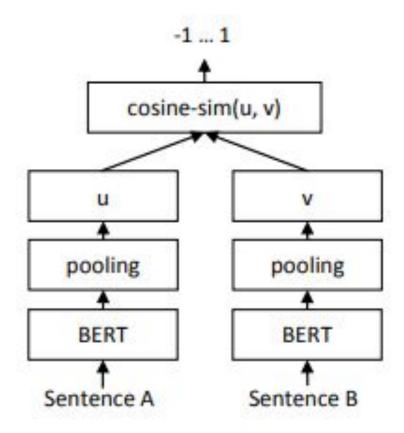
Hyperboloid embeddings and Hypersphere similarity metrics for Sentence-BERT

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Sentence-BERT (SBERT)

- SBERT is a modified BERT network
- Better for deriving semantically meaningful sentence embeddings
- State-of-art sentence embedding model that is computationally efficient to get sentence embeddings
- Uses siamese and triplet network structures
- Uses distance as similarity metrics and contrastive loss

Sentence-BERT (SBERT) Architecture



Our Goal

- Analyze and understand SBERT
- Aim to improve SBERT
 - Changing the pooling layer to go from BERT word embeddings to sentence embeddings
 - Exploring other similarity metrics that might be more suited for the semantic similarity task

Reference Sentence: That is a happy person.

| Sentence | Similarity Score | |
|-----------------------------|------------------|--|
| That is a very happy person | 0.943 | |
| That is a happy dog | 0.665 | |
| That is a happy girl | 0.685 | |

Table 1: Example of semantic similarity between sentences from SBERT model

Datasets

STSb (Semantic Textual Similarity Benchmark)

- A selection of English datasets that have been primarily used as a benchmark dataset for SemEval between 2012 and 2017
- Includes text from image captions, news headlines, and user forum
- Consists of 8628 sentence pairs from multiple genres
 - Divided into a train, dev, and test splits

| sentence2 | similarity score |
|---------------------------------|---|
| An air plane is taking off. | 5 |
| Two men are playing chess. | 2.6 |
| A man is skat- ing. | 0.5 |
| A man pours wine into a pot. | 3.2 |
| A girl is playing a guitar. | 2.8 |
| | An air plane is taking off. Two men are playing chess. A man is skating. A man pours wine into a pot. A girl is playing |

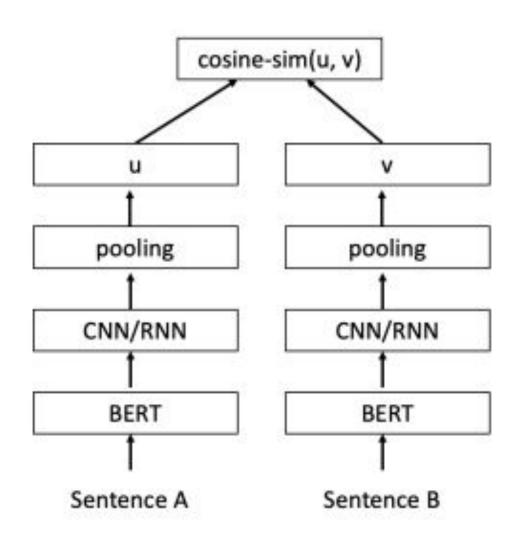
SNLI (Stanford Natural Language Inference)

- Contains 570,000 sentence pairs labeled as entailment, neutral, or contradiction
- Binary labeled dataset of sentence pairs
- Used for testing our uniformity and alignment as the similarity metrics in our loss function

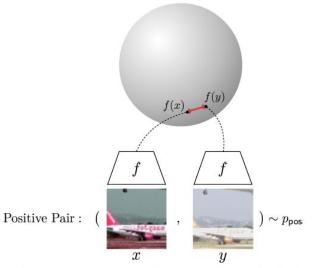
| Text | Judgments | Hypothesis |
|--|----------------------------|--|
| A man inspects the uniform of a figure in some East Asian country. | contradiction | The man is sleeping |
| An older and younger man smiling. | neutral N N E N N | Two men are smiling and laughing at the cats playing on the floor. |
| A black race car starts up in front of a crowd of people. | contradiction C C C C C | A man is driving down a lonely road. |
| A soccer game with multiple males playing. | entailment E E E E E | Some men are playing a sport. |
| A smiling costumed woman is holding an umbrella. | neutral N N E C N | A happy woman in a fairy costume holds an umbrella. |

Model

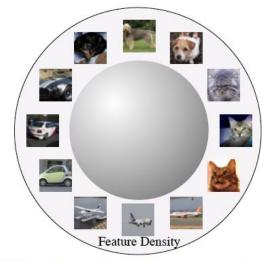
Proposed Architecture



Hyperspherical loss functions



Alignment: Similar samples have similar features.



Uniformity: Preserve maximal information.

Source: Wang and Isola (2020)

$$\mathcal{L}_{align}(f;\alpha) \triangleq \mathbb{E}_{(x,y) \sim p_{pos}}[\|f(x) - f(y)\|_{2}^{\alpha}]$$

$$\mathcal{L}_{uniform}(f;t) \triangleq \mathbb{E}_{(x,y)} \stackrel{\text{i.i.d.}}{\sim} \underset{p_{data}}{p_{data}} [\exp(-t||f(x) - f(y)||_2^2)]$$

Hyperboloid Embeddings

Lorentzian distance: $d_{\mathcal{L}}^2(\mathbf{a}, \mathbf{b}) = \|\mathbf{a} - \mathbf{b}\|_{\mathcal{L}}^2 = -2\beta - 2\langle \mathbf{a}, \mathbf{b} \rangle_{\mathcal{L}}$

Mapping: $g_{\beta} : \mathbb{R}^d \to \mathcal{H}^{d,\beta} \, \forall \, \mathbf{x} = (x_1, ..., x_d) \in \mathbb{R}^d$

$$g_{\beta}(\mathbf{x}) := (\sqrt{\|\mathbf{x}\|^2 + \beta}, x_1, ..., x_d) \in \mathcal{H}^{d,\beta}$$

Implementation Details

Implementation Details

- Baseline: bert-base-uncased followed by mean pooling
- Optimizer: SGD with lr = 0.001 and momentum = 0.9
- The NLI versions were trained on NLI for one epoch, and the STS versions were trained on the STS dataset for 10 epochs.
- Both types of models were validated every 10% of iterations on dev split of STS benchmark, and tested on test split of STS benchmark

Implementation Details

$$\mathcal{L}_{align}(f;\alpha) \triangleq \mathbb{E}_{(x,y) \sim p_{pos}}[\|f(x) - f(y)\|_{2}^{\alpha}]$$

$$\mathcal{L}_{uniform}(f;t) \triangleq \mathbb{E}_{(x,y)} \stackrel{\text{i.i.d.}}{\sim} p_{data} \left[\exp(-t\|f(x) - f(y)\|_{2}^{2}) \right]$$

$$\mathcal{L}_{total} = w_{a} \mathcal{L}_{align} + w_{u} \mathcal{L}_{uniform}$$

$$w_{a} = 5, w_{u} = 1, t = 10^{-10}, \alpha = 2$$

$$g_{\beta}(\mathbf{x}) \coloneqq (\sqrt{\|\mathbf{x}\|^{2} + \beta}, x_{1}, ..., x_{d}) \in \mathcal{H}^{d,\beta}, \beta = 0.1$$

Results

| Model | Distance Function | Loss Function | STS Benchmark Test Performance (Spearman coefficient) |
|------------------------------------|-------------------|------------------------|---|
| BERT-STSB-Baseline | Cosine | Contrastive | 0.839 |
| BERT-STSB-CNN + Mean Pooling | Cosine | Contrastive | 0.840 |
| BERT-STSB-CNN + Max Pooling | Cosine | Contrastive | 0.824 |
| BERT-STSB-hyperboloid | Lorentz | Contrastive | 0.591 |
| BERT-NLI-hypersphere | Euclidean | Uniformity - Alignment | 0.547 |
| BERT-NLI-hypersphere + hyperboloid | Lorentz | Uniformity - Alignment | 0.536 |

Future Work

- Hyperparameter optimization: more so than usual machine learning models, SBERT's
 performance is greatly influenced by hyperparameters of the loss function formulation,
 such as the relative weights of the uniformity and alignment losses and their
 parameters. However, over the course of experiments, we observed that
 hyperparameter search is computationally expensive and could be explored further in
 future works.
- One specific analysis could be to observe the model's performance when optimizing combinations of alignment loss, uniformity loss, and contrastive loss functions. Our work focused on comparing a few combinations of the first two functions against the sole optimization of the third.
- Further, we observed numerical instability when using the logarithm of the expected value of gaussian potential, as proposed by Wang & Isola (2020). Instead, we optimized the expected value of gaussian potential in this work. Future works could try to provide concrete mathematical analyses of this behavior in BERT embeddings since the original work was only tested on CNN and RNN encodings.

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Thank You!