

# Quantum Information Theory

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Classical vs Quantum systems

## 1 Overview of quantum mechanics

### 1.1 Axioms of quantum mechanics

- (a) Quantum states are represented by normalized vectors, i.e,  $\psi \in H : \|\psi\|^2 = 1$
- (b) Quantum observables are represented by Hermitian operators, i.e,

$$A : H \longrightarrow H \text{ such that } \langle Ax, y \rangle = \langle x, Ay \rangle \forall x, y \in H$$

- (c) Let  $A : H \longrightarrow H$  be a quantum observable. Experimentally, we can only observe its eigenvalues.

(Assumption: Observables have non degenerate eigenvalues, i.e,  $\lambda_k \neq \lambda_m$  for all  $k \neq m$ ).

Born postulate: Given a state  $\psi$  and a quantum observable  $A$ , the probability of observing the eigenvalue  $\lambda_j$  is

$$P_\psi(A = \lambda_j) = |\langle \psi, e_j \rangle|^2$$

where  $e_j$  is the eigenvector of  $A$  corresponding to  $\lambda_j$ .

### 1.2 Schrödinger physical quantization

On subspaces of the infinite Hilbert space of square integrable functions  $H = L_2(\mathbb{R})$ , Schrödinger defined the position and momentum operators:

$$q : L_2(\mathbb{R}) \longrightarrow L_2(\mathbb{R}) \text{ , } p : L_2(\mathbb{R}) \longrightarrow L_2(\mathbb{R})$$

$$f \longmapsto xf \qquad f \longmapsto \frac{h}{i} \dot{f}$$

where  $h$  is Planck's constant. We then find that  $[p, q]f(x) = \frac{h}{i}f(x) \Rightarrow [p, q] = \frac{h}{i}I$ , i.e,  $p$  and  $q$  do not commute.

### 1.3 Uncertainty principle

Robertson's inequality for two given Hermitian operators  $A$  and  $B$  is

$$\sigma_A \sigma_B \geq \frac{1}{2} |\langle [A, B] \rangle|$$

and when applied to the position and momentum operators yields

$$\sigma_q \sigma_p \geq \frac{h}{2}$$

which is known as the Heisenberg uncertainty relation. The dispersion of both position and momentum cannot be zero and hence, both position and momentum cannot be determined simultaneously. This is a direct consequence of the non-commutativity of the two corresponding operators.

## 1.4 Representation of quantum states by density operators

Pure states can be represented by projection operators (state vectors or wavefunctions)

$$P_\psi: H \longrightarrow H$$

$$\varphi \longmapsto P_\psi(\varphi)$$

where  $P_\psi(\varphi)$  is the projection of  $\varphi$  onto  $\psi$ . More generally, a mixed state can be represented by any operator  $\rho$  satisfying the following properties:

- $\rho$  is positive definite, i.e.  $\langle \rho(\varphi), \varphi \rangle \geq 0$  for all  $\varphi \in H$ .
- $\rho$  is Hermitian
- $\text{Tr}(\rho) = \sum_{k=1}^n \langle \rho(e_k), e_k \rangle = 1$  where  $\{e_k\}_{k=1}^n$  are the eigenvectors of  $\rho$  (and therefore a basis for  $H$ ).

An operator  $\rho$  satisfying the above properties is called a density operator. For any Hermitian operator  $A$  we can then use Born's rule to compute the probability of observing  $e_k$

$$P(A = e_k) = \langle \rho(e_k), e_k \rangle$$

and the average

$$\langle A \rangle_\rho = \text{Tr}(\rho A).$$

The main particularity of a pure state is that its corresponding operator is idempotent, i.e.  $P_\psi^2 = P_\psi$  since projecting two times yields the same vector as projecting only once.

## 2 Evolution in time of a classical system vs a quantum system

### 2.1 Hamiltonian equations and Liouville equation

In Hamiltonian mechanics, the state of a particle is determined by the position and momentum at a time  $t = t_0$  in phase space  $(q(t_0), p(t_0))$ . The evolution in time of the particle is described by taking into consideration the Hamiltonian formulation of Newton's 2<sup>nd</sup> law,  $\dot{p} = f(q)$  where  $f(q) = -\frac{\partial V}{\partial q}$  is a potential force, combined with the complete energy of the system

$$\mathcal{H}(q, p) = \frac{p^2}{2m} + V(q).$$

These yield the Hamiltonian equations

$$\begin{cases} \dot{q} = \frac{\partial \mathcal{H}}{\partial p} \\ \dot{p} = -\frac{\partial \mathcal{H}}{\partial q} \end{cases} (q(t_0), p(t_0))$$

and imply that the energy of the system is preserved along trajectories for any Hamiltonian dynamics, i.e.  $\mathcal{H}(q(t), p(t)) = \mathcal{H}(q_0, p_0)$  for all  $t \geq 0$ .

From this fact it is proven that the probability density function  $\rho(t, q(t), p(t))$ , which, when integrated in some domain  $V$  of the phase space gives the probability of finding particles in  $V$ , is also preserved along each trajectory. This result is reflected in Liouville's equation, which given an initial density  $\rho_0(q, p)$  and a Hamiltonian function  $\mathcal{H}(p, q)$  finds the probability density  $\rho(t, q, p)$  for future  $t \geq 0$ ,

$$\begin{cases} \frac{\partial \rho}{\partial t} = \{\mathcal{H}, \rho\} \\ \rho(0) = \rho_0 \end{cases}$$

where, for simplicity, the Poisson bracket  $\{f, g\} = \frac{\partial f}{\partial q} \frac{\partial g}{\partial p} - \frac{\partial f}{\partial p} \frac{\partial g}{\partial q}$  is used.

## 2.2 Schrödinger's equation

In quantum mechanics, the state of a system is represented by a time dependent complex vector  $\psi(t) \in H$ . By setting Planck's constant  $\hbar = 1$ , and considering the energy Hamiltonian operator  $\mathcal{H}$ , Schrödinger came up with the equation

$$\begin{cases} i \frac{\partial \psi}{\partial t}(t) = \mathcal{H}\psi(t) \\ \psi(0) = \psi_0 \end{cases}$$

which was motivated to ensure that every state  $\psi(t) \in H$  would preserve its norm in the process of evolution,

$$\|\psi(t)\|^2 = 1 \text{ for all } t \geq 0 \Leftrightarrow \langle \psi(t), \psi(t) \rangle = 1 \text{ for all } t \geq 0.$$

### Example

Consider the Hamiltonian

$$\mathcal{H}(q, p) = \frac{p^2}{2} + q^2$$

Denote  $\hat{q}$  and  $\hat{p}$  the operators of Schrödinger's quantization procedure and let  $H = L_2(\mathbb{R})$ . Then,

$$\begin{cases} \hat{q}^2 \psi(x) = x^2 \psi(x) \\ \hat{p}^2 \psi(x) = (-i)^2 \psi''(x) \end{cases}$$

So, from

$$\mathcal{H}(\hat{q}, \hat{p}) = \frac{\hat{p}^2}{2} + \hat{q}^2$$

we obtain the energy operator

$$\mathcal{H}(\hat{q}, \hat{p})\psi(x) = -\frac{\partial^2 \psi(x)}{2\partial x^2} + x^2 \psi(x)$$

and the Schrödinger equation

$$\begin{cases} i \frac{\partial \psi}{\partial t}(t, x) = -\frac{\partial^2 \psi}{2\partial x^2}(t, x) + x^2 \psi(t, x) \\ \psi(0, x) = \psi_0(x) \end{cases}$$

## 2.3 Von Neumann's equation

The evolution in time of a mixed state (represented by a density operator  $\rho$ ) is described by the equation

$$\begin{cases} \frac{\partial \rho}{\partial t}(t) = -i[\mathcal{H}, \rho(t)] \\ \rho(0) = \rho_0 \end{cases} \quad (1)$$

where the brackets denote the commutator operator. An important realization is that the entropy of an isolated quantum system is not changed with time, i.e.,

$$S(\rho(t)) = S(\rho(0)) \text{ for all } t \geq 0.$$

## 3 Classical vs Quantum entropy

### 3.1 Boltzmann Entropy

Given a classical isolated system comprised of a set  $\Omega$  of microstates and a set  $\Lambda = \{M_1, \dots, M_n\} \subset \Omega$  of disjoint macrostates, the Boltzmann entropy of the macrostate  $M$  is defined as

$$S(M) = K_B \ln(N_M)$$

where  $K_B$  is the Boltzmann constant and  $N_M$  is the number of microstates in  $M$ . Hence, if the size of  $M$  increases, so does the entropy, which results in the 2<sup>nd</sup> law of thermodynamics.

### 3.2 Shannon Entropy

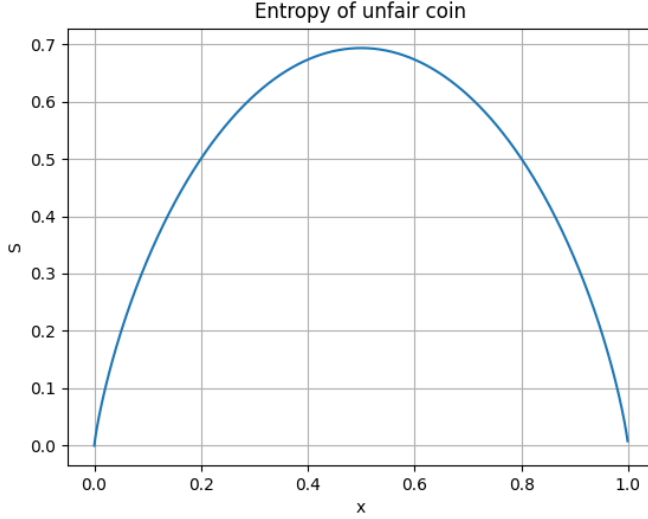
If  $p = (p_j)$  is a discrete probability distribution followed by a random variable, Shannon's entropy is defined as

$$S(p) = - \sum_j p_j \ln(p_j) \quad (2)$$

and measures the average level of uncertainty inherent in the variable's possible outcomes.

#### Example

Given a biased coin with probability  $p_1 = x$  of landing on heads and probability  $p_2 = 1 - x$  of landing on tails for  $x \in [0, 1]$  the entropy looks as follows:



### 3.3 Von Neumann Entropy

For a quantum system described by a density operator  $\rho$ , the von Neumann entropy is defined as

$$S(\rho) = -\text{Tr}(\rho) \ln(\rho)$$

where the logarithm is defined such that  $e^{\ln(\rho)} = \rho$  and

$$e^\rho = \sum_{n=0}^{\infty} \frac{\rho^n}{n!}$$

Moreover, if  $\{p_j\}$  are the eigenvalues of  $\rho$ , then in the basis  $\{e_j\}$  of eigenvectors of  $\rho$ , the entropy reduces to the sum of its diagonal entries and then coincides with Shannon's formula (2).

The von Neumann entropy satisfies the following conditions:

- $S(\rho) = 0$  if and only if  $\rho$  is a pure quantum state ( $\rho^2 = \rho$ ).
- Let  $N$  be the dimension of the state space. Then the maximum entropy is approached on the state

$$\rho_{\text{disorder}} = \frac{1}{N} I$$

and  $S(\rho_{\text{disorder}}) = \ln N$ .

- In contrast with classical entropy, the entropy of a quantum system is not changed with time (see von Neumann equation (1)).

## Examples

1. Consider the state represented by the density operator

$$\rho = \begin{pmatrix} \frac{1}{2} & \frac{1}{8} \\ \frac{1}{8} & \frac{1}{2} \end{pmatrix}$$

with eigenvalues  $p_1 = 0.625$  and  $p_2 = 0.375$ . If we take as basis the orthonormal eigenvectors  $e_1$  and  $e_2$  of  $\rho$  then the Von Neumann entropy of this state is given by

$$\mathcal{S}(\rho) = -\text{Tr}(\rho) \ln(\rho) = -p_1 \ln p_1 - p_2 \ln p_2 \approx 0.662$$

2. Let  $\alpha, \beta \in \mathbb{C}$  such that  $|\alpha|^2 + |\beta|^2 = 1$  and consider the state represented by the density operator

$$\rho = \begin{pmatrix} |\alpha|^2 & \alpha \bar{\beta} \\ \bar{\alpha} \beta & |\beta|^2 \end{pmatrix}$$

From the identity  $z \cdot \bar{z} = |z|^2$  it is easy to verify that  $\rho^2 = \rho$ , so in particular,  $\rho$  is a pure quantum state. By the first property of the Von Neumann entropy we have that  $\mathcal{S}(\rho)=0$ .

## 4 Conclusion

In classical systems,

- Dynamics are perfectly determined in the phase space as position  $q$  and momentum  $p$  by the Hamiltonian equations of the system.
- The energy of the system is preserved along individual trajectories (Hamiltonian dynamics).
- Since the energy is carried by particles, the probability density function for a collection of particles is also preserved (Liouville equation).
- The 2<sup>nd</sup> law of thermodynamics states that the entropy inside a classical isolated system increases with time and thereby there is an eventual destruction of order (Boltzmann entropy).

In quantum systems,

- It is impossible to determine the position and momentum of a particle (Heisenberg uncertainty principle). Instead, the notion of states as normalized vectors and observables as Hermitian operators in a Hilbert space are introduced. Given a state  $\psi$  and an observable  $A$ , only the probability of observing an eigenvalue  $\lambda$  of  $A$  can be computed via Born's rule.
- State normalization for pure states is preserved in the process of evolution (Schrödinger equation).
- The dynamics of a pure state (represented by a projection operator) are described by Schrödinger's equation whereas the dynamics of a mixed state (represented by a density operator) are described by von Neumann's equation.
- The entropy of an isolated quantum system is not changed with time (von Neumann entropy).