

In the following discussion,  $V$  is an IPS/ $\mathbb{C}$  with  $\dim < \infty$ .

**Recall**  $T \in \text{End}(V)$  is unitary  $\iff T : (V, (|)) \simeq (V, (|))$  or  $T^* = T^{-1}$ .

**Definition** We say matrix  $A \in M_{n \times n}(\mathbb{C})$  is unitary if  $A^\dagger = A^{-1}$ .

Thus, when  $V = \mathbb{C}^n + \text{std IP}$ ,  $\text{End}(V) = M_{n \times n}(\mathbb{C})$  via std basis, then unitary  $T \longleftrightarrow$  unitary matrix  $A$  since adjoint  $\longleftrightarrow A^\dagger$ .

Let  $P \in M_{n \times n}(\mathbb{C})$ ,  $P = (v_1 | \dots | v_n)$ ,  $v_i \in \mathbb{C}^n$ .

$P^\dagger = \begin{pmatrix} v_1^\dagger \\ \vdots \\ v_n^\dagger \end{pmatrix}$ , therefore the  $(i, j)$ -th entry of  $P^\dagger P$  is  $v_i^\dagger v_j = v_i \cdot v_j$  (std IP).

$\implies (P \text{ unitary} \iff P^\dagger P = \text{id})$  i.e. its column vectors are orthonormal.

Same discussions on  $PP^\dagger$  gives  $(P \text{ unitary} \iff PP^\dagger = \text{id})$  i.e. its row vectors are orthonormal.

## Spectral Theorem for normal operators

**Recall**  $T \in \text{End}(V)$  is said to be normal if  $TT^* = T^*T$ .

**Theorem** The following are equivalent for  $T \in \text{End}(V)$  :

1.  $\exists$  ONB  $v_1, \dots, v_n$  of  $V$  and corresponding eigenvalues  $\lambda_1, \dots, \lambda_n \in \mathbb{C}$  s.t.  $Tv_i = \lambda_i v_i, \forall i$ ,
2.  $T$  is normal.

In terms of matrices, take  $V = \mathbb{C}^n + \text{std IP}$ , then (1) says:

$\exists P \in M_{n \times n}(\mathbb{C})$  unitary, and  $\exists \lambda_1, \dots, \lambda_n \in \mathbb{C}$  s.t.  $P^{-1}AP = \begin{pmatrix} \lambda_1 & & \\ & \ddots & \\ & & \lambda_n \end{pmatrix}$  where  $A \longleftrightarrow T$ .

**Proof of (1)  $\implies$  (2)** Choosing an ONB of  $V$ , may assume  $V = \mathbb{C}^n, T \longleftrightarrow A \in M_{n \times n}(\mathbb{C})$ .

$$P^{-1}AP = \begin{pmatrix} \lambda_1 & & \\ & \ddots & \\ & & \lambda_n \end{pmatrix}, A = P \begin{pmatrix} \lambda_1 & & \\ & \ddots & \\ & & \lambda_n \end{pmatrix} P^{-1}.$$

$$T^* \longleftrightarrow A^\dagger = (P^{-1})^\dagger \begin{pmatrix} \overline{\lambda_1} & & \\ & \ddots & \\ & & \overline{\lambda_n} \end{pmatrix} P^\dagger = P \begin{pmatrix} \overline{\lambda_1} & & \\ & \ddots & \\ & & \overline{\lambda_n} \end{pmatrix} P^\dagger.$$

Calculation shows

$$AA^\dagger = P \begin{pmatrix} |\lambda_1|^2 & & \\ & \ddots & \\ & & |\lambda_n|^2 \end{pmatrix} P^\dagger = A^\dagger A,$$

so  $A$  is normal by definition.

Alternatively, let  $v_1, \dots, v_n$  be as in (1). Can check:  $T^*v_i = \overline{\lambda_i}v_i \implies T^*T = TT^*, v_i \mapsto |\lambda_i|^2 v_i$ .

**Proposition** Any  $T \in \text{End}(V)$  decomposes uniquely into  $T' + T''$  where  $T'$  is self-adjoint and  $T''$  is anti-adjoint. Moreover, if  $T$  is normal,  $T'T'' = T''T'$ .

**Proof** (Uniqueness) If  $T = T' + T'' = S' + S''$  are two such decompositions, let  $T' - S' = S'' - T'' =: R \implies -R = R^* = R \implies R = 0 \in \text{End}(V)$ .

(Existence)

$$T' = \frac{T + T^*}{2}, T'' = \frac{T - T^*}{2}$$

is a valid decomposition. Here we prove the second statement for normal  $T$  by using  $TT^* = T^*T$ .  $\square$

**Proposition** Let  $V_0 \in V$  : subspace be  $T$ -invariant. Then  $V_0^\perp$  is  $T^*$ -invariant.

**Proof** Let  $v \in V_0^\perp$ . For all  $v_0 \in V_0$ ,  $(T^*v|v_0) = (v|Tv_0) = 0$ . □

**Proof of (2)  $\implies$  (1)**

1.  $T$  is self-adjoint. Claim:  $\exists v_1 \in V, \|v_0\| = 1$  and  $\exists \lambda_1 \in \mathbb{R}$  s.t.  $Tv_1 = \lambda_1 v_1$ .

**Proof** Take any eigenvalue  $\lambda_1 \in \mathbb{C}, v_1 \in V \setminus \{0\}, Tv_1 = \lambda_1 v_1$ .

$$\overline{\lambda_1} = (Tv_1|v_1) = (v_1|T^*v_1) = (v_1|Tv_1) = \lambda_1 \implies \lambda_1 \in \mathbb{R}.$$

$$\text{Claim} \implies V = \underbrace{\langle v_1 \rangle \oplus \langle v_1 \rangle^\perp}_{\text{both } T\text{-invariant}}, \langle v_1 \rangle = \mathbb{C}v_1.$$

Now  $T|_{\langle v_1 \rangle^\perp}$  is still self-adjoint, and  $T|_{\langle v_1 \rangle} = \lambda_1 \cdot \text{id}$  is self-adjoint as well.

Induction on  $\dim V =: n$  to get ONB  $v_2, \dots, v_n$  and corresponding real eigenvalues  $\lambda_2, \dots, \lambda_n \in \mathbb{R}, Tv_i = \lambda_i v_i$ . Merge it with Claim to extend to  $n = 1$ . □

2.  $T$  is anti-adjoint. Merging (1) and (2) will solve the normal case.

$$(iT)^* = -iT^* = iT \implies \exists \text{ ONB } v_1, \dots, v_n \in V, \lambda_1, \dots, \lambda_n \in \mathbb{R},$$

$$(iT)(v_i) = \lambda_i v_i \implies Tv_i = (-\lambda_i i)v_i.$$

In fact: all  $\lambda_j \in i\mathbb{R}$ .

3. General normal  $T$ .

$$T = T' + T'', T'T'' = T''T' \implies \text{Simultaneous Orthonormal Diagonalization.}$$

Let  $V = V_{\mu_1} \oplus \dots \oplus V_{\mu_m}$  where  $\mu_1, \dots, \mu_m$  are distinct eigenvalues of  $T'$ , and  $V_{\mu_i} \perp V_{\mu_j}$  if  $i \neq j$ .

From  $T'T'' = T''T'$  we know all  $V_{\mu_i}$  is  $T''$ -invariant,  $\because \forall v \in V_{\mu_i}, T'(T''v) = T''(T'v) = \mu_i T''v$ .

Also  $(T''|_{V_{\mu_i}})^* = -T''|_{V_{\mu_i}}$  since  $T''$  is anti-adjoint. From (2) we know  $V_{\mu_i}$  has an ONB consisting of eigenvectors of  $T''$ .

$\implies$  Now we have an ONB of  $V$ , both  $T', T''$  act by scalar on any element in ONB.

$\implies$  So is  $T = T' + T''$ . □

To sum up all previous conclusions:

$$\begin{aligned} T^* = T & \iff \forall \text{ eigenvalue } \lambda \in \mathbb{R} \\ T^* = -T & \iff \forall \text{ eigenvalue } \lambda \in i\mathbb{R} \\ T^* = T^{-1} & \iff \forall \text{ eigenvalue } |\lambda| = 1 \end{aligned}$$

In particular,  $T^* = T^{-1} \iff \bar{\lambda} = \lambda^{-1} \iff |\lambda| = 1$ .

**Many facts for  $\text{IPS}/_{\mathbb{R}}$  carry over to  $\text{IPS}/_{\mathbb{C}}$**

**Theorem** Let  $f : \mathbb{C}^n \rightarrow \mathbb{R}$  be an Hermitian form corresponding to  $A \in M_{n \times n}(\mathbb{C}), A^\dagger = A$ . Then

$$\begin{aligned} f \text{ is positive definite} & \iff \text{all eigenvalues of } A \text{ are } > 0, \\ f \text{ is positive semi-definite} & \iff \text{all eigenvalues of } A \text{ are } \geq 0. \end{aligned}$$

**Proof** Spectral Theorem  $\implies \exists$  unitary  $C$  s.t.  $C^\dagger AC = \begin{pmatrix} \lambda_1 & & \\ & \ddots & \\ & & \lambda_n \end{pmatrix}, \lambda_i \in \mathbb{R}$ .

$\Rightarrow f \simeq \sum_{i=1}^n \lambda_i |x_i|^2$  as Hermitian forms on  $\mathbb{C}$ . Rescale  $x_i$  to reduce coefficients to  $\{\pm 1\}$ .  $\square$

Let  $T \in \text{End}(V)$ ,  $T^* = T$ . Then  $(v_1, v_2) \mapsto (Tv_1|v_2) = (v_1|Tv_2) = \overline{(Tv_2|v_1)}$  is Hermitian. We say  $T$  is positive definite etc. if this Hermitian form is. Note that this implies that whenever we say  $T$  is positive definite, etc., **we assume  $T$  is self-adjoint**.

Let  $V, W$  be IPS/ $\mathbb{C}$  and  $T \in \text{Hom}(V, W) \Rightarrow T^*T \in \text{End}(V)$ ,  $TT^* \in \text{End}(W)$  both positive semi-definite since  $(T^*Tv|v) = (Tv|Tv) \geq 0$  and the other case is similar. Here

$$\begin{aligned} \text{Positive definite} &\iff \ker T = \{0\} \quad (\text{for } T^*T), \\ &\ker T^* = \{0\} \quad (\text{for } TT^*). \end{aligned}$$

In fact,  $\ker T^* = (\text{im } T)^\perp$  for all  $T \in \text{Hom}(V, W)$ ,  
 $\because T^*w = 0 \iff \forall v \in V, (v|T^*w) = 0 \iff (Tv|w) = 0 \iff w \in (\text{im } T)^\perp$ .

**Definition-Proposition** If  $T \in \text{End}(V)$  is positive definite (resp. positive semi-definite), then  $\exists! S \in \text{End}(V)$ , positive definite (resp. positive semi-definite), and  $S^2 = T$ . Notation:  $S = \sqrt{T}$ .

**Proof** Same as the real case using spectral theorem.  $\square$

**Theorem (Polar Decomposition)** Let  $T \in \text{End}(V)$  invertible. Then  $\exists!$  pair of operators  $R, U \in \text{End}(V)$  s.t.  $T = RU$ , where  $R$  is positive definite and  $U$  is unitary.

Special case:  $V = \mathbb{C}$ ,  $\text{End}(V) \simeq \mathbb{C}$ ,  $\because$  all linear maps are equivalent to a scalar. Here  
 positive definite  $\iff \mathbb{R}_{>0}$ , unitary  $\iff |\cdot| = 1$ .

**Proof** Same as in the real case. In fact,  $R = \sqrt{TT^*}$  : positive definite,  $U = R^{-1}T$ .  $\square$