Category Theory

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These notes, taken by Markus Himmel, will at times differ significantly from	
what was lectured. In particular, all errors are almost certainly my own.	
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Exercises

Chapter 1

Exercise 17.

EXERCISE. A morphism $e: A \to A$ is called idempotent if ee = e. An idempotent e is said to split if it can be factored as fg where gf is an identity morphism.

- (i) Let \mathcal{E} be a collection of idempotents in a category \mathcal{C} : show that there is a category $\mathcal{C}[\check{\mathcal{E}}]$ whose objects are the members of \mathcal{E} , whose morphisms $e \to d$ are those morphisms f: dom $e \to \mathrm{dom}\,d$ in \mathcal{C} for which dfe = f, and whose composition coincides with composition in \mathcal{C} . [Hint: first show that the single equation dfe = f is equivalent to the two equations df = f = fe. Note that the identity morphism on an object e is not $1_{\mathrm{dom}\,e}$ in general.]
- (ii) If \mathcal{E} contains all identity morphisms of \mathcal{C} , show that there is a full and faithful functor $I \colon \mathcal{C} \to \mathcal{C}[\check{\mathcal{E}}]$, and that an arbitrary functor $T \colon \mathcal{C} \to \mathcal{D}$ can be factored as $\widehat{T}I$ for some \widehat{T} iff it sends the members of \mathcal{E} to split idempotents in \mathcal{D} .
- (iii) Deduce that if all idempotents split in \mathcal{D} , then the functor categories $[\mathcal{C}, \mathcal{D}]$ and $[\widehat{\mathcal{C}}, \mathcal{D}]$ are equivalent, where $\widehat{\mathcal{C}} = \mathcal{C}[\widecheck{\mathcal{E}}]$ for \mathcal{E} the class of all idempotents in \mathcal{C} .

SOLUTION. We will first show that if $f: C \to D$ is any morphism and $c: C \to C$ and $d: D \to D$ are idempotents, then $dfe = f \iff df = f = fe$.

Indeed, if df = f = fe, then dfe = fe = f. Conversely, if dfe = f, then f = dfe = ddfe = df and f = dfe = dfee = fe.

To show that $\mathcal{C}[\check{\mathcal{E}}]$ is a category, we need to show that the composition of two morphisms is indeed a morphism and that there are identity morphism.

Assume that $c\colon C\to C,\ d\colon D\to D,\ e\colon E\to E$ are idempotents and that $f\colon C\to D$ and $g\colon D\to E$ satisfy dfc=f and egd=g. We need to show that egfc=gf. Using the lemma, we have egf=(eg)f=gf and gfc=g(fc)=gf, so, again by the lemma, the claim follows.

If $e: E \to E$ is an idempotent, define $1_e := e \xrightarrow{e} e$. By idempotency of e, this is indeed a morphism. If $f: d \to e$ is a morphism, then the morphism $f1_d$ is the morphism fd = f (here we use the lemma again) in \mathcal{C} , so $f1_d = f$ as required. Similarly, $1_e f = f$. This completes part (i).

Next, assume that $\mathcal E$ contains all identity morphisms of $\mathcal C.$ Define the functor I via

$$I: \mathcal{C} \to \mathcal{C}[\check{\mathcal{E}}]$$

$$A \mapsto 1_A$$

$$(f: A \to B) \mapsto (f: 1_A \to 1_B)$$

This is indeed a functor and since the data of a morphism $A \to B$ in \mathcal{C} is precisely the same as the data of a morphism $1_A \to 1_B$ in $\mathcal{C}[\check{\mathcal{E}}]$, I is fully faithful.

Now let $T: \mathcal{C} \to \mathcal{D}$ be any functor.

First, assume that there is some functor $\widehat{T} \colon \mathcal{C}[\check{\mathcal{E}}] \to \mathcal{D}$ such that $T = \widehat{T}I$. Let $e : A \to A \in \mathcal{E}$ be an idempotent. Then we have

$$Te = \widehat{T}(1_A \xrightarrow{e} 1_A)$$

$$= \widehat{T}(1_A \xrightarrow{e} e \xrightarrow{e} 1_A)$$

$$= \widehat{T}(e \xrightarrow{e} 1_A) \circ \widehat{T}(1_A \xrightarrow{e} e),$$

and we also have

$$\begin{split} \widehat{T}(1_A \overset{e}{\longrightarrow} e) \circ \widehat{T}(e \overset{e}{\longrightarrow} 1_A) &= \widehat{T}(e \overset{e}{\longrightarrow} 1_A \overset{e}{\longrightarrow} e) \\ &= \widehat{T}(e \overset{ee}{\longrightarrow} e) \\ &= \widehat{T}(e \overset{e}{\longrightarrow} e) \\ &= \widehat{T}(1_e) \\ &= 1_{\widehat{T}e}, \end{split}$$

which shows that Te is split.

Next, assume that Te is split for any $e \in \mathcal{E}$. For any $e \in \mathcal{E}$, choose a splitting

$$TA \xleftarrow{g_e} B_e$$
,

i.e., $f_e \circ g_e = Te$, $g_e \circ f_e = 1_{B_e}$. For identity morphisms 1_A (A an object of \mathcal{C}), choose the specific splitting given by $B_{1_A} := TA$, $f_{1_A} := 1_{TA}$, $g_{1_A} := 1_{TA}$.

Now define the functor \widehat{T} via

$$\widehat{T} \colon \mathcal{C}[\check{\mathcal{E}}] \to \mathcal{D}$$

$$(e \colon A \to A) \mapsto B_e$$

$$(f \colon d \to e) \mapsto g_e \circ Tf \circ f_d.$$

If $e \in \mathcal{E}$, then we have

$$\widehat{T}(1_e) = g_e \circ Te \circ f_e$$

$$= g_e \circ f_e \circ g_e \circ f_e$$

$$= 1_{B_e} \circ 1_{B_e} = 1_{B_e}$$

Furthermore, if $f: c \to d$ and $g: d \to e$, then we have

$$\begin{split} \widehat{T}(g \circ f) &= g_e \circ T(g \circ f) = f_c \\ &= g_e \circ Tg \circ Tf = f_c \\ &= g_e \circ Tg \circ T(d \circ f) \circ f_c \\ &= g_e \circ Tg \circ Td \circ Tf \circ f_c \\ &= g_e \circ Tg \circ f_d \circ g_d \circ Tf \circ f_c \\ &= \widehat{T}g \circ \widehat{T}f. \end{split}$$

So \widehat{T} is indeed a functor. If A is an object of \mathcal{C} , then

$$\widehat{T}IA = \widehat{T}1_A = B_{1_A} = TA$$

and if $f: C \to D$ is a morphism in C, then

$$\widehat{T}If = \widehat{T}(1_C \xrightarrow{f} 1_D) = g_{1_D} \circ Tf \circ f_{1_C} = 1_{TD} \circ Tf \circ 1_{TC} = Tf,$$

so \widehat{T} is the required factorisation, completing part (ii).

Define a functor $\Phi \colon [\widehat{\mathcal{C}}, \mathcal{D}] \to [\mathcal{C}, D]$ via $F \mapsto F \circ I$, $\eta \mapsto I\eta$, where $I\eta$ is defined cia $I\eta_C := \eta_{IC} = \eta_{1_C}$. Naturality of $I\eta$ immediately follows from naturality of η . Functoriality is also clear.

CHAPTER 2 7

We will show that this functor is full, faithful and essentially surjective.

Indeed, let $F: \mathcal{C} \to \mathcal{D}$ be a functor. Then \widehat{F} as defined in the previous part satisfies $\Phi \widehat{F} = F$, so Φ is essentially surjective.

Next, let $F, G: \widehat{\mathcal{C}} \to \mathcal{D}$ be functors and $\eta: F \circ I \to G \circ I$ a natural transformation. For an idempotent $e: A \to A$ in \mathcal{C} , define $\hat{\eta}_e$ to be the composite

$$Fe \xrightarrow{F(e \xrightarrow{e} 1_A)} F1_A = (F \circ I)A \xrightarrow{\eta_A} (G \circ I)A = G1_A \xrightarrow{G(1_A \xrightarrow{e} e)} Ge.$$

We claim that this defines a natural transformation $\hat{\eta} \colon F \to G$. Indeed, if $f \colon d \to e$ is a morphism, then

$$\begin{split} \hat{\eta}_{e} \circ Ff &= G(1_{A} \stackrel{e}{\longrightarrow} e) \circ \eta_{E} \circ F(e \stackrel{e}{\longrightarrow} 1_{E}) \circ F(d \stackrel{f}{\longrightarrow} e) \\ &= G(1_{E} \stackrel{e}{\longrightarrow} e) \circ \eta_{E} \circ F(d \stackrel{f}{\longrightarrow} e \stackrel{e}{\longrightarrow} 1_{E}) \\ &= G(1_{E} \stackrel{e}{\longrightarrow} e) \circ \eta_{E} \circ F(d \stackrel{d}{\longrightarrow} 1_{D} \stackrel{d}{\longrightarrow} d \stackrel{f}{\longrightarrow} e \stackrel{e}{\longrightarrow} 1_{E}) \\ &= G(1_{E} \stackrel{e}{\longrightarrow} e) \circ \eta_{E} \circ F(d \stackrel{d}{\longrightarrow} 1_{D} \stackrel{d}{\longrightarrow} d \stackrel{f}{\longrightarrow} e \stackrel{e}{\longrightarrow} 1_{E}) \\ &= G(1_{E} \stackrel{e}{\longrightarrow} e) \circ \eta_{E} \circ F(1_{D} \stackrel{efd}{\longrightarrow} 1_{E}) \circ F(d \stackrel{d}{\longrightarrow} 1_{D}) \\ &= G(1_{E} \stackrel{e}{\longrightarrow} e) \circ \eta_{E} \circ F(efd) \circ F(d \stackrel{d}{\longrightarrow} 1_{D}), \end{split}$$

and doing the whole thing backwards we conclude that $\hat{\eta}_e \circ Ff = Gf \circ \hat{\eta}_d$, so $\hat{\eta}$ is indeed a natural transformation.

For any $A \in \mathcal{C}$ we have

$$(I\hat{\eta})_A = \hat{\eta}_{IA} = \hat{\eta}_{1_A} = G(1_A \xrightarrow{1_A} 1_A) \circ \eta_A \circ F(1_A \xrightarrow{1_A} 1_A)$$
$$= G(1_{1_A}) \circ \eta_A \circ F(1_{1_A}) = \eta_A,$$

which means that $\Phi(\hat{\eta}) = \eta$, so Φ is full.

Finally, let $F,G\colon\widehat{\mathcal{C}}\to\mathcal{D}$ be functors and $\eta,\eta'\colon F\to G$ be natural transformations such that $\Phi(\eta)=\Phi(\eta')$. To show that Φ is faithful, we need to prove that $\eta=\eta'$. The assumption $\Phi(\eta)=\Phi(\eta')$ means that for all $A\in\mathcal{C}$ we have $\eta_{IA}=\eta'_{IA}$, so $\eta_{1_A}=\eta'_{1_A}$.

so $\eta_{1_A} = \eta'_{1_A}$. Let $e: A \to A$ be any idempotent in \mathcal{C} . We need to show that $\eta_e = \eta'_e$. Indeed, we have

$$\eta_e = G(1_e) \circ \eta_e
= G(e \xrightarrow{e} e) \circ \eta_e
= G(e \xrightarrow{ee} e) \circ \eta_e
= G(e \xrightarrow{e} 1_A \xrightarrow{e} e) \circ \eta_e
= G(1_A \xrightarrow{e} e) \circ G(e \xrightarrow{e} 1_A) \circ \eta_e
= G(1_A \xrightarrow{e} e) \circ \eta_{1_A} \circ F(e \xrightarrow{e} 1_A)
= G(1_A \xrightarrow{e} e) \circ \eta'_{1_A} \circ F(e \xrightarrow{e} 1_A),$$

and the same argument in backwards direction shows that $\eta_e = \eta'_e$, completing the proof.

Chapter 2

Exercise 13.

EXERCISE. The inner automorphisms of \mathcal{C} form a normal subgroup of the group of all automorphisms of \mathcal{C} . [Don't worry about whether these groups are sets or proper classes!]

SOLUTION. Let $F, G: \mathcal{C} \to \mathcal{C}$ be automorphisms and let $\alpha: F \to 1_{\mathcal{C}}$ be a natural isomorphism.

Let $A \in \mathcal{C}$. Define $\beta \colon GFG^{-1} \to 1_A$ via $\beta_A \coloneqq G(\alpha_{G^{-1}A})$ (so $\beta_A \colon GFG^{-1}A \to GG^{-1}A = A \to GG^{-1}A = 1_{\mathcal{C}}A$.

This is indeed a natural transformation: let $f \colon A \to B \in \mathcal{C}$, then we can write the naturality square in a funny way,

$$GFG^{-1}A \xrightarrow{G(\alpha_{G^{-1}A})} G1_CG^{-1}A$$

$$\downarrow^{GFG^{-1}(f)} \qquad \qquad \downarrow^{G1_CG^{-1}f}$$

$$GFG^{-1}B \xrightarrow{G(\alpha_{G^{-1}B})} G1_CG^{-1}B$$

and we see that it is just the functor G applied to the naturality diagram for α and the morphism $G^{-1}f$.

Therefore, β is a natural transformation, and since functors map isomorphisms to isomorphisms, it is also a natural isomorphism. So GFG^{-1} is an inner automorphism as required.

LEMMA. Let $1 \in \mathcal{C}$ be a terminal object and $F: C \to C$ an automorphism. Then F1 is a terminal object.

PROOF. If $A \in \mathcal{C}$, the functor F, which is fully faithful, induces a bijection between the collection of morphisms $F^{-1}A \to 1$ and the collection of morphisms $A \to F1$. Since 1 is terminal, there is exactly one morphism $A \to F1$.

EXERCISE. If $F \colon \mathsf{Set} \to \mathsf{Set}$ is an automorphism, then there is a unique natural isomorphism $1_{\mathcal{C}} \to F$.

SOLUTION. Of course, the terminal object in the category of sets is just the one-element set $1 = \{\star\}$. Since F1 is also terminal, it is in bijection with 1. We write $F1 = \{\star_{F1}\}$.

By the Yoneda lemma, the set of natural transformations $\mathsf{Set}(1,-) \to F$ is in bijection with F1, so there is a unique natural transformation $\eta \colon \mathsf{Set}(1,-) \to F$. Examining the proof, we see that the components of this natural transformation are given by

$$\eta_A \colon \mathsf{Set}(1,A) \to FA$$

$$f \mapsto Ff(\star_{F1})$$

for any object A of C. Let A be an object of C. We will show that η_A is an isomorphism, i.e., a bijection.

First, let $x \in FA$. Then $\eta_A(F^{-1}(\star_{F1} \mapsto x)) = x$, so η_A is surjective.

Additionally, let $f, g: 1 \to A$ such that $\eta_A(f) = \eta_A(g)$. Since a map $F1 \to FA$ is completely determined by its value at \star_{F1} , we must have Ff = Fg. But then $f = F^{-1}F(f) = F^{-1}F(g) = g$.

This means that η_A is an isomorphism, so η is in fact a natural isomorphism. We define a natural transformation $\alpha \colon 1_{\mathsf{Set}} \to \mathsf{Set}(1,-)$ by setting

$$\alpha_A(a)(\star) \coloneqq a.$$

CHAPTER 2 9

The naturality square for $f: A \to B$ is

$$\begin{array}{ccc} A & \stackrel{\alpha_A}{\longrightarrow} & \mathsf{Set}(1,A) \\ \downarrow^f & & \downarrow^{g \mapsto f \circ g} \\ B & \stackrel{\alpha_B}{\longrightarrow} & \mathsf{Set}(1,B) \end{array}$$

Both paths are just $a \mapsto (\star \mapsto f(a))$, so α is natural. It is also clear that α_A is bijective, so α is a natural isomorphism. In other words, \star is a universal element of the identity functor.

In particular, this tells is that composition with α and its inverse exhibits a bijection between the collection of natural transformations

$$1_{\mathsf{Set}} \to F$$

and the collection of natural transformations

$$Set(1, -) \rightarrow F$$
.

This means that there is a unique natural transformation $1_{\mathsf{Set}} \to F$, and it is given by $\alpha \circ \eta$, and since α and η are both natural isomorphisms, so is $\alpha \circ \eta$, completing the proof.

EXERCISE. The Sierpiński space S is, up to isomorphism, the unique topological space which has precisely three endomorphisms.

SOLUTION. Let X be a topological space. Then for any $x \in X$, the constant map $c_x \colon X \to X$ sending $y \in X$ to x is continuous. Furthermore, the identity on X is continuous. This, if X is infinite, then X has infinitely many endomorphisms, and if X is finite, then X has at least |X| + 1 endomorphisms.

Now assume that X has precisely three endomorphisms. Then X is finite and has at most two points. Clearly, if X has zero or one point, then there is only one endomorphism. So X has two points, say $X = \{a, b\}$. There are four set-functions $\{a, b\} \to \{a, b\}$, three of which (the identity and the two constant maps) are continuous regardless of the topology. The final map interchanges a and b and is not continuous.

The empty set and all of X are open. If X had the trivial or the discrete topology, then the interchange would be continuous, a contradiction. Hence, precisely one of the sets $\{a\}$ and $\{b\}$ is open. Sending the member of that set to 1 and the other element to 0 describes a homeomorphism with S.

EXERCISE. Let \mathcal{C} be a full subcategory of Top containing the singleton space 1 and the Siperpiński space S and let F be an automorphism of \mathcal{C} . Then

- (a) we have $FS \cong S$,
- (b) there is a unique natural isomorphism $\alpha \colon U \to UF$, where $U \colon \mathcal{C} \to \mathsf{Set}$ is the forgetful functor,
- (c) if C contains a space in which not every union of closed sets is closed, then α_S is continuous, and
- (d) F is uniquely naturally isomorphic to the identity functor.

SOLUTION. For (a), we just need to notice that F is fully faithful, so it induces a bijection between the sets of morphisms $S \to S$ and $FS \to FS$. Since S is determined up to isomorphism by having exactly three endomorphisms, the claim follows.

The proof of (b) is entirely analogous to the proof of 2.13(ii).

Exercise 14.

EXERCISE. Let $e: A \to A$ be an idempotent. Then the following are equivalent:

- (i) e is split,
- (ii) the pair $(e, 1_A)$ has an equaliser,
- (iii) the pair $(e, 1_A)$ has a coequaliser.

SOLUTION. We will show that (i) is equivalent to (ii). By duality, this implies that (i) is equivalent to (iii).

Assume that there are $f: B \to A$ and $g: A \to B$ such that fg = e and $gf = 1_B$. We claim that f is an equaliser of e and 1_A . We must show that any $h: C \to A$ satisfying he = h factors uniquely through f.

$$B \overset{h'}{\underset{g}{\longleftarrow}} A \xrightarrow{e} A$$

Indeed, given such h. Then fgh = eh = h, hence gh is one such factoring factoring. If $h': C \to B$ is another factoring such that fh' = h, then h' = gfh' = gh, so the factoring is unique.

Conversely, assume that the pair $(e, 1_A)$ admits an equaliser $f: B \to A$. Since $ee = e = 1_A e$, e factors through f via some $g: A \to B$. Hence, fg = e. On the other hand, fgf = ef = f, and by a result from the lecture, f is monic, so $gf = 1_A$, so e is split.

Exercise. A split monomorphism is regular.

SOLUTION. If $f: A \to B$ is a split monomorphism, then there is some $g: B \to A$ such that $gf = 1_A$. Then $fgfg = f1_Ag = fg$, so fg is a split idempotent. By what we just saw, this means that f is an equaliser of $(fg, 1_A)$, hence f is a regular monomorphism.

Exercise 15.

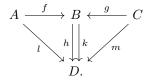
EXERCISE. Every regular monomorphism is strong.

Solution. Let f be the equaliser of u and v and take a commutative square as in the definition of strongness.

$$\begin{array}{ccc}
C & \xrightarrow{h} & A \\
\downarrow^{g} & \stackrel{t}{\downarrow} & & \downarrow^{f} \\
\downarrow^{g} & \downarrow^{g} & \downarrow^{g} & \downarrow^{g} \\
D & \xrightarrow{k} & B & \xrightarrow{u} & E
\end{array}$$

We have ukg = ufh = vfh = vkg. Since g is epi, this means that uk = vk, and since f is the equaliser of u and v, we find $t: D \to A$ such that ft = k. Now ftg = kg = fh. Since f is mono, we conclude that tg = h, so t has the desired properties. Hence, f is a strong monomorphism.

EXERCISE. Let $\mathcal C$ be the finite category whose non-identity morphisms are represented by the diagram



CHAPTER 2 11

The morphism f is strong monic but not regular monic.

Solution. The strongness condition for f is actually vacuous: if we have a diagram



then we must have $u=1_A$. The morphism f is not an epimorphism, as witnessed by the fact that hf=kf, but $h\neq k$, so we must have v=l. Then w is a morphism $D\to B$, but such a morphism does not exist. Hence, the square does not exist, so f is strong.

However, the only pairs of morphisms that f can be an equaliser of are $(1_B, 1_B)$, (k, k), (h, h) and (h, k). If f was the equaliser of any of these pairs, g would factor through f, but there is no morphism $C \to A$, hence that is not the case. So we conclude that f is not regular.

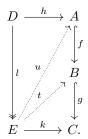
Exercise 16.

EXERCISE. Let $f: A \to B$, $g: B \to C$ be two morphisms.

- (a) If f and g are monic, then gf is monic,
- (b) If f and g are strong monic, then gf is strong monic,
- (c) If f and g are split monic, then gf is split monic,
- (d) If gf is monic, then f is monic,
- (e) If gf is strong monic, then f is strong monic,
- (f) If gf is split monic, then f is split monic.

SOLUTION. (a) If gfu = gfv, then fu = fv since g is monic, and u = v, since f is monic.

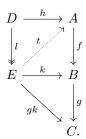
(b) Consider the diagram



Since g is strong monic, using the square (fh, g, l, k), we find $t: E \to B$ such that gt = k and tl = fh. Since f is strong epic, using the square (h, f, l, t), we find $u: E \to A$ such that fu = t and ul = h. Then we have gfu = gt = k, so u is the required morphism.

- (c) If $u: B \to A$ satisfies $uf = 1_A$ and $v: C \to B$ satisfies $vg = 1_B$, then uv is the desired retraction, as $uvgf = u1_Bf = uf = 1_A$.
- (d) If fu = fv, then trivially, gfu = gfv, so u = v.

(e) Consider the diagram



Since gf is strong monic, using the square (h,gf,l,gk) we find $t\colon E\to A$ such that tl = h (and gft = gk, but that is not important). We have ftl = fh = kl, so since l is epi, we have ft = k, so t is indeed the required diagonal morphism, so f is strong monic. (f) If $u\colon C\to A$ satisfies $ugf=1_A$, then $(ug)f=1_A$, so f is split monic.