# An Overview of Tabular Data Extraction

Global Table Extractor (GTE): A Framework for Joint Table Identification and Cell Structure Recognition Using Visual Context

Xinyi Zheng; University of Michigan Douglas Burdick, Lucian Popa; IBM Research-Almaden Xu Zhong; IBM Research Australia Nancy Xin, Ru Wang; IBM Research-Almaden

## **Motivation**

- 2.5 4 trillion documents in PDF format!
- Tables best way to summarize, aggregate, compare data.
- Tabular data in the PDF is helpful in data analytics, data-driven decisions.
- Significant time and manual efforts to extract data.
- Importing the data in relational database facilitates answering user queries.

## WHY "HARNESSING"!

- Table Extraction
  - Input: PDF page / Image / Office Documents
  - o Output:
    - Table border for each table
    - Partitioning table contents into cells
    - Both vertical and horizontal alignment of cells
- Table Understanding
  - o Input:
    - Output from Table Extraction Module (Document with Explicit Table Structure)
  - Output:
    - Annotate cells and tables with semantic info pertaining to them in a form amenable to post-processing

- Different table types.
  - Matrix table values have both row and column headers.
  - List/Entity table values have only row headers.
  - Relational table values have only column headers.

- Different table types.
  - Matrix table values have both row and column headers.
  - List/Entity table values have only row headers.
  - Relational table values have only column headers.

#### Multiple tables and table types on same page.

			September 30, 2007
	Ownership %	Carrying Value	Quoted Market Value
ZINCORE METALS INC SIGNIFICANTLY INFLUENCED AFFILIATE	49.3	\$9,705	\$22,860
OTHER INVESTMENTS		3,482	3,482
		\$13,187	\$26,342
	_		December 31, 2006
	Ownership %	Carrying Value	December 31, 2006 Quoted Market Value
SUPERIOR DIAMONDS INC. – SIGNIFICANTLY INFLUENCED AFFILIATE	Ownership % -	Carrying Value	
SUPERIOR DIAMONDS INC. – SIGNIFICANTLY INFLUENCED AFFILIATE OTHER INVESTMENTS			Quoted Market Value

In April 2007 the Company sold 500,000 common shares of Zincore Metals Inc. ("Zincore") for gross proceeds of \$350,000 and recorded a gain of \$212,000. This sale caused the Company's interest in Zincore to be reduced from 50.4% to 49.7%. As a result of the reduction of the Company's interest and resulting loss of control, the assets and liabilities of Zincore were no longer consolidated in the Company's balance sheet effective April 1, 2007. The assets and liabilities of Zincore excluded from consolidation and the investment carrying value as at Septemberl 30, 2007 are detailed as follows:

CASH EXPLORATION ADVANCES AND OTHER RECEIVABLES OTHER ASSETS PROPERTY, PLANT AND EQUIPMENT RESOURCE PROPERTIES	\$15,378 171 75 146 5,774
ACCOUNTS PAYABLE AND ACCRUED CHARGES NON-CONTROLLING INTEREST	21,544 (662) (10,360)
INVESTMENT AS AT APRIL 1, 2007  SALE OF SHARES  EQUITY IN LOSS (APRIL 1, 2007 TO SEPTEMBER 30, 2007)  GAIN ON DILUTION	\$10,522 (136) (755) 74
INVESTMENT AS AT SEPTEMBER 30, 2007	\$9,705

#### Presence of "other" data between tables.

ĺ				September 30, 2007
		Ownership %	Carrying Value	Quoted Market Value
	ZINCORE METALS INC SIGNIFICANTLY INFLUENCED AFFILIATE	49.3	\$9,705	\$22,860
	OTHER INVESTMENTS		3,482	3,482
		_	\$13,187	\$26,342
				December 31, 2006
		Ownership %	Carrying Value	Quoted Market Value
	SUPERIOR DIAMONDS INC SIGNIFICANTLY INFLUENCED AFFILIATE	14.8	\$1,919	\$3,082
	OTHER INVESTMENTS		2,485	5,913
			\$4,404	\$8,995

In April 2007 the Company sold 500,000 common shares of Zincore Metals Inc. ("Zincore") for gross proceeds of \$350,000 and recorded a gain of \$212,000. This sale caused the Company's interest in Zincore to be reduced from 50.4% to 49,7%. As a result of the reduction of the Company's interest and resulting loss of control, the assets and liabilities of Zincore were no longer consolidated in the Company's balance sheet effective April 1, 2007. The assets and liabilities of Zincore excluded from consolidation and the investment carrying value as at Septemberl 30, 2007 are detailed as follows:

CASH	\$15,378
EXPLORATION ADVANCES AND OTHER RECEIVABLES	171
OTHER ASSETS	75
PROPERTY, PLANT AND EQUIPMENT	146
RESOURCE PROPERTIES	5,774
	21,544
ACCOUNTS PAYABLE AND ACCRUED CHARGES	(662)
NON-CONTROLLING INTEREST	(10,360)
INVESTMENT AS AT APRIL 1, 2007	\$10,522
SALE OF SHARES	(136)
EQUITY IN LOSS (APRIL 1, 2007 TO SEPTEMBER 30, 2007)	(755)
GAIN ON DILUTION	74
INVESTMENT AS AT SEPTEMBER 30, 2007	\$9,705

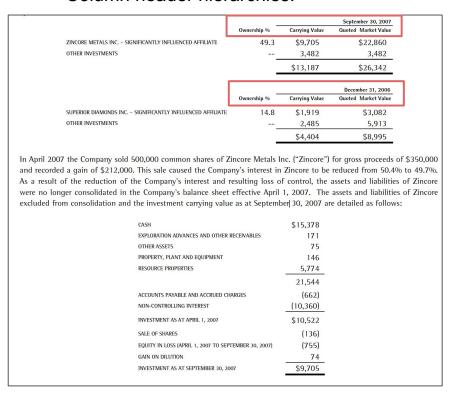
#### Presence of no data between tables.

The Company presents functional consolidated statements of operations and comprehensive loss in which expenses are aggregated according to the function to which they relate. The Company has identified the major functions as selling, general and administrative expenses; research and development expenses; and patent litigation and reexamination expenses. The following tables present the expenses based on their nature:

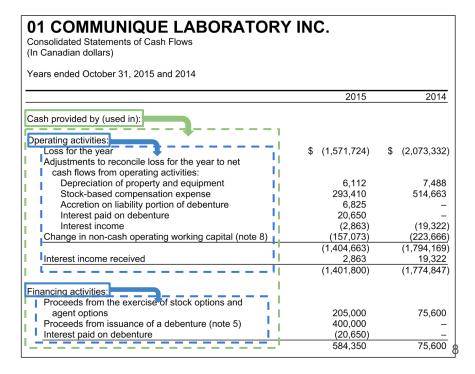
		Selling, general and	ı	Research and		Patent litigation and	
2015	adn	ninistrative	dev	elopment	reex	amination	Total
Salaries, contractors, commissions and benefits Stock-based compensation Patent litigation-related	\$	271,349 293,410	\$	668,528 _	\$	Ξ	\$ 939,877 293,410
expenses		_		-		15,254	15,254
Other operating expenses		269,765		115,791		-	385,556
	\$	834,524	\$	784,319	\$	15,254	\$ 1,634,097

		Selling, general and	ı	Research and		Patent litigation and	
2014	ad	ministrative	dev	elopment	reex	amination	Total
Salaries, contractors, commissions and benefits Stock-based compensation Patent litigation-related	\$	438,392 514,663	\$	662,554 –	\$	- -	\$ 1,100,946 514,663
expenses Other operating expenses		- 374.584		- 101,184		267,968	267,968 475,768
	\$	1,327,639	\$	763,738	\$	267,968	\$ 2,359,345

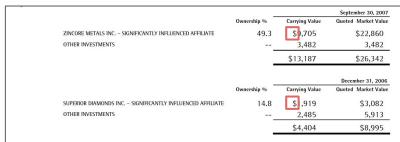
Column header hierarchies.



Row header hierarchies.



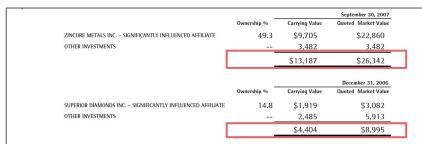
#### Missing contextual information



In April 2007 the Company sold 500,000 common shares of Zincore Metals Inc. ("Zincore") for gross proceeds of \$350,000 and recorded a gain of \$212,000. This sale caused the Company's interest in Zincore to be reduced from 50.4% to 49,7%. As a result of the reduction of the Company's interest and resulting loss of control, the assets and liabilities of Zincore were no longer consolidated in the Company's balance sheet effective April 1, 2007. The assets and liabilities of Zincore excluded from consolidation and the investment carrying value as at Septemberl 30, 2007 are detailed as follows:

EXPLORATION ADVANCES AND OTHER RECEIVABLES         171           OTHER ASSETS         75           PROPERTY, PLANT AND EQUIPMENT         146           RESOURCE PROPERTIES         5,774           ACCOUNTS PAYABLE AND ACCRUED CHARGES         (662)           NON-CONTROLLING INTEREST         (10,360)           INVESTMENT AS AT APRIL 1, 2007         \$ 0,522           SALE OF SHARES         (755)           GUITT IN LOSS (APRIL 1, 2007 TO SEPTEMBER 3, 2007)         (755)           GAIN ON DILLUTION         74           INVESTMENT AS AT SEPTEMBER 30, 2007         \$ 9,705	CASH	\$ 5,378
PROPERTY, PLANT AND EQUIPMENT         146           RESOURCE PROPERTIES         5,774           21,544         21,544           ACCOUNTS PAYABLE AND ACCRUED CHARGES         (662)           NON-CONTROLLING INTEREST         (10,360)           INVESTMENT AS AT APRIL 1, 2007         \$ 0,522           SALE OF SHARES         (136)           EQUITY IN LOSS (APRIL 1, 2007 TO SEPTEMBER 30, 2007)         (755)           GAIN ON DILUTION         74	EXPLORATION ADVANCES AND OTHER RECEIVABLES	171
2,774   21,544   ACCOUNTS PAYABLE AND ACCRUED CHARGES   (662)   NON-CONTROLLING INTEREST   (10,360)   INVESTMENT AS AT APRIL 1,2007   \$ 0,522   SALE OF SHARES   (136)   EQUITY IN LOSS (APRIL 1, 2007 TO SEPTEMBER 30,2007)   (755)   GAIN ON DILUTION   74	OTHER ASSETS	75
21,544  ACCOUNTS PAYABLE AND ACCRUED CHARGES (662) NON-CONTROLLING INTEREST (10,360)  INVESTMENT AS AT APRIL 1, 2007 \$ 0,522  SALE OF SHARES (136) EQUITY IN LOSS (APRIL 1, 2007 TO SEPTEMBER 30, 2007) GAIN ON DILLITION 74	PROPERTY, PLANT AND EQUIPMENT	146
ACCOUNTS PAYABLE AND ACCRUED CHARGES (662) NON-CONTROLLING INTEREST (10,360) INVESTMENT AS AT APRIL 1, 2007 \$ 0,522 SALE OF SHARES (136) EQUITY IN LOSS (APRIL 1, 2007 TO SEPTEMBER 30, 2007) (755) GAIN ON DILLUTION 74	RESOURCE PROPERTIES	5,774
NON-CONTROLLING INTEREST         (10,360)           INVESTMENT AS AT APRIL 1, 2007         \$ 0,522           SALE OF SHARES         (136)           EQUITY IN LOSS (APRIL 1, 2007 TO SEPTEMBER 30, 2007)         (755)           GAIN ON DILLITION         74		21,544
INVESTMENT AS AT APRIL 1, 2007  \$ALE OF SHARES (136)  EQUITY IN LOSS (APRIL 1, 2007 TO SEPTEMBER 30, 2007)  GAIN ON DILLITION 74	ACCOUNTS PAYABLE AND ACCRUED CHARGES	(662)
SALE OF SHARES         (136)           EQUITY IN LOSS (APRIL 1, 2007 TO SEPTEMBER 30, 2007)         (755)           GAIN ON DILLITION         74	NON-CONTROLLING INTEREST	(10,360)
EQUITY IN LOSS (APRIL 1, 2007 TO SEPTEMBER 30, 2007)         (755)           GAIN ON DILUTION         74	INVESTMENT AS AT APRIL 1, 2007	\$ 0,522
GAIN ON DILUTION 74	SALE OF SHARES	(136)
	EQUITY IN LOSS (APRIL 1, 2007 TO SEPTEMBER 30, 2007)	(755)
INVESTMENT AS AT SEPTEMBER 30, 2007 \$9,705	GAIN ON DILUTION	74
	INVESTMENT AS AT SEPTEMBER 30, 2007	\$9,705

#### Missing Aggregate type row headers



In April 2007 the Company sold 500,000 common shares of Zincore Metals Inc. ("Zincore") for gross proceeds of \$350,000 and recorded a gain of \$212,000. This sale caused the Company's interest in Zincore to be reduced from 50.4% to 49,7%. As a result of the reduction of the Company's interest and resulting loss of control, the assets and liabilities of Zincore were no longer consolidated in the Company's balance sheet effective April 1, 2007. The assets and liabilities of Zincore excluded from consolidation and the investment carrying value as at Septemberl 30, 2007 are detailed as follows:

CASH	\$15,378
EXPLORATION ADVANCES AND OTHER RECEIVABLES	17
OTHER ASSETS	75
PROPERTY, PLANT AND EQUIPMENT	140
RESOURCE PROPERTIES	5,774
	21,544
ACCOUNTS PAYABLE AND ACCRUED CHARGES	(662
NON-CONTROLLING INTEREST	(10,360
INVESTMENT AS AT APRIL 1, 2007	\$10,522
SALE OF SHARES	(136
EQUITY IN LOSS (APRIL 1, 2007 TO SEPTEMBER 30, 2007)	(755
GAIN ON DILUTION	74
INVESTMENT AS AT SEPTEMBER 30, 2007	\$9,70

## Proposed Approach

Treat tables and cells as objects.

We can leverage Objection Detection Deep Learning works to detect tables and cells.

#### Challenge:

How do we get the data?

- 1. There are some amount of data which has annotation for table boundaries, but not for cell boundaries.
- 2. Scientific papers are often present in HTML and PDF. HTML codes are structured. The authors did token matching to annotate 568k scientific tables from Pubmed dataset.

## Proposed Approach (continued)

Can we simply finetune existing object detectors with the newly created data?

-> Tables and cells have very different aspect ratios.

What's the intuition:

Cells are always inside tables and tables always contain cells.

## Proposed Approach (continued)

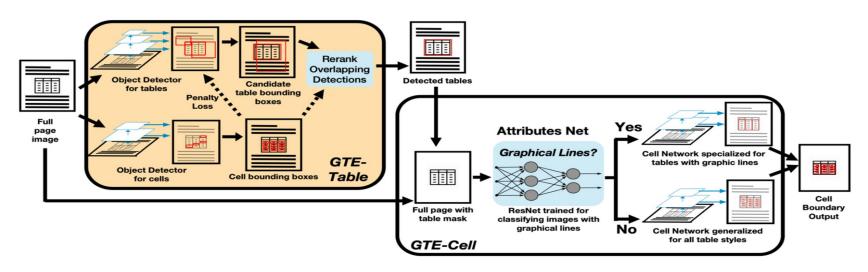


Figure 2: Our full GTE Framework consists of several networks for table (GTE-Table) and cell (GTE-Cell) boundary detection. The input is an image form of a document page for both sub-frameworks, but note GTE-Cell depends on table boundaries output by GTE-Table to generate cell structures for each specific table.

### Table Detection Framework

An object detector for tables and an object detector for cells connected with a penalty loss.

The cell detector detects how dense the cells are. The predicted tables which do not contain a lot of cells gets high penalty.

During inference time, usual object detection methods use max-suppression for overlapping candidates (take the one with the highest confidence, suppress others)

This work introduces tableness criteria, based on the density of cells inside and outside of the table to rerank tables that overlap with similar confidences.

Outputs table boundaries.

## Table Detection Framework: Penalty Loss

A penalty on table classification is applied if:

1. The detection is a table but contains very little cell mask inside the bounding box

2. The detection is not a table but contains a lot of cells inside the bounding box

## Table Detection Framework: Reranking Candidates

#### Re-rank:

When tables are overlapping and have similar confidence, select the table based on Table-ness:

- 1. Should have lots of cellular regions
- 2. Should not have cellular regions just outside that is not being covered by a non-overlapping region

## Performance for Table Detection

## State of the art on ICDAR 2013 and ICDAR 2019 datasets

#### **ICDAR 2013**

Character level recall, precision and F1

Category	Method	Input type	Recall	Precision	F1
Commercial Softwares	FineReader	PDF	99.71	97.29	98.48
Non Deep Learning	Nurminen[8]	PDF	90.77	92.10	91.43
Deep Learning	TableBank[18]	Image	/	/	96.25
Ours	GTE	Image	99.77	98.97	99.31
Ablation	Detection-Base	Image	84.64	90.65	84.65
Ablation	GTE-Table-Sep	Image	95.71	98.18	95.71

#### **ICDAR 2019**

Precision and Recall at different levels of Intersection Over Union (IOU)

Method	IOU	J = 0.8	IOU = 0.9		IOU = 0.9		Weighted F1
Method	P	R	P	R	weighted F1		
NLPR-PAL[4]	93	93	86	86	93		
TableRadar[4]	95	94	90	89	94		
GTE	96	95	90	89	94		

### Cell Detection framework

Object detection models typically focus on local areas, don't focus on global styles

#### Cell Detection framework:

Attributes net, classifies image whether a table has graphical lines or not. (one of the styles this paper focused on), two cell networks specialized for whether graphical lines are present or not.

Even if we have cell locations, we still don't know which cells are in same row or in the same column or not.

#### Solution:

Use the centers of the predicted cell boxes as cluster centers and utilize a k-means algorithm to determine the row and column number for every cell.

## Detection to structure with location and alignment clustering

	Alti	tems	New	items	Trend items	
Content domain and process	Number	Percent	Number	Percent	Number	Percent
Total items	135	100	60	100	75	100
Purposes of reading						
Literary experience	72	53	33	55	39	52
Acquire and use information	63	47	27	45	36	48
Processes of comprehension						
Focus on and retrieve explicitly stated information	33	24	14	23	19	25
Make straightforward inferences	46	34	20	33	28	35
Interpret and integrate ideas and information	38	24 34 28	18	23 33 30	19 26 20	25 35 27
Examine and evaluate content, language, and textual elements	18	[13]	8	13	10	13

	All item	s	New items		Trend ite	ems
Content domain and process		Percent	Number	Percent	Number	Percent
Total items	135	100	60	100	75	100
Purposes of reading						
Literary experience	72	53	33	55	39	52
Acquire and use information	63	47	27	45	36	48
Processes of comprehension						
Focus on and retrieve explicitly stated information	33	24	14	23	19	25
Make straightforward inferences	46	34	20	33	26	35
Interpret and integrate ideas and information	38	28	18	30	20	27
Examine and evaluate content, language, and textual elements	18	13	8	13	10	13

Faculty cluster	Population size	Sample size
Sciences	1269 (19.9%)	101(20.4%)
Social Sciences	3212 (50.6%)	247(50.0%)
Humanities	1168 (18.4%)	95(19.3%)
Civil Sciences	705 (11.1%)	51(10.3%)

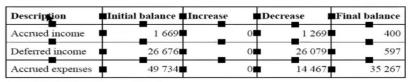
Faculty cluster	Population size	Sample size
Sciences	1269 (19.9%)	101(20.4%)
Social Sciences	3212 (50.6%)	247(50.0%)
Humanities	1168 (18.4%)	95(19.3%)
Civil Sciences	705 (11.1%)	51(10.3%)

	THRE	THRESHOLD FOR RELEASES				
	to air kg/year	to water kg/year	to land kg/year			
Asbestos	1	1	1			
Chlorides (as total Cl)		2 million	2 million			
Cyanides (as total CN)	B	50	50			
Fluorides (as total F)		2 000	2 000			
Particulate matter (PM10)	50 000	H	-			
Total Nitrogen		50.000	50 000			
Total Phosphorus		5.000	5 000			

	THRESHOLD FOR RELEASES					
	to air kg/year	to water kg/year	to land kg/year			
Asbestos	1	1	1			
Chlorides (as total Cl)	-	2 million	2 million			
Cyanides (as total CN)	-	50	50			
Fluorides (as total F)	-	2 000	2 000			
Particulate matter (PM10)	50 000	-	-			
Total Nitrogen	-	50 000	50 000			
Total Phosphorus	-	5 000	5 000			

## Cell Structure Metric

## ICDAR 2013, 2019 Competition



(a) Original table as in ground truth

Description	Initial	balance 🗖	ncrease	Decrease	Final	balance
Accrued income	+	1 669	0	1.2	269	400
Deferred income	+	26 676		26 (	79	597
Accrued expenses	+	49 734		14 4	167	35 267

(b) Incorrectly recognized cell structure with split column

■ Correct adjacency relations
□ Incorrect adjacency relations

Recall = 
$$\frac{\text{correct adjacency relations}}{\text{total adjacency relations}} = \frac{24}{31} = 77.4\%$$

$$Precision = \frac{\text{correct adjacency relations}}{\text{detected adjacency relations}} = \frac{24}{28} = 85.7\%$$

#### **Cell Structure Metric**

Recall and Precision of Cell Adjacency Relations

-ICDAR2013 -> Match based on text

-ICDAR2019 -> Match based on bounding box IOU

## Performance for Cell Structure Recognition in ICDAR Competitions

State of the art for Cell Structure Recognition in ICDAR 2013 +2019 Datasets

#### **ICDAR 2013**

Method	GT?	Rec.	Prec.	F1
Nurminen[8]	N	80.78	86.93	83.74
GTE	N	92.72	94.41	93.50
Tensmeyer[33]	Y	94.64	95.89	95.26
GTE	Y	95.77	96.76	96.24
Detection-Base	Y	76.66	80.63	78.10
GTE-Cell-Style-Mix -no-pt	Y	89.78	89.30	89.43
GTE-Cell-Style-Mix	Y	92.39	94.20	93.15
GTE-Cell-Border	Y	91.60	93.67	92.48

#### **ICDAR 2019**

Method		IOU	Weighted F1	
Method	0.1	0.5	0.6	weighted F1
NLPR-PAL[4]	-	36.5	30.5	20.6
CascadeTabNet[23]	-	43.8	35.4	23.2
GTE	77.5	54.8	38.5	24.8

SemEval-2021 Task 9: Fact Verification and Evidence Finding for Tabular Data in Scientific Documents (SEM-TAB-FACTS)

Nancy X. R. Wang\* Diwakar Mahajan\* Marina Danilevsky Sara Rosenthal IBM Research

## Task Description

Understanding tables is an important and relevant task that involves understanding table structure as well as being able to compare and contrast information within cells.

The authors address this goal in a shared task in SemEval 2020 Task 9: Fact Verification and Evidence Finding for Tabular Data in Scientific Documents (SEM-TAB- FACTS).

SEM- TAB-FACTS featured two sub-tasks.

In sub- task A, the goal was to determine if a statement is supported, refuted or unknown in relation to a table. In sub-task B, the focus was on identifying the specific cells of a table that provide evidence for the statement.

## **Existing Works and Datasets**

Popular question answering (e.g. SQuAD and Natural Question (Rajpurkar et al., and truth verification tasks (e.g. SemEval-2019 Fact Checking Task (Mihaylova et al., 2019)) have not focused on tables, being composed solely of written text. This is likely due to their complexity to parse and understand, despite their rich amount of information.

The closest dataset are TabFact (Wenhu Chen and Wang, 2020) and INFOTABS (Gupta et al., 2020). Both datasets were sourced from Wikipedia tables and contain hypothesis and premise pairs. TabFact has entailment and refute hypothesis types while INFOTABS has an additional "neutral" hypothesis category, ( close to the "unknown" statements in this work.)

## Why a New Dataset?

Scientific tables have very specialized vocabulary and can be more difficult to interpret. Additionally, scientific tables have much more complex structure, like hierarchical column and row headers, rendering the assumption that the first column/row is the header unhelpful. Finally, tables are often directly referenced in scientific text unlike Wikipedia tables that are generally stand-alone.

The second key differentiator of SEM-TAB-FACTS is the accompanying evidence annotations.

## Sample Tables

	n (% initiated	Unadjusted		
	smoking)	OR (95% CI)	р	
Baseline EC use		100		
Never	902 (8.2)	1.00		
Ever	21 (52.6)	12.41 (4.53-33.99)	<.001	
Follow-up EC use				
No escalation	882 (8.1)	1.00		
Escalation	41 (41.0)	7.94 (3.75-16.82)	<.001	
Age				
11-13	397 (4.4)	1.00		
14-15	270 (6.3)	1.45 (.71-2.97)	.312	
16-18	256 (16.1)	4.12 (2.19-7.76)	<.001	

Figure 2: A complex table sourced from (East et al., 2018) with hierarchical column and row structure. Additional difficulty follows from row hierarchy not being delineated by separate columns.

## The total number of cases and deaths have far surpassed those of the SARS outbreak.

#### 2019 novel coronavirus compared to other major viruses

VIRUS	YEAR IDENTIFIED	CASES	DEATHS	FATALITY RATE	NUMBER OF COUNTRIES
Ebola	1976	33,577	13,562	40.4%	9
Nipah	1998	513	398	77.6%	2
SARS	2002	8,096	774	9.6%	29
MERS*	2012	2,494	858	34.4%	28
COVID-19**	2020	222,642	9,115	4.1%	159

Sources: Johns Hopkins, CDC, World Health Organization, New England Journal of Medicine,

Malaysian Journal of Pathology, CGTN

\*As of November 2019 \*\*As of March 19, 2020 at 7:30 am EST.

BUSINESSINSIDER

Figure 1: Surrounding text often highlights some information from the table but does not capture all data. Alternately, the linked text may be subjective or even misleading without the original table to check the claims.

## Sample Example

#### Table 2

Data are for 1290 firms across nine East Asian economies. All network data are assembled by the authors, and are cross-sectional for 2008. Table reports country-level statistics on board networks, family networks, state networks, and political networks. Minimum values are everywhere 0. board network counts the amount of board/executive interlocks. Political network counts the amount of board/executive interlocks with politically-connected firms. Family network counts the amount of board/executive interlocks with family-controlled firms. State network counts the amount of board/executive interlocks with state-owned firms.

#### Networks across East Asia.

Country	N	Board	l netv	work	Famil	y net	work	State	netw	ork	Politic	al net	twork
		mean	SD	max	mean	SD	max	mean	SD	max	mean	SD	max
Hong Kong	133	5.12	6.1	33	2.62	4.51	26	1.00	1.41	6	0.67	1.37	6
Indonesia	169	1.64	3.31	23	0.95	2.64	17	0.14	0.38	2	0.22	1.09	9
Japan	126	1.84	2.33	15	0.07	0.42	3	0.09	0.31	2	0.00	0.00	0
South Korea	133	2.5	2.8	21	1.09	1.37	6	0.15	0.40	2	0.02	0.15	1
Malaysia	281	7.35	6.61	37	1.07	1.94	8	2.15	3.09	18	0.36	0.74	5
Philippines	98	8.52	8.91	38	5.33	6.16	21	0.71	1.59	10	0.20	0.81	6
Singapore	116	3.52	3.24	15	0.59	1.66	12	1.28	2.40	11	0.57	1.90	14
Taiwan	107	1.6	2.22	12	0.21	1.11	7	0.14	0.46	3	0.00	0.00	0
Thailand	127	5.11	5.04	23	1.58	3.15	19	0.73	1.99	11	0.29	1.16	8

#### **Original Generated Statements**

#### **Entailed**

- · There are 9 different types country in the given table.
- · The n value is same for Hong Kong and South Korea.
- There are 4 different types of Networks which contains its own mean, SD and max.
- · The least max value is 0 in Political network of Taiwan.
- All the values of SD in Board network is greater than the values of SD in Family network.

#### Refuted

- All the values of SD in Board network is less than the values of SD in Family network.
- There are 4 different types of Networks which contains same mean, SD and max.
- · The least max value is 0 in Political network of Thailand.
- · There are 7 different types country in the given table.
- The n value is same for Hong Kong and Malaysia.

#### **Original Related Natural In-text Statements**

- Descriptive statistics for each board network type are offered in Table 2, broken down by country.
- For each network interaction, there is considerable variation both across and within countries.

Figure 3: Sample crowd-sourced statements for one table (sourced from (Carney et al., 2020)). Please note that these are the original statements without any further corrections nor rephrasing.

## **Dataset Splits**

Source	#Tables	#Entailed	#Refuted	#Unknown	#Relevant	#Irrelevant
Train Crowdsourced	981	2,818	1,688	0	0	0
Train Auto-generated	1,980	92,136	87,209	0	1,039,058	15,467,957
Development	52	250	213	93	3,048	2,8495
Test	52	274	248	131	3,458	26,724

Table 1: Statistics for our SEM-TAB-FACTS dataset.

### Evaluation Metrics (Task A: Statement Fact Verification)

#### 2 evaluation metrics:

1. A standard 3-way Precision / Recall / F1 micro evaluation of a multi-class classification that evaluates whether each table was classified correctly as Entailed / Refuted / Unknown. This tests whether the classification algorithm understands cases where there is insufficient information to make a determination.

2. A simpler evaluation, uses the same P/R/F1 metric but is a 2-way classification that removes statements with the "unknown" ground truth label from the evaluation. The 2-way metric still penalizes misclassifying refuted/ en- tailed statement as unknown.

### Evaluation Metrics (Task B: Evidence Detection)

In Task B, the goal is to determine for each cell and each statement, if the cell is within the minimum set of cells needed to provide evidence for the statement ("relevant") or not ("irrelevant"). In other words, if the table were shown with all other cells blurred out, would this be enough for a human to reasonably determine that the table entails or refutes the statement?

The evaluation calculates the recall and precision for each cell, with "relevant" cells as the positive category.

#### Statement: "Los Aguanaces 3 other localities has same storage." Statement: Los Aguanaces 3 other localities has same storage. What is the statement relationship to the table? (required) Select the cells in the table that support the relationship that you have determined for the above statement. Leave blank if you Supported by cells in the table. O Refuted by cells in the table selected ambiguous or unrelated. O Discard ☐ There are 2+ different, conflicting sets of cells O Unrelated to any cells in the table that relate to the statement Need to discuss Can this table be used for evidence task B? Rephrase if needed (required) All Los Aguanaces localities have the same storage O Yes O No Table 4 Need to discuss Studied material of Erinaceinae indet. and measurements. See for measuring details. Code MN Local Age Sup./Inf. Element Element Dex./Sin. Storage Catalogue Length Width Locality Discussion: Zone (Ma) type nb. nb. (mm) (mm) Aguanaces AG3 11 K 8.2 UU(MAP) 2102 1.73 1.13 sup. sin. Los Aguanaces AG3 11 K 8.2 UU(MAP) 2103 2.22 1.72 sup. sin. Mas=a de ROM39 10.1 sup. UU(MAP) 308 dex. m la Roma 3 Aguanaces AG3 11 K 8.2 UU(MAP) 2107 1.54 2.92 sup. dex. Patrimonio Forestal PF5A 11 J4 8.8 sup. MAP 52 dex. 5A

Figure 4: Screenshot showing the labeling interface for statement rephrasing, relationship labeling and evidence annotation.

UU(MAP)|201

sin.

Puente

Minero 2

PM2 10

9.7

sup.

J2

Input To	Tomplete		
	Template	Evidence	<b>Example Statements</b>
	The' + col_i_head + 'is' + col_i_val + ', when the' + col_j_head + 'is' + col_j_val	col_i_head, col_j_head, col_i_val, col_j_val	The Code is AG3 when the Locality is Los Aguanances3.
col co	col_val + 'is in' + col_head	col_val, col_head for entailed; col for refuted	AG3 is in Code.
col ur	inique or same values	col for entailed; None for refuted	Sup./Inf. has the same values.
col[#] 'T	The maximum of' + col_head +'is'+val	col[#] for entailed; None for refuted	The maximum of Length(mm) is 2.22.
col[#] 'T	The minimum of' + col_head +'is'+val	col[#] for entailed; None for refuted	The minimum of Length(mm) is 1.54.
col[#] 'T	The mean of' + col_head + 'is' + val	col[#]	The mean of Length(mm) is 1.83.
col[#] 'T	The median of' + col_head + 'is' + val	col[#]	The median of Length(mm) is 1.73.
col[#] 'T	The mode of' + col_head + 'is' + val	col[#]	The mode of Length(mm) is 1.54, 1.73, 2.22.

Table 2: Template and evidence rules used for auto-generated ground truth. The examples are derived from Table 4 in Figure 4.

## Leaderboard

<b>Team</b>	3-way F-Score	2-way F-Score	Team	F-Score	
Official Leaderboard			Official Leaderboard		
King001	84.48	88.74	BreakingBERT@IITK	65.17	
THiFly_Queen	83.76	84.55	<u>o</u>		
RyanStark	81.51	87.22	Volta	62.95	
sattiy	77.32	84.96	King001	62.14	
BreakingBERT@IITK	69.31	76.81	FishToucher	60.06	
Volta	67.34	72.89		그 그 그 그 그 그 그 그 그 그 그 그 그 그 그 그 그 그 그	
TAPAS	66.81	73.13	RyanStark	54.96	
AttesTable	65.59	71.72	Sattiy	48.56	
Yaoxu	60.76	75.8			
Beary-group	58.37	72.56	AttesTable	43.02	
ok-team	57.79	71.84	KaushikAcharya	33.81	
SUNLP	47.92	59.58			
FishToucher	41.83	52.01	Unverified Leader	Unverified Leaderboard	
KaushikAcharya	36.23	23.08			
Unverified Leaderboard			MagicPai	88.74	
	MANAGEM NA STATE OF THE STATE O		SkyWalker	73.05	
Skywalker	92.55	95.15			
MagicPai	00.88	04.03	endworld	57.85	

## Team Approaches for Task A

Team	Description		
AttesTable (Varma et al., 2021)	Extended TAPAS to 3 classes by fine-tuning it. Employed a novel way of synthesizing "unknown" samples.		
BreakingBERT@IITK (Jindal et al., 2021)	Ensemble models with TAPAS and TableBERT Transformers in a hierarchical two-step method for 3-way classification (unknown vs not unknown first)		
Beary-group	Used TAPAS model with TabFact task, and added unique features. Employed prepossessing tricks like k-fold validation and replacing the characters and did hyperparameter tuning.		
BOUN (Köksal et al., 2021)*	Used text augmentation techniques such as back translation and synonym swapping on the TAPAS model. Domain adaptation and joint learning using SemTabFacts and TabFact datasets.		
endworld	Data Cleaning. Ensemble combining 80 instances of trained TaPas-Large and label smoothing.		
FishToucher	Motivated by TaPas, used BERT and enriched the embedding layer with two new token type embeddings: row and column ids* (*The team mistakenly submitted an old model version, see paper for more accurate scores)		
Kaushik Acharya (Acharya, 2021)	Parsed statements into candidate logical form; mapped result to handwritten rules, to then execute over relevant cells (identified using string matching and universal dependency parsing)		
King001	Trained 20 instances of TaPas, SAT and Table-Bert for an ensemble of 60 models. Used preprocessing like acronym completion, rules to align the table content with the question content, label smoothing.		
MagicPai	Multi-model training using models such as TaBERT, tapas_wikisql, tapas_TabFact, tapas_masklm. Finally rule amendments and aligning the distribution of training and test data		
ok-team	TAPAS pretrained on TabFact with preprocessing of data (like transforming English numerals to Arabic numerals, removing special characters etc.)		
Paima	Fine-tuned TAPAS optimized to perform window scanning on statement-related table data. Processing to reduce abbreviations for table headers, and identifying operation expressions.		
RyanStark	Multi-model TaBERT pretrained Model fusion. Pre-processing such as case and abbreviations.		
The state of the s			

## Team Approaches for Task B

Team	Description		
BreakingBERT @IITK	An ensemble of an individual cell-based NLI approach and a similarity approach with the cells and statement		
FishToucher	BERT CLS tokens for statement and table cells are used to determine cell relationships to each other, and the statement (for relevant cells)		
Kaushik Acharya	Relevant cells are output as part of Task A		
RyanStark	BOW approach with rules applied based on word matches in header and data cells.		
Volta	Finetuned TAPAS for cell selection. Different models for entailed and refuted statements. Used transfer learning and header standardization.		

Table 8: Descriptions of systems from participants for Task B (when provided)

## Thank you