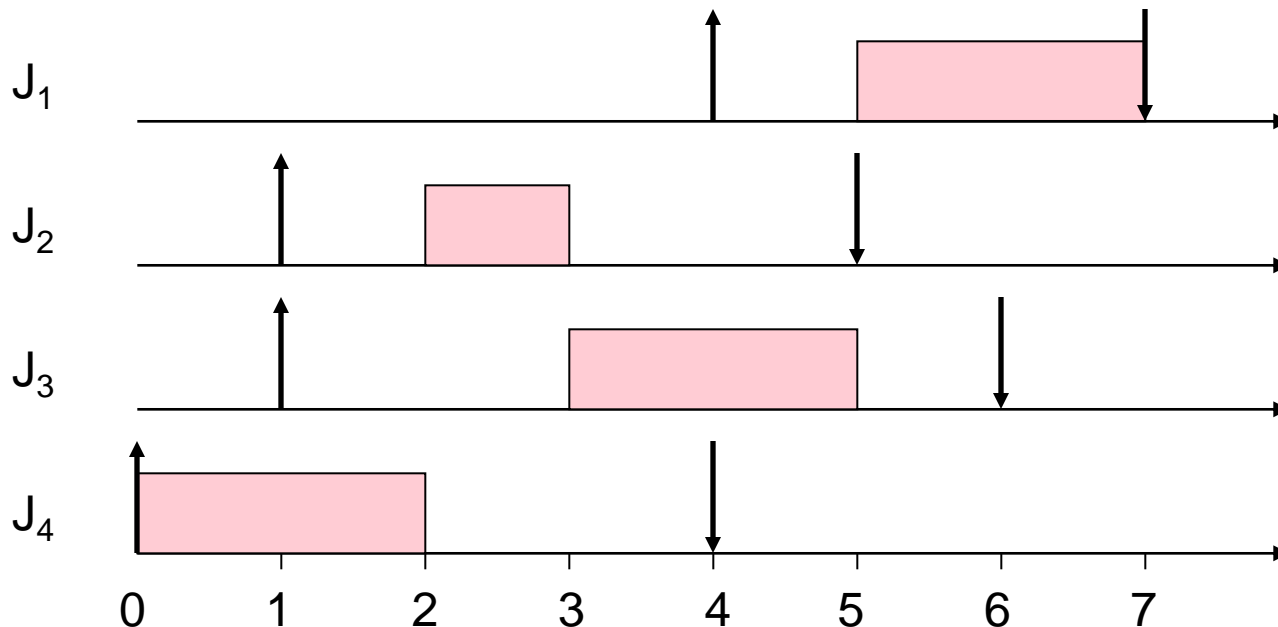


# Bratley's algorithm (1|no preem|feasible)

Found a feasible schedule:

$J_4 \rightarrow J_2 \rightarrow J_3 \rightarrow J_1$

	$J_1$	$J_2$	$J_3$	$J_4$
$a_i$	4	1	1	0
$C_i$	2	1	2	2
$d_i$	7	5	6	4



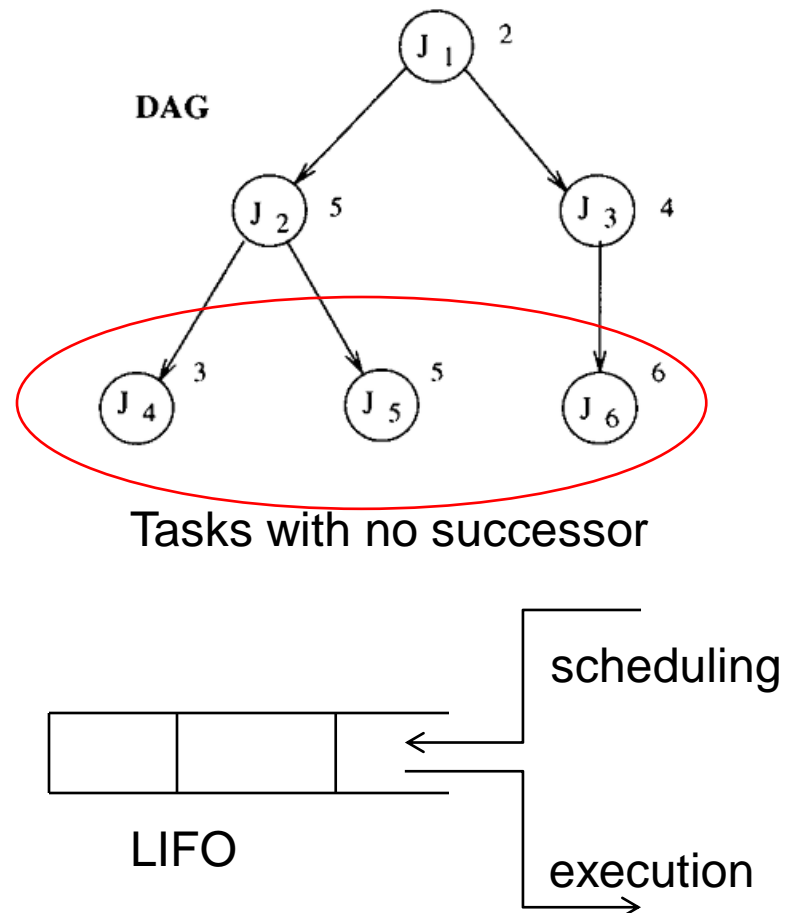
# Scheduling with precedence constraints

- ❑ NP-hard problem
- ❑ Applying assumptions
  - ❑ Synchronous activation: LDF algorithm
  - ❑ Pre-emptive tasks set: EDF with precedence constraints

# Latest Deadline First (LDF) ( $1/prec, sync/L_{max}$ )

- Given a task set J and a directed acyclic graph representing its precedence constraints
- Scheduling algorithm

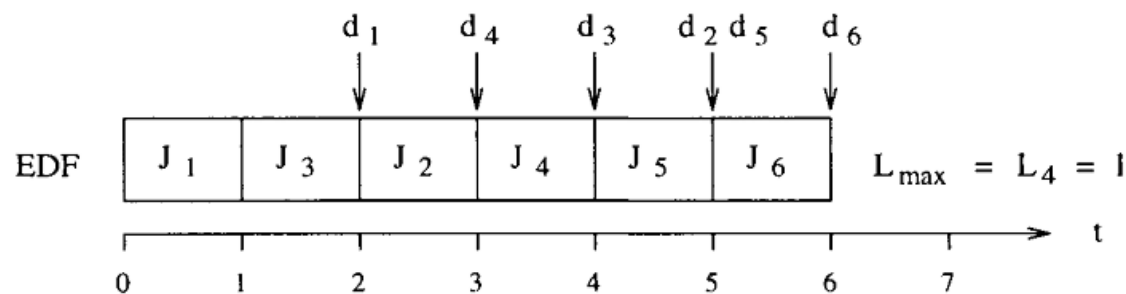
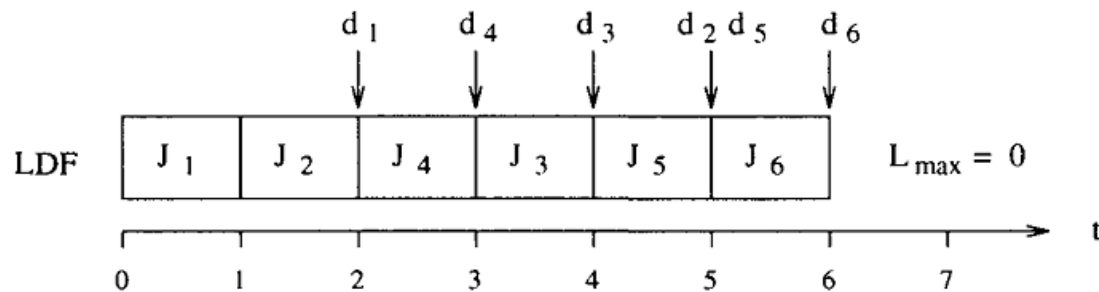
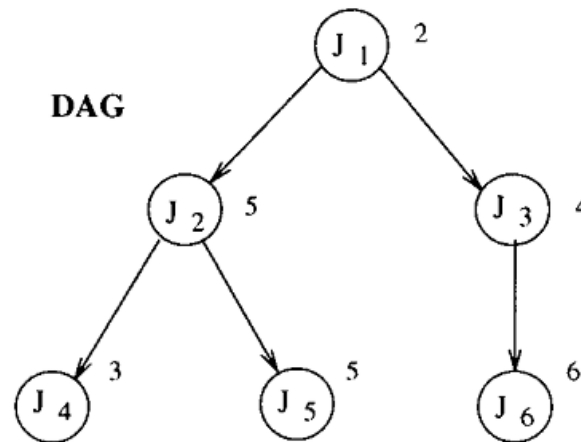
- Select the subtask J' of J with no successor
- In J', select the task j with the latest deadline
- Put j into a LIFO queue
- Repeat until all tasks are put into the LIFO queue
- The tasks in the queue will be pop out for execution





# Example

	$J_1$	$J_2$	$J_3$	$J_4$	$J_5$	$J_6$
$C_i$	1	1	1	1	1	1
$d_i$	2	5	4	3	5	6



- LDF achieves smaller  $L_{\max}$
- EDF is not optimal under precedence constraints

# LDF optimality

- ❑ Let  $J$  be the complete set of tasks to be scheduled
- ❑  $\Gamma \in J$  be the subset of tasks without successors
- ❑  $J_i$  be the task in  $\Gamma$  with the latest deadline  $d_i$ .
- ❑  $J_k$  be the last scheduled task
- ❑ If the schedule  $\sigma$  does not follow the LDF rule then

$$d_k < d_i$$

- ❑ Partition  $\Gamma$  into four subsets

$$\Gamma = A \cup \{J_i\} \cup B \cup \{J_k\}$$

- ❑ We'll show moving  $J_i$  to the end of the schedule will not increase  $L_{max}$ , thus show LDF's optimality

# LDF optimality

- Partition  $\Gamma$  with original schedule  $\sigma$

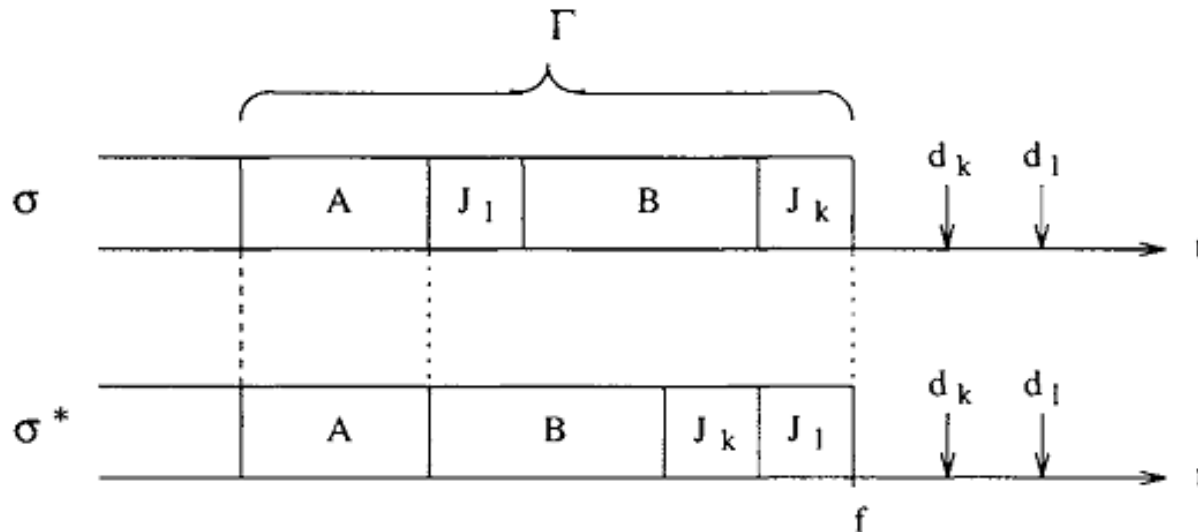
$$\Gamma = A \cup \{J_i\} \cup B \cup \{J_k\}$$

$$L_{max}(\Gamma) \geq f - dk$$

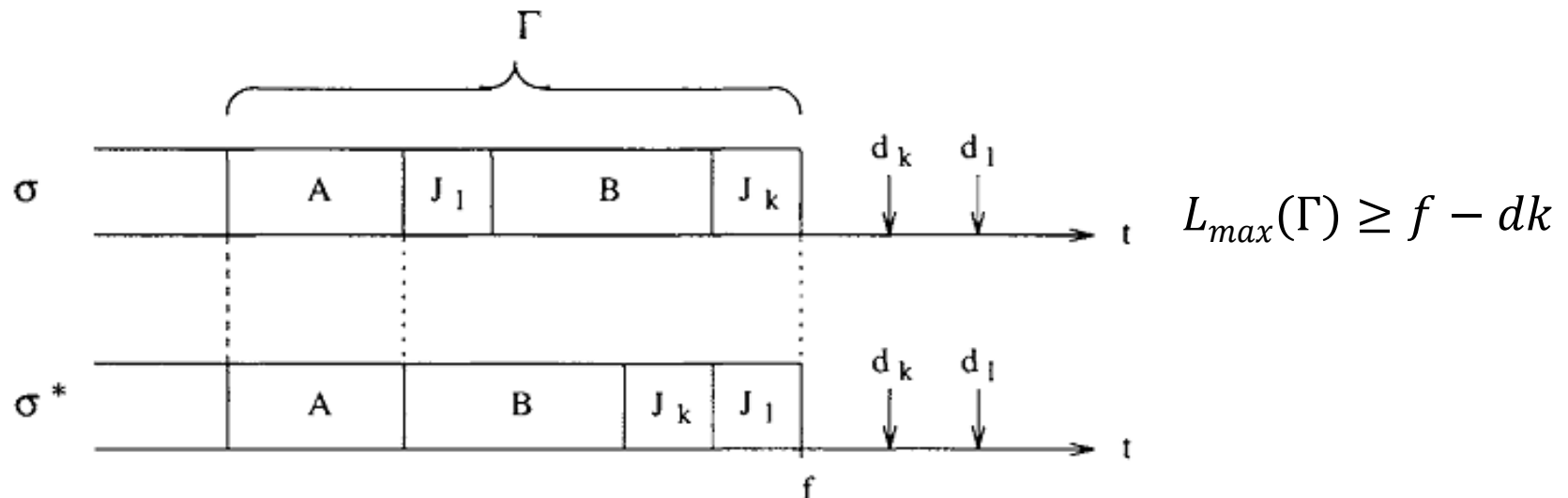
- Schedule  $\sigma^*$  obtained by moving  $J_i$  to the end of the schedule

$$\Gamma = A \cup B \cup \{J_k\} \cup \{J_i\}$$

$$L_{max}^*(\Gamma) = \max[L_{max}^*(A), L_{max}^*(B), L_k^*, L_l^*].$$



# LDF optimality



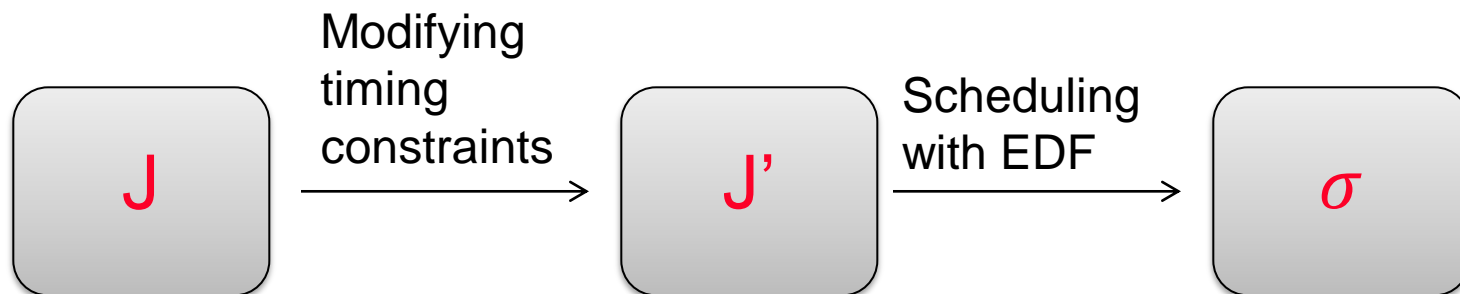
$$L_{max}^*(\Gamma) = \max[L_{max}^*(A), L_{max}^*(B), L_k^*, L_l^*].$$

- $L_{max}^*(A) = L_{max}(A) \leq L_{max}(\Gamma)$  because  $A$  is not moved;
- $L_{max}^*(B) \leq L_{max}(B) \leq L_{max}(\Gamma)$  because  $B$  starts earlier in  $\sigma^*$ ;
- $L_k^* \leq L_k \leq L_{max}(\Gamma)$  because task  $J_k$  starts earlier in  $\sigma^*$ ;
- $L_l^* = f - d_l \leq f - d_k \leq L_{max}(\Gamma)$  because  $d_k \leq d_l$ .

$$\rightarrow L_{max}^*(\Gamma) \leq L_{max}(\Gamma)$$

# EDF with precedence constraints

- ❑ Scheduling problem:  $(1|prec, preem|L_{max})$
  - ❑ Difficulty: EDF is not optimal with precedence constraints
  - ❑ Provided task  $J_a, J_b \in J$  that  $J_a \rightarrow J_b$  (immediate predecessor)
- ➔ Starting time of  $J_b$  and deadline of  $J_a$  will be modified to replace precedence constraint

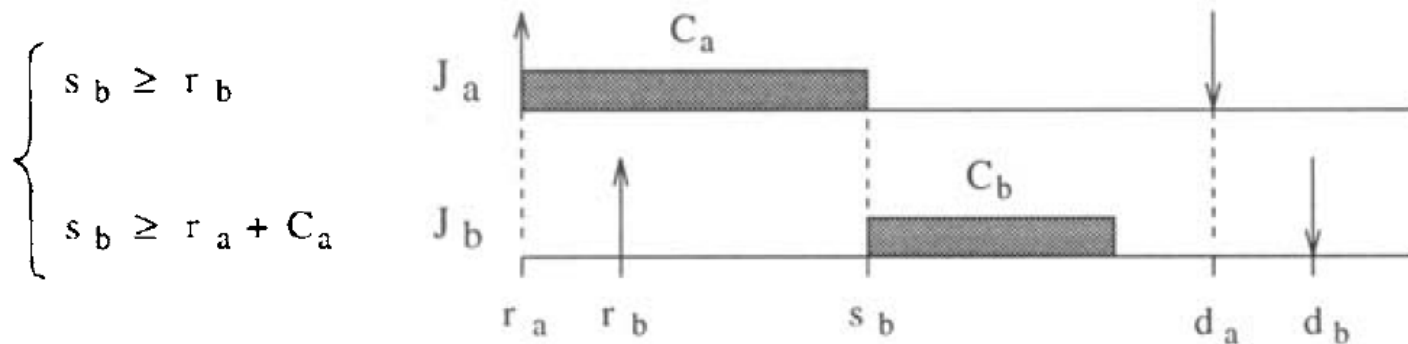


# Modifying timing constraints

## Starting time

$s_b \geq r_b$  (that is,  $J_b$  must start the execution not earlier than its release time);

$s_b \geq r_a + C_a$  (that is,  $J_b$  must start the execution not earlier than the minimum finishing time of  $J_a$ ).



➔ Modifying release time of  $J_b$

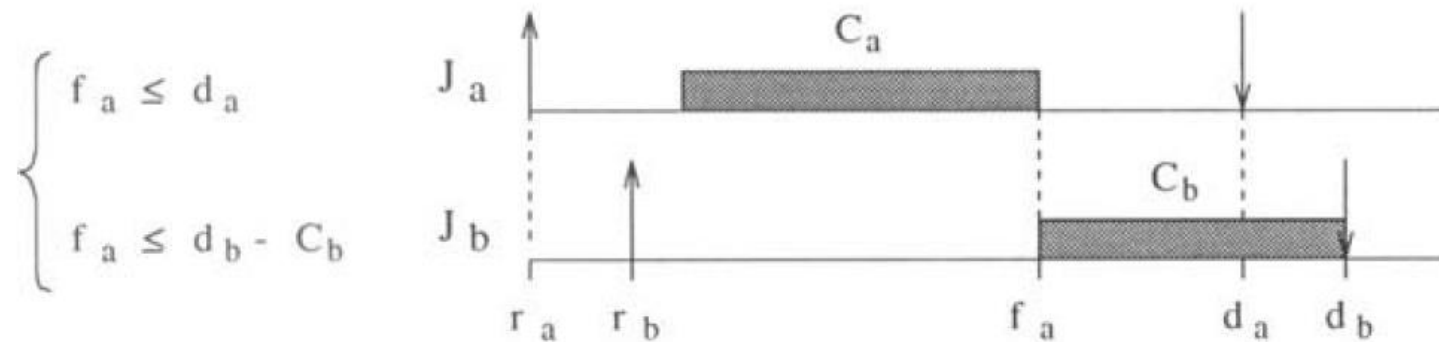
$$r_b^* = \max(r_b, r_a + C_a).$$

# Modifying timing constraints

## Deadline

$f_a \leq d_a$  (that is,  $J_a$  must finish the execution within its deadline);

$f_a \leq d_b - C_b$  (that is,  $J_a$  must finish the execution not later than the maximum start time of  $J_b$ ).



➔ Modifying deadline of  $J_a$

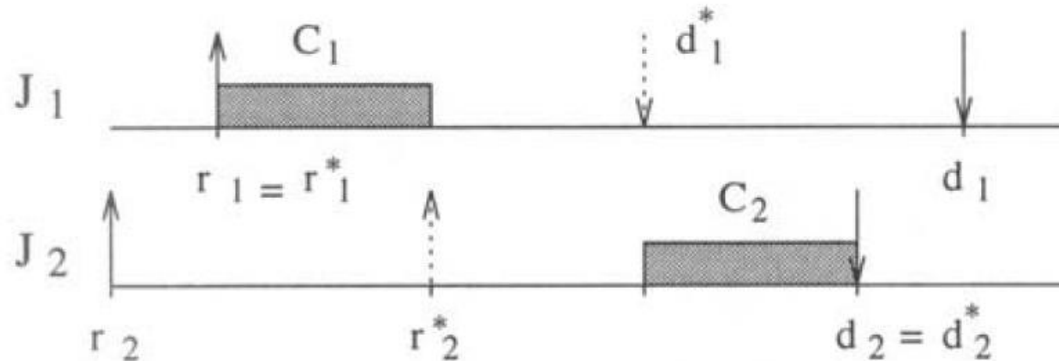
$$d_a^* = \min(d_a, d_b - C_b)$$

# Proof of optimality



$$\begin{cases} r_1^* = r_1 \\ r_2^* = r_1 + C_1 \end{cases}$$

$$\begin{cases} d_1^* = d_2 - C_2 \\ d_2^* = d_2 \end{cases}$$



□ New timing constraints preserve precedence constraints and original deadline constraints

➔ Schedulability of task set is preserved



## Example

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- Given seven tasks A, B, C, D, E, F, G with precedence relations

$$A \rightarrow C$$

$$B \rightarrow C$$

$$C \rightarrow E$$

$$D \rightarrow F$$

$$B \rightarrow D$$

$$C \rightarrow F$$

$$D \rightarrow G$$

All tasks arrive at time  $t = 0$ , have deadline  $D = 20$ , and computation time 2, 3, 3, 5, 1, 2, 5, respectively.

Modify their arrival times and deadlines to schedule them by EDF.

$$r_b^* = \max(r_b, r_a + C_a).$$

$$d_a^* = \min(d_a, d_b - C_b)$$

# Summary

	sync. activation	preemptive async. activation	non-preemptive async. activation
independent	<b>EDD</b> (Jackson '55) $O(n \log n)$ Optimal	<b>EDF</b> (Horn '74) $O(n^2)$ Optimal	<i>Tree search</i> (Bratley '71) $O(n n!)$ Optimal
precedence constraints	<b>LDF</b> (Lawler '73) $O(n^2)$ Optimal	<b>EDF *</b> (Chetto et al. '90) $O(n^2)$ Optimal	<b>Spring</b> (Stankovic & Ramamritham '87) $O(n^2)$ Heuristic

**Figure 3.17** Scheduling algorithms for aperiodic tasks.