

## § Complex inner product space / Hermitian space

**Definition** Given  $V : \mathbb{C}$ -vs. A **complex / Hermitian inner product space** on  $V$  means an Hermitian form  $(\cdot|\cdot)_V : V \times V \rightarrow \mathbb{C}$ , positive definite. The datum  $(V, (\cdot|\cdot)_V)$  is called a complex / Hermitian IPS, or simply IPS/ $\mathbb{C}$ .

$\forall v \in V$ , its **length**  $\|v\| := \sqrt{(v|v)}$ , so:

1.  $\|v\| = 0 \iff v = 0$  since  $(\cdot|\cdot)_V$  is positive definite.
2.  $\|tv\| := \sqrt{(tv|tv)} = |t|\|v\|, \forall t \in \mathbb{C}$ .
3.  $\|v + w\|^2 = \|v\|^2 + \|w\|^2 + 2\operatorname{Re}(v|w)$ . If  $(v|w) = 0$ , we say they are **diagonal**, written as  $v \perp w$ , in which case  $\|v + w\|^2 = \|v\|^2 + \|w\|^2$ .
4. If  $\|v\| = 1$ , we say  $v$  is a **unit vector**.

In general, given a subset  $S \subset V$ :

- $S$  is **orthogonal** if  $S \not\ni 0$ , and  $\forall v, w \in S, v \neq w \implies v \perp w$ .
- $S$  is **orthonormal** if  $S$  is orthogonal and  $\forall v \in S, \|v\| = 1$ .

**Fact**  $S \subset V$  orthogonal  $\implies S$  linearly independent.

**Proof** For  $v = \sum_{i=1}^m a_i v_i, a_i \in \mathbb{C}, v_i \in S$ , we can write  $a_i = \frac{(v_i|v)}{(v_i|v_i)}$ . Hence

$$(v_i|v) = \sum_{j=1}^m a_j (v_i|v_j) = a_i (v_i|v_i),$$

that is  $v = 0 \iff \forall i, a_i = 0$ . ■

**Definition** Let  $V : \text{IPS}/\mathbb{C}$ ,  $V_0, V_1$  be subspaces of  $V$ .  $V_0 \perp V_1$  means  $\forall v_0 \in V_0, v_1 \in V_1, v_0 \perp v_1$ . Given a family of subspaces  $(V_i)_{i \in I}$  of  $V$ , if  $V = \bigoplus_{i \in I} V_i$  as vector space,  $i \neq j \implies V_i \perp V_j$ , we say  $V$  is the **orthogonal direct sum** of  $(V_i)_{i \in I}$ .

**Fact** Given  $V : \text{IPS}/\mathbb{C}$ ,  $V_0 \subset V$  : subspace,  $\dim V_0 < \infty$ , define

$$V_0^\perp := \{v \in V \mid \forall v_0 \in V_0, (v|v_0) = 0\},$$

then  $V = V_0 \oplus V_0^\perp$ . Proof same as the real case with the construction

$$v = \underbrace{\sum_{i=1}^m (v|v_i) v_i}_{\in V_0} + \underbrace{\left( v - \sum_{i=1}^m (v|v_i) v_i \right)}_{\in V_0^\perp},$$

where  $v_1, \dots, v_m$  is an ONB of  $V_0$ . This is also the **orthogonal projection** of  $v$  to  $V_0$ .

**Proposition**  $v \perp w \implies \|v + w\|^2 = \|v\|^2 + \|w\|^2$ . Proof somewhere above.

**Theorem (Cauchy-Bunyakovsky-Schwarz)** In a IPS/ $\mathbb{C}$   $V$ , we have

$$|(v|w)|^2 \leq (v|v)(w|w),$$

with equality  $\iff v, w$  linearly dependent.

**Proof** Recall that in the real case we calculated the discriminant of  $(tv + w|tv + w)$  and showed that  $\Delta \geq 0$  is equivalent to the statement to be proved. Here we use similar tricks.

Assume  $w \neq 0$ .  $\forall t \in \mathbb{C}, 0 \leq \|v + tw\|^2 = \|v\|^2 + |t|^2 \|w\|^2 + 2\operatorname{Re}(v|tw)$ . Put  $t = -\frac{(w|v)}{(w|w)}$  to get

$$0 \leq \|v\|^2 - \frac{|(v|w)|^2}{(w|w)}.$$

If equality holds then  $v = -tw$  for this choice of  $t$ , hence  $v, w$  are linearly dependent. Conversely, if  $v = tw$  for some  $t$ , then we can easily show the equality holds. ■

**Corollary (Triangle Inequality)**  $\|v + w\| \leq \|v\| + \|w\|$ , with equality  $\iff v = tw \vee w = tv$  for some  $t \geq 0$ .

**Proof**

$$\|v + w\|^2 = \|v\|^2 + \|w\|^2 + 2\operatorname{Re}(v|w) \leq \|v\|^2 + \|w\|^2 + 2|(v|w)| \leq (\|v\| + \|w\|)^2.$$

Equality holds when  $v = tw \vee w = tv, t \in \mathbb{C} \implies t \geq 0$  for 1st equality. ■

**Theorem (Gram-Schmidt)** Let  $V$  be an IPS over  $\mathbb{C}$ ,  $v_1, \dots, v_n \in V$  be linearly independent. Define deductively:

$$w_1 = v_1, \\ w_k = v_k - \sum_{i=1}^{k-1} \frac{(v_k|w_i)}{(w_i|w_i)} w_i,$$

then  $w_1, \dots, w_n$  are orthogonal and  $\forall k, \langle v_1, \dots, v_k \rangle = \langle w_1, \dots, w_k \rangle$ .

**Proof** Same as real case with due care on complex conjugation. ■

Can get orthonormal  $u_1, \dots, u_n$  with  $u_i = \frac{w_i}{\|w_i\|}$ , and  $w_i = v_i$  if  $v_i$  is already orthogonal.

**Consequences**

- Let  $v_1, \dots, v_l \in V$  be orthogonal (resp. orthonormal), where  $V$  is an IPS on  $\mathbb{C}$  with  $\dim < \infty$   
 $\implies$  They can be extended to an orthogonal (resp. orthonormal) basis of  $V$ .  
 $\because$  They can be first extended to a basis, then apply Gram-Schmidt to get an orthogonal (resp. orthonormal) basis.
- $\exists$  ONB for any IPS/ $\mathbb{C}$  by setting  $l = 0$  in (1).

**Examples**

- Standard inner product of  $\mathbb{C}^n$ :  $x \cdot y = \sum_{i=1}^n \overline{x_i} y_i$  or  $x^\dagger y$ .  
Standard basis  $e_1, \dots, e_n$ :  $e_i = (\dots, 1, \dots)$  with 1 placed at the  $i$ -th entry.
- Function space: Let  $a < b$  in  $\mathbb{R}$ ,  $C[a, b] = \{f : [a, b] \rightarrow \mathbb{C}, \text{continuous}\}$ .  
This forms a  $\mathbb{C}$ -vector space, and we can define a inner product  $(f|g) = \int_a^b f(x)g(x) dx$ , which is positive definite and Hermitian. However  $\dim = \infty$ .
- Variant: limit the previous space to polynomials. Can study the result of Gram-Schmidt, etc.

**Notion of isomorphisms** Let  $V, W$  be IPS/ $\mathbb{C}$ . If  $T \in \operatorname{Hom}(V, W)$ ,  $\|Tv\|_W = \|v\|_V, \forall v \in V$ , we say  $T$  is **isometry**. If  $V \xrightleftharpoons[S]{T} W$  are a pair of isometry, and  $ST = \operatorname{id}_V, TS = \operatorname{id}_W$ , we say they are **isomorphisms**.

**Observations** (same as the real case)

- $T \in \operatorname{Hom}(V, W)$  is an isometry  $\iff (Tv_1|Tv_2)_W = (v_1|v_2)_V, \forall v_1, v_2 \in V$ .  
 $\because \|\cdot\|^2$  determines  $\operatorname{Re}(\cdot|\cdot)$ , and by adding an  $i$  it further determines  $\operatorname{Im}(\cdot|\cdot)$ .
- If  $T \in \operatorname{Hom}(V, W)$  is an isometry, then  $T$  is an isomorphism between IPS/ $\mathbb{C}$ , i.e.  $T^{-1}$  is an isometry,  $\because \forall w \in W, \|T^{-1}w\|_V = \|v\|_V = \|Tv\|_W = \|w\|_W$  where  $v := T^{-1}w$ .
- Suppose  $\dim V =: n \in \mathbb{Z}_{\geq 1}$ , then

$$\{\text{Isomorphisms of } \text{IPS}/_{\mathbb{C}} : \mathbb{C}^n + \text{std IP} \xrightarrow{\sim} V\} \xleftrightarrow{1:1} \{\text{Ordered ONB } v_1, \dots, v_n \text{ of } V\}.$$

Since we already know

$$\{\text{Isomorphism of } \mathbb{C}\text{-vs} : \mathbb{C}^n \xrightarrow{\sim} V\} \xleftrightarrow{1:1} \{\text{Ordered basis } v_1, \dots, v_n \text{ of } V\}.$$

- Given  $T$  as above, if  $T$  is  $\simeq$  of  $\text{IPS}/_{\mathbb{C}}$ , then  $Te_1, \dots, Te_n$  is an ONB since  $e_1, \dots, e_n$  is an ONB.
- Given an ONB  $v_1, \dots, v_n \in V$ , define  $T$  s.t.  $Te_i = v_i$ ,

$$\left\| T\left(\sum_i a_i e_i\right) \right\|^2 = \left\| \sum_i a_i v_i \right\|^2 = \sum_i |a_i|^2 = \left\| \sum_i a_i e_i \right\|^2.$$

4. Since any  $\text{IPS}/_{\mathbb{C}}$  with  $\dim = n$  has an ONB, they are  $\simeq_{\varphi} (\mathbb{C}^n, \text{std IP})$  where  $\varphi$  is not unique.

**Definition (Unitary operator)** Given  $V : \text{IPS}/_{\mathbb{C}}$ , a **unitary operator** on  $V$

$$:= \text{Isomorphism } (V, (|)) \xrightarrow{\sim} (V, (|)),$$

i.e. automorphism of  $\text{IPS}/_{\mathbb{C}}$ . Here the term “operator” means linear map  $V \rightarrow V$ .

**Proposition** Given  $V : \text{IPS}/_{\mathbb{C}}$ ,  $\dim < \infty$ . Then  $T$  unitary  $\iff T^* = T^{-1}$ .

Here  $T^*$  can always be defined since  $(|)_V$  is nondegenerate.

**Proof** Assume  $T$  is invertible.

$$\begin{aligned} T \text{ unitary} &\iff (Tv_1|Tv_2) = (v_1|v_2), \forall v_1, v_2 \in V \\ &\iff (v'_1|Tv_2) = (T^{-1}v'_1|v_2), \forall v'_1, v_2 \in V \\ &\iff T^* = T^{-1} \text{ by definition.} \end{aligned}$$