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# Orbital Mechanics

## Module 4: Interplanetary trajectories

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## Interplanetary trajectories

- **Interplanetary transfers with flybys**

- Gravity assist manoeuvres
- Method of patched conics

- **Planetary Flyby**

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- Leading- and trailing-side flybys, triangle of velocities
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- Formulation as a constrained parametric optimisation problem
- Workflow
- Resolution strategies
- **Interplanetary Explorer Mission (First Assignment)**

# Auxiliar functions available in Beep

- A set of auxiliar MATLAB functions is **available in Beep**:
  - `lambertMR`: Lambert solver
  - `uplanet`: Analytical ephemeris of planets of the Solar System
  - `ephNEO`: Analytical ephemerides of several asteroids/small bodies.
  - `ephMoon`: Analytical ephemeris of the Moon
  - `astroConstants`: Function with astrodynamics-related physical constants (e.g. gravitational parameter of the Sun and planets)
  - **`timeConversion.zip`**: Compressed folder with several time conversion routines
- You can use these functions for the labs and the assignments.



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# INTERPLANETARY TRANSFERS WITH FLYBYS

# Deepening into interplanetary trajectory design

The direct path is not always the cheapest path

The results from the different **Mission Express** proposed in the previous module show that a direct interplanetary transfer using only departure and arrival manoeuvres **may not be technologically feasible** in all cases (**excessive  $\Delta V$  requirements and/or time of flight**).

Several techniques to enable cost-effective interplanetary travel:

- **Deep space manoeuvres (small orbit corrections).**
- **Planetary flybys (gravity assist).**

In this module, we will study the preliminary design of interplanetary missions taking advantage of **planetary flybys** (both unpowered and powered) using the **patched conics approach**.

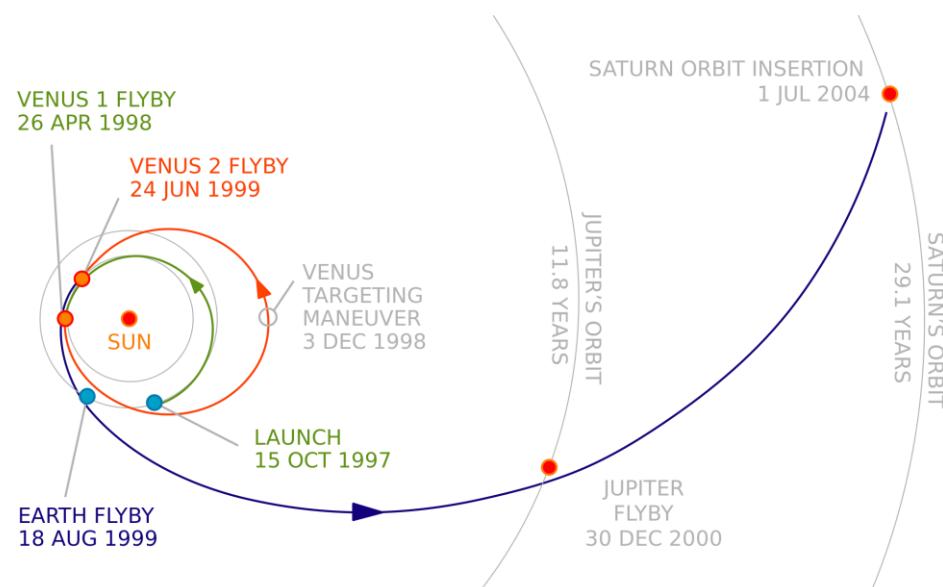
# Gravity assist manoeuvres

Harnessing the planet's gravity

**Gravity assist manoeuvres are used to modify the momentum of a spacecraft by leveraging the gravitational pull of a planet:**

- Provide  $\Delta V$  values beyond those achievable using current propulsion technology, enabling missions to the furthest reaches of the solar system (e.g. Pioneer 11, Voyager 1, Voyager 2, Cassini,...).
- Reduce time of flight and/or required propellant.
- Higher design complexity.

Cassini's interplanetary trajectory  
(source: NASA/JPL)



# Method of patched conics

A powerful technique for preliminary interplanetary trajectory design

The **method of patched conics** approximates an interplanetary trajectory as several Keplerian arcs (i.e. **conics**) with different attractive bodies:

- Within a planet's sphere of influence (SOI), unperturbed Keplerian orbit around the planet.
- Outside the SOIs, unperturbed heliocentric Keplerian orbit.

**Sphere of influence:** *representation of the region around a planet where its gravitational attraction dominates over that of the Sun.*

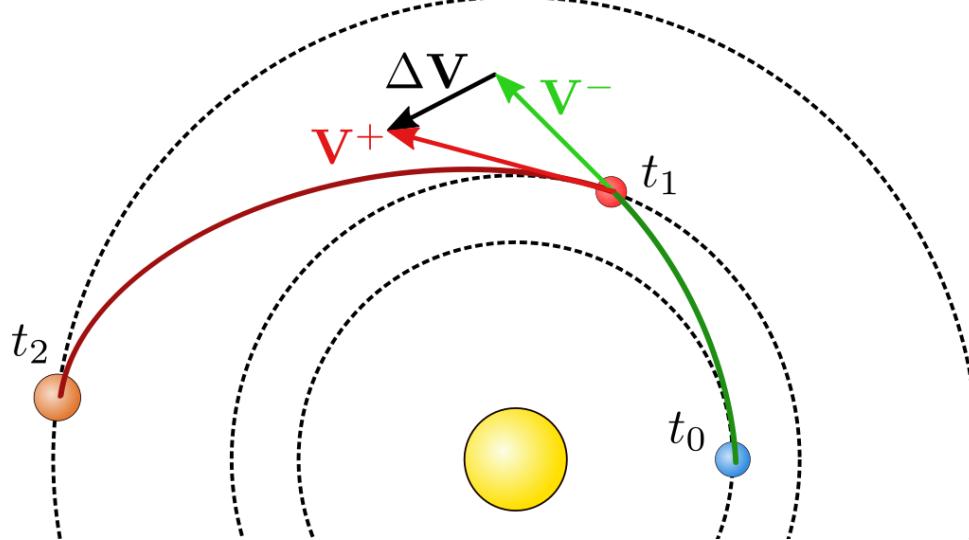
$$\frac{r_{\text{SOI}}}{r_P} = \left( \frac{m_P}{m_\odot} \right)^{\frac{2}{5}}$$

# Method of patched conics

## Heliocentric region

The SOIs of all planets are very small compared to their mean distance to the Sun ( $r_{\text{SOI}}/r_P \ll 1$ ). Consequently, **in the heliocentric region**:

- Planet's SOI is assumed to be infinitesimal (i.e. a point).
- Flyby is an instantaneous change in velocity, with constant position.
- Each Keplerian arc can be treated as a Lambert problem.



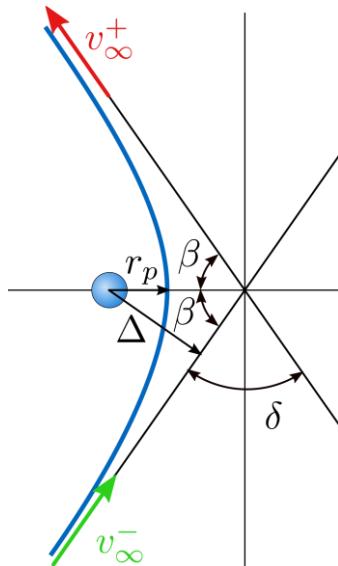
Planet	$r_{\text{SOI}}/r_P$
Mercury	0.0019
Venus	0.0057
Earth	0.0062
Mars	0.0025
Jupiter	0.0620
Saturn	0.0382
Uranus	0.0180
Neptune	0.0192

# Method of patched conics

## Planetocentric region

The SOI is very large compared to the planet's radius ( $r_{\text{SOI}}/R_p \gg 1$ ). Consequently, **in the planetocentric region**:

- Planet's SOI is assumed to be infinite.
- For a flyby, the planetocentric trajectory is a **hyperbola**.
  - Excess velocities given by the incoming/outcoming heliocentric velocities with respect to the planet.



Planet	$r_{\text{SOI}}/R_p$
Mercury	46.1
Venus	101.7
Earth	145.3
Mars	170.0
Jupiter	675.1
Saturn	906.9
Uranus	2024.6
Neptune	3494.8



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# PLANETARY FLYBYS

# Planetocentric hyperbola

$$y = x \tan \theta$$

Equations for the hyperbola (Keplerian motion)

$$v_{\infty}^- = v_{\infty}^+ = v_{\infty}$$

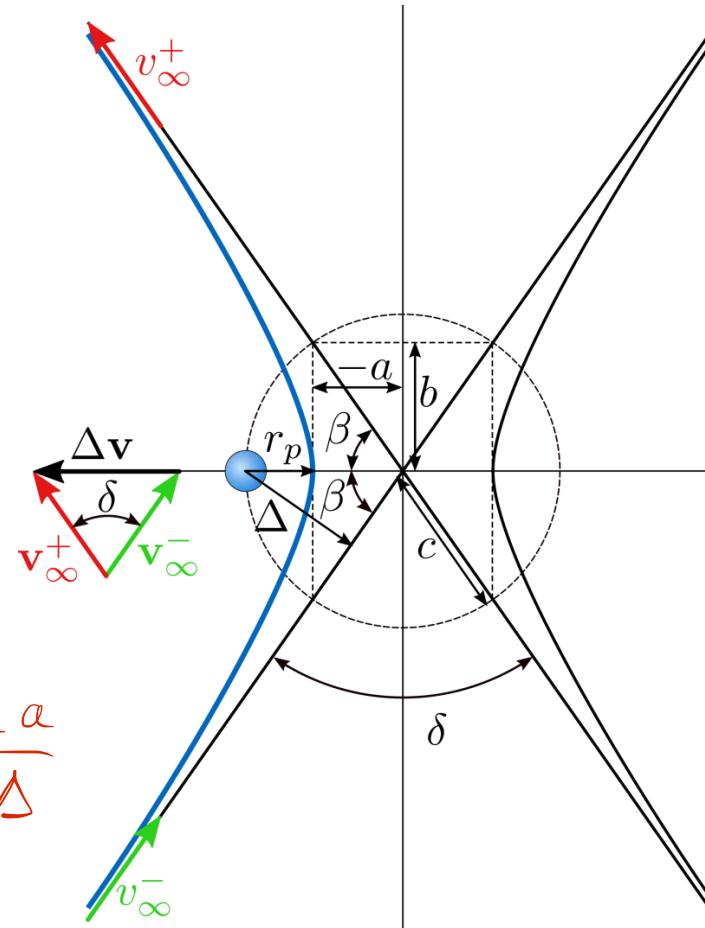
$$v_{\infty}^2 = -\frac{\mu}{a} \Rightarrow a = -\frac{\mu}{v_{\infty}^2}$$

$$r_p = a(1 - e) = -\frac{\mu}{v_{\infty}^2}(1 - e)$$

$$e = 1 + \frac{r_p v_{\infty}^2}{\mu} = \frac{1}{\sin \frac{\delta}{2}}$$

$$\Delta = -a e \cos \frac{\delta}{2} = \frac{-a}{\tan \frac{\delta}{2}}$$

$$\tan \frac{\delta}{2} = -\frac{a}{\Delta}$$



**Turning angle  $\delta$ :** Angle formed by the incoming and outgoing asymptotes.

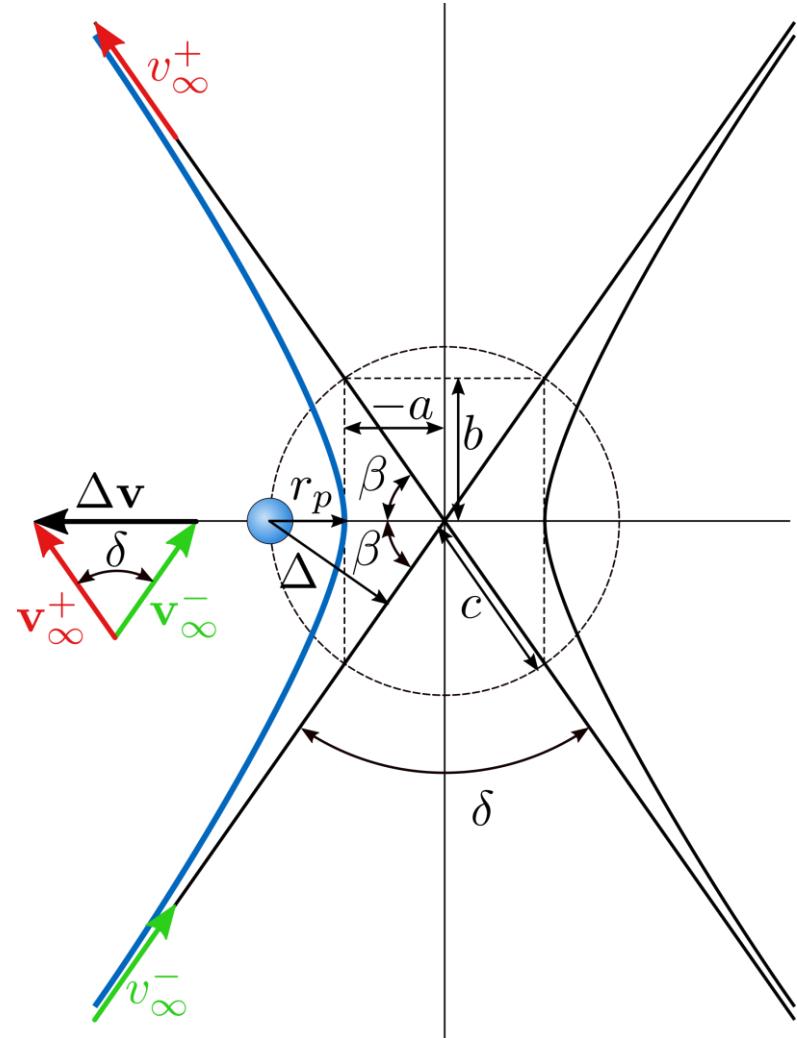
**Impact parameter  $\Delta$ :** minimum distance between asymptote and planet.

# Planetocentric hyperbola

## Required data

The geometry of the planetocentric hyperbola is totally defined by setting **two** of the following:

- $v_\infty$  Excess velocity
- $\Delta$  Impact parameter
- $\delta$  Turning angle
- $r_p$  Perigee radius



# Planetocentric hyperbola

Change in velocity

$$\Delta \mathbf{v} = \mathbf{v}_{\infty}^+ - \mathbf{v}_{\infty}^- = \mathbf{V}^+ - \mathbf{V}^- = \Delta \mathbf{V}$$

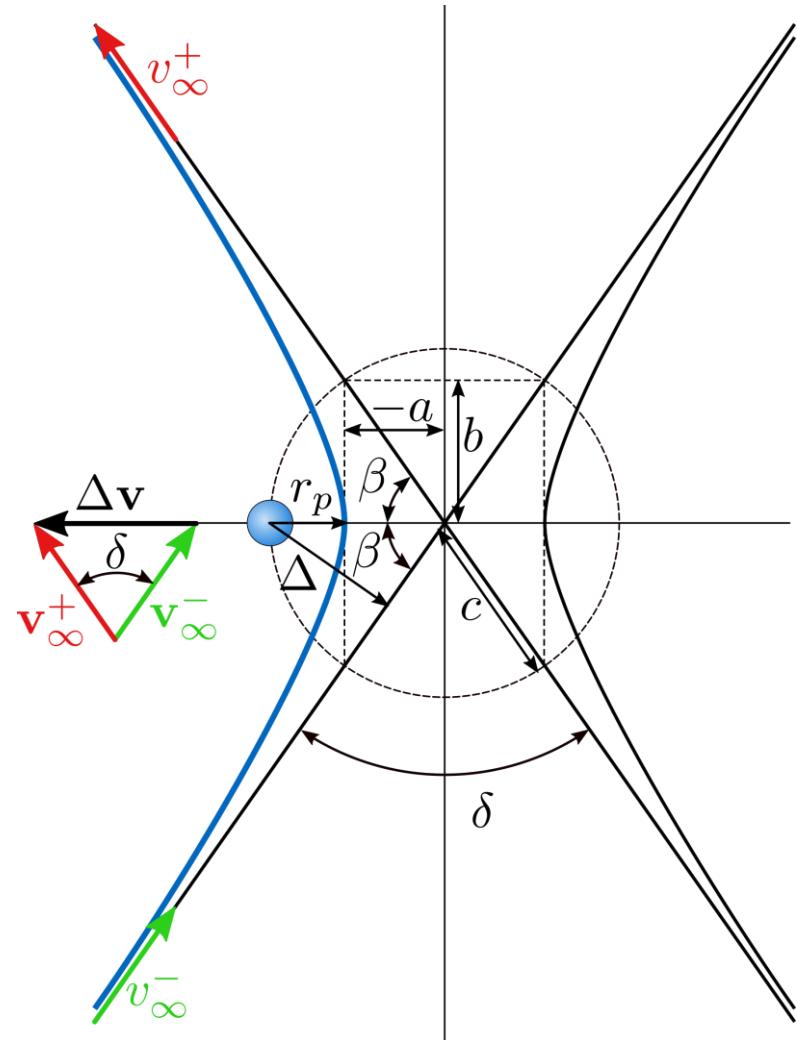
Planetocentric      Heliocentric

$$\mathbf{V}^+ = \mathbf{V}_P + \mathbf{v}_{\infty}^+$$

$$\mathbf{V}^- = \mathbf{V}_P + \mathbf{v}_{\infty}^-$$

$\Delta \mathbf{v}$  is always oriented along  
the apse line, and pointing  
opposite to the pericentre

$$\|\Delta \mathbf{v}\| = 2v_{\infty} \sin \frac{\delta}{2}$$



# Matching the regions

Limitations in the patched conics approximation

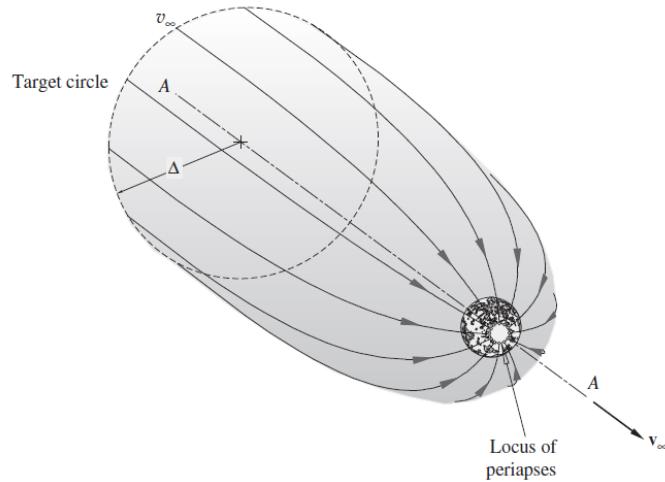
- If the incoming and outgoing heliocentric arcs are known, the hyperbola and its plane are fully defined:

$$v_\infty^2 \cos \delta = v_\infty^- \cdot v_\infty^+$$

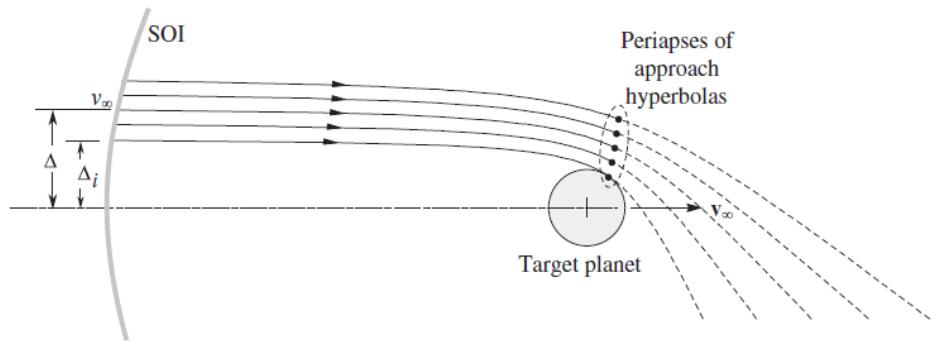
- $v_\infty = \|v_\infty^-\| = \|v_\infty^+\|$ , and  $\delta = \arccos \frac{v_\infty^- \cdot v_\infty^+}{v_\infty^2}$
  - The flyby hyperbola lies in the plane normal to  $v_\infty^- \times v_\infty^+$
  - Apse line in the direction of  $-\Delta v$
- 
- However, **the incoming heliocentric arc alone is not enough to fully define the hyperbola**, because the patched conics approach reduces the SOI to a point,
    - The incoming heliocentric arc actually corresponds to a collision.
    - Impact parameter  $\Delta$  is undefined (degree of freedom).
    - The plane of the hyperbola is undefined (degree of freedom).

# Matching the regions

Impact parameter and location of the incoming asymptote



Family of incoming hyperbolas  
for a fixed impact parameter  $\Delta$   
and different locations of the  
asymptote (taken from [1])



Family of incoming hyperbolas  
for a fixed location of the  
asymptote and different impact  
parameter  $\Delta$  (taken from [1])

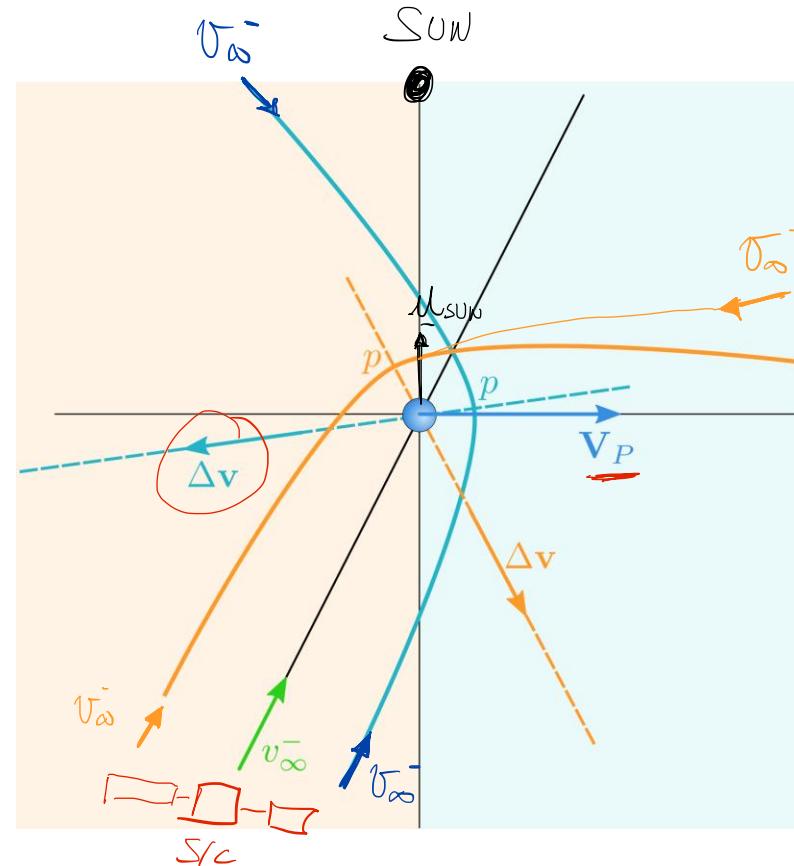
[1] Curtis, H. D., *Orbital mechanics for engineering students*, Butterworth-Heinemann , 2014

# Leading- and trailing-side flybys

Reducing or increasing heliocentric velocity

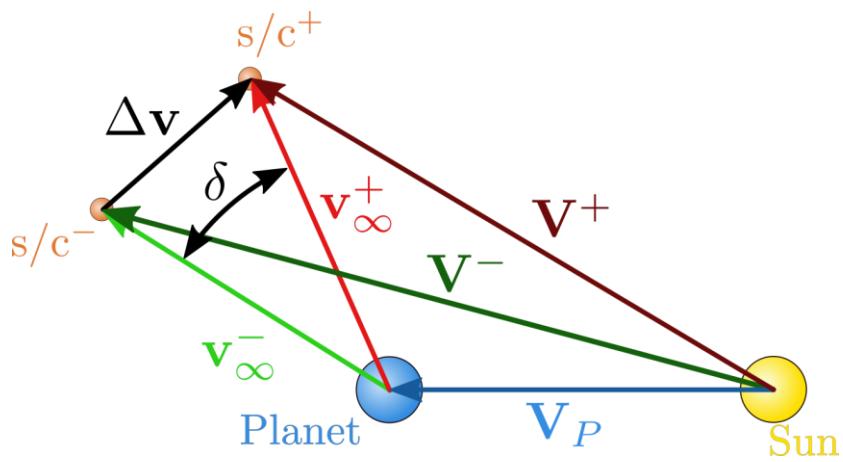
As previously seen,  $\Delta v$  is parallel to the apse line and points away from the pericentre. Two possibilities:

- **Leading-side flyby**: Pericentre lies on the side of the planet facing in the direction of motion. Heliocentric velocity is reduced.
- **Trailing-side flyby**: Pericentre lies on the side of the planet facing opposite to the direction of motion. Heliocentric velocity is increased.

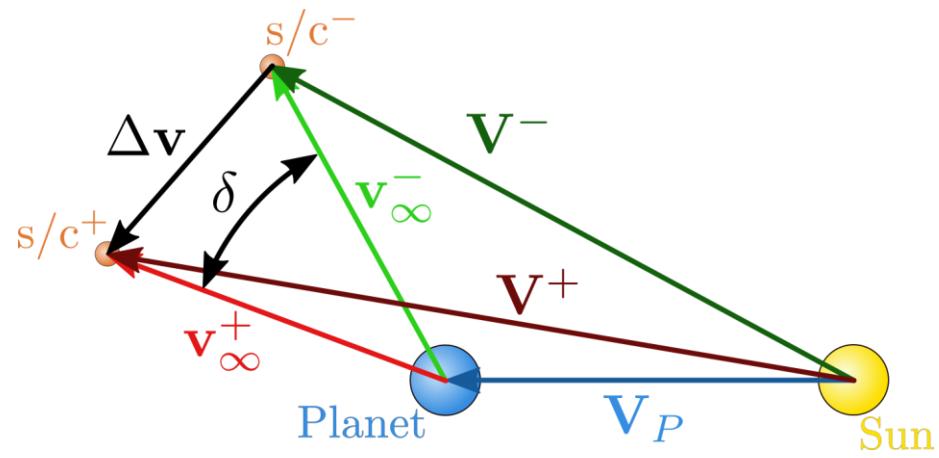


# Triangle of velocities

## Same-plane flyby



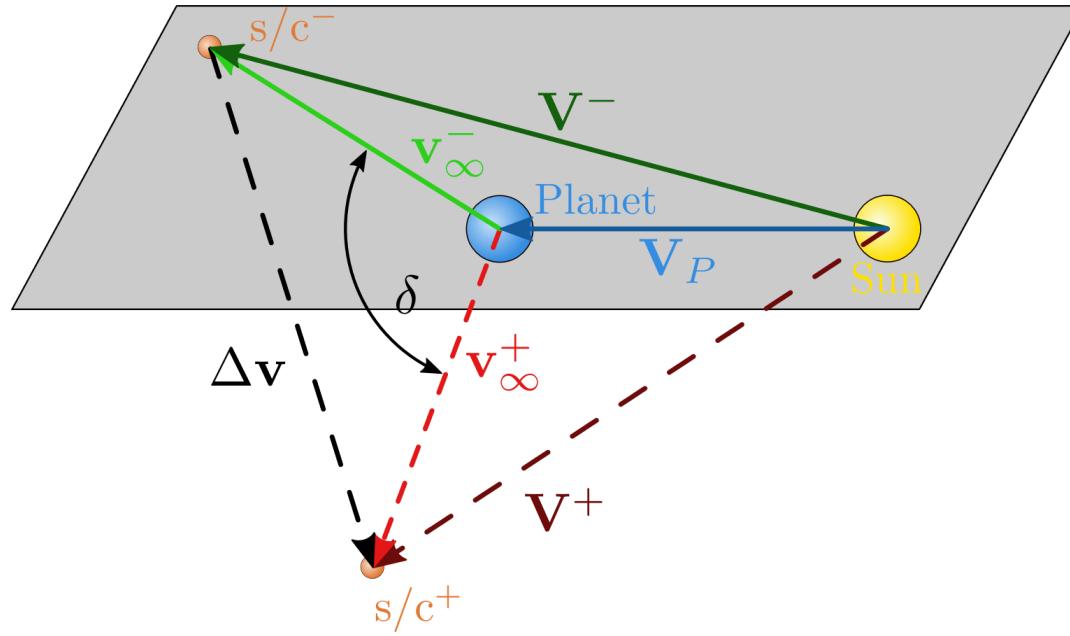
Leading-side flyby



Trailing-side flyby

# Triangle of velocities

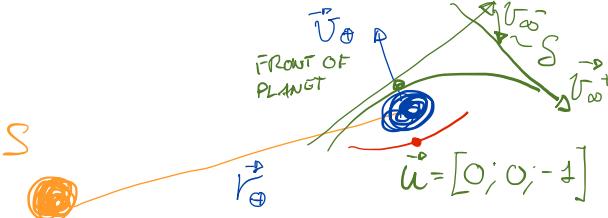
## Change-of-plane flyby



The planetocentric hyperbola lies on a different plane  
to the incoming and outgoing heliocentric arcs

# Exercise 1a: Flyby

Different locations of the incoming asymptote



**Exercise 1a: Design a flyby around the Earth for fixed impact parameter and different locations of the incoming asymptote.**

1. Solve the 2D hyperbola (common to all orientations).
2. Compute  $\mathbf{v}_{\infty}^+$  for three locations of the incoming asymptote:
  - In front of the planet,
  - Behind the planet,
  - Under the planet.
3. Compute  $\mathbf{V}^-$ ,  $\mathbf{V}^+$ , and the incoming and outgoing heliocentric arcs.
4. Plot the heliocentric trajectory before and after the flyby.

**Data** (vectors in the ecliptic frame; assume circular Earth orbit around the Sun; values for  $\mu_{\oplus}$ ,  $\mu_{\odot}$ , and AU taken from astroConstants)

*INPUTS*

$$\mathbf{v}_{\infty}^- = [15.1; 0; 0] \text{ km/s}$$
$$\Delta = 9200 \text{ km}$$
$$\rightarrow \mathbf{r}_{\oplus} = [1; 0; 0] \text{ AU}$$

$$\mathbf{V}_{\oplus} = [0; V_Y; 0]$$

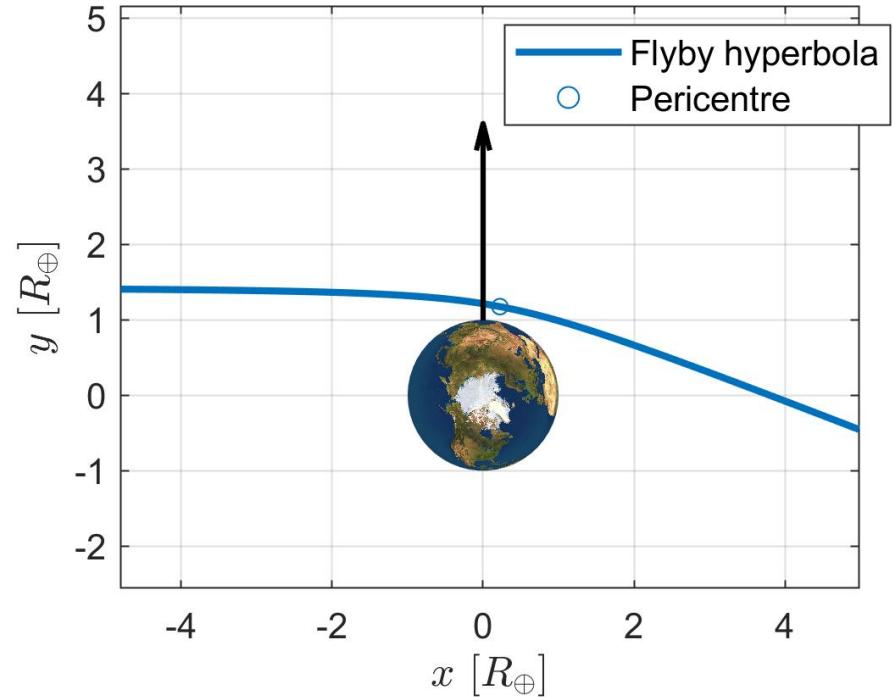
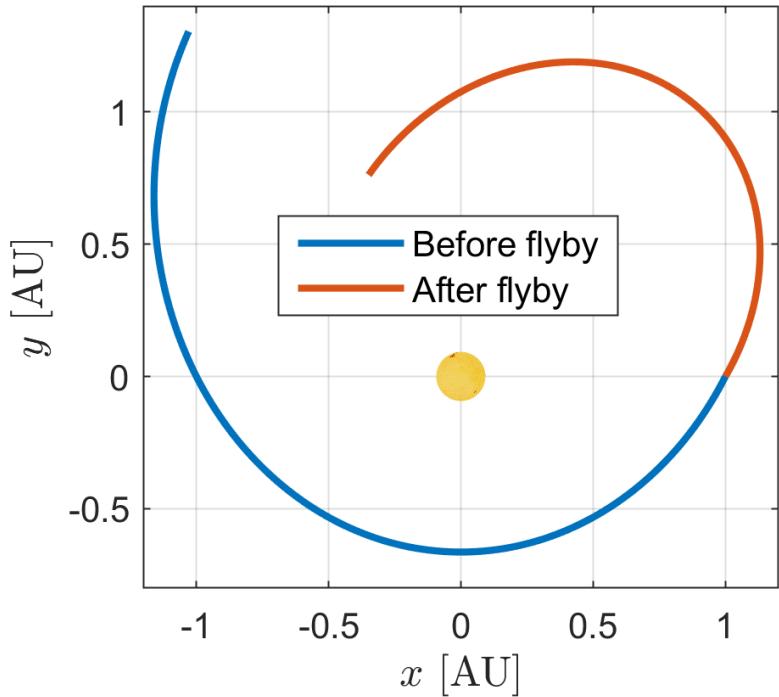
# Exercise 1a: Flyby

## Hints

- The location of the incoming asymptote does not affect:
  - The geometry of the hyperbola ( $\delta, r_p$ ), which depends only on  $\Delta$  and  $v_\infty$ .
  - The incoming heliocentric arc, due to the patched conics approximation of taking the planet's SOI as a point.
- The location of the incoming asymptote determines the plane of the hyperbola, and the direction around which the velocity vector gets rotated by the flyby, affecting:
  - The direction of  $v_\infty^+$  (the angle formed by  $v_\infty^-$  and  $v_\infty^+$  is always  $\delta$ )
  - The heliocentric arc after the flyby → *Assignment: Rotation axis dictated by INCIDING AND OUTGOING ORBITS INCLINATIONS (dictated by planet)*
- You can determine the vector  $\mathbf{u}$  around which the velocity gets rotated applying geometrical considerations (do some sketches to help you visualize the problem).
- Keplerian orbits can be propagated backwards in time. → *for PLOTTING ARRIVING ARC*

# Exercise 1a: Flyby

Results – In front of the planet (leading-side flyby)  $\Delta V < 0$

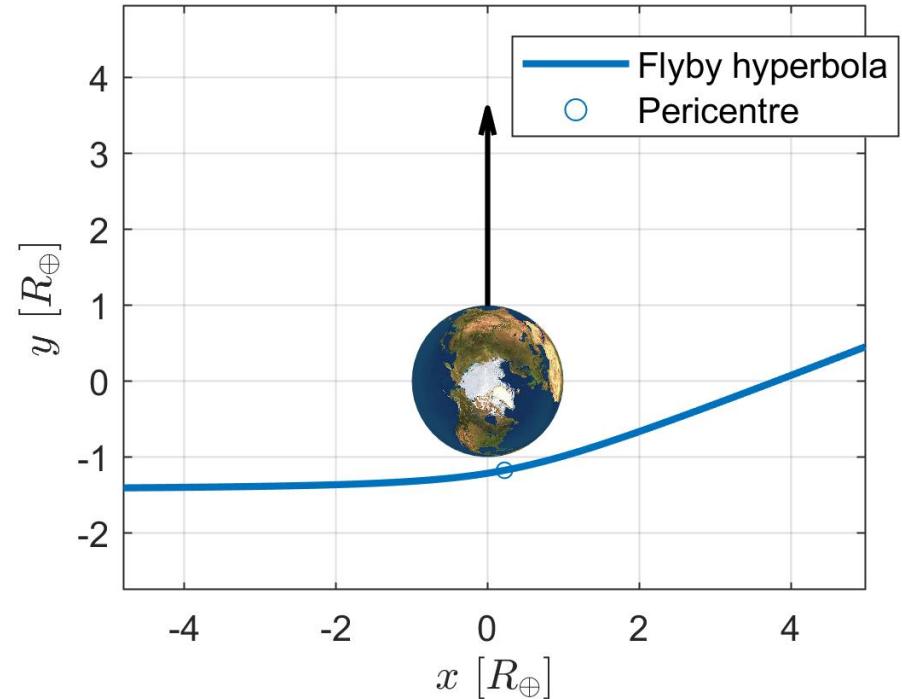
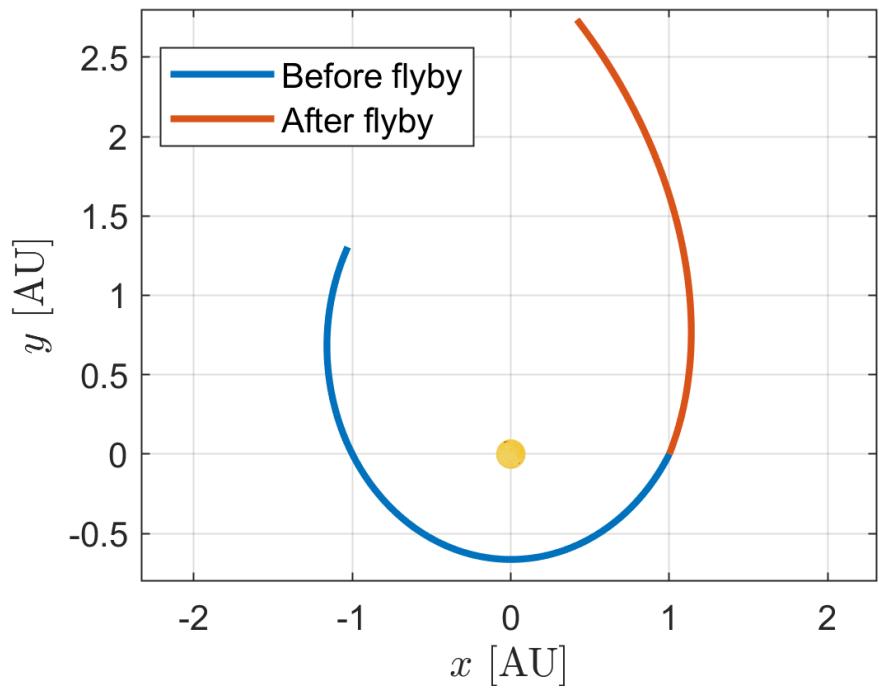


$$\begin{aligned}\delta &= 21.5180 \text{ deg} \\ r_p &= 7616.4488 \text{ km} \\ \Delta v &= 5.6377 \text{ km/s}\end{aligned}$$

$$\begin{aligned}a &= -1748.1708 \text{ km} \\ e &= 5.3568\end{aligned}$$

# Exercise 1a: Flyby

Results – Behind the planet (trailing-side flyby)  $\Delta V > 0$

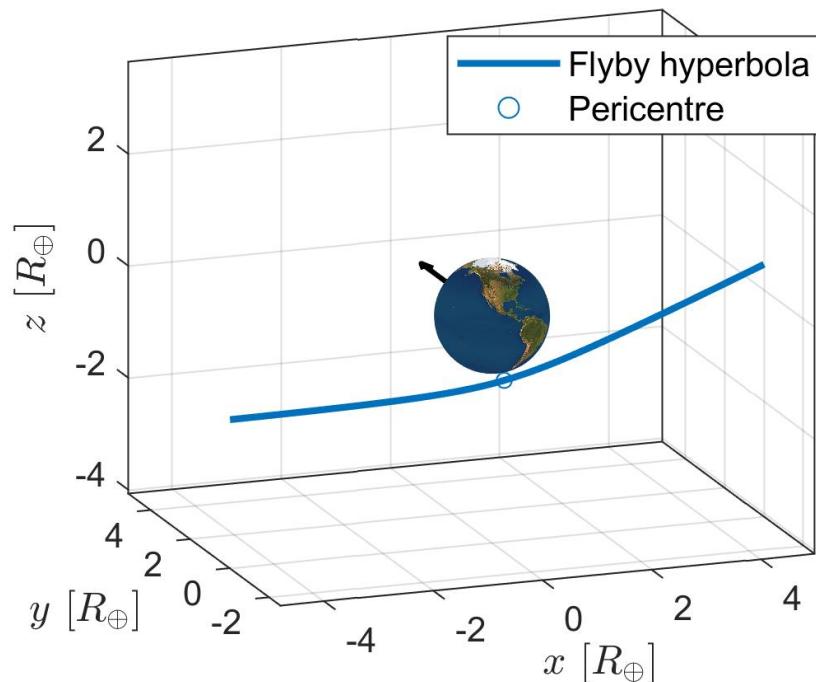
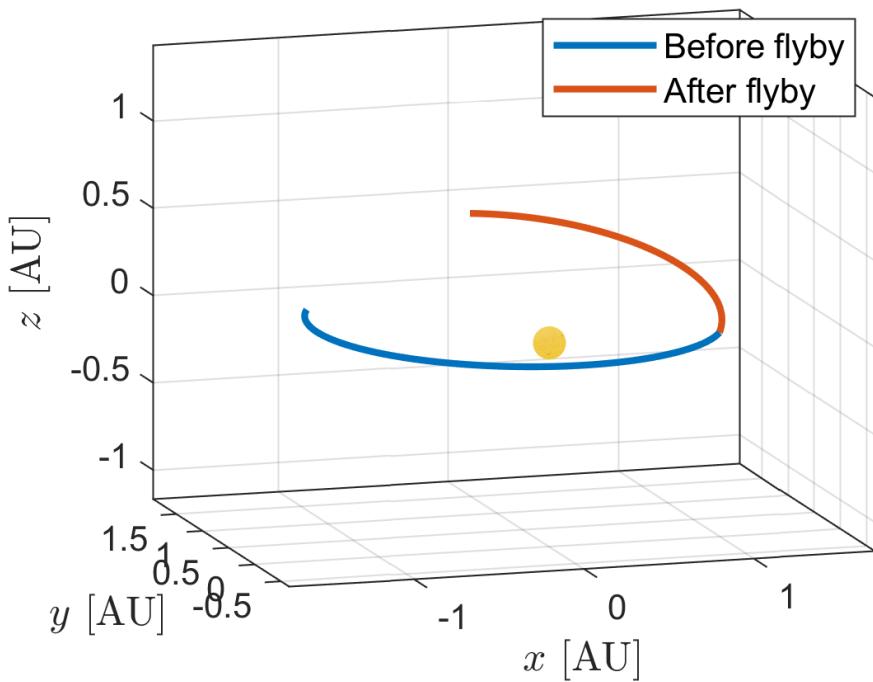


$$\begin{aligned}\delta &= 21.5180 \text{ deg} \\ r_p &= 7616.4488 \text{ km} \\ \Delta v &= 5.6377 \text{ km/s}\end{aligned}$$

$$\begin{aligned}a &= -1748.1708 \text{ km} \\ e &= 5.3568\end{aligned}$$

# Exercise 1a: Flyby

## Results – Under the planet



$$\begin{aligned}\delta &= 21.5180 \text{ deg} & a &= -1748.1708 \text{ km} \\ r_p &= 7616.4488 \text{ km} & e &= 5.3568 \\ \Delta v &= 5.6377 \text{ km/s}\end{aligned}$$

# Exercise 1b: Flyby

## Different impact parameters

**Exercise 1b: Design a flyby around the Earth for fixed location of the incoming asymptote and different impact parameters.**

1. Choose a location for the incoming asymptote.
2. Solve and plot the 2D hyperbola for different values of  $\Delta$ .
3. Compute  $\mathbf{V}^-$  and the incoming heliocentric arc (common to all  $\Delta$ ).
4. Compute  $\mathbf{v}_\infty^+$ ,  $\mathbf{V}^+$ , and the outgoing heliocentric arc for each  $\Delta$ .
5. Plot the heliocentric trajectory before and after the flyby, for the different values of  $\Delta$ .

**Data** (vectors in the ecliptic frame; assume circular Earth orbit around the Sun; values for  $\mu_\oplus$ ,  $\mu_\odot$ , and AU taken from astroConstants)

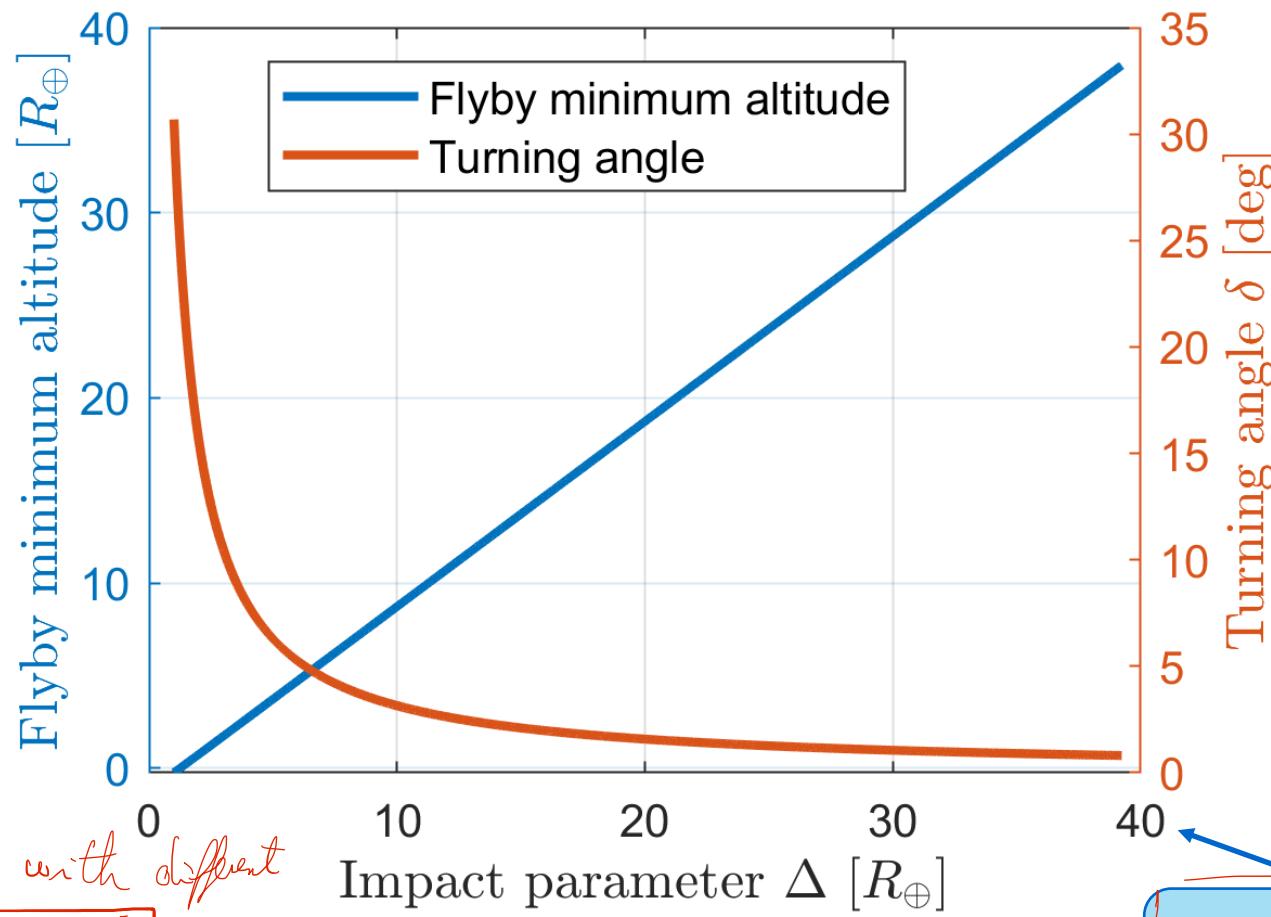
$$\mathbf{v}_\infty^- = [ 15.1; \ 0; \ 0 ] \text{ km/s}$$

$$\mathbf{r}_\oplus = [ 1; \ 0; \ 0 ] \text{ AU}$$

# Exercise 1b: Flyby

Very IMPORTANT TO  
CONSIDER @ ASSIGNMENT

## Results

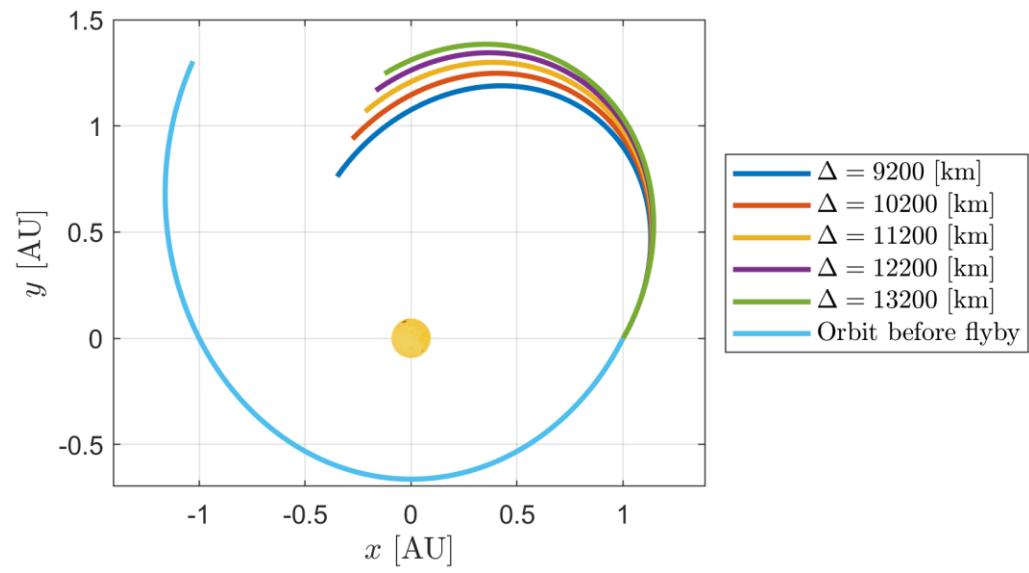


Evolution of flyby altitude and turning  
angle with impact parameter

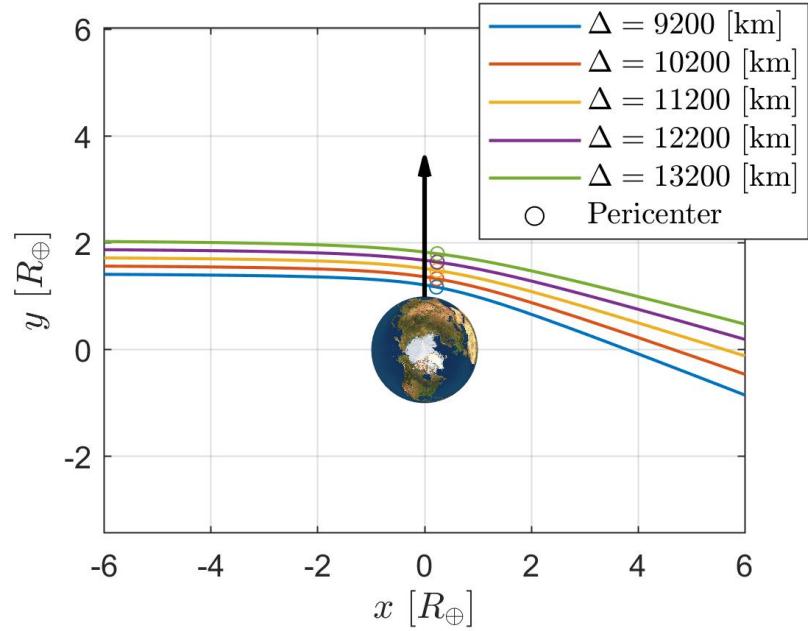
Remember,  
 $r_{SOI} = 145.3 R_{\oplus}$

# Exercise 1b: Flyby

## Results



Heliocentric trajectories for flybys in front of the planet with same  $v_{\infty}^-$  and different  $\Delta$



Planetocentric trajectories for flybys in front of the planet with same  $v_{\infty}^-$  and different  $\Delta$

# Powered gravity assist

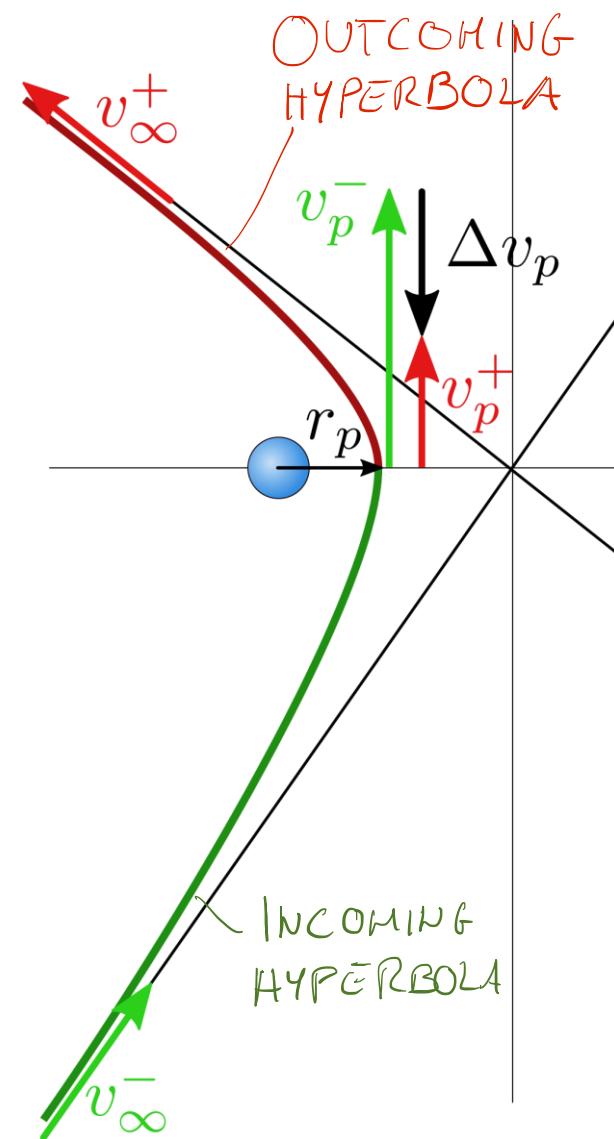
for ASSIGNMENT

## Motivation

Previous flybys were **limited to**  $v_{\infty}^- = v_{\infty}^+$  (constant excess velocity).

However, in a general case the incoming and outgoing heliocentric arcs will need to have  $v_{\infty}^- \neq v_{\infty}^+$ :

- For instance, to match two interplanetary arcs obtained from Lambert's problem.
- This gravity assist **cannot be performed with a single hyperbolic arc.**

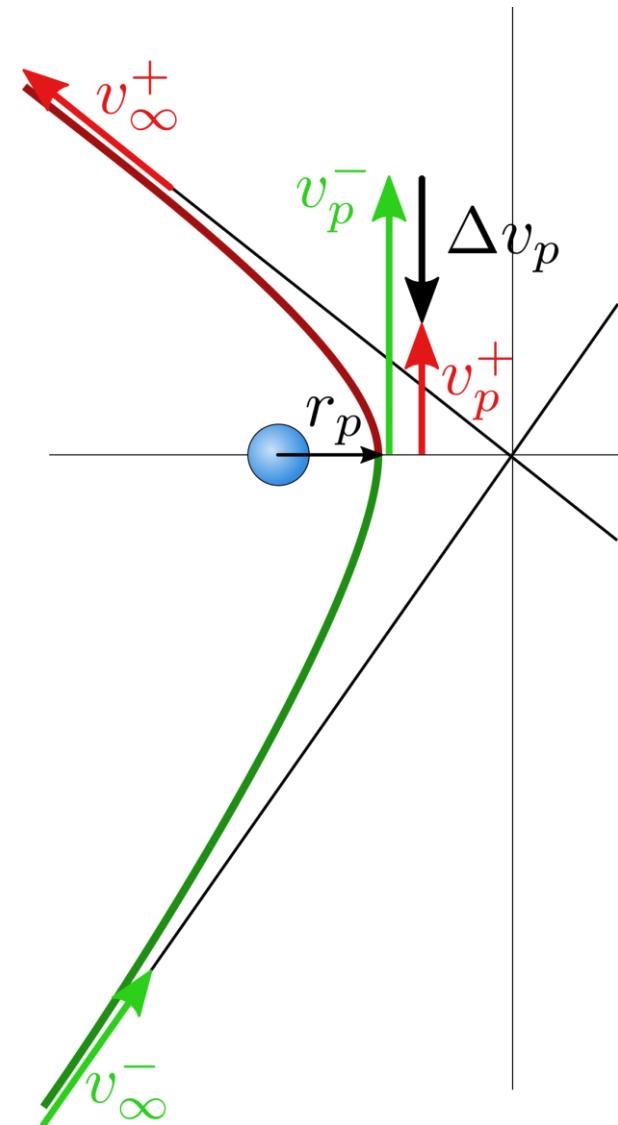


# Powered gravity assist

## Definition

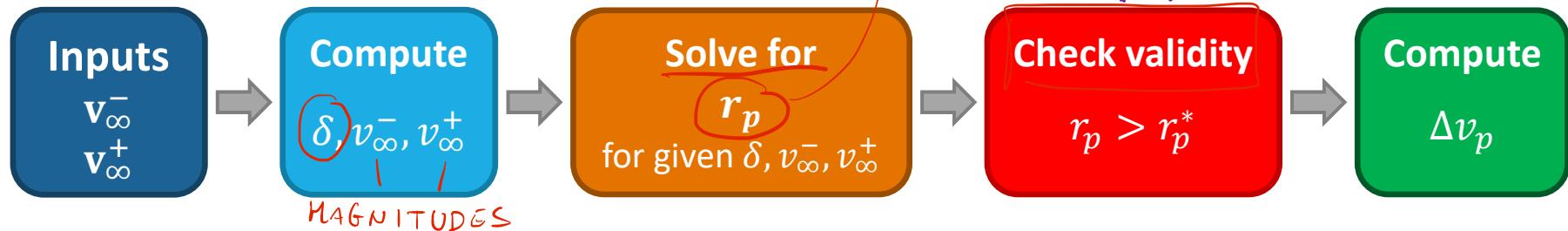
We can combine two different hyperbolic arcs (with different excess velocities) by performing a **tangential impulsive manoeuvre at their common pericentre**.

- The magnitude of the manoeuvre will be the difference of the velocities of each arc at the common pericentre,  $\Delta v_p = |v_p^- - v_p^+|$ .
- The total turning angle  $\delta$  is still the angle formed by  $v_\infty^-$  and  $v_\infty^+$ .
- Other strategies could be applied (e.g. deep space manoeuvres before and after the flyby, multiple impulses inside the SOI, etc.), but we will not consider them in this lab.



# Powered gravity assist

## Design strategy



$$e^- = 1 + \frac{r_p (v_{\infty}^-)^2}{\mu}$$

$$\delta^- = 2 \arcsin \frac{1}{e^-}$$

$$e^+ = 1 + \frac{r_p (v_{\infty}^+)^2}{\mu}$$

$$\delta^+ = 2 \arcsin \frac{1}{e^+}$$

MAGNITUDES

$$\delta^-(r_p; v_{\infty}^-)$$

$$\delta^+(r_p; v_{\infty}^+)$$

$$\delta = f_{\delta}(r_p; v_{\infty}^-, v_{\infty}^+) = \frac{\delta^-}{2} + \frac{\delta^+}{2}$$

Hint: solve for  $r_p$  using fzero or fsolve

Curiosity:  
what initial guess  
for  $r_p$ ?

Remember to check if the radius of pericentre obtained is physically feasible:

$$r_p > r_p^* = R_P + h_{atm}$$

# Exercise 2: Powered gravity assist

**Exercise 2: Design a powered GA around the Earth given the heliocentric velocities before and after the flyby, and Earth's position.**

1. Compute the velocities relative to the planet before and after the flyby,  $\mathbf{v}_\infty^-$  and  $\mathbf{v}_\infty^+$ .
2. Compute the turning angle  $\delta$ .
3. Solve the non-linear system for  $r_p$  and check its validity.
4. Compute the velocities of the two hyperbolic arcs at pericentre and the required  $\Delta v_p$ .
5. Plot the two planetocentric hyperbolic arcs.

**Data** (vectors in the ecliptic frame; assume circular Earth orbit around the Sun; values for  $\mu_\oplus$ ,  $\mu_\odot$ , and AU taken from astroConstants)

$$\mathbf{V}^- = [ 31.5; \ 4.69; \ 0 ] \text{ km/s}$$

$$\mathbf{V}^+ = [ 38.58; \ 0; \ 0 ] \text{ km/s}$$

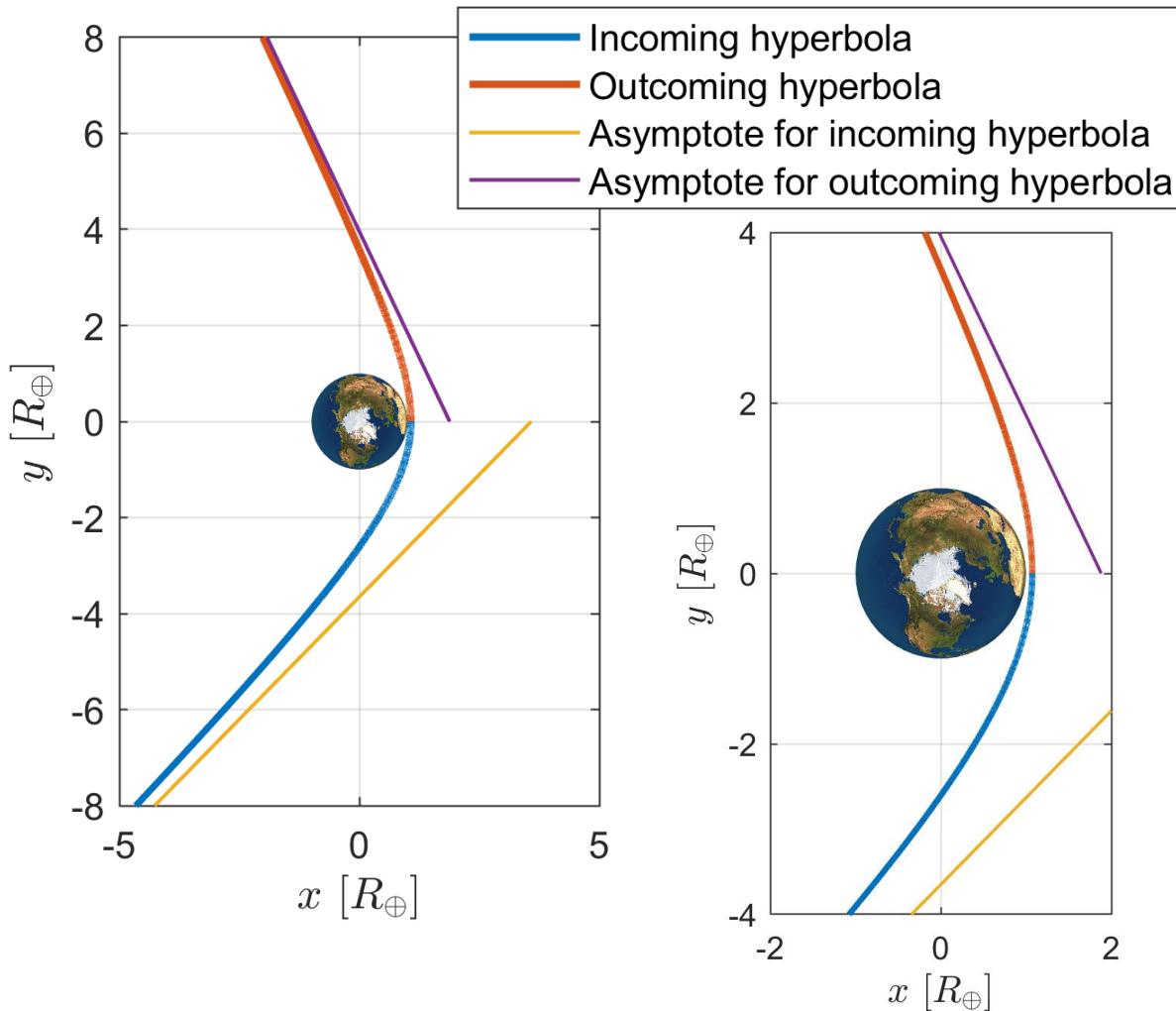
$$\mathbf{V}^- = \mathbf{V}_E + \mathbf{v}_\infty^-$$

$$\mathbf{r}_\oplus = [ 0; \ -1; \ 0 ] \text{ AU}$$

$$\mathbf{V}^+ = \mathbf{V}_E +$$

# Exercise 2: Powered gravity assist

## Results



$$\delta = 69.9106 \text{ deg}$$

$$r_p = 6837.1763 \text{ km}$$

$$h_{ga} = 466.1663 \text{ km}$$

$$\Delta v_p = 2.0299 \text{ km/s}$$

$$\Delta v_{\text{tot}} = 8.4925 \text{ km/s}$$

$$e^- = 1.4278$$

$$a^- = -15983.4119 \text{ km}$$

$$e^+ = 2.3269$$

$$a^+ = -5152.7093 \text{ km}$$



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# DESIGN OF GRAVITY-ASSISTED INTERPLANETARY TRANSFERS

# Design of gravity-assisted interplanetary transfers

More than the sum of the parts

Designing an **interplanetary transfer with intermediate GAs** is more complex than just sequentially computing the optimum Lambert arc for each leg of the travel:

- The optimum arrival time for the incoming arc may be a suboptimum (*or even terrible*) departure time for the outgoing arc.
- The required powered GA to connect the incoming and outgoing arcs may require too high a  $\Delta v$  (*or just pierce through the planet*).

We need a **compromise solution**: a sequence of suboptimal transfer arcs leading to a globally optimal (and feasible) solution.

- Porkchop plots for each arc are still a useful design tool, as they provide information about the minimum possible  $\Delta v$ , or high- $\Delta v$  regions to be avoided.

# Design of gravity-assisted interplanetary transfers

A constrained parametric optimisation problem

The preliminary design of an interplanetary transfer with one GA can be formulated as a **constrained parametric optimisation problem**

**Three degrees of freedom (DoFs)**

Departure time  
Flyby time  
Arrival time

(or equivalent parameters,  
such as the times of flight)

**One figure of merit**

$$\Delta v_{\text{tot}}$$

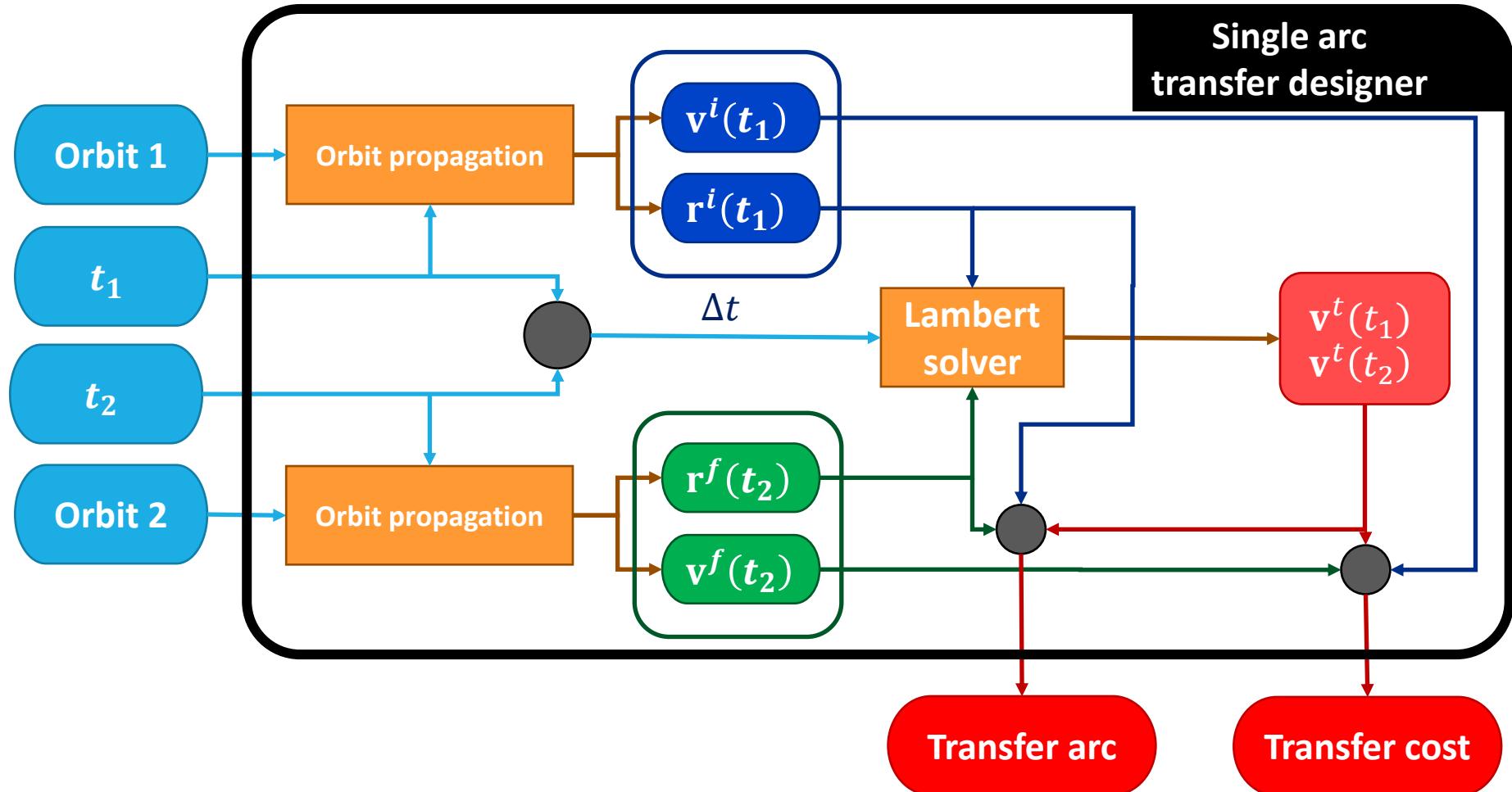
**Operational constraints**

- Flyby not hitting the planet or its atmosphere
- Time constraints (earliest departure date, latest arrival date).
- Etcetera.

# Design of gravity-assisted interplanetary transfers

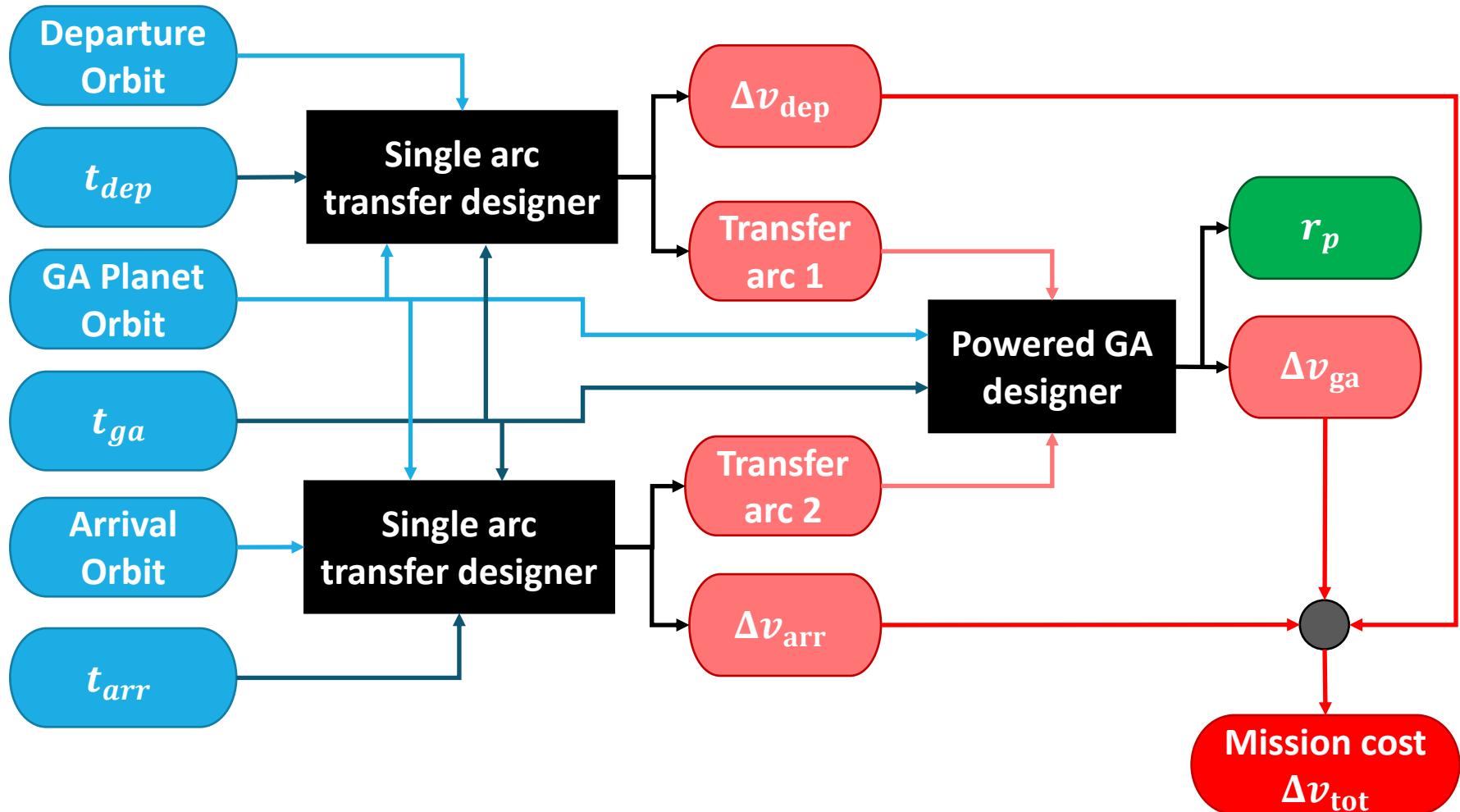
Computing  $\Delta v_{tot}$  for fixed departure, GA, and arrival times

From Module 3:



# Design of gravity-assisted interplanetary transfers

Computing  $\Delta v_{tot}$  for fixed departure, GA, and arrival times



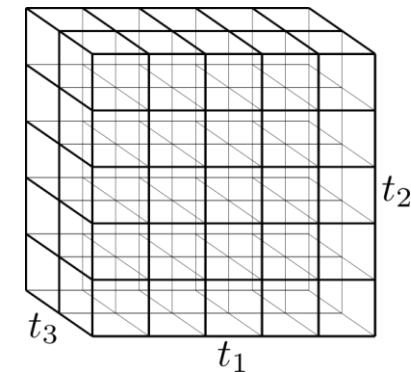
# Design of gravity-assisted interplanetary transfers

## The search for a solution (the basic way)

The simplest way to search for a solution is to perform a **grid search over the 3 DoFs using three nested loops:**

for each departure time  
    for each GA time  
        for each arrival time  
            Compute and store DV(dep,ga,arr)  
Find minimum of DV fulfilling the constraints

PSEUDOCODE



This approach **requires to:**

- Choose adequate ranges for the times.
  - Leverage physical information from the problem, such as the synodic periods, planet orbital periods, time of flight for simplified transfers, etc.
- Choose an adequate number of points to discretise each range.
  - A finer grid improves the accuracy of the results, but also increases the computational cost (both in terms of time and memory).

# Design of gravity-assisted interplanetary transfers

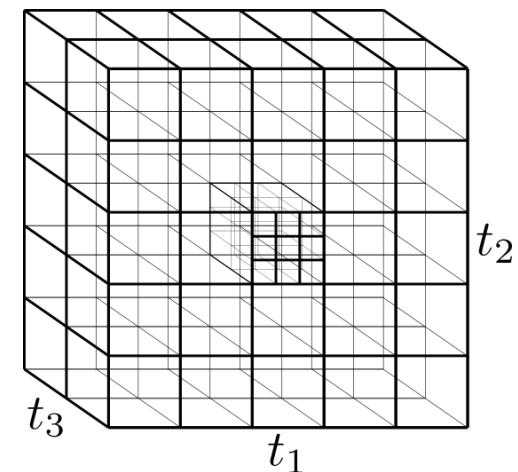
## The search for a solution (refined strategy)

The main limitation of the grid search approach is its **high computational cost**.

- Implies solving  $l \times m \times n$  cases, where  $l$ ,  $m$ , and  $n$  are the number of points used to discretize each DoF. For instance,  $l = m = n = 100$  yields a million cases.  $\rightarrow$  *TRY COARSE GRID*  
 $l = m = n = 1 \text{ YEAR}$

This can be improved using a **multi-step approach**:

1. **Coarse grid search** to identify the best regions.
2. **Refined grid searches** for each of the regions identified in the previous step.
3. Repeat the refinement of subregions until the desired accuracy is reached.



Apart from locating minima, **the data from the grid search can also provide valuable insight on the behaviour of the mission** (for instance, making a contour plot for mission cost depending on departure and arrival dates).

# Design of gravity-assisted interplanetary transfers

## The search for a solution (advanced strategies)

- **Heuristic and metaheuristic algorithms** (such as genetic algorithms) allow to efficiently explore a large decision space.
  - Non-deterministic output (they include random procedures).
  - They are likely to avoid local minima (but this is never guaranteed).
  - Take a look at Matlab's ga function.
- **Gradient-based optimisers** allow to accurately and efficiently locate a local minimum departing from a nearby initial guess.
  - Deterministic output.
  - They return the local minimum for the basin of attraction to which the initial guess belongs.
  - More efficient in locating the exact minimum than a grid refinement.
  - Take a look at Matlab's fmincon and fminunc functions.
- **Each method (including grid search) has strengths and weaknesses**.  
They can be combined to achieve better results and performance.

# Interplanetary Explorer Mission

## First Assignment

The **PoliMi Space Agency** is carrying out a feasibility study for a potential **Interplanetary Explorer Mission** visiting three planets in the Solar System.

As part of the **mission analysis team**, you are requested to perform the **preliminary mission analysis**. You have to study the transfer options from the departure planet to the arrival planet, with a powered gravity assist (flyby) at the intermediate planet, and **propose a solution based on the mission cost** (measured through the total  $\Delta v$ ).

The departure, flyby, and arrival planets have been decided by the science team. Constraints on earliest departure and latest arrival have also been set by launch provider, systems engineering team, and Agency's leadership.

**Check the slides in Beep for all the details on the mission!**

