CSB051 – Computer Networks 電腦網路

Chapter 2 Application Layer

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Chapter 2: Outline

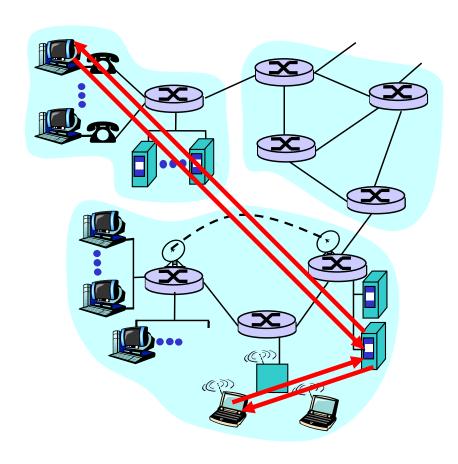
- 2.1 Principles of network applications
- 2.2 Web and HTTP
- □ 2.3 FTP
- 2.4 Electronic Mail
 - SMTP, POP3, IMAP
- □ 2.5 DNS

- 2.6 P2P applications
- 2.7 Socket programming with TCP
- 2.8 Socket programming with UDP

Application architectures

- Client-server
- □ Peer-to-peer (P2P)
- ☐ Hybrid of client-server and P2P

Client-server architecture



server:

- always-on host
- permanent IP address
- server farms for scaling

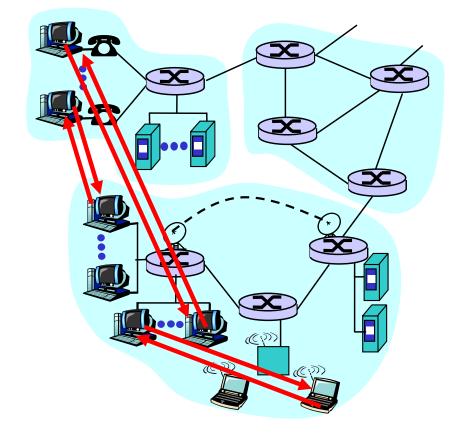
clients:

- communicate with server
- may be intermittently connected
- may have dynamic IP addresses
- do not communicate directly with each other

Pure P2P architecture

- no always on server
- arbitrary end systems directly communicate
- peers are intermittently connected and change IP addresses
- example: Gnutella

Highly scalable



But difficult to manage

Hybrid of client-server and P2P

Napster

- File transfer P2P
- File search centralized:
 - Peers register content at central server
 - · Peers query same central server to locate content

Instant messaging

- Chatting between two users is P2P
- Presence detection/location centralized:
 - User registers its IP address with central server when it comes online
 - User contacts central server to find IP addresses of buddies

Processes communicating

- Process: program running within a host.
- within same host, two processes communicate using inter-process communication (defined by OS).
- processes in different hosts communicate by exchanging messages

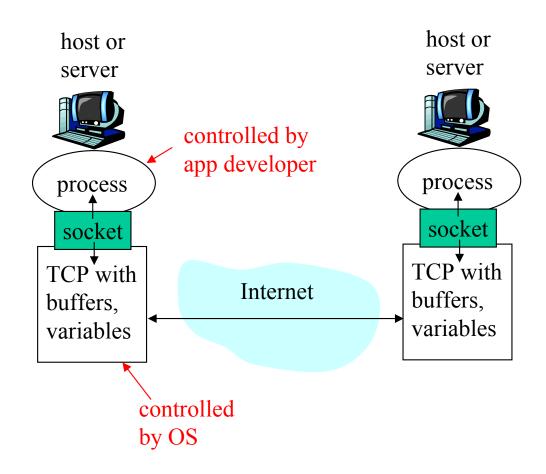
Client process: process that initiates communication

Server process: process that waits to be contacted

 Note: applications with P2P architectures have client processes & server processes

Sockets

- process sends/receives messages to/from its socket
- socket analogous to door
 - sending process shoves message out door
 - sending process relies on transport infrastructure on other side of door which brings message to socket at receiving process



□ API: (1) choice of transport protocol; (2) ability to fix a few parameters (lots more on this later)

Internet transport protocols services

TCP service:

- connection-oriented: setup required between client and server processes
- reliable transport between sending and receiving process
- flow control: sender won't overwhelm receiver
- congestion control: throttle sender when network overloaded
- does not provide: timing, minimum throughput guarantees, security

UDP service:

- unreliable data transfer between sending and receiving process
- does not provide: connection setup, reliability, flow control, congestion control, timing, throughput guarantee, or security
- Q: why bother? Why is there a UDP?

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Web and HTTP

First some jargon

- Web page consists of objects
- Object can be HTML file, JPEG image, Java applet, audio file,...
- Web page consists of base HTML-file which includes several referenced objects
- Each object is addressable by a URL
- □ Example URL:

www.someschool.edu/someDept/pic.gif

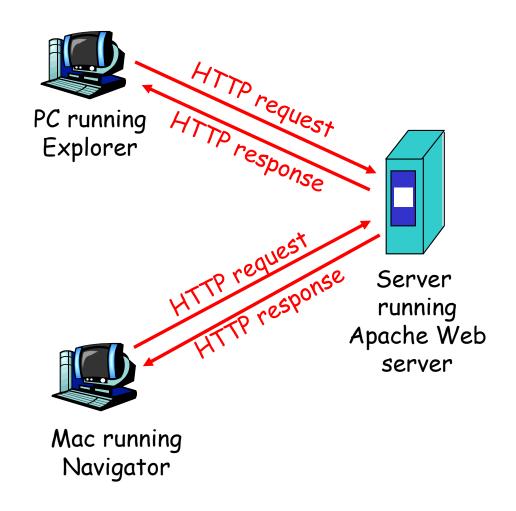
host name

path name

HTTP overview

HTTP: hypertext transfer protocol

- Web's application layer protocol
- client/server model
 - client: browser that requests, receives, "displays" Web objects
 - server: Web server sends objects in response to requests
- ☐ HTTP 1.0: RFC 1945
- ☐ HTTP 1.1: RFC 2616



HTTP overview (continued)

Uses TCP:

- client initiates TCP
 connection (creates socket)
 to server, port 80
- server accepts TCP connection from client
- □ HTTP messages (applicationlayer protocol messages) exchanged between browser (HTTP client) and Web server (HTTP server)
- TCP connection closed

HTTP is "stateless"

server maintains no information about past client requests

aside

Protocols that maintain "state" are complex!

- past history (state) must be maintained
- if server/client crashes, their views of "state" may be inconsistent, must be reconciled

HTTP connections

Nonpersistent HTTP

- □ At most one object is sent over a TCP connection.
- □ HTTP/1.0 uses nonpersistent HTTP

Persistent HTTP

- Multiple objects can be sent over single TCP connection between client and server.
- □ HTTP/1.1 uses
 persistent connections
 in default mode

Nonpersistent HTTP

Suppose user enters URL

www.someSchool.edu/someDepartment/home.index

(contains text, references to 10 jpeg images)

- 1a. HTTP client initiates TCP connection to HTTP server (process) at www.someSchool.edu on port 80
- 1b. HTTP server at host
 www.someSchool.edu waiting
 for TCP connection at port 80.
 "accepts" connection, notifying
 client

- 2. HTTP client sends HTTP request message (containing URL) into TCP connection socket. Message indicates that client wants object someDepartment/home.index
- 3. HTTP server receives request message, forms response
 message containing requested object, and sends message into its socket



Nonpersistent HTTP (cont.)



- 5. HTTP client receives response message containing html file, displays html. Parsing html file, finds 10 referenced jpeg objects
- 6. Steps 1-5 repeated for each of 10 jpeg objects

4. HTTP server closes TCP connection.



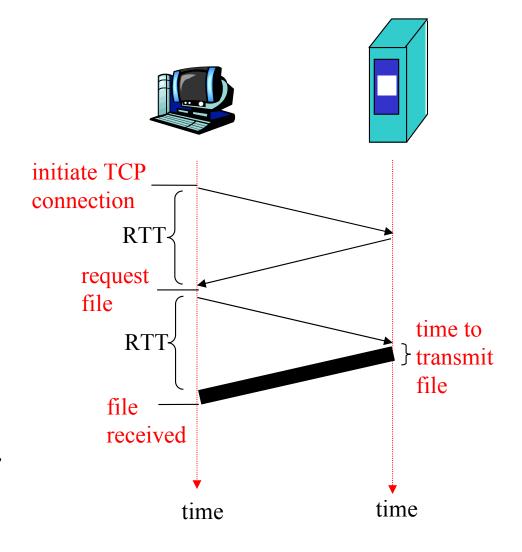
Response time modeling

Definition of RRT: time to send a small packet to travel from client to server and back.

Response time:

- one RTT to initiate TCP connection
- one RTT for HTTP request and first few bytes of HTTP response to return
- □ file transmission time

total = 2RTT+transmit time



Persistent HTTP

Nonpersistent HTTP issues:

- requires 2 RTTs per object
- OS must work and allocate host resources for each TCP connection
- but browsers often open parallel TCP connections to fetch referenced objects

Persistent HTTP

- server leaves connection open after sending response
- subsequent HTTP messages between same client/server are sent over connection

Persistent without pipelining:

- client issues new request only when previous response has been received
- one RTT for each referenced object

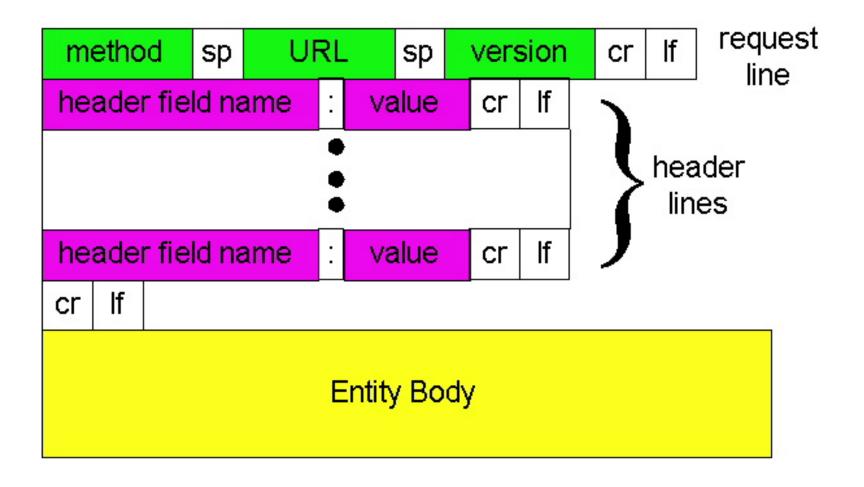
Persistent with pipelining:

- default in HTTP/1.1
- client sends requests as soon as it encounters a referenced object
- as little as one RTT for all the referenced objects

HTTP request message

□ two types of HTTP messages: request, response ☐ HTTP request message: ASCII (human-readable format) request line-(GET, POST, GET /somedir/page.html HTTP/1.1 HEAD commands) Host: www.someschool.edu User-agent: Mozilla/4.0 header Connection: close Accept-language:fr Carriage return (extra carriage return, line feed) line feed indicates end of message

HTTP request message: general format



Uploading form input

Post method:

- Web page often includes form input
- □ Input is uploaded to server in entity body

URL method:

- Uses GET method
- Input is uploaded in URL field of request line:

www.somesite.com/animalsearch?monkeys&banana

Method types

HTTP/1.0

- ☐ GET
- POST
- HEAD
 - asks server to leave requested object out of response

HTTP/1.1

- GET, POST, HEAD
- PUT
 - uploads file in entity body to path specified in URL field
- DELETE
 - deletes file specified in the URL field

HTTP response message

```
status line
  (protocol-
                 HTTP/1.1 200 OK
 status code
                 Connection close
status phrase)
                 Date: Thu, 06 Aug 1998 12:00:15 GMT
                 Server: Apache/1.3.0 (Unix)
         header
                 Last-Modified: Mon, 22 Jun 1998 .....
           lines
                 Content-Length: 6821
                 Content-Type: text/html
data, e.g.,
                 data data data data ...
requested
HTML file
```

HTTP response status codes

In first line in server->client response message.

A few sample codes:

200 OK

o request succeeded, requested object later in this message

301 Moved Permanently

 requested object moved, new location specified later in this message (Location:)

400 Bad Request

request message not understood by server

404 Not Found

requested document not found on this server

505 HTTP Version Not Supported

Trying out HTTP (client side) for yourself

1. Telnet to your favorite Web server:

telnet cis.poly.edu 80

Opens TCP connection to port 80 (default HTTP server port) at cis.poly.edu. Anything typed in sent to port 80 at cis.poly.edu

2. Type in a GET HTTP request:

GET /~ross/ HTTP/1.1
Host: cis.poly.edu

By typing this in (hit carriage return twice), you send this minimal (but complete) GET request to HTTP server

3. Look at response message sent by HTTP server!

User-server state: cookies

Many major Web sites use cookies

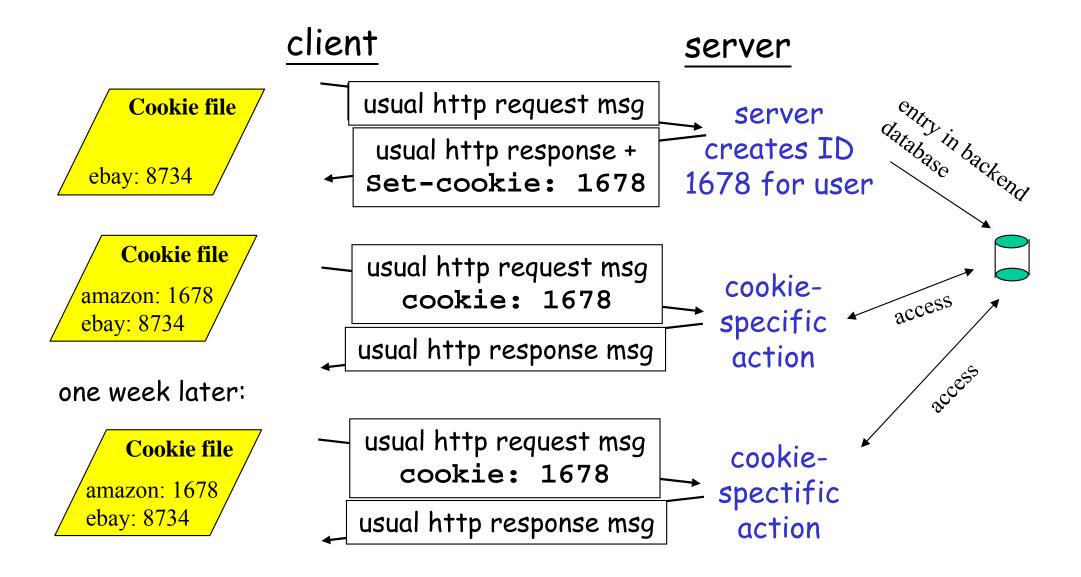
Four components:

- cookie header line in the HTTP response message
- 2) cookie header line in HTTP request message
- 3) cookie file kept on user's host and managed by user's browser
- 4) back-end database at Web site

Example:

- Susan access Internet always from same PC
- She visits a specific ecommerce site for first time
- When initial HTTP requests arrives at site, site creates a unique ID and creates an entry in backend database for ID

Cookies: keeping "state" (cont.)



Cookies (continued)

What cookies can bring:

- authorization
- shopping carts
- recommendations
- user session state(Web e-mail)

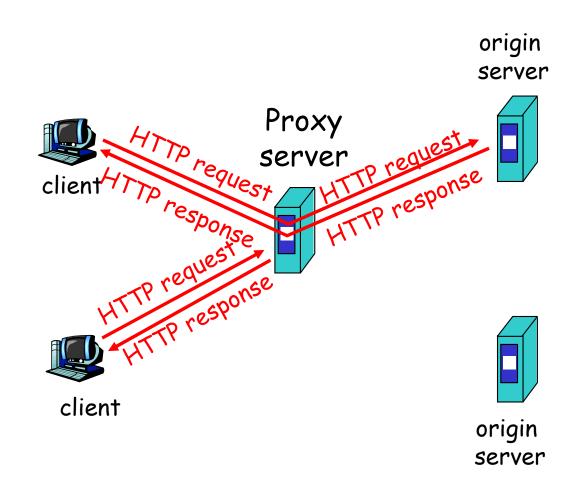
Cookies and privacy:

- cookies permit sites to learn a lot about you
- you may supply name and e-mail to sites
- search engines use redirection & cookies to learn yet more
- advertising companies obtain info across sites

Web caches (proxy server)

Goal: satisfy client request without involving origin server

- user sets browser: Web accesses via cache
- browser sends all HTTP requests to cache
 - object in cache: cache returns object
 - else cache requests object from origin server, then returns object to client



More about Web caching

- Cache acts as both client and server
- Typically cache is installed by ISP (university, company, residential ISP)

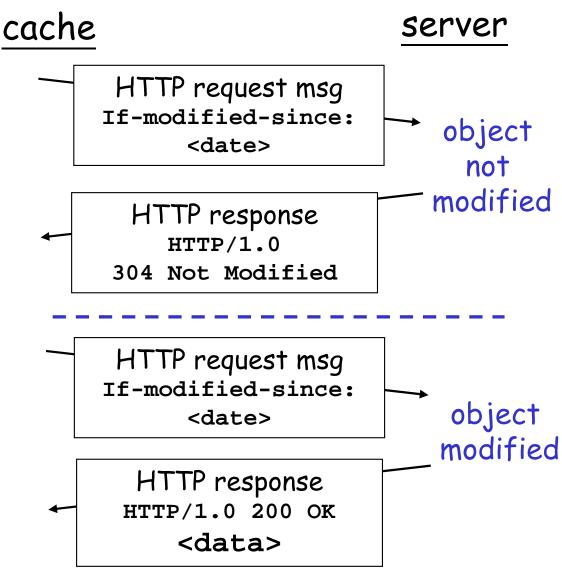
Why Web caching?

- Reduce response time for client request.
- Reduce traffic on an institution's access link.
- Internet dense with caches enables "poor" content providers to effectively deliver content (but so does P2P file sharing)

Conditional GET

- Goal: don't send object if cache has up-to-date cached version
- server: response contains no object if cached copy is upto-date:

HTTP/1.0 304 Not Modified

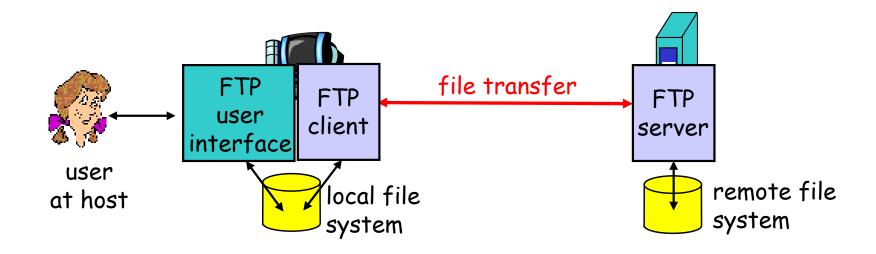


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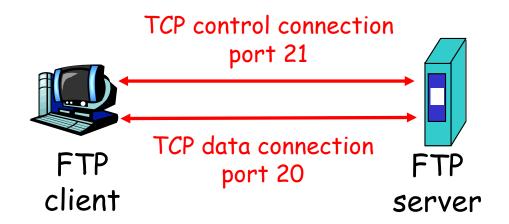
FTP: the file transfer protocol



- transfer file to/from remote host
- client/server model
 - client: side that initiates transfer (either to/from remote)
 - o server: remote host
- □ ftp: RFC 959
- □ ftp server: port 21

FTP: separate control, data connections

- FTP client contacts FTP server at port 21, specifying
 TCP as transport protocol
- Client obtains authorization over control connection
- Client browses remote directory by sending commands over control connection.
- When server receives a command for a file transfer, the server opens a TCP data connection to client
- After transferring one file, server closes connection.



- Server opens a second TCP data connection to transfer another file.
- Control connection: "out of band"
- □ FTP server maintains "state": current directory, earlier authentication

FTP commands, responses

Sample commands:

- sent as ASCII text over control channel
- □ USER username
- □ PASS password
- LIST return list of file in current directory
- □ RETR filename retrieves (gets) file
- □ STOR filename Stores (puts) file onto remote host

Sample return codes

- status code and phrase (as in HTTP)
- □ 331 Username OK, password required
- 125 data connection already open; transfer starting
- 425 Can't open data connection
- 452 Error writing file

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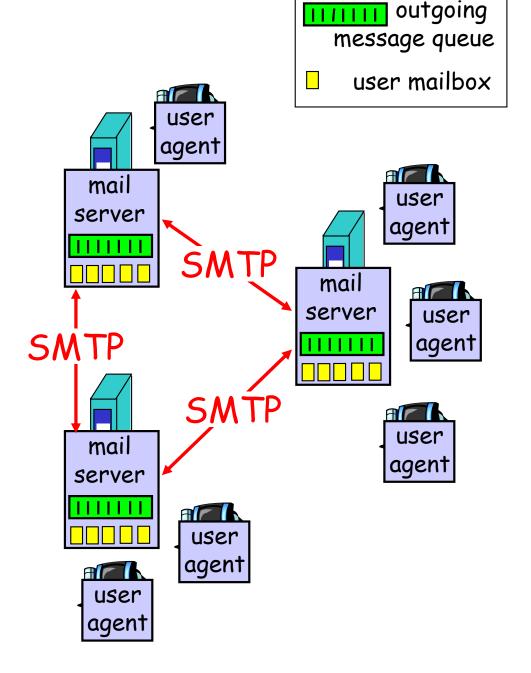
Electronic Mail

Three major components:

- user agents
- mail servers
- simple mail transfer protocol: SMTP

User Agent

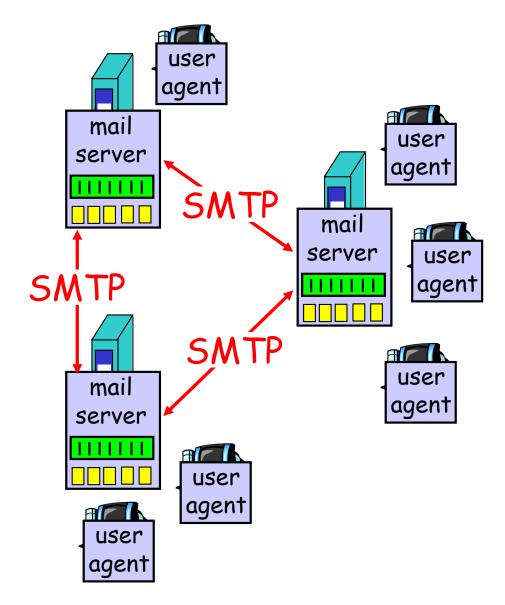
- □ a.k.a. "mail reader"
- composing, editing, reading mail messages
- e.g., Eudora, Outlook, elm,Netscape Messenger
- outgoing, incoming messages stored on server



Electronic Mail: mail servers

Mail Servers

- mailbox contains incoming messages for user
- message queue of outgoing (to be sent) mail messages
- SMTP protocol between mail servers to send email messages
 - client: sending mail server
 - "server": receiving mail server



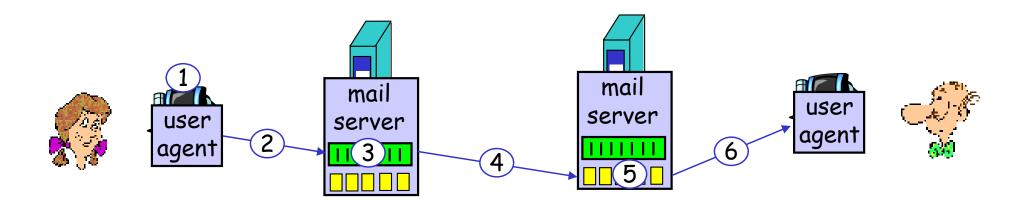
Electronic Mail: SMTP [RFC 2821]

- uses TCP to reliably transfer email message from client to server, port 25
- direct transfer: sending server to receiving server
- three phases of transfer
 - handshaking (greeting)
 - transfer of messages
 - closure
- command/response interaction
 - o commands: ASCII text
 - o response: status code and phrase
- messages must be in 7-bit ASCII

Scenario: Alice sends message to Bob

- 1) Alice uses UA to compose message and "to" bob@someschool.edu
- 2) Alice's UA sends message to her mail server; message placed in message queue
- 3) Client side of SMTP opens TCP connection with Bob's mail server

- 4) SMTP client sends Alice's message over the TCP connection
- 5) Bob's mail server places the message in Bob's mailbox
- 6) Bob invokes his user agent to read message



Sample SMTP interaction

```
S: 220 hamburger.edu
C: HELO crepes.fr
S: 250 Hello crepes.fr, pleased to meet you
C: MAIL FROM: <alice@crepes.fr>
S: 250 alice@crepes.fr... Sender ok
C: RCPT TO: <bob@hamburger.edu>
S: 250 bob@hamburger.edu ... Recipient ok
C: DATA
S: 354 Enter mail, end with "." on a line by itself
C: Do you like ketchup?
C: How about pickles?
C: .
S: 250 Message accepted for delivery
C: QUIT
S: 221 hamburger.edu closing connection
```

Try SMTP interaction for yourself:

- □ telnet servername 25
- □ see 220 reply from server
- enter HELO, MAIL FROM, RCPT TO, DATA, QUIT commands
- above lets you send email without using email client (reader)

SMTP: final words

- SMTP uses persistent connections
- SMTP requires message (header & body) to be in 7bit ASCII
- □ SMTP server uses

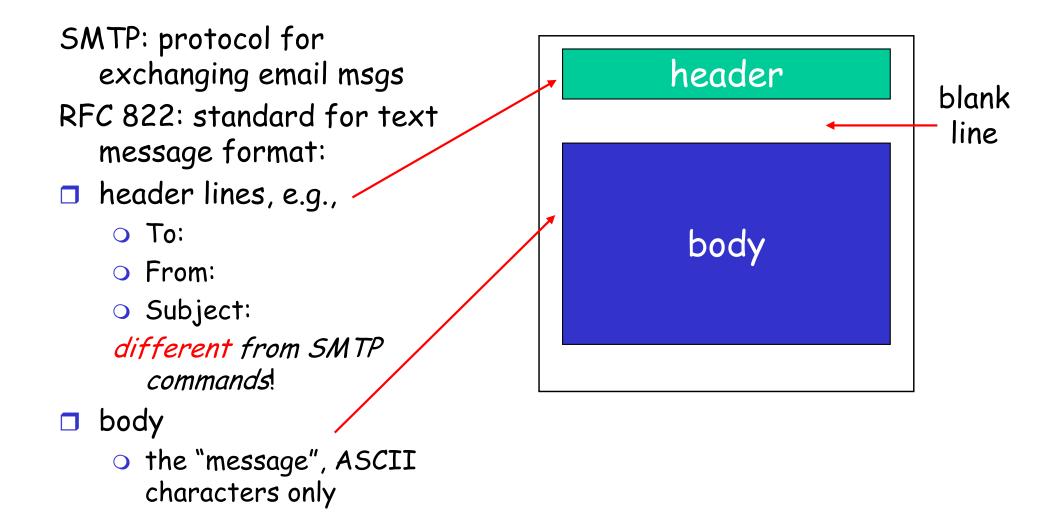
 CRLF.CRLF to determine

 end of message

Comparison with HTTP:

- HTTP: pull
- □ SMTP: push
- both have ASCII command/response interaction, status codes
- HTTP: each object encapsulated in its own response msg
- SMTP: multiple objects sent in multipart msg

Mail message format



Message format: multimedia extensions

- □ MIME: multimedia mail extension, RFC 2045, 2056
- additional lines in msg header declare MIME content type

```
MIME version

method used
to encode data

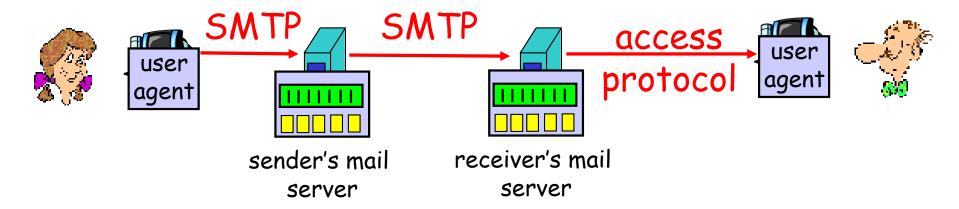
type, subtype,
parameter declaration

mime version

To: bob@hamburger.edu
Subject: Picture of yummy crepe.
MIME-Version: 1.0
Content-Transfer-Encoding: base64
Content-Type: image/jpeg

base64 encoded data .....
.....base64 encoded data
```

Mail access protocols



- SMTP: delivery/storage to receiver's server
- Mail access protocol: retrieval from server
 - POP: Post Office Protocol [RFC 1939]
 - authorization (agent <-->server) and download
 - IMAP: Internet Mail Access Protocol [RFC 1730]
 - more features (more complex)
 - manipulation of stored msgs on server
 - O HTTP: Hotmail, Yahoo! Mail, etc.

POP3 protocol

authorization phase

- client commands:
 - o user: declare username
 - o pass: password
- server responses
 - O +OK
 - -ERR

transaction phase, client:

- □ list: list message numbers
- retr: retrieve message by number
- □ dele: delete
- quit

```
S: +OK POP3 server ready
C: user bob
S: +OK
C: pass hungry
S: +OK user successfully logged on
C: list
S: 2 912
C: retr 1
S: <message 1 contents>
S:
C: dele 1
C: retr 2
S: <message 1 contents>
S:
C: dele 2
C: quit
S: +OK POP3 server signing off
```

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DNS: Domain Name System

People: many identifiers:

SSN, name, passport #

Internet hosts, routers:

- IP address (32 bit) used for addressing datagrams
- "name", e.g., ww.yahoo.com - used by humans

Q: map between IP addresses and name?

Domain Name System:

- distributed database implemented in hierarchy of many name servers
- application-layer protocol
 host, routers, name servers to
 communicate to resolve names
 (address/name translation)
 - note: core Internet function, implemented as application-layer protocol
 - complexity at network's "edge"

<u>DNS</u>

DNS services

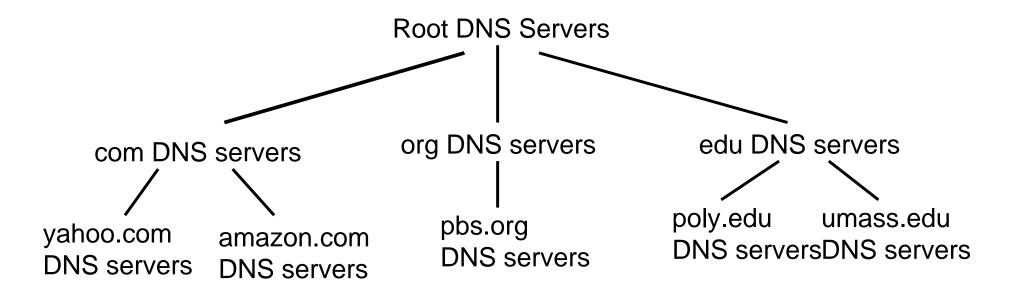
- Hostname to IP address translation
- Host aliasing
 - Canonical and alias names
- Mail server aliasing
- Load distribution
 - Replicated Web servers: set of IP addresses for one canonical name

Why not centralize DNS?

- single point of failure
- □ traffic volume
- distant centralized database
- maintenance

doesn't scale!

Distributed, Hierarchical Database



Client wants IP for www.amazon.com; 1st approx:

- Client queries a root server to find com DNS server
- Client queries com DNS server to get amazon.com DNS server
- Client queries amazon.com DNS server to get IP address for www.amazon.com

DNS: Root name servers

- contacted by local name server that can not resolve name
- root name server:
 - o contacts authoritative name server if name mapping not known
 - gets mapping
 - o returns mapping to local name server



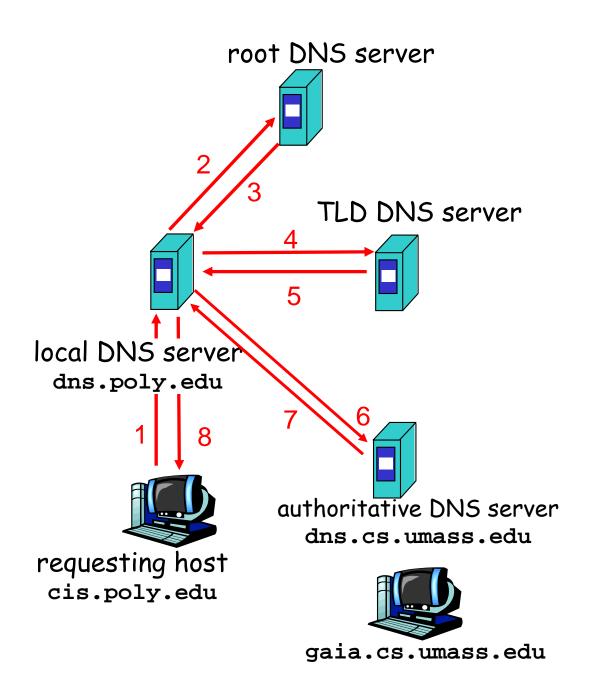
13 root name servers worldwide

Name Servers

- Top-level domain (TLD) servers: responsible for com, org, net, edu, etc, and all top-level country domains uk, fr, ca, jp.
 - Network solutions maintains servers for com TLD
 - Educause for edu TLD
- Authoritative DNS servers: organization's DNS servers, providing authoritative hostname to IP mappings for organization's servers (e.g., Web and mail).
 - Can be maintained by organization or service provider
- Local Name Server: also called "default name server"
 - When a host makes a DNS query, query is sent to its local DNS server. Acts as a proxy, forwards query into hierarchy.
 - Does not strictly belong to hierarchy
 - · Each ISP (residential ISP, company, university) has one.

Example

 Host at cis.poly.edu wants IP address for gaia.cs.umass.edu



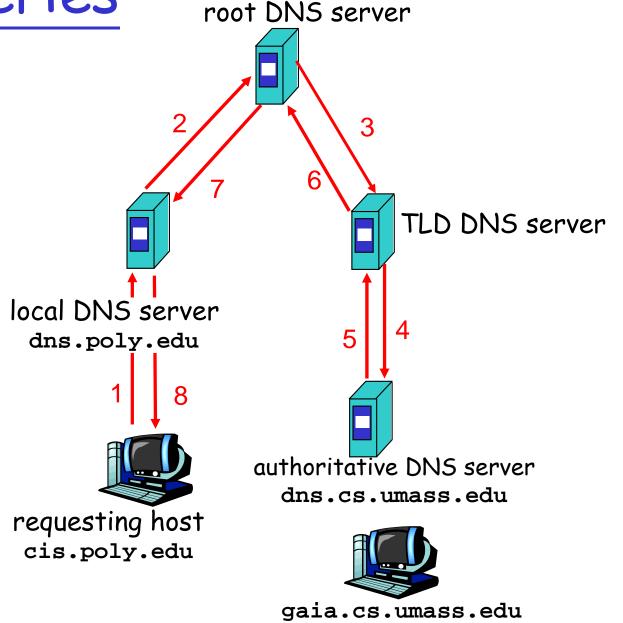
Recursive queries

recursive query:

- puts burden of name resolution on contacted name server
- heavy load?

iterated query:

- contacted server replies with name of server to contact
- "I don't know this name, but ask this server"



DNS: caching and updating records

- once (any) name server learns mapping, it caches mapping
 - cache entries timeout (disappear) after some time
 - TLD servers typically cached in local name servers
 - Thus root name servers not often visited
- update/notify mechanisms under design by IETF
 - o RFC 2136
 - http://www.ietf.org/html.charters/dnsind-charter.html

DNS records

DNS: distributed db storing resource records (RR)

RR format: (name, value, type, ttl)

- \square Type=A
 - o name is hostname
 - value is IP address
- □ Type=NS
 - name is domain (e.g. foo.com)
 - value is IP address of authoritative name server for this domain

- □ Type=CNAME
 - name is alias name for some "cannonical" (the real) name
- www.ibm.com is really servereast.backup2.ibm.com
 - o value is cannonical name
- □ Type=MX
 - value is name of mailserver associated with name

DNS protocol, messages

DNS protocol: query and reply messages, both with same message format

msg header

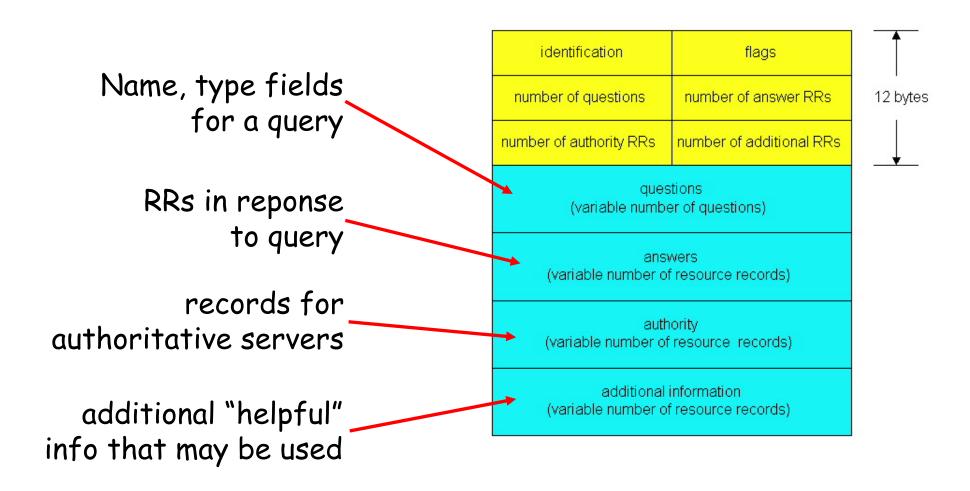
identification: 16 bit #
for query, reply to query
uses same #

□ flags:

- query or reply
- recursion desired
- recursion available
- reply is authoritative

identification	flags	1
number of questions	number of answer RRs	12 bytes
number of authority RRs	number of additional RRs	
questions (variable number of questions)		
answers (variable number of resource records)		
authority (variable number of resource records)		
additional information (variable number of resource records)		27

DNS protocol, messages



Inserting records into DNS

- □ Example: just created startup "Network Utopia"
- □ Register name networkuptopia.com at a registrar (e.g., Network Solutions)
 - Need to provide registrar with names and IP addresses of your authoritative name server (primary and secondary)
 - Registrar inserts two RRs into the com TLD server:

```
(networkutopia.com, dns1.networkutopia.com, NS)
(dns1.networkutopia.com, 212.212.212.1, A)
```

- □ Put in authoritative server Type A record for www.networkuptopia.com and Type MX record for networkutopia.com
- □ How do people get the IP address of your Web site?

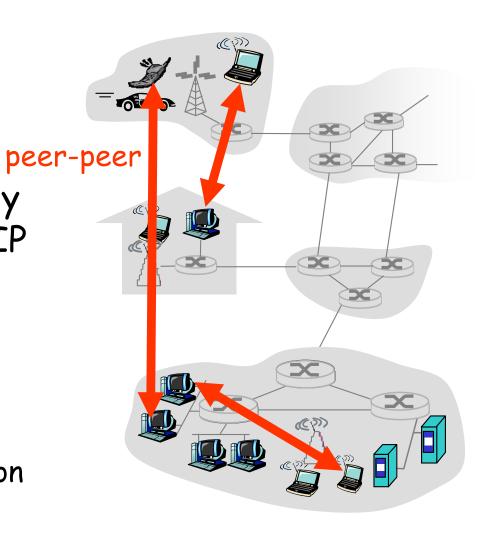
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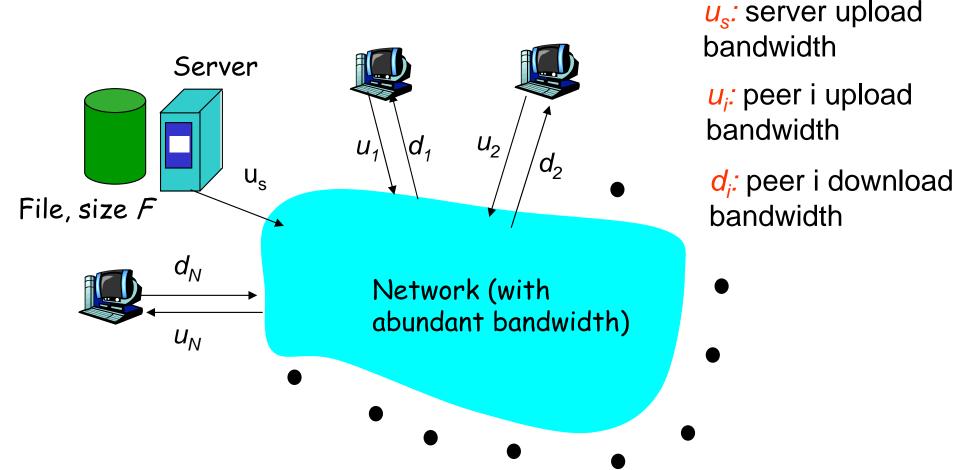
Pure P2P architecture

- □ no always-on server
- arbitrary end systems directly communicate
- peers are intermittently connected and change IP addresses
- □ Three topics:
 - File distribution
 - Searching for information
 - Case Study: Skype



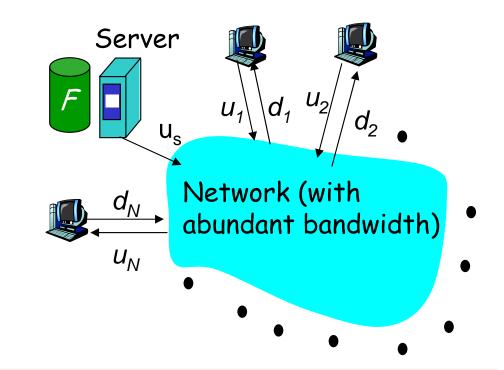
File Distribution: Server-Client vs P2P

Question: How much time to distribute file from one server to N peers?



File distribution time: server-client

- server sequentially sends N copies:
 - NF/u_s time
- client i takes F/d_i time to download



Time to distribute F to N clients using = d_{cs} = $\max \{ NF/u_s, F/\min(d_i) \}$ client/server approach

increases linearly in N (for large N) 2: Application Layer

File distribution time: P2P

- \square server must send one copy: F/u_s time
- client i takes F/d; time
 to download
- NF bits must be downloaded (aggregate)
 - \blacksquare fastest possible upload rate: $u_s + \sum u_i$

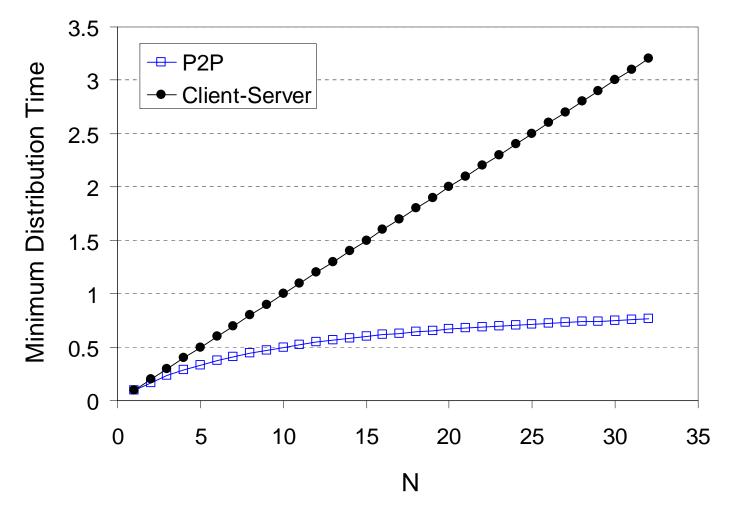
Server
$$u_1 \qquad u_2 \qquad d_2$$

$$u_N \qquad Network (with abundant bandwidth)$$

$$d_{P2P} = \max \{ F/u_s, F/\min(d_i), NF/(u_s + \Sigma u_i) \}$$

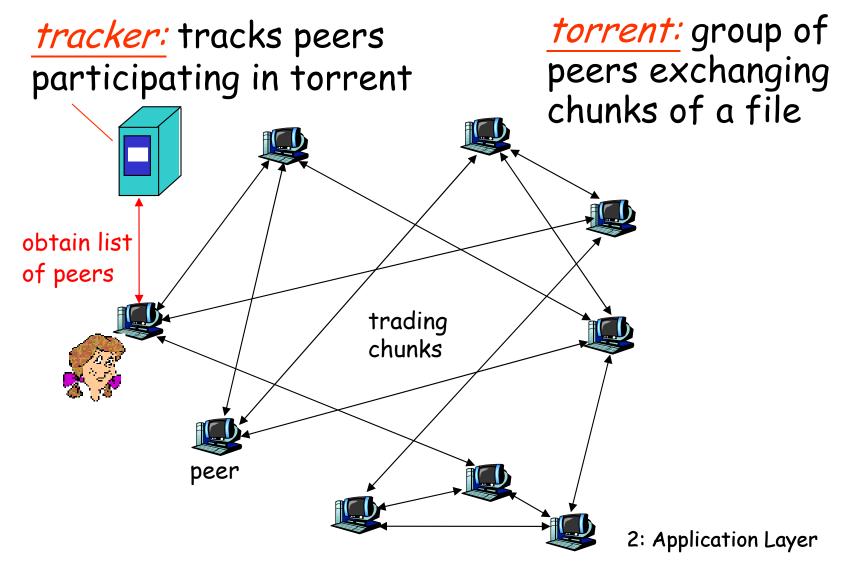
Server-client vs. P2P: example

Client upload rate = u, F/u = 1 hour, $u_s = 10u$, $d_{min} \ge u_s$



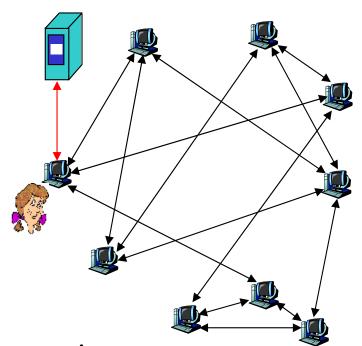
File distribution: BitTorrent

P2P file distribution



BitTorrent (1)

- ☐ file divided into 256KB *chunks*.
- peer joining torrent:
 - o has no chunks, but will accumulate them over time
 - registers with tracker to get list of peers, connects to subset of peers ("neighbors")
- while downloading, peer uploads chunks to other peers.
- peers may come and go
- once peer has entire file, it may (selfishly) leave or (altruistically) remain



BitTorrent (2)

Pulling Chunks

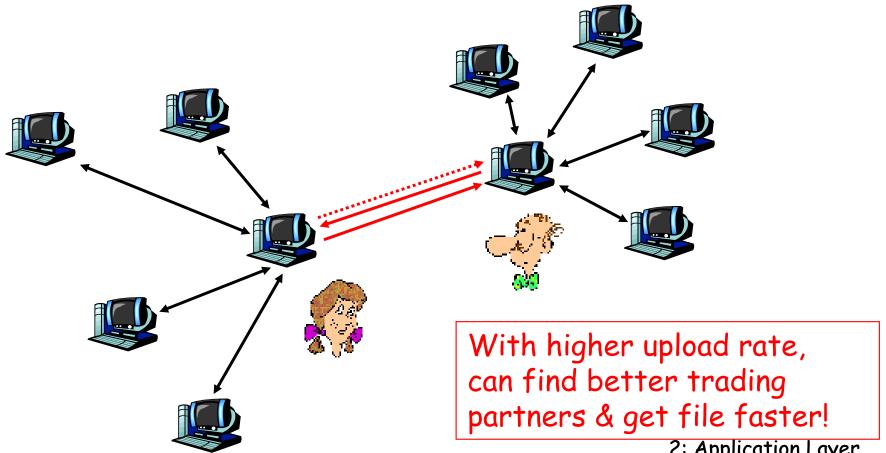
- □ at any given time, different peers have different subsets of file chunks
- periodically, a peer (Alice) asks each neighbor for list of chunks that they have.
- Alice sends requests for her missing chunks
 - o rarest first

Sending Chunks: tit-for-tat

- Alice sends chunks to four neighbors currently sending her chunks at the highest rate
 - re-evaluate top 4 every10 secs
- every 30 secs: randomly select another peer, starts sending chunks
 - newly chosen peer may join top 4
 - * "optimistically unchoke"

BitTorrent: Tit-for-tat

- (1) Alice "optimistically unchokes" Bob
- (2) Alice becomes one of Bob's top-four providers; Bob reciprocates
- (3) Bob becomes one of Alice's top-four providers



Distributed Hash Table (DHT)

- □ DHT = distributed P2P database
- Database has (key, value) pairs;
 - o key: ss number; value: human name
 - o key: content type; value: IP address
- □ Peers query DB with key
 - ODB returns values that match the key
- Peers can also insert (key, value) peers

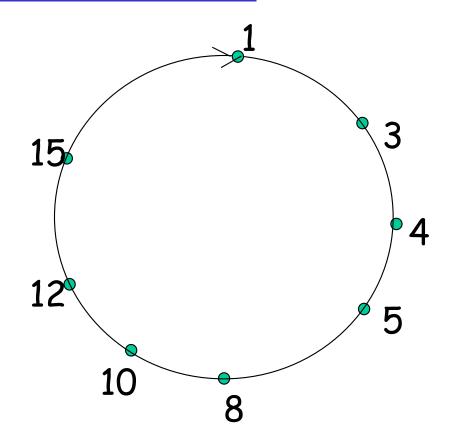
DHT Identifiers

- Assign integer identifier to each peer in range [0,2ⁿ-1].
 - Each identifier can be represented by n bits.
- □ Require each key to be an integer in same range.
- □ To get integer keys, hash original key.
 - o eg, key = h("Led Zeppelin IV")
 - This is why they call it a distributed "hash" table

How to assign keys to peers?

- □ Central issue:
 - Assigning (key, value) pairs to peers.
- □ Rule: assign key to the peer that has the closest ID.
- □ Convention in lecture: closest is the immediate successor of the key.
- \square Ex: n=4; peers: 1,3,4,5,8,10,12,14;
 - o key = 13, then successor peer = 14
 - o key = 15, then successor peer = 1

Circular DHT (1)



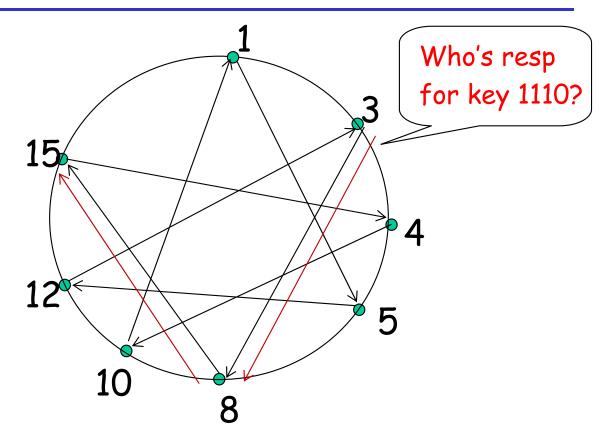
- □ Each peer *only* aware of immediate successor and predecessor.
- "Overlay network"

Circle DHT (2)

successor

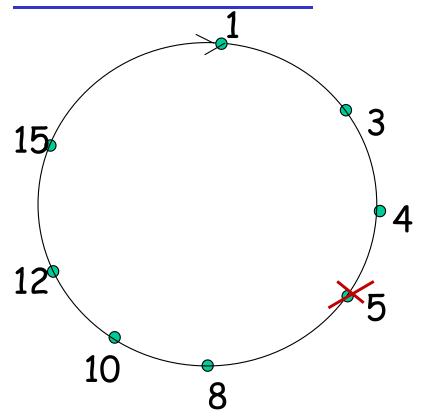
0001 O(N) messages Who's resp on avg to resolve for key 1110? I am query, when there 0013 are N peers 1111 1110 0100 1110 1110 1100 0101 1110 1110 Define closest 1110 1010 as closest 1000

Circular DHT with Shortcuts



- □ Each peer keeps track of IP addresses of predecessor, successor, short cuts.
- □ Reduced from 6 to 2 messages.
- Possible to design shortcuts so O(log N) neighbors, O(log N) messages in query

Peer Churn

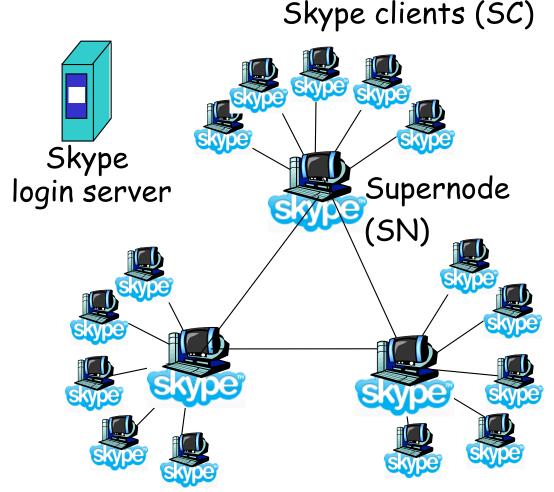


- •To handle peer churn, require each peer to know the IP address of its two successors.
- Each peer periodically pings its two successors to see if they are still alive.

- Peer 5 abruptly leaves
- □ Peer 4 detects; makes 8 its immediate successor; asks 8 who its immediate successor is; makes 8's immediate successor its second successor.
- □ What if peer 13 wants to join?

P2P Case study: Skype

- inherently P2P: pairs of users communicate.
- proprietary
 application-layer
 protocol (inferred via reverse engineering)
- hierarchical overlay with SNs
- □ Index maps usernames to IP addresses; distributed over SNs

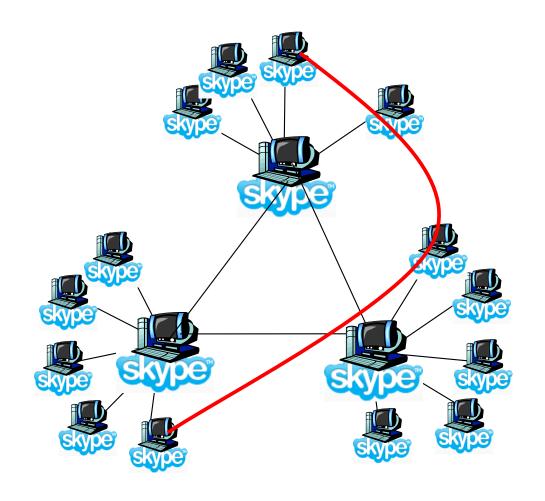


Peers as relays

- Problem when both Alice and Bob are behind "NATs".
 - NAT prevents an outside peer from initiating a call to insider peer

□ Solution:

- Using Alice's and Bob's SNs, Relay is chosen
- Each peer initiates session with relay.
- Peers can now communicate through NATs via relay



Chapter 2: Summary

- Application architectures
 - o client-server
 - o P2P
 - hybrid
- application service requirements:
 - reliability, bandwidth, delay
- Internet transport service model
 - connection-oriented, reliable: TCP
 - o unreliable, datagrams: UDP

- specific protocols:
 - **O** HTTP
 - o FTP
 - SMTP, POP, IMAP
 - O DNS
- socket programming