



15-445/15-645 Fall 2018

Computer Science Carnegie Mellon Univ.

ADMINISTRIVIA

Project #2 - Checkpoint #1 is due TODAY

No class on Wednesday October 10th

Mid-term Exam is on Wednesday October 17th

- \rightarrow Will cover up to and including this lecture (L12).
- → Study guide will be posted on Piazza later this week.
- → One sheet of <u>handwritten</u> notes (double-sided).



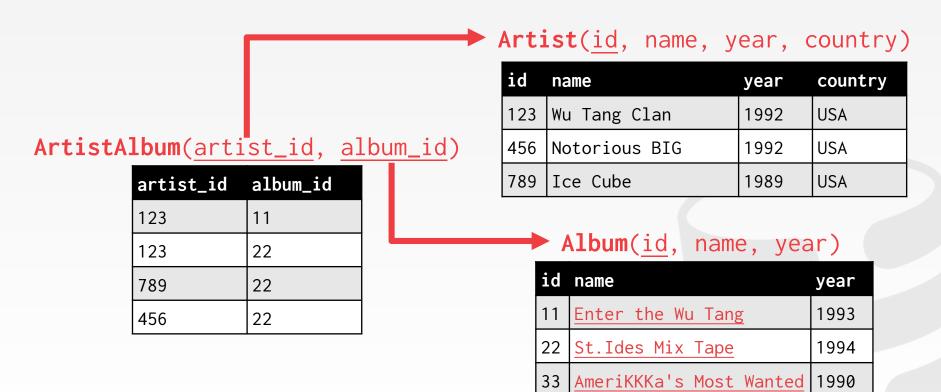
WHY DO WE NEED TO JOIN?

We normalize tables in a relational database to avoid unnecessary repetition of information.

We use the join operate to reconstruct the original tuples without any information loss.



NORMALIZED TABLES





JOIN ALGORITHMS

We will focus on joining **two** tables at a time.

In general, we want the smaller table to always be the outer table.

Things we need to discuss first:

- → Output
- → Cost Analysis Criteria



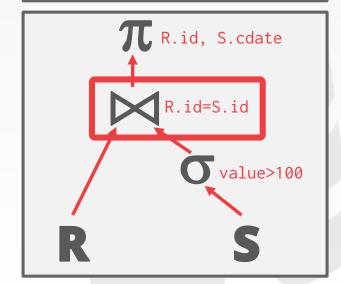
JOIN OPERATOR OUTPUT

For a tuple $r \in R$ and a tuple $s \in S$ that match on join attributes, concatenate r and s together into a new tuple.

Contents can vary:

- → Depends on processing model
- → Depends on storage model
- \rightarrow Depends on the query

SELECT R.id, S.cdate
 FROM R, S
WHERE R.id = S.id
 AND S.value > 100





JOIN OPERATOR OUTPUT: DATA

Copy the values for the attributes in outer and inner tuples into a new output tuple.

SELECT R.id, S.cdate FROM R, S WHERE R.id = S.idAND S.value > 100

R(id, name) S(id, value, cdate)

id	name		id	value	cdate
123	abc	X	123	1000	10/9/2018
	-		123	2000	10/9/2018

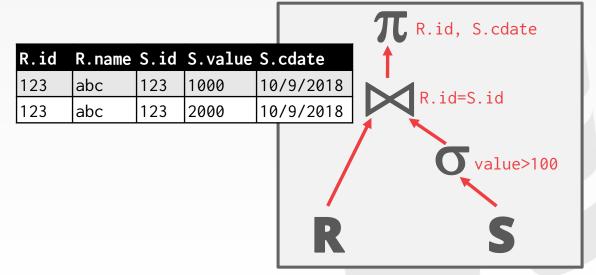
R.id	R.name	S.id	S.value	S.cdate
123	abc	123	1000	10/9/2018
123	abc	123	2000	10/9/2018



JOIN OPERATOR OUTPUT: DATA

Copy the values for the attributes in outer and inner tuples into a new output tuple.

SELECT R.id, S.cdate
FROM R, S
WHERE R.id = S.id
AND S.value > 100



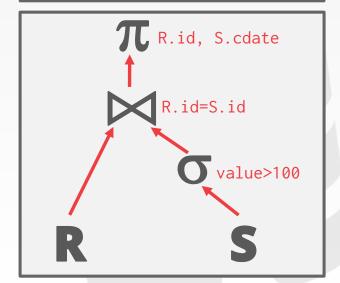


JOIN OPERATOR OUTPUT: DATA

Copy the values for the attributes in outer and inner tuples into a new output tuple.

Subsequent operators in the query plan never need to go back to the base tables to get more data.

SELECT R.id, S.cdate
 FROM R, S
WHERE R.id = S.id
 AND S.value > 100





JOIN OPERATOR OUTPUT: RECORD IDS

Only copy the joins keys along with the record ids of the matching tuples. **SELECT** R.id, S.cdate FROM R, S WHERE R.id = S.idAND S.value > 100

A(id, name) S(id, value, cdate)

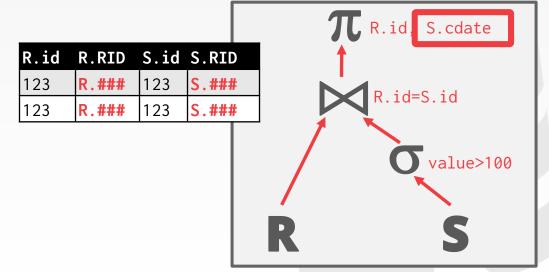
id	name	K A	id	value	cdate
123	abc	X	123	1000	10/9/2018
			123	2000	10/9/2018

R.id	R.RID	S.id	S.RID
123	R.###	123	S.###
123	R.###	123	S.###



JOIN OPERATOR OUTPUT: RECORD IDS

Only copy the joins keys along with the record ids of the matching tuples. SELECT R.id, S.cdate
 FROM R, S
WHERE R.id = S.id
 AND S.value > 100





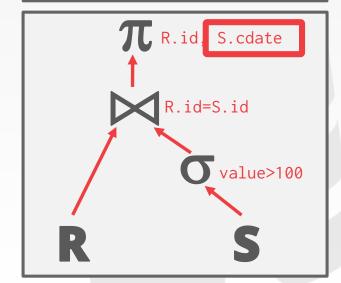
JOIN OPERATOR OUTPUT: RECORD IDS

Only copy the joins keys along with the record ids of the matching tuples.

Ideal for column stores because the DBMS does not copy data that is not need for the query.

This is called **late materialization**.

SELECT R.id, S.cdate
 FROM R, S
WHERE R.id = S.id
 AND S.value > 100





I/O COST ANALYSIS

Assume:

- \rightarrow *M* pages in table **R**, *m* tuples total
- \rightarrow **N** pages in **S**, **n** tuples total

SELECT R.id, S.cdate
 FROM R, S
WHERE R.id = S.id
 AND S.value > 100

Cost Metric: # of IOs to compute join

We will **ignore** output costs since that depends on the data and we cannot compute that yet.



JOIN VS CROSS-PRODUCT

R≥S is the most common operation and thus must be carefully optimized.

R×S followed by a selection is inefficient because the cross-product is large.

There are many algorithms for reducing join cost, but no particular algorithm works well in all scenarios.



JOIN ALGORITHMS

Nested Loop Join

- → Simple
- \rightarrow Block
- \rightarrow Index

Sort-Merge Join

Hash Join





SIMPLE NESTED LOOP JOIN (SLOW)



foreach tuple $r \in \mathbb{R}$: Outer foreach tuple s ∈ S: ← Inner emit, if r and s match

R(id, name)

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
500	RZA
700	Ghostface
400	Raekwon

S(id, value, cdate)

id	value	cdate
100	2222	10/9/2018
500	7777	10/9/2018
400	6666	10/9/2018
100	9999	10/9/2018
200	8888	10/9/2018





SIMPLE NESTED LOOP JOIN SLOW



Why is this algorithm bad?

 \rightarrow For every tuple in **R**, it scans **S** once

Cost: $M + (m \cdot N)$

M pages **m** tuples

R(id, name)

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
500	RZA
700	Ghostface
400	Raekwon

S(id, value, cdate)

id	value	cdate	
100	2222	10/9/2018	
500	7777	10/9/2018	
400	6666	10/9/2018	
100	9999	10/9/2018	
200	8888	10/9/2018	

N pagesn tuples





SIMPLE NESTED LOOP JOIN SLOW



Example database:

$$\rightarrow$$
 M = 1000, **m** = 100,000

$$\rightarrow$$
 N = 500, **n** = 40,000

Cost Analysis:

$$\rightarrow M + (m \cdot N) = 1000 + (100000 \cdot 500) = 50,000,100 \text{ IOs}$$

 \rightarrow At 0.1 ms/IO, Total time \approx 1.3 hours

What if smaller table (S) is used as the outer table?

$$\rightarrow N + (n \cdot M) = 500 + (40000 \cdot 1000) = 40,000,500$$
 Ios

 \rightarrow At 0.1 ms/IO, Total time \approx 1.1 hours

```
\begin{array}{l} \textbf{foreach} \ block \ \textbf{B}_{R} \in \textbf{R}: \\ \textbf{foreach} \ block \ \textbf{B}_{S} \in \textbf{S}: \\ \textbf{foreach} \ tuple \ \textbf{r} \in \textbf{B}_{R}: \\ \textbf{foreach} \ tuple \ \textbf{s} \in \textbf{B}_{s}: \\ \textbf{emit}, \ if \ \textbf{r} \ and \ \textbf{s} \ match \\ \end{array}
```

R(id, name)

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
500	RZA
700	Ghostface
400	Raekwon

S(id, value, cdate)

id	value	cdate	
100	2222	10/9/2018	
500	7777	10/9/2018	
400	6666	10/9/2018	
100	9999	10/9/2018	
200	8888	10/9/2018	

N pagesn tuples



M pages

m tuples

This algorithm performs fewer disk accesses.

 \rightarrow For every block in **R**, it scans **S** once

Cost: $M + (M \cdot N)$

M pages*m* tuples

R(id, name)

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
500	RZA
700	Ghostface
400	Raekwon

S(id, value, cdate)

id	value	cdate	
100	2222	10/9/2018	
500	7777	10/9/2018	
400	6666	10/9/2018	
100	9999	10/9/2018	
200	8888	10/9/2018	

N pages **n** tuples



Which one should be the outer table?

 \rightarrow The smaller table in terms of # of pages

M pages*m* tuples

R(id, name)

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
500	RZA
700	Ghostface
400	Raekwon

S(id, value, cdate)

id	value	cdate	
100	2222	10/9/2018	
500	7777	10/9/2018	
400	6666	10/9/2018	
100	9999	10/9/2018	
200	8888	10/9/2018	

n tuples



Example database:

$$\rightarrow$$
 M = 1000, **m** = 100,000

$$\rightarrow$$
 N = 500, **n** = 40,000

Cost Analysis:

- \rightarrow **M** + (**M** · **N**) = 1000 + (1000 · 500) = **501,000 IOs**
- \rightarrow At 0.1 ms/IO, Total time \approx 50 seconds



What if we have **B** buffers available?

- \rightarrow Use **B-2** buffers for scanning the outer table.
- \rightarrow Use one buffer for the inner table, one buffer for storing output.

R(id, name)

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
500	RZA
700	Ghostface
400	Raekwon

S(id, value, cdate)

id	value	cdate	
100	2222	10/9/2018	
500	7777	10/9/2018	
400	6666	10/9/2018	
100	9999	10/9/2018	
200	8888	10/9/2018	



M pages*m* tuples

```
\begin{array}{l} \text{foreach } \textit{B} - 2 \text{ blocks } \textit{b}_{R} \in \textit{R} \colon \\ \text{foreach block } \textit{b}_{S} \in \textit{S} \colon \\ \text{foreach tuple } \textit{r} \in \textit{b}_{R} \colon \\ \text{foreach tuple } \textit{s} \in \textit{b}_{s} \colon \\ \text{emit, if } \textit{r} \text{ and } \textit{s} \text{ match} \end{array}
```

R(id, name)

id	name
600	MethodMan
200	GZA
100	Andy
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400	Raekwon

S(id, value, cdate)

id	value	cdate	
100	2222	10/9/2018	
500	7777	10/9/2018	
400	6666	10/9/2018	
100	9999	10/9/2018	
200	8888	10/9/2018	

N pagesn tuples



M pages

m tuples

This algorithm uses B-2 buffers for scanning \mathbb{R} .

Cost:
$$M + (\lceil M / (B-2) \rceil \cdot N)$$

What if the outer relation completely fits in memory (B>M+2)?

- \rightarrow Cost: M + N = 1000 + 500 = 1500 IOs
- \rightarrow At 0.1ms/IO, Total time \approx 0.15 seconds



INDEX NESTED LOOP JOIN

Why do basic nested loop joins suck ass?

→ For each tuple in the outer table, we have to do a sequential scan to check for a match in the inner table.

Can we accelerate the join using an index?

Use an index to find inner table matches.

- \rightarrow We could use an existing index for the join.
- \rightarrow Or even build one on the fly.



INDEX NESTED LOOP JOIN

```
foreach tuple r \in R:
  foreach tuple s \in Index(r_i = s_j):
    emit, if r and s match
```

R(id, name)

id	name
600	MethodMan
200	GZA
100	Andy
300	ODB
500	RZA
700	Ghostface
400	Raekwon

S(id, value, cdate)

id	value	cdate	K
100	2222	10/9/2018	
500	7777	10/9/2018	-
400	6666	10/9/2018	
100	9999	10/9/2018	
200	8888	10/9/2018	



N pagesn tuples



M pages*m* tuples

INDEX NESTED LOOP JOIN

Assume the cost of each index probe is some constant *C* per tuple.

Cost: $M + (m \cdot C)$

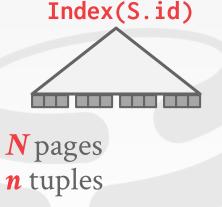
R(id, name)

M	pag	es
m	tupl	es

id	name
600	MethodMan
200	GZA
100	Andy
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400	Raekwon

S(id, value, cdate)

id	value	cdate	
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100	9999	10/9/2018	
200	8888	10/9/2018	





NESTED LOOP JOIN

Pick the smaller table as the outer table.

Buffer as much of the outer table in memory as possible.

Loop over the inner table or use an index.



Phase #1: Sort

- \rightarrow Sort both tables on the join key(s).
- → Can use the external merge sort algorithm that we talked about last class.

Phase #2: Merge

- → Step through the two sorted tables in parallel, and emit matching tuples.
- → May need to backtrack depending on the join type.



```
sort R,S on join keys
cursor_R \leftarrow R_{sorted}, cursor_S \leftarrow S_{sorted}
while cursor<sub>R</sub> and cursor<sub>S</sub>:
   if cursor<sub>R</sub> > cursor<sub>s</sub>:
      increment cursors
   if cursor<sub>R</sub> < cursor<sub>s</sub>:
      increment cursor<sub>R</sub>
   elif cursor, and cursor, match:
      emit
      increment cursors
```



R(id, name)

id	name
600	MethodMan
200	GZA
100	Andy
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S(id, value, cdate)

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100	9999	10/9/2018
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SELECT R.id, S.cdate
FROM R, S
WHERE R.id = S.id
AND S.value > 100



R(id, name)

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S(id, value, cdate)

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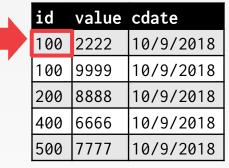
SELECT R.id, S.cdate
FROM R, S
WHERE R.id = S.id
AND S.value > 100



R(id, name)



S(id, value, cdate)



SELECT R.id, S.cdate
 FROM R, S
WHERE R.id = S.id
 AND S.value > 100



R(id, name)



S(id, value, cdate)

id	value	cdate
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200	8888	10/9/2018
400	6666	10/9/2018
500	7777	10/9/2018

SELECT R.id, S.cdate
FROM R, S
WHERE R.id = S.id
AND S.value > 100

Output Buffer

R.id	R.name	S.id	${\tt S.value}$	S.cdate
100	Andy	100	2222	10/9/2018



R(id, name)



S(id, value, cdate)

id	value	cdate
100	2222	10/9/2018
100	9999	10/9/2018
200	8888	10/9/2018
400	6666	10/9/2018
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SELECT R.id, S.cdate
 FROM R, S
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Output Buffer

R.id	R.name	S.id	S.value	S.cdate
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100	Andy	100	9999	10/9/2018



R(id, name)



S(id, value, cdate)

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SELECT R.id, S.cdate
 FROM R, S
WHERE R.id = S.id
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R.id	R.name	S.id	S.value	S.cdate
100	Andy	100	2222	10/9/2018
100	Andy	100	9999	10/9/2018
200	GZA	200	8888	10/9/2018



R(id, name)

id	name
100	Andy
200	GZA
300	ODB
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S(id, value, cdate)

id	value	cdate
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400	6666	10/9/2018
500	7777	10/9/2018

SELECT R.id, S.cdate
 FROM R, S
WHERE R.id = S.id
 AND S.value > 100

R.id	R.name	S.id	S.value	S.cdate
100	Andy	100	2222	10/9/2018
100	Andy	100	9999	10/9/2018
200	GZA	200	8888	10/9/2018
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R(id, name)

	id	name
	100	Andy
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 FROM R, S
WHERE R.id = S.id
 AND S.value > 100

R.id	R.name	S.id	S.value	S.cdate
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100	Andy	100	9999	10/9/2018
200	GZA	200	8888	10/9/2018
400	Raekwon	200	8888	10/9/2018



R(id, name)

	id	name
100 Andy		Andy
	200	GZA
	300	ODB
	400	Raekwon
	500	RZA
	600	MethodMan
	700	Ghostface

S(id, value, cdate)

id	value	cdate
100	2222	10/9/2018
100	9999	10/9/2018
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500	7777	10/9/2018

SELECT R.id, S.cdate
 FROM R, S
WHERE R.id = S.id
 AND S.value > 100

R.id	R.name	S.id	S.value	S.cdate
100	Andy	100	2222	10/9/2018
100	Andy	100	9999	10/9/2018
200	GZA	200	8888	10/9/2018
400	Raekwon	200	8888	10/9/2018
500	RZA	500	7777	10/9/2018



Sort Cost (R): $2M \cdot (\log M / \log B)$

Sort Cost (S): $2N \cdot (\log N / \log B)$

Merge Cost: (M + N)

Total Cost: Sort + Merge



Example database:

- \rightarrow **M** = 1000, **m** = 100,000
- $\rightarrow N = 500, n = 40,000$

With 100 buffer pages, both **R** and **S** can be sorted in two passes:

- \rightarrow Sort Cost (**R**) = 2000 · (log 1000 / log 100) = **3000 IOs**
- \rightarrow Sort Cost (S) = 1000 · (log 500 / log 100) = **1350 IOs**
- \rightarrow Merge Cost = (1000 + 500) = 1500 IOs
- \rightarrow Total Cost = 3000 + 1350 + 1500 = **5850 IOs**
- \rightarrow At 0.1 ms/IO, Total time \approx 0.59 seconds



The worst case for the merging phase is when the join attribute of all of the tuples in both relations contain the same value.

Cost: $(M \cdot N) + (sort cost)$



WHEN IS SORT-MERGE JOIN USEFUL?

One or both tables are already sorted on join key. Output must be sorted on join key.

The input relations may be sorted by either by an explicit sort operator, or by scanning the relation using an index on the join key.



HASH JOIN

If tuple $r \in R$ and a tuple $s \in S$ satisfy the join condition, then they have the same value for the join attributes.

If that value is hashed to some value \mathbf{i} , the \mathbf{R} tuple has to be in $\mathbf{r_i}$ and the \mathbf{S} tuple in $\mathbf{s_i}$.

Therefore, R tuples in r_i need only to be compared with S tuples in S_i .



BASIC HASH JOIN ALGORITHM

Phase #1: Build

 \rightarrow Scan the outer relation and populate a hash table using the hash function h_1 on the join attributes.

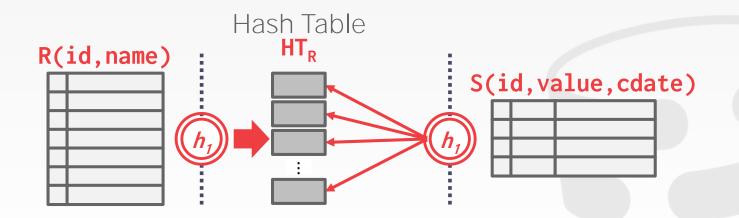
Phase #2: Probe

 \rightarrow Scan the inner relation and use h_1 on each tuple to jump to a location in the hash table and find a matching tuple.



BASIC HASH JOIN ALGORITHM

 $\begin{array}{l} \textbf{build} \text{ hash table } \textbf{HT}_R \text{ for } \textbf{R} \\ \textbf{foreach tuple } \textbf{s} \in \textbf{S} \\ \textbf{output}, \text{ if } \textbf{h}_1(\textbf{s}) \in \textbf{HT}_R \end{array}$





HASH TABLE CONTENTS

Key: The attribute(s) that the query is joining the tables on.

Value: Varies per implementation.

→ Depends on what the operators above the join in the query plan expect as its input.



HASH TABLE VALUES

Approach #1: Full Tuple

- → Avoid having to retrieve the outer relation's tuple contents on a match.
- \rightarrow Takes up more space in memory.

Approach #2: Tuple Identifier

- → Ideal for column stores because the DBMS doesn't fetch data from disk it doesn't need.
- \rightarrow Also better if join selectivity is low.



HASH JOIN

What happens if we do not have enough memory to fit the entire hash table?

We do not want to let the buffer pool manager swap out the hash table pages at a random.



Hash join when tables don't fit in memory.

- → **Build Phase:** Hash both tables on the join attribute into partitions.
- → **Probe Phase:** Compares tuples in corresponding partitions for each table.

Named after the **GRACE** database machine from Japan.



GRACE University of Tokyo



TBM (SE IBM DB2 Analytics Accelerator - GSE Management Summit Choosing the best fit Key indicators **IBM Netezza**

HASH JOIN

Clustrix

- Performance and Price/performance leader
- Speed and ease of deployment and administration

IBM Netezza standalone appliance

CLUSTRIX APPLIANCE

Clustrix Appliance 3 Node Cluster (CLX 4110)

- 24 Intel Xeon CPU cores
- · 144GB RAM
- · 6GB NVRAM
- 1.35TB Intel SSD protected
 - (2.7TB raw) data capacity
- Low-latency Infinipand interconnect

PERFORMANCE

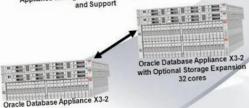


Named after machine from Japan. Oracle Database Appliance X3-2

Up to 36 TB Storage Up to 1.6 TB Flash

Appliance Manager for Deployment, Patching, and Support

2 cores



16 Database Cores 18 Storage Server Cores 54 TB Storage 2.4 TB Smart Flash Cache Smart Scan Hybrid Columnar Compression

Rack

Fully Expandable

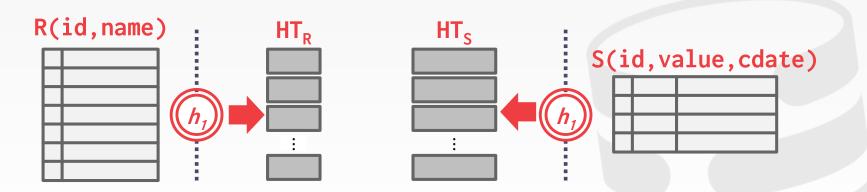
CAPACITY HIGHER

Exadata Eighth of Tokyo

CARNEGIE MELLON DATABASE GROUP

Hash \mathbb{R} into (0, 1, ..., max) buckets.

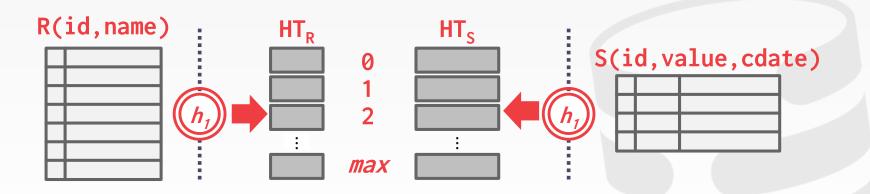
Hash **S** into the same # of buckets with the same hash function.





Hash \mathbb{R} into (0, 1, ..., max) buckets.

Hash **S** into the same # of buckets with the same hash function.





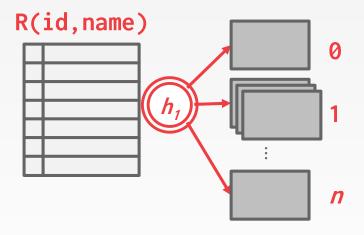
foreach tuple $r \in bucket_{R,0}$: foreach tuple $s \in bucket_{S,0}$: Join each pair of matching buckets between R and S. emit, if match(r, s) R(id, name) HT_s S(id, value, cdate) max



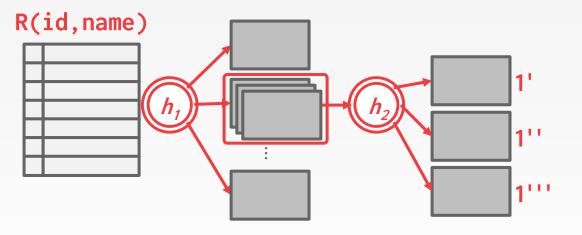
If the buckets do not fit in memory, then use **recursive partitioning** to split the tables into chunks that will fit.

- → Build another hash table for **bucket**_{R,i} using hash function h_2 (with $h_2 \neq h_1$).
- → Then probe it for each tuple of the other table's bucket at that level.

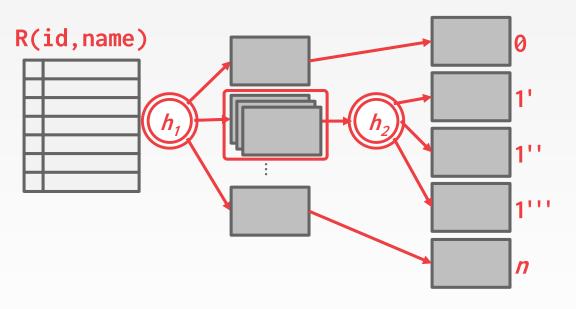




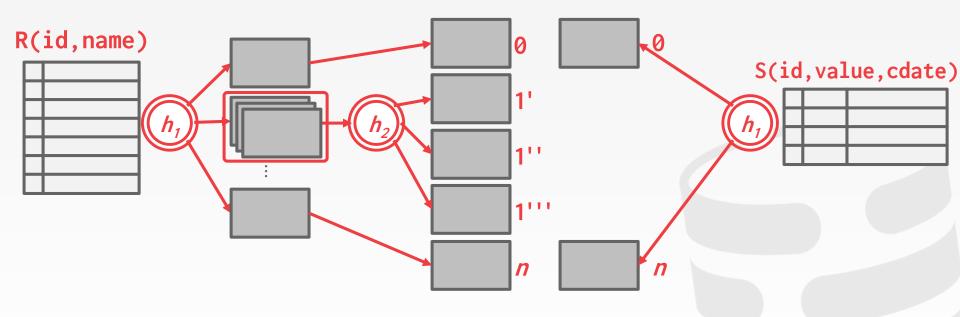




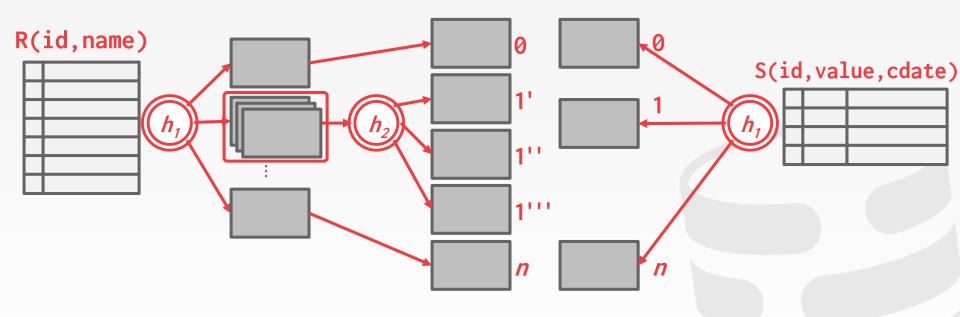




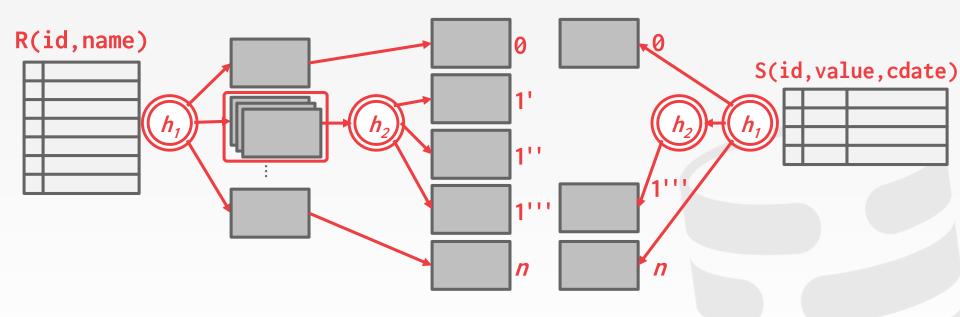














Cost of hash join?

- \rightarrow Assume that we have enough buffers.
- \rightarrow Cost: 3(M + N)

Partitioning Phase:

- → Read+Write both tables
- \rightarrow 2(M+N) IOs

Probing Phase:

- → Read both tables
- \rightarrow M+N IOs



Example database:

- \rightarrow **M** = 1000, **m** = 100,000
- \rightarrow **N** = 500, **n** = 40,000

Cost Analysis:

- \rightarrow 3 · (M + N) = 3 · (1000 + 500) = 4,500 IOs
- \rightarrow At 0.1 ms/IO, Total time \approx 0.45 seconds



OBSERVATION

If the DBMS knows the size of the outer table, then it can use a static hash table.

→ Less computational overhead for build / probe operations.

If we do not know the size, then we have to use a dynamic hash table or allow for overflow pages.



JOIN ALGORITHMS: SUMMARY

Algorithm	IO Cost	Example
Simple Nested Loop Join	$M + (m \cdot N)$	1.3 hours
Block Nested Loop Join	$M + (M \cdot N)$	50 seconds
Index Nested Loop Join	$M + (m \cdot C)$	~20 seconds
Sort-Merge Join	M + N + (sort cost)	0.59 seconds
Hash Join	3(M + N)	0.45 seconds



CONCLUSION

Hashing is almost always better than sorting for operator execution.

Caveats:

- \rightarrow Sorting is better on non-uniform data.
- \rightarrow Sorting is better when result needs to be sorted.

Good DBMSs use either or both.



NEXT CLASS

How the DBMS decides what algorithm to use for each operator in a query plan.

