# Category Theory

Peter Johnstone

These notes, taken by Markus Himmel, will at times differ significantly from	
what was lectured. In particular, all errors are almost certainly my own.	
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# Adjunctions

THEOREM 3.3. If  $g: FA \to B$ , then consider the square

$$(FA \to FA) \longrightarrow (A \to GFA)$$
 
$$\downarrow \qquad \qquad \downarrow$$
 
$$(FA \to B) \longrightarrow A \to GB.$$

Along the top right  $1_{FA}$  is mapped to  $\eta_A$  and then to  $Gg \circ \eta_A$ . Along the bottom left,  $1_{FA}$  is mapped to g and then to the morphism corresponding to g. Hence we have the morphism corresponding with g is precisely  $Gg \circ \eta_A$ , i.e., if  $f = Gg \circ \eta_A$ , then f must correspond to g.

COROLLARY 3.4. From the initial objects we obtain the components:

It remains to show naturality. Let  $f: A \to A'$  be a morphism. By initiality, there is a unique morphism  $\alpha: FA \to FA'$  making the square

$$A \xrightarrow{\eta_A} GFA$$

$$\downarrow^f \qquad \qquad \downarrow^{G\alpha}$$

$$A' \xrightarrow{\eta_{A'}} GFA'$$

commute. Recall that in the proof of 3.3 we saw that the morphism corresponding to  $GFf \circ \eta_A \colon A \to GFA'$  is  $Ff \colon FA \to FA'$ . On the other hand, consider the adjunction square

$$(FA' \to FA') \longrightarrow (A' \to GFA')$$

$$\downarrow \qquad \qquad \downarrow$$

$$(FA \to FA') \longrightarrow (A \to GFA').$$

Along the top right path,  $1_{FA'}$  is mapped to  $\eta_{A'}$  and then to  $\eta_{A'} \circ f$ . Along the bottom left path  $1_{FA'}$  is mapped to Ff and then to the morphism corresponding with Ff. Hence, Ff corresponds to  $\eta_{A'} \circ f$ . But Ff also corresponds to  $GFf \circ \eta_A$ , so we must have  $\eta_{A'} \circ f = GFf \circ \eta_A$ , which just means that  $\eta$  is a natural transformation, and in particular,  $\alpha = Ff$ .

On the other hand, we may calculate that

$$G\theta_{A'}^{-1} \circ GF'f \circ G\theta_A \circ \eta_A = G\theta_{A'}^{-1} \circ GF'f \circ \eta_A'$$
$$= G\theta_{A'}^{-1} \circ \eta_{A'}' \circ f$$
$$= \eta_{A'} \circ f,$$

where we use that  $\eta'$  is a natural transformation for the same reason as  $\eta$  and that the triangle at the start commutes. Therefore, we find that  $\alpha = \theta_{A'}^{-1} \circ F' f \circ \theta_A$ . Rearranging, this yields  $\theta_{A'} \circ F f = F' f \circ \theta_A$ , so  $\theta$  is natural, which is what we wanted to show.

Theorem 3.7. Let A be an object of  $\mathcal{C}$ . The naturality in the first variable of an adjunction asserts that

$$(GFA \to GFA) \longrightarrow (FGFA \to FA)$$
 
$$\downarrow \qquad \qquad \downarrow$$
 
$$(A \to GFA) \longrightarrow (FA \to FA)$$

is a commutative diagram, where the horizontal arrows are the adjunction and the vertical arrows are given by precomposition with  $\eta_A$  resp.  $F\eta_A$ .

Starting with  $1_{GFA}$ , along the top right way we map to  $\epsilon_{FA}$  and then to  $\epsilon_{FA} \circ F\eta_A$ . Along the bottom left way we map to  $\eta_A \circ 1_{GFA} \circ = \eta_A$  and then to  $1_{FA}$ , since this is how we defined  $\eta_A$ . Thus  $\epsilon_{FA} \circ F\eta_A = 1_{FA}$ , so the first triangular identity holds.

# **Exercises**

#### Chapter 1

#### Exercise 17.

EXERCISE. A morphism  $e: A \to A$  is called idempotent if ee = e. An idempotent e is said to split if it can be factored as fg where gf is an identity morphism.

- (i) Let  $\mathcal{E}$  be a collection of idempotents in a category  $\mathcal{C}$ : show that there is a category  $\mathcal{C}[\check{\mathcal{E}}]$  whose objects are the members of  $\mathcal{E}$ , whose morphisms  $e \to d$  are those morphisms f: dom  $e \to \mathrm{dom}\,d$  in  $\mathcal{C}$  for which dfe = f, and whose composition coincides with composition in  $\mathcal{C}$ . [Hint: first show that the single equation dfe = f is equivalent to the two equations df = f = fe. Note that the identity morphism on an object e is not  $1_{\mathrm{dom}\,e}$  in general.]
- (ii) If  $\mathcal{E}$  contains all identity morphisms of  $\mathcal{C}$ , show that there is a full and faithful functor  $I \colon \mathcal{C} \to \mathcal{C}[\check{\mathcal{E}}]$ , and that an arbitrary functor  $T \colon \mathcal{C} \to \mathcal{D}$  can be factored as  $\widehat{T}I$  for some  $\widehat{T}$  iff it sends the members of  $\mathcal{E}$  to split idempotents in  $\mathcal{D}$ .
- (iii) Deduce that if all idempotents split in  $\mathcal{D}$ , then the functor categories  $[\mathcal{C}, \mathcal{D}]$  and  $[\widehat{\mathcal{C}}, \mathcal{D}]$  are equivalent, where  $\widehat{\mathcal{C}} = \mathcal{C}[\widecheck{\mathcal{E}}]$  for  $\mathcal{E}$  the class of all idempotents in  $\mathcal{C}$ .

SOLUTION. We will first show that if  $f: C \to D$  is any morphism and  $c: C \to C$  and  $d: D \to D$  are idempotents, then  $dfe = f \iff df = f = fe$ .

Indeed, if df = f = fe, then dfe = fe = f. Conversely, if dfe = f, then f = dfe = ddfe = df and f = dfe = dfee = fe.

To show that  $\mathcal{C}[\check{\mathcal{E}}]$  is a category, we need to show that the composition of two morphisms is indeed a morphism and that there are identity morphism.

Assume that  $c\colon C\to C,\ d\colon D\to D,\ e\colon E\to E$  are idempotents and that  $f\colon C\to D$  and  $g\colon D\to E$  satisfy dfc=f and egd=g. We need to show that egfc=gf. Using the lemma, we have egf=(eg)f=gf and gfc=g(fc)=gf, so, again by the lemma, the claim follows.

If  $e: E \to E$  is an idempotent, define  $1_e := e \xrightarrow{e} e$ . By idempotency of e, this is indeed a morphism. If  $f: d \to e$  is a morphism, then the morphism  $f1_d$  is the morphism fd = f (here we use the lemma again) in  $\mathcal{C}$ , so  $f1_d = f$  as required. Similarly,  $1_e f = f$ . This completes part (i).

Next, assume that  $\mathcal E$  contains all identity morphisms of  $\mathcal C$ . Define the functor I via

$$I: \mathcal{C} \to \mathcal{C}[\check{\mathcal{E}}]$$

$$A \mapsto 1_A$$

$$(f: A \to B) \mapsto (f: 1_A \to 1_B)$$

This is indeed a functor and since the data of a morphism  $A \to B$  in  $\mathcal{C}$  is precisely the same as the data of a morphism  $1_A \to 1_B$  in  $\mathcal{C}[\check{\mathcal{E}}]$ , I is fully faithful.

Now let  $T: \mathcal{C} \to \mathcal{D}$  be any functor.

First, assume that there is some functor  $\widehat{T} : \mathcal{C}[\check{\mathcal{E}}] \to \mathcal{D}$  such that  $T = \widehat{T}I$ . Let  $e : A \to A \in \mathcal{E}$  be an idempotent. Then we have

$$Te = \widehat{T}(1_A \xrightarrow{e} 1_A)$$

$$= \widehat{T}(1_A \xrightarrow{e} e \xrightarrow{e} 1_A)$$

$$= \widehat{T}(e \xrightarrow{e} 1_A) \circ \widehat{T}(1_A \xrightarrow{e} e),$$

and we also have

$$\begin{split} \widehat{T}(1_A \overset{e}{\longrightarrow} e) \circ \widehat{T}(e \overset{e}{\longrightarrow} 1_A) &= \widehat{T}(e \overset{e}{\longrightarrow} 1_A \overset{e}{\longrightarrow} e) \\ &= \widehat{T}(e \overset{ee}{\longrightarrow} e) \\ &= \widehat{T}(e \overset{e}{\longrightarrow} e) \\ &= \widehat{T}(1_e) \\ &= 1_{\widehat{T}e}, \end{split}$$

which shows that Te is split.

Next, assume that Te is split for any  $e \in \mathcal{E}$ . For any  $e \in \mathcal{E}$ , choose a splitting

$$TA \xleftarrow{g_e} B_e$$
,

i.e.,  $f_e \circ g_e = Te$ ,  $g_e \circ f_e = 1_{B_e}$ . For identity morphisms  $1_A$  (A an object of  $\mathcal{C}$ ), choose the specific splitting given by  $B_{1_A} := TA$ ,  $f_{1_A} := 1_{TA}$ ,  $g_{1_A} := 1_{TA}$ .

Now define the functor  $\widehat{T}$  via

$$\widehat{T} \colon \mathcal{C}[\check{\mathcal{E}}] \to \mathcal{D}$$

$$(e \colon A \to A) \mapsto B_e$$

$$(f \colon d \to e) \mapsto g_e \circ Tf \circ f_d.$$

If  $e \in \mathcal{E}$ , then we have

$$\widehat{T}(1_e) = g_e \circ Te \circ f_e$$

$$= g_e \circ f_e \circ g_e \circ f_e$$

$$= 1_{B_e} \circ 1_{B_e} = 1_{B_e}$$

Furthermore, if  $f: c \to d$  and  $g: d \to e$ , then we have

$$\begin{split} \widehat{T}(g \circ f) &= g_e \circ T(g \circ f) = f_c \\ &= g_e \circ Tg \circ Tf = f_c \\ &= g_e \circ Tg \circ T(d \circ f) \circ f_c \\ &= g_e \circ Tg \circ Td \circ Tf \circ f_c \\ &= g_e \circ Tg \circ f_d \circ g_d \circ Tf \circ f_c \\ &= \widehat{T}g \circ \widehat{T}f. \end{split}$$

So  $\widehat{T}$  is indeed a functor. If A is an object of  $\mathcal{C}$ , then

$$\widehat{T}IA = \widehat{T}1_A = B_{1_A} = TA$$

and if  $f: C \to D$  is a morphism in C, then

$$\widehat{T}If = \widehat{T}(1_C \xrightarrow{f} 1_D) = g_{1_D} \circ Tf \circ f_{1_C} = 1_{TD} \circ Tf \circ 1_{TC} = Tf,$$

so  $\widehat{T}$  is the required factorisation, completing part (ii).

Define a functor  $\Phi \colon [\widehat{\mathcal{C}}, \mathcal{D}] \to [\mathcal{C}, D]$  via  $F \mapsto F \circ I$ ,  $\eta \mapsto I\eta$ , where  $I\eta$  is defined cia  $I\eta_C := \eta_{IC} = \eta_{1_C}$ . Naturality of  $I\eta$  immediately follows from naturality of  $\eta$ . Functoriality is also clear.

We will show that this functor is full, faithful and essentially surjective.

Indeed, let  $F: \mathcal{C} \to \mathcal{D}$  be a functor. Then  $\widehat{F}$  as defined in the previous part satisfies  $\Phi \widehat{F} = F$ , so  $\Phi$  is essentially surjective.

Next, let  $F, G: \widehat{\mathcal{C}} \to \mathcal{D}$  be functors and  $\eta: F \circ I \to G \circ I$  a natural transformation. For an idempotent  $e: A \to A$  in  $\mathcal{C}$ , define  $\hat{\eta}_e$  to be the composite

$$Fe \xrightarrow{F(e \xrightarrow{e} 1_A)} F1_A = (F \circ I)A \xrightarrow{\eta_A} (G \circ I)A = G1_A \xrightarrow{G(1_A \xrightarrow{e} e)} Ge.$$

We claim that this defines a natural transformation  $\hat{\eta} \colon F \to G$ . Indeed, if  $f \colon d \to e$  is a morphism, then

$$\begin{split} \hat{\eta}_{e} \circ Ff &= G(1_{A} \stackrel{e}{\longrightarrow} e) \circ \eta_{E} \circ F(e \stackrel{e}{\longrightarrow} 1_{E}) \circ F(d \stackrel{f}{\longrightarrow} e) \\ &= G(1_{E} \stackrel{e}{\longrightarrow} e) \circ \eta_{E} \circ F(d \stackrel{f}{\longrightarrow} e \stackrel{e}{\longrightarrow} 1_{E}) \\ &= G(1_{E} \stackrel{e}{\longrightarrow} e) \circ \eta_{E} \circ F(d \stackrel{d}{\longrightarrow} 1_{D} \stackrel{d}{\longrightarrow} d \stackrel{f}{\longrightarrow} e \stackrel{e}{\longrightarrow} 1_{E}) \\ &= G(1_{E} \stackrel{e}{\longrightarrow} e) \circ \eta_{E} \circ F(d \stackrel{d}{\longrightarrow} 1_{D} \stackrel{d}{\longrightarrow} d \stackrel{f}{\longrightarrow} e \stackrel{e}{\longrightarrow} 1_{E}) \\ &= G(1_{E} \stackrel{e}{\longrightarrow} e) \circ \eta_{E} \circ F(1_{D} \stackrel{efd}{\longrightarrow} 1_{E}) \circ F(d \stackrel{d}{\longrightarrow} 1_{D}) \\ &= G(1_{E} \stackrel{e}{\longrightarrow} e) \circ \eta_{E} \circ F(efd) \circ F(d \stackrel{d}{\longrightarrow} 1_{D}), \end{split}$$

and doing the whole thing backwards we conclude that  $\hat{\eta}_e \circ Ff = Gf \circ \hat{\eta}_d$ , so  $\hat{\eta}$  is indeed a natural transformation.

For any  $A \in \mathcal{C}$  we have

$$(I\hat{\eta})_A = \hat{\eta}_{IA} = \hat{\eta}_{1_A} = G(1_A \xrightarrow{1_A} 1_A) \circ \eta_A \circ F(1_A \xrightarrow{1_A} 1_A)$$
  
=  $G(1_{1_A}) \circ \eta_A \circ F(1_{1_A}) = \eta_A,$ 

which means that  $\Phi(\hat{\eta}) = \eta$ , so  $\Phi$  is full.

Finally, let  $F, G: \widehat{\mathcal{C}} \to \mathcal{D}$  be functors and  $\eta, \eta': F \to G$  be natural transformations such that  $\Phi(\eta) = \Phi(\eta')$ . To show that  $\Phi$  is faithful, we need to prove that  $\eta = \eta'$ . The assumption  $\Phi(\eta) = \Phi(\eta')$  means that for all  $A \in \mathcal{C}$  we have  $\eta_{IA} = \eta'_{IA}$ , so  $\eta_{1A} = \eta'_{1A}$ .

so  $\eta_{1_A} = \eta'_{1_A}$ . Let  $e: A \to A$  be any idempotent in  $\mathcal{C}$ . We need to show that  $\eta_e = \eta'_e$ . Indeed, we have

$$\eta_e = G(1_e) \circ \eta_e 
= G(e \xrightarrow{e} e) \circ \eta_e 
= G(e \xrightarrow{e} e) \circ \eta_e 
= G(e \xrightarrow{e} 1_A \xrightarrow{e} e) \circ \eta_e 
= G(1_A \xrightarrow{e} e) \circ G(e \xrightarrow{e} 1_A) \circ \eta_e 
= G(1_A \xrightarrow{e} e) \circ \eta_{1_A} \circ F(e \xrightarrow{e} 1_A) 
= G(1_A \xrightarrow{e} e) \circ \eta'_{1_A} \circ F(e \xrightarrow{e} 1_A),$$

and the same argument in backwards direction shows that  $\eta_e = \eta'_e$ , completing the proof.

## Chapter 2

Exercise 13.

EXERCISE. The inner automorphisms of  $\mathcal{C}$  form a normal subgroup of the group of all automorphisms of  $\mathcal{C}$ . [Don't worry about whether these groups are sets or proper classes!]

SOLUTION. Let  $F,G:\mathcal{C}\to\mathcal{C}$  be automorphisms and let  $\alpha\colon F\to 1_{\mathcal{C}}$  be a natural isomorphism.

Let  $A \in \mathcal{C}$ . Define  $\beta \colon GFG^{-1} \to 1_A$  via  $\beta_A \coloneqq G(\alpha_{G^{-1}A})$  (so  $\beta_A \colon GFG^{-1}A \to GG^{-1}A = A \to GG^{-1}A = 1_{\mathcal{C}}A$ .

This is indeed a natural transformation: let  $f \colon A \to B \in \mathcal{C}$ , then we can write the naturality square in a funny way,

$$GFG^{-1}A \xrightarrow{G(\alpha_{G^{-1}A})} G1_CG^{-1}A$$

$$\downarrow^{GFG^{-1}(f)} \qquad \qquad \downarrow^{G1_CG^{-1}f}$$

$$GFG^{-1}B \xrightarrow{G(\alpha_{G^{-1}B})} G1_CG^{-1}B$$

and we see that it is just the functor G applied to the naturality diagram for  $\alpha$  and the morphism  $G^{-1}f$ .

Therefore,  $\beta$  is a natural transformation, and since functors map isomorphisms to isomorphisms, it is also a natural isomorphism. So  $GFG^{-1}$  is an inner automorphism as required.

LEMMA. Let  $1 \in \mathcal{C}$  be a terminal object and  $F: C \to C$  an automorphism. Then F1 is a terminal object.

PROOF. If  $A \in \mathcal{C}$ , the functor F, which is fully faithful, induces a bijection between the collection of morphisms  $F^{-1}A \to 1$  and the collection of morphisms  $A \to F1$ . Since 1 is terminal, there is exactly one morphism  $A \to F1$ .

EXERCISE. If  $F \colon \mathsf{Set} \to \mathsf{Set}$  is an automorphism, then there is a unique natural isomorphism  $1_{\mathcal{C}} \to F$ .

SOLUTION. Of course, the terminal object in the category of sets is just the one-element set  $1 = \{\star\}$ . Since F1 is also terminal, it is in bijection with 1. We write  $F1 = \{\star_{F1}\}$ .

By the Yoneda lemma, the set of natural transformations  $\mathsf{Set}(1,-) \to F$  is in bijection with F1, so there is a unique natural transformation  $\eta \colon \mathsf{Set}(1,-) \to F$ . Examining the proof, we see that the components of this natural transformation are given by

$$\eta_A \colon \mathsf{Set}(1,A) \to FA$$
 
$$f \mapsto Ff(\star_{F1})$$

for any object A of C. Let A be an object of C. We will show that  $\eta_A$  is an isomorphism, i.e., a bijection.

First, let  $x \in FA$ . Then  $\eta_A(F^{-1}(\star_{F1} \mapsto x)) = x$ , so  $\eta_A$  is surjective.

Additionally, let  $f, g: 1 \to A$  such that  $\eta_A(f) = \eta_A(g)$ . Since a map  $F1 \to FA$  is completely determined by its value at  $\star_{F1}$ , we must have Ff = Fg. But then  $f = F^{-1}F(f) = F^{-1}F(g) = g$ .

This means that  $\eta_A$  is an isomorphism, so  $\eta$  is in fact a natural isomorphism. We define a natural transformation  $\alpha \colon 1_{\mathsf{Set}} \to \mathsf{Set}(1,-)$  by setting

$$\alpha_A(a)(\star) \coloneqq a.$$

The naturality square for  $f: A \to B$  is

$$A \xrightarrow{\alpha_A} \mathsf{Set}(1,A)$$

$$\downarrow f \qquad \qquad \downarrow g \mapsto f \circ g$$

$$B \xrightarrow{\alpha_B} \mathsf{Set}(1,B)$$

Both paths are just  $a \mapsto (\star \mapsto f(a))$ , so  $\alpha$  is natural. It is also clear that  $\alpha_A$  is bijective, so  $\alpha$  is a natural isomorphism. In other words,  $\star$  is a universal element of the identity functor.

In particular, this tells is that composition with  $\alpha$  and its inverse exhibits a bijection between the collection of natural transformations

$$1_{\mathsf{Set}} \to F$$

and the collection of natural transformations

$$\mathsf{Set}(1,-) \to F.$$

This means that there is a unique natural transformation  $1_{\mathsf{Set}} \to F$ , and it is given by  $\alpha \circ \eta$ , and since  $\alpha$  and  $\eta$  are both natural isomorphisms, so is  $\alpha \circ \eta$ , completing the proof.

EXERCISE. The Sierpiński space S is, up to isomorphism, the unique topological space which has precisely three endomorphisms.

SOLUTION. Let X be a topological space. Then for any  $x \in X$ , the constant map  $c_x \colon X \to X$  sending  $y \in X$  to x is continuous. Furthermore, the identity on X is continuous. This, if X is infinite, then X has infinitely many endomorphisms, and if X is finite, then X has at least |X| + 1 endomorphisms.

Now assume that X has precisely three endomorphisms. Then X is finite and has at most two points. Clearly, if X has zero or one point, then there is only one endomorphism. So X has two points, say  $X = \{a, b\}$ . There are four set-functions  $\{a, b\} \to \{a, b\}$ , three of which (the identity and the two constant maps) are continuous regardless of the topology. The final map interchanges a and b and is not continuous.

The empty set and all of X are open. If X had the trivial or the discrete topology, then the interchange would be continuous, a contradiction. Hence, precisely one of the sets  $\{a\}$  and  $\{b\}$  is open. Sending the member of that set to 1 and the other element to 0 describes a homeomorphism with S.

EXERCISE. Let  $\mathcal{C}$  be a full subcategory of Top containing the singleton space 1 and the Siperpiński space S and let F be an automorphism of  $\mathcal{C}$ . Then

- (a) we have  $FS \cong S$ ,
- (b) there is a unique natural isomorphism  $\alpha \colon U \to UF$ , where  $U \colon \mathcal{C} \to \mathsf{Set}$  is the forgetful functor,
- (c) if  $\mathcal C$  contains a space in which not every union of closed sets is closed, then  $\alpha_S$  is continuous, and
- (d) F is uniquely naturally isomorphic to the identity functor.

SOLUTION. For (a), we just need to notice that F is fully faithful, so it induces a bijection between the sets of morphisms  $S \to S$  and  $FS \to FS$ . Since S is determined up to isomorphism by having exactly three endomorphisms, the claim follows.

The proof of (b) is entirely analogous to the proof of 2.13(ii).

For (c), write  $FS = \{\tilde{0}, \tilde{1}\}$  such that  $\{\tilde{1}\}$  is open. Suppose that  $\alpha_S$  is not continuous. Then  $\alpha_S$  must send  $0 \mapsto \tilde{1}$  and  $1 \mapsto \tilde{0}$ . Now let  $U \subseteq X$  be an open set of some topological space in C. Consider the map  $q: X \to S$  which sends  $x \in X$  to 1

if and only if  $x \in U$ . This map is continuous. Define  $f := F^{-1}g$ , then by naturality we have

$$(\alpha_{F^{-1}X})^{-1}(U) = \alpha_{F^{-1}X}^{-1}((UFf)^{-1}(\{\tilde{1}\})) = (Uf)^{-1}((\alpha_S)^{-1}(\tilde{1})) = (Uf)^{-1}(\{0\}).$$

Since f is continuous, the right hand side is closed. Hence the preimage under  $\alpha_{F^{-1}X}$  of an open set is closed. In analogous fashion and using the fact that  $F^{-1}$  is also an automorphism (noting that  $\alpha^{-1}$  must be the unique natural isomorphism  $U \to UF^{-1}$ ), we find that for any space X in  $\mathcal{C}$  we have

- the preimage under  $\alpha_X$  of an open set is closed,
- the preimage under  $\alpha_X$  of a closed set is open,
- the image under  $\alpha_X$  of an open set is closed,
- the image under  $\alpha_X$  of a closed set is open.

Now let X be a space in C and a collection  $U_i$  closed sets such that  $\bigcup U_i$  is not closed. We have

$$\alpha_X^{-1}(\bigcup U_i) = \bigcup \alpha_X^{-1}(U_i),$$

where the left hand side is not open, since otherwise  $\bigcup U_i$  would be closed, but the right hand side is open, since  $\alpha_X^{-1}(U_i)$  is open for every i. This is a contradiction, so  $\alpha_S$  is continuous.

For (d), we can now carry out the same calculation as above to find that  $\alpha_X$  and  $\alpha_X^{-1}$  are continuous for every X, so  $\alpha$  lifts to a natural isomorphism  $1_C \to F$ , which must be unique since the forgetful functor  $[\mathcal{C}, \mathcal{C}] \to [\mathsf{Set}, \mathsf{Set}]$  is faithful.  $\square$ 

#### Exercise 14.

EXERCISE. Let  $e: A \to A$  be an idempotent. Then the following are equivalent:

- (i) e is split,
- (ii) the pair  $(e, 1_A)$  has an equaliser,
- (iii) the pair  $(e, 1_A)$  has a coequaliser.

SOLUTION. We will show that (i) is equivalent to (ii). By duality, this implies that (i) is equivalent to (iii).

Assume that there are  $f: B \to A$  and  $g: A \to B$  such that fg = e and  $gf = 1_B$ . We claim that f is an equaliser of e and  $1_A$ . We must show that any  $h: C \to A$  satisfying he = h factors uniquely through f.

$$B \stackrel{h'}{\underset{g}{\longleftarrow}} A \stackrel{e}{\underset{1_{A}}{\longrightarrow}} A$$

Indeed, given such h. Then fgh=eh=h, hence gh is one such factoring factoring. If  $h': C \to B$  is another factoring such that fh'=h, then h'=gfh'=gh, so the factoring is unique.

Conversely, assume that the pair  $(e, 1_A)$  admits an equaliser  $f: B \to A$ . Since  $ee = e = 1_A e$ , e factors through f via some  $g: A \to B$ . Hence, fg = e. On the other hand, fgf = ef = f, and by a result from the lecture, f is monic, so  $gf = 1_A$ , so e is split.

EXERCISE. A split monomorphism is regular.

SOLUTION. If  $f: A \to B$  is a split monomorphism, then there is some  $g: B \to A$  such that  $gf = 1_A$ . Then  $fgfg = f1_Ag = fg$ , so fg is a split idempotent. By what we just saw, this means that f is an equaliser of  $(fg, 1_A)$ , hence f is a regular monomorphism.

#### Exercise 15.

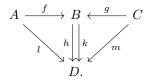
EXERCISE. Every regular monomorphism is strong.

SOLUTION. Let f be the equaliser of u and v and take a commutative square as in the definition of strongness.

$$\begin{array}{ccc}
C & \xrightarrow{h} & A \\
\downarrow g & t & \downarrow f \\
\downarrow g & t & \downarrow f \\
D & \xrightarrow{k} & B & \xrightarrow{u} & E
\end{array}$$

We have ukg = ufh = vfh = vkg. Since g is epi, this means that uk = vk, and since f is the equaliser of u and v, we find  $t: D \to A$  such that ft = k. Now ftg = kg = fh. Since f is mono, we conclude that tg = h, so t has the desired properties. Hence, f is a strong monomorphism.

EXERCISE. Let  $\mathcal C$  be the finite category whose non-identity morphisms are represented by the diagram



The morphism f is strong monic but not regular monic.

Solution. The strongness condition for f is actually vacuous: if we have a diagram

$$\bullet \xrightarrow{u} A \\
\downarrow^{v} \qquad \qquad \downarrow^{f} \\
\bullet \xrightarrow{w} B,$$

then we must have  $u=1_A$ . The morphism f is not an epimorphism, as witnessed by the fact that hf=kf, but  $h\neq k$ , so we must have v=l. Then w is a morphism  $D\to B$ , but such a morphism does not exist. Hence, the square does not exist, so f is strong.

However, the only pairs of morphisms that f can be an equaliser of are  $(1_B, 1_B)$ , (k, k), (h, h) and (h, k). If f was the equaliser of any of these pairs, g would factor through f, but there is no morphism  $C \to A$ , hence that is not the case. So we conclude that f is not regular.

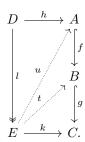
#### Exercise 16.

EXERCISE. Let  $f: A \to B$ ,  $g: B \to C$  be two morphisms.

- (a) If f and g are monic, then gf is monic,
- (b) If f and g are strong monic, then gf is strong monic,
- (c) If f and g are split monic, then gf is split monic,
- (d) If gf is monic, then f is monic,
- (e) If gf is strong monic, then f is strong monic,
- (f) If gf is split monic, then f is split monic.
- (g) If gf is regular monic and g is monic, then f is regular monic.

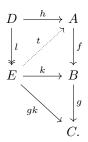
SOLUTION. (a) If gfu = gfv, then fu = fv since g is monic, and u = v, since f is monic.

#### (b) Consider the diagram



Since g is strong monic, using the square (fh, g, l, k), we find  $t: E \to B$  such that gt = k and tl = fh. Since f is strong epic, using the square (h, f, l, t), we find  $u: E \to A$  such that fu = t and ul = h. Then we have gfu = gt = k, so u is the required morphism.

- (c) If  $u: B \to A$  satisfies  $uf = 1_A$  and  $v: C \to B$  satisfies  $vg = 1_B$ , then uv is the desired retraction, as  $uvgf = u1_Bf = uf = 1_A$ .
- (d) If fu = fv, then trivially, gfu = gfv, so u = v.
- (e) Consider the diagram



Since gf is strong monic, using the square (h, gf, l, gk) we find  $t: E \to A$  such that tl = h (and gft = gk, but that is not important). We have ftl = fh = kl, so since l is epi, we have ft = k, so t is indeed the required diagonal morphism, so f is strong monic.

- (f) If  $u: C \to A$  satisfies  $ugf = 1_A$ , then  $(ug)f = 1_A$ , so f is split monic.
- (g) Say gf is an equalizer of u and v.

$$A \xrightarrow{\ell} \stackrel{\downarrow}{b} \stackrel{h}{\longrightarrow} C \xrightarrow{u} D$$

If  $h \colon T \to B$  satisfies ugh = vgh, then since gf is an equaliser of u and v, we find a unique  $\ell \colon T \to A$  such that  $gf\ell = gh$ . Since g is monic, we have  $f\ell = h$ . The morphism  $\ell$  is the unique morphism satisfying  $f\ell = h$ , since if  $\hat{\ell}$  also satisfies  $f\hat{\ell} = h$ , then certainly  $gf\hat{\ell} = gh$ , hence  $\ell = \hat{\ell}$ .

EXERCISE. Let  $\mathcal{C}$  be the full subcategory of Ab whose objects are groups having no elements of order 4 (though they may have elements of order 2). Then

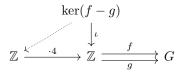
- (i) multiplication by 2 is a regular monomorphism  $\mathbb{Z} \to \mathbb{Z}$ ,
- (ii) multiplication by 4 is not a regular monomorphism  $\mathbb{Z} \to \mathbb{Z}$ ,
- (iii) there is a pair of morphisms (f,g) such that gf is regular monix but f is not.

SOLUTION. (i) We claim that multiplication by 2 is an equalizer in  $\mathcal{C}$  of the projection  $\pi \colon \mathbb{Z} \to \mathbb{Z}/2\mathbb{Z}$  and the zero map  $0 \colon \mathbb{Z} \to \mathbb{Z}/2\mathbb{Z}$ .

$$\mathbb{Z} \xrightarrow{\cdot 2} \mathbb{Z} \xrightarrow{\pi} \mathbb{Z}/2\mathbb{Z}$$

Indeed, if  $f: G \to \mathbb{Z}$  equalizes  $\pi$  and 0, then its image is contained in  $2\mathbb{Z}$ , hence it factors uniquely through multiplication by 2 via the map  $g \mapsto f(g)/2$ .

(ii) Assume that multiplication by 4 is an equalizer in  $\mathcal C$  of f and g.



Clearly, the kernel of f-g has no elements of order 4 and the inclusion equalizes f and g, hence it factors through multiplication by 4. Consider the element  $\alpha := f(1) - g(1) \in G$ . We know that  $\alpha + \alpha + \alpha + \alpha = f(4) - g(4) = 0$ , since multiplication by 4 equalises f and g. Since G is an object of C, the order of  $\alpha$  is 2 or 1. In either case, we have  $2 \in \ker(f-g)$ , which is not in the image of multiplication by 4, hence  $\iota$  cannot factor through multiplication by 4, so multiplication by 4 is not an equalizer of f and g.

## Exercise 17.

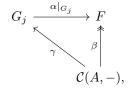
EXERCISE. The functor F is irreducible if and only if there is an epimorphism  $\mathcal{C}(A,-)\to F$  for some object A of  $\mathcal{C}.$ 

Solution. If F is irreducible, then applying the irreducibility property to the epimorphism constructed in 2.12 gives the desired result.

Conversely, if A is an object of C such that there is an epimorphism  $\beta \colon C(A,-) \to F$ , then by 2.11 we get a factoring  $\gamma \colon C(A,-) \to \coprod_{i \in I} G_i$ . Define  $x \coloneqq f_A(1_A) \in G_j(A)$  for some  $j \in I$ . By Yoneda, we know that for any object B and morphism  $f \colon A \to B$  we have

$$\gamma_B(f) = \left(\coprod_{i \in I} G_i\right)(f)(x) = G_j(f)(x),$$

i.e., the image of  $\gamma_B$  is completely contained in  $G_j(B)$  for every B. Hence we have a commutative diagram



and by the dual of Exercise 2.16(ii), the natural transformation  $\alpha|_{G_i}$  must be an epimorphism.  $\Box$ 

EXERCISE. A functor  $F: \mathcal{C} \to \mathsf{Set}$  is irreducible and projective if and only if there is a split epimorphism  $e: \mathcal{C}(A, -) \to F$  for some A.

SOLUTION. If F is irreducible and projective, then by part (i) we find an epimorphism  $e \colon \mathcal{C}(A,-) \to F$  for some A. Applying the projectivity of F to the diagram

$$F$$
 $\downarrow 1_F$ 
 $C(A,-) \stackrel{e}{\longrightarrow} F$ 

yields  $s: F \to \mathcal{C}(A, -)$  such that es = 1, so e is split.

Conversely, if  $e: \mathcal{C}(A, -) \to F$  admits a section  $s: F \to \mathcal{C}(A, -)$  such that es = 1, then F is irreducible by part (i). Suppose we have a morphism  $f: F \to R$  and an epimorphism  $g: Q \to R$ .

$$\begin{array}{ccc} \mathcal{C}(A,-) & \xrightarrow{e} & F \\ & & \downarrow^h & \downarrow^f \\ Q & \xrightarrow{g} & R \end{array}$$

Since  $\mathcal{C}(A,-)$  is projective by 2.11, we find some  $h\colon \mathcal{C}(A,-)\to Q$  such that fe=gh. But then ghs=fes=f, hence  $hs\colon F\to Q$  solves the lifting problem, and F is projective.  $\square$ 

EXERCISE. If all idempotents in  $\mathcal{C}$  split, then the irreducible projectives in  $[\mathcal{C},\mathsf{Set}]$  are exactly the representable functors.

SOLUTION. If F is representable, then we have a natural isomorphism  $\mathcal{C}(A,-) \to F$ , which in particular is a split epimorphism, hence F is irreducible and projective by part (ii).

Conversely, if F is irreducible and projective, by (ii) we find an epimorphism  $e: \mathcal{C}(A,-) \to F$  and a section  $s: F \to \mathcal{C}(A,-)$  such that es = 1. se is a natural transformation  $\mathcal{C}(A,-) \to \mathcal{C}(A,-)$ . Define  $f := (se)_A(1_A)$ . By Yoneda, for any  $u: A \to B$ , we have

$$(se)_B(u) = \mathcal{C}(A, u)(f) = uf.$$

Since se is idempotent, in particular we get

$$f = (se)_A(1_A) = (sese)_A(1_A) = (se)_A((se)_A(1_A)) = (se)_A(f) = ff,$$

so f is idempotent. By assumption, f is split, so we find some object  $B, g: B \to A$  and  $h: A \to B$  such that f = gh,  $hg = 1_B$ . Defining

$$x \colon \mathcal{C}(A, -) \to \mathcal{C}(B, -)$$
  $y \colon \mathcal{C}(B, -) \to \mathcal{C}(A, -)$   $x_C \colon u \mapsto uq$   $y_C \colon u \mapsto uh$ ,

(these are natural, which we can see either using Yoneda or by noticing that the naturality squares are just associativity of composition), we find that yx = se and xy = 1. But then we have xsey = xyxy = 1, eyxs = eses = 1, so  $xs \colon F \to \mathcal{C}(B,-)$  and  $ey \colon \mathcal{C}(B,-) \to F$  are two-sided inverses of each other, hence F is representable.

#### Exercise 18.

EXERCISE. Let  $\mathcal{D}$  be the full subcategory of the category  $\mathcal{C}$  in Exercise 2.15 with objects A, B and D, and let 2 be the category with objects 0 and 1 and one non-identity morphism  $0 \to 1$ . Find an example of a morphism in the functor category [2, D] which is epic but not pointwise epic.

CHAPTER 3 17

SOLUTION. Let  $G: 2 \to D$  be the functor that sends the morphism  $0 \to 1$  to h. Consider any functor  $H: 2 \to D$  and a natural transformation  $\eta: G \to H$ .

$$B \xrightarrow{\eta_0} H0$$

$$\downarrow h \qquad \qquad \downarrow H(0 \to 1)$$

$$D \xrightarrow{\eta_1} H1.$$

Clearly,  $H_1 = D$ ,  $\eta_1 = 1_D$ .  $H_0$  is either B or D. If  $H_0 = B$ , then  $\eta_0 = 1_B$  and  $H(0 \to 1) = h$ . If  $H_0 = D$ , then  $\eta_0 = h$  and  $H(0 \to 1) = 1_D$ . In both cases, there is only one natural transformation  $G \to H$ . Hence, any natural transformation  $\alpha \colon F \to G$  is automatically epic. Choose F to be the functor that sends  $0 \to 1$  to f and set  $\alpha_0 \coloneqq f$ ,  $\alpha_1 \coloneqq h$ . Then  $\alpha$  is a natural transformation. By what we have just seen, it is epic, but  $\alpha_0 = f$  is not an epimorphism, hence  $\alpha$  is not pointwise epic.

#### Chapter 3

#### Exercise 13.

EXERCISE. If  $\mathcal C$  is a small category, then the functor category  $[\mathcal C,\mathsf{Set}]$  is cartesian closed.

SOLUTION. Let  $F, G: \mathcal{C} \to \mathsf{Set}$  be functors and let A be an object of  $\mathcal{C}$ . Define

$$F^G(A) := \operatorname{Hom}_{[\mathcal{C},\mathsf{Set}]}(\mathcal{C}(A,-) \times G, F).$$

(TODO: Why is the thing on the right a set?)

If  $f: A \to A'$  is a morphism in  $\mathcal{C}$ ,  $\eta: \mathcal{C}(A, -) \times G \to F$  a natural transformation, B an object of  $\mathcal{C}$ ,  $g: A' \to B$  and  $x \in G(B)$ , define

$$F^G(f)(\eta)_B(g,x) \coloneqq \eta_B(g \circ f, x).$$

It is immediate this this makes  $F^G$  into a functor  $F^G \colon \mathcal{C} \to \mathsf{Set}$ .

Furthermore, if  $H\colon\mathcal{C}\to\mathsf{Set}$  is a functor and  $\varphi\colon F\to H$  is natural, we declare  $\varphi^G\colon F^G\to H^G$  via

$$(\varphi^G)_A \colon F^G(A) \to H^G(A), \quad \alpha \mapsto \varphi \circ \alpha.$$

This is clearly a natural transformation, and it behaves well under identities and composition, hence we have a functor

$$-^G \colon [\mathcal{C},\mathsf{Set}] \to [\mathcal{C},\mathsf{Set}].$$

It remains to verify that  $-\times G\dashv -^G$ . We apply Theorem 3.7. Let

Our first goal will be to define a natural transformation

$$\eta \colon 1_{[\mathcal{C},\mathsf{Set}]} \to (-\times G)^G.$$

Let  $F: \mathcal{C} \to \mathsf{Set}$ , A an object of  $\mathcal{C}$ ,  $x \in F(A)$ , B an object of  $\mathcal{C}$ ,  $g: A \to B$  and  $y \in G(B)$ . Define

$$\eta_{F,A}(x)_B(f,y) \coloneqq (F(f)(x),y).$$

By the Yoneda lemma, this defines a natural transformation

$$\eta_{F,A}(x) \colon \mathcal{C}(A,-) \times G \to F \times G$$

and hence we have a morphism of sets

$$\eta_{F,A} \colon F(A) \to \operatorname{Hom}_{[\mathcal{C},\mathsf{Set}]}(\mathcal{C}(A,-) \times G, F \times G).$$

Let A' be an object of C,  $f: A \to A'$ ,  $x \in F(A)$ , B an object of C,  $g: A' \to B$ , and  $y \in G(B)$ . We can calculate

$$(F \times G)^{G}(f)(\eta_{F,A}(x))_{B}(g,y) = \eta_{F,A}(x)_{B}(g \circ f, y)$$

$$= (F(g \circ f)(x), y)$$
  
=  $(F(g)(F(f)(x)), y)$   
=  $\eta_{F,A'}(F(f)(x))_B(g, y)$ .

In other words,

$$\eta_F \colon F \to (F \times G)^G$$

is a natural transformation. Next, let  $H \colon \mathcal{C} \to \mathsf{Set}$  be a functor and  $\varphi \colon F \to H$  be a natural transformation. Also, let A an object of  $\mathcal{C}$ ,  $x \in F(A)$ , B an object of  $\mathcal{C}$ ,  $f \colon A \to B$ ,  $y \in G(B)$ . We have

$$((\varphi \times G)^G \circ \eta_F)_A(x)_B(f,y) = (((\varphi \times G)^G)_A \times \eta_{F,A})(x)_B(f,y)$$

$$= ((\varphi \times G)_A^G(\eta_{F,A}(x)))_B(f,y)$$

$$= ((\varphi \times G) \circ \eta_{F,A}(x))_B(f,y)$$

$$= (\varphi \times G)_B \circ \eta_{F,A}(x)_B(f,y)$$

$$= (\varphi \times G)_B(F(f)(x),y)$$

$$= (\varphi_B(F(f)(x)),y)$$

$$= (H(f)(\varphi_A(x)),y)$$

$$= \eta_{H,A}(\varphi_A(x))_B(f,y),$$

so  $\eta$  is indeed a natural transformation as promised.

Next, we need to define a natural transformation

$$\epsilon \colon -^G \times G \to 1_{[\mathcal{C},\mathsf{Set}]}.$$

Indeed, let  $F \colon \mathcal{C} \to \mathsf{Set}$  be a functor, A an object of  $\mathcal{C}$  and  $\alpha \colon \mathcal{C}(A,-) \times G \to F$  be a natural transformation and  $x \in G(A)$ . Define

$$\epsilon_{F,A}(\alpha,x) := \alpha_A(1_A,x).$$

Let A' be an object of C,  $f: A \to A'$  and  $x \in G(A)$ . We have

$$\epsilon_{F,A'} \circ (F^G \times G)(f)(\alpha, x) = \epsilon_{F,A'}(F^G(f)(a), G(f)(x))$$

$$= F^G(f)(\alpha)_{A'}(1_{A'}, G(f(x)))$$

$$= \alpha_{A'}(\mathcal{C}(A, f)(1_A), G(f)(x))$$

$$= \alpha_{A'}((\mathcal{C}(A, -) \times G)(f)(1_A, x))$$

$$= F(f)(\alpha_A(1_A, x))$$

$$= F(f)(\epsilon_{F,A}(\alpha, x)),$$

so  $\epsilon_F \colon F^G \times G \to F$  is a natural transformation. Next, if  $H \colon \mathcal{C} \to \mathsf{Set}$  is a functor and  $\varphi \colon F \to H$  is a natural transformation, A is an object of  $\mathcal{C}$ ,  $\alpha \colon \mathcal{C}(A,-) \times G \to F$  is natural and  $x \in G(A)$ , then we have

$$(\epsilon_H \circ (\varphi^G \times G))_A(\alpha, x) = \epsilon_{H,A}((\varphi^G \times G)_A(\alpha, x))$$

$$= \epsilon_{H,A}((\varphi^G)_A(\alpha), x)$$

$$= \epsilon_{H,A}(\varphi \circ \alpha, x)$$

$$= (\varphi \circ \alpha)_A(1_A, x)$$

$$= (\varphi_A \circ \alpha_A(1_A, x))$$

$$= \varphi_A(\epsilon_{F,A}(1_A, x))$$

$$= (\varphi \circ \epsilon_F)_A(\alpha, x).$$

Hence,  $\epsilon \colon -^G \times G \to 1_{[\mathcal{C},\mathsf{Set}]}$  is a natural transformation.

CHAPTER 5 19

It remains to verify the triangle identities. For the first triangle identity, let  $F: \mathcal{C} \to \mathsf{Set}$  be a functor, A an object of  $\mathcal{C}, x \in F(A)$  and  $y \in G(A)$ . Then

$$\epsilon_{F \times G,A}((\eta_F \times G)_A(x,y)) = \epsilon_{F \times G,A}(\eta_{F,A}(x),y) = \eta_{F,A}(x)_A(1_A,y)$$
  
=  $(F(1_A)(x),y) = (x,y),$ 

so the first triangle identity holds.

Finally, let  $F: \mathcal{C} \to \mathsf{Set}$  be a functor,  $\alpha \colon \mathcal{C}(A,-) \times G \to F$  a natural transformation, B an object of  $\mathcal{C}, f \colon A \to B$  and  $x \in G(B)$ . Then

$$((\epsilon_F)^G \circ \eta_{F^G})_A(\alpha)_B(f, x) = (\epsilon_F \circ \eta_{F^G, A}(\alpha))_B(f, x)$$

$$= \epsilon_{F, B}(\eta_{F^G, A}(\alpha)_B(f, x))$$

$$= \epsilon_{F, B}(F^G(f)(\alpha), x)$$

$$= F^G(f)(\alpha)_B(1_B, x)$$

$$= \alpha_B(1_B \circ f, x)$$

$$= \alpha_B(f, x).$$

This completes the proof of second triangle identity, and we are done.  $\Box$ 

### Chapter 5

#### Exercise 15.

Exercise. If  $\mathcal{C}$  is a category, then  $\operatorname{End}_{[\mathcal{C},\mathcal{C}]}(1_{\mathcal{C}})$  is a commutative monoid.

SOLUTION. If  $\alpha, \beta \colon 1_{\mathcal{C}} \to 1_{\mathcal{C}}$  are natural transformations, then by naturality of  $\alpha$  the square

$$\begin{array}{ccc}
A & \xrightarrow{\alpha_A} & A \\
\downarrow^{\beta_A} & & \downarrow^{\beta_A} \\
A & \xrightarrow{\alpha_A} & B
\end{array}$$

is commutative for all objects A of C. Hence,  $\alpha \circ \beta = \beta \circ \alpha$ .

EXERCISE. If  $(1_{\mathcal{C}}, \eta, \mu)$  is a monad, then  $\eta$  is an isomorphism.

SOLUTION. Indeed, the first monad law gives  $\mu\eta=1_{1c}$ . By (i) this implies  $\eta\mu=1_{1c}$ , so  $\eta$  has the two-sided inverse  $\mu$ .

EXERCISE. Let  $F: \mathcal{C} \to \mathcal{D}$  be a functor having a right adjoint G such that there is a natural isomorphism  $\alpha\colon 1_{\mathcal{C}} \to GF$ . Then the unit is also an isomorphism. In particular, F is full and faithful.

SOLUTION. We will show that  $(1_{\mathcal{C}}, \alpha^{-1}\eta, \mu)$  is a monad, where  $\mu$  is the composite

$$1_{\mathcal{C}} \xrightarrow{\quad \alpha \quad} GF \xrightarrow{\quad \alpha_{GF} \quad} GFGF \xrightarrow{\quad G\epsilon_F \quad} GF \xrightarrow{\quad \alpha^{-1} \quad} 1_{\mathcal{C}}.$$

Indeed, observe that for  $1_{\mathcal{C}}$  the first and second monad laws are identical and the associativity law is vacuous. Hence, it suffices to check the first monad law. Let A be an object of  $\mathcal{C}$ . Then we need to show that

$$\alpha_A^{-1} \circ G \epsilon_{FA} \circ \alpha_{GFA} \circ \alpha_A \circ \alpha_A^{-1} \circ \eta_A = \alpha_A^{-1} \circ G \epsilon_{FA} \circ \alpha_{GFA} \circ \eta_A$$

is the identity on A. Indeed, this follows from the commutative diagram

$$A \xrightarrow{\alpha_A} GFA$$

$$\eta_A \downarrow GF\eta_A \downarrow I_{GFA}$$

$$GFA \xrightarrow{\alpha_{GFA}} GFGFA \xrightarrow{G\epsilon_{FA}} GFA \xrightarrow{\alpha_A^{-1}} A,$$

where the square commutes by naturality of  $\alpha$  and the triangle is just G applied to

the first triangle identity. By (ii),  $\alpha^{-1}\eta$  is an isomorphism, so  $\eta = \alpha\alpha^{-1}\eta$  is an isomorphism. The fact that F is fully faithful follows from the dual of Lemma 3.9.